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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation ROCK ISLAND REFINING FOUNDATION EDGAR S JOSEPH TRUSTEE		A Employer identification number 35-6264479	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 90114		Room/suite	B Telephone number (see instructions) (480) 682-7109
City or town, state or province, country, and ZIP or foreign postal code INDIANAPOLIS, IN 462900114		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,950,590		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	50,056	50,056		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	144,860			
	b Gross sales price for all assets on line 6a _____ 954,274				
	7 Capital gain net income (from Part IV, line 2) . . .		144,860		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	194,916	194,916		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,175	0		0
	c Other professional fees (attach schedule)	5,500	0		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	1,586	168		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	8,345	8,345		0
	24 Total operating and administrative expenses. Add lines 13 through 23	17,606	8,513		0
	25 Contributions, gifts, grants paid	350,000			350,000
	26 Total expenses and disbursements. Add lines 24 and 25	367,606	8,513		350,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-172,690			
	b Net investment income (if negative, enter -0-)		186,403		
c Adjusted net income(if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	8,045	3,952	3,952		
	2	Savings and temporary cash investments	51,163	36,499	36,499		
	3	Accounts receivable ▶ <u>340</u>					
		Less allowance for doubtful accounts ▶ _____	659	340	340		
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	565,620	489,189	483,561		
	b	Investments—corporate stock (attach schedule)	836,717	736,879	977,158		
	c	Investments—corporate bonds (attach schedule)	133,023	130,498	128,203		
	11	Investments—land, buildings, and equipment basis ▶ _____					
	Less accumulated depreciation (attach schedule) ▶ _____						
12	Investments—mortgage loans						
13	Investments—other (attach schedule)	290,709	316,603	320,877			
14	Land, buildings, and equipment basis ▶ _____						
	Less accumulated depreciation (attach schedule) ▶ _____						
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,885,936	1,713,960	1,950,590			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	1,674,845	1,674,845			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	211,091	39,115			
	30	Total net assets or fund balances (see instructions)	1,885,936	1,713,960			
31	Total liabilities and net assets/fund balances (see instructions) .	1,885,936	1,713,960				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,885,936
2	Enter amount from Part I, line 27a	2	-172,690
3	Other increases not included in line 2 (itemize) ▶ _____	3	714
4	Add lines 1, 2, and 3	4	1,713,960
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,713,960

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			
b PUBLICLY TRADED SECURITIES			
c CAPITAL GAINS DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 259,533		242,342	17,191
b 694,619		567,072	127,547
c 122			122
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			17,191
b			127,547
c			122
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	144,860
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	107,823	2,241,398	0 048105
2014	103,051	2,193,048	0 046990
2013	92,139	2,053,717	0 044865
2012	81,147	1,906,929	0 042554
2011	70,000	1,880,394	0 037226

2 Total of line 1, column (d)	2	0 219740
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 043948
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,039,057
5 Multiply line 4 by line 3	5	89,612
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,864
7 Add lines 5 and 6	7	91,476
8 Enter qualifying distributions from Part XII, line 4	8	350,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,864
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,864
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,864
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,600
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	264
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► ROSE STEWART Telephone no ► (480) 682-7109			

Located at **►** 945 N PASADENA UNIT 23 MESA AZ ZIP+4 **►** 85201

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
EDGAR S JOSEPH 8512 BENT TREE COURT INDIANAPOLIS, IN 46260	TRUSTEE 2 00	0	0	0
NORMA WINKLER 8680 WILLIAMSHIRE WEST DRIVE INDIANAPOLIS, IN 46260	TRUSTEE 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,969,094
b	Average of monthly cash balances.	1b	101,015
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,070,109
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,070,109
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	31,052
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,039,057
6	Minimum investment return. Enter 5% of line 5.	6	101,953

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	101,953
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,864
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,864
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	100,089
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	100,089
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	100,089

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	350,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	350,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,864
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	348,136

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				100,089
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			103,871	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 350,000				
a Applied to 2015, but not more than line 2a			103,871	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				100,089
e Remaining amount distributed out of corpus	146,040			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	146,040			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	146,040			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	146,040			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	350,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)		No
1a(2)		No

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1b(1)	No
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1b(2)		No
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1b(3)		No
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1b(4)		No
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1b(5)	No
--------------	-----------

1b(6)		No
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1c		No
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[illegible]

☐ Yes ☒ No

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

Form **990-PF** (2016)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BREBEUF PREPARATORY SCHOOL INC 2801 W 86TH ST INDIANAPOLIS, IN 46268	N/A	PC	TO SUPPORT EDUCATION	50,000
CROSSROADS OF AMERICA COUNCIL BOY SCOUTS OF AMERICA INC 7125 FALL CREEK ROAD NORTH INDIANAPOLIS, IN 46256	N/A	PC	TO PREPARE YOUNG PEOPLE TO MAKE ETHICAL CHOICES OVER THEIR LIFETIME	25,000
HEARTLAND TRULY MOVING PICTURES INC (AKA HEARTLAND FILM INC) 1043 VIRGINIA AVE NO 2 INDIANAPOLIS, IN 46203	N/A	PC	TO SUPPORT INDIANA FILMMAKERS	25,000
INDIANAPOLIS SYMPHONY ORCHESTRA FOUNDATION INC 32 E WASHINGTON ST STE 600 INDIANAPOLIS, IN 462042919	N/A	SO III FI	TO SUPPORT THE INDIANA SYMPHONY SOCIETY, INC	50,000
LITTLE SISTERS OF THE POOR OF INDIANA INC 2345 W 86TH ST INDIANAPOLIS, IN 462601981	N/A	PC	TO SUPPORT ST AUGUSTINE'S HOME WHICH HOUSES NEEDY ELDERLY IN THE INDIANAPOLIS AREA	25,000
ST JOSEPH INSTITUTE FOR THE DEAF 1809 CLARKSON CHESTERFIELD, MO 63017	N/A	PC	TO SUPPORT LANGUAGE SERVICES FOR DEAF CHILDREN AT INDIANAPOLIS BRANCH	25,000
INDIANA TRANSPORTATION MUSEUM PO BOX 83 NOBLESVILLE, IN 46061	N/A	PC	TO SUPPORT THE EDUCATIONAL PROGRAMMING OF THE INDIANA TRANSPORTATION MUSEUM	25,000
MARIAN UNIVERSITY 3200 COLD SPRING RD INDIANAPOLIS, IN 46222	N/A	PC	TO SUPPORT EDUCATION	50,000
INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON, IN 47402	N/A	PC	TO SUPPORT THE SCHOOL OF MEDICINE AND THE SCHOOL OF HEALTH AND REHABILITATION	75,000
Total ► 3a				350,000

TY 2016 Accounting Fees Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPERATION FEES	2,175	0		0

TY 2016 Investments Corporate Bonds Schedule**Name:** ROCK ISLAND REFINING FOUNDATION

EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DU PONT E I DE NEMOURS & CO NOTE	0	0
DUKE ENERGY CAROLINAS LLC BOND	27,225	26,536
IBM NOTE	25,404	25,161
ORACLE CORP NT	27,401	26,533
PHILIP MORRIS INTL INC BOND	25,371	25,003
WELLS FARGO CO MTN BE	25,097	24,970

TY 2016 Investments Corporate Stock Schedule**Name:** ROCK ISLAND REFINING FOUNDATION

EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVANSIX	23	133
AETNA INC	16,145	23,562
ALCOA INC	0	0
ALLERGAN	19,313	17,221
AMBARELLA INC	12,388	14,615
AMERICAN EXPRESS	26,125	25,187
AMERISOURCEBERGEN CORP	10,293	10,556
APPLE INC	18,062	22,585
AT&T	18,161	28,282
BAXALTA INC COM	0	0
BOEING	7,606	28,022
CABOT OIL & GAS CP COM	21,860	23,710
CAMECO CORP COM NPV ISIN	19,756	19,160
CAPITAL ONE FINANCIAL CORP	0	0
CHECKPOINT SOFTWARE	9,330	10,135
CHEMTURA CORPORATION	0	0
CHEVRON CORP	8,744	24,599
CISCO SYS IN COM	0	0
CITIGROUP INC COM NEW	0	0
CITIZENS FINL GROUP INC COM	22,404	33,849
CLAYMORE GUGGENHEIM BULLETSHARES 2020	0	0
COACH INC	16,090	17,335
CONOCOPHILLIPS	22,009	25,321
CVS HEALTH CORP COM	6,673	22,884
DELTA AIR LINES INC DEL COM	0	0
DIAGEO ADR	19,142	18,190
DICKS SPORTING GOODS INC COM	9,701	13,010
E M C CORP MASS	0	0
EBAY INC	0	0
EXPRESS SCRIPTS HLDG CO COM	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON MOBIL CORP	11,798	15,796
FIFTH THIRD BANCORP	0	0
GALLAGHER ARTHUR J & CO	19,048	23,382
GILEAD SCIENCES INC	26,232	19,335
GOODYEAR TIRE & RUBBER	0	0
HAIN CELESTIAL	9,807	11,124
HANESBRANDS INC COM	9,583	18,119
HARTFORD FINL SVCS GROUP INC	14,266	19,060
HELEN OF TROY	0	0
HEWLETT PACKARD CO COM	0	0
HONEYWELL INTL INC	4,138	17,378
HP INC COM	0	0
INTL BUSINESS MACH	21,862	24,069
ITC HLDGS CORP	0	0
KEYCORP NEW	17,146	25,304
MATTEL INC	13,056	15,704
MERCK & CO	6,431	11,185
MICRON TECHNOLOGY	14,784	23,235
MICROSOFT CORP	0	0
MOLSON COORS BREWING CO	0	0
ORACLE CORP COM	9,672	20,379
PENSKE AUTOMOTIVE GROUP INC	0	0
PEPSICO INC	0	0
PNC FINL SVCS GROUP	17,815	30,410
PRINCIPAL FINL GROUP INC COM	13,420	26,037
PROCTER & GAMBLE CO	6,912	8,408
PULTEGROUP INC	18,425	18,196
QUALCOMM INC	26,498	28,688
SHIRE PLC	24,135	23,001
STERICYCLE	9,847	10,015

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SYNCHRONY FINANCIAL COM	15,410	22,669
TAILORED BRANDS INC	17,476	31,299
TEVA PHARM INDUSTRIES	17,760	16,204
TIME WARNER INC NEW COM NEW	12,520	18,823
TOPBUILD CORP	9,428	10,324
UNITED TECHNOLOGIES CORP	11,164	20,828
WALMART STORES INC	20,119	20,736
WESTERN DIGITAL CORP	15,589	24,802
WESTERN UNION CO COM	0	0
WOLVERINE WORLD WIDE INC	15,807	21,072
ZIMMER BIOMET HLDGS INC COM	22,906	23,220

TY 2016 Investments Government Obligations Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

**US Government Securities - End
of Year Book Value:**

283,286

**US Government Securities - End
of Year Fair Market Value:**

281,741

**State & Local Government
Securities - End of Year Book
Value:**

205,903

**State & Local Government
Securities - End of Year Fair
Market Value:**

201,820

TY 2016 Investments - Other Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CARE CAP PPTYS INC COM	AT COST	21,310	21,000
ISHARES TIPS BOND ETF	AT COST	49,845	49,795
ISHARES US OIL EQUIPMENT & SERVICES	AT COST	0	0
JP MORGAN-BACKED SECURITIES FUND	AT COST	76,624	73,566
OMEGA HEALTHCARE INVS INC COM	AT COST	7,902	18,381
PIMCO ETF TRUST 0-5 YR HIGH YLD CORP	AT COST	0	0
VANGUARD GNMA FUND	AT COST	25,835	24,604
VANGUARD HI YIELD CORPORATE ADMIRAL	AT COST	79,038	78,063
WELLS FARGO ADVTG SHORT-TERM HIGH	AT COST	56,049	55,468

TY 2016 Other Expenses Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	8,345	8,345		0

TY 2016 Other Increases Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Description	Amount
COST BASIS ADJUSTMENT	714

TY 2016 Other Professional Fees Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING FEES	5,500	0		0

TY 2016 Taxes Schedule

Name: ROCK ISLAND REFINING FOUNDATION
EDGAR S JOSEPH TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	168	168		0
FEDERAL TAXES	1,418	0		0