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SCANNED MAY 19 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation The Cummins Foundation Inc.			A Employer identification number 35-6042373	
Number and street (or P.O. box number if mail is not delivered to street address) Box 3005, M/C 60113		Room/suite	B Telephone number (see instructions) (812) 377-0139	
City or town, state or province, country, and ZIP or foreign postal code Columbus IN 47202-3005				
Foreign country name		Foreign province/state/country	Foreign postal code	
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change				
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 42,500,423		J Accounting method. ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		
C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	11,531,730			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,926	1,926	1,926	
	4 Dividends and interest from securities	602,926	602,926	602,926	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,139			
	b Gross sales price for all assets on line 6a 21,565,638				
	7 Capital gain net income (from Part IV, line 2)		10,139		
	8 Net short-term capital gain			35,173	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	94,756			
	12 Total. Add lines 1 through 11	12,241,477	614,991	640,025	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	14,800			
	c Other professional fees (attach schedule)	1,232,773			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,000			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	500			
	22 Printing and publications				
	23 Other expenses (attach schedule)	71,020	37,782	37,782	
	24 Total operating and administrative expenses. Add lines 13 through 23	1,325,093	37,782	37,782	0
	25 Contributions, gifts, grants paid	8,888,418			8,200,415
	26 Total expenses and disbursements. Add lines 24 and 25	10,213,511	37,782	37,782	8,200,415
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,027,966			
	b Net investment income (if negative, enter -0-)		577,209		
	c Adjusted net income (if negative, enter -0-)			602,243	

For Paperwork Reduction Act Notice, see instructions.

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,182,630	435,547	435,547
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶	125,000		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	500	500	500
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)	38,751,228	41,983,501	41,983,501
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Interest Receivable)	72,296	80,875	80,875
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	40,131,654	42,500,423	42,500,423
	17	Accounts payable and accrued expenses	347,200		
	18	Grants payable	1,403,512	2,091,515	
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	1,750,712	2,091,515	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	38,380,942	40,408,908	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	38,380,942	40,408,908	
	31	Total liabilities and net assets/fund balances (see instructions)	40,131,654	42,500,423	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,380,942
2	Enter amount from Part I, line 27a	2	2,027,966
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	40,408,908
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	40,408,908

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Schedule - Exhibit F			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	21,565,638	21,555,499	10,139	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,139	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	35,173	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	10,338,344	40,628,188	0.254462
2014	7,868,859	38,334,026	0.205271
2013	7,190,373	41,837,142	0.171866
2012	7,328,386	43,890,946	0.166968
2011	9,667,351	30,388,558	0.318125
2	Total of line 1, column (d)	2	1.116692
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.223338
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	42,473,599
5	Multiply line 4 by line 3	5	9,485,969
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,772
7	Add lines 5 and 6	7	9,491,741
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	8,200,415

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	11,544	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	11,544	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,544	
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	12,976	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	12,976	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,432	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 1,432 Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ INDIANA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>www.cummins.com</u>				
14	The books are in care of ▶ <u>Aaron Kaluf</u> Telephone no ▶ <u>(812) 377-8695</u>			
	Located at ▶ <u>Cummins Inc., 500 Jackson St., Columbus, IN</u> ZIP+4 ▶ <u>47202-3005</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)–3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Exhibit B		0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 None

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	42,133,882
b	Average of monthly cash balances	1b	986,523
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	43,120,405
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	43,120,405
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	646,806
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,473,599
6	Minimum investment return. Enter 5% of line 5	6	2,123,680

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,123,680
2a	Tax on investment income for 2016 from Part VI, line 5	2a	11,544
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,544
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,112,136
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,112,136
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,112,136

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	8,200,415
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,200,415
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,200,415

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,112,136
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011	8,154,935			
b From 2012	5,141,490			
c From 2013	5,107,503			
d From 2014	5,960,776			
e From 2015	8,311,341			
f Total of lines 3a through e	32,676,045			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 8,200,415				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				2,112,136
e Remaining amount distributed out of corpus	6,088,279			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	38,764,324			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	8,154,935			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	30,609,389			
10 Analysis of line 9.				
a Excess from 2012	5,141,490			
b Excess from 2013	5,107,503			
c Excess from 2014	5,960,776			
d Excess from 2015	8,311,341			
e Excess from 2016	6,088,279			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	

b 85% of line 2a**c** Qualifying distributions from Part XII, line 4 for each year listed**d** Amounts included in line 2c not used directly for active conduct of exempt activities**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c**3** Complete 3a, b, or c for the alternative test relied upon**a** "Assets" alternative test—enter.

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**c** "Support" alternative test—enter.

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Paula Blewett Box 3005, M/C 60028 Columbus, IN 47202-3005 (812) 377-3746

b The form in which applications should be submitted and information and materials they should include.

See Exhibit C

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Exhibit C

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|--|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | |
| | (1) Cash | 1 |
| | (2) Other assets | 1 |
| b | Other transactions | |
| | (1) Sales of assets to a noncharitable exempt organization | 1 |
| | (2) Purchases of assets from a noncharitable exempt organization | 1 |
| | (3) Rental of facilities, equipment, or other assets | 1 |
| | (4) Reimbursement arrangements | 1 |
| | (5) Loans or loan guarantees | 1 |
| | (6) Performance of services or membership or fundraising solicitations | 1 |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

The Cummins Foundation Inc.

Employer identification number

35-6042373

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization The Cummins Foundation Inc.	Employer identification number 35-6042373
--	---

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CUMMINS INC. BOX 3005, M/C 60113 COLUMBUS IN 47202-3005 Foreign State or Province _____ Foreign Country: _____	\$ 11,531,730	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
	_____ _____ _____ Foreign State or Province _____ Foreign Country: _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
The Cummins Foundation Inc.

Employer identification number
35-6042373

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Name of organization
The Cummins Foundation Inc.

Employer identification number
35-6042373

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ 0
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	For. Prov. Country		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	For. Prov. Country		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	For. Prov. Country		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	For. Prov. Country		

The Cummins Foundation Inc.
35-6042373
Schedule 1

Part I

Line 1 - Contributions, gifts, grants, etc. received

<u>Contributor</u>	<u>Amount</u>
Cummins Inc	\$11,531,730
Total	<u><u>\$11,531,730</u></u>

The Cummins Foundation, Inc.
35-6042373
Exhibit D
Grants Summary

Unpaid Commitments Per Return <u>As Of 1-1-16</u>	Commitments Made <u>In 2016</u>	Commitments Paid <u>In 2016</u>	Unpaid Commitments Per Return <u>As Of 12-31-16</u>
1,403,512	Dr 8,888,418	Cr (8,200,415)	2,091,515

2016 Paid Program Grants

Payee Organization Project Title, Grant Amount	Payment Amount	Payment Fund
United Way of Greater Cincinnati <i>Address the issues of high unemployment and low educational attainment</i>	\$5,000 00	Foundation
Association ROI GlobalGiving Foundation <i>Preparing high school students for the job market through a coalition</i>	\$10,000.00	Foundation
Heritage Fund of Bartholomew County <i>"Engage Columbus" create a "One Stop Shop" for community information with emphasis on newcomers</i>	\$30,000 00	Foundation
<i>Architecture Consultant</i>	\$50,000.00	Foundation
United Way of Central West Virginia <i>Expand the "Backpack Buddy" programs serving disadvantaged students in West Virginia</i>	\$8,000.00	Foundation
Earth University <i>Support scholarships and Cookstove initiative for 4 years @ \$125,000 each year</i>	\$250,000.00	Foundation
Growing Places Indy <i>Hire and retain an Educational Coordinator at the Chase Near Eastside Legacy Center for program years 2015-2017</i>	\$20,000 00	Foundation
United Way of Eastern New Mexico, Inc. <i>Hiring of a volunteer coordinator for three years and develop a volunteer website</i>	\$39,000.00	Foundation
Indianapolis Parks Foundation Inc. <i>Strengthen Pogue's Run waterway to serve as a key asset for Brookside, Spades Parks and the Near Eastside Neighborhood</i>	\$27,068.00	Foundation
Keep Indianapolis Beautiful Inc. <i>Strengthen Pogue's Run waterway to serve as a key asset for Brookside, Spades Parks and the Near Eastside Neighborhood</i>	\$13,000.00	Foundation
Batley Girls High School <i>Raise awareness of engineering and science industries and to inspire more young people to consider pursuing education and careers in these area</i>	\$24,300 00	Foundation
Hoosier Trails Council - Boys Scouts of America <i>Hire a bilingual part-time program aide to work</i>	\$12,000.00	Foundation

with Hispanic Americans and Latino to develop Boy Scout units

Faces without Places - (UpSpring)

Academic and enrichment summer day camp for homeless children in Kenton County, KY

\$111,371.00

Foundation

Local Initiatives Support Corporation (LISC)

Year 1 - Focus the next of its engagement with Near East Side/Promise Zone organizations around the creation of "career pathways" that align the program components of multiple neighborhood institutions to move residents toward employment opportunities

\$25,000.00

Foundation

World Education and Development Fund

Bring the LISTO Transformational Leadership program to school principals at La Pila, Mexico

\$65,000 00

Foundation

United Way of Central Indiana

Provide access to high quality preschool to underprivileged children starting in 2015

\$450,000 00

Foundation

Trustees of Indiana University

Case work for creating thriving communities and thriving state in Indiana

\$75,000 00

Foundation

Miscellaneous United Way Cash Payments

\$2,644.00

Foundation

Grand Total

\$1,217,383.00

The Cummins Foundation Inc.
35-6042373
Exhibit E
2016 Grant Summary - Unpaid Commitments
Balance as of 12/31/2016

The Cummins Foundation - 2014 Grant Summary - Unpaid Commitments	12/31/2016 Balance
United Way of Greater Cincinnati	\$5,000
\$60,000 Grant	
United Way of Central West Virginia	\$4,500
\$25,000 Grant	
Earth University	\$500,000
\$1,000,000 Grant	
Growing Place Indy	\$10,000
\$61,000 Grant	
United Way of Eastern New Mexico	\$46,000
\$99,000 Grant	
Face without Places (FWP) - UpSpring	\$83,629
\$195,000 Grant	
Hoosier Trails Council - Boy Scouts of American	\$12,000
\$34,000 Grant	
The University of Memphis Foundation	\$5,000
\$5,000 Grant	
2016 United Way March - Canada	\$36,486
\$36,486 Grant	
LISC	\$50,000
\$75,000 Grant	
Anchor House	\$50,000
\$50,000 Grant	
Junior Achievement of the Upper Midwest	\$60,000
\$60,000 Grant	
Hands of Nashville	\$50,000
\$50,000 Grant	
Community Center J. Irwin Miller (Victorio Civita School)	\$1,078,900
\$1,078,900 Grant	
United Way of Bartholomew County	\$100,000
\$100,000 Grant	
Total	\$2,091,515

2016 Tax Information Statement

Page 5 of 6
Ref: AET

Recipient's Name and Address:
THE CUMMINS FOUNDATION
MARSHA ALLAMANNO
500 JACKSON STREET
M/C 60633
COLUMBUS, IN 47202-3005

Account Number: 26-98880
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Federal Income Tax Withheld		State Tax Withheld				
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Long Term Sales										
78277.89	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD									
665162467	08/05/2016	04/01/2011	800,000.00	793,737.81			6,262.19		0.00	0.00
334316.62	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD									
665162467	12/15/2016	Various	3,400,000.00	3,400,177.37			-177.37		0.00	0.00
Total Long Term Sales			4,200,000.00	4,193,915.18	0.00	0.00	6,084.82		0.00	0.00

This is important tax information and is being furnished to you.

Exhibit F

Exhibit F

2016 Tax Information Statement

Page 7 of 139
Ref: AET

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Acquired	Withheld							State Tax Withheld	
Short Term Sales											
3661.08	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026										
3136A0F69	01/05/2016	09/29/2015		3,661.08	3,727.44			-66.36		0.00	0.00
19196.54	FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017										
3136A7L26	01/05/2016	09/09/2015		19,196.54	19,300.02			-103.48		0.00	0.00
12614.28	FNMA SERIES 2013-M11 CLASS A 1.5% 25 JAN2018										
3136AE7D3	01/05/2016	09/22/2015		12,614.28	12,647.79			-33.51		0.00	0.00
603.82	FNMA .882% 25 APR 2018										
3136ANH4	01/05/2016	04/15/2015		603.82	603.82			0.00		0.00	0.00
1289.96	FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019										
3136AOCY4	01/05/2016	10/07/2015		1,289.96	1,289.97			-0.01		0.00	0.00
6238.66	FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019										
3136AOQJ2	01/05/2016	11/06/2015		6,238.66	6,230.86			7.80		0.00	0.00
2965.69	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023										
3137B8H59	01/05/2016	12/02/2015		2,965.69	2,991.64			-25.95		0.00	0.00
4607.71	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019										
3137BBGR5	01/05/2016	05/08/2015		4,607.71	4,669.27			-61.56		0.00	0.00
5636.68	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023										
3137BEB86	01/05/2016	04/29/2015		5,636.68	5,727.40			-90.72		0.00	0.00

This is important tax information and is being furnished to you.



2016 Tax Information Statement

Page 8 of 139
 Recipient's Name and Address:
 THE CUMMINS FOUNDATION
 AARON KALUF
 301 JACKSON ST
 MC91671
 COLUMBUS, IN 47201

Account Number: 17-17862
 Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Date Acquired								Withheld	Withheld
3673.13	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024										
3137BERD8	01/05/2016	10/20/2015			3,673.13	3,739.71			-66.58	0.00	0.00
8105.96	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019										
03064LAC4	01/08/2016	10/16/2015			8,105.96	8,102.16			3.80	0.00	0.00
6042.47	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018										
03065KAB7	01/08/2016	01/14/2015			6,042.47	6,042.27			0.20	0.00	0.00
16257.73	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018										
03065LAB5	01/08/2016	04/08/2015			16,257.73	16,256.72			1.01	0.00	0.00
771.17	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047										
12591RAW0	01/12/2016	04/23/2015			771.17	771.05			0.12	0.00	0.00
588.8	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047										
12591VAA9	01/12/2016	05/26/2015			588.80	588.43			0.37	0.00	0.00
5555.64	COMM 2012-CR5 MTG TR MTG PASSTHRU CTF CL A-1 .673% 10 DEC 2045										
12623SAA8	01/12/2016	06/18/2015			5,555.64	5,523.52			32.12	0.00	0.00
817.67	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046										
12626GAA1	01/12/2016	05/18/2015			817.67	818.95			-1.28	0.00	0.00
821.88	CMO CITIGROUP COMML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047										
17322AAA0	01/12/2016	05/28/2015			821.88	819.99			1.89	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
GS MTG SECS TR SER 2013-GC10 CL A-1 0.696% 10 FEB 2046	589.63										
	36192CAA3	01/12/2016	05/20/2015	589.63	587.72			1.91		0.00	0.00
GS MTG SECS TR 2012-GCJ7 1.144% 10 MAY 2045	3088.26										
	36192KAO0	01/12/2016	01/28/2015	3,088.26	3,096.34			-8.08		0.00	0.00
GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	2084.48										
	36192PAC0	01/12/2016	Various	2,084.48	2,070.04			14.44		0.00	0.00
FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018	11406.42										
	34530GAD4	01/15/2016	07/01/2015	11,406.42	11,398.14			8.28		0.00	0.00
HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018	26392.11										
	44614TAD4	01/15/2016	06/03/2015	26,392.11	26,364.46			27.65		0.00	0.00
MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	1119.1										
	61690VAU2	01/15/2016	10/28/2015	1,119.10	1,119.07			0.03		0.00	0.00
TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017	6075.18										
	89236WAB4	01/15/2016	02/24/2015	6,075.18	6,075.12			0.06		0.00	0.00
WFRBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046	1012.49										
	92890PAA2	01/15/2016	11/20/2015	1,012.49	1,004.54			7.95		0.00	0.00
WFRBS COML MTG TR SER 2013-C13 CL A-1 .778% 17 MAY 2045	3915.23										
	92937JAA6	01/15/2016	11/20/2015	3,915.23	3,886.17			29.06		0.00	0.00

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AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
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5245.57 WFRBS COM1 MTG TR 2013-C17 1.154% 15 DEC 2046	92938GAA6	01/15/2016	01/28/2015	5,245.57	5,230.20			15.37		0.00	0.00
1347.31 WELLS FARGO COM1 MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048	94989WAP2	01/15/2016	10/29/2015	1,347.31	1,347.30			0.01		0.00	0.00
300000.0 CDS / STS ICE_GOLDUS 20 DEC 2020 SP8A2GAV9	9E17M5L	01/15/2016	01/15/2016	4,946.67	4,946.67			0.00		0.00	0.00
80000.0 DEUTSCHE BK AG 1.875% DUE 02-13-2018	25152RYD9	01/22/2016	02/10/2015	79,171.20	79,904.80			-733.60		0.00	0.00
7052.49 CWHEQ HOME EOTY LN TR SER 2006-S10 .65885% 25 OCT 2036	12668YAB9	01/25/2016	07/07/2015	7,052.49	6,955.52			96.97		0.00	0.00
58109.95 FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020	3137BKWA4	01/25/2016	08/19/2015	58,109.95	58,037.25			72.70		0.00	0.00
3690.64 FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026	3136A0F69	02/02/2016	09/29/2015	3,690.64	3,757.53			-66.89		0.00	0.00
8831.23 FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	3136A7L26	02/02/2016	09/09/2015	8,831.23	8,878.84			-47.61		0.00	0.00
2899.35 FNMA SERIES 2013-M11 CLASS A 1.5% 25 JAN 2018	3136AE7D3	02/02/2016	09/22/2015	2,899.35	2,907.05			-7.70		0.00	0.00

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606.82	FNMA .882% 25 APR 2018										
3136ANHL4	02/02/2016	04/15/2015		606.82	606.82			0.00		0.00	0.00
1296.64	FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019										
3136AQCY4	02/02/2016	10/07/2015		1,296.64	1,296.65			-0.01		0.00	0.00
1057.59	FNMA REMIC TR 2015-M15 CL ASQ1 .84847% 25 JAN 2019										
3136AQQJ2	02/02/2016	11/06/2015		1,057.59	1,056.27			1.32		0.00	0.00
2929.53	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023										
3137B8H59	02/02/2016	12/02/2015		2,929.53	2,955.16			-25.63		0.00	0.00
4904.47	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019										
3137BBGR5	02/02/2016	05/08/2015		4,904.47	4,969.99			-65.52		0.00	0.00
5116.61	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023										
3137BEB86	02/02/2016	04/29/2015		5,116.61	5,198.96			-82.35		0.00	0.00
4069.97	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024										
3137BERD8	02/02/2016	10/20/2015		4,069.97	4,143.74			-73.77		0.00	0.00
330000.0	CDS / STS ICE_GOLDUS 20 DEC 2020 SP8A2GAV9										
9E17M5L	02/05/2016	02/05/2016		3,967.33	3,967.33			0.00		0.00	0.00
90000.0	CDS / STS ICE_GOLDUS 20 DEC 2020 SP8A2GAV9										
9E17M5L	02/05/2016	02/05/2016		1,082.00	1,082.00			0.00		0.00	0.00

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13477.31	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	02/08/2016	10/16/2015	13,477.31	13,470.99			6.32		0.00	0.00
03064LAC4											
14354.53	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018	02/08/2016	04/08/2015	14,354.53	14,353.64			0.89		0.00	0.00
03065LAB5											
600000.0	CDS / STS ICE_GOLDUS 20 DEC 2020 SP8A2GAZ0	02/09/2016	02/09/2016	4,050.99	4,050.99			0.00		0.00	0.00
9DZKNWL											
824.1	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047	02/12/2016	04/23/2015	824.10	823.97			0.13		0.00	0.00
12591RAW0											
613.4	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047	02/12/2016	05/26/2015	613.40	613.02			0.38		0.00	0.00
12591VAA9											
5576.45	COMM 2012-CR5 MTG TR MTG PASSTHRU CTF CL A-1 .673% 10 DEC 2045	02/12/2016	06/18/2015	5,576.45	5,544.21			32.24		0.00	0.00
12623SAA8											
821.34	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046	02/12/2016	05/18/2015	821.34	822.62			-1.28		0.00	0.00
12626GAA1											
856.32	CMO CITIGROUP COM1 MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047	02/12/2016	05/28/2015	856.32	854.35			1.97		0.00	0.00
17322AAA0	REG										
319.53	GS MTG SECS TR SER 2013-GC10 CL A-1 0.696% 10 FEB 2046	02/12/2016	05/20/2015	319.53	318.49			1.04		0.00	0.00
36192CAA3											

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Cusip Number	Date Sold or Disposed	Date Acquired									Withheld	State Tax Withheld
2093.55	GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017											
36192PAC0	02/12/2016	08/18/2015			2,093.55	2,052.99				40.56	0.00	0.00
155.26	ML-CFC COML MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049											
55312VAD0	02/12/2016	01/06/2016			155.26	157.78				-2.52	0.00	0.00
9902.49	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018											
34530GAD4	02/15/2016	07/01/2015			9,902.49	9,895.56				6.93	0.00	0.00
22726.51	HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018											
44614TAD4	02/15/2016	06/03/2015			22,726.51	22,703.68				22.83	0.00	0.00
5452.49	TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017											
89236WAB4	02/15/2016	02/24/2015			5,452.49	5,452.44				0.05	0.00	0.00
1123.5	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1											
61690VAU2	1.591% 11-15-2048 02/18/2016	10/28/2015			1,123.50	1,123.47				0.03	0.00	0.00
16504.47	MORGAN STANLEY BK AMER MERRILL LYNCH TR COML MTG PASSTHRU CTF A-1 .825% 15 MAY											
61762DAS0	02/18/2016	05/21/2015			16,504.47	16,446.45				58.02	0.00	0.00
1016.21	WFRBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046											
92890PAA2	02/18/2016	11/20/2015			1,016.21	1,008.23				7.98	0.00	0.00
3929.56	WFRBS COML MTG TR SER 2013-C13 CL A-1 .778% 17 MAY 2045											
92937UAA6	02/18/2016	11/20/2015			3,929.56	3,900.40				29.16	0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired									Withheld	State Tax Withheld
1352.84	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048											
94989WAP2	02/18/2016	10/29/2015	1,352.84	1,352.83						0.01	0.00	0.00
8780.67	CWHEQ HOME EQTY LN TR SER 2006-S10 .65885% 25 OCT 2036											
12668YAB9	02/25/2016	07/07/2015	8,780.67	8,659.93						120.74	0.00	0.00
5467.37	FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021											
3136AQV49	02/25/2016	01/19/2016	5,467.37	5,467.25						0.12	0.00	0.00
7723.03	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020											
3137BKWA4	02/25/2016	08/19/2015	7,723.03	7,713.37						9.66	0.00	0.00
595.15	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019											
3137BMLB0	02/25/2016	01/15/2016	595.15	596.63						-1.48	0.00	0.00
210000.0	CDS / STS ICE GOLDUS SELL PROTECTION ON CDX NAHYS25V1 5Y 20 DEC 2020 SP8A2GAV9											
9E17M5U	03/01/2016 Various		2,037.00	0.00						2,037.00	0.00	0.00
3337.64	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026											
3136AOF69	03/02/2016	09/29/2015	3,337.64	3,398.14						-60.50	0.00	0.00
2541.87	FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD'EA'											
3136A25F6	03/02/2016	02/12/2016	2,541.87	2,565.30						-23.43	0.00	0.00
14611.47	FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017											
3136A7L26	03/02/2016	09/09/2015	14,611.47	14,690.23						-78.76	0.00	0.00

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16046.09 FNMA SERIES 2013-M11 CLASS A 1.5% 25 JAN2018											
3136AE7D3	03/02/2016	09/22/2015		16,046.09	16,088.71			-42.62		0.00	0.00
732.96 FNMA .882% 25 APR 2018											
3136ANHL4	03/02/2016	04/15/2015		732.96	732.96			0.00		0.00	0.00
12143.0 FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019											
3136AQCY4	03/02/2016	10/07/2015		12,143.00	12,143.09			-0.09		0.00	0.00
4850.07 FNMA REMIC TR 2015-M15 CL ASQ1 .84847% 25 JAN 2019											
3136AQJ2	03/02/2016	11/06/2015		4,850.07	4,844.01			6.06		0.00	0.00
8100.94 FNMA REMIC TR 2016-M1 CL ASQ1 1.374% 25 FEB 2021											
3136AQV49	03/02/2016	01/19/2016		8,100.94	8,100.77			0.17		0.00	0.00
2718.12 FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023											
3137B8H59	03/02/2016	12/02/2015		2,718.12	2,741.90			-23.78		0.00	0.00
4336.66 FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019											
3137BBGR5	03/02/2016	05/08/2015		4,336.66	4,394.59			-57.93		0.00	0.00
4657.91 FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86	03/02/2016	04/29/2015		4,657.91	4,732.87			-74.96		0.00	0.00
3834.26 FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024											
3137BERD8	03/02/2016	10/20/2015		3,834.26	3,903.76			-69.50		0.00	0.00

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50000.0 ARCELORMITTAL SA LUXEMBOURG 6.125% 01 JUN 2018	03938LAF1	03/07/2016	Various	51,175.00	49,302.92			1,872.08		0.00	0.00
15000.0 ARCELORMITTAL SA LUXEMBOURG 6.125% 01 JUN 2018	03938LAF1	03/07/2016	Various	15,352.50	14,837.15			515.35		0.00	0.00
14937.03 AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	03064LAC4	03/08/2016	10/16/2015	14,937.03	14,930.03			7.00		0.00	0.00
7995.21 AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	03064VAC2	03/08/2016	12/09/2015	7,995.21	7,969.60			25.61		0.00	0.00
16113.0 AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018	03065LAB5	03/08/2016	04/08/2015	16,113.00	16,112.00			1.00		0.00	0.00
1010000.0 CDS / STS ICE GOLDUS SELL PROTECTION ON CDX NAIGS25V1 5Y 20 DEC 2020 SP8A2GAZ0	9DZKNKWU	03/08/2016	Various	4,464.49	2,056.20			2,408.29		0.00	0.00
20000.0 AMERN INTL GROUP INC MEDIUM TERM NTS TRANCHE # TR 00079 5.85 DUE 01-16-2018	02687ODG0	03/10/2016	Various	21,292.40	21,526.51			-234.11		0.00	0.00
85000.0 GOLDMAN SACHS 2.625% DUE 01-31-2019	38145XAA1	03/10/2016	Various	85,661.30	86,441.44			-780.14		0.00	0.00
90000.0 JPMORGAN CHASE & CO 2.35 DUE 01-28-2019 REG	46625HUR2	03/10/2016	Various	90,852.30	91,094.20			-241.90		0.00	0.00

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	45000.0	MORGAN STANLEY 2.8% DUE 06-16-2020								
	61761JB32	03/10/2016	06/11/2015	45,014.85	44,953.70			61.15	0.00	0.00
	990.91	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047								
	12591RAW0	03/11/2016	04/23/2015	990.91	990.75			0.16	0.00	0.00
	739.42	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047								
	12591VAA9	03/11/2016	05/26/2015	739.42	738.96			0.46	0.00	0.00
	6445.63	COMM 2012-CR5 MTG TR MTG PASSTHRU CTF CL A-1 6.73% 10 DEC 2045								
	12623SAA8	03/11/2016	06/18/2015	6,445.63	6,408.37			37.26	0.00	0.00
	996.8	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046								
	12626GAA1	03/11/2016	05/18/2015	996.80	998.36			-1.56	0.00	0.00
	1167.61	CMO CITIGROUP COM1 MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047								
	17322AAA0	03/11/2016	05/28/2015	1,167.61	1,164.92			2.69	0.00	0.00
	2504.89	GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017								
	36192PAC0	03/11/2016	08/18/2015	2,504.89	2,456.36			48.53	0.00	0.00
	696.35	ML-CFC COM1 MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049								
	55312VAD0	03/12/2016	01/06/2016	696.35	707.67			-11.32	0.00	0.00
	10227.68	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018								
	34530GAD4	03/15/2016	07/01/2015	10,227.68	10,220.80			6.88	0.00	0.00

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Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Date Acquired							Withheld	State Tax Withheld
24824.58	HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018									
44614TAD4	03/15/2016	06/03/2015	24,824.58	24,800.72				23.86	0.00	0.00
1354.6	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1									
61690VAU2	1.591% 11-15-2048 03/17/2016	10/28/2015	1,354.60	1,354.57				0.03	0.00	0.00
1169.64	WFRBS COML MTG TR 2013-C14 MTG PASS THRUCTF CL A-1 .836% 15 JUN 2046									
92890PAA2	03/17/2016	11/20/2015	1,169.64	1,160.46				9.18	0.00	0.00
4508.09	WFRBS COML MTG TR SER 2013-C13 CL A-1 .778% 17 MAY 2045									
92937UAA6	03/17/2016	11/20/2015	4,508.09	4,474.63				33.46	0.00	0.00
1636.26	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048									
94989WAP2	03/17/2016	10/29/2015	1,636.26	1,636.25				0.01	0.00	0.00
35000.0	TECK RESOURCES LIMITED 4.5% 15 JAN 2021									
878742AT2	03/18/2016	07/21/2015	26,075.00	32,464.25				-6,389.25	0.00	0.00
15000.0	TECK RES LTD 3.0% 01 MAR 2019									
878744AA9	03/18/2016	07/08/2015	12,375.00	14,195.70				-1,820.70	0.00	0.00
8662.43	CWHEQ HOME ECTY LN TR SER 2006-S10 .65885% 25 OCT 2036									
12668YAB9	03/25/2016	07/07/2015	8,662.43	8,543.32				119.11	0.00	0.00
9578.89	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020									
3137BKWA4	03/25/2016	08/19/2015	9,578.89	9,566.91				11.98	0.00	0.00

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THE NORTHERN TRUST COMPANY

**THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680**

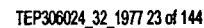
P.O. BOX 803878
CHICAGO, IL 60680

P.O. BOX 606878
CHICAGO, IL 60680

THE UNIVERSITY OF CHICAGO

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2016 Tax Information Statement

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Ref: AET

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
1056.31 FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	3136AQV49	04/04/2016	01/19/2016	1,056.31	1,056.29			0.02	0.00	0.00
1828.9 FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	3136ARML9	04/04/2016	03/08/2016	1,828.90	1,828.86			0.04	0.00	0.00
2903.15 FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023	3137B8H59	04/04/2016	12/02/2015	2,903.15	2,928.55			-25.40	0.00	0.00
4394.6 FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019	3137BBGR5	04/04/2016	05/08/2015	4,394.60	4,453.31			-58.71	0.00	0.00
5111.65 FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023	3137BEB86	04/04/2016	04/29/2015	5,111.65	5,193.92			-82.27	0.00	0.00
3664.88 FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024	3137BERD8	04/04/2016	10/20/2015	3,664.88	3,731.31			-66.43	0.00	0.00
14539.79 AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	03064LAC4	04/08/2016	10/16/2015	14,539.79	14,532.97			6.82	0.00	0.00
18152.54 AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	03064VAC2	04/08/2016	12/09/2015	18,152.54	18,094.40			58.14	0.00	0.00
16062.43 AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018	03065LAB5	04/08/2016	04/08/2015	16,062.43	16,061.44			0.99	0.00	0.00

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Description of property			Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
832.09	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047											
12591RAW0	04/12/2016	04/23/2015	832.09	831.96					0.13		0.00	0.00
642.44	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047											
12591VAA9	04/12/2016	05/26/2015	642.44	642.04					0.40		0.00	0.00
5621.54	COMM 2012-CR5 MTG TR MTG PASSTHRU CTF CL A-1 .673% 10 DEC 2045											
12623SAA8	04/12/2016	06/18/2015	5,621.54	5,589.04					32.50		0.00	0.00
829.52	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046											
12626GAA1	04/12/2016	05/18/2015	829.52	830.82					-1.30		0.00	0.00
958.08	CMO CITIGROUP COM1 MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047											
17322AAA0	04/12/2016	05/28/2015	958.08	955.87					2.21		0.00	0.00
2113.59	GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017											
36192PAC0	04/12/2016	08/18/2015	2,113.59	2,072.64					40.95		0.00	0.00
952.27	ML-CFC COM1 MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049											
55312VAD0	04/12/2016	01/06/2016	952.27	967.74					-15.47		0.00	0.00
200000.0	UNITED STATES TREAS NTS .875% 15 NOV 2017											
912828G20	04/13/2016	12/17/2015	200,445.31	199,473.84					971.47		0.00	0.00
1320000.0	UTD STATES TREAS 1% DUE 09-15-2018											
912828L40	04/13/2016	Various	1,325,414.06	1,319,033.50					6,380.56		0.00	0.00

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COLUMBUS, IN 47201

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CHICAGO, IL 60680

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Description of property				Cost or Other Basis		Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Other Basis					Withheld		
440000.0	UNITED STATES TREAS NTS	625 09-30-2017 REG									
912828TS9	04/13/2016	Various	439,432.81	438,774.00				658.81	0.00		0.00
10370.81	FORD CR AUTO OWNER	67% DUE 04-15-2018 04-15-2018									
34530GAD4	04/15/2016	07/01/2015	10,370.81	10,364.11				6.70	0.00		0.00
24889.05	HUNTINGTON AUTO TR	2012-2 CL A4 68% 16 JAN 2018									
44614TAD4	04/15/2016	06/03/2015	24,889.05	24,866.21				22.84	0.00		0.00
1002.04	JPMBB COML MTG SECS TR	2014-C19 1.2661% 15 APR 2047									
46641WAS6	04/15/2016	03/08/2016	1,002.04	996.36				5.68	0.00		0.00
1382.8	JPMBB COML MTG SECS TR	2016-C1 COML MTG PASSTHRU CTF CL A-1									
46645LAU1	1.6947% 15 MAR 2049	04/15/2016 02/23/2016	1,382.80	1,382.80				0.00	0.00		0.00
4732.58	LB-UBS COML MTG TR	2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347%									
50180CAD2	15 NOV 2038	04/15/2016 01/07/2016	4,732.58	4,814.29				-81.71	0.00		0.00
1133.25	MORGAN STANLEY BK AMER MERRILL LYNCH TR	SER 2015-C26 CL A-1									
61690VAU2	1.591% 11-15-2048	04/15/2016 10/28/2015	1,133.25	1,133.22				0.03	0.00		0.00
645000.0	UNITED STATES TREAS NTS	DTD 04/30/2014									
912828D23	04/15/2016	04/13/2016	659,008.59	658,883.18				125.41	0.00		0.00
1230.45	WFRBS COML MTG TR	2013-C14 MTG PASS THRU CTF CL A-1 8.36% 15 JUN 2046									
92890PAA2	04/15/2016	11/20/2015	1,230.45	1,220.79				9.66	0.00		0.00

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Description of property									
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld
3960.45	WFRBS COML MTG TR SER 2013-C13 CL A-1	778% 17 MAY 2045							
92937UAA6	04/15/2016	11/20/2015	3,960.45	3,931.06			29.39		0.00
1365.11	WELLS FARGO COML MTG TR SER 2015-C31 CL A1	1.679% DUE 15 NOV 2048							
94989WAP2	04/15/2016	10/29/2015	1,365.11	1,365.10			0.01		0.00
7377.82	CWHEQ HOME EQTY LN TR SER 2006-S10	.65885% 25 OCT 2036							
12668YAB9	04/25/2016	07/07/2015	7,377.82	7,276.38			101.44		0.00
7812.97	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481	25 JAN 2020							
3137BKWA4	04/25/2016	08/19/2015	7,812.97	7,803.20			9.77		0.00
598.78	FEDERAL HOME LN MTG CORP TRANCHE 00242	1.68% 25 OCT 2019							
3137BMLB0	04/25/2016	01/15/2016	598.78	600.27			-1.49		0.00
55000.0	FREEMPORT-MCMORAN 4% DUE 11-14-2021								
35671DBH7	04/28/2016	Various	47,712.50	50,020.50			-2,308.00		0.00
1350.21	FNMA SERIES 2013-M11 CLASS A 1.5% 25 JAN 2018								
3136AE7D3	05/01/2016	09/22/2015	1,350.21	1,353.80			-3.59		0.00
3465.38	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026								
3136AOF69	05/03/2016	09/29/2015	3,465.38	3,528.19			-62.81		0.00
2862.15	FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD/EA								
3136A25F6	05/03/2016	02/12/2016	2,862.15	2,888.54			-26.39		0.00

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284.69 FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	3136A7L26	05/03/2016	09/09/2015	284.69	286.22			-1.53		0.00	0.00
43017.59 FNMA SERIES 2013-M11 CLASS A 1.5% 25 JAN 2018	3136AE7D3	05/03/2016	09/22/2015	43,178.91	43,131.86			47.05		0.00	0.00
3739.23 FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	3136AOCY4	05/03/2016	10/07/2015	3,739.23	3,739.26			-0.03		0.00	0.00
11450.98 FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	3136AQQJ2	05/03/2016	11/06/2015	11,450.98	11,436.67			14.31		0.00	0.00
3603.96 FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	3136AQV49	05/03/2016	01/19/2016	3,603.96	3,603.88			0.08		0.00	0.00
1869.01 FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	3136ARML9	05/03/2016	03/08/2016	1,869.01	1,868.96			0.05		0.00	0.00
2907.1 FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023	3137B8H59	05/03/2016	12/02/2015	2,907.10	2,932.54			-25.44		0.00	0.00
4208.18 FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019	3137BBGR5	05/03/2016	05/08/2015	4,208.18	4,264.40			-56.22		0.00	0.00
3591.55 FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024	3137BERD8	05/03/2016	10/20/2015	3,591.55	3,656.65			-65.10		0.00	0.00

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Description of property

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510.2	FNMA POOL #468323	3.53% DUE 01 JAN 2018								
31381RG81	05/03/2016	04/14/2016	510.20	525.35			-15.15		0.00	0.00
12179.29	AMERICREDIT AUTOMOBILE RECEIVABLES	.9% 08 FEB 2019								
03064LAC4	05/08/2016	10/16/2015	12,179.29	12,173.58			5.71		0.00	0.00
17099.27	AMERICREDIT AUTOMOBILE RECEIVABLES	.94% 08 FEB 2019								
03064VAC2	05/08/2016	12/09/2015	17,099.27	17,044.50			54.77		0.00	0.00
45000.0	UNITED STATES TREAS NTS DTD	12/31/2011 .875% DUE 12-31-2016 REG								
912828RX0	05/09/2016	09/22/2015	45,117.77	45,105.92			11.85		0.00	0.00
1424.31	COMM 2014-CCRE16	MTG TR 1.445% 10 APR 2047								
12591VAA9	05/12/2016	05/26/2015	1,424.31	1,423.42			0.89		0.00	0.00
64765.69	COMM 2012-CR5	MTG TR MTG PASSTHRU CTF CL A-1 .673% 10 DEC 2045								
12623SAA8	05/12/2016	06/18/2015	64,765.69	64,391.26			374.43		0.00	0.00
918.86	COMM 2013-LC13	MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046								
12626GAA1	05/12/2016	05/18/2015	918.86	920.29			-1.43		0.00	0.00
1069.12	CMO CITIGROUP COMML	MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047 REG								
17322AAA0	05/12/2016	05/28/2015	1,069.12	1,066.66			2.46		0.00	0.00
2323.25	GS MTG SECS TR 2012-GCJ9	MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017								
36192PAC0	05/12/2016	08/18/2015	2,323.25	2,278.24			45.01		0.00	0.00

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ML-CFC COML MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049	2459.25	05/12/2016	01/06/2016	2,459.25	2,499.21			-39.96	0.00	0.00
FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018	9331.22	05/15/2016	07/01/2015	9,331.22	9,325.44			5.78	0.00	0.00
HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018	21327.97	05/15/2016	06/03/2015	21,327.97	21,309.32			18.65	0.00	0.00
JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047	1141.02	05/17/2016	03/08/2016	1,141.02	1,134.56			6.46	0.00	0.00
JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	1533.79	05/17/2016	02/23/2016	1,533.79	1,533.79			0.00	0.00	0.00
LB-UBS COML MTG TR 2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	63.1	05/17/2016	01/07/2016	63.10	64.19			-1.09	0.00	0.00
MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	1250.73	05/17/2016	10/28/2015	1,250.73	1,250.70			0.03	0.00	0.00
PLAINS ALL AMERN PIPELN LP / PAA 8.75% 01 MAY 2019	15000.0	05/17/2016	02/24/2016	16,804.80	15,422.51			1,382.29	0.00	0.00
WERBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046	1326.78	05/17/2016	11/20/2015	1,326.78	1,316.36			10.42	0.00	0.00

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4256.02	WFRBS COML MTG TR SER 2013-C13 CL A-1	778% 17 MAY 2045							
92937UAA6	05/17/2016	11/20/2015	4,256.02	4,224.43			31.59	0.00	0.00
1509.25	WELLS FARGO COML MTG TR SER 2015-C31 CL A1	1.679% DUE 15 NOV 2048							
94989WAP2	05/17/2016	10/29/2015	1,509.25	1,509.24			0.01	0.00	0.00
100000.0	UNITED STATES TREAS NTS DTD 12/31/2011	.875% DUE 12-31-2016 REG							
912828RX0	05/24/2016	09/22/2015	100,187.50	100,220.31			-32.81	0.00	0.00
560.43	CWHEQ HOME EQTY LN TR SER 2006-S10	.65885% 25 OCT 2036							
12668YAB9	05/25/2016	07/07/2015	560.43	552.72			7.71	0.00	0.00
8758.58	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481	25 JAN 2020							
3137BKWA4	05/25/2016	08/19/2015	8,758.58	8,747.62			10.96	0.00	0.00
635.66	FEDERAL HOME LN MTG CORP TRANCHE 00242	1.68% 25 OCT 2019							
3137BMLB0	05/25/2016	01/15/2016	635.66	637.24			-1.58	0.00	0.00
14.2	FEDERAL HOME LN MTG CORP TRANCHE 00281	25 FEB 2023							
3137BNM50	05/25/2016	04/12/2016	14.20	14.20			0.00	0.00	0.00
50000.0	KINDER MORGAN 5.3% DUE 09-15-2020								
494550BE5	05/25/2016	Various	52,312.50	49,215.14			3,097.36	0.00	0.00
35000.0	CONOCOPHILLIPS CO 4.2% DUE 03-15-2021								
20826FAS5	05/26/2016	03/03/2016	37,150.05	34,983.52			2,166.53	0.00	0.00

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2016 Tax Information Statement

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Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
350000.0 UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	912828RX0	05/27/2016	09/22/2015	350,628.91	350,760.55			-131.64	0.00	0.00
3096.8 FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026	3136A0F69	06/02/2016	09/29/2015	3,096.80	3,152.93			-56.13	0.00	0.00
2613.61 FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USDEA	3136A25F6	06/02/2016	02/12/2016	2,613.61	2,637.70			-24.09	0.00	0.00
2151.9 FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	3136A7L26	06/02/2016	09/09/2015	2,151.90	2,163.50			-11.60	0.00	0.00
4393.06 FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017	3136A9MK1	06/02/2016	05/23/2016	4,393.06	4,393.75			-0.69	0.00	0.00
108244.31 FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	3136AQCY4	06/02/2016	10/07/2015	108,244.31	108,245.07			-0.76	0.00	0.00
7501.99 FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	3136AQJ2	06/02/2016	11/06/2015	7,501.99	7,492.61			9.38	0.00	0.00
14357.55 FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	3136AQV49	06/02/2016	01/19/2016	14,357.55	14,357.24			0.31	0.00	0.00
1849.99 FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	3136ARML9	06/02/2016	03/08/2016	1,849.99	1,849.95			0.04	0.00	0.00

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FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023	3125.04										
	313788H59	06/02/2016	12/02/2015	3,125.04	3,152.38			-27.34		0.00	0.00
FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024	3451.87										
	3137BERD8	06/02/2016	10/20/2015	3,451.87	3,514.43			-62.56		0.00	0.00
FNMA POOL #468323 3.53% DUE 01 JAN 2018	455.59										
	31381RG81	06/02/2016	04/14/2016	455.59	469.12			-13.53		0.00	0.00
FNMA POOL #AL8579 2.348% DUE 01 OCT 2022	181.43										
	3138ETQ90	06/02/2016	05/18/2016	181.43	185.29			-3.86		0.00	0.00
UTD STATES TREAS 1% DUE 05-15-2018	50000.0										
	912828XA3	06/03/2016	04/13/2016	50,205.08	50,204.30			0.78		0.00	0.00
TRANSOCEAN INC 6.5% 15 NOV 2020	25000.0										
	893830AY5	06/07/2016	10/07/2015	21,450.00	21,132.04			317.96		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	12310.89										
	03064LAC4	06/08/2016	10/16/2015	12,310.89	12,305.12			5.77		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	15778.15										
	03064VAC2	06/08/2016	12/09/2015	15,778.15	15,727.61			50.54		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	140000.0										
	91282BRX0	06/08/2016	09/22/2015	140,284.38	140,287.36			-2.98		0.00	0.00

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2132.9	GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	06/10/2016	08/18/2015	2,132.90	2,091.57		41.33		0.00	0.00
4783.65	ML-CFC COML MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049	06/12/2016	01/06/2016	4,783.65	4,861.38		-77.73		0.00	0.00
9401.73	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018	06/15/2016	07/01/2015	9,401.73	9,396.16		5.57		0.00	0.00
34530GAD4	HSBC USA INC NEW 2% DUE 08-07-2018	06/15/2016	08/04/2015	80,112.00	79,901.73		210.27		0.00	0.00
80000.0	UNITED STATES TREAS NTS DTD 04/30/2014	06/15/2016	04/13/2016	163,462.50	163,258.08		204.42		0.00	0.00
40428HPU0	JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	06/17/2016	02/23/2016	1,394.80	1,394.80		0.00		0.00	0.00
160000.0	LB-UBS COML MTG TR 2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	06/17/2016	01/07/2016	17,344.91	17,644.38		-299.47		0.00	0.00
912828D23	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	06/17/2016	10/28/2015	1,142.63	1,142.60		0.03		0.00	0.00
1049.74	JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047	06/17/2016	03/08/2016	1,049.74	1,043.79		5.95		0.00	0.00
46641WAS6	JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	06/17/2016	02/23/2016	1,394.80	1,394.80		0.00		0.00	0.00
1394.8	LB-UBS COML MTG TR 2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	06/17/2016	01/07/2016	17,344.91	17,644.38		-299.47		0.00	0.00
46645LAU1	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	06/17/2016	10/28/2015	1,142.63	1,142.60		0.03		0.00	0.00
17344.91	JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	06/17/2016	02/23/2016	1,394.80	1,394.80		0.00		0.00	0.00
50180CAD2	LB-UBS COML MTG TR 2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	06/17/2016	01/07/2016	17,344.91	17,644.38		-299.47		0.00	0.00
1142.63	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	06/17/2016	10/28/2015	1,142.63	1,142.60		0.03		0.00	0.00
61690VAU2	JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	06/17/2016	02/23/2016	1,394.80	1,394.80		0.00		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired									Ordinary	State Tax Withheld
1239.74	WFRBS COML MTG TR 2013-C14 MTG PASS THRUCTF CL A-1.836% 15 JUN 2046											
92890PAA2	06/17/2016	11/20/2015			1,239.74	1,230.01				9.73	0.00	0.00
3990.52	WFRBS COML MTG TR SER 2013-C13 CL A-1.778% 17 MAY 2045											
92937UAA6	06/17/2016	11/20/2015			3,990.52	3,960.90				29.62	0.00	0.00
1376.91	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048											
94989WAP2	06/17/2016	10/29/2015			1,376.91	1,376.90				0.01	0.00	0.00
50000.0	VALE OVERSEAS LTD 4.625% DUE 09-15-2020											
91911TAL7	06/20/2016	Various			48,136.00	48,136.00				0.00	0.00	0.00
55000.0	TRANSOCEAN INC 6.5% 15 NOV 2020											
893830AY5	06/22/2016	10/07/2015			49,637.50	46,553.84				3,083.66	0.00	0.00
136593.15	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020											
3137BKWA4	06/25/2016	08/19/2015			136,593.15	136,422.27				170.88	0.00	0.00
602.34	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019											
3137BMLB0	06/25/2016	01/15/2016			602.34	603.84				-1.50	0.00	0.00
13.57	FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023											
3137BNMS0	06/25/2016	04/12/2016			13.57	13.57				0.00	0.00	0.00
125000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG											
912828RX0	06/29/2016	Various			125,297.85	125,192.60				105.25	0.00	0.00

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CDS / STS ICE GOLDUS SELL PROTECTION ON CDX NAIGS26V1 5Y 20 JUN 2021 SP1A30L12	790000.0	06/30/2016	06/27/2016	8,436.51	4,942.65			3,493.86		0.00	0.00
FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026	3298.12										
FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026	3136A0F69	07/05/2016	09/29/2015	3,298.12	3,357.90			-59.78		0.00	0.00
FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD'EA'	2737.86										
FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD'EA'	3136A25F6	07/05/2016	02/12/2016	2,737.86	2,763.10			-25.24		0.00	0.00
FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	10064.61										
FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	3136A7L26	07/05/2016	09/09/2015	10,064.61	10,118.87			-54.26		0.00	0.00
FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017	6771.97										
FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017	3136A9MK1	07/05/2016	05/23/2016	6,771.97	6,773.03			-1.06		0.00	0.00
FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	1264.09										
FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	3136AOCY4	07/05/2016	10/07/2015	1,264.09	1,264.10			-0.01		0.00	0.00
FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	33242.1										
FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	3136A0OJ2	07/05/2016	11/06/2015	33,242.10	33,200.54			41.56		0.00	0.00
FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	27044.42										
FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	3136AQV49	07/05/2016	01/19/2016	27,044.42	27,043.83			0.59		0.00	0.00
FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	1890.12										
FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	3136ARML9	07/05/2016	03/08/2016	1,890.12	1,890.07			0.05		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired				Other Basis	Other Basis				Withheld	State Tax Withheld
3181.39	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023											
3137B8H59	07/05/2016	12/02/2015			3,181.39	3,209.23				-27.84	0.00	0.00
3193.58	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024											
3137BERD8	07/05/2016	10/20/2015			3,193.58	3,251.46				-57.88	0.00	0.00
215.7	FNMA POOL #467554 3.86% DUE 01 MAR 2018											
31381QMB9	07/05/2016	05/26/2016			215.70	223.15				-7.45	0.00	0.00
514.85	FNMA POOL #468323 3.53% DUE 01 JAN 2018											
31381RG81	07/05/2016	04/14/2016			514.85	530.13				-15.28	0.00	0.00
8180.71	FNMA AL0561 3.735% 01 JUN 2018											
3138EGTT1	07/05/2016	06/03/2016			8,180.71	8,473.43				-292.72	0.00	0.00
193.49	FNMA POOL #AL8579 2.348% DUE 01 OCT 2022											
3138ETO90	07/05/2016	05/18/2016			193.49	197.60				-4.11	0.00	0.00
225000.0	FNMA AM0620 1.4% 01 SEP 2017											
3138LOVN8	07/05/2016	05/25/2016			225,000.00	225,527.34				-527.34	0.00	0.00
10927.63	AMERICREDIT 1.15% DUE 06-10-2019											
03062AAD8	07/08/2016	03/01/2016			10,927.63	10,897.75				29.88	0.00	0.00
12223.86	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019											
03064LAC4	07/08/2016	10/16/2015			12,223.86	12,218.13				5.73	0.00	0.00

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AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	15412.81										
	03064VAC2	07/08/2016	12/09/2015	15,412.81	15,363.44			49.37		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	150000.0										
	912828RX0	07/08/2016	Various	150,328.13	150,173.38			154.75		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	35000.0										
	912828RX0	07/11/2016	01/29/2016	35,076.56	35,039.87			36.69		0.00	0.00
GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	2342.02										
	36192PAC0	07/12/2016	08/18/2015	2,342.02	2,296.64			45.38		0.00	0.00
ML-CFC COML MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049	7803.15										
	55312VAD0	07/12/2016	01/06/2016	7,803.15	7,929.95			-126.80		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	115000.0										
	912828RX0	07/14/2016	01/29/2016	115,215.63	115,128.75			86.88		0.00	0.00
FEDERAL HOME LN MTG CORP SER 4060 CL OA 1.5% 15 SEP 2026	10956.83										
	3137AR7F7	07/15/2016	06/14/2016	10,956.83	11,004.77			-47.94		0.00	0.00
JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047	1150.07										
	46641WAS6	07/15/2016	03/08/2016	1,150.07	1,143.56			6.51		0.00	0.00
JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	1545.45										
	46645LAU1	07/15/2016	02/23/2016	1,545.45	1,545.45			0.00		0.00	0.00

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32279.57	LBUBS COML MTG TR 2006-C7 COML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	01/07/2016	32,279.57	32,836.90			-557.33		0.00	0.00
50180CAD2										
1259.84	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	10/28/2015	1,259.84	1,259.81			0.03		0.00	0.00
61690VAU2										
9992.93	MORGAN STANLEY BK AMER MERRILL LYNCH TR 2013-C11 CL A1 1.308% DUE 15 AUG 2046	06/15/2016	9,992.93	9,996.05			-3.12		0.00	0.00
61762TAA4										
1335.8	WFRBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 8.36% 15 JUN 2046	11/20/2015	1,335.80	1,325.31			10.49		0.00	0.00
92890PAA2										
4285.23	WFRBS COML MTG TR SER 2013-C13 CL A-1 7.78% 17 MAY 2045	11/20/2015	4,285.23	4,253.43			31.80		0.00	0.00
92937UAA6										
1520.71	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048	07/15/2016	1,520.71	1,520.70			0.01		0.00	0.00
94989WAP2										
137.12	FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017	06/02/2016	137.12	137.38			-0.26		0.00	0.00
3137B03W2										
8636.69	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020	08/19/2015	8,636.69	8,625.89			10.80		0.00	0.00
3137BKWA4										
639.11	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019	01/15/2016	639.11	640.70			-1.59		0.00	0.00
3137BMLB0										

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Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property		Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Acquired							Withheld	Withheld
14.19	FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023									
31378NMS0	07/25/2016	04/12/2016	14.19	14.19				0.00	0.00	0.00
355000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG									
912828RX0	07/28/2016	Various	355,679.49	355,378.30				301.19	0.00	0.00
2966.89	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026									
3136A0F69	08/02/2016	09/29/2015	2,966.89	3,020.66				-53.77	0.00	0.00
2694.45	FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD'EA'									
3136A25F6	08/02/2016	02/12/2016	2,694.45	2,719.29				-24.84	0.00	0.00
9307.62	FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017									
3136A7L26	08/02/2016	09/09/2015	9,307.62	9,357.79				-50.17	0.00	0.00
13643.63	FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017									
3136A9MK1	08/02/2016	05/23/2016	13,643.63	13,645.76				-2.13	0.00	0.00
1159.52	FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019									
3136AQC4	08/02/2016	10/07/2015	1,159.52	1,159.53				-0.01	0.00	0.00
14644.4	FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019									
3136AQQJ2	08/02/2016	11/06/2015	14,644.40	14,626.09				18.31	0.00	0.00
30734.58	FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021									
3136AQQV49	08/02/2016	01/19/2016	30,734.58	30,733.92				0.66	0.00	0.00

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Description of property												
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld		
1871.33	FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023											
3136ARML9	08/02/2016	03/08/2016	1,871.33	1,871.28			0.05		0.00	0.00		
7873.99	FEDERAL HOME LN MTG CORP SER 4060 CL QA 1.5% 15 SEP 2026											
3137AR7F7	08/02/2016	06/14/2016	7,873.99	7,908.44			-34.45		0.00	0.00		
3596.4	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023											
3137B8H59	08/02/2016	12/02/2015	3,596.40	3,627.87			-31.47		0.00	0.00		
3016.99	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024											
3137BERD8	08/02/2016	10/20/2015	3,016.99	3,071.67			-54.68		0.00	0.00		
194.98	FNMA POOL #467554 3.86% DUE 01 MAR 2018											
31381QMB9	08/02/2016	05/26/2016	194.98	201.71			-6.73		0.00	0.00		
460.42	FNMA POOL #468323 3.53% DUE 01 JAN 2018											
31381RG81	08/02/2016	04/14/2016	460.42	474.09			-13.67		0.00	0.00		
237.72	FNMA AL0561 3.735% 01 JUN 2018											
3138EGTT1	08/02/2016	06/03/2016	237.72	246.23			-8.51		0.00	0.00		
182.64	FNMA POOL #AL8579 2.348% DUE 01 OCT 2022											
3138ETQ90	08/02/2016	05/18/2016	182.64	186.52			-3.88		0.00	0.00		
18349.22	AMERICREDIT 1.15% DUE 06-10-2019											
03062AAD8	08/08/2016	03/01/2016	18,349.22	18,299.05			50.17		0.00	0.00		

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AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	11562.87										
03064LAC4	08/08/2016	10/16/2015		11,562.87	11,557.45			5.42		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	14067.3										
03064VAC2	08/08/2016	12/09/2015		14,067.30	14,022.24			45.06		0.00	0.00
AMERICREDIT 1.26% DUE 11-08-2019	6338.89										
03065KAD3	08/08/2016	08/02/2016		6,338.89	6,338.64			0.25		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	50000.0										
912828RX0	08/08/2016	03/22/2016		50,083.98	50,049.76			34.22		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	375000.0										
912828RX0	08/09/2016	03/22/2016		375,629.88	375,370.57			259.31		0.00	0.00
UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG	100000.0										
912828RX0	08/09/2016	03/22/2016		100,167.97	100,098.82			69.15		0.00	0.00
GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	2152.39										
36192PAC0	08/12/2016	08/18/2015		2,152.39	2,110.69			41.70		0.00	0.00
ML-CFC COML MTG 2006-4 MTG PASSTHRU CTF CL A-3 12 DEC 2049	36089.14										
55312VAD0	08/12/2016	01/06/2016		36,089.14	36,675.60			-586.46		0.00	0.00
JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047	1059.13										
46641WAS6	08/17/2016	03/08/2016		1,059.13	1,053.13			6.00		0.00	0.00

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1406.89	JPMBB COM ML MTG SECS TR 2016-C1 COM ML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	08/17/2016	02/23/2016	1,406.89	1,406.89				0.00	0.00	0.00
46645LAU1											
5579.84	LBUBS COM ML MTG TR 2006-C7 COM ML MTG PASSTHRU CTF CL A-3 5.347% 15 NOV 2038	08/17/2016	01/07/2016	5,579.84	5,676.18				-96.34	0.00	0.00
50180CAD2											
1152.08	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048	08/17/2016	10/28/2015	1,152.08	1,152.05				0.03	0.00	0.00
61690VAU2											
9266.84	MORGAN STANLEY BK AMER MERRILL LYNCH TR 2013-C11 CL A1 1.308% DUE 15 AUG 2046	08/17/2016	06/15/2016	9,266.84	9,269.74				-2.90	0.00	0.00
61762TAA4											
1249.09	WFRBS COM ML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046	08/17/2016	11/20/2015	1,249.09	1,239.28				9.81	0.00	0.00
92890PAA2											
4020.81	WFRBS COM ML MTG TR SER 2013-C13 CL A-1 .778% 17 MAY 2045	08/17/2016	11/20/2015	4,020.81	3,990.97				29.84	0.00	0.00
92937UAA6											
1388.8	WELLS FARGO COM ML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048	08/17/2016	10/29/2015	1,388.80	1,388.79				0.01	0.00	0.00
94989WAP2											
60000.0	DEVON ENERGY CORP NEW 2.25 DUE 12-15-2018	08/19/2016	Various	61,173.60	57,059.25				4,114.35	0.00	0.00
25179MAT0											
590000.0	UTD STATES TREAS 1% DUE 05-15-2018	08/24/2016	04/13/2016	592,512.11	592,136.69				375.42	0.00	0.00
912828XA3											

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 COLUMBUS, IN 47201

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 Recipient's Tax ID Number: XX-XXX2373

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Description of property		Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax	State Tax	Withheld
Cusip Number												
1627.71	FNMA REMIC TR 2016-M7 CL-AV1 1.55709% 25 OCT 2023											
3136ASZP4	08/25/2016 07/12/2016	1,627.71	1,635.85					-8.14		0.00		0.00
1087.5	FEDERAL HOME LN MTG CORP SER K-009 CL A-1 2.757% 25 MAY 2020											
3137A2AZ4	08/25/2016 07/06/2016	1,087.50	1,106.19					-18.69		0.00		0.00
11528.45	FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017											
3137B03W2	08/25/2016 06/02/2016	11,528.45	11,550.06					-21.61		0.00		0.00
605.91	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019											
3137BMLB0	08/25/2016 01/15/2016	605.91	607.42					-1.51		0.00		0.00
13.57	FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023											
3137BNMS0	08/25/2016 04/12/2016	13.57	13.57					0.00		0.00		0.00
2995.25	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026											
3136A0F69	09/02/2016 09/29/2015	2,995.25	3,049.54					-54.29		0.00		0.00
2759.66	FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD'EA'											
3136A25F6	09/02/2016 02/12/2016	2,759.66	2,785.10					-25.44		0.00		0.00
8234.86	FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017											
3136A7L26	09/02/2016 09/09/2015	8,234.86	8,279.25					-44.39		0.00		0.00
12056.68	FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017											
3136A9MK1	09/02/2016 05/23/2016	12,056.68	12,058.56					-1.88		0.00		0.00

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Description of property				Federal Income Tax	
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Net Gain or Loss
106.69	FANNIEMAE-ACES SR 2013-M9 CL ASQ2 1.8248% DUE 25 JUN 2018				
3136AEYG6	09/02/2016	08/16/2016	106.69	107.61	-0.92
684.35	FNMA SR 2014-M1 CL A1 2.325% 25 JUL 2023				
3136AH2D1	09/02/2016	08/16/2016	684.35	701.62	-17.27
4231.09	FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019				
3136AQC4	09/02/2016	10/07/2015	4,231.09	4,231.12	-0.03
12216.21	FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019				
3136AQQJ2	09/02/2016	11/06/2015	12,216.21	12,200.94	15.27
926.3	FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021				
3136AQV49	09/02/2016	01/19/2016	926.30	926.28	0.02
1882.02	FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023				
3136ARML9	09/02/2016	03/08/2016	1,882.02	1,881.97	0.05
1634.41	FNMA REMIC TR 2016-M7 CL-AV1 1.55709% 25 OCT 2023				
3136ASZP4	09/02/2016	07/12/2016	1,634.41	1,642.58	-8.17
15893.26	FEDERAL HOME LN MTG CORP SER 4060 CL OA 1.5% 15 SEP 2026				
3137AR7F7	09/02/2016	06/14/2016	15,893.26	15,962.79	-69.53
4177.72	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023				
3137B8H59	09/02/2016	12/02/2015	4,177.72	4,214.28	-36.56

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Description of property				Market Discount		Wash Loss Disallowed		Net Gain or Loss Ordinary		Federal Income Tax Withheld	
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis							
3194.21	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024										
3137BERD8	09/02/2016	10/20/2015	3,194.21	3,252.11				-57.90		0.00	0.00
195.88	FNMA POOL #467554 3.86% DUE 01 MAR 2018										
31381QMB9	09/02/2016	05/26/2016	195.88	202.64				-6.76		0.00	0.00
462.71	FNMA POOL #468323 3.53% DUE 01 JAN 2018										
31381RG81	09/02/2016	04/14/2016	462.71	476.45				-13.74		0.00	0.00
857.14	FNMA AL0561 3.735% 01 JUN 2018										
3138EGT11	09/02/2016	06/03/2016	857.14	887.81				-30.67		0.00	0.00
183.23	FNMA POOL #AL8579 2.348% DUE 01 OCT 2022										
3138ETO90	09/02/2016	05/18/2016	183.23	187.12				-3.89		0.00	0.00
18106.73	AMERICREDIT 1.15% DUE 06-10-2019										
03062AAD8	09/08/2016	03/01/2016	18,106.73	18,057.22				49.51		0.00	0.00
11104.61	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019										
03064LAC4	09/08/2016	Various	11,104.61	11,096.48				8.13		0.00	0.00
13831.44	AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019										
03064VAC2	09/08/2016	12/09/2015	13,831.44	13,787.14				44.30		0.00	0.00
13362.06	AMERICREDIT AUTOMOBILE RECEIVABLES SER 2014-4 CL A3 1.27% DUE 08 JUL 2019										
03065JAD6	09/08/2016	06/27/2016	13,362.06	13,367.80				-5.74		0.00	0.00

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Description of property			Federal			State			Federal		
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Withheld	Income Tax	Withheld
9353.39	AMERICREDIT 1.26% DUE 11-08-2019										
03065KAD3	09/08/2016	08/02/2016	9,353.39	9,353.03			0.36			0.00	0.00
8167.12	ALLY AUTO RECEIVABLES TR 2016-2	1.17% 15 OCT 2018									
02007XAB2	09/15/2016	02/24/2016	8,167.12	8,166.87			0.25			0.00	0.00
2688.04	CMO COBALT CMBS COM1 MTG TR 2007-C3	DUE 05-15-2046 REG									
19075DAE1	09/16/2016	08/01/2016	2,688.04	2,764.48			-76.44			0.00	0.00
14200.3	JPMBB COM1 MTG SECS TR 2014-C19	1.2661% 15 APR 2047									
46641WAS6	09/16/2016	03/08/2016	14,200.30	14,119.87			80.43			0.00	0.00
1412.67	JPMBB COM1 MTG SECS TR 2016-C1	COM1 MTG PASSTHRU CTF CL A-1									
46645LAU1	1.6947% 15 MAR 2049	09/16/2016	1,412.67	1,412.67			0.00			0.00	0.00
1156.61	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26	CL A-1									
61690VAU2	1.591% 11-15-2048	09/16/2016	1,156.61	1,156.58			0.03			0.00	0.00
216793.69	MORGAN STANLEY BK AMER MERRILL LYNCH TR 2013-C11	CL A1 1.308% DUE 15 AUG 2046									
61762TAA4	09/16/2016	06/15/2016	216,793.69	216,861.43			-67.74			0.00	0.00
1253.63	WFRBS COM1 MTG TR 2013-C14	MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046									
92890PAA2	09/16/2016	11/20/2015	1,253.63	1,243.79			9.84			0.00	0.00
4035.53	WFRBS COM1 MTG TR SER 2013-C13	CL A-1 .778% 17 MAY 2045									
92937JAA6	09/16/2016	11/20/2015	4,035.53	4,005.58			29.95			0.00	0.00

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1394.5 WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048	94989WAP2	09/16/2016	10/29/2015	1,394.50	1,394.49			0.01		0.00	0.00
1135.47 FEDERAL HOME LN MTG CORP SER K-009 CL A-1 2.757% 25 MAY 2020	3137A2AZ4	09/25/2016	07/06/2016	1,135.47	1,154.99			-19.52		0.00	0.00
2070.32 FEDERAL HOME LN MTG CORP TRANCHE 1.691% 25 JUN 2018	3137AL6U8	09/25/2016	08/08/2016	2,070.32	2,075.98			-5.66		0.00	0.00
10017.0 FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017	3137B03W2	09/25/2016	06/02/2016	10,017.00	10,035.78			-18.78		0.00	0.00
607.65 FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019	3137BMLB0	09/25/2016	01/15/2016	607.65	609.16			-1.51		0.00	0.00
13.52 FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023	3137BNMS0	09/25/2016	04/12/2016	13.52	13.52			0.00		0.00	0.00
2730.27 FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USDEA'	3136A25F6	10/04/2016	02/12/2016	2,730.27	2,755.44			-25.17		0.00	0.00
23071.79 FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017	3136A9MK1	10/04/2016	05/23/2016	23,071.79	23,075.40			-3.61		0.00	0.00
3703.7 FANNIEMAE-ACES SR 2013-M9 CL ASQ2 1.8248% DUE 25 JUN 2018	3136AEYG6	10/04/2016	08/16/2016	3,703.70	3,735.67			-31.97		0.00	0.00

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2016 Tax Information Statement

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Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property	Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
731.66 FNMA SR 2014-M1 CL A1 2.325% 25 JUL 2023	3136AH2D1	10/04/2016	08/16/2016	731.66	750.12			-18.46		0.00	0.00
5375.09 FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	3136AQCY4	10/04/2016	10/07/2015	5,375.09	5,375.13			-0.04		0.00	0.00
23195.56 FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	3136AQJ2	10/04/2016	11/06/2015	23,195.56	23,166.56			29.00		0.00	0.00
971.3 FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	3136AQV49	10/04/2016	01/19/2016	971.30	971.28			0.02		0.00	0.00
1957.73 FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	3136ARML9	10/04/2016	03/08/2016	1,957.73	1,957.68			0.05		0.00	0.00
1784.52 FNMA REMIC TR 2016-M7 CL-AV1 1.55709% 25 OCT 2023	3136ASZP4	10/04/2016	07/12/2016	1,784.52	1,793.44			-8.92		0.00	0.00
4383.62 FEDERAL HOME LN MTG CORP SER 4060 CL QA 1.5% 15 SEP 2026	3137AR7F7	10/04/2016	06/14/2016	4,383.62	4,402.80			-19.18		0.00	0.00
2935.25 FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023	3137B8H59	10/04/2016	12/02/2015	2,935.25	2,960.93			-25.68		0.00	0.00
2963.49 FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024	3137BERD8	10/04/2016	10/20/2015	2,963.49	3,017.20			-53.71		0.00	0.00

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	218.42	FNMA POOL #467554 3.86% DUE 01 MAR 2018									
	31381QMB9	10/04/2016	05/26/2016	218.42	225.96			-7.54		0.00	0.00
	521.78	FNMA POOL #468323 3.53% DUE 01 JAN 2018									
	31381RG81	10/04/2016	04/14/2016	521.78	537.27			-15.49		0.00	0.00
	262.53	FNMA AL0561 3.735% 01 JUN 2018									
	3138EGT1	10/04/2016	06/03/2016	262.53	271.92			-9.39		0.00	0.00
	8042.48	FNMA POOL #AL8579 2.348% DUE 01 OCT 2022									
	3138ETQ90	10/04/2016	05/18/2016	8,042.48	8,213.38			-170.90		0.00	0.00
	3323.58	FNMA POOL #MA1585 2.0% DUE 01 SEP 2023									
	31418AXP8	10/04/2016	08/22/2016	3,323.58	3,377.07			-53.49		0.00	0.00
	1378.9	GS MTG SECS TR 2014-GC22 MTG PASS THRU CTF CL A-1 1.29% DUE 12 JUN 2047									
	36253BAQ6	10/04/2016	09/14/2016	1,378.90	1,377.12			1.78		0.00	0.00
	4328.94	WFRBS COML MTG TR SER 2013-C13 CL A-1 7.78% 17 MAY 2045									
	92937UAA6	10/04/2016	11/20/2015	4,328.94	4,296.81			32.13		0.00	0.00
	17070.47	AMERICREDIT 1.15% DUE 06-10-2019									
	03062AAD8	10/08/2016	03/01/2016	17,070.47	17,023.79			46.68		0.00	0.00
	11115.67	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019									
	03064LAC4	10/08/2016	02/02/2016	11,115.67	11,095.26			20.41		0.00	0.00

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14050.9	AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019									
03064VAC2	10/08/2016	12/09/2015	14,050.90	14,005.89			45.01		0.00	0.00
18721.21	AMERICREDIT AUTOMOBILE RECEIVABLES SER 2014-4 CL A3 1.27% DUE 08 JUL 2019									
03065JAD6	10/08/2016	06/27/2016	18,721.21	18,729.25			-8.04		0.00	0.00
9065.97	AMERICREDIT 1.26% DUE 11-08-2019									
03065KAD3	10/08/2016	08/02/2016	9,065.97	9,065.62			0.35		0.00	0.00
16172.62	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018									
03065LAB5	10/08/2016	04/07/2016	16,172.62	16,154.93			17.69		0.00	0.00
15090.44	ALLY AUTO RECEIVABLES TR 2016-2 1.17% 15 OCT 2018									
02007XAB2	10/15/2016	02/24/2016	15,090.44	15,089.98			0.46		0.00	0.00
15354.18	CMO COBALT CMBS COMML MTG TR 2007-C3 DUE 05-15-2046 REG									
19075DAE1	10/17/2016	08/01/2016	15,354.18	15,790.81			-436.63		0.00	0.00
1147.04	JPMBB COMML MTG SECS TR 2014-C19 1.2661% 15 APR 2047									
46641WAS6	10/17/2016	03/08/2016	1,147.04	1,140.54			6.50		0.00	0.00
1562.81	JPMBB COMML MTG SECS TR 2016-C1 COMML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049									
46645LAU1	10/17/2016	02/23/2016	1,562.81	1,562.81			0.00		0.00	0.00
1286.1	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048									
61690VAU2	10/17/2016	10/28/2015	1,286.10	1,286.07			0.03		0.00	0.00

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17-17862
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1349.29	WFRBS COML MTG TR 2013-C14 MTG PASS THRUCTF CL A-1 836% 15 JUN 2046	10/17/2016	1,349.29	1,338.70			10.59		0.00	0.00
92890PAA2	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048	10/17/2016	1,549.74	1,549.73			0.01		0.00	0.00
1549.74	FEDERAL HOME LN MTG CORP SER K-009 CL A-1 2.757% 25 MAY 2020	10/25/2016	1,235.40	1,256.63			-21.23		0.00	0.00
94989WAP2	FEDERAL HOME LN MTG CORP TRANCHE 1.691% 25 JUN 2018	10/25/2016	2,240.81	2,246.94			-6.13		0.00	0.00
1235.4	FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017	10/25/2016	10,194.93	10,214.04			-19.11		0.00	0.00
3137A2AZ4	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019	10/25/2016	644.27	645.88			-1.61		0.00	0.00
2240.81	FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023	10/25/2016	14.17	14.17			0.00		0.00	0.00
3137AL6U8	FEDERAL HOME LOAN MORTGAGE CORP 3.342% CMO 25/12/2019 USD/A-1'	10/25/2016	1,744.04	1,759.91			-15.87		0.00	0.00
10194.93	HESS CORP 1.3% 15 JUN 2017	10/28/2016	60,249.60	58,259.40			1,990.20		0.00	0.00
3137B03W2										
644.27										
3137BMLB0										
14.17										
3137BNMS0										
1744.04										
31398QHE0										
60000.0										
42809HAE7										

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 THE CUMMINS FOUNDATION
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 MC91671
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Description of property				Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Acquired									Withheld	State Tax Withheld
276.9	CMO COBALT CMBS COM1 MTG TR 2007-C3 DUE 05-15-2046 REG											
19075DAE1	11/02/2016	08/01/2016		276.90	284.77					-7.87	0.00	0.00
2546.92	FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD/EA'											
3136A25F6	11/02/2016	02/12/2016		2,546.92	2,570.40					-23.48	0.00	0.00
4526.32	FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017											
3136A9MK1	11/02/2016	05/23/2016		4,526.32	4,527.03					-0.71	0.00	0.00
103.52	FANNIEMAE-ACES SR 2013-M9 CL ASQ2 1.8248% DUE 25 JUN 2018											
3136AEYG6	11/02/2016	08/16/2016		103.52	104.41					-0.89	0.00	0.00
689.91	FNMA SR 2014-M1 CL A1 2.325% 25 JUL 2023											
3136AH2D1	11/02/2016	08/16/2016		689.91	707.32					-17.41	0.00	0.00
20478.61	FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019											
3136AQQJ2	11/02/2016	11/06/2015		20,478.61	20,453.01					25.60	0.00	0.00
936.94	FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021											
3136AOV49	11/02/2016	01/19/2016		936.94	936.92					0.02	0.00	0.00
1933.2	FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023											
3136ARML9	11/02/2016	03/08/2016		1,933.20	1,933.15					0.05	0.00	0.00
1648.49	FNMA REMIC TR 2016-M7 CL-AV1 1.55709% 25 OCT 2023											
3136ASZP4	11/02/2016	07/12/2016		1,648.49	1,656.73					-8.24	0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired													
4425.6	FEDERAL HOME LN MTG CORP SER 4060 CL QA 1.5% 15 SEP 2026														
3137AR7F7	11/02/2016	06/14/2016			4,425.60		4,444.96				-19.36	0.00	0.00	0.00	0.00
3138.75	FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023														
3137B8H59	11/02/2016	12/02/2015			3,138.75		3,166.21				-27.46	0.00	0.00	0.00	0.00
197.79	FNMA POOL #467554 3.86% DUE 01 MAR 2018														
31381QMB9	11/02/2016	05/26/2016			197.79		204.62				-6.83	0.00	0.00	0.00	0.00
467.61	FNMA POOL #468323 3.53% DUE 01 JAN 2018														
31381RG81	11/02/2016	04/14/2016			467.61		481.49				-13.88	0.00	0.00	0.00	0.00
239.68	FNMA AL0561 3.735% 01 JUN 2018														
3138EGT11	11/02/2016	06/03/2016			239.68		248.26				-8.58	0.00	0.00	0.00	0.00
3902.29	FNMA POOL #AL8579 2.348% DUE 01 OCT 2022														
3138ETQ90	11/02/2016	05/18/2016			3,902.29		3,985.21				-82.92	0.00	0.00	0.00	0.00
3571.22	FNMA POOL #MA1585 2.0% DUE 01 SEP 2023														
31418AXP8	11/02/2016	08/22/2016			3,571.22		3,628.69				-57.47	0.00	0.00	0.00	0.00
1058.44	JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047														
46641WAS6	11/02/2016	03/08/2016			1,058.44		1,052.45				5.99	0.00	0.00	0.00	0.00
1424.9	JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049														
46645LAU1	11/02/2016	02/23/2016			1,424.90		1,424.90				0.00	0.00	0.00	0.00	0.00

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1263.08	WFRBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 836% 15 JUN 2046								
92890PAA2	11/02/2016	11/20/2015	1,263.08	1,253.16			9.92	0.00	0.00
4066.15	WFRBS COML MTG TR SER 2013-C13 CL A-1 778% 17 MAY 2045								
92937UAA6	11/02/2016	11/20/2015	4,066.15	4,035.97			30.18	0.00	0.00
1790.52	WELLS FARGO COML MTG TR 2016-C32 COML MTG PASSTHRU CTF CL A-1 1.577%								
94989YAW3	11/02/2016	10/06/2016	1,790.52	1,793.60			-3.08	0.00	0.00
480000.0	CDS / STS ICE GOLDUS SELL PROTECTION ON CDX NAHYS26V1 5Y 20 JUN 2021 SP1A3OL53								
9EY1XAU	11/03/2016	09/14/2016	21,960.00	23,224.00			-1,264.00	0.00	0.00
16013.53	AMERICREDIT 1.15% DUE 06-10-2019								
03062AAD8	11/08/2016	03/01/2016	16,013.53	15,969.74			43.79	0.00	0.00
9995.29	AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019								
03064LAC4	11/08/2016	02/02/2016	9,995.29	9,976.94			18.35	0.00	0.00
12513.16	AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019								
03064VAC2	11/08/2016	12/09/2015	12,513.16	12,473.08			40.08	0.00	0.00
16430.1	AMERICREDIT AUTOMOBILE RECEIVABLES SER 2014-4 CL A3 1.27% DUE 08 JUL 2019								
03065JAD6	11/08/2016	06/27/2016	16,430.10	16,437.16			-7.06	0.00	0.00
8464.61	AMERICREDIT 1.26% DUE 11-08-2019								
03065KAD3	11/08/2016	08/02/2016	8,464.61	8,464.28			0.33	0.00	0.00

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18080.59 AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018											
03065LAB5		11/08/2016	04/07/2016	18,080.59	18,060.82			19.77		0.00	0.00
57.31 BEAR STEARNS COML MTG SECS TR 2007-PWR18MTG PASSTHRU CTF CL A-1A 5.602%											
07401DAE1		11/11/2016	10/12/2016	57.31	59.32			-2.01		0.00	0.00
1259.9 GS MTG SECS TR 2014-GC22 MTG PASS THRU CTF CL A-1 1.29% DUE 12 JUN 2047											
36253BAQ6		11/11/2016	09/14/2016	1,259.90	1,258.28			1.62		0.00	0.00
13922.9 ALLY AUTO RECEIVABLES TR 2016-2 1.17% 15 OCT 2018											
02007XAB2		11/15/2016	02/24/2016	13,922.90	13,922.47			0.43		0.00	0.00
10694.27 HYUNDAI AUTO RECEIVABLES TR 2016-A 1.21%17 JUN 2019											
44930UAB2		11/15/2016	03/22/2016	10,694.27	10,693.37			0.90		0.00	0.00
836.56 HONDA AUTO RECEIVABLES 2013-4 OWNER 1.04% 18 FEB 2020											
43814FAD4		11/18/2016	07/13/2016	836.56	837.08			-0.52		0.00	0.00
1146.2 FEDERAL HOME LN MTG CORP SER K-009 CL A-1 2.757% 25 MAY 2020											
3137A2A24		11/25/2016	07/06/2016	1,146.20	1,165.90			-19.70		0.00	0.00
1989.06 FEDERAL HOME LN MTG CORP TRANCHE 1.691% 25 JUN 2018											
3137AL6U8		11/25/2016	08/08/2016	1,989.06	1,994.50			-5.44		0.00	0.00
6601.03 FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017											
3137B03W2		11/25/2016	06/02/2016	6,601.03	6,613.41			-12.38		0.00	0.00

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AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019	611.25												
3137BMLB0	11/25/2016	01/15/2016		611.25	612.77			-1.52			0.00		0.00
FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023	13.51												
3137BNMS0	11/25/2016	04/12/2016		13.51	13.51			0.00			0.00		0.00
FEDERAL HOME LOAN MORTGAGE CORP 3.342% CMO 25/12/2019 USD/A-1'	24787.36												
31398QHE0	11/25/2016	08/31/2016		24,787.36	25,012.96			-225.60			0.00		0.00
FEDERAL NATIONAL MORTGAGE ASSOC 1.75% CMO 25/07/2021 USD/EA'	2481.61												
3136A25F6	12/02/2016	02/12/2016		2,481.61	2,504.49			-22.88			0.00		0.00
FNMA TRANCHE 00274 SER 2012-M14 CL ASQ2 1.114% 25 FEB 2017	1841.52												
3136A9MK1	12/02/2016	05/23/2016		1,841.52	1,841.81			-0.29			0.00		0.00
FANNIEMAE-ACES SR 2013-M9 CL ASQ2 1.8248% DUE 25 JUN 2018	2984.02												
3136AEVG6	12/02/2016	08/16/2016		2,984.02	3,009.78			-25.76			0.00		0.00
FNMA SR 2014-M1 CL A1 2.325% 25 JUL 2023	737.09												
3136AH2D1	12/02/2016	08/16/2016		737.09	755.69			-18.60			0.00		0.00
FNMA REMIC TR 2016-M1 CL-ASQ1 1.374% 25 FEB 2021	981.87												
3136AQV49	12/02/2016	01/19/2016		981.87	981.85			0.02			0.00		0.00
FNMA REMIC TR 2016-M3 CL-ASQ1 1.468% 25 FEB 2023	1980.09												
3136ARML9	12/02/2016	03/08/2016		1,980.09	1,980.04			0.05			0.00		0.00

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1798.26 FNMA REMIC TR 2016-M7 CL-AV1 1.55709% 25 OCT 2023	3136ASZP4	12/02/2016	07/12/2016	1,798.26	1,807.25			-8.99		0.00	0.00
9061.67 FEDERAL HOME LN MTG CORP SER 4060 CL QA 1.5% 15 SEP 2026	3137AR7F7	12/02/2016	06/14/2016	9,061.67	9,101.31			-39.64		0.00	0.00
3283.08 FEDERAL HOME LN MTG CORP SER 4315 CL CA 2.0% 15 JAN 2023	3137B8H59	12/02/2016	12/02/2015	3,283.08	3,311.81			-28.73		0.00	0.00
220.28 FNMA POOL #467554 3.86% DUE 01 MAR 2018	31381QMB9	12/02/2016	05/26/2016	220.28	227.89			-7.61		0.00	0.00
526.55 FNMA POOL #468323 3.53% DUE 01 JAN 2018	31381RG81	12/02/2016	04/14/2016	526.55	542.18			-15.63		0.00	0.00
5878.52 FNMA AL0561 3.735% 01 JUN 2018	3138EGTT1	12/02/2016	06/03/2016	5,878.52	6,088.86			-210.34		0.00	0.00
174.53 FNMA POOL #AL8579 2.348% DUE 01 OCT 2022	3138ETO90	12/02/2016	05/18/2016	174.53	178.24			-3.71		0.00	0.00
3363.95 FNMA POOL #MA1585 2.0% DUE 01 SEP 2023	31418AXP8	12/02/2016	08/22/2016	3,363.95	3,418.09			-54.14		0.00	0.00
15293.81 AMERICREDIT 1.15% DUE 06-10-2019	03062AAD8	12/08/2016	03/01/2016	15,293.81	15,251.99			41.82		0.00	0.00

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AMERICREDIT AUTOMOBILE RECEIVABLES .9% 08 FEB 2019	9349.72										
	03064LAC4	12/08/2016	02/02/2016	9,349.72	9,332.55			17.17		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .94% 08 FEB 2019	12232.1										
	03064VAC2	12/08/2016	12/09/2015	12,232.10	12,192.92			39.18		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES SER 2014-4 CL A3 1.27% DUE 08 JUL 2019	16147.56										
	03065JAD6	12/08/2016	06/27/2016	16,147.56	16,154.50			-6.94		0.00	0.00
AMERICREDIT 1.26% DUE 11-08-2019	7947.49										
	03065KAD3	12/08/2016	08/02/2016	7,947.49	7,947.18			0.31		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018	15688.1										
	03065LAB5	12/08/2016	04/07/2016	15,688.10	15,670.94			17.16		0.00	0.00
CDS / STS ICE GOLDUS SELL PROTECTION ON CDX NAHYS27V1 5Y 20 DEC 2021 SP9F4B0C9	480000.0										
	9EYTSBU	12/08/2016	11/03/2016	33,089.33	17,328.00			15,761.33		0.00	0.00
CITIGROUP COM1 MTG TR MTG PASS THRU CTF CL A-1 1.506% 10 MAY 2049	2038.5										
	17280YAN8	12/12/2016	11/18/2016	2,038.50	2,028.94			9.56		0.00	0.00
GS MTG SECS TR 2014-GC22 MTG PASS THRU CTF CL A-1 1.29% DUE 12 JUN 2047	1389.26										
	36253BAQ6	12/12/2016	09/14/2016	1,389.26	1,387.47			1.79		0.00	0.00
BEAR STEARNS COM1 MTG SECS TR 2007-PWR18MTG PASSTHRU CTF CL A-1A 5.602%	65.33										
	07401DAE1	12/13/2016	10/12/2016	65.33	67.62			-2.29		0.00	0.00

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ALLY AUTO RECEIVABLES TR 2016-2 1.17% 15 OCT 2018	13657.69										
02007XAB2		12/15/2016	02/24/2016	13,657.69	13,657.27			0.42		0.00	0.00
HYUNDAI AUTO RECEIVABLES TR 2016-A 1.21% 17 JUN 2019	11129.88										
44930UAB2		12/15/2016	03/22/2016	11,129.88	11,128.94			0.94		0.00	0.00
WELLS FARGO COML MTG TR 2016-C34 COML MTG PASS THRU CTF CL A-1 1.423%	913.72										
12/15/2016		11/18/2016		913.72	906.76			6.96		0.00	0.00
BANK AMER MERRILL LYNCH COML MTG TR 2016-UBS10 MTG PASSTHRU CTF CL A-1	1358.05										
12/16/2016		11/18/2016		1,358.05	1,353.43			4.62		0.00	0.00
CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG	296.0										
12/16/2016		08/01/2016		296.00	304.42			-8.42		0.00	0.00
JPMBB COML MTG SECS TR 2014-C19 1.2661% 15 APR 2047	1156.15										
12/16/2016		03/08/2016		1,156.15	1,149.60			6.55		0.00	0.00
JPMBB COML MTG SECS TR 2016-C1 COML MTG PASSTHRU CTF CL A-1 1.6947% 15 MAR 2049	1574.71										
12/16/2016		02/23/2016		1,574.71	1,574.71			0.00		0.00	0.00
WELLS FARGO COML MTG TR 2016-C32 COML MTG PASSTHRU CTF CL A-1 1.577%	1993.9										
12/16/2016		10/06/2016		1,993.90	1,997.33			-3.43		0.00	0.00
HONDA AUTO RECEIVABLES 2013-4 OWNER 1.04% 18 FEB 2020	94989YAW3										
12/18/2016		07/13/2016		11,512.49	11,519.69			-7.20		0.00	0.00
43814FAD4											

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Cusip Number	Date Sold or Disposed	Acquired				Other Basis					Withheld		
1245.85	FEDERAL HOME LN MTG CORP SER K-009 CL A-1 2.757% 25 MAY 2020												
3137A2AZ4	12/25/2016	07/06/2016			1,245.85	1,267.26				-21.41	0.00		0.00
7583.38	FEDERAL HOME LN MTG CORP TRANCHE 00813 1.426% DUE 25 AUG 2017												
3137B03W2	12/25/2016	06/02/2016			7,583.38	7,597.60				-14.22	0.00		0.00
647.76	FEDERAL HOME LN MTG CORP TRANCHE 00242 1.68% 25 OCT 2019												
3137BMLB0	12/25/2016	01/15/2016			647.76	649.37				-1.61	0.00		0.00
14.22	FEDERAL HOME LN MTG CORP TRANCHE 00281 25 FEB 2023												
3137BNMS0	12/25/2016	04/12/2016			14.22	14.22				0.00	0.00		0.00
1718.78	FEDERAL HOME LOAN MORTGAGE CORP 3.342% CMO 25/12/2019 USD/A-1'												
31398QHE0	12/25/2016	08/31/2016			1,718.78	1,734.42				-15.64	0.00		0.00
Total Short Term Sales					9,473,549.13	9,438,375.99		0.00	0.00	35,173.14	0.00		0.00
Long Term Sales													
2353.07	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024												
3128MD5B5	01/05/2016	07/24/2014			2,353.07	2,443.15				-90.08	0.00		0.00
648.55	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025												
3136A2YE7	01/05/2016	01/15/2013			648.55	660.51				-11.96	0.00		0.00

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6634.15 FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021	3136A3AV3	01/05/2016	01/27/2014	6,634.15	6,721.22			-87.07		0.00	0.00
5282.55 FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021	3136A3DS7	01/05/2016	01/27/2014	5,282.55	5,353.53			-70.98		0.00	0.00
2184.05 FNMA 2011-143 CL M 1.75% 25 JAN 2022	3136A3EG2	01/05/2016	01/24/2014	2,184.05	2,207.26			-23.21		0.00	0.00
885.37 FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022	3136A4TW9	01/05/2016	09/23/2014	885.37	880.91			4.46		0.00	0.00
1109.71 FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019	3136A7MJ8	01/05/2016	04/28/2014	1,109.71	1,113.00			-3.29		0.00	0.00
13473.83 FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017	3136A8G38	01/05/2016	10/14/2014	13,473.83	13,521.20			-47.37		0.00	0.00
873.18 FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016	3136ABPT4	01/05/2016	09/24/2013	873.18	873.93			-0.75		0.00	0.00
2402.1 FNMA SER 2013-M3 CL ASQ2 1.08331% 25 FEB 2016	3136ACGF2	01/05/2016	01/09/2014	2,402.10	2,418.05			-15.95		0.00	0.00
1587.63 FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018	3136AGOM7	01/05/2016	02/18/2014	1,587.63	1,596.37			-8.74		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired								Ordinary	Capital	Withheld	Withheld
5184.08	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025												
3136AHVT4	01/05/2016	12/09/2013	5,184.08	5,271.56						-87.48		0.00	0.00
2091.26	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017												
3136AJ7D2	01/05/2016	06/12/2014	2,091.26	2,090.64						0.62		0.00	0.00
1105.01	FNMA TRANCHE .558% SER 2014-M3 CL ASQ2 25 MAR 2016												
3136AJKZ8	01/05/2016	03/07/2014	1,105.01	1,105.00						0.01		0.00	0.00
4649.23	FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017												
3136AKXS7	01/05/2016	08/13/2014	4,649.23	4,695.69						-46.46		0.00	0.00
1367.34	FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017												
3136ALYC9	01/05/2016	11/14/2014	1,367.34	1,380.98						-13.64		0.00	0.00
969.69	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028												
3137A4BK2	01/05/2016	07/03/2013	969.69	983.33						-13.64		0.00	0.00
2307.88	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020												
3137A8WY0	01/05/2016	09/25/2013	2,307.88	2,352.59						-44.71		0.00	0.00
2830.67	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029												
3137B85D5	01/05/2016	02/24/2014	2,830.67	2,846.15						-15.48		0.00	0.00
7268.42	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022												
3137GA5X1	01/05/2016	12/20/2012	7,268.42	7,453.54						-185.12		0.00	0.00

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5773.39 FLMC MULTICLASS PREASSIGN 00707 4 09-15-2018	31394JS40	01/05/2016	12/11/2012	5,773.39	6,098.14			-324.75		0.00	0.00
1021.37 FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032	31394TX83	01/05/2016	01/04/2013	1,021.37	1,086.48			-65.11		0.00	0.00
5561.5 CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1.84 DUE 10-07-2020 REG	62888VAB4	01/08/2016	11/26/2012	5,561.50	5,643.40			-81.90		0.00	0.00
14108.09 NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020	62889AAA1	01/08/2016	12/07/2012	14,108.09	14,154.38			-46.29		0.00	0.00
2793.93 UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045	90270RBA1	01/12/2016	12/06/2012	2,793.93	2,793.93			0.00		0.00	0.00
55000.0 PSI ENERGY INC 6.05% 15 JUN 2016	693627AZ4	01/13/2016	04/15/2013	56,164.90	56,084.68			80.22		0.00	0.00
20000.0 PSI ENERGY INC 6.05% 15 JUN 2016	693627AZ4	01/13/2016	02/04/2014	20,423.60	20,428.86			-5.26		0.00	0.00
70.94 HUNTINGTON AUTO TR 2012-2 CL A3 .51% 17 APR 2017	44614TAC6	01/15/2016	01/29/2014	70.94	70.94			0.00		0.00	0.00
9556.96 HYUNDAI AUTO .71% DUE 09-15-2017 NT CL A-3 .71% DUE 09-15-2017 REG	44890LAC8	01/15/2016	12/03/2014	9,556.96	9,561.22			-4.26		0.00	0.00

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HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017	6554.31										
44890WAB6	01/15/2016	01/14/2015	6,554.31	6,554.18				0.13		0.00	0.00
MORGAN STANLEY BK AMER MERRILL LYNCH TR COML MTG PASSTHRU CTF A-1 .825% 15 MAY	2833.17										
61762DAS0	01/15/2016	04/15/2013	2,833.17	2,833.17				0.00		0.00	0.00
NISSAN AUTO .72% DUE 08-15-2018	11050.41										
65477PAC5	01/15/2016	12/08/2014	11,050.41	11,011.13				39.28		0.00	0.00
WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	5034.23										
92890NAS8	01/15/2016	11/30/2012	5,034.23	5,034.15				0.08		0.00	0.00
WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 .687% 15 OCT 2045	6459.54										
94988HAA9	01/15/2016	11/27/2012	6,459.54	6,463.58				-4.04		0.00	0.00
GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	5544.61										
38378P6A1	01/20/2016	04/15/2014	5,544.61	5,748.20				-203.59		0.00	0.00
DEUTSCHE BK AG LONDON BRH 6.0% 01 SEP 2017	90000.0										
25152CMN3	01/22/2016	Various	95,439.60	96,153.82				-714.22		0.00	0.00
FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016	43413.79										
3137ANLP8	01/25/2016	11/28/2012	43,413.79	44,780.64				-1,366.85		0.00	0.00
FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018	2630.07										
3137ATSB9	01/25/2016	09/27/2013	2,630.07	2,625.24				4.83		0.00	0.00

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Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
1341.85 GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033	361856CK1	01/25/2016	03/25/2014	1,341.85	1,276.75			65.10		0.00	0.00
2949.25 MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031	55352RAA6	01/25/2016	02/21/2014	2,949.25	2,740.98			208.27		0.00	0.00
9970.67 SLM STUDENT LN TR SER 2005-10 CL A4 FLT RT 10-25-2019 BEO	784420RG3	01/25/2016	11/27/2012	9,970.67	9,951.98			18.69		0.00	0.00
4047.94 INDYMAC HOME EQUITY LN TR .5506% 28 SEP 2036	45661AAC6	01/28/2016	11/18/2014	4,047.94	3,997.34			50.60		0.00	0.00
1639.48 FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024	3128MD5B5	02/02/2016	07/24/2014	1,639.48	1,702.24			-62.76		0.00	0.00
782.46 FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025	3136A2YE7	02/02/2016	01/15/2013	782.46	796.89			-14.43		0.00	0.00
6567.3 FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021	3136A3AV3	02/02/2016	01/27/2014	6,567.30	6,653.50			-86.20		0.00	0.00
5513.28 FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021	3136A3DS7	02/02/2016	01/27/2014	5,513.28	5,587.36			-74.08		0.00	0.00
2009.64 FNMA 2011-143 CL M 1.75% 25 JAN 2022	3136A3EG2	02/02/2016	01/24/2014	2,009.64	2,030.99			-21.35		0.00	0.00

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8889.21	FNMA REMIC TR 2012-M2 CL-A1	1.824% 25 FEB 2022							
3136A4TW9	02/02/2016	09/23/2014	889.21	884.73			4.48		0.00
448.27	FNMA REMIC TR 2012-M8 CL-ASQ2	1.52042% 25 DEC 2019							
3136A7MJ8	02/02/2016	04/28/2014	448.27	449.60			-1.33		0.00
3831.37	FNMA REMIC SER 2012-M13 CL ASQ2	1.246% 25 AUG 2017							
3136A8G38	02/02/2016	10/14/2014	3,831.37	3,844.84			-13.47		0.00
1099.03	FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2	1.0738% 25 NOV 2016							
3136ABPT4	02/02/2016	09/24/2013	1,099.03	1,099.97			-0.94		0.00
9330.36	FNMA SER 2013-M3 CL ASQ2	1.08331% 25 FEB 2016							
3136ACGF2	02/02/2016	Various	9,330.36	9,392.30			-61.94		0.00
92.03	FNMA REMIC TR 2013-M13 CL-A	1.6% 25 MAY 2018							
3136AGQM7	02/02/2016	02/18/2014	92.03	92.54			-0.51		0.00
5671.97	FNMA SR 2013-136 CL KA	2.0% 25 MAY 2025							
3136AHVT4	02/02/2016	12/09/2013	5,671.97	5,767.68			-95.71		0.00
979.81	FNMA FLTG RT SER 2014-M6 CL FA	25 DEC 2017							
3136AJ7D2	02/02/2016	06/12/2014	979.81	979.52			0.29		0.00
6112.18	FNMA SER 2014-M9 CL ASQ2	1.462% 25 APR 2017							
3136AKXS7	02/02/2016	08/13/2014	6,112.18	6,173.26			-61.08		0.00

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4646.72 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017	3136ALYC9	02/02/2016	11/14/2014	4,646.72	4,693.09			-46.37		0.00	0.00
1008.05 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028	3137A4BK2	02/02/2016	07/03/2013	1,008.05	1,022.23			-14.18		0.00	0.00
2248.01 FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020	3137A8WY0	02/02/2016	09/25/2013	2,248.01	2,291.56			-43.55		0.00	0.00
2361.92 FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029	3137B85D5	02/02/2016	02/24/2014	2,361.92	2,374.84			-12.92		0.00	0.00
6027.11 FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022	3137GA5X1	02/02/2016	12/20/2012	6,027.11	6,180.61			-153.50		0.00	0.00
5678.45 FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018	31394JS40	02/02/2016	12/11/2012	5,678.45	5,997.86			-319.41		0.00	0.00
1110.14 FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032	31394TX83	02/02/2016	01/04/2013	1,110.14	1,180.91			-70.77		0.00	0.00
4942.58 CMO NCUA GTD NTS TR 2010-R1 GTD NT SER II-A 1.84 DUE 10-07-2020 REG	62888VAB4	02/04/2016	11/26/2012	4,942.58	5,015.37			-72.79		0.00	0.00
45000.0 CR SUISSE AG 1.375% DUE 05-26-2017	22546QAL1	02/05/2016	Various	44,790.30	44,977.35			-187.05		0.00	0.00

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Custp Number																
8000.0	DOLPHIN SUBSIDIARY II INC	6.5% 15 OCT 2016														
256882AB7		02/05/2016	02/27/2013			8,264.53		8,128.98			135.55			0.00		0.00
5952.84	AMERICREDIT AUTOMOBILE RECEIVABLES	.77% 09 APR 2018														
03065KAB7		02/08/2016	01/14/2015			5,952.84		5,952.64			0.20			0.00		0.00
1066.18	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020															
62889AAA1		02/09/2016	12/07/2012			1,066.18		1,069.68			-3.50			0.00		0.00
3103.64	GS MTG SECS TR 2012-GCJ7 1.144% 10 MAY 2045															
36192KAO0		02/12/2016	01/28/2015			3,103.64		3,111.76			-8.12			0.00		0.00
2804.09	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045															
90270RBA1		02/12/2016	12/06/2012			2,804.09		2,804.08			0.01			0.00		0.00
8465.26	HYUNDAI AUTO .71% DUE 09-15-2017 NT CL A-3 .71% DUE 09-15-2017 REG															
44890LAC8		02/15/2016	12/03/2014			8,465.26		8,468.85			-3.59			0.00		0.00
6146.2	HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017															
44890WAB6		02/15/2016	01/14/2015			6,146.20		6,146.07			0.13			0.00		0.00
10557.7	NISSAN AUTO .72% DUE 08-15-2018															
65477PAC5		02/15/2016	12/08/2014			10,557.70		10,520.17			37.53			0.00		0.00
200000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG															
912828RX0		02/17/2016	Various			200,531.25		200,326.99			204.26			0.00		0.00

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Cusip Number	or Disposed													Withheld	Withheld
24051.65	MORGAN STANLEY BK AMER MERRILL LYNCH TR COMML MTG PASSTHRU														
61762DAS0	02/18/2016	04/15/2013				24,051.65			24,051.64				0.01	0.00	0.00
5054.15	WFRBS COMML MTG TR 2012-C10 COMML MTG PASSTHRU CTF A-1.734% 15 DEC 2045												0.08	0.00	0.00
92890NAS8	02/18/2016	11/30/2012				5,054.15			5,054.07					0.00	0.00
5269.61	WFRBS COMML MTG TR 2013-C17 1.154% 15 DEC 2046												15.44	0.00	0.00
92938GAA6	02/18/2016	01/28/2015				5,259.61			5,254.17					0.00	0.00
6486.63	WELLS FARGO COMML MTG TR 2012-LC5 COMML CL A-1.687% 15 OCT 2045												-4.05	0.00	0.00
94988HAA9	02/18/2016	11/27/2012				6,486.63			6,490.68					0.00	0.00
50000.0	J P MORGAN CHASE & CO DUE 1.35 02-15-2017 REG												13.88	0.00	0.00
46623EJY6	02/19/2016	02/19/2014				50,061.50			50,047.62					0.00	0.00
4267.42	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036												-156.69	0.00	0.00
38378P6A1	02/20/2016	04/15/2014				4,267.42			4,424.11				-42.88	0.00	0.00
1362.1	FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016													0.00	0.00
3137ANLP8	02/25/2016	11/28/2012				1,362.10			1,404.98					0.00	0.00
2643.32	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018												4.85	0.00	0.00
3137ATSB9	02/25/2016	09/27/2013				2,643.32			2,638.47					0.00	0.00
1115.55	GMACM HOME EQUITY LN TR 1.2711% 25 APR 2033												54.12	0.00	0.00
361856CK1	02/25/2016	03/25/2014				1,115.55			1,061.43					0.00	0.00

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Cusip Number	Date Sold or Disposed						Other Basis			Disallowed			Withheld	State Tax Withheld
1573.34	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031													
55352RAA6	02/25/2016	02/21/2014	1,573.34	1,462.23								111.11	0.00	0.00
872.43	INDYMAC HOME EQUITY LN TR .5506% 28 SEP 2036											10.91	0.00	0.00
45661AAC6	02/29/2016	11/18/2014	872.43	861.52								-104.48	0.00	0.00
200000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG											-70.99	0.00	0.00
912828RX0	03/01/2016	Various	200,296.88	200,401.36								-11.34	0.00	0.00
1854.4	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024											-54.84	0.00	0.00
3128MD5B5	03/02/2016	07/24/2014	1,854.40	1,925.39								-72.79	0.00	0.00
614.88	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025											-21.10	0.00	0.00
3136A2YE7	03/02/2016	01/15/2013	614.88	626.22								5.21	0.00	0.00
4178.46	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021													
3136A3AV3	03/02/2016	01/27/2014	4,178.46	4,233.30										
5417.06	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021													
3136A3DS7	03/02/2016	01/27/2014	5,417.06	5,489.85										
1985.63	FNMA 2011-143 CL M 1.75% 25 JAN 2022													
3136A3EG2	03/02/2016	01/24/2014	1,985.63	2,006.73										
1033.93	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022													
3136A4TW9	03/02/2016	09/23/2014	1,033.93	1,028.72										

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1905.79 FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019	3136A7MJ8	03/02/2016	04/28/2014	1,905.79	1,911.45			-5.66		0.00	0.00
530.61 FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017	3136A8G38	03/02/2016	10/14/2014	530.61	532.48			-1.87		0.00	0.00
8992.13 FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016	3136ABPT4	03/02/2016	09/24/2013	8,992.13	8,999.85			-7.72		0.00	0.00
393.23 FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018	3136AGQM7	03/02/2016	02/18/2014	393.23	395.40			-2.17		0.00	0.00
4934.22 FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025	3136AHVT4	03/02/2016	12/09/2013	4,934.22	5,017.49			-83.27		0.00	0.00
1647.58 FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017	3136AJ7D2	03/02/2016	06/12/2014	1,647.58	1,647.09			0.49		0.00	0.00
263.03 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017	3136AKXS7	03/02/2016	08/13/2014	263.03	265.66			-2.63		0.00	0.00
1620.01 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017	3136ALYC9	03/02/2016	11/14/2014	1,620.01	1,636.18			-16.17		0.00	0.00
975.45 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028	3137A4BK2	03/02/2016	07/03/2013	975.45	989.17			-13.72		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired									Withheld	State Tax Withheld
1971.79	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020											
3137A8WY0	03/02/2016	09/25/2013	1,971.79	2,009.99						-38.20	0.00	0.00
3591.89	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029											
3137B85D5	03/02/2016	02/24/2014	3,591.89	3,611.53						-19.64	0.00	0.00
6849.28	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022											
3137GA5X1	03/02/2016	12/20/2012	6,849.28	7,023.72						-174.44	0.00	0.00
5643.29	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018											
31394JS40	03/02/2016	12/11/2012	5,643.29	5,960.72						-317.43	0.00	0.00
974.57	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032											
31394TX83	03/02/2016	01/04/2013	974.57	1,036.70						-62.13	0.00	0.00
6155.12	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018											
03065KAB7	03/08/2016	01/14/2015	6,155.12	6,154.91						0.21	0.00	0.00
1066.17	CMO NCUA GTD NTS TR 2010-R1 GTD NT SER IIA 1.84 DUE 10-07-2020 REG											
62888VAB4	03/08/2016	11/26/2012	1,066.17	1,081.87						-15.70	0.00	0.00
90000.0	BANK AMER CORP 1.7% 25 AUG 2017											
06051GFJ3	03/09/2016	08/21/2014	89,490.60	89,905.50						-414.90	0.00	0.00
45000.0	CAP 1 BK USA NATL 1.3% DUE 06-05-2017											
140420NLO	03/09/2016	06/03/2014	44,738.10	44,994.60						-256.50	0.00	0.00

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250000.0 CAPITAL ONE NATL ASSN MCLEAN VA 1.65% DUE 02-05-2018 REG	14042E4L1	03/09/2016	02/02/2015	246,632.50	249,950.00			-3,317.50		0.00	0.00
50000.0 CITIGROUP INC 1.85% 24 NOV 2017	172967JE2	03/09/2016	11/18/2014	49,847.00	49,990.00			-143.00		0.00	0.00
120000.0 AMERN INTL GROUP INC MEDIUM TERM NTS TRANCHE # TR 00079 5.85 DUE 01-16-2018	02687QDGO	03/10/2016	Various	127,754.40	128,959.46			-1,205.06		0.00	0.00
50000.0 MORGAN STANLEY 2.65% DUE 01-27-2020 01-27-2020	61747YDW2	03/10/2016	01/22/2015	49,895.00	49,956.00			-61.00		0.00	0.00
675.24 NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020	62889AAA1	03/10/2016	12/07/2012	675.24	677.46			-2.22		0.00	0.00
80000.0 SIMON PPTY GROUP L 2.8 DUE 01-30-2017	828807CH8	03/10/2016	11/19/2013	80,891.20	81,010.85			-119.65		0.00	0.00
90000.0 WELLS FARGO & CO NEW MEDIUM TERM SR NTS 1.4% DUE 09-08-2017	949748GB0	03/10/2016	09/02/2014	89,821.80	89,910.90			-89.10		0.00	0.00
3787.55 GS MTG SECS TR 2012-GCJ7 1.144% 10 MAY 2045	36192KAO0	03/11/2016	01/28/2015	3,787.55	3,797.46			-9.91		0.00	0.00
3320.02 UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 6.7280% 10 DEC 2045	90270RBA1	03/11/2016	12/06/2012	3,320.02	3,320.01			0.01		0.00	0.00

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Recipient's Name and Address:
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AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
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HYUNDAI AUTO .71% DUE 09-15-2017 NT CL A-3 .71% DUE 09-15-2017 REG		7724.51									
44890LAC8			03/15/2016	12/03/2014	7,724.51	7,727.62			-3.11	0.00	0.00
HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017		5796.68									
44890WAB6			03/15/2016	01/14/2015	5,796.68	5,796.56			0.12	0.00	0.00
NISSAN AUTO .72% DUE 08-15-2018		10298.39									
65477PAC5			03/15/2016	12/08/2014	10,298.39	10,261.78			36.61	0.00	0.00
TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017		5656.1									
89236WAB4			03/15/2016	02/24/2015	5,656.10	5,656.05			0.05	0.00	0.00
WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045		5830.18									
92890NAS8			03/17/2016	11/30/2012	5,830.18	5,830.09			0.09	0.00	0.00
WFRBS COML MTG TR 2013-C17 1.154% 15 DEC 2046		6541.64									
92938GAA6			03/17/2016	01/28/2015	6,541.64	6,522.48			19.16	0.00	0.00
WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 .687% 15 OCT 2045		7670.45									
94988HAA9			03/17/2016	11/27/2012	7,670.45	7,675.24			-4.79	0.00	0.00
GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036		4098.98									
38378P6A1			03/20/2016	04/15/2014	4,098.98	4,249.49			-150.51	0.00	0.00
FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016		1520.85									
31378ANLP8			03/25/2016	11/28/2012	1,520.85	1,568.73			-47.88	0.00	0.00

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3255.8	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018										
3137ATSB9	03/25/2016	09/27/2013		3,255.80	3,249.82			5.98		0.00	0.00
1312.2	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033										
361856CK1	03/25/2016	03/25/2014		1,312.20	1,248.54			63.66		0.00	0.00
1294.64	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031										
55352RAA6	03/25/2016	02/21/2014		1,294.64	1,203.22			91.42		0.00	0.00
2326.33	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024										
3128MD5B5	04/04/2016	07/24/2014		2,326.33	2,415.38			-89.05		0.00	0.00
904.85	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025										
3136A2YE7	04/04/2016	01/15/2013		904.85	921.53			-16.68		0.00	0.00
4599.54	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021										
3136A3AV3	04/04/2016	01/27/2014		4,599.54	4,659.91			-60.37		0.00	0.00
5250.39	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021										
3136A3DS7	04/04/2016	01/27/2014		5,250.39	5,320.94			-70.55		0.00	0.00
2080.95	FNMA 2011-143 CL M 1.75% 25 JAN 2022										
3136A3EG2	04/04/2016	01/24/2014		2,080.95	2,103.06			-22.11		0.00	0.00
896.72	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022										
3136A4TW9	04/04/2016	09/23/2014		896.72	892.20			4.52		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired								Ordinary	Capital		
1062.79	FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019												
3136A7MJ8	04/04/2016	04/28/2014			1,062.79	1,065.95				-3.16		0.00	0.00
10008.66	FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017												
3136A8G38	04/04/2016	10/14/2014			10,008.66	10,043.85				-35.19		0.00	0.00
10512.11	FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016												
3136ABPT4	04/04/2016	09/24/2013			10,512.11	10,521.14				-9.03		0.00	0.00
92.57	FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018												
3136AGQM7	04/04/2016	02/18/2014			92.57	93.08				-0.51		0.00	0.00
5229.59	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025												
3136AHVT4	04/04/2016	12/09/2013			5,229.59	5,317.84				-88.25		0.00	0.00
1214.78	FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017												
3136AJ7D2	04/04/2016	06/12/2014			1,214.78	1,214.42				0.36		0.00	0.00
817.68	FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017												
3136AKXS7	04/04/2016	08/13/2014			817.68	825.85				-8.17		0.00	0.00
3106.9	FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017												
3136ALYC9	04/04/2016	11/14/2014			3,106.90	3,137.90				-31.00		0.00	0.00
1286.16	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028												
3137A4BK2	04/04/2016	07/03/2013			1,286.16	1,304.25				-18.09		0.00	0.00

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FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020	2239.62									
	3137A8WY0	04/04/2016	09/25/2013	2,239.62	2,283.01			-43.39	0.00	0.00
FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029	3414.55									
	3137B85D5	04/04/2016	02/24/2014	3,414.55	3,433.22			-18.67	0.00	0.00
FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022	6244.06									
	3137GA5X1	04/04/2016	12/20/2012	6,244.06	6,403.09			-159.03	0.00	0.00
FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018	5052.6									
	31394JS40	04/04/2016	12/11/2012	5,052.60	5,336.81			-284.21	0.00	0.00
FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032	706.0									
	31394TX83	04/04/2016	01/04/2013	706.00	751.01			-45.01	0.00	0.00
NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020	14198.59									
	62889AAA1	04/07/2016	12/07/2012	14,198.59	14,245.18			-46.59	0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018	7014.18									
	03065KAB7	04/08/2016	01/14/2015	7,014.18	7,013.95			0.23	0.00	0.00
GS MTG SECS TR 2012-GCJ7 1.144% 10 MAY 2045	9906.83									
	36192KAO0	04/12/2016	01/28/2015	9,906.83	9,932.76			-25.93	0.00	0.00
UBS-BARCLAYS COMML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045	2830.24									
	9027ORBA1	04/12/2016	12/06/2012	2,830.24	2,830.24			0.00	0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Ordinary					Withheld	State Tax Withheld
8222.85	HYUNDAI AUTO .71% DUE 09-15-2017	NT CL A-3 .71% DUE 09-15-2017	REG							
44890LAC8	04/15/2016	12/03/2014	8,222.85	8,225.97				-3.12	0.00	0.00
6578.82	HYUNDAI AUTO RECEIVABLES TR 2015-A	.68% 16 OCT 2017								
44890WAB6	04/15/2016	01/14/2015	6,578.82	6,578.69				0.13	0.00	0.00
10992.78	NISSAN AUTO .72% DUE 08-15-2018									
65477PAC5	04/15/2016	12/08/2014	10,992.78	10,953.70				39.08	0.00	0.00
5822.26	TOYOTA AUTO RECEIVABLES 2015-A	.71% 17 JUL 2017								
89236WAB4	04/15/2016	02/24/2015	5,822.26	5,822.20				0.06	0.00	0.00
5097.22	WFRBS COML MTG TR 2012-C10	COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045								
92890NAS8	04/15/2016	11/30/2012	5,097.22	5,097.14				0.08	0.00	0.00
5323.9	WFRBS COML MTG TR 2013-C17	1.154% 15 DEC 2046								
92938GAA6	04/15/2016	01/28/2015	5,323.90	5,308.30				15.60	0.00	0.00
6546.03	WELLS FARGO COML MTG TR 2012-LC5	COML CL A-1 .687% 15 OCT 2045								
94988HAA9	04/15/2016	11/27/2012	6,546.03	6,550.12				-4.09	0.00	0.00
5327.98	GNMA SER 2014-018	CL HJ 3.0% 20 JUL 2036								
38378P6A1	04/20/2016	04/15/2014	5,327.98	5,523.62				-195.64	0.00	0.00
108236.46	FEDERAL HOME LN MTG CORP	SER K501 CL A2 1.655 11-25-2016								
3137ANLP8	04/25/2016	11/28/2012	108,236.46	111,644.22				-3,407.76	0.00	0.00

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2673.02	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018										
3137ATSB9	04/25/2016	09/27/2013			2,673.02	2,668.11			4.91	0.00	0.00
1114.36	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033										
361856CK1	04/25/2016	03/25/2014			1,114.36	1,060.30			54.06	0.00	0.00
1892.91	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031										
55352RAA6	04/25/2016	02/21/2014			1,892.91	1,759.24			133.67	0.00	0.00
10231.92	SLM STUDENT LN TR SER 2005-10 CL A4 FLT RT 10-25-2019 BEO										
78442GRG3	04/25/2016	11/27/2012			10,231.92	10,212.74			19.18	0.00	0.00
75000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG										
912828RX0	04/25/2016	Various			75,193.36	75,129.88			63.48	0.00	0.00
20000.0	FORD MTR CR CO LLC 4.25% DUE 02-03-2017										
345397VX8	04/29/2016	11/26/2012			20,425.20	20,234.48			190.72	0.00	0.00
150000.0	ANADARKO PETE CORP 5.95% DUE 09-15-2016 09-15-2016/09-19-2006 BEO										
032511AX5	05/02/2016	11/26/2012			152,958.00	152,407.28			550.72	0.00	0.00
220000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG										
912828RX0	05/02/2016	02/17/2015			220,541.41	220,373.11			168.30	0.00	0.00
1749.36	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024										
3128MD5B5	05/03/2016	07/24/2014			1,749.36	1,816.33			-66.97	0.00	0.00

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FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025	707.35										
3136A2YE7		05/03/2016	01/15/2013	707.35	720.39			-13.04		0.00	0.00
FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021	5420.28										
3136A3AV3		05/03/2016	01/27/2014	5,420.28	5,491.42			-71.14		0.00	0.00
FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021	5608.2										
3136A3DS7		05/03/2016	01/27/2014	5,608.20	5,683.56			-75.36		0.00	0.00
FNMA 2011-143 CL M 1.75% 25 JAN 2022	2091.7										
3136A3EG2		05/03/2016	01/24/2014	2,091.70	2,113.92			-22.22		0.00	0.00
FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022	961.66										
3136A4TW9		05/03/2016	09/23/2014	961.66	956.81			4.85		0.00	0.00
FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019	950.16										
3136A7MJ8		05/03/2016	04/28/2014	950.16	952.98			-2.82		0.00	0.00
FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017	9374.59										
3136A8G38		05/03/2016	10/14/2014	9,374.59	9,407.55			-32.96		0.00	0.00
FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016	2858.72										
3136ABPT4		05/03/2016	09/24/2013	2,858.72	2,861.18			-2.46		0.00	0.00
FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018	102.06										
3136AGQM7		05/03/2016	02/18/2014	102.06	102.62			-0.56		0.00	0.00

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5068.34 FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025											
3136AHVT4		05/03/2016	12/09/2013	5,068.34	5,153.87			-85.53		0.00	0.00
5170.49 FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017											
3136AJ7D2		05/03/2016	06/12/2014	5,170.49	5,168.97			1.52		0.00	0.00
11259.71 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017											
3136AKXS7		05/03/2016	08/13/2014	11,259.71	11,372.23			-112.52		0.00	0.00
4948.06 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017											
3136ALYC9		05/03/2016	11/14/2014	4,948.06	4,937.44			-49.38		0.00	0.00
2295.63 FNMA .882% 25 APR 2018											
3136ANH4		05/03/2016	04/15/2015	2,295.63	2,295.62			0.01		0.00	0.00
1000.73 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028											
3137A4BK2		05/03/2016	07/03/2013	1,000.73	1,014.80			-14.07		0.00	0.00
1870.36 FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020											
3137A8WY0		05/03/2016	09/25/2013	1,870.36	1,906.60			-36.24		0.00	0.00
3796.59 FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029											
3137B85D5		05/03/2016	02/24/2014	3,796.59	3,817.35			-20.76		0.00	0.00
4691.6 FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86		05/03/2016	04/29/2015	4,691.60	4,767.10			-75.50		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
6946.73	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022									
3137GA5X1	05/03/2016	12/20/2012	6,946.73	7,123.65			-176.92		0.00	0.00
5771.88	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018									
31394JS40	05/03/2016	12/11/2012	5,771.88	6,096.55			-324.67		0.00	0.00
1034.68	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032									
31394TX83	05/03/2016	01/04/2013	1,034.68	1,100.64			-65.96		0.00	0.00
75000.0	BB&T BRH BKG & TR 1.05% 01 DEC 2016									
07330NAGO	05/05/2016	11/19/2013	75,054.75	74,980.96			73.79		0.00	0.00
15000.0	BB&T CORP SR MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR 00011 DTD 08/14/2012 1.6%									
05531FAL7	05/06/2016	04/29/2014	15,079.95	15,028.04			51.91		0.00	0.00
6197.58	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018									
03065KAB7	05/08/2016	01/14/2015	6,197.58	6,197.37			0.21		0.00	0.00
21931.77	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018									
03065LAB5	05/08/2016	04/08/2015	21,931.77	21,930.41			1.36		0.00	0.00
180000.0	UNITED STATES TREAS NTS DTD 12/31/2011 .875% DUE 12-31-2016 REG									
912828RX0	05/09/2016	Various	180,471.10	180,358.83			112.27		0.00	0.00
1237.18	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020									
62889AAA1	05/10/2016	12/07/2012	1,237.18	1,241.24			-4.06		0.00	0.00

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2016 Tax Information Statement

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Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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917.08	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047										
12591RAW0	05/12/2016 04/23/2015	917.08	916.94					0.14		0.00	0.00
22203.36	UBS-BARCLAYS COM1 MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045										
90270RBA1	05/12/2016 12/06/2012	22,203.36	22,203.32					0.04		0.00	0.00
10216.76	HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018										
43814JAC8	05/15/2016 11/19/2014	10,216.76	10,214.95					1.81		0.00	0.00
7525.15	HYUNDAI AUTO .71% DUE 09-15-2017 NT CL A-3 .71% DUE 09-15-2017 REG										
44890LAC8	05/15/2016 12/03/2014	7,525.15	7,527.84					-2.69		0.00	0.00
6130.53	HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017										
44890WAB6	05/15/2016 01/14/2015	6,130.53	6,130.40					0.13		0.00	0.00
9680.5	NISSAN AUTO .72% DUE 08-15-2018										
65477PAC5	05/15/2016 12/08/2014	9,680.50	9,646.09					34.41		0.00	0.00
5238.25	TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017										
89236WAB4	05/15/2016 02/24/2015	5,238.25	5,238.20					0.05		0.00	0.00
5494.01	WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1 .734% 15 DEC 2045										
92890NAS8	05/17/2016 11/30/2012	5,494.01	5,493.93					0.08		0.00	0.00
5970.48	WFRBS COM1 MTG TR 2013-C17 1.154% 15 DEC 2046										
92938GAA6	05/17/2016 01/28/2015	5,970.48	5,952.99					17.49		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired									Withheld	State Tax Withheld
7149.86	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 .687% 15 OCT 2045											
94988HAA9	05/17/2016	11/27/2012		7,149.86		7,154.33				-4.47	0.00	0.00
5034.42	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036											
38378P6A1	05/20/2016	04/15/2014		5,034.42		5,219.28				-184.86	0.00	0.00
85000.0	PETROBRAS GLOBAL FIN B V GTD GLOBAL NT FLTG 20 MAY 2016											
71647NAD1	05/20/2016	05/13/2013		85,000.00		85,000.00				0.00	0.00	0.00
80277.98	FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016											
3137ANLP8	05/25/2016	11/28/2012		80,277.98		82,805.48				-2,527.50	0.00	0.00
2985.15	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018											
3137ATSB9	05/25/2016	09/27/2013		2,985.15		2,979.67				5.48	0.00	0.00
705.63	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033											
361856CK1	05/25/2016	03/25/2014		705.63		671.40				34.23	0.00	0.00
2044.05	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031											
55352RAA6	05/25/2016	02/21/2014		2,044.05		1,899.70				144.35	0.00	0.00
200000.0	WELLS FARGO & CO NEW MEDIUM TERM SR NTS 2.1 05-08-2017											
94974BFD7	05/25/2016	Various		201,700.00		201,530.56				169.44	0.00	0.00
285000.0	METLIFE INC 6.75% DUE 06-01-2016											
59156RAU2	06/01/2016	Various		285,000.00		285,000.00				0.00	0.00	0.00

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1375.12	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024											
3128MD5B5	06/02/2016	07/24/2014	1,375.12	1,427.76					-52.64		0.00	0.00
809.59	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025											
3136A2YE7	06/02/2016	01/15/2013	809.59	824.52					-14.93		0.00	0.00
5330.35	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021											
3136A3AV3	06/02/2016	01/27/2014	5,330.35	5,400.31					-69.96		0.00	0.00
4809.48	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021											
3136A3DS7	06/02/2016	01/27/2014	4,809.48	4,874.11					-64.63		0.00	0.00
2023.11	FNMA 2011-143 CL M 1.75% 25 JAN 2022											
3136A3EG2	06/02/2016	01/24/2014	2,023.11	2,044.61					-21.50		0.00	0.00
895.14	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022											
3136A4TW9	06/02/2016	09/23/2014	895.14	890.63					4.51		0.00	0.00
719.47	FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019											
3136A7MJ8	06/02/2016	04/28/2014	719.47	721.61					-2.14		0.00	0.00
3674.55	FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017											
3136A8G38	06/02/2016	10/14/2014	3,674.55	3,687.47					-12.92		0.00	0.00
5137.66	FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASO2 1.0738% 25 NOV 2016											
3136ABPT4	06/02/2016	09/24/2013	5,137.66	5,142.07					-4.41		0.00	0.00

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Cusip Number											
253.9	FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018										
3136AGQM7	06/02/2016	02/18/2014		253.90	255.30			-1.40		0.00	0.00
5885.97	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025										
3136AHVT4	06/02/2016	12/09/2013		5,885.97	5,985.30			-99.33		0.00	0.00
2886.01	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017										
3136AJ7D2	06/02/2016	06/12/2014		2,886.01	2,885.16			0.85		0.00	0.00
9082.52	FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017										
3136AKXS7	06/02/2016	08/13/2014		9,082.52	9,173.29			-90.77		0.00	0.00
1256.45	FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017										
3136ALYC9	06/02/2016	11/14/2014		1,256.45	1,268.99			-12.54		0.00	0.00
4302.87	FNMA .882% 25 APR 2018										
3136ANH4	06/02/2016	04/15/2015		4,302.87	4,302.85			0.02		0.00	0.00
1404.11	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028										
3137A4BK2	06/02/2016	07/03/2013		1,404.11	1,423.86			-19.75		0.00	0.00
1801.89	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020										
3137A8WY0	06/02/2016	09/25/2013		1,801.89	1,836.80			-34.91		0.00	0.00
3359.14	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029										
3137B85D5	06/02/2016	02/24/2014		3,359.14	3,377.51			-18.37		0.00	0.00

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3784.6	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019											
3137BBGR5	06/02/2016 05/08/2015		3,784.60	3,835.16					-50.56		0.00	0.00
4536.82	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86	06/02/2016 04/29/2015		4,536.82	4,609.83					-73.01		0.00	0.00
6178.47	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022											
3137GA5X1	06/02/2016 12/20/2012		6,178.47	6,335.83					-157.36		0.00	0.00
5280.69	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018											
31394JS40	06/02/2016 12/11/2012		5,280.69	5,577.73					-297.04		0.00	0.00
801.46	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032											
31394TX83	06/02/2016 01/04/2013		801.46	852.55					-51.09		0.00	0.00
5860.86	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018											
03065KAB7	06/08/2016 01/14/2015		5,860.86	5,860.66					0.20		0.00	0.00
19779.8	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018											
03065LAB5	06/08/2016 04/08/2015		19,779.80	19,778.58					1.22		0.00	0.00
839.79	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047											
12591RAW0	06/10/2016 04/23/2015		839.79	839.66					0.13		0.00	0.00
1300.58	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047											
12591VAA9	06/10/2016 05/26/2015		1,300.58	1,299.77					0.81		0.00	0.00

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837.38	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046											
12626GAA1	06/10/2016 05/18/2015				837.38	838.69			-1.31		0.00	0.00
966.72	CMO CITIGROUP COML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047											
17322AAA0	06/10/2016 05/28/2015				966.72	964.49			2.23		0.00	0.00
754.95	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020											
62889AAA1	06/10/2016 12/07/2012				754.95	757.43			-2.48		0.00	0.00
2823.32	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045											
90270RBA1	06/10/2016 12/06/2012				2,823.32	2,823.32			0.00		0.00	0.00
90000.0	CR SUISE AG 1.375% DUE 05-26-2017											
22546QAL1	06/14/2016 Various				89,984.70	89,952.88			31.82		0.00	0.00
14907.55	HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018											
43814JAC8	06/15/2016 11/19/2014				14,907.55	14,904.90			2.65		0.00	0.00
21258.91	HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018											
44614TAD4	06/15/2016 06/03/2015				21,258.91	21,241.25			17.66		0.00	0.00
941.27	HYUNDAI AUTO .71% DUE 09-15-2017 NT CL A-3 .71% DUE 09-15-2017 REG											
44890LAC8	06/15/2016 12/03/2014				941.27	941.59			-0.32		0.00	0.00
6031.43	HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017											
44890WAB6	06/15/2016 01/14/2015				6,031.43	6,031.31			0.12		0.00	0.00

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9445.47 NISSAN AUTO .72% DUE 08-15-2018	65477PAC5	06/15/2016	12/08/2014	9,445.47	9,411.90			33.57	0.00	0.00
5236.22 TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017	89236WAB4	06/15/2016	02/24/2015	5,236.22	5,236.17			0.05	0.00	0.00
105000.0 BARCLAYS PLC 2.0% 16 MAR 2018	06738EAF2	06/16/2016	Various	104,594.70	105,175.33			-580.63	0.00	0.00
5139.13 WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	92890NAS8	06/17/2016	11/30/2012	5,139.13	5,139.05			0.08	0.00	0.00
5375.75 WFRBS COM1 MTG TR 2013-C17 1.154% 15 DEC 2046	92938GAA6	06/17/2016	01/28/2015	5,375.75	5,360.00			15.75	0.00	0.00
6603.48 WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 .687% 15 OCT 2045	94988HAA9	06/17/2016	11/27/2012	6,603.48	6,607.61			-4.13	0.00	0.00
5237.21 GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	38378P6A1	06/20/2016	04/15/2014	5,237.21	5,429.51			-192.30	0.00	0.00
84164.05 FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016	3137ANLP8	06/25/2016	Various	84,164.05	86,123.67			-1,959.62	0.00	0.00
2701.51 FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018	3137ATSB9	06/25/2016	09/27/2013	2,701.51	2,696.55			4.96	0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired									Withheld	Ordinary	Withheld	Withheld
1107.81	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033													
361856CK1	06/25/2016	03/25/2014			1,107.81	1,054.06			53.75		0.00		0.00	0.00
3677.21	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031													
55352RAA6	06/25/2016	02/21/2014			3,677.21	3,417.53			259.68		0.00		0.00	0.00
2227.09	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024													
3128MD5B5	07/05/2016	07/24/2014			2,227.09	2,312.35			-85.26		0.00		0.00	0.00
877.78	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025													
3136A2YE7	07/05/2016	01/15/2013			877.78	893.96			-16.18		0.00		0.00	0.00
4294.17	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021													
3136A3AV3	07/05/2016	01/27/2014			4,294.17	4,350.53			-56.36		0.00		0.00	0.00
5239.46	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021													
3136A3DS7	07/05/2016	01/27/2014			5,239.46	5,309.87			-70.41		0.00		0.00	0.00
1938.19	FNMA 2011-143 CL M 1.75% 25 JAN 2022													
3136A3EG2	07/05/2016	01/24/2014			1,938.19	1,958.78			-20.59		0.00		0.00	0.00
969.75	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022													
3136A4TW9	07/05/2016	09/23/2014			969.75	964.86			4.89		0.00		0.00	0.00
2131.33	FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019													
3136A7MJ8	07/05/2016	04/28/2014			2,131.33	2,137.66			-6.33		0.00		0.00	0.00

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 Recipient's Name and Address:
 THE CUMMINS FOUNDATION
 AARON KALUF
 301 JACKSON ST
 MC91671
 COLUMBUS, IN 47201

Account Number: 17-17862
 Recipient's Tax ID Number: XX-XXX2373

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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22567.09 FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017											
3136A8G38		07/05/2016	10/14/2014	22,567.09	22,646.42			-79.33		0.00	0.00
9568.27 FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016											
3136ABPT4		07/05/2016	09/24/2013	9,568.27	9,576.49			-8.22		0.00	0.00
446.03 FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018											
3136AGQM7		07/05/2016	02/18/2014	446.03	448.49			-2.46		0.00	0.00
6073.36 FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025											
3136AHVT4		07/05/2016	12/09/2013	6,073.36	6,175.84			-102.48		0.00	0.00
3447.95 FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017											
3136AJ7D2		07/05/2016	06/12/2014	3,447.95	3,446.93			1.02		0.00	0.00
12277.4 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017											
3136AKXS7		07/05/2016	08/13/2014	12,277.40	12,400.09			-122.69		0.00	0.00
1339.33 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017											
3136ALYC9		07/05/2016	11/14/2014	1,339.33	1,352.69			-13.36		0.00	0.00
10218.12 FNMA .882% 25 APR 2018											
3136ANHL4		07/05/2016	04/15/2015	10,218.12	10,218.08			0.04		0.00	0.00
1636.34 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028											
3137A4BK2		07/05/2016	07/03/2013	1,636.34	1,659.35			-23.01		0.00	0.00

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2053.34	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020										
3137A8WY0	07/05/2016	09/25/2013	2,053.34	2,093.12				-39.78		0.00	0.00
3048.19	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029										
3137B85D5	07/05/2016	02/24/2014	3,048.19	3,064.86				-16.67		0.00	0.00
3800.34	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019										
3137B8GR5	07/05/2016	05/08/2015	3,800.34	3,851.11				-50.77		0.00	0.00
4595.86	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023										
3137BEB86	07/05/2016	04/29/2015	4,595.86	4,669.82				-73.96		0.00	0.00
6277.94	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022										
3137GA5X1	07/05/2016	12/20/2012	6,277.94	6,437.83				-159.89		0.00	0.00
5151.13	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018										
31394J540	07/05/2016	12/11/2012	5,151.13	5,440.88				-289.75		0.00	0.00
1150.49	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032										
31394TX83	07/05/2016	01/04/2013	1,150.49	1,223.83				-73.34		0.00	0.00
45000.0	AMERICAN HONDA FINANCE CORPORATION 1.5% NTS 03-13-2018 USD2000										
02665WAT8	07/08/2016	03/10/2015	45,389.25	44,966.02				423.23		0.00	0.00
5591.67	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018										
03065KAB7	07/08/2016	01/14/2015	5,591.67	5,591.49				0.18		0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired									Withheld	State Tax Withheld
20895.78	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018											
03065LAB5	07/08/2016	04/08/2015		20,895.78		20,894.49				1.29	0.00	0.00
14688.42	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020											
62889AAA1	07/10/2016	12/07/2012		14,688.42		14,736.62				-48.20	0.00	0.00
924.57	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047											
12591RAW0	07/12/2016	04/23/2015		924.57		924.43				0.14	0.00	0.00
1435.68	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047											
12591VAA9	07/12/2016	05/26/2015		1,435.68		1,434.78				0.90	0.00	0.00
926.5	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046											
12626GAA1	07/12/2016	05/18/2015		926.50		927.95				-1.45	0.00	0.00
1077.52	CMO CITIGROUP COM1 MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047											
17322AAA0	07/12/2016	05/28/2015		1,077.52		1,075.04				2.48	0.00	0.00
3082.75	UBS-BARCLAYS COM1 MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045											
90270RBA1	07/12/2016	12/06/2012		3,082.75		3,082.74				0.01	0.00	0.00
9011.97	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018											
34530GAD4	07/15/2016	07/01/2015		9,011.97		9,006.87				5.10	0.00	0.00
14689.91	HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018											
43814JAC8	07/15/2016	11/19/2014		14,689.91		14,687.30				2.61	0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired			Other Basis					Withheld		
98580.87	HUNTINGTON AUTO TR 2012-2 CL A4 .68% 16 JAN 2018											
44614TAD4	07/15/2016	06/03/2015	98,580.87	98,446.09					134.78	0.00	0.00	0.00
6068.42	HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017											
44890WAB6	07/15/2016	01/14/2015	6,068.42	6,068.29					0.13	0.00	0.00	0.00
9310.6	NISSAN AUTO .72% DUE 08-15-2018											
65477PAC5	07/15/2016	Various	9,310.60	9,281.68					28.92	0.00	0.00	0.00
5273.91	TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017											
89236WAB4	07/15/2016	02/24/2015	5,273.91	5,273.86					0.05	0.00	0.00	0.00
5534.73	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045											
92890NAS8	07/15/2016	11/30/2012	5,534.73	5,534.65					0.08	0.00	0.00	0.00
6020.89	WFRBS COML MTG TR 2013-C17 1.154% 15 DEC 2046											
92938GAA6	07/15/2016	Various	6,020.89	6,010.46					10.43	0.00	0.00	0.00
7205.7	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 .687% 15 OCT 2045											
94988HAA9	07/15/2016	11/27/2012	7,205.70	7,210.20					-4.50	0.00	0.00	0.00
45000.0	SHELL INTL FIN B V 2.125% 11 MAY 2020											
822582BG6	07/18/2016	05/06/2015	45,990.90	44,926.60					1,064.30	0.00	0.00	0.00
30000.0	SHELL INTL FIN B V 2.125% 11 MAY 2020											
822582BG6	07/18/2016	05/06/2015	30,665.10	29,951.07					714.03	0.00	0.00	0.00

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5604.47	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036											
38378P6A1	07/20/2016	04/15/2014	5,604.47	5,810.26					-205.79		0.00	0.00
66613.54	FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016											
3137ANLP8	07/25/2016	04/30/2014	66,613.54	67,846.93					-1,233.39		0.00	0.00
3012.9	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018											
3137ATS89	07/25/2016	09/27/2013	3,012.90	3,007.37					5.53		0.00	0.00
1109.8	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033											
361856CK1	07/25/2016	03/25/2014	1,109.80	1,055.96					53.84		0.00	0.00
4656.29	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031											
55352RAA6	07/25/2016	02/21/2014	4,656.29	4,327.48					328.81		0.00	0.00
9623.75	SLM STUDENT LN TR SER 2005-10 CL A4 FLT RT 10-25-2019 BEO											
78442GRG3	07/25/2016	11/27/2012	9,623.75	9,605.70					18.05		0.00	0.00
1536.24	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024											
3128MD5B5	08/02/2016	07/24/2014	1,536.24	1,595.05					-58.81		0.00	0.00
768.64	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025											
3136A2YE7	08/02/2016	01/15/2013	768.64	782.81					-14.17		0.00	0.00
5707.46	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021											
3136A3AV3	08/02/2016	01/27/2014	5,707.46	5,782.37					-74.91		0.00	0.00

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									Withheld	Withheld
5392.86	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021									
3136A3DS7	08/02/2016 01/27/2014	5,392.86	5,465.33					-72.47	0.00	0.00
1843.48	FNMA 2011-143 CL M 1.75% 25 JAN 2022									
3136A3EG2	08/02/2016 01/24/2014	1,843.48	1,863.07					-19.59	0.00	0.00
903.65	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022									
3136A4TW9	08/02/2016 09/23/2014	903.65	899.10					4.55	0.00	0.00
5995.24	FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019									
3136A7MJ8	08/02/2016 04/28/2014	5,995.24	6,013.04					-17.80	0.00	0.00
589.59	FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017									
3136A8G38	08/02/2016 10/14/2014	589.59	591.66					-2.07	0.00	0.00
3158.87	FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016									
3136ABPT4	08/02/2016 09/24/2013	3,158.87	3,161.58					-2.71	0.00	0.00
1203.85	FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018									
3136AGQM7	08/02/2016 02/18/2014	1,203.85	1,210.48					-6.63	0.00	0.00
5305.87	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025									
3136AHVT4	08/02/2016 Various	5,305.87	5,398.24					-92.37	0.00	0.00
5498.93	FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017									
3136AJ7D2	08/02/2016 06/12/2014	5,498.93	5,497.31					1.62	0.00	0.00

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13775.61 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017											
3136AKXS7	08/02/2016	08/13/2014	13,775.61	13,913.28				-137.67		0.00	0.00
868.95 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017											
3136ALYC9	08/02/2016	11/14/2014	868.95	877.62				-8.67		0.00	0.00
5143.12 FNMA .882% 25 APR 2018											
3136ANHL4	08/02/2016	04/15/2015	5,143.12	5,143.10				0.02		0.00	0.00
1124.33 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028											
3137A4BK2	08/02/2016	07/03/2013	1,124.33	1,140.14				-15.81		0.00	0.00
1779.45 FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020											
3137A8WY0	08/02/2016	09/25/2013	1,779.45	1,813.93				-34.48		0.00	0.00
3075.77 FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029											
3137B85D5	08/02/2016	02/24/2014	3,075.77	3,092.59				-16.82		0.00	0.00
3701.93 FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019											
3137B8GR5	08/02/2016	05/08/2015	3,701.93	3,751.39				-49.46		0.00	0.00
4187.86 FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86	08/02/2016	04/29/2015	4,187.86	4,255.26				-67.40		0.00	0.00
5346.97 FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022											
3137GA5X1	08/02/2016	12/20/2012	5,346.97	5,483.15				-136.18		0.00	0.00

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5135.65	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018										
31394JS40	08/02/2016	12/11/2012			5,135.65	5,424.53			-288.88	0.00	0.00
888.68	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032										
31394TX83	08/02/2016	01/04/2013			888.68	945.33			-56.65	0.00	0.00
1729.53	AMERICREDIT AUTOMOBILE RECEIVABLES .77% 09 APR 2018										
03065KAB7	08/08/2016	01/14/2015			1,729.53	1,729.47			0.06	0.00	0.00
19704.11	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018										
03065LAB5	08/08/2016	04/08/2015			19,704.11	19,702.89			1.22	0.00	0.00
295000.0	FORD MTR CR CO LLC 4.25% DUE 02-03-2017										
345397VX8	08/08/2016	Various			298,991.35	298,189.89			801.46	0.00	0.00
30000.0	FORD MTR CR CO LLC 4.25% DUE 02-03-2017										
345397VX8	08/08/2016	04/30/2014			30,405.90	30,411.27			-5.37	0.00	0.00
1257.52	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020										
62889AAA1	08/09/2016	12/07/2012			1,257.52	1,261.65			-4.13	0.00	0.00
847.56	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047										
12591RAW0	08/12/2016	04/23/2015			847.56	847.43			0.13	0.00	0.00
1312.38	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047										
12591VAA9	08/12/2016	05/26/2015			1,312.38	1,311.56			0.82	0.00	0.00

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845.3 COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046											
12626GAA1 08/12/2016 05/18/2015				845.30	846.62			-1.32		0.00	0.00
11055.04 CMO CITIGROUP COML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047											
17322AAA0 08/12/2016 05/28/2015				11,055.04	11,029.56			25.48		0.00	0.00
2847.41 UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 6.7280% 10 DEC 2045											
90270RBA1 08/12/2016 12/06/2012				2,847.41	2,847.41			0.00		0.00	0.00
8313.6 FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018											
34530GAD4 08/15/2016 07/01/2015				8,313.60	8,309.12			4.48		0.00	0.00
14207.72 HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018											
43814JAC8 08/15/2016 11/19/2014				14,207.72	14,205.20			2.52		0.00	0.00
3614.79 HYUNDAI AUTO RECEIVABLES TR 2015-A .68% 16 OCT 2017											
44890WAB6 08/15/2016 01/14/2015				3,614.79	3,614.72			0.07		0.00	0.00
8667.3 NISSAN AUTO .72% DUE 08-15-2018											
65477PAC5 08/15/2016 02/25/2015				8,667.30	8,647.66			19.64		0.00	0.00
4780.92 TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017											
89236WAB4 08/15/2016 02/24/2015				4,780.92	4,780.88			0.04		0.00	0.00
75000.0 SHELL INTL FIN BV 2.125% 11 MAY 2020											
822582BG6 08/17/2016 05/06/2015				76,680.75	74,880.13			1,800.62		0.00	0.00

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THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

Account Number: 17-17862
Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
5181.37 WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	92890NAS8	08/17/2016	11/30/2012	5,181.37	5,181.29			0.08		0.00	0.00
5428.07 WFRBS COM1 MTG TR 2013-C17 1.154% 15 DEC 2046											
92938GAA6		08/17/2016	04/27/2015	5,428.07	5,426.59			1.48		0.00	0.00
6661.41 WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 .687% 15 OCT 2045	94988HAA9	08/17/2016	11/27/2012	6,661.41	6,665.57			-4.16		0.00	0.00
105000.0 COCA-COLA ENTERPRISES INC NEW 2.0% 19 AUG 2016											
19122TAD1		08/19/2016	Various	105,000.00	105,000.00			0.00		0.00	0.00
45000.0 DEVON ENERGY CORP NEW 2.25 DUE 12-15-2018											
25179MAT0		08/19/2016	03/17/2015	45,880.20	45,263.53			616.67		0.00	0.00
5733.75 GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036											
38378P6A1		08/20/2016	04/15/2014	5,733.75	5,944.29			-210.54		0.00	0.00
90000.0 AMERN EXPRESS CR CORP MEDIUM TERM NTS 1.125 DUE 06-05-2017											
0258M0DM8		08/24/2016	06/02/2014	90,041.40	89,942.40			99.00		0.00	0.00
132620.36 FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016											
3137ANLP8		08/25/2016	04/30/2014	132,620.36	135,075.91			-2,455.55		0.00	0.00
2730.28 FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018											
3137ATSB9		08/25/2016	09/27/2013	2,730.28	2,725.27			5.01		0.00	0.00

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7801.36 FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020	31378KWA4	08/25/2016	08/19/2015	7,801.36	7,791.60			9.76		0.00	0.00
976.9 GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033	361856CK1	08/25/2016	03/25/2014	976.90	929.50			47.40		0.00	0.00
5101.83 MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031	55352RAA6	08/25/2016	02/21/2014	5,101.83	4,741.55			360.28		0.00	0.00
90000.0 DAYTON PWR & LT CO 1.875% 15 SEP 2016	240019BS7	08/26/2016	08/27/2014	90,067.50	90,040.86			26.64		0.00	0.00
1856.48 FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024	3128MD5B5	09/02/2016	07/24/2014	1,856.48	1,927.55			-71.07		0.00	0.00
986.19 FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025	3136A2YE7	09/02/2016	01/15/2013	986.19	1,004.37			-18.18		0.00	0.00
5062.75 FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021	3136A3AV3	09/02/2016	01/27/2014	5,062.75	5,129.20			-66.45		0.00	0.00
5138.54 FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021	3136A3DS7	09/02/2016	01/27/2014	5,138.54	5,207.59			-69.05		0.00	0.00
1939.87 FNMA 2011-143 CL M 1.75% 25 JAN 2022	3136A3EG2	09/02/2016	01/24/2014	1,939.87	1,960.48			-20.61		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired				Other Basis				Ordinary	Capital	Withheld	Withheld
2350.6	FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022												
3136A4TW9	09/02/2016	09/23/2014			2,350.60	2,338.75				11.85		0.00	0.00
718.53	FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019												
3136A7MJ8	09/02/2016	04/28/2014			718.53	720.66				-2.13		0.00	0.00
16695.11	FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017												
3136A8G38	09/02/2016	Various			16,695.11	16,751.02				-55.91		0.00	0.00
3493.3	FEDERAL NATL MTG ASSN GTD REMIC PASS THRU TR 2013 M1 ASQ2 1.0738% 25 NOV 2016												
3136ABPT4	09/02/2016	09/24/2013			3,493.30	3,496.30				-3.00		0.00	0.00
694.46	FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018												
3136AGQM7	09/02/2016	02/18/2014			694.46	698.28				-3.82		0.00	0.00
8113.39	FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025												
3136AHVT4	09/02/2016	08/07/2014			8,113.39	8,257.91				-144.52		0.00	0.00
7235.55	FNMA FLTG RT SER 2014-M6 CL FA 25 DEC 2017												
3136AJ7D2	09/02/2016	06/12/2014			7,235.55	7,233.42				2.13		0.00	0.00
25030.66	FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017												
3136AKXS7	09/02/2016	08/13/2014			25,030.66	25,280.81				-250.15		0.00	0.00
17964.19	FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017												
3136ALYC9	09/02/2016	11/14/2014			17,964.19	18,143.45				-179.26		0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired							Withheld	Withheld
15750.36	FNMA .882% 25 APR 2018									
3136ANHL4	09/02/2016	04/15/2015		15,750.36	15,750.30			0.06	0.00	0.00
1338.82	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028									
3137A4BK2	09/02/2016	07/03/2013		1,338.82	1,357.65			-18.83	0.00	0.00
1817.18	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020									
3137A8WY0	09/02/2016	09/25/2013		1,817.18	1,852.39			-35.21	0.00	0.00
2902.74	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029									
3137B85D5	09/02/2016	02/24/2014		2,902.74	2,918.61			-15.87	0.00	0.00
3710.14	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019									
3137BBGR5	09/02/2016	05/08/2015		3,710.14	3,759.70			-49.56	0.00	0.00
4292.44	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023									
3137BEB86	09/02/2016	04/29/2015		4,292.44	4,361.52			-69.08	0.00	0.00
5617.46	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022									
3137GA5X1	09/02/2016	12/20/2012		5,617.46	5,760.53			-143.07	0.00	0.00
4436.84	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018									
31394JS40	09/02/2016	12/11/2012		4,436.84	4,686.41			-249.57	0.00	0.00
930.13	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032									
31394TX83	09/02/2016	01/04/2013		930.13	989.43			-59.30	0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired									Withheld	Tax	
19618.91	AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018												
03065LAB5	09/08/2016	04/08/2015	19,618.91	19,617.70						1.21	0.00	0.00	0.00
633.26	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020												
62889AAA1	09/09/2016	12/07/2012	633.26	635.34						-2.08	0.00	0.00	0.00
851.29	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047												
12591RAW0	09/12/2016	04/23/2015	851.29	851.16						0.13	0.00	0.00	0.00
1318.04	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047												
12591VAA9	09/12/2016	05/26/2015	1,318.04	1,317.22						0.82	0.00	0.00	0.00
849.1	COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046												
12626GAA1	09/12/2016	05/18/2015	849.10	850.43						-1.33	0.00	0.00	0.00
965.6	CMO CITIGROUP COMML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047												
17322AAA0	09/12/2016	05/28/2015	965.60	963.37						2.23	0.00	0.00	0.00
2161.76	GS MTG SECS TR 2012-GC19 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017												
36192PAC0	09/12/2016	08/18/2015	2,161.76	2,119.87						41.89	0.00	0.00	0.00
2859.01	UBS-BARCLAYS COMML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045												
90270RBA1	09/12/2016	12/06/2012	2,859.01	2,859.00						0.01	0.00	0.00	0.00
8740.06	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018												
34530GAD4	09/15/2016	07/01/2015	8,740.06	8,735.58						4.48	0.00	0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis									
14211.09	HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018	09/15/2016	14,211.09	14,208.57				2.52		0.00		0.00	0.00
43814JAC8	NISSAN AUTO .72% DUE 08-15-2018	09/15/2016	14,211.09	14,208.57				2.52		0.00		0.00	0.00
9027.97	NISSAN AUTO .72% DUE 08-15-2018	09/15/2016	14,211.09	14,208.57				2.52		0.00		0.00	0.00
65477PAC5	PSEG PWR LLC 5.32% 15 SEP 2016	09/15/2016	9,027.97	9,007.52				20.45		0.00		0.00	0.00
75000.0	PSEG PWR LLC 5.32% 15 SEP 2016	09/15/2016	9,027.97	9,007.52				20.45		0.00		0.00	0.00
69362BAS1	TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017	09/15/2016	75,000.00	75,000.00				0.00		0.00		0.00	0.00
5101.3	TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017	09/15/2016	75,000.00	75,000.00				0.00		0.00		0.00	0.00
89236WAB4	WFRBS COMML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	09/15/2016	5,101.30	5,101.25				0.05		0.00		0.00	0.00
5201.87	WFRBS COMML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	09/15/2016	5,101.30	5,101.25				0.05		0.00		0.00	0.00
92890NAS8	WFRBS COMML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045	09/16/2016	5,201.87	5,201.79				0.08		0.00		0.00	0.00
5452.96	WFRBS COMML MTG TR 2013-C17 1.154% 15 DEC 2046	09/16/2016	5,201.87	5,201.79				0.08		0.00		0.00	0.00
92938GAA6	WELLS FARGO COMML MTG TR 2012-LC5 COMML CL A-1 .687% 15 OCT 2045	09/16/2016	5,452.96	5,451.47				1.49		0.00		0.00	0.00
6689.36	WELLS FARGO COMML MTG TR 2012-LC5 COMML CL A-1 .687% 15 OCT 2045	09/16/2016	5,452.96	5,451.47				1.49		0.00		0.00	0.00
94988HAA9	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	09/16/2016	6,689.36	6,693.54				-4.18		0.00		0.00	0.00
6297.39	GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	09/16/2016	6,689.36	6,693.54				-4.18		0.00		0.00	0.00
38378P6A1	FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016	09/20/2016	6,297.39	6,528.62				-231.23		0.00		0.00	0.00
206033.72	FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016	09/20/2016	6,297.39	6,528.62				-231.23		0.00		0.00	0.00
3137ANLP8	Various	09/25/2016	206,033.72	209,487.20				-3,453.48		0.00		0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired							Other Basis					Withheld		
2744.03	FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018															
3137ATSB9	09/25/2016	09/27/2013						2,744.03	2,738.99				5.04	0.00	0.00	0.00
7841.86	FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020															
3137BKWA4	09/25/2016	08/19/2015						7,841.86	7,832.05				9.81	0.00	0.00	0.00
909.13	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033															
361856CK1	09/25/2016	03/25/2014						909.13	865.02				44.11	0.00	0.00	0.00
7004.33	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031															
55352RAA6	09/25/2016	Various						7,004.33	6,509.68				494.65	0.00	0.00	0.00
1525.16	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024															
3128MD5B5	10/04/2016	07/24/2014						1,525.16	1,583.54				-58.38	0.00	0.00	0.00
2860.31	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026															
3136A0F69	10/04/2016	09/29/2015						2,860.31	2,912.15				-51.84	0.00	0.00	0.00
757.71	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025															
3136A2YE7	10/04/2016	01/15/2013						757.71	771.68				-13.97	0.00	0.00	0.00
5191.68	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021															
3136A3AV3	10/04/2016	01/27/2014						5,191.68	5,259.82				-68.14	0.00	0.00	0.00
4443.29	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021															
3136A3DS7	10/04/2016	01/27/2014						4,443.29	4,503.00				-59.71	0.00	0.00	0.00

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FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018	4091.2										
	31394JS40	10/04/2016	12/11/2012	4,091.20	4,321.33			-230.13		0.00	0.00
FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032	821.41										
	31394TX83	10/04/2016	01/04/2013	821.41	873.77			-52.36		0.00	0.00
WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 .687% 15 OCT 2045	7289.16										
	94988HAA9	10/04/2016	11/27/2012	7,289.16	7,293.72			-4.56		0.00	0.00
MARATHON OIL CORP 2.7% 01 JUN 2020	30000.0										
	565849AN6	10/05/2016	Various	29,562.90	29,851.82			-288.92		0.00	0.00
AMERICREDIT AUTOMOBILE RECEIVABLES .83% 10 SEP 2018	2946.38										
	03065LAB5	10/08/2016	04/08/2015	2,946.38	2,946.20			0.18		0.00	0.00
NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020	13961.61										
	62889AAA1	10/11/2016	12/07/2012	13,961.61	14,007.42			-45.81		0.00	0.00
COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046	1006.14										
	12626GAA1	10/12/2016	05/18/2015	1,006.14	1,007.71			-1.57		0.00	0.00
CMO CITIGROUP COML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047	1074.7										
REG	17322AAA0	10/12/2016	05/28/2015	1,074.70	1,072.22			2.48		0.00	0.00
GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	2370.07										
	36192PAC0	10/12/2016	08/18/2015	2,370.07	2,324.15			45.92		0.00	0.00

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 Recipient's Name and Address:
 THE CUMMINS FOUNDATION
 AARON KALUF
 301 JACKSON ST
 MC91671
 COLUMBUS, IN 47201

Account Number: 17-17862
 Recipient's Tax ID Number: XX-XXX2373

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip Number	Date Sold or Disposed	Date Acquired									Withheld	Withheld
3117.43	UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045											
90270RBA1	10/12/2016	12/06/2012			3,117.43		3,117.42			0.01	0.00	0.00
935.75	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047											
12591RAW0	10/13/2016	04/23/2015			935.75		935.60			0.15	0.00	0.00
1452.65	COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047											
12591VAA9	10/13/2016	05/26/2015			1,452.65		1,451.74			0.91	0.00	0.00
8054.66	FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018											
34530GAD4	10/15/2016	07/01/2015			8,054.66		8,050.75			3.91	0.00	0.00
524.03	FORD CR AUTO OWNER 1.28% DUE 09-15-2019											
34530QAD2	10/15/2016	03/17/2015			524.03		523.99			0.04	0.00	0.00
13345.11	HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018											
43814JAC8	10/15/2016	11/19/2014			13,345.11		13,342.74			2.37	0.00	0.00
11496.64	HUNTINGTON AUTO TR 2015-1 CL A3 1.24% DUE 16 SEP 2019											
44614UAC3	10/15/2016	06/03/2015			11,496.64		11,494.84			1.80	0.00	0.00
8590.19	NISSAN AUTO .72% DUE 08-15-2018											
65477PAC5	10/15/2016	02/25/2015			8,590.19		8,570.73			19.46	0.00	0.00
5595.69	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045											
92890NAS8	10/15/2016	11/30/2012			5,595.69		5,595.61			0.08	0.00	0.00

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6095.96 WFRBS COML MTG TR 2013-C17 1.154% 15 DEC 2046											
92938GAA6		10/15/2016	04/27/2015	6,095.96	6,094.29			1.67		0.00	0.00
6000.0 DOLPHIN SUBSIDIARY II INC 6.5% 15 OCT 2016											
256882AB7		10/17/2016	02/27/2013	6,000.00	6,000.00			0.00		0.00	0.00
85000.0 LIBERTY PPTY LTD 5.5% DUE 12-15-2016/12-18-2006 BEO											
53117CAK8		10/17/2016	11/26/2012	85,715.96	85,490.54			225.42		0.00	0.00
948.91 TOYOTA AUTO RECEIVABLES 2015-A .71% 17 JUL 2017											
89236WAB4		10/17/2016	02/24/2015	948.91	948.90			0.01		0.00	0.00
85000.0 21ST CENTY FOX AMER INC 8.0% 17 OCT 2016											
90131HAK1		10/17/2016	Various	85,000.00	85,000.00			0.00		0.00	0.00
5793.24 GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036											
38378P6A1		10/20/2016	04/15/2014	5,793.24	6,005.96			-212.72		0.00	0.00
36509.55 FEDERAL HOME LN MTG CORP SER K501 CL A2 1.655 11-25-2016											
3137ANLP8		10/25/2016	08/06/2014	36,509.55	37,082.86			-573.31		0.00	0.00
3054.33 FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018											
3137ATS89		10/25/2016	09/27/2013	3,054.33	3,048.72			5.61		0.00	0.00
45795.95 FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020											
3137BKWA4		10/25/2016	08/19/2015	45,795.95	45,738.66			57.29		0.00	0.00

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957.06	GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033										
361856CK1	10/25/2016 03/25/2014	957.06	910.63					46.43		0.00	0.00
5992.44	MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031										
55352RAA6	10/25/2016 02/21/2014	5,992.44	5,569.22					423.22		0.00	0.00
9268.49	SLM STUDENT LN TR SER 2005-10 CL A4 FLT RT 10-25-2019 BEO										
78442GRG3	10/25/2016 11/27/2012	9,268.49	9,251.11					17.38		0.00	0.00
1349.77	FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024										
3128MD5B5	11/02/2016 07/24/2014	1,349.77	1,401.44					-51.67		0.00	0.00
2612.28	FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026										
3136A0F69	11/02/2016 09/29/2015	2,612.28	2,659.63					-47.35		0.00	0.00
987.69	FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025										
3136A2YE7	11/02/2016 01/15/2013	987.69	1,005.90					-18.21		0.00	0.00
3516.09	FNMA SR 2011-145 CL A 1.75% DUE 25 JUN 2021										
3136A3AV3	11/02/2016 01/27/2014	3,516.09	3,562.24					-46.15		0.00	0.00
4435.61	FNMA SR 2011-143 CL AC 1.75% DUE 25 APR 2021										
3136A3DS7	11/02/2016 01/27/2014	4,435.61	4,495.21					-59.60		0.00	0.00
1707.98	FNMA 2011-143 CL M 1.75% 25 JAN 2022										
3136A3EG2	11/02/2016 01/24/2014	1,707.98	1,726.13					-18.15		0.00	0.00

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896.3 FNMA REMIC TR 2012-M2 CL-A1 1.824% 25 FEB 2022	3136A4TW9	11/02/2016	09/23/2014	896.30	891.78			4.52		0.00	0.00
741.22 FEDERAL NATIONAL MTG ASSN 1.513% 25 DEC 2017	3136A7L26	11/02/2016	09/09/2015	741.22	745.22			-4.00		0.00	0.00
7424.51 FNMA REMIC TR 2012-M8 CL-ASQ2 1.52042% 25 DEC 2019	3136A7MJ8	11/02/2016	04/28/2014	7,424.51	7,446.55			-22.04		0.00	0.00
62874.37 FNMA REMIC SER 2012-M13 CL ASQ2 1.246% 25 AUG 2017	3136A8G38	11/02/2016	09/22/2015	62,874.37	63,149.45			-275.08		0.00	0.00
7393.39 FNMA REMIC TR 2013-M13 CL-A 1.6% 25 MAY 2018	3136AGQM7	11/02/2016	02/18/2014	7,393.39	7,434.11			-40.72		0.00	0.00
6581.13 FNMA SR 2013-136 CL KA 2.0% 25 MAY 2025	3136AHVT4	11/02/2016	08/07/2014	6,581.13	6,698.36			-117.23		0.00	0.00
9762.84 FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017	3136AJD2	11/02/2016	06/12/2014	9,762.84	9,759.96			2.88		0.00	0.00
17364.46 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017	3136AKXS7	11/02/2016	08/13/2014	17,364.46	17,537.99			-173.53		0.00	0.00
13610.6 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017	3136ALYC9	11/02/2016	11/14/2014	13,610.60	13,746.42			-135.82		0.00	0.00

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Description of property										Federal Income Tax		State Tax
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Withheld	Withheld		
13634.81	FNMA .882% 25 APR 2018											
3136ANHL4	11/02/2016	04/15/2015	13,634.81	13,634.76			0.05		0.00	0.00		
1167.18	FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019											
3136AOCY4	11/02/2016	10/07/2015	1,167.18	1,167.19			-0.01		0.00	0.00		
1234.6	FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028											
3137A4BK2	11/02/2016	07/03/2013	1,234.60	1,251.96			-17.36		0.00	0.00		
1824.92	FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020											
3137A8WY0	11/02/2016	09/25/2013	1,824.92	1,860.28			-35.36		0.00	0.00		
3013.65	FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029											
3137B85D5	11/02/2016	02/24/2014	3,013.65	3,030.13			-16.48		0.00	0.00		
3271.45	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019											
3137BBGR5	11/02/2016	05/08/2015	3,271.45	3,315.15			-43.70		0.00	0.00		
4153.34	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86	11/02/2016	04/29/2015	4,153.34	4,220.18			-66.84		0.00	0.00		
2699.52	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024											
3137BERD8	11/02/2016	10/20/2015	2,699.52	2,748.45			-48.93		0.00	0.00		
5788.19	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022											
3137GA5X1	11/02/2016	12/20/2012	5,788.19	5,935.61			-147.42		0.00	0.00		

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Cusip Number	Date Sold or Disposed	Date Acquired								Withheld	State Tax Withheld
4131.07	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018										
31394JS40	11/02/2016	12/11/2012			4,131.07	4,363.44			-232.37	0.00	0.00
620.35	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032										
31394TX83	11/02/2016	01/04/2013			620.35	659.90			-39.55	0.00	0.00
1198.37	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1 1.591% 11-15-2048										
61690VAU2	11/02/2016	10/28/2015			1,198.37	1,198.34			0.03	0.00	0.00
15704.95	WFRBS COML MTG TR 2012-C10 COML MTG PASSTHRU CTF A-1 .734% 15 DEC 2045										
92890NAS8	11/02/2016	11/30/2012			15,704.95	15,704.72			0.23	0.00	0.00
5505.99	WFRBS COML MTG TR 2013-C17 1.154% 15 DEC 2046										
92938GAA6	11/02/2016	04/27/2015			5,505.99	5,504.48			1.51	0.00	0.00
12106.71	WELLS FARGO COML MTG TR 2012-LC5 COML CL A-1 687% 15 OCT 2045										
94988HAA9	11/02/2016	11/27/2012			12,106.71	12,114.28			-7.57	0.00	0.00
1522.75	WELLS FARGO COML MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048										
94989WAP2	11/02/2016	10/29/2015			1,522.75	1,522.74			0.01	0.00	0.00
10381.41	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020										
62889AAA1	11/09/2016	12/07/2012			10,381.41	10,415.47			-34.06	0.00	0.00
859.16	COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047										
12591RAW0	11/11/2016	04/23/2015			859.16	859.03			0.13	0.00	0.00

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COMM 2014-CORE16 MTG TR 1.445% 10 APR 2047	1329.99												
12591VAA9		11/11/2016	05/26/2015	1,329.99	1,329.16			0.83			0.00		0.00
COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046	917.16												
12626GAA1		11/11/2016	05/18/2015	917.16	918.59			-1.43			0.00		0.00
CMO CITIGROUP COML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047	5706.83												
17322AAA0		11/11/2016	05/28/2015	5,706.83	5,693.68			13.15			0.00		0.00
GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017	2181.49												
36192PAC0		11/11/2016	08/18/2015	2,181.49	2,139.22			42.27			0.00		0.00
UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045	2883.39												
90270RBA1		11/11/2016	12/06/2012	2,883.39	2,883.39			0.00			0.00		0.00
FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018	7343.5												
34530GAD4		11/15/2016	07/01/2015	7,343.50	7,340.13			3.37			0.00		0.00
FORD CR AUTO OWNER 1.28% DUE 09-15-2019	8046.52												
34530QAD2		11/15/2016	03/17/2015	8,046.52	8,045.88			0.64			0.00		0.00
HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018	12571.65												
43814JAC8		11/15/2016	11/19/2014	12,571.65	12,569.42			2.23			0.00		0.00
HUNTINGTON AUTO TR 2015-1 CL A3 1.24% DUE 16 SEP 2019	15662.41												
44614UAC3		11/15/2016	06/03/2015	15,662.41	15,659.95			2.46			0.00		0.00

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NISSAN AUTO .72% DUE 08-15-2018	7475.49										
65477PAC5		11/15/2016	02/25/2015	7,475.49	7,458.55			16.94		0.00	0.00
GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	5884.18										
38378P6A1		11/20/2016	04/15/2014	5,884.18	6,100.24			-216.06		0.00	0.00
FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018	2773.22							5.09		0.00	0.00
3137ATS89		11/25/2016	09/27/2013	2,773.22	2,768.13						
FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020	7880.05										
3137BKWA4		11/25/2016	08/19/2015	7,880.05	7,870.19			9.86		0.00	0.00
GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033	872.27										
361856CK1		11/25/2016	03/25/2014	872.27	829.95			42.32		0.00	0.00
MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031	5921.83										
55352RAA6		11/25/2016	02/21/2014	5,921.83	5,503.60			418.23		0.00	0.00
FEDERAL HOME LN MTG CORP POOL #G15142 3.0% DUE 01 JUL 2024	2577.05										
3128MD5B5		12/02/2016	07/24/2014	2,577.05	2,675.70			-98.65		0.00	0.00
FNMA REMIC TR SER 2011-88 CL-AB 2.5% 25 SEP 2026	2464.87										
3136AOF69		12/02/2016	09/29/2015	2,464.87	2,509.55			-44.68		0.00	0.00
FNMA SER 2011-122 CL A 3.0% DUE 25 DEC 2025	1612.08										
3136A2YE7		12/02/2016	01/15/2013	1,612.08	1,641.80			-29.72		0.00	0.00

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Cusip Number	Date Sold or Disposed	Acquired								Withheld	State Tax Withheld
4649.87	FNMA SR 2011-145 CL A	1.75% DUE 25 JUN 2021									
3136A3AV3	12/02/2016	01/27/2014			4,649.87	4,710.90			-61.03	0.00	0.00
4508.83	FNMA SR 2011-143 CL AC	1.75% DUE 25 APR 2021									
3136A3DS7	12/02/2016	01/27/2014			4,508.83	4,569.42			-60.59	0.00	0.00
1795.05	FNMA 2011-143 CL M	1.75% 25 JAN 2022									
3136A3EG2	12/02/2016	01/24/2014			1,795.05	1,814.12			-19.07	0.00	0.00
2636.07	FNMA REMIC TR 2012-M2 CL-A1	1.824% 25 FEB 2022									
3136A4TW9	12/02/2016	09/23/2014			2,636.07	2,622.78			13.29	0.00	0.00
30940.94	FEDERAL NATIONAL MTG ASSN	1.513% 25 DEC 2017									
3136A7L26	12/02/2016	09/09/2015			30,940.94	31,107.73			-166.79	0.00	0.00
37316.2	FNMA REMIC TR 2012-M8 CL-ASQ2	1.52042% 25 DEC 2019									
3136A7MJ8	12/02/2016	04/28/2014			37,316.20	37,426.98			-110.78	0.00	0.00
46930.32	FNMA REMIC SER 2012-M13 CL-ASQ2	1.246% 25 AUG 2017									
3136A8G38	12/02/2016	09/22/2015			46,930.32	47,135.64			-205.32	0.00	0.00
242.52	FNMA REMIC TR 2013-M13 CL-A	1.6% 25 MAY 2018									
3136AGQM7	12/02/2016	02/18/2014			242.52	243.86			-1.34	0.00	0.00
5851.7	FNMA SR 2013-136 CL KA	2.0% 25 MAY 2025									
3136AHVT4	12/02/2016	08/07/2014			5,851.70	5,955.93			-104.23	0.00	0.00

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Recipient's Tax ID Number: XX-XXX2373

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE CUMMINS FOUNDATION
AARON KALUF
301 JACKSON ST
MC91671
COLUMBUS, IN 47201

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Ref: AET

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
10468.85 FNMA FLTGT RT SER 2014-M6 CL FA 25 DEC 2017	3136AJ7D2	12/02/2016	06/12/2014	10,468.85	10,465.77			3.08		0.00	0.00
8225.92 FNMA SER 2014-M9 CL ASQ2 1.462% 25 APR 2017	3136AKXS7	12/02/2016	08/13/2014	8,225.92	8,308.13			-82.21		0.00	0.00
8874.48 FNMA REMIC SER 2014-M13 CLS ASQ2 1.637% 11-25-2017	3136ALYC9	12/02/2016	11/14/2014	8,874.48	8,963.04			-88.56		0.00	0.00
16485.81 FNMA .882% 25 APR 2018	3136ANHL4	12/02/2016	04/15/2015	16,485.81	16,485.76			0.05		0.00	0.00
3396.74 FNMA SER 2015-M1 CL ASQ1 .856% 25 SEP 2019	3136AQCY4	12/02/2016	10/07/2015	3,396.74	3,396.76			-0.02		0.00	0.00
19671.16 FNMA REMIC TR 2015-M15 CL-ASQ1 .84847% 25 JAN 2019	3136AQJ2	12/02/2016	11/06/2015	19,671.16	19,646.57			24.59		0.00	0.00
1460.6 FEDERAL HOME LN MTG CORP SER 3768 CL DE 2.25% DUE 15 NOV 2028	3137A4BK2	12/02/2016	07/03/2013	1,460.60	1,481.14			-20.54		0.00	0.00
1668.65 FEDERAL HOME LN MTG CORP SER 3836 CL MC 2.0% 15 MAY 2020	3137A8WY0	12/02/2016	09/25/2013	1,668.65	1,700.98			-32.33		0.00	0.00
2595.69 FEDERAL HOME LN MTG CORP SER 004302 CL AB 1.75% 15 NOV 2029	3137B85D5	12/02/2016	02/24/2014	2,595.69	2,609.89			-14.20		0.00	0.00

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Cusip Number											Withheld	Withheld
3331.68	FEDERAL HOME LN MTG CORP SER 4350 CL CA 2.0% 15 OCT 2019											
3137BGR5	12/02/2016	05/08/2015	3,331.68	3,376.19						-44.51	0.00	0.00
3832.59	FEDERAL HOME LN MTG CORP SER 4383 CL JC 2.0% DUE 15 MAY 2023											
3137BEB86	12/02/2016	04/29/2015	3,832.59	3,894.27						-61.68	0.00	0.00
2746.68	FEDERAL HOME LN MTG CORP SER 4399 CL A 2.5% 15 JUL 2024											
3137BERD8	12/02/2016	10/20/2015	2,746.68	2,796.46						-49.78	0.00	0.00
4370.05	FEDERAL HOME LN MTG CORP SER 3726 CL EA 2.0% 15 JUL 2022											
3137GA5X1	12/02/2016	12/20/2012	4,370.05	4,481.35						-111.30	0.00	0.00
4054.74	FHLMC MULTICLASS PREASSIGN 00707 4 09-15-2018											
31394JS40	12/02/2016	12/11/2012	4,054.74	4,282.82						-228.08	0.00	0.00
932.45	FEDERAL HOME LN MTG CORP SER 2764 CL UE 5.0% 15 OCT 2032											
31394TX83	12/02/2016	01/04/2013	932.45	991.89						-59.44	0.00	0.00
50000.0	VERIZON 1.35% DUE 06-09-2017											
92343VCE2	12/05/2016	06/04/2014	50,160.50	49,975.00						185.50	0.00	0.00
45000.0	ENSCO PLC FORMERLY ENSCO INTL PLC 4.7% 15 MAR 2021											
29358QAA7	12/07/2016	07/21/2015	43,735.50	44,995.50						-1,260.00	0.00	0.00
847.83	NCUA GTD NTS TR 2010-A1 GTD SR NT 07 DEC 2020											
62889AAA1	12/08/2016	12/07/2012	847.83	850.61						-2.78	0.00	0.00

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943.4 COMM 2014-CCRE15 MTG TR 1.218% 10 FEB 2047											
12591RAW0		12/12/2016	04/23/2015	943.40	943.25			0.15		0.00	0.00
1464.27 COMM 2014-CCRE16 MTG TR 1.445% 10 APR 2047											
12591VAA9		12/12/2016	05/26/2015	1,464.27	1,463.35			0.92		0.00	0.00
1014.51 COMM 2013-LC13 MTG TR MTG PASSTHRU CTF CL A-1 1.309% 10 AUG 2046											
12626GAA1		12/12/2016	05/18/2015	1,014.51	1,016.09			-1.58		0.00	0.00
1077.01 CMO CITIGROUP COML MTG TR 2014-GC19 MTG CL A-1 1.268 03-10-2047											
17322AAA0		12/12/2016	05/28/2015	1,077.01	1,074.53			2.48		0.00	0.00
2389.25 GS MTG SECS TR 2012-GCJ9 MTG PASS THRU CTF CL A-1 .662% 10 JUN 2017											
36192PAC0		12/12/2016	08/18/2015	2,389.25	2,342.96			46.29		0.00	0.00
3141.11 UBS-BARCLAYS COML MTG TR 2012-C4 CL A-1 .67280% 10 DEC 2045											
90270RBA1		12/12/2016	12/06/2012	3,141.11	3,141.10			0.01		0.00	0.00
6916.75 FORD CR AUTO OWNER .67% DUE 04-15-2018 04-15-2018											
34530GAD4		12/15/2016	07/01/2015	6,916.75	6,913.77			2.98		0.00	0.00
7616.57 FORD CR AUTO OWNER 1.28% DUE 09-15-2019											
34530QAD2		12/15/2016	03/17/2015	7,616.57	7,615.96			0.61		0.00	0.00
11996.55 HONDA AUTO RECEIVABLES OWNER TRUST SER 2014-4 CL A-3 .99% 17 SEP 2018											
43814JAC8		12/15/2016	11/19/2014	11,996.55	11,994.42			2.13		0.00	0.00

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15339.35	HUNTINGTON AUTO TR 2015-1 CL A3 1.24% DUE 16 SEP 2019									
44614UAC3	12/15/2016	06/03/2015	15,339.35	15,336.94			2.41		0.00	0.00
7181.13	NISSAN AUTO .72% DUE 08-15-2018									
65477PAC5	12/15/2016	Various	7,181.13	7,166.66			14.47		0.00	0.00
1318.81	MORGAN STANLEY BK AMER MERRILL LYNCH TR SER 2015-C26 CL A-1									
61690VAU2	12/16/2016	10/28/2015	1,318.81	1,318.78			0.03		0.00	0.00
10124.92	WFRBS COM1 MTG TR 2012-C10 COM1 MTG PASSTHRU CTF A-1 .734% 15 DEC 2045									
92890NAS8	12/16/2016	11/30/2012	10,124.92	10,124.77			0.15		0.00	0.00
14938.99	WFRBS COM1 MTG TR 2013-C14 MTG PASS THRU CTF CL A-1 .836% 15 JUN 2046									
92890PAA2	12/16/2016	11/20/2015	14,938.99	14,821.69			117.30		0.00	0.00
4358.68	WFRBS COM1 MTG TR SER 2013-C13 CL A-1 .778% 17 MAY 2045									
92937UAA6	12/16/2016	11/20/2015	4,358.68	4,326.33			32.35		0.00	0.00
6172.22	WFRBS COM1 MTG TR 2013-C17 1.154% 15 DEC 2046									
92938GAA6	12/16/2016	04/27/2015	6,172.22	6,170.53			1.69		0.00	0.00
13179.86	WELLS FARGO COM1 MTG TR 2012-LC5 COM1 CL A-1 .687% 15 OCT 2045									
94988HAA9	12/16/2016	11/27/2012	13,179.86	13,188.10			-8.24		0.00	0.00
1699.92	WELLS FARGO COM1 MTG TR SER 2015-C31 CL A1 1.679% DUE 15 NOV 2048									
94989WAP2	12/16/2016	10/29/2015	1,699.92	1,699.91			0.01		0.00	0.00

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GNMA SER 2014-018 CL HJ 3.0% 20 JUL 2036	5827.45										
	38378P6A1	12/20/2016	04/15/2014	5,827.45	6,041.43			-213.98		0.00	0.00
FEDERAL HOME LN MTG CORP SER KP01 CL A1 1.383% 25 MAR 2018	3082.77										
	3137ATS9	12/25/2016	09/27/2013	3,082.77	3,077.11			5.66		0.00	0.00
FEDERAL HOME LN MTG CORP VAR RT TRANCHE 00481 25 JAN 2020	61657.62										
	3137BKWA4	12/25/2016	08/19/2015	61,657.62	61,580.49			77.13		0.00	0.00
MSCC HELOC TR SER 2007-1 CL A FLT RT 25 DEC 2031	5400.1										
	55352RAA6	12/25/2016	02/21/2014	5,400.10	5,018.72			381.38		0.00	0.00
GMACM HOME EQUITY LN TR 1.27111% 25 APR 2033	957.82										
	361856CK1	12/27/2016	03/25/2014	957.82	911.35			46.47		0.00	0.00
Total Long Term Sales				7,892,089.16	7,923,207.67	0.00	0.00	-31,118.51		-0.00	0.00

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