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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2016**

**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016**

Name of foundation
GUNNERSON FAMILY FOUNDATION INC

**A Employer identification number**
35-2032165

Number and street (or P O box number if mail is not delivered to street address) Room/suite
10333 N MERIDIAN ST SUITE 250

**B** Telephone number (see instructions)
(317) 573-4848

City or town, state or province, country, and ZIP or foreign postal code
INDIANAPOLIS, IN 46290

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 726,145

**J** Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 41,500 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 6 | 6 | 6 | |
| | 4 | Dividends and interest from securities | 14,217 | 11,918 | 14,217 | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | -6,860 | | | |
| | b | Gross sales price for all assets on line 6a 600,721 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 48,863 | 11,924 | 14,223 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 5,750 | 5,750 | 5,750 | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 655 | 655 | 655 | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | 500 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 8,279 | 8,279 | 8,279 | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 14,684 | 14,684 | 14,684 | 500 |
| | 25 | Contributions, gifts, grants paid | 49,500 | | | 49,500 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 64,184 | 14,684 | 14,684 | 50,000 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -15,321 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 0 | | |
| | c | **Adjusted net income**(if negative, enter -0-) | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 21,237 | 40,713 | 40,713 |
| | 3 | Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | | | |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 690,965 | 656,168 | 685,432 |
| | 14 | Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 712,202 | 696,881 | 726,145 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 712,202 | 696,881 | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶** ☐ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 712,202 | 696,881 | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 712,202 | 696,881 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 712,202 |
| 2 | Enter amount from Part I, line 27a | 2 | -15,321 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 696,881 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 696,881 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | SEE ATTACHED - MS ST | P | | |
| b | SEE ATTACHED - MS ST | P | | |
| c | SEE ATTACHED - MS LT | P | | |
| d | SEE ATTACHED - MS LT | P | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 168,191 | | 172,788 | -4,597 |
| b | 6,355 | | 7,246 | -891 |
| c | 404,781 | | 407,938 | -3,157 |
| d | 17,552 | | 19,609 | -2,057 |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -4,597 |
| b | | | | -891 |
| c | | | | -3,157 |
| d | | | | -2,057 |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | -6,860 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2015 | 51,775 | 750,865 | 0 068954 |
| 2014 | 60,500 | 777,086 | 0 077855 |
| 2013 | 66,500 | 752,898 | 0 088325 |
| 2012 | 61,500 | 720,077 | 0 085408 |
| 2011 | 69,746 | 725,029 | 0 096198 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 416740 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 083348 |
| 4 | Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 710,594 |
| 5 | Multiply line 4 by line 3 | 5 | 59,227 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | |
| 7 | Add lines 5 and 6 | 7 | 59,227 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 50,000 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)** | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 0 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | |
| 3 | Add lines 1 and 2. | | 3 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | |
| 6 | Credits/Payments | | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a 842 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d. | | 7 | 842 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 842 |
| 11 | Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 842 | **Refunded** ▶ | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?. | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year?. | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year?. | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year?. | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶IN | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* . | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

**14** The books are in care of ▶MARK E DRAPER CPA Telephone no ▶(317) 573-4848

Located at ▶10333 N MERIDIAN ST SUITE 250 INDIANAPOLIS IN ZIP+4 ▶46290

**15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | 1c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No<br>If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 ).* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | **No** |
| | *If "Yes" to 6b, file Form 8870* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000. ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

| | |
|---|---|
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶ | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| **1** | |
| **2** | |
| **3** | |
| **4** | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| **1** N/A | |
| **2** | |
| All other program-related investments See instructions | |
| **3** | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 682,103 |
| b | Average of monthly cash balances. | 1b | 39,312 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | 1d | 721,415 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e** | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | 721,415 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 10,821 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 710,594 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | 6 | 35,530 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | 1 | 35,530 |
| 2a | Tax on investment income for 2016 from Part VI, line 5. **2a** | | |
| b | Income tax for 2016 (This does not include the tax from Part VI ). **2b** | | |
| c | Add lines 2a and 2b. | 2c | |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 35,530 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 35,530 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 35,530 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 50,000 |
| b | Program-related investments—total from Part IX-B. | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 50,000 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 50,000 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|---|---|---|---|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 35,530 |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only. | | | | |
| b Total for prior years 20___, 20___, 20___ | | | | |
| 3 Excess distributions carryover, if any, to 2016 | | | | |
| a From 2011. . . . . . 34,327 | | | | |
| b From 2012. . . . . . 25,814 | | | | |
| c From 2013. . . . . . 29,027 | | | | |
| d From 2014. . . . . . 22,401 | | | | |
| e From 2015. . . . . . 14,323 | | | | |
| f **Total** of lines 3a through e. | 125,892 | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 50,000 | | | | |
| a Applied to 2015, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2016 distributable amount. | | | | 35,530 |
| e Remaining amount distributed out of corpus | 14,470 | | | |
| 5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 140,362 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount —see instructions | | | | |
| e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) | 34,327 | | | |
| 9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a | 106,035 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2012. . . . 25,814 | | | | |
| b Excess from 2013. . . . 29,027 | | | | |
| c Excess from 2014. . . . 22,401 | | | | |
| d Excess from 2015. . . . 14,323 | | | | |
| e Excess from 2016. . . . 14,470 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2016 | **(b)** 2015 | **(c)** 2014 | **(d)** 2013 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test—enter **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . ▶ **3a** | | | | 49,500 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 6 | |
| 4 Dividends and interest from securities. . . . | | | 14 | 14,217 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 14 | 3,842 | -10,702 |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | | | 18,065 | -10,702 |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . **13** 7,363

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash. | **1a(1)** | | **No** |
| **(2)** Other assets. | **1a(2)** | | **No** |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | **No** |
| **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | **No** |
| **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | **No** |
| **(4)** Reimbursement arrangements. | **1b(4)** | | **No** |
| **(5)** Loans or loan guarantees. | **1b(5)** | | **No** |
| **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | **No** |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | **No** |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2017-08-01 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☑ **Yes** ☐ **No**

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| MARK E DRAPER | | 2017-08-01 | | P01014045 |

| | |
|---|---|
| Firm's name ▶ DRAPER & ASSOCIATES | Firm's EIN ▶35-1859884 |
| Firm's address ▶ 10333 N MERIDIAN ST SUITE 250<br>INDIANAPOLIS, IN 46290 | Phone no (317) 573-4848 |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SAMUEL G GUNNERSON<br>30528 N 126TH LANE<br>PEORIA, AZ 85383 | DIRECTOR<br>2 00 | 0 | 0 | 0 |
| NORMA D GUNNERSON<br>30528 N 126TH LANE<br>PEORIA, AZ 85383 | DIRECTOR<br>2 00 | 0 | 0 | 0 |
| DIANA L NOVARA<br>6760 W COUNTY ROAD 20<br>LOVELAND, CO 80537 | PRESIDENT<br>2 00 | 0 | 0 | 0 |
| STEVEN G GUNNERSON<br>7181 W MARIPOSA GRANDE LANE<br>PEORIA, AZ 85383 | DIRECTOR<br>2 00 | 0 | 0 | 0 |
| ROBERT E GUNNERSON<br>31467 CORTE MADERA<br>TEMECULA, CA 92592 | DIRECTOR<br>2 00 | 0 | 0 | 0 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| MANCHESTER COLLEGE<br>604 E COLLEGE AVE<br>NORTH MANCHESTER, IN 46962 | N/A | PUBLIC | CHARITABLE | 10,000 |
| SALVATION ARMY<br>27525 JEFFERSON AVE<br>TEMECULA, CA 92590 | N/A | PUBLIC | CHARITABLE | 3,000 |
| SALVATION ARMY<br>2707 E VAN BUREN STREET<br>PHOENIX, AZ 85072 | N/A | PUBLIC | CHARITABLE | 3,000 |
| NEIGHBORHOOD MINITRIES<br>1918 WEST VAN BUREN STREE<br>PHOENIX, AZ 85009 | N/A | PUBLIC | CHARITABLE | 3,000 |
| PURDUE CHRISTIAN HOUSE<br>1000 W STATE STREET<br>WEST LAFAYETTE, IN 47906 | N/A | PUBLIC | CHARITABLE | 3,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 49,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| PHOENIX RESCUE MISSION<br>PO BOX 6708<br>PHOENIX, AZ 850056708 | N/A | PUBLIC | CHARITABLE | 3,000 |
| ST MARY'S FOOD BANK<br>2831 N 31ST AVE<br>PHOENIX, AZ 85009 | N/A | PUBLIC | CHARITABLE | 3,000 |
| USO PO BOX 96860<br>WASHINGTON, DC 20077 | N/A | PUBLIC | CHARITABLE | 1,000 |
| SALVATION ARMY PO BOX 2432<br>LOVELAND, CO 805392432 | N/A | PUBLIC | CHARITABLE | 3,000 |
| HOUSE OF NEIGHBORLY SERVI<br>565 N CLEVELAND AVE<br>LOVELAND, CO 80537 | N/A | PUBLIC | CHARITABLE | 3,000 |
| **Total . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a** | | | | 49,500 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| FOOD BANK FOR LARIMER CY<br>PO BOX 271215<br>FORT COLLINS, CO 805279909 | N/A | PUBLIC | CHARITABLE | 3,000 |
| STREET LIGHT PHOENIX<br>C/O CCV 7007 W HAPPY VALLEY RD<br>PEORIA, AZ 85383 | N/A | PUBLIC | CHARITABLE | 1,500 |
| TEMECULA PANTRY<br>28922 PUJOL STREET<br>TEMECULA, CA 92590 | N/A | PUBLIC | CHARITABLE | 3,000 |
| ALTERNATIVES TO VIOLENCE<br>313 EAST 4TH STREET<br>LOVELAND, CO 80537 | N/A | PUBLIC | CHARITABLE | 2,000 |
| DISABLED AMERICAN VETERANS<br>PO BOX 14301<br>CINCINNATI, OH 452500301 | N/A | PUBLIC | CHARITABLE | 2,000 |
| **Total . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a** | | | | 49,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ASSISTANCE LEAGUE OF TEMECULA VLLY<br>28720 VIA MONTEZUMA<br>TEMECULA, CA 925902510 | N/A | PUBLIC | CHARITABLE | 3,000 |
| **Total . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a** | | | | 49,500 |

# TY 2016 Accounting Fees Schedule

**Name:** GUNNERSON FAMILY FOUNDATION INC

**EIN:** 35-2032165

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ACCOUNTING FEES | 5,750 | 5,750 | 5,750 | |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491222007227 |
|---|---|---|

# TY 2016 Investments - Other Schedule

**Name:** GUNNERSON FAMILY FOUNDATION INC

**EIN:** 35-2032165

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| MSDW ACCT 337081152101 SEE ATTACHED | AT COST | 656,168 | 685,432 |

# TY 2016 Other Expenses Schedule

**Name:** GUNNERSON FAMILY FOUNDATION INC

**EIN:** 35-2032165

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXPENSES | | | | |
| BROKERAGE ACCOUNT FEES | 7,242 | 7,242 | 7,242 | |
| OFFICE SUPPLIES | 236 | 236 | 236 | |
| MISCELLANEOUS | 801 | 801 | 801 | |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491222007227 |
|---|---|---|

# TY 2016 Taxes Schedule

**Name:** GUNNERSON FAMILY FOUNDATION INC

**EIN:** 35-2032165

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 655 | 655 | 655 | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491222007227

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047

**2016**

**Name of the organization**
GUNNERSON FAMILY FOUNDATION INC

**Employer identification number**
35-2032165

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
GUNNERSON FAMILY FOUNDATION INC

**Employer identification number**
35-2032165

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | SAMUEL G GUNNERSON<br>30528 N 126TH LANE<br>PEORIA, AZ 85383 | $ 41,500 | Person ☑<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |

**Name of organization**
GUNNERSON FAMILY FOUNDATION INC

**Employer identification number**
35-2032165

**Part II** **Noncash Property**
(see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
GUNNERSON FAMILY FOUNDATION INC

**Employer identification number**
35-2032165

**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

**Select UMA Active Assets Account 337-081152-083**

**GUNNERSON FAMILY FOUNDATION INC 30528 N 126TH LN**

# Account Detail

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **3M COMPANY (MMM)** | 3/7/16 | 1.000 | $159.993 | $178.570 | $159.99 | $178.57 | $18.58 ST | | |
| | 3/22/16 | 2.000 | 165 385 | 178.570 | 330.77 | 357.14 | 26.37 ST | | |
| | 4/25/16 | 3 000 | 167 953 | 178 570 | 503.86 | 535.71 | 31.85 ST | | |
| | **Total** | 6.000 | | | 994.62 | **1,071.42** | 76.80 ST | 27.00 | 2 52 |
| *Next Dividend Payable 03/2017, Asset Class. Equities* | | | | | | | | | |
| **ABBOTT LABORATORIES (ABT)** | 6/29/12 | 4 000 | 30 728 | 38.410 | 122.91 | 153.64 | 30.73 LT | | |
| | 10/2/13 | 3.000 | 33.537 | 38.410 | 100.61 | 115.23 | 14.62 LT | | |
| | 1/22/14 | 1.000 | 37.900 | 38.410 | 37.90 | 38.41 | 0.51 LT | | |
| | 4/25/16 | 12.000 | 44 048 | 38.410 | 528.57 | 460 92 | (67 65) ST | | |
| | 5/2/16 | 2.000 | 38.720 | 38.410 | 77.44 | 76.82 | (0.62) ST | | |
| | **Total** | 22.000 | | | 867.43 | **845.02** | 45 86 LT<br>(68.27) ST | 23.00 | 2.72 |
| *Next Dividend Payable 02/2017, Asset Class: Equities* | | | | | | | | | |
| **ABBVIE INC COM (ABBV)** | 8/4/14 | 4 000 | 53.353 | 62.620 | 213.41 | **250.48** | 37 07 LT | 10.00 | 3.99 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **ACCENTURE PLC IRELAND CL A (ACN)** | 4/25/16 | 37 000 | 114.009 | 117.130 | 4,218 33 | 4,333 81 | 115 48 ST | | |
| | 4/25/16 | 4.000 | 114.074 | 117.130 | 456.30 | 468.52 | 12.22 ST | | |
| | 4/25/16 | 1.000 | 114.074 | 117.130 | 114.07 | 117.13 | 3.06 ST | | |
| | 4/25/16 | 5.000 | 114.009 | 117.130 | 570.05 | 585.65 | 15.60 ST | | |
| | 6/24/16 | 1 000 | 113.170 | 117 130 | 113 17 | 117.13 | 3.96 ST | | |
| | 11/11/16 | 1 000 | 120.160 | 117.130 | 120.16 | 117.13 | (3.03) ST | | |
| | 11/11/16 | 11.000 | 120.160 | 117 130 | 1,321 76 | 1,288.43 | (33.33) ST | | |
| | **Total** | 60.000 | | | 6,913.84 | **7,027.80** | 113.96 ST | 145.00 | 2.06 |
| *Next Dividend Payable 05/2017; Asset Class: Equities* | | | | | | | | | |
| **ACTELION LTD UNSPON ADR (ALIOY)** | 4/25/16 | 45.000 | 40.126 | 54 450 | 1,805 68 | 2,450 25 | 644 57 ST | | |
| | 6/13/16 | 3.000 | 40 333 | 54 450 | 121 00 | 163.35 | 42.35 ST | | |
| | 7/15/16 | 3.000 | 42.993 | 54.450 | 128.98 | 163.35 | 34.37 ST | | |
| | **Total** | 51.000 | | | 2,055 66 | **2,776.95** | 721.29 ST | | |
| *Asset Class. Equities* | | | | | | | | | |
| **ADOBE SYSTEMS (ADBE)** | 4/25/16 | 43.000 | 95.563 | 102.950 | 4,109.19 | 4,426.85 | 317.66 ST | | |
| | 4/29/16 | 1.000 | 94.000 | 102.950 | 94 00 | 102.95 | 8.95 ST | | |
| | 6/27/16 | 1 000 | 91 070 | 102.950 | 91.07 | 102.95 | 11.88 ST | | |
| | **Total** | 45 000 | | | 4,294.26 | **4,632.75** | 338.49 ST | — | — |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| *Asset Class: Equities* | | | | | | | | | |
| **ALEXANDRIA REAL ESTATE EQ INC (ARE)** | 4/25/16 | 3.000 | 93.033 | 111.130 | 279.10 | **333.39** | 54.29 ST | 10 00 | 2 99 |
| *Next Dividend Payable 01/17/17; Asset Class: Alt* | | | | | | | | | |
| **ALIGN TECHNOLOGY (ALGN)** | 4/25/16 | 18 000 | 74 336 | 96.130 | 1,338.04 | 1,730.34 | 392.30 ST | | |
| | 4/27/16 | 1.000 | 74.570 | 96 130 | 74.57 | 96.13 | 21.56 ST | | |
| | 12/9/16 | 8 000 | 98.814 | 96.130 | 790 51 | 769.04 | (21.47) ST | | |
| | **Total** | 27.000 | | | 2,203.12 | **2,595.51** | 392.39 ST | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **ALPHABET INC CL A (GOOGL)** | 2/9/11 | 1.000 | 309.440 | 792.450 | 309 44 | 792.45 | 483.01 LT | | |
| | 2/25/11 | 1.000 | 306 730 | 792.450 | 306.73 | 792 45 | 485.72 LT | | |
| | 8/24/11 | 1.000 | 260 050 | 792.450 | 260.05 | 792 45 | 532.40 LT | | |
| | 3/6/12 | 1.000 | 300.870 | 792 450 | 300.87 | 792.45 | 491.58 LT | | |
| | 5/10/12 | 1.000 | 306 710 | 792.450 | 306.71 | 792 45 | 485.74 LT | | |
| | **Total** | 5.000 | | | 1,483.80 | **3,962.25** | 2,478.45 LT | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **ALPHABET INC CL C (GOOG)** | 2/9/11 | 1.000 | 305.100 | 771.820 | 305.10 | 771.82 | 466 72 LT | | |
| | 2/25/11 | 1.000 | 305.750 | 771.820 | 305.75 | 771.82 | 466.07 LT | | |
| | 8/24/11 | 1.000 | 259.220 | 771.820 | 259.22 | 771 82 | 512.60 LT | | |
| | 3/6/12 | 1.000 | 299.910 | 771.820 | 299.91 | 771.82 | 471 91 LT | | |
| | 5/10/12 | 1.000 | 304.210 | 771.820 | 304.21 | 771 82 | 467.61 LT | | |
| | 4/25/16 | 2.000 | 722 630 | 771.820 | 1,445.26 | 1,543 64 | 98.38 ST | | |
| | 4/27/16 | 1 000 | 697.330 | 771.820 | 697.33 | 771.82 | 74.49 ST | | |
| | **Total** | 8 000 | | | 3,616.78 | **6,174.56** | 2,384.91 LT<br>172.87 ST | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **AMERIPRISE FINCL INC (AMP)** | 4/25/16 | 4.000 | 98.660 | 110 940 | 394.64 | 443.76 | 49.12 ST | | |
| | 5/3/16 | 1 000 | 95.330 | 110.940 | 95 33 | 110.94 | 15.61 ST | | |
| | **Total** | 5.000 | | | 489.97 | **554.70** | 64.73 ST | 15.00 | 2.70 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **ANALOG DEVICES INC (ADI)** | 4/25/16 | 15.000 | 58.355 | 72.620 | 875 32 | 1,089.30 | 213.98 ST | | |
| | 9/28/16 | 1.000 | 63.850 | 72.620 | 63.85 | 72 62 | 8.77 ST | | |
| | **Total** | 16.000 | | | 939.17 | **1,161.92** | 222.75 ST | 27 00 | 2 32 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **AON PLC SHS CL-A (AON)** | 7/9/15 | 12 000 | 100.233 | 111.530 | 1,202.79 | 1,338.36 | 135.57 LT | | |
| | 10/1/15 | 6.000 | 88.015 | 111.530 | 528.09 | 669.18 | 141 09 LT | | |
| | 4/25/16 | 16.000 | 103.299 | 111.530 | 1,652.78 | 1,784.48 | 131.70 ST | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 5/6/16 | 1 000 | 104.680 | 111.530 | 104.68 | 111.53 | 6.85 ST | | |
| | 6/14/16 | 1.000 | 107.790 | 111.530 | 107.79 | 111.53 | 3.74 ST | | |
| | **Total** | 36 000 | | | 3,596.13 | **4,015.08** | 276.66 LT<br>142.29 ST | 48.00 | 1 19 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **APPLE INC (AAPL)** | 11/8/12 | 3 000 | 77.923 | 115.820 | 233.77 | 347.46 | 113.69 LT | | |
| | 11/8/12 | 2 000 | 77 923 | 115.820 | 155.85 | 231.64 | 75.79 LT | | |
| | 5/21/14 | 7 000 | 86 584 | 115.820 | 606.09 | 810.74 | 204.65 LT | | |
| | 4/25/16 | 7.000 | 105.227 | 115 820 | 736.59 | 810.74 | 74.15 ST | | |
| | **Total** | 19.000 | | | 1,732.30 | **2,200.58** | 394 13 LT<br>74.15 ST | 43.00 | 1.95 |
| *Next Dividend Payable 02/2017, Asset Class: Equities* | | | | | | | | | |
| **ARTHUR J GALLAGHER (AJG)** | 4/25/16 | 14.000 | 44.818 | 51 960 | 627.45 | **727.44** | 99.99 ST | 21.00 | 2.88 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **ASHTEAD GROUP PLC ADR (ASHTY)** | 7/9/14 | 1.000 | 62.788 | 79.400 | 62.79 | 79.40 | 16 61 LT | | |
| | 7/21/14 | 4.000 | 63.673 | 79.400 | 254.69 | 317.60 | 62 91 LT | | |
| | 3/31/15 | 5 000 | 64.822 | 79.400 | 324 11 | 397.00 | 72 89 LT | | |
| | 5/13/15 | 5.000 | 74.980 | 79.400 | 374.90 | 397 00 | 22.10 LT | | |
| | 4/25/16 | 8 000 | 53.510 | 79.400 | 428 08 | 635.20 | 207.12 ST | | |
| | 7/21/16 | 1 000 | 64.090 | 79.400 | 64.09 | 79.40 | 15.31 ST | | |
| | **Total** | 24.000 | | | 1,508.66 | **1,905.60** | 174.51 LT<br>222.43 ST | 28.00 | 1 46 |
| *Asset Class: Equities* | | | | | | | | | |
| **ASSA ABLOY AB UNSP ADR (ASAZY)** | 9/7/10 | 36.000 | 3.597 | 9.250 | 129.48 | 333.00 | 203.52 LT | | |
| | 12/13/10 | 18.000 | 4.630 | 9.250 | 83.34 | 166.50 | 83.16 LT | | |
| | 2/22/11 | 54.000 | 4.553 | 9 250 | 245.88 | 499.50 | 253.62 LT | | |
| | 7/24/12 | 21 000 | 4.667 | 9.250 | 98.00 | 194.25 | 96.25 LT | | |
| | 8/19/13 | 18.000 | 7.363 | 9.250 | 132.54 | 166.50 | 33.96 LT | | |
| | 8/28/13 | 12.000 | 7.247 | 9 250 | 86.96 | 111.00 | 24.04 LT | | |
| | 3/11/14 | 36 000 | 8.403 | 9.250 | 302.52 | 333.00 | 30.48 LT | | |
| | 10/3/14 | 6.000 | 8.338 | 9.250 | 50 03 | 55.50 | 5.47 LT | | |
| | 5/13/15 | 51.000 | 9.802 | 9 250 | 499.92 | 471.75 | (28.17) LT | | |
| | 4/25/16 | 187.000 | 10 118 | 9.250 | 1,891.99 | 1,729.75 | (162.24) ST | | |
| | **Total** | 439.000 | | | 3,520.66 | **4,060.75** | 702.33 LT<br>(162.24) ST | 52 00 | 1.28 |
| *Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **ASSOC BRITISH FDS PLC ADR-NEW (ASBFY)** | 12/10/12 | 12 000 | 24.304 | 33.820 | 291.64 | 405.84 | 14.20 LT | | |
| | 8/19/13 | 3 000 | 29.820 | 33.820 | 89.46 | 101.46 | 12.00 LT | | |
| | 3/11/14 | 5.000 | 49 490 | 33.820 | 247.45 | 169.10 | (78.35) LT | | |
| | 3/14/14 | 1.000 | 47.530 | 33 820 | 47.53 | 33.82 | (13.71) LT | | |
| | 5/13/15 | 5.000 | 45 240 | 33.820 | 226.20 | 169.10 | (57.10) LT | | |
| | 4/25/16 | 19 000 | 45.784 | 33.820 | 869.89 | 642 58 | (227.31) ST | | |
| | 5/10/16 | 1.000 | 45.170 | 33.820 | 45 17 | 33 82 | (11.35) ST | | |
| | 6/10/16 | 1.000 | 41.100 | 33.820 | 41 10 | 33.82 | (7.28) ST | | |
| | 6/27/16 | 1.000 | 31.660 | 33.820 | 31 66 | 33.82 | 2.16 ST | | |
| | **Total** | 48.000 | | | 1,890.10 | **1,623.36** | (22.96) LT<br>(243.78) ST | 20.00 | 1.23 |
| *Asset Class: Equities* | | | | | | | | | |
| **AUTOMATIC DATA PROCESSING INC (ADP)** | 4/25/16 | 49.000 | 89.520 | 102 780 | 4,386.48 | 5,036.22 | 649 74 ST | | |
| | 4/25/16 | 8 000 | 89.535 | 102.780 | 716.28 | 822 24 | 05.96 ST | | |
| | 5/6/16 | 2 000 | 86.925 | 102.780 | 173.85 | 205 56 | 31.71 ST | | |
| | 12/9/16 | 2.000 | 98.055 | 102.780 | 196.11 | 205.56 | 9 45 ST | | |
| | 12/12/16 | 3.000 | 98.230 | 102.780 | 294.69 | 308.34 | 13 65 ST | | |
| | **Total** | 64.000 | | | 5,767.41 | **6,577.92** | 810 51 ST | 146.00 | 2.22 |
| *Next Dividend Payable 01/01/17; Asset Class. Equities* | | | | | | | | | |
| **AVALONBAY COMM INC (AVB)** | 4/25/16 | 4 000 | 181.003 | 177.150 | 724.01 | 708.60 | (15.41) ST | | |
| | 5/10/16 | 1.000 | 191 740 | 177.150 | 191.74 | 177.15 | (14 59) ST | | |
| | **Total** | 5.000 | | | 915.75 | **885.75** | (30.00) ST | 27.00 | 3.04 |
| *Next Dividend Payable 01/16/17; Asset Class· Alt* | | | | | | | | | |
| **BANCA MEDIOLANUM S P A ADR (BNCDY)** | 5/9/16 | 55.000 | 16 622 | 14.420 | 914.20 | 793.10 | (121.10) ST | | |
| | 5/27/16 | 55.000 | 16.859 | 14 420 | 927.26 | 793.10 | (134.16) ST | | |
| | 6/13/16 | 4.000 | 15.083 | 14.420 | 60 33 | 57.68 | (2.65) ST | | |
| | 6/14/16 | 4 000 | 14.363 | 14.420 | 57 45 | 57 68 | 0.23 ST | | |
| | **Total** | 118 000 | | | 1,959.24 | **1,701.56** | (257.68) ST | 26.00 | 1.52 |
| *Asset Class. Equities* | | | | | | | | | |
| **BANK OF AMERICA CORP (BAC)** | 7/27/16 | 74 000 | 14.607 | 22.100 | 1,080.93 | 1,635.40 | 554.47 ST | | |
| | 8/1/16 | 2.000 | 14.390 | 22.100 | 28.78 | 44.20 | 15.42 ST | | |
| | 8/5/16 | 2.000 | 15.010 | 22.100 | 30.02 | 44.20 | 14.18 ST | | |
| | 8/16/16 | 19.000 | 15.105 | 22.100 | 286.99 | 419.90 | 32.91 ST | | |
| | 8/17/16 | 4.000 | 15.128 | 22.100 | 60.51 | 88 40 | 27.89 ST | | |
| | 8/30/16 | 9.000 | 15.986 | 22 100 | 143 87 | 198.90 | 55.03 ST | | |
| | 8/30/16 | 3 000 | 16 077 | 22.100 | 48.23 | 66.30 | 18.07 ST | | |

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 9/29/16 | 2 000 | 15.225 | 22.100 | 30.45 | 44.20 | 13.75 ST | | |
| | 11/4/16 | 24 000 | 16.626 | 22.100 | 399.03 | 530.40 | 131.37 ST | | |
| | **Total** | 139 000 | | | 2,108.81 | **3,071.90** | 963.09 ST | 42.00 | 1.36 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **BB & T CORP (BBT)** | 4/25/16 | 32.000 | 35.458 | 47.020 | 1,134.64 | 1,504.64 | 370.00 ST | | |
| | 12/9/16 | 2.000 | 46.900 | 47.020 | 93.80 | 94.04 | 0.24 ST | | |
| | **Total** | 34 000 | | | 1,228.44 | **1,598.68** | 370.24 ST | 41 00 | 2 56 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **BECTON DICKINSON & CO (BDX)** | 4/25/16 | 8.000 | 160.092 | 165 550 | 1,280.74 | **1,324.40** | 43.66 ST | 23.00 | 1.73 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **BEST BUY CO (BBY)** | 4/25/16 | 13.000 | 32.558 | 42.670 | 423 25 | 554.71 | 131 46 ST | | |
| | 5/23/16 | 1.000 | 32 970 | 42.670 | 32.97 | 42.67 | 9.70 ST | | |
| | 8/5/16 | 1.000 | 34 110 | 42.670 | 34.11 | 42 67 | 8.56 ST | | |
| | **Total** | 15.000 | | | 490 33 | **640.05** | 149.72 ST | 17.00 | 2.65 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **BHP BILLITON LTD (BHP)** | 6/9/10 | 2.000 | 61.924 | 35 780 | 123.85 | 71.56 | (52.29) LT | | |
| | 11/26/10 | 1 000 | 83.360 | 35.780 | 83 36 | 35.78 | (47.58) LT | | |
| | 2/17/11 | 4.000 | 93.440 | 35.780 | 373.76 | 143 12 | (230.64) LT | | |
| | 2/25/11 | 4.000 | 93.075 | 35.780 | 372.30 | 143 12 | (229.18) LT | | |
| | 10/19/12 | 5.000 | 71 682 | 35.780 | 358.41 | 178.90 | (179.51) LT | | |
| | 8/19/13 | 2 000 | 67 200 | 35.780 | 134.40 | 71.56 | (62.84) LT | | |
| | 3/11/14 | 3 000 | 63.917 | 35.780 | 191.75 | 107.34 | (84 41) LT | | |
| | 3/14/14 | 1.000 | 64.160 | 35.780 | 64.16 | 35.78 | (28.38) LT | | |
| | 5/8/15 | 1.000 | 50.700 | 35 780 | 50.70 | 35 78 | (14.92) LT | | |
| | 5/13/15 | 5.000 | 51 758 | 35.780 | 258.79 | 178.90 | (79.89) LT | | |
| | 10/12/15 | 20.000 | 37.622 | 35.780 | 752 43 | 715.60 | (36.83) LT | | |
| | 2/5/16 | 7.000 | 22.721 | 35.780 | 159.05 | 250.46 | 91 41 ST | | |
| | 4/25/16 | 47.000 | 30.445 | 35.780 | 1,430.92 | 1,681.66 | 250.74 ST | | |
| | 5/6/16 | 3.000 | 28.067 | 35.780 | 84.20 | 107.34 | 23 14 ST | | |
| | 6/14/16 | 3.000 | 26.067 | 35.780 | 78.20 | 107.34 | 29 14 ST | | |
| | 8/26/16 | 10.000 | 32.918 | 35.780 | 329 18 | 357.80 | 28.62 ST | | |
| | 10/12/16 | 3.000 | 35.407 | 35 780 | 106.22 | 107.34 | 1 12 ST | | |
| | **Total** | 121.000 | | | 4,951 68 | **4,329.38** | (1,046.47) LT<br>424 17 ST | 73 00 | 1.68 |
| *Next Dividend Payable 03/2017; Asset Class. Equities* | | | | | | | | | |
| **BLACKROCK INC (BLK)** | 4/25/16 | 6.000 | 362.798 | 380.540 | 2,176 79 | **2,283.24** | 106.45 ST | 55 00 | 2.40 |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **BOSTON PROPERTIES INC (BXP)** | 4/25/16 | 4.000 | 130.076 | 125 780 | 520.30 | **503.12** | (17.18) ST | 12.00 | 2.38 |
| *Next Dividend Payable 01/30/17; Asset Class: Alt* | | | | | | | | | |
| **BRISTOL MYERS SQUIBB CO (BMY)** | 4/25/16 | 19.000 | 70.560 | 58.440 | 1,340 64 | **1,110.36** | (230.28) ST | 30 00 | 2 70 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **CANADIAN NATL RAILWAY CO (CNI)** | 7/25/16 | 1.000 | 63 400 | 67.400 | 63 40 | 67.40 | 4.00 ST | | |
| | 7/25/16 | 27.000 | 63.346 | 67 400 | 1,710.34 | 1,819 80 | 09.46 ST | | |
| | 8/8/16 | 6 000 | 62.298 | 67.400 | 373.79 | 404 40 | 30.61 ST | | |
| | 9/23/16 | 1.000 | 64.210 | 67.400 | 64.21 | 67 40 | 3.19 ST | | |
| | 9/23/16 | 6 000 | 64.598 | 67.400 | 387.59 | 404 40 | 16.81 ST | | |
| | **Total** | 41 000 | | | 2,599.33 | **2,763.40** | 164.07 ST | 46.00 | 1.66 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **CAP GEMINI SA ADR (CGEMY)** | 2/17/16 | 49.000 | 16.370 | 16.815 | 802.14 | 823.93 | 21.79 ST | | |
| | 4/25/16 | 42 000 | 17.997 | 16 815 | 755.86 | 706.22 | (49.64) ST | | |
| | 6/2/16 | 2.000 | 24.605 | 16.815 | 49.21 | 33 62 | (15.59) ST | | |
| | 6/13/16 | 2.000 | 23.825 | 16.815 | 47.65 | 33.62 | (14.03) ST | | |
| | 6/20/16 | 27.000 | 19 910 | 16.815 | 537 56 | 454.00 | (83.56) ST | | |
| | 6/24/16 | 5.000 | 8.938 | 16.815 | 44.69 | 84.07 | 39.38 ST | | |
| | **Total** | 127.000 | | | 2,237.11 | **2,135.50** | (101.65) ST | 30.00 | 1.40 |
| *Asset Class: Equities* | | | | | | | | | |
| **CAPITAL ONE FINANCIAL CORP (COF)** | 8/3/15 | 7 000 | 81.127 | 87.240 | 567.89 | 610 68 | 42.79 LT | | |
| | 4/25/16 | 5.000 | 74.840 | 87.240 | 374.20 | 436 20 | 62.00 ST | | |
| | 12/9/16 | 1.000 | 89 960 | 87.240 | 89.96 | 87.24 | (2.72) ST | | |
| | **Total** | 13.000 | | | 1,032.05 | **1,134.12** | 42.79 LT<br>59.28 ST | 21.00 | 1 85 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **CELGENE CORP (CELG)** | 4/25/16 | 37.000 | 111 306 | 115.750 | 4,118 34 | 4,282.75 | 164 41 ST | | |
| | 4/29/16 | 1.000 | 102.610 | 115.750 | 102 61 | 115.75 | 13.14 ST | | |
| | 12/23/16 | 5.000 | 118.434 | 115 750 | 592.17 | 578.75 | (13.42) ST | | |
| | **Total** | 43.000 | | | 4,813.12 | **4,977.25** | 164.13 ST | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **CHEVRON CORP (CVX)** | 4/25/16 | 21.000 | 101.060 | 117 700 | 2,122.26 | 2,471 70 | 349.44 ST | | |
| | 5/23/16 | 1.000 | 99.890 | 117.700 | 99.89 | 117.70 | 17.81 ST | | |
| | **Total** | 22.000 | | | 2,222.15 | **2,589.40** | 367.25 ST | 95.00 | 3.66 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **CHUBB LTD (CB)** | 7/17/13 | 3 000 | 94.003 | 132.120 | 282.01 | 396.36 | 114.35 LT | | |
| | 8/19/13 | 1 000 | 89.170 | 132.120 | 89.17 | 132.12 | 42.95 LT | | |
| | 8/28/13 | 1 000 | 87 740 | 132.120 | 87.74 | 132.12 | 44.38 LT | | |
| | 3/11/14 | 1.000 | 97.360 | 132.120 | 97.36 | 132.12 | 34.76 LT | | |
| | 5/8/15 | 1.000 | 108 570 | 132.120 | 108.57 | 132.12 | 23.55 LT | | |
| | **Total** | 7.000 | | | 664.85 | **924.84** | 259.99 LT | 19.00 | 2.05 |
| *Next Dividend Payable 01/20/17; Asset Class: Equities* | | | | | | | | | |
| **CINCINNATI FINANCIAL OHIO (CINF)** | 4/25/16 | 7.000 | 64 357 | 75.750 | 450.50 | 530 25 | 79.75 ST | | |
| | 4/27/16 | 1.000 | 66.300 | 75 750 | 66.30 | 75.75 | 9 45 ST | | |
| | **Total** | 8.000 | | | 516.80 | **606.00** | 89.20 ST | 15 00 | 2 47 |
| *Next Dividend Payable 01/17/17; Asset Class: Equities* | | | | | | | | | |
| **CME GROUP INC (CME)** | 12/17/15 | 20.000 | 95.396 | 115.350 | 1,907 91 | **2,307.00** | 399.09 LT | 48 00 | 2.08 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **CMS ENERGY CP (CMS)** | 4/25/16 | 20.000 | 39.490 | 41.620 | 789.79 | 832 40 | 42.61 ST | | |
| | 4/29/16 | 1 000 | 40.290 | 41.620 | 40.29 | 41 62 | 1.33 ST | | |
| | **Total** | 21.000 | | | 830.08 | **874.02** | 43 94 ST | 26.00 | 2.97 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **COCA COLA CO (KO)** | 5/8/13 | 11.000 | 42.263 | 41.460 | 464.89 | 456 06 | (8.83) LT | | |
| | 5/8/13 | 1.000 | 42.263 | 41.460 | 42.26 | 41.46 | (0 80) LT | | |
| | 6/27/14 | 3.000 | 42.077 | 41.460 | 126.23 | 124.38 | (1 85) LT | | |
| | **Total** | 15 000 | | | 633.38 | **621.90** | (11.48) LT | 21.00 | 3 37 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **COMCAST CORP (NEW) CLASS A (CMCSA)** | 4/30/14 | 5.000 | 51.782 | 69.050 | 258.91 | 345.25 | 86.34 LT | | |
| | 8/20/14 | 6.000 | 54.672 | 69.050 | 328 03 | 414.30 | 86.27 LT | | |
| | 2/9/16 | 2.000 | 57 835 | 69.050 | 115.67 | 138.10 | 22.43 ST | | |
| | 4/14/16 | 1 000 | 62.194 | 69.050 | 62.19 | 69.05 | 6.86 ST | | |
| | **Total** | 14.000 | | | 764.80 | **966.70** | 72.61 LT<br>29.29 ST | 15.00 | 1.55 |
| *Next Dividend Payable 01/25/17; Asset Class: Equities* | | | | | | | | | |
| **COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)** | 6/19/13 | 24.000 | 9.272 | 6 560 | 222.52 | 157.44 | (65.08) LT | | |
| | 8/19/13 | 16.000 | 10 090 | 6 560 | 161.44 | 104.96 | (56.48) LT | | |
| | 8/28/13 | 5.000 | 9.500 | 6 560 | 47.50 | 32.80 | (14.70) LT | | |
| | 3/11/14 | 23.000 | 9.750 | 6.560 | 224.25 | 150.88 | (73.37) LT | | |
| | 5/13/15 | 37.000 | 8.828 | 6.560 | 326.64 | 242.72 | (83.92) LT | | |
| | 3/9/16 | 18 000 | 6 718 | 6 560 | 120.93 | 118.08 | (2 85) ST | | |
| | 4/25/16 | 107.000 | 6.546 | 6.560 | 700 42 | 701 92 | 1.50 ST | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 4/27/16 | 6.000 | 6.668 | 6 560 | 40.01 | 39.36 | (0 65) ST | | |
| | 6/13/16 | 5.000 | 5.888 | 6 560 | 29.44 | 32 80 | 3.36 ST | | |
| | 6/24/16 | 7 000 | 5.717 | 6.560 | 40.02 | 45 92 | 5.90 ST | | |
| | 6/27/16 | 5 000 | 5.440 | 6.560 | 27 20 | 32.80 | 5.60 ST | | |
| | **Total** | 253.000 | | | 1,940.37 | **1,659.68** | (293.55) LT<br>12.86 ST | 25.00 | 1 50 |
| *Asset Class: Equities* | | | | | | | | | |
| **COMPASS GROUP PLC (CMPGY)** | 6/15/15 | 71.000 | 17.407 | 18.680 | 1,235.92 | 1,326.28 | 90.36 LT | | |
| | 7/10/15 | 18 000 | 17.199 | 18.680 | 309.58 | 336.24 | 26.66 LT | | |
| | 9/1/15 | 21.000 | 15 720 | 18.680 | 330.12 | 392.28 | 62.16 LT | | |
| | 4/25/16 | 81.000 | 17 951 | 18 680 | 1,454.07 | 1,513.08 | 59.01 ST | | |
| | 5/4/16 | 4.000 | 17 728 | 18.680 | 70.91 | 74 72 | 3.81 ST | | |
| | 6/13/16 | 4 000 | 18.250 | 18.680 | 73.00 | 74.72 | 1.72 ST | | |
| | 6/14/16 | 5.000 | 17.766 | 18.680 | 88.83 | 93.40 | 4.57 ST | | |
| | **Total** | 204.000 | | | 3,562.43 | **3,810.72** | 179.18 LT<br>69.11 ST | 76.00 | 1 99 |
| *Asset Class: Equities* | | | | | | | | | |
| **CONOCOPHILLIPS (COP)** | 4/25/16 | 19.000 | 45.947 | 50.140 | 872 99 | 952.66 | 79.67 ST | | |
| | 4/25/16 | 1 000 | 45.920 | 50.140 | 45 92 | 50.14 | 4.22 ST | | |
| | 4/26/16 | 2.000 | 47.485 | 50.140 | 94.97 | 100 28 | 5.31 ST | | |
| | 4/28/16 | 1 000 | 49.010 | 50.140 | 49.01 | 50 14 | 1.13 ST | | |
| | 4/29/16 | 1 000 | 47.110 | 50.140 | 47.11 | 50.14 | 3.03 ST | | |
| | 5/10/16 | 2 000 | 42.390 | 50.140 | 84 78 | 100.28 | 15.50 ST | | |
| | 5/11/16 | 1 000 | 44.340 | 50.140 | 44 34 | 50.14 | 5.80 ST | | |
| | 6/7/16 | 3.000 | 47.473 | 50.140 | 142.42 | 150.42 | 8 00 ST | | |
| | 6/8/16 | 1.000 | 47.680 | 50.140 | 47.68 | 50.14 | 2 46 ST | | |
| | 6/21/16 | 1.000 | 44.930 | 50.140 | 44.93 | 50.14 | 5 21 ST | | |
| | 6/22/16 | 5.000 | 44 138 | 50.140 | 220.69 | 250 70 | 30.01 ST | | |
| | 6/23/16 | 1.000 | 45 110 | 50.140 | 45.11 | 50.14 | 5.03 ST | | |
| | 11/2/16 | 3.000 | 43 263 | 50.140 | 129.79 | 150 42 | 20.63 ST | | |
| | 11/9/16 | 1.000 | 45 820 | 50.140 | 45.82 | 50.14 | 4.32 ST | | |
| | 11/18/16 | 5.000 | 44.830 | 50.140 | 224.15 | 250.70 | 26 55 ST | | |
| | **Total** | 47.000 | | | 2,139.71 | **2,356.58** | 216.87 ST | 47.00 | 1.99 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **CONTL AG SPONS ADR (CTTAY)** | 1/23/15 | 18.000 | 45.718 | 38.500 | 822.93 | 693.00 | (129.93) LT | | |
| | 5/13/15 | 3.000 | 47.663 | 38.500 | 142.99 | 115.50 | (27.49) LT | | |
| | 4/25/16 | 15.000 | 43.752 | 38.500 | 656 28 | 577.50 | (78.78) ST | | |

## Account Detail

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 4/25/16 | 1.000 | 43.760 | 38.500 | 43.76 | 38.50 | (5.26) ST | | |
| | 5/3/16 | 1.000 | 43.120 | 38 500 | 43.12 | 38 50 | (4.62) ST | | |
| | 6/27/16 | 1.000 | 36.880 | 38 500 | 36.88 | 38 50 | 1.62 ST | | |
| | 10/20/16 | 13.000 | 38.431 | 38 500 | 499.60 | 500.50 | 0.90 ST | | |
| | **Total** | 52.000 | | | 2,245.56 | **2,002.00** | (157.42) LT<br>(86.14) ST | 32.00 | 1.59 |
| *Asset Class: Equities* | | | | | | | | | |
| **CULLEN FROST BANKERS INC (CFR)** | 4/25/16 | 8.000 | 60 311 | 88.230 | 482.49 | 705 84 | 223.35 ST | | |
| | 5/19/16 | 1.000 | 62.840 | 88 230 | 62.84 | 88 23 | 25.39 ST | | |
| | **Total** | 9.000 | | | 545 33 | **794.07** | 248 74 ST | 19.00 | 2.39 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **DAIWA HOUSE IND LTD ADR (DWAHY)** | 4/26/13 | 8.000 | 22.311 | 27 150 | 178.48 | 217.20 | 38 72 LT | | |
| | 7/18/13 | 10.000 | 19.316 | 27.150 | 193 16 | 271.50 | 78 34 LT | | |
| | 8/28/13 | 10 000 | 18.098 | 27.150 | 180 98 | 271.50 | 90.52 LT | | |
| | 8/29/13 | 10 000 | 18.310 | 27.150 | 183.10 | 271 50 | 88.40 LT | | |
| | 3/11/14 | 20.000 | 18.660 | 27.150 | 373.20 | 543 00 | 169.80 LT | | |
| | 5/23/14 | 10 000 | 18.509 | 27.150 | 185.09 | 271.50 | 86.41 LT | | |
| | 10/3/14 | 14 000 | 17.863 | 27.150 | 250.08 | 380.10 | 130.02 LT | | |
| | 5/13/15 | 24.000 | 22.458 | 27.150 | 538.98 | 651.60 | 112.62 LT | | |
| | 4/25/16 | 69.000 | 27.946 | 27.150 | 1,928.29 | 1,873.35 | (54 94) ST | | |
| | 5/5/16 | 4.000 | 26 510 | 27.150 | 106.04 | 108.60 | 2.56 ST | | |
| | 6/13/16 | 4.000 | 28 755 | 27 150 | 115.02 | 108.60 | (6.42) ST | | |
| | 6/27/16 | 5.000 | 27.608 | 27.150 | 138.04 | 135.75 | (2.29) ST | | |
| | **Total** | 188 000 | | | 4,370.46 | **5,104.20** | 794.83 LT<br>(61.09) ST | 105 00 | 2 05 |
| *Asset Class: Equities* | | | | | | | | | |
| **DISCOVER FINCL SVCS (DFS)** | 4/25/16 | 5.000 | 56 696 | 72.090 | 283.48 | 360 45 | 76.97 ST | | |
| | 4/25/16 | 5.000 | 56 696 | 72.090 | 283.48 | 360 45 | 76.97 ST | | |
| | 9/1/16 | 1.000 | 59.610 | 72.090 | 59.61 | 72.09 | 12 48 ST | | |
| | 12/9/16 | 1.000 | 72.420 | 72.090 | 72 42 | 72.09 | (0.33) ST | | |
| | **Total** | 12.000 | | | 698.99 | **865.08** | 166.09 ST | 14.00 | 1.61 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **DOLLAR GEN CORP NEW COM (DG)** | 7/27/16 | 19.000 | 95 579 | 74 070 | 1,816.00 | 1,407.33 | (408.67) ST | | |
| | 8/25/16 | 2.000 | 76.265 | 74.070 | 152 53 | 148.14 | (4.39) ST | | |
| | 8/26/16 | 1.000 | 75.550 | 74.070 | 75 55 | 74 07 | (1.48) ST | | |
| | 10/10/16 | 19.000 | 69.415 | 74.070 | 1,318.88 | 1,407 33 | 88.45 ST | | |

**Select UMA Active Assets Account**
**337-081152-083**

GUNNERSON FAMILY FOUNDATION INC
**30528 N 126TH LN**

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 41.000 | | | 3,362 96 | **3,036.87** | (326.09) ST | 41.00 | 1.35 |
| *Next Dividend Payable 01/04/17; Asset Class: Equities* | | | | | | | | | |
| **DOVER CORP (DOV)** | 9/29/16 | 4.000 | 71.948 | 74 930 | 287.79 | 299.72 | 11.93 ST | | |
| | 11/1/16 | 2.000 | 66.545 | 74 930 | 133 09 | 149.86 | 16.77 ST | | |
| | 11/10/16 | 1.000 | 71.680 | 74.930 | 71 68 | 74.93 | 3.25 ST | | |
| | 11/18/16 | 1 000 | 70.140 | 74.930 | 70.14 | 74.93 | 4 79 ST | | |
| | **Total** | 8.000 | | | 562.70 | **599.44** | 36.74 ST | 14.00 | 2.33 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **DR PEPPER SNAPPLE GROUP INC (DPS)** | 4/25/16 | 10.000 | 87.694 | 90.670 | 876.94 | 906 70 | 29.76 ST | | |
| | 4/27/16 | 1 000 | 90.300 | 90.670 | 90.30 | 90 67 | 0.37 ST | | |
| | **Total** | 11.000 | | | 967 24 | **997.37** | 30.13 ST | 23.00 | 2.30 |
| *Next Dividend Payable 01/05/17, Asset Class. Equities* | | | | | | | | | |
| **DTE ENERGY COMPANY (DTE)** | 4/25/16 | 2 000 | 85.785 | 98.510 | 171.57 | **197.02** | 25.45 ST | 7.00 | 3.55 |
| *Next Dividend Payable 01/15/17; Asset Class· Equities* | | | | | | | | | |
| **DU PONT EI DE NEMOURS & CO (DD)** | 4/25/16 | 11 000 | 65.458 | 73.400 | 720.03 | **807.40** | 87 37 ST | 17.00 | 2.10 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **EDISON INTERNATIONAL (EIX)** | 4/25/16 | 12.000 | 68.497 | 71.990 | 821.97 | 863.88 | 41.91 ST | | |
| | 5/2/16 | 1.000 | 71.630 | 71.990 | 71.63 | 71.99 | 0.36 ST | | |
| | **Total** | 13.000 | | | 893.60 | **935.87** | 42.27 ST | 28 00 | 2 99 |
| *Next Dividend Payable 01/31/17; Asset Class: Equities* | | | | | | | | | |
| **ELI LILLY & CO (LLY)** | 4/25/16 | 9.000 | 77.680 | 73.550 | 699.12 | 661.95 | (37 17) ST | | |
| | 5/17/16 | 2.000 | 76.200 | 73.550 | 152.40 | 147.10 | (5.30) ST | | |
| | **Total** | 11.000 | | | 851.52 | **809.05** | (42.47) ST | 23.00 | 2.84 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **EXXON MOBIL CORP (XOM)** | 4/25/16 | 34 000 | 86 745 | 90.260 | 2,949.33 | **3,068.84** | 19.51 ST | 102.00 | 3.32 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **FACEBOOK INC CL-A (FB)** | 5/22/12 | 6.000 | 33.057 | 115 050 | 198.34 | 690.30 | 491.96 LT | | |
| | 5/22/12 | 1.000 | 33.057 | 115.050 | 33 06 | 115.05 | 81.99 LT | | |
| | 5/31/12 | 4.000 | 26.958 | 115.050 | 107.83 | 460.20 | 352.37 LT | | |
| | 7/25/13 | 3 000 | 33 110 | 115 050 | 99.33 | 345.15 | 245.82 LT | | |
| | 10/29/14 | 1.000 | 75.960 | 115.050 | 75.96 | 115.05 | 39.09 LT | | |
| | 7/14/15 | 3.000 | 89.907 | 115.050 | 269.72 | 345.15 | 75.43 LT | | |
| | 10/6/15 | 1.000 | 93.610 | 115.050 | 93.61 | 115.05 | 21.44 LT | | |
| | 12/9/15 | 5.000 | 104.210 | 115.050 | 521.05 | 575.25 | 54.20 LT | | |
| | 4/25/16 | 8.000 | 109 846 | 115 050 | 878 77 | 920.40 | 41.63 ST | | |
| | 5/3/16 | 19.000 | 117.735 | 115.050 | 2,236.96 | 2,185.95 | (51.01) ST | | |

# Morgan Stanley



## Account Detail

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INT
30528 N 126TH LN

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 51 000 | | | 4,514.63 | **5,867.55** | 1,362.30 LT<br>(9.38) ST | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **FANUC CORPORATION UNSP ADR (FANUY)** | 3/9/16 | 66 000 | 15.950 | 16.650 | 1,052 68 | 1,098.90 | 46.22 ST | | |
| | 4/25/16 | 54 000 | 17.339 | 16.650 | 936.33 | 899.10 | (37.23) ST | | |
| | 5/3/16 | 3.000 | 16.497 | 16 650 | 49.49 | 49.95 | 0.46 ST | | |
| | 6/24/16 | 5.000 | 10.338 | 16 650 | 51.69 | 83.25 | 31 56 ST | | |
| | **Total** | 128.000 | | | 2,090.19 | **2,131.20** | 41 01 ST | 34.00 | 1.59 |
| *Asset Class: Equities* | | | | | | | | | |
| **FIDELITY NATL INFORMATION SE (FIS)** | 3/18/16 | 4.000 | 61 655 | 75.640 | 246.62 | 302 56 | 55.94 ST | | |
| | 4/25/16 | 10.000 | 65.618 | 75 640 | 656.18 | 756 40 | 100.22 ST | | |
| | **Total** | 14.000 | | | 902 80 | **1,058.96** | 156.16 ST | 15 00 | 1.41 |
| *Next Dividend Payable 03/2017; Asset Class. Equities* | | | | | | | | | |
| **FRESENIUS SE & CO SPN ADR (FSNUY)** | 4/25/16 | 156.000 | 18.498 | 19 480 | 2,885.61 | 3,038.88 | 153 27 ST | | |
| | 5/5/16 | 4.000 | 18.040 | 19.480 | 72.16 | 77.92 | 5 76 ST | | |
| | 6/14/16 | 6 000 | 17.502 | 19.480 | 105 01 | 116.88 | 11.87 ST | | |
| | **Total** | 166.000 | | | 3,062.78 | **3,233.68** | 170.90 ST | 17.00 | 0.52 |
| *Asset Class: Equities* | | | | | | | | | |
| **GARTNER INC (IT)** | 4/25/16 | 34 000 | 87.801 | 101.070 | 2,985.23 | 3,436.38 | 451.15 ST | | |
| | 4/29/16 | 1.000 | 87 020 | 101.070 | 87.02 | 101 07 | 14.05 ST | | |
| | **Total** | 35.000 | | | 3,072.25 | **3,537.45** | 465.20 ST | | |
| *Asset Class Equities* | | | | | | | | | |
| **GENL DYNAMICS CORP (GD)** | 4/25/16 | 4.000 | 136.900 | 172 660 | 547.60 | 690.64 | 43.04 ST | | |
| | 5/2/16 | 1.000 | 142.520 | 172 660 | 142.52 | 172.66 | 30.14 ST | | |
| | 7/1/16 | 2.000 | 141.280 | 172.660 | 282.56 | 345.32 | 62 76 ST | | |
| | 9/16/16 | 1.000 | 151.240 | 172.660 | 151 24 | 172.66 | 21 42 ST | | |
| | **Total** | 8.000 | | | 1,123 92 | **1,381.28** | 257 36 ST | 24.00 | 1.73 |
| *Next Dividend Payable 02/2017, Asset Class: Equities* | | | | | | | | | |
| **GENUINE PARTS CO (GPC)** | 4/25/16 | 8.000 | 96.770 | 95.540 | 774.16 | 764.32 | (9 84) ST | | |
| | 5/2/16 | 2.000 | 96.530 | 95.540 | 193 06 | 191.08 | (1.98) ST | | |
| | 11/18/16 | 1.000 | 94 500 | 95.540 | 94.50 | 95 54 | 1 04 ST | | |
| | 11/30/16 | 1.000 | 96.730 | 95.540 | 96.73 | 95 54 | (1.19) ST | | |
| | **Total** | 12.000 | | | 1,158.45 | **1,146.48** | (11 97) ST | 32.00 | 2.79 |
| *Next Dividend Payable 01/03/17, Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account 337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| GILEAD SCIENCE (GILD) | 2/22/11 | 2.000 | 19 265 | 71 610 | 38.53 | 143.22 | 104.69 LT | | |
| | 2/17/12 | 2.000 | 23.275 | 71.610 | 46 55 | 143.22 | 96.67 LT | | |
| | 5/17/16 | 1.000 | 83.390 | 71.610 | 83 39 | 71 61 | (11 78) ST | | |
| | 7/20/16 | 1 000 | 86.920 | 71.610 | 86.92 | 71.61 | (15.31) ST | | |
| | 7/26/16 | 1.000 | 81.040 | 71.610 | 81.04 | 71.61 | (9 43) ST | | |
| | **Total** | 7.000 | | | 336.43 | **501.27** | 201 36 LT<br>(36.52) ST | 13 00 | 2.59 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| HARTFORD FIN SERS GRP INC (HIG) | 4/25/16 | 35.000 | 44.717 | 47.650 | 1,565.11 | 1,667.75 | 102 64 ST | | |
| | 5/2/16 | 1 000 | 44.870 | 47.650 | 44.87 | 47.65 | 2.78 ST | | |
| | **Total** | 36.000 | | | 1,609 98 | **1,715.40** | 05.42 ST | 33.00 | 1.92 |
| *Next Dividend Payable 01/03/17, Asset Class: Equities* | | | | | | | | | |
| HCP INCORPORATED (HCP) | 6/29/12 | 7 000 | 43.349 | 29.720 | 303.45 | 208.04 | (95.41) LT R | | |
| | 6/3/16 | 2.000 | 33.695 | 29.720 | 67 39 | 59 44 | (7.95) ST | | |
| | 6/9/16 | 1.000 | 34.380 | 29.720 | 34.38 | 29 72 | (4 66) ST | | |
| | 8/3/16 | 4 000 | 38.885 | 29.720 | 155.54 | 118.88 | (36.66) ST | | |
| | 8/11/16 | 1.000 | 38.500 | 29.720 | 38.50 | 29.72 | (8 78) ST | | |
| | **Total** | 15 000 | | | 599.26 | **445.80** | (95.41) LT<br>(58.05) ST | 22.00 | 4.93 |
| *Next Dividend Payable 02/2017; Asset Class: Alt* | | | | | | | | | |
| HOME DEPOT INC (HD) | 2/10/14 | 4.000 | 76.150 | 134.080 | 304.60 | 536.32 | 231.72 LT | | |
| | 4/9/14 | 3.000 | 77.537 | 134.080 | 232.61 | 402 24 | 169 63 LT | | |
| | 6/30/14 | 2 000 | 80.860 | 134.080 | 161.72 | 268.16 | 106.44 LT | | |
| | 4/25/16 | 2 000 | 135.495 | 134.080 | 270.99 | 268.16 | (2.83) ST | | |
| | 5/2/16 | 1 000 | 135.350 | 134.080 | 135.35 | 134.08 | (1.27) ST | | |
| | 5/16/16 | 1.000 | 135.990 | 134.080 | 135.99 | 134 08 | (1 91) ST | | |
| | **Total** | 13 000 | | | 1,241.26 | **1,743.04** | 507.79 LT<br>(6.01) ST | 36.00 | 2 06 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| HONEYWELL INTERNATIONAL INC (HON) | 1/19/12 | 2.000 | 58.470 | 115.850 | 116.94 | 231.70 | 114.76 LT | | |
| | 2/6/12 | 9.000 | 60.363 | 115.850 | 543.27 | 1,042.65 | 499.38 LT | | |
| | 4/25/16 | 3 000 | 113.210 | 115.850 | 339.63 | 347.55 | 7.92 ST | | |
| | 9/16/16 | 1 000 | 114.160 | 115.850 | 114.16 | 115 85 | 1.69 ST | | |
| | 10/5/16 | 1 000 | 115.350 | 115.850 | 115 35 | 115 85 | 0 50 ST | | |
| | 11/1/16 | 1 000 | 109.190 | 115.850 | 109.19 | 115.85 | 6.66 ST | | |

# Account Detail

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 17.000 | | | 1,338.54 | **1,969.45** | 614.14 LT<br>16.77 ST | 45.00 | 2.28 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **ILL TOOL WORKS INC (ITW)** | 4/25/16 | 15.000 | 104.495 | 122.460 | 1,567.42 | **1,836.90** | 269.48 ST | 39.00 | 2.12 |
| *Next Dividend Payable 01/11/17, Asset Class. Equities* | | | | | | | | | |
| **INFORMA PLC (IFJPY)** | 2/28/11 | 28.000 | 14.154 | 16.800 | 396.32 | 470 40 | 74.08 LT | | |
| | 8/24/11 | 21.000 | 11.070 | 16.800 | 232.47 | 352.80 | 120 33 LT | | |
| | 8/19/13 | 5 000 | 16.070 | 16.800 | 80.35 | 84.00 | 3 65 LT | | |
| | 3/11/14 | 14.000 | 16 500 | 16.800 | 231.00 | 235.20 | 4.20 LT | | |
| | 10/3/14 | 4.000 | 15.360 | 16.800 | 61.44 | 67.20 | 5.76 LT | | |
| | 5/13/15 | 16.000 | 17.783 | 16.800 | 284.53 | 268.80 | (15.73) LT | | |
| | 4/25/16 | 67.000 | 19.289 | 16 800 | 1,292.33 | 1,125.60 | (166.73) ST | | |
| | 5/4/16 | 5.000 | 19.506 | 16 800 | 97.53 | 84 00 | (13.53) ST | | |
| | 6/24/16 | 4.000 | 18.080 | 16 800 | 72.32 | 67 20 | (5.12) ST | | |
| | 10/11/16 | 6.000 | 18 380 | 16 800 | 110.28 | 100 80 | (9.48) ST | | |
| | **Total** | 170.000 | | | 2,858.57 | **2,856.00** | 192.29 LT<br>(194.86) ST | 87 00 | 3.04 |
| *Asset Class· Equities* | | | | | | | | | |
| **INTL FLAVORS & FRAGRANCES (IFF)** | 4/25/16 | 1.000 | 120.010 | 117.830 | 120.01 | 117.83 | (2.18) ST | | |
| | 6/30/16 | 1.000 | 124.390 | 117 830 | 124.39 | 117 83 | (6.56) ST | | |
| | **Total** | 2 000 | | | 244.40 | **235.66** | (8 74) ST | 5.00 | 2.12 |
| *Next Dividend Payable 01/06/17; Asset Class· Equities* | | | | | | | | | |
| **JOHNSON & JOHNSON (JNJ)** | 6/19/12 | 3.000 | 66.930 | 115.210 | 200.79 | 345.63 | 144.84 LT | | |
| | 6/19/12 | 1 000 | 66.930 | 115.210 | 66.93 | 115.21 | 48 28 LT | | |
| | 6/29/12 | 21 000 | 67.620 | 115.210 | 1,420.02 | 2,419.41 | 999.39 LT | | |
| | **Total** | 25 000 | | | 1,687.74 | **2,880.25** | 1,192.51 LT | 80.00 | 2.77 |
| *Next Dividend Payable 03/2017; Asset Class· Equities* | | | | | | | | | |
| **KBC GROUP NV UNSPONS ADR (KBCSY)** | 3/31/15 | 5.000 | 30.913 | 30.988 | 154.56 | 154.93 | 0.37 LT | | |
| | 5/13/15 | 5.000 | 34 286 | 30.988 | 171.43 | 154.93 | (16.50) LT | | |
| | 4/25/16 | 31.000 | 27.430 | 30 988 | 850.34 | 960.61 | 110.27 ST | | |
| | 6/15/16 | 2.000 | 27.205 | 30.988 | 54.41 | 61.97 | 7.56 ST | | |
| | **Total** | 43 000 | | | 1,230.74 | **1,332.46** | (16.13) LT<br>117.83 ST | 15 00 | 1 12 |
| *Asset Class: Equities* | | | | | | | | | |

# Account Detail

**Select UMA Active Assets Account**
**337-081152-083**

**GUNNERSON FAMILY FOUNDATION INC**
**30528 N 126TH LN**

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **KDDI CORP UNSPON ADR (KDDIY)** | 5/13/15 | 25.000 | 11.590 | 12.650 | 289.74 | 316 25 | 26.51 LT | | |
| | 4/25/16 | 105.000 | 14.542 | 12.650 | 1,526.91 | 1,328.25 | (198.66) ST | | |
| | 6/13/16 | 8.000 | 14 050 | 12 650 | 112.40 | 101.20 | (11.20) ST | | |
| | 6/16/16 | 6.000 | 13.970 | 12.650 | 83 82 | 75.90 | (7.92) ST | | |
| | 9/27/16 | 41.000 | 15.774 | 12.650 | 646 75 | 518.65 | (128.10) ST | | |
| | 9/29/16 | 3.000 | 15.640 | 12 650 | 46.92 | 37.95 | (8.97) ST | | |
| | 10/14/16 | 7.000 | 14 950 | 12.650 | 104.65 | 88.55 | (16 10) ST | | |
| | **Total** | 195.000 | | | 2,811.19 | **2,466.75** | 26.51 LT<br>(370.95) ST | 48 00 | 1.94 |
| *Asset Class: Equities* | | | | | | | | | |
| **KIMBERLY CLARK CORP (KMB)** | 4/25/16 | 8 000 | 125.690 | 114.120 | 1,005.52 | 912 96 | (92.56) ST | | |
| | 5/2/16 | 1 000 | 125.890 | 114.120 | 125.89 | 114.12 | (11 77) ST | | |
| | **Total** | 9.000 | | | 1,131.41 | **1,027.08** | (104.33) ST | 33.00 | 3.21 |
| *Next Dividend Payable 01/04/17; Asset Class. Equities* | | | | | | | | | |
| **KLA TENCOR CORP (KLAC)** | 4/25/16 | 13.000 | 71.520 | 78.680 | 929.76 | **1,022.84** | 93.08 ST | 28.00 | 2.73 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **KOMATSU LTD SPON ADR NEW (KMTUY)** | 4/25/16 | 92.000 | 18.036 | 22.680 | 1,659.35 | 2,086.56 | 427.21 ST | | |
| | 5/10/16 | 2.000 | 16.880 | 22.680 | 33.76 | 45.36 | 11.60 ST | | |
| | 5/31/16 | 2 000 | 17.130 | 22.680 | 34.26 | 45.36 | 11 10 ST | | |
| | 6/13/16 | 2 000 | 17.400 | 22.680 | 34.80 | 45.36 | 10.56 ST | | |
| | 6/27/16 | 2.000 | 16.560 | 22.680 | 33.12 | 45.36 | 12.24 ST | | |
| | **Total** | 100 000 | | | 1,795.29 | **2,268.00** | 472.71 ST | 42.00 | 1.85 |
| *Asset Class. Equities* | | | | | | | | | |
| **KRAFT HEINZ CO (KHC)** | 4/25/16 | 3.000 | 78.633 | 87.320 | 235.90 | 261 96 | 26.06 ST | | |
| | 11/4/16 | 2 000 | 84.075 | 87.320 | 168.15 | 174.64 | 6.49 ST | | |
| | **Total** | 5.000 | | | 404.05 | **436.60** | 32.55 ST | 12 00 | 2.74 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **L BRANDS INC COM (LB)** | 2/10/16 | 12.000 | 84.243 | 65.840 | 1,010.91 | 790.08 | (220.83) ST | | |
| | 4/28/16 | 2 000 | 78 965 | 65.840 | 157.93 | 131.68 | (26.25) ST | | |
| | 5/17/16 | 1.000 | 65.470 | 65.840 | 65.47 | 65.84 | 0.37 ST | | |
| | 11/1/16 | 1.000 | 65.860 | 65.840 | 65.86 | 65.84 | (0.02) ST | | |
| | **Total** | 16.000 | | | 1,300.17 | **1,053.44** | (246.73) ST | 38 00 | 3 60 |
| *Next Dividend Payable 03/2017, Asset Class. Equities* | | | | | | | | | |
| **M&T BANK CORP (MTB)** | 4/25/16 | 6.000 | 118.950 | 156.430 | 713.70 | **938.58** | 224.88 ST | 17.00 | 1 81 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **MAKITA CORPORATION LTD ADR NEW (MKTAY)** | 4/30/13 | 8.000 | 58.516 | 66.910 | 468.13 | 535 28 | 67 15 LT | | |
| | 5/2/13 | 7.000 | 57.584 | 66.910 | 403.09 | 468.37 | 65 28 LT | | |
| | 5/23/13 | 2.000 | 55 250 | 66.910 | 110.50 | 133.82 | 23.32 LT | | |
| | 8/19/13 | 3.000 | 54.590 | 66.910 | 163 77 | 200.73 | 36.96 LT | | |
| | 8/28/13 | 1.000 | 54.320 | 66 910 | 54.32 | 66.91 | 12.59 LT | | |
| | 3/11/14 | 5.000 | 50.750 | 66.910 | 253 75 | 334.55 | 80.80 LT | | |
| | 5/13/15 | 5.000 | 51.700 | 66 910 | 258 50 | 334.55 | 76.05 LT | | |
| | 4/25/16 | 26.000 | 63 585 | 66.910 | 1,653.22 | 1,739.66 | 86.44 ST | | |
| | 6/13/16 | 2.000 | 63.010 | 66 910 | 126.02 | 133.82 | 7.80 ST | | |
| | 6/27/16 | 2 000 | 63.670 | 66.910 | 127.34 | 133.82 | 6 48 ST | | |
| | **Total** | 61.000 | | | 3,618.64 | **4,081.51** | 362 15 LT<br>100.72 ST | 53 00 | 1.29 |
| *Asset Class: Equities* | | | | | | | | | |
| **MASTERCARD INC CL A (MA)** | 2/20/15 | 8 000 | 89.145 | 103.250 | 713.16 | 826.00 | 112 84 LT | | |
| | 8/11/15 | 2 000 | 97.455 | 103.250 | 194.91 | 206.50 | 11 59 LT | | |
| | 9/1/15 | 2.000 | 90.630 | 103 250 | 181.26 | 206.50 | 25.24 LT | | |
| | 12/14/15 | 1.000 | 95.510 | 103.250 | 95 51 | 103.25 | 7.74 LT | | |
| | 4/25/16 | 5.000 | 96.716 | 103.250 | 483.58 | 516.25 | 32.67 ST | | |
| | 4/26/16 | 1 000 | 97.100 | 103.250 | 97.10 | 103.25 | 6 15 ST | | |
| | **Total** | 19 000 | | | 1,765.52 | **1,961.75** | 157.41 LT<br>38.82 ST | 17.00 | 0.86 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **MERCK & CO INC NEW COM (MRK)** | 5/22/13 | 37.000 | 47.219 | 58.870 | 1,747.09 | **2,178.19** | 431 10 LT | 70.00 | 3 21 |
| *Next Dividend Payable 01/09/17; Asset Class: Equities* | | | | | | | | | |
| **METLIFE INCORPORATED (MET)** | 4/25/16 | 26.000 | 46.020 | 53.890 | 1,196.51 | 1,401.14 | 204.63 ST | | |
| | 4/26/16 | 6.000 | 46.642 | 53.890 | 279.85 | 323.34 | 43.49 ST | | |
| | 4/29/16 | 1 000 | 44 710 | 53.890 | 44.71 | 53.89 | 9.18 ST | | |
| | 8/3/16 | 7.000 | 43 436 | 53.890 | 304.05 | 377.23 | 73.18 ST | | |
| | **Total** | 40.000 | | | 1,825.12 | **2,155.60** | 330.48 ST | 64.00 | 2.96 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **MICROSOFT CORP (MSFT)** | 1/16/14 | 6.000 | 36.762 | 62.140 | 220.57 | 372 84 | 152 27 LT | | |
| | 1/16/14 | 3.000 | 36.762 | 62.140 | 110.29 | 186.42 | 76.13 LT | | |
| | 1/16/14 | 1.000 | 36.762 | 62.140 | 36.76 | 62.14 | 25.38 LT | | |
| | 1/16/14 | 2 000 | 36 762 | 62.140 | 73.52 | 124.28 | 50.76 LT | | |
| | 1/16/14 | 8.000 | 36.762 | 62.140 | 294.10 | 497.12 | 203.02 LT | | |
| | 1/16/14 | 3.000 | 36.762 | 62.140 | 110.29 | 186 42 | 76 13 LT | | |

**Select UMA Active Assets Account 337-081152-083** **GUNNERSON FAMILY FOUNDATION INC 30528 N 126TH LN**

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 1/16/14 | 1.000 | 36.762 | 62.140 | 36.76 | 62 14 | 25.38 LT | | |
| | 1/16/14 | 12.000 | 36.762 | 62.140 | 441 15 | 745.68 | 304.53 LT | | |
| | **Total** | 36.000 | | | 1,323 44 | **2,237.04** | 913.60 LT | 56.00 | 2.50 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **MONDELEZ INTL INC COM (MDLZ)** | 4/25/16 | 22.000 | 42 245 | 44.330 | 929.39 | 975.26 | 45 87 ST | | |
| | 4/29/16 | 1.000 | 42 610 | 44 330 | 42.61 | 44.33 | 1 72 ST | | |
| | 5/2/16 | 1.000 | 44.300 | 44.330 | 44 30 | 44.33 | 0.03 ST | | |
| | 5/2/16 | 1.000 | 44.060 | 44.330 | 44.06 | 44.33 | 0.27 ST | | |
| | **Total** | 25.000 | | | 1,060.36 | **1,108.25** | 47.89 ST | 19.00 | 1.71 |
| *Next Dividend Payable 01/12/17; Asset Class: Equities* | | | | | | | | | |
| **NESTLE SPON ADR REP REG SHR (NSRGY)** | 4/25/16 | 54.000 | 74.099 | 71.740 | 4,001 35 | **3,873.96** | (127.39) ST | 105.00 | 2.71 |
| *Next Dividend Payable 05/2017; Asset Class: Equities* | | | | | | | | | |
| **NEXTERA ENERGY INC (NEE)** | 4/25/16 | 6 000 | 114.150 | 119.460 | 684.90 | 716 76 | 31.86 ST | | |
| | 6/23/16 | 1.000 | 123.300 | 119.460 | 123.30 | 119.46 | (3 84) ST | | |
| | **Total** | 7.000 | | | 808.20 | **836.22** | 28.02 ST | 24.00 | 2.87 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **NIKE INC B (NKE)** | 3/18/11 | 2.000 | 19.370 | 50.830 | 38.74 | 101.66 | 62 92 LT | | |
| | 6/29/12 | 8.000 | 21.384 | 50.830 | 171.07 | 406.64 | 235.57 LT | | |
| | 10/1/12 | 8.000 | 23.853 | 50.830 | 190.82 | 406.64 | 215.82 LT | | |
| | 1/11/13 | 2.000 | 26.640 | 50.830 | 53.28 | 101.66 | 48.38 LT | | |
| | 4/25/16 | 87.000 | 59.200 | 50.830 | 5,150.39 | 4,422.21 | (728.18) ST | | |
| | 6/24/16 | 4.000 | 52.908 | 50.830 | 211.63 | 203.32 | (8.31) ST | | |
| | **Total** | 111.000 | | | 5,815 93 | **5,642.13** | 562.69 LT<br>(736.49) ST | 80.00 | 1.41 |
| *Next Dividend Payable 01/03/17; Asset Class: Equities* | | | | | | | | | |
| **NISOURCE INC (NI)** | 4/25/16 | 29.000 | 22.237 | 22.140 | 644 88 | 642.06 | (2.82) ST | | |
| | 5/9/16 | 1.000 | 23.540 | 22.140 | 23 54 | 22.14 | (1.40) ST | | |
| | **Total** | 30.000 | | | 668.42 | **664.20** | (4.22) ST | 20.00 | 3.01 |
| *Next Dividend Payable 02/2017; Asset Class. Equities* | | | | | | | | | |
| **NORFOLK SOUTHERN CORP (NSC)** | 4/25/16 | 7.000 | 90 997 | 108 070 | 636.98 | 756.49 | 119.51 ST | | |
| | 5/3/16 | 1.000 | 89.270 | 108 070 | 89 27 | 108.07 | 18.80 ST | | |
| | **Total** | 8.000 | | | 726 25 | **864.56** | 38.31 ST | 19.00 | 2.19 |
| *Next Dividend Payable 03/2017, Asset Class. Equities* | | | | | | | | | |
| **NORTHERN TRUST CORP (NTRS)** | 4/25/16 | 14.000 | 71 565 | 89 050 | 1,001.91 | **1,246.70** | 244.79 ST | 21.00 | 1.68 |
| *Next Dividend Payable 01/01/17; Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **NOVARTIS AG ADR (NVS)** | 9/4/07 | 4.000 | 53.004 | 72 840 | 212 02 | 291.36 | 79.34 LT | | |
| | 1/28/10 | 15.000 | 54.033 | 72.840 | 810.49 | 1,092 60 | 282 11 LT | | |
| | 11/26/10 | 2.000 | 54.900 | 72.840 | 109.80 | 145.68 | 35.88 LT | | |
| | 2/22/11 | 5.000 | 56 920 | 72 840 | 284 60 | 364.20 | 79.60 LT | | |
| | 2/28/11 | 2.000 | 56 150 | 72 840 | 112.30 | 145.68 | 33.38 LT | | |
| | 11/7/11 | 5.000 | 55.886 | 72.840 | 279.43 | 364.20 | 84.77 LT | | |
| | 8/19/13 | 2.000 | 72.960 | 72.840 | 145.92 | 145 68 | (0 24) LT | | |
| | 1/10/14 | 24.000 | 81.202 | 72.840 | 1,948 85 | 1,748 16 | (200.69) LT | | |
| | 1/10/14 | 4.000 | 81.202 | 72.840 | 324 81 | 291 36 | (33.45) LT | | |
| | 1/10/14 | 1.000 | 81 202 | 72 840 | 81 20 | 72.84 | (8.36) LT | | |
| | 1/10/14 | 1.000 | 81.202 | 72.840 | 81.20 | 72.84 | (8 36) LT | | |
| | 3/14/14 | 2.000 | 81.610 | 72.840 | 163.22 | 145.68 | (17 54) LT | | |
| | 5/13/15 | 8.000 | 102.598 | 72.840 | 820 78 | 582 72 | (238.06) LT | | |
| | 4/25/16 | 9.000 | 76.487 | 72.840 | 688 38 | 655 56 | (32.82) ST | | |
| | 5/6/16 | 2.000 | 72 890 | 72 840 | 145 78 | 145.68 | (0.10) ST | | |
| | 6/14/16 | 2.000 | 76 355 | 72 840 | 152.71 | 145.68 | (7.03) ST | | |
| | 8/26/16 | 2.000 | 79.925 | 72 840 | 159 85 | 145.68 | (14.17) ST | | |
| | **Total** | 90.000 | | | 6,521 34 | **6,555.60** | 88.38 LT<br>(54 12) ST | 207.00 | 3.15 |
| *Asset Class: Equities* | | | | | | | | | |
| **O'REILLY AUTOMOTIVE INC NEW (ORLY)** | 4/25/16 | 14.000 | 269.803 | 278 410 | 3,777.24 | **3,897.74** | 120.50 ST | | |
| *Asset Class: Equities* | | | | | | | | | |
| **OCCIDENTAL PETROLEUM CORP DE (OXY)** | 8/18/15 | 14.000 | 73.722 | 71.230 | 1,032 10 | 997.22 | (34.88) LT | | |
| | 4/25/16 | 15.000 | 74.739 | 71.230 | 1,121 09 | 1,068 45 | (52.64) ST | | |
| | 5/2/16 | 1.000 | 76.420 | 71.230 | 76.42 | 71 23 | (5 19) ST | | |
| | 5/2/16 | 1 000 | 76.210 | 71.230 | 76.21 | 71.23 | (4 98) ST | | |
| | 5/19/16 | 1.000 | 74.820 | 71.230 | 74.82 | 71.23 | (3 59) ST | | |
| | **Total** | 32.000 | | | 2,380.64 | **2,279.36** | (34.88) LT<br>(66.40) ST | 97 00 | 4.25 |
| *Next Dividend Payable 01/13/17; Asset Class: Equities* | | | | | | | | | |
| **ORACLE CORP (ORCL)** | 2/9/11 | 1 000 | 32 830 | 38 450 | 32 83 | 38.45 | 5.62 LT | | |
| | 6/29/12 | 5.000 | 29.550 | 38 450 | 147 75 | 192 25 | 44 50 LT | | |
| | 6/29/12 | 4.000 | 29.550 | 38.450 | 118.20 | 153 80 | 35 60 LT | | |
| | 6/29/12 | 1.000 | 29.550 | 38.450 | 29.55 | 38.45 | 8.90 LT | | |
| | 12/17/12 | 7.000 | 32.126 | 38.450 | 224.88 | 269.15 | 44.27 LT | | |
| | 4/5/13 | 2.000 | 32.000 | 38.450 | 64.00 | 76 90 | 12 90 LT | | |
| | 10/3/13 | 5.000 | 33 514 | 38 450 | 167 57 | 192.25 | 24.68 LT | | |

**Select UMA Active Assets Account**
**337-081152-083**

GUNNERSON FAMILY FOUNDATION INC
**30528 N 126TH LN**

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 12/30/13 | 7.000 | 37.960 | 38.450 | 265 72 | 269.15 | 3.43 LT | | |
| | 4/28/14 | 6.000 | 40.037 | 38.450 | 240.22 | 230.70 | (9.52) LT | | |
| | 4/25/16 | 73.000 | 40.706 | 38.450 | 2,971.51 | 2,806.85 | (164 66) ST | | |
| | 6/24/16 | 3 000 | 39.467 | 38.450 | 118.40 | 115 35 | (3.05) ST | | |
| | **Total** | 114 000 | | | 4,380.63 | **4,383.30** | 170.38 LT<br>(167.71) ST | 68.00 | 1.55 |
| *Next Dividend Payable 01/2017; Asset Class: Equities* | | | | | | | | | |
| **PEPSICO INC NC (PEP)** | 4/25/16 | 6.000 | 102.795 | 104.630 | 616.77 | **627.78** | 11.01 ST | 18.00 | 2 86 |
| *Next Dividend Payable 01/06/17; Asset Class. Equities* | | | | | | | | | |
| **PFIZER INC (PFE)** | 3/31/11 | 2 000 | 20.390 | 32.480 | 40.78 | 64.96 | 24.18 LT | | |
| | 6/29/12 | 62 000 | 22.876 | 32.480 | 1,418.32 | 2,013.76 | 595.44 LT | | |
| | 6/29/12 | 4 000 | 22 875 | 32.480 | 91.50 | 129.92 | 38.42 LT | | |
| | **Total** | 68.000 | | | 1,550.60 | **2,208.64** | 658.04 LT | 87.00 | 3.93 |
| *Next Dividend Payable 03/2017; Asset Class. Equities* | | | | | | | | | |
| **PNC FINL SVCS GP (PNC)** | 4/25/16 | 23 000 | 87.537 | 116.960 | 2,013.36 | 2,690.08 | 676.72 ST | | |
| | 5/4/16 | 1.000 | 84.730 | 116 960 | 84.73 | 116.96 | 32 23 ST | | |
| | **Total** | 24.000 | | | 2,098 09 | **2,807.04** | 708.95 ST | 53.00 | 1 88 |
| *Next Dividend Payable 02/2017, Asset Class: Equities* | | | | | | | | | |
| **PRAXAIR INC (PX)** | 9/22/14 | 5.000 | 133.506 | 117.190 | 667 53 | 585.95 | (81.58) LT | | |
| | 7/1/16 | 2.000 | 113.210 | 117.190 | 226 42 | 234.38 | 7.96 ST | | |
| | **Total** | 7.000 | | | 893.95 | **820.33** | (81 58) LT<br>7.96 ST | 21.00 | 2.55 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **PRICELINE GRP INC COM NEW (PCLN)** | 8/23/12 | 1 000 | 593.580 | 1,466.060 | 593.58 | 1,466 06 | 872.48 LT | | |
| | 1/29/14 | 1.000 | 1,147.850 | 1,466 060 | 1,147.85 | 1,466.06 | 318 21 LT | | |
| | 4/25/16 | 1.000 | 1,330.720 | 1,466 060 | 1,330.72 | 1,466.06 | 135 34 ST | | |
| | **Total** | 3.000 | | | 3,072 15 | **4,398.18** | 1,190.69 LT<br>35.34 ST | — | — |
| *Asset Class. Equities* | | | | | | | | | |
| **PROCTER & GAMBLE (PG)** | 6/18/15 | 4.000 | 81.042 | 84.080 | 324 17 | **336.32** | 12.15 LT | 11.00 | 3.27 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **PROGRESSIVE CORP OHIO (PGR)** | 4/25/16 | 13.000 | 32.898 | 35.500 | 427.68 | **461.50** | 33.82 ST | 12.00 | 2.60 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **PRUDENTIAL FINANCIAL INC (PRU)** | 4/25/16 | 10 000 | 78.585 | 104.060 | 785.85 | 1,040.60 | 254 75 ST | | |
| | 6/30/16 | 2.000 | 70.285 | 104.060 | 140.57 | 208.12 | 67.55 ST | | |
| | **Total** | 12.000 | | | 926.42 | **1,248.72** | 322.30 ST | 34.00 | 2.72 |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **PRUDENTIAL PLC ADR (PUK)** | 2/22/11 | 2.000 | 22.940 | 39.790 | 45.88 | 79.58 | 33.70 LT | | |
| | 9/9/11 | 19.000 | 18.182 | 39.790 | 345.45 | 756.01 | 410.56 LT | | |
| | 7/20/12 | 4 000 | 23 660 | 39.790 | 94.64 | 159.16 | 64.52 LT | | |
| | 3/15/13 | 4.000 | 35 183 | 39.790 | 140.73 | 159.16 | 18.43 LT | | |
| | 8/19/13 | 9 000 | 37 130 | 39.790 | 334.17 | 358.11 | 23.94 LT | | |
| | 3/11/14 | 16 000 | 45.200 | 39.790 | 723.20 | 636.64 | (86 56) LT | | |
| | 3/14/14 | 2.000 | 44.920 | 39.790 | 89.84 | 79.58 | (10.26) LT | | |
| | 10/3/14 | 3.000 | 44.037 | 39.790 | 132 11 | 119.37 | (12.74) LT | | |
| | 5/13/15 | 13.000 | 50.804 | 39.790 | 660 45 | 517.27 | (143.18) LT | | |
| | 12/16/15 | 5.000 | 44.992 | 39 790 | 224.96 | 198.95 | (26.01) LT | | |
| | 4/25/16 | 54.000 | 40.877 | 39 790 | 2,207.36 | 2,148.66 | (58.70) ST | | |
| | 5/5/16 | 3 000 | 37 783 | 39.790 | 113.35 | 119.37 | 6.02 ST | | |
| | 6/14/16 | 3.000 | 33 897 | 39.790 | 101.69 | 119.37 | 17.68 ST | | |
| | 6/27/16 | 3.000 | 29.463 | 39.790 | 88.39 | 119.37 | 30 98 ST | | |
| | 8/15/16 | 14.000 | 37.250 | 39.790 | 521.50 | 557.06 | 35.56 ST | | |
| | **Total** | 154.000 | | | 5,823 72 | **6,127.66** | 272.40 LT<br>31 54 ST | 215.00 | 3.50 |
| *Next Dividend Payable 04/2017; Asset Class: Equities* | | | | | | | | | |
| **QUALCOMM INC (QCOM)** | 2/18/16 | 13.000 | 49.062 | 65 200 | 637.80 | **847.60** | 209.80 ST | 28 00 | 3 30 |
| *Next Dividend Payable 03/2017; Asset Class. Equities* | | | | | | | | | |
| **R P M INC (RPM)** | 4/25/16 | 8.000 | 50.925 | 53.830 | 407.40 | 430 64 | 23.24 ST | | |
| | 10/11/16 | 1.000 | 48 170 | 53 830 | 48.17 | 53.83 | 5 66 ST | | |
| | **Total** | 9.000 | | | 455 57 | **484.47** | 28.90 ST | 11.00 | 2.27 |
| *Next Dividend Payable 01/2017; Asset Class: Equities* | | | | | | | | | |
| **RED ELECTRICA CORPORACION SA (RDEIY)** | 8/19/13 | 1 000 | 11.030 | 9 330 | 11.03 | 9.33 | (1 70) LT | | |
| | 10/10/13 | 39.000 | 7.882 | 9 330 | 307.38 | 363.87 | 56.49 LT | | |
| | 3/11/14 | 28.000 | 10.305 | 9.330 | 288 54 | 261.24 | (27.30) LT | | |
| | 3/14/14 | 4.000 | 11.985 | 9.330 | 47 94 | 37.32 | (10.62) LT | | |
| | 10/3/14 | 4.000 | 12.463 | 9.330 | 49 85 | 37.32 | (12.53) LT | | |
| | 3/23/15 | 33.000 | 10.442 | 9 330 | 344 59 | 307.89 | (36.70) LT | | |
| | 5/13/15 | 23.000 | 11.222 | 9 330 | 258.10 | 214.59 | (43.51) LT | | |
| | 4/25/16 | 110.000 | 10.975 | 9.330 | 1,207.26 | 1,026 30 | (180 96) ST | | |
| | 5/9/16 | 6.000 | 11.900 | 9.330 | 71.40 | 55.98 | (15 42) ST | | |
| | 6/13/16 | 6.000 | 11.705 | 9.330 | 70.23 | 55 98 | (14 25) ST | | |
| | 7/8/16 | 6.000 | 11.797 | 9.330 | 70 78 | 55 98 | (14.80) ST | | |
| | 7/22/16 | 23.000 | 7 872 | 9 330 | 181.06 | 214.59 | 33.53 ST | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

## Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 283.000 | | | 2,908 16 | **2,640.39** | (75.87) LT<br>(191.90) ST | 90 00 | 3 40 |
| *Next Dividend Payable 01/20/17, Asset Class: Equities* | | | | | | | | | |
| **REGENERON PHARM (REGN)** | 4/25/16 | 8.000 | 406.440 | 367.090 | 3,251.52 | 2,936.72 | (314 80) ST | | |
| | 5/6/16 | 1.000 | 370.460 | 367.090 | 370.46 | 367.09 | (3 37) ST | | |
| | 12/22/16 | 4 000 | 370.555 | 367.090 | 1,482.22 | 1,468.36 | (13.86) ST | | |
| | **Total** | 13.000 | | | 5,104.20 | **4,772.17** | (332.03) ST | — | — |
| *Asset Class: Equities* | | | | | | | | | |
| **RELX PLC SPONSORED ADR (RELX)** | 9/30/15 | 54 000 | 17.206 | 17.970 | 929 15 | 970.38 | 41.23 LT | | |
| | 12/16/15 | 36.000 | 17.863 | 17.970 | 643.07 | 646 92 | 3.85 LT | | |
| | 4/25/16 | 75.000 | 18.388 | 17.970 | 1,379.07 | 1,347.75 | (31 32) ST | | |
| | 5/6/16 | 4.000 | 17.928 | 17.970 | 71.71 | 71.88 | 0.17 ST | | |
| | 6/17/16 | 4.000 | 17 673 | 17.970 | 70.69 | 71.88 | 1.19 ST | | |
| | **Total** | 173.000 | | | 3,093.69 | **3,108.81** | 45.08 LT<br>(29.96) ST | 79.00 | 2.54 |
| *Asset Class: Equities* | | | | | | | | | |
| **REPUBLIC SERVICES INC (RSG)** | 4/25/16 | 17.000 | 45.677 | 57.050 | 776.51 | 969.85 | 93.34 ST | | |
| | 4/26/16 | 6.000 | 46.040 | 57.050 | 276 24 | 342.30 | 66.06 ST | | |
| | 9/16/16 | 1.000 | 50 200 | 57.050 | 50.20 | 57 05 | 6.85 ST | | |
| | 10/5/16 | 3.000 | 50 120 | 57.050 | 150.36 | 171 15 | 20.79 ST | | |
| | **Total** | 27.000 | | | 1,253.31 | **1,540.35** | 287.04 ST | 35 00 | 2 27 |
| *Next Dividend Payable 01/16/17, Asset Class: Equities* | | | | | | | | | |
| **ROYAL DUTCH SHELL PLC (RDS'A)** | 2/24/11 | 5.000 | 71.577 | 54 380 | 357.89 | 271.90 | (85 99) LT | | |
| | 8/19/13 | 1 000 | 63.870 | 54.380 | 63 87 | 54.38 | (9.49) LT | | |
| | 3/11/14 | 3 000 | 72.840 | 54.380 | 218 52 | 163.14 | (55.38) LT | | |
| | 7/17/14 | 4.000 | 82.518 | 54.380 | 330.07 | 217 52 | (112.55) LT | | |
| | 10/3/14 | 2 000 | 73.545 | 54.380 | 147.09 | 108.76 | (38.33) LT | | |
| | 5/13/15 | 1.000 | 63.230 | 54.380 | 63.23 | 54.38 | (8.85) LT | | |
| | 7/31/15 | 5.000 | 57.446 | 54.380 | 287.23 | 271.90 | (15.33) LT | | |
| | 2/5/16 | 7.000 | 44.661 | 54.380 | 312.63 | 380.66 | 68.03 ST | | |
| | 3/8/16 | 9 000 | 47.481 | 54 380 | 427.33 | 489.42 | 62 09 ST | | |
| | 4/25/16 | 32 000 | 51.470 | 54.380 | 1,647.04 | 1,740 16 | 93.12 ST | | |
| | 6/10/16 | 2.000 | 51.145 | 54.380 | 102 29 | 108.76 | 6.47 ST | | |
| | 6/27/16 | 2.000 | 50.950 | 54.380 | 101 90 | 108.76 | 6.86 ST | | |
| | **Total** | 73.000 | | | 4,059.09 | **3,969.74** | (325.92) LT<br>236.57 ST | 233.00 | 5 86 |
| *Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| ROYAL KPN NV SPONS ADR (KKPNY) | 4/25/16 | 469.000 | 3.849 | 3.000 | 1,804 95 | 1,407.00 | (397.95) ST | | |
| | 5/11/16 | 14.000 | 3.999 | 3.000 | 55 98 | 42 00 | (13.98) ST | | |
| | 6/24/16 | 14.000 | 3.736 | 3.000 | 52.30 | 42 00 | (10 30) ST | | |
| | **Total** | 497.000 | | | 1,913 23 | **1,491.00** | (422.23) ST | 220.00 | 14.75 |
| *Asset Class: Equities* | | | | | | | | | |
| RYOHIN KEIKAKU CO LTD ADR (RYKKY) | 4/30/14 | 11.000 | 22 603 | 39.373 | 248.63 | 433.09 | 184 46 LT | | |
| | 5/22/14 | 10.000 | 22 528 | 39 373 | 225.28 | 393.72 | 168 44 LT | | |
| | 10/3/14 | 1.000 | 24.670 | 39 373 | 24.67 | 39.37 | 14.70 LT | | |
| | 5/13/15 | 7.000 | 32.384 | 39.373 | 226.69 | 275 60 | 48.91 LT | | |
| | 4/25/16 | 21.000 | 45.230 | 39.373 | 949 83 | 826 82 | (123.01) ST | | |
| | 6/13/16 | 1.000 | 48.560 | 39.373 | 48 56 | 39.37 | (9.19) ST | | |
| | 6/27/16 | 2.000 | 48.470 | 39.373 | 96.94 | 78.74 | (18 20) ST | | |
| | 7/7/16 | 8.000 | 45.110 | 39.373 | 360.88 | 314.97 | (45.91) ST | | |
| | 7/21/16 | 2 000 | 43.770 | 39.373 | 87.54 | 78.74 | (8 80) ST | | |
| | 12/8/16 | 22 000 | 39.238 | 39.373 | 863.23 | 866.19 | 2 96 ST | | |
| | **Total** | 85 000 | | | 3,132.25 | **3,346.66** | 416 51 LT<br>(202.15) ST | 33.00 | 0.98 |
| *Asset Class· Equities* | | | | | | | | | |
| S&P GLOBAL INC COM (SPGI) | 4/25/16 | 3 000 | 103.168 | 107.540 | 309.50 | **322.62** | 13.12 ST | 4.00 | 1.23 |
| *Next Dividend Payable 03/2017; Asset Class· Equities* | | | | | | | | | |
| SAMPO OYJ UNSPON ADR (SAXPY) | 8/19/10 | 23.000 | 12 443 | 22.390 | 286.19 | 514.97 | 228.78 LT | | |
| | 11/3/10 | 3.000 | 14.020 | 22.390 | 42.06 | 67.17 | 25.11 LT | | |
| | 11/26/10 | 8.000 | 12.750 | 22.390 | 102.00 | 179.12 | 77.12 LT | | |
| | 2/22/11 | 12 000 | 15.240 | 22.390 | 182.88 | 268.68 | 85.80 LT | | |
| | 8/30/11 | 2.000 | 14.150 | 22.390 | 28 30 | 44.78 | 16.48 LT | | |
| | 8/19/13 | 5.000 | 22 240 | 22 390 | 111.20 | 111.95 | 0.75 LT | | |
| | 3/11/14 | 9.000 | 25 110 | 22 390 | 225.99 | 201.51 | (24.48) LT | | |
| | 3/14/14 | 1 000 | 24 450 | 22.390 | 24.45 | 22.39 | (2.06) LT | | |
| | 1/8/15 | 5 000 | 23.090 | 22.390 | 115.45 | 111.95 | (3.50) LT | | |
| | 5/13/15 | 14.000 | 24.739 | 22.390 | 346.34 | 313.46 | (32.88) LT | | |
| | 4/25/16 | 50.000 | 22.317 | 22.390 | 1,115.86 | 1,119.50 | 3.64 ST | | |
| | 5/3/16 | 3.000 | 22.127 | 22.390 | 66 38 | 67.17 | 0.79 ST | | |
| | 6/14/16 | 5.000 | 20.680 | 22 390 | 103 40 | 111.95 | 8.55 ST | | |
| | 9/2/16 | 25.000 | 22.008 | 22.390 | 550 20 | 559.75 | 9.55 ST | | |
| | 12/1/16 | 35.000 | 22.187 | 22.390 | 776 53 | 783.65 | 7.12 ST | | |
| | **Total** | 200 000 | | | 4,077.23 | **4,478.00** | 371.12 LT<br>29.65 ST | 199.00 | 4 44 |

[redacted]
**337-081152-083**

[redacted]
**30528 N 126TH LN**

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| *Asset Class: Equities* | | | | | | | | | |
| **SAP AG (SAP)** | 7/22/16 | 1 000 | 84.590 | 86.430 | 84.59 | 86.43 | 1.84 ST | | |
| | 7/22/16 | 21.000 | 84.580 | 86.430 | 1,776.18 | 1,815 03 | 38.85 ST | | |
| | 9/22/16 | 10.000 | 92.343 | 86.430 | 923.43 | 864.30 | (59 13) ST | | |
| | 9/26/16 | 1.000 | 90.640 | 86.430 | 90 64 | 86.43 | (4.21) ST | | |
| | 10/7/16 | 1.000 | 89.630 | 86.430 | 89.63 | 86.43 | (3.20) ST | | |
| | 11/22/16 | 15.000 | 85.334 | 86.430 | 1,280.01 | 1,296.45 | 16 44 ST | | |
| | **Total** | 49.000 | | | 4,244.48 | **4,235.07** | (9 41) ST | 46.00 | 1.08 |
| *Asset Class. Equities* | | | | | | | | | |
| **SCHLUMBERGER LTD (SLB)** | 4/25/16 | 8 000 | 78 050 | 83.950 | 624.40 | 671.60 | 47.20 ST | | |
| | 4/25/16 | 1.000 | 78.040 | 83.950 | 78.04 | 83.95 | 5.91 ST | | |
| | 5/10/16 | 1.000 | 74.390 | 83 950 | 74.39 | 83.95 | 9.56 ST | | |
| | 5/17/16 | 1.000 | 74.800 | 83.950 | 74 80 | 83.95 | 9.15 ST | | |
| | 8/30/16 | 1.000 | 81.390 | 83.950 | 81 39 | 83.95 | 2.56 ST | | |
| | 9/12/16 | 1.000 | 78.820 | 83.950 | 78.82 | 83.95 | 5.13 ST | | |
| | 11/7/16 | 2.000 | 79 505 | 83 950 | 159.01 | 167 90 | 8.89 ST | | |
| | **Total** | 15.000 | | | 1,170.85 | **1,259.25** | 88 40 ST | 30.00 | 2.38 |
| *Next Dividend Payable 01/13/17; Asset Class: Equities* | | | | | | | | | |
| **SHIRE PLC ADR (SHPG)** | 10/15/14 | 2.000 | 172 638 | 170.380 | 345.28 | 340 76 | (4.52) LT | | |
| | 10/15/14 | 1.000 | 172.638 | 170 380 | 172.64 | 170 38 | (2.26) LT | | |
| | 10/24/14 | 2.000 | 194.770 | 170 380 | 389.54 | 340.76 | (48 78) LT | | |
| | 12/11/14 | 1.000 | 214.480 | 170.380 | 214 48 | 170.38 | (44.10) LT | | |
| | 2/13/15 | 1.000 | 239.440 | 170.380 | 239.44 | 170.38 | (69 06) LT | | |
| | 5/13/15 | 1.000 | 243.680 | 170.380 | 243.68 | 170.38 | (73 30) LT | | |
| | 10/21/15 | 2.000 | 211.180 | 170.380 | 422.36 | 340.76 | (81 60) LT | | |
| | 2/12/16 | 2.000 | 158.410 | 170.380 | 316.82 | 340.76 | 23 94 ST | | |
| | 4/25/16 | 9.000 | 183.599 | 170.380 | 1,652.39 | 1,533 42 | (118.97) ST | | |
| | 6/14/16 | 1 000 | 169.670 | 170.380 | 169.67 | 170.38 | 0.71 ST | | |
| | 11/11/16 | 2.000 | 187.025 | 170.380 | 374.05 | 340.76 | (33.29) ST | | |
| | **Total** | 24 000 | | | 4,540.35 | **4,089.12** | (323.62) LT<br>(127.61) ST | 19.00 | 0 46 |
| *Asset Class. Equities* | | | | | | | | | |
| **SIGNET JEWELERS LIMITED (SIG)** | 8/13/13 | 2.000 | 75.870 | 94.260 | 151.74 | 188.52 | 36.78 LT | | |
| | 8/19/13 | 3 000 | 72.800 | 94.260 | 218.40 | 282 78 | 64.38 LT | | |
| | 3/11/14 | 3 000 | 96 950 | 94.260 | 290.85 | 282.78 | (8.07) LT | | |
| | 2/19/16 | 2.000 | 103 295 | 94.260 | 206.59 | 188.52 | (18 07) ST | | |
| | 4/25/16 | 9.000 | 109.577 | 94.260 | 986.19 | 848.34 | (137.85) ST | | |

Select UMA Active Assets Account 337-081152-083

GUNNERSON FAMILY FOUNDATION IN. 30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 4/28/16 | 1.000 | 110.420 | 94.260 | 110.42 | 94 26 | (16.16) ST | | |
| | 5/27/16 | 1.000 | 97.160 | 94 260 | 97 16 | 94.26 | (2.90) ST | | |
| | 6/13/16 | 1.000 | 86 330 | 94 260 | 86.33 | 94.26 | 7.93 ST | | |
| | 6/24/16 | 1.000 | 82.110 | 94.260 | 82.11 | 94.26 | 12 15 ST | | |
| | **Total** | 23.000 | | | 2,229.79 | **2,167.98** | 93 09 LT<br>(154.90) ST | 24 00 | 1 10 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **SIMON PPTY GROUP INC (SPG)** | 4/25/16 | 6.000 | 202 598 | 177 670 | 1,215.59 | 1,066.02 | (149.57) ST | | |
| | 5/13/16 | 1.000 | 196.180 | 177 670 | 196 18 | 177.67 | (18.51) ST | | |
| | **Total** | 7 000 | | | 1,411.77 | **1,243.69** | (168.08) ST | 46 00 | 3.69 |
| *Next Dividend Payable 02/2017; Asset Class: Alt* | | | | | | | | | |
| **SNAP-ON INC (SNA)** | 4/25/16 | 1.000 | 161.220 | 171.270 | 161.22 | **171.27** | 10.05 ST | 3.00 | 1.75 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **SOFTBANK CORP UNSPONS ADR (SFTBY)** | 9/17/14 | 1.000 | 40.029 | 33.030 | 40.03 | 33 03 | (7 00) LT | | |
| | 9/30/14 | 10.000 | 35.143 | 33.030 | 351.43 | 330.30 | (21 13) LT | | |
| | 10/3/14 | 1 000 | 34.330 | 33 030 | 34.33 | 33.03 | (1.30) LT | | |
| | 10/31/14 | 11.000 | 35.730 | 33 030 | 393.03 | 363.33 | (29.70) LT | | |
| | 5/13/15 | 14 000 | 30.620 | 33.030 | 428.68 | 462.42 | 33 74 LT | | |
| | 12/17/15 | 7 000 | 25.637 | 33.030 | 179.46 | 231.21 | 51 75 LT | | |
| | 4/25/16 | 51.000 | 28.235 | 33.030 | 1,439.99 | 1,684 53 | 244.54 ST | | |
| | 6/13/16 | 3.000 | 26.810 | 33.030 | 80.43 | 99 09 | 18.66 ST | | |
| | 6/27/16 | 4.000 | 27.285 | 33.030 | 109 14 | 132 12 | 22.98 ST | | |
| | **Total** | 102.000 | | | 3,056.52 | **3,369.06** | 26.36 LT<br>286 18 ST | 14.00 | 0.41 |
| *Asset Class: Equities* | | | | | | | | | |
| **SONY CORP ADR 1974 NEW (SNE)** | 2/12/15 | 30.000 | 27.114 | 28.030 | 813.41 | 840.90 | 27.49 LT | | |
| | 5/13/15 | 8.000 | 31.995 | 28.030 | 255.96 | 224 24 | (31.72) LT | | |
| | 7/14/15 | 10 000 | 28.320 | 28.030 | 283.20 | 280 30 | (2 90) LT | | |
| | 12/16/15 | 9.000 | 25.016 | 28.030 | 225.14 | 252.27 | 27.13 LT | | |
| | 4/25/16 | 24.000 | 25.627 | 28.030 | 615.05 | 672.72 | 57.67 ST | | |
| | 5/2/16 | 2.000 | 24.810 | 28.030 | 49 62 | 56.06 | 6.44 ST | | |
| | 6/15/16 | 2 000 | 28.095 | 28.030 | 56.19 | 56.06 | (0.13) ST | | |
| | **Total** | 85.000 | | | 2,298.57 | **2,382.55** | 20.00 LT<br>63.98 ST | 13.00 | 0.54 |

*Asset Class: Equities*

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **STANLEY BLACK & DECKER INC (SWK)** | 4/25/16 | 4.000 | 111.420 | 114.690 | 445.68 | 458.76 | 13.08 ST | | |
| | 5/13/16 | 1.000 | 113.010 | 114.690 | 113.01 | 114.69 | 1.68 ST | | |
| | **Total** | 5.000 | | | 558 69 | **573.45** | 14.76 ST | 12.00 | 2.09 |
| *Next Dividend Payable 03/2017; Asset Class. Equities* | | | | | | | | | |
| **STARBUCKS CORP WASHINGTON (SBUX)** | 7/12/13 | 2.000 | 34.603 | 55.520 | 69.21 | 111.04 | 41.83 LT | | |
| | 7/18/13 | 4.000 | 34.233 | 55.520 | 136.93 | 222.08 | 85.15 LT | | |
| | 8/5/13 | 8.000 | 37 046 | 55 520 | 296 37 | 444 16 | 147 79 LT | | |
| | 4/19/16 | 2.000 | 60 585 | 55 520 | 121 17 | 111 04 | (10 13) ST | | |
| | 4/25/16 | 82.000 | 57 753 | 55 520 | 4,735 74 | 4,552 64 | (183 10) ST | | |
| | 6/21/16 | 2.000 | 55.770 | 55 520 | 111 54 | 111 04 | (0 50) ST | | |
| | **Total** | 100.000 | | | 5,470 96 | **5,552.00** | 274 77 LT<br>(193.73) ST | 100 00 | 1.80 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **STATOIL ASA ADR (STO)** | 11/7/16 | 119.000 | 16 801 | 18 240 | 1,999 27 | **2,170.56** | 171 29 ST | 74.00 | 3.40 |
| *Asset Class: Equities* | | | | | | | | | |
| **SUMITOMO MITSUI FINL GROUP INC (SMFG)** | 3/31/10 | 33.000 | 6.478 | 7.640 | 213.77 | 252.12 | 38.35 LT | | |
| | 11/3/10 | 12.000 | 5.850 | 7.640 | 70.20 | 91.68 | 21.48 LT | | |
| | 11/26/10 | 12 000 | 6 100 | 7 640 | 73 20 | 91 68 | 18 48 LT | | |
| | 2/22/11 | 42 000 | 7 320 | 7 640 | 307 44 | 320 88 | 13 44 LT | | |
| | 3/16/11 | 26.000 | 6 487 | 7 640 | 168 66 | 198.64 | 29 98 LT | | |
| | 8/30/11 | 6.000 | 5.725 | 7.640 | 34.35 | 45.84 | 11.49 LT | | |
| | 2/17/12 | 27.000 | 6.890 | 7.640 | 186.03 | 206.28 | 20.25 LT | | |
| | 6/29/12 | 19.000 | 6.660 | 7.640 | 126.54 | 145.16 | 18.62 LT | | |
| | 8/19/13 | 13.000 | 9.188 | 7.640 | 119.44 | 99.32 | (20.12) LT | | |
| | 3/11/14 | 35.000 | 8.897 | 7.640 | 311.40 | 267.40 | (44.00) LT | | |
| | 10/3/14 | 9.000 | 8 163 | 7 640 | 73 47 | 68 76 | (4 71) LT | | |
| | 5/13/15 | 61.000 | 8 777 | 7 640 | 535 41 | 466.04 | (69.37) LT | | |
| | 4/25/16 | 281.000 | 6.720 | 7.640 | 1,888.38 | 2,146.84 | 258.46 ST | | |
| | 6/14/16 | 22.000 | 5.667 | 7.640 | 124.68 | 168.08 | 43.40 ST | | |
| | **Total** | 598.000 | | | 4,232.97 | **4,568.72** | 33.89 LT<br>301.86 ST | 142.00 | 3.10 |
| *Asset Class· Equities* | | | | | | | | | |
| **SUNCOR ENERGY INC (SU)** | 11/15/16 | 54 000 | 30.485 | 32.690 | 1,646.17 | 1,765.26 | 119.09 ST | | |
| | 11/18/16 | 33.000 | 31.073 | 32.690 | 1,025.42 | 1,078.77 | 53.35 ST | | |
| | **Total** | 87.000 | | | 2,671.59 | **2,844.03** | 172 44 ST | 74.00 | 2.60 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **SWEDBANK AB SPONS ADR (SWDBY)** | 6/23/11 | 9.000 | 15.643 | 24 150 | 140.79 | 217.35 | 76.56 LT | | |
| | 8/19/13 | 1.000 | 24.610 | 24.150 | 24.61 | 24.15 | (0.46) LT | | |
| | 8/28/13 | 2.000 | 23.300 | 24.150 | 46.60 | 48.30 | 1.70 LT | | |
| | 3/11/14 | 5.000 | 28.480 | 24.150 | 142.40 | 120.75 | (21 65) LT | | |
| | 3/14/14 | 1.000 | 27.700 | 24.150 | 27.70 | 24.15 | (3.55) LT | | |
| | 5/22/14 | 5.000 | 26.456 | 24.150 | 132.28 | 120 75 | (11.53) LT | | |
| | 7/21/14 | 18 000 | 26.034 | 24.150 | 468.62 | 434 70 | (33.92) LT | | |
| | 10/30/14 | 9.000 | 26.371 | 24.150 | 237.34 | 217.35 | (19.99) LT | | |
| | 5/8/15 | 2.000 | 23.275 | 24.150 | 46.55 | 48.30 | 1.75 LT | | |
| | 5/13/15 | 15.000 | 23.692 | 24.150 | 355.38 | 362.25 | 6.87 LT | | |
| | 4/25/16 | 50 000 | 20 763 | 24.150 | 1,038.17 | 1,207.50 | 169.33 ST | | |
| | 5/4/16 | 4.000 | 21.100 | 24.150 | 84.40 | 96.60 | 12.20 ST | | |
| | 6/14/16 | 4.000 | 20.328 | 24.150 | 81 31 | 96.60 | 15.29 ST | | |
| | 6/24/16 | 13.000 | 21.209 | 24 150 | 275.72 | 313.95 | 38.23 ST | | |
| | **Total** | 138.000 | | | 3,101 87 | **3,332.70** | (4.22) LT<br>235.05 ST | 150 00 | 4 50 |
| *Asset Class: Equities* | | | | | | | | | |
| **T ROWE PRICE GROUP INC (TROW)** | 4/25/16 | 16.000 | 76.840 | 75.260 | 1,229 44 | **1,204.16** | (25.28) ST | 35 00 | 2 90 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **TELENOR ASA ADS (TELNY)** | 5/11/15 | 45.000 | 24 079 | 14.915 | 1,083.56 | 671 17 | (412.39) LT | | |
| | 5/13/15 | 9.000 | 24 059 | 14.915 | 216.53 | 134 23 | (82.30) LT | | |
| | 6/9/15 | 15.000 | 22.843 | 14.915 | 342 64 | 223.72 | (118.92) LT | | |
| | 4/25/16 | 51.000 | 16.563 | 14.915 | 844 69 | 760.66 | (84.03) ST | | |
| | 5/9/16 | 3.000 | 16.717 | 14.915 | 50.15 | 44.74 | (5 41) ST | | |
| | 6/13/16 | 4.000 | 15.708 | 14.915 | 62.83 | 59 66 | (3.17) ST | | |
| | 10/25/16 | 23 000 | 16.939 | 14.915 | 389.60 | 343.04 | (46.56) ST | | |
| | **Total** | 150.000 | | | 2,990.00 | **2,237.25** | (613.61) LT<br>(139.17) ST | 107.00 | 4.78 |
| *Asset Class: Equities* | | | | | | | | | |
| **TEVA PHARMACEUTICALS ADR (TEVA)** | 5/14/14 | 2.000 | 50.693 | 36.250 | 101.39 | 72 50 | (28.89) LT | | |
| | 5/22/14 | 8.000 | 50.474 | 36.250 | 403.79 | 290.00 | (113.79) LT | | |
| | 7/17/14 | 3.000 | 53.767 | 36.250 | 161 30 | 108.75 | (52.55) LT | | |
| | 10/3/14 | 2.000 | 55.080 | 36.250 | 110.16 | 72.50 | (37.66) LT | | |
| | 1/8/15 | 3.000 | 57.683 | 36.250 | 173.05 | 108.75 | (64.30) LT | | |
| | 1/22/15 | 2.000 | 58.660 | 36.250 | 117.32 | 72.50 | (44.82) LT | | |
| | 5/13/15 | 12.000 | 61.047 | 36.250 | 732.56 | 435.00 | (297.56) LT | | |
| | 2/12/16 | 6.000 | 55.818 | 36.250 | 334.91 | 217.50 | (117 41) ST | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

## Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 4/25/16 | 30.000 | 55 828 | 36 250 | 1,674 84 | 1,087.50 | (587.34) ST | | |
| | 6/13/16 | 3.000 | 53.237 | 36 250 | 159.71 | 108 75 | (50 96) ST | | |
| | **Total** | 71.000 | | | 3,969 03 | **2,573.75** | (639 57) LT<br>(755.71) ST | 82 00 | 3.18 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **TEXAS INSTRUMENTS (TXN)** | 7/12/11 | 1.000 | 31 379 | 72 970 | 31 38 | 72 97 | 41 59 LT | | |
| | 7/12/11 | 2.000 | 31 379 | 72 970 | 62 76 | 145.94 | 83.18 LT | | |
| | 8/30/11 | 1.000 | 26.210 | 72.970 | 26.21 | 72.97 | 46.76 LT | | |
| | 9/2/11 | 2.000 | 25.150 | 72.970 | 50.30 | 145.94 | 95.64 LT | | |
| | 9/2/11 | 7.000 | 25.151 | 72.970 | 176.06 | 510.79 | 334.73 LT | | |
| | 11/16/11 | 7.000 | 31.910 | 72.970 | 223.37 | 510.79 | 287.42 LT | | |
| | 6/29/12 | 4.000 | 28.436 | 72.970 | 113.74 | 291.88 | 178.14 LT | | |
| | **Total** | 24.000 | | | 683.82 | **1,751.28** | 1,067.46 LT | 48.00 | 2.74 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **TIFFANY & COMPANY NEW (TIF)** | 4/25/16 | 7.000 | 71.932 | 77.430 | 503.52 | 542.01 | 38.49 ST | | |
| | 5/3/16 | 1.000 | 71.290 | 77.430 | 71.29 | 77.43 | 6.14 ST | | |
| | **Total** | 8.000 | | | 574.81 | **619.44** | 44.63 ST | 14.00 | 2.26 |
| *Next Dividend Payable 01/10/17; Asset Class: Equities* | | | | | | | | | |
| **TJX COS INC NEW (TJX)** | 4/25/16 | 63 000 | 76 569 | 75 130 | 4,823 85 | 4,733 19 | (90.66) ST | | |
| | 6/24/16 | 2.000 | 75 005 | 75 130 | 150 01 | 150.26 | 0 25 ST | | |
| | **Total** | 65.000 | | | 4,973.86 | **4,883.45** | (90.41) ST | 68.00 | 1.39 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **TRAVELERS COMPANIES INC COM (TRV)** | 4/25/16 | 18.000 | 110.372 | 122.420 | 1,986.70 | **2,203.56** | 216.86 ST | 48.00 | 2.17 |
| *Next Dividend Payable 03/2017, Asset Class: Equities* | | | | | | | | | |
| **U S BANCORP COM NEW (USB)** | 4/25/16 | 26.000 | 42.987 | 51.370 | 1,117.67 | 1,335.62 | 217.95 ST | | |
| | 7/5/16 | 1.000 | 38.960 | 51.370 | 38.96 | 51.37 | 12.41 ST | | |
| | **Total** | 27.000 | | | 1,156 63 | **1,386.99** | 230.36 ST | 30 00 | 2 16 |
| *Next Dividend Payable 01/17/17; Asset Class: Equities* | | | | | | | | | |
| **UNILEVER PLC (NEW) ADS (UL)** | 8/12/11 | 1 000 | 32 866 | 40 700 | 32 87 | 40 70 | 7.83 LT | | |
| | 4/27/12 | 6 000 | 34 398 | 40.700 | 206.39 | 244.20 | 37.81 LT | | |
| | 6/29/12 | 5.000 | 33.680 | 40.700 | 168.40 | 203.50 | 35.10 LT | | |
| | 8/19/13 | 4.000 | 40.080 | 40.700 | 160.32 | 162.80 | 2.48 LT | | |
| | 3/11/14 | 9.000 | 39.650 | 40.700 | 356.85 | 366.30 | 9.45 LT | | |
| | 10/3/14 | 1.000 | 40.810 | 40.700 | 40.81 | 40.70 | (0.11) LT | | |
| | 1/22/15 | 6 000 | 41.603 | 40.700 | 249.62 | 244.20 | (5.42) LT | | |
| | 5/13/15 | 6.000 | 44.278 | 40.700 | 265.67 | 244 20 | (21 47) LT | | |

**Select UMA Active Assets Account**
**337-081152-083**

**GUNNERSON FAMILY FOUNDATION INC**
**30528 N 126TH LN**

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 10/1/15 | 7.000 | 40.986 | 40.700 | 286.90 | 284.90 | (2.00) LT | | |
| | 4/25/16 | 37.000 | 46.087 | 40.700 | 1,705.22 | 1,505.90 | (199.32) ST | | |
| | 4/29/16 | 2.000 | 44.585 | 40.700 | 89.17 | 81.40 | (7.77) ST | | |
| | 6/13/16 | 2.000 | 44.400 | 40.700 | 88 80 | 81 40 | (7.40) ST | | |
| | **Total** | 86 000 | | | 3,651 02 | **3,500.20** | 63.67 LT<br>(214.49) ST | 119 00 | 3 39 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **UNION PACIFIC CORP (UNP)** | 4/25/16 | 8.000 | 87 616 | 103 680 | 700 93 | 829.44 | 128 51 ST | | |
| | 12/1/16 | 1.000 | 103.350 | 103.680 | 103 35 | 103.68 | 0.33 ST | | |
| | **Total** | 9.000 | | | 804.28 | **933.12** | 128.84 ST | 22.00 | 2.35 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **UNITED PARCEL SER INC CL-B (UPS)** | 2/4/16 | 4.000 | 96.312 | 114.640 | 385.25 | 458.56 | 73.31 ST | | |
| | 10/5/16 | 1.000 | 108.550 | 114.640 | 108.55 | 114.64 | 6.09 ST | | |
| | **Total** | 5.000 | | | 493 80 | **573.20** | 79.40 ST | 16 00 | 2 79 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **V F CORPORATION (VFC)** | 4/25/16 | 14 000 | 63 404 | 53 350 | 887 65 | 746.90 | (140.75) ST | | |
| | 5/2/16 | 2.000 | 63.425 | 53.350 | 126.85 | 106.70 | (20.15) ST | | |
| | 5/3/16 | 1.000 | 65.040 | 53.350 | 65.04 | 53.35 | (11.69) ST | | |
| | **Total** | 17.000 | | | 1,079.54 | **906.95** | (172.59) ST | 29.00 | 3.19 |
| *Next Dividend Payable 03/2017, Asset Class. Equities* | | | | | | | | | |
| **VALEO SPONSORED ADR (VLEEY)** | 4/5/13 | 6 000 | 9 033 | 28 600 | 54 20 | 171.60 | 117.40 LT | | |
| | 6/21/13 | 12.000 | 10.320 | 28.600 | 123.84 | 343.20 | 219.36 LT | | |
| | 8/19/13 | 9.000 | 13.377 | 28.600 | 120.39 | 257.40 | 137.01 LT | | |
| | 3/11/14 | 15 000 | 23 179 | 28 600 | 347.69 | 429.00 | 81.31 LT | | |
| | 3/14/14 | 3.000 | 22.540 | 28.600 | 67.62 | 85.80 | 18.18 LT | | |
| | 10/30/14 | 9.000 | 18.461 | 28.600 | 166.15 | 257.40 | 91.25 LT | | |
| | 5/13/15 | 6.000 | 27.735 | 28.600 | 166.41 | 171.60 | 5.19 LT | | |
| | 4/25/16 | 57.000 | 25.471 | 28.600 | 1,451.85 | 1,630.20 | 178.35 ST | | |
| | 4/27/16 | 3.000 | 26.433 | 28.600 | 79.30 | 85.80 | 6.50 ST | | |
| | 6/6/16 | 9.000 | 25.748 | 28.600 | 231.73 | 257.40 | 25.67 ST | | |
| | 11/22/16 | 25 000 | 28 517 | 28.600 | 712.92 | 715 00 | 2.08 ST | | |
| | **Total** | 154 000 | | | 3,522.10 | **4,404.40** | 669.70 LT<br>212.60 ST | 72 00 | 1 63 |
| *Asset Class. Equities* | | | | | | | | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **VALERO ENERGY CP DELA NEW (VLO)** | 4/25/16 | 4.000 | 61.605 | 68.320 | 246.42 | 273.28 | 26.86 ST | | |
| | 6/6/16 | 2.000 | 54.685 | 68.320 | 109.37 | 136.64 | 27.27 ST | | |
| | 6/14/16 | 1.000 | 50.080 | 68.320 | 50.08 | 68.32 | 18.24 ST | | |
| | 8/3/16 | 3 000 | 54.677 | 68 320 | 164 03 | 204 96 | 40 93 ST | | |
| | 8/9/16 | 1.000 | 52.800 | 68.320 | 52.80 | 68.32 | 15.52 ST | | |
| | 11/30/16 | 1.000 | 62.090 | 68.320 | 62.09 | 68.32 | 6.23 ST | | |
| | **Total** | 12.000 | | | 684.79 | **819.84** | 35.05 ST | 29.00 | 3.53 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **VALIDUS HOLDINGS LTD COM (VR)** | 4/25/16 | 4.000 | 45.566 | 55.010 | 182.26 | 220 04 | 37 78 ST | | |
| | 4/28/16 | 1.000 | 44.730 | 55.010 | 44.73 | 55.01 | 10 28 ST | | |
| | **Total** | 5 000 | | | 226.99 | **275.05** | 48.06 ST | 7.00 | 2.54 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **VERIZON COMMUNICATIONS (VZ)** | 2/21/14 | 25.000 | 48.115 | 53.380 | 1,202.88 | 1,334.50 | 131.62 LT | | |
| | 4/25/16 | 6.000 | 50.857 | 53.380 | 305.14 | 320.28 | 15.14 ST | | |
| | 4/27/16 | 1 000 | 51.770 | 53 380 | 51.77 | 53.38 | 1.61 ST | | |
| | 5/2/16 | 2.000 | 51.180 | 53.380 | 102 36 | 106 76 | 4 40 ST | | |
| | 5/3/16 | 1.000 | 50.660 | 53 380 | 50 66 | 53 38 | 2 72 ST | | |
| | **Total** | 35.000 | | | 1,712 81 | **1,868.30** | 131 62 LT<br>23.87 ST | 81 00 | 4.33 |
| *Next Dividend Payable 02/2017; Asset Class: Equities* | | | | | | | | | |
| **VINCI SA ADR (VCISY)** | 2/18/15 | 52.000 | 14.911 | 16 970 | 775 38 | 882 44 | 107 06 LT | | |
| | 3/4/15 | 17.000 | 14.604 | 16.970 | 248.26 | 288 49 | 40 23 LT | | |
| | 5/13/15 | 14.000 | 14.909 | 16.970 | 208.73 | 237.58 | 28.85 LT | | |
| | 4/25/16 | 72.000 | 18.158 | 16.970 | 1,307.35 | 1,221.84 | (85.51) ST | | |
| | 6/13/16 | 4.000 | 17.973 | 16.970 | 71.89 | 67 88 | (4 01) ST | | |
| | 6/27/16 | 4.000 | 16.178 | 16.970 | 64 71 | 67 88 | 3 17 ST | | |
| | 7/6/16 | 11.000 | 17.227 | 16 970 | 189 50 | 186 67 | (2.83) ST | | |
| | 11/30/16 | 30.000 | 16.312 | 16 970 | 489 36 | 509 10 | 19.74 ST | | |
| | **Total** | 204.000 | | | 3,355.18 | **3,461.88** | 176.14 LT<br>(69.44) ST | 76.00 | 2.19 |
| *Asset Class: Equities* | | | | | | | | | |
| **VISA INC CL A (V)** | 4/24/13 | 4.000 | 41.750 | 78.020 | 167.00 | 312.08 | 145.08 LT | | |
| | 5/15/14 | 4.000 | 51.790 | 78.020 | 207.16 | 312 08 | 104.92 LT | | |
| | 5/15/14 | 4.000 | 51 790 | 78.020 | 207.16 | 312 08 | 104 92 LT | | |
| | 6/16/14 | 4.000 | 52.623 | 78.020 | 210.49 | 312.08 | 101.59 LT | | |
| | 8/11/14 | 4.000 | 52.658 | 78.020 | 210.63 | 312.08 | 101.45 LT | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 4/25/16 | 70.000 | 78.130 | 78.020 | 5,469.09 | 5,461 40 | (7 69) ST | | |
| | 4/29/16 | 2.000 | 77.100 | 78.020 | 154.20 | 156.04 | 1.84 ST | | |
| | **Total** | 92.000 | | | 6,625.73 | **7,177.84** | 557.96 LT<br>(5 85) ST | 61 00 | 0.84 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **WELLS FARGO & CO NEW (WFC)** | 8/13/10 | 2.000 | 25.950 | 55.110 | 51.90 | 110.22 | 58.32 LT | | |
| | 8/13/10 | 3.000 | 25.950 | 55.110 | 77.85 | 165.33 | 87 48 LT | | |
| | 2/17/11 | 12.000 | 33.000 | 55 110 | 396 00 | 661 32 | 265 32 LT | | |
| | 3/31/11 | 4.000 | 31 660 | 55.110 | 126.64 | 220.44 | 93.80 LT | | |
| | 8/30/11 | 8.000 | 25 406 | 55 110 | 203 25 | 440.88 | 237 63 LT | | |
| | 9/6/11 | 6.000 | 23 378 | 55 110 | 140 27 | 330 66 | 190 39 LT | | |
| | 11/16/11 | 24.000 | 25.306 | 55.110 | 607.34 | 1,322 64 | 715 30 LT | | |
| | 8/19/13 | 1.000 | 42.720 | 55.110 | 42.72 | 55.11 | 12.39 LT | | |
| | 3/21/16 | 6.000 | 50.680 | 55.110 | 304.08 | 330.66 | 26.58 ST | | |
| | **Total** | 66.000 | | | 1,950.05 | **3,637.26** | 1,660.63 LT<br>26.58 ST | 100.00 | 2 74 |
| *Next Dividend Payable 03/2017; Asset Class: Equities* | | | | | | | | | |
| **WOLSELEY PLC JERSEY SPNSRD ADR (WOSYY)** | 10/20/11 | 122.000 | 3.102 | 6.070 | 378.42 | 740.54 | 362.12 LT | | |
| | 6/21/12 | 42.000 | 3.893 | 6.070 | 163.49 | 254.94 | 91.45 LT | | |
| | 8/19/13 | 5.000 | 4.940 | 6.070 | 24.70 | 30.35 | 5.65 LT | | |
| | 8/28/13 | 10.000 | 5 050 | 6 070 | 50 50 | 60 70 | 10.20 LT | | |
| | 3/11/14 | 31.000 | 5.730 | 6.070 | 177 63 | 188.17 | 10.54 LT | | |
| | 3/14/14 | 1.000 | 5.630 | 6.070 | 5.63 | 6 07 | 0.44 LT | | |
| | 10/3/14 | 8.000 | 5.220 | 6 070 | 41 76 | 48 56 | 6.80 LT | | |
| | 1/8/15 | 20.000 | 5.700 | 6.070 | 114.00 | 121.40 | 7.40 LT | | |
| | 3/30/15 | 19.000 | 6.019 | 6.070 | 114.37 | 115.33 | 0.96 LT | | |
| | 5/13/15 | 47.000 | 6 140 | 6 070 | 288 60 | 285.29 | (3.31) LT | | |
| | 2/17/16 | 40.000 | 5.183 | 6.070 | 207.33 | 242.80 | 35.47 ST | | |
| | 4/25/16 | 282.000 | 5.680 | 6.070 | 1,601.76 | 1,711.74 | 109.98 ST | | |
| | 5/9/16 | 18.000 | 5.709 | 6.070 | 102.77 | 109.26 | 6.49 ST | | |
| | 6/14/16 | 25.000 | 4.966 | 6.070 | 124.16 | 151.75 | 27.59 ST | | |
| | **Total** | 670.000 | | | 3,395.12 | **4,066.90** | 492 25 LT<br>179.53 ST | 74.00 | 1.81 |
| *Asset Class: Equities* | | | | | | | | | |
| **WOLTERS KLUWER NV SPON ADR (WTKWY)** | 11/3/14 | 28.000 | 27.065 | 36.300 | 757 81 | 1,016 40 | 258.59 LT | | |
| | 1/8/15 | 4.000 | 30.220 | 36 300 | 120 88 | 145.20 | 24.32 LT | | |
| | 1/20/15 | 8.000 | 30.598 | 36 300 | 244 78 | 290 40 | 45.62 LT | | |

## Account Detail

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | 5/13/15 | 6.000 | 31.432 | 36.300 | 188.59 | 217.80 | 29.21 LT | | |
| | 4/25/16 | 37.000 | 38.015 | 36.300 | 1,406.57 | 1,343.10 | (63.47) ST | | |
| | 5/19/16 | 2.000 | 38.245 | 36.300 | 76.49 | 72.60 | (3.89) ST | | |
| | 6/14/16 | 2.000 | 37.260 | 36.300 | 74.52 | 72.60 | (1.92) ST | | |
| | **Total** | 87.000 | | | 2,869.64 | **3,158.10** | 357.74 LT<br>(69 28) ST | 64.00 | 2.02 |
| *Asset Class: Equities* | | | | | | | | | |
| **WORLDPAY GROUP PLC ADR (WPYGY)** | 4/11/16 | 65.000 | 12.048 | 9.905 | 783.13 | 643.82 | (139.31) ST | | |
| | 4/25/16 | 25.000 | 11.670 | 9.905 | 291.75 | 247.62 | (44.13) ST | | |
| | 4/25/16 | 2 000 | 11 670 | 9.905 | 23.34 | 19.81 | (3.53) ST | | |
| | 5/2/16 | 3.000 | 12.000 | 9.905 | 36.00 | 29.71 | (6.29) ST | | |
| | 5/5/16 | 4 000 | 11 840 | 9 905 | 47 36 | 39 62 | (7.74) ST | | |
| | 6/14/16 | 5.000 | 11.710 | 9.905 | 58.55 | 49.52 | (9.03) ST | | |
| | 6/14/16 | 2.000 | 11.710 | 9.905 | 23.42 | 19.81 | (3.61) ST | | |
| | 6/20/16 | 34.000 | 12.913 | 9.905 | 439.04 | 336.77 | (102.27) ST | | |
| | 6/24/16 | 4.000 | 12.040 | 9.905 | 48.16 | 39.62 | (8.54) ST | | |
| | 8/26/16 | 5.000 | 12.200 | 9.905 | 61.00 | 49.52 | (11.48) ST | | |
| | 9/22/16 | 26 000 | 12 187 | 9.905 | 316.87 | 257.53 | (59 34) ST | | |
| | 9/29/16 | 1 000 | 11 590 | 9 905 | 11.59 | 9.90 | (1.69) ST | | |
| | 10/7/16 | 44.000 | 11.189 | 9.905 | 492.33 | 435.82 | (56.51) ST | | |
| | **Total** | 220 000 | | | 2,632.54 | **2,179.10** | (453.47) ST | 5 00 | 0 22 |
| *Asset Class. Equities* | | | | | | | | | |
| **XCEL ENERGY INC (XEL)** | 4/25/16 | 25.000 | 39.045 | 40.700 | 976.13 | 1,017.50 | 41.37 ST | | |
| | 5/6/16 | 1.000 | 40.480 | 40.700 | 40.48 | 40.70 | 0.22 ST | | |
| | **Total** | 26 000 | | | 1,016.61 | **1,058.20** | 41.59 ST | 35.00 | 3.30 |
| *Next Dividend Payable 01/20/17, Asset Class. Equities* | | | | | | | | | |

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|
| **STOCKS** | **48.69%** | **$314,727.95** | **$342,820.12** | **$19,973.60 LT**<br>**$8,118.40 ST** | **$6,857.00** | **2.00%** |

# Account Detail

**Select UMA Active Assets Account**
**337-081152-083**

**GUNNERSON FAMILY FOUNDATION INC**
**30528 N 126TH LN**

## MUTUAL FUNDS

*"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.*

*"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account*

*"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes*

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **AB SMALL CAP GRW ADV (QUAYX)** | 4/25/16 | 172.954 | $41.080 | $45.130 | $7,104.97 | $7,805.41 | $700.44 ST | | |
| | 5/6/16 | 4.179 | 39.476 | 45.130 | 164.97 | 188.60 | 23.63 ST | | |
| | **Total** | 177 133 | | | 7,269.94 | **7,994.01** | 724.07 ST | | — |
| **Total Purchases vs Market Value** | | | | | 7,269.94 | 7,994.01 | | | |
| **Cumulative Cash Distributions** | | | | | | 172 08 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 896.15 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| **AQR MANAGED FUTURES STRATEGY I (AQMIX)** | 4/25/16 | 2,150.958 | 9.910 | 9.320 | 21,315.99 | **20,046.93** | (1,269.06) ST | 4.00 | 0.01 |
| **Total Purchases vs Market Value** | | | | | 21,315.99 | 20,046.93 | | | |
| **Cumulative Cash Distributions** | | | | | | 3.51 | | | |
| **Net Value Increase/(Decrease)** | | | | | | (1,265.55) | | | |
| *GIMA Status. FL, Dividend Cash, Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| **BLACKROCK HI YIELD BD PTF INST (BHYIX)** | 4/25/16 | 4,860.190 | 7 310 | 7 640 | 35,527 99 | **37,131.85** | 1,603.86 ST | 2,061.00 | 5.55 |
| **Total Purchases vs Market Value** | | | | | 35,527 99 | 37,131 85 | | | |
| **Cumulative Cash Distributions** | | | | | | 1,395.02 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 2,998.88 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| **BLACKROCK INFLAT PROT BOND I (BPRIX)** | 4/25/16 | 2,673.753 | 10 630 | 10 620 | 28,421.99 | **28,395.26** | (26.73) ST | 340.00 | 1.19 |
| **Total Purchases vs Market Value** | | | | | 28,421.99 | 28,395 26 | | | |
| **Cumulative Cash Distributions** | | | | | | 338.72 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 311.99 | | | |
| *GIMA Status: AL, Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| **BLACKROCK TOTAL RET I (MAHQX)** | 4/25/16 | 2,427.155 | 11.710 | 11.580 | 28,421.99 | **28,106.45** | (315 54) ST | 799.00 | 2.84 |
| **Total Purchases vs Market Value** | | | | | 28,421.99 | 28,106.45 | | | |
| **Cumulative Cash Distributions** | | | | | | 471 10 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 155 56 | | | |
| *GIMA Status. FL; Dividend Cash; Capital Gains Cash; Asset Class· FI & Pref* | | | | | | | | | |
| **CALAMOS MARKET NEUTRAL INC I (CMNIX)** | 4/25/16 | 1,671 842 | 12.750 | 12.870 | 21,315.99 | 21,516.61 | 200.62 ST | | |
| | 9/29/16 | 0.154 | 12.922 | 12.870 | 1.99 | 1.98 | (0.01) ST | | |

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 1,671 996 | | | 21,317 98 | **21,518.59** | 200 61 ST | 304.00 | 1.41 |
| **Total Purchases vs Market Value** | | | | | 21,317.98 | 21,518.59 | | | |
| **Cumulative Cash Distributions** | | | | | | 572.94 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 773.55 | | | |
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| **CENTER COAST MLP FOCUS I (CCCNX)** | 7/29/15 | 3,667.346 | 9.925 | 8.670 | 36,398.42 | 31,795.89 | (4,602.53) LT R | | |
| | 4/25/16 | 511.137 | 8.350 | 8.670 | 4,267.99 | 4,431.56 | 163.57 ST | | |
| | 5/31/16 | 87.272 | 8.250 | 8.670 | 719.99 | 756.65 | 36.66 ST | | |
| | 9/14/16 | 112.284 | 8 140 | 8 670 | 913 99 | 973.50 | 59.51 ST | | |
| | **Total** | 4,378 039 | | | 42,300 39 | **37,957.60** | (4,602.53) LT<br>259.74 ST | 2,995 00 | 7 89 |
| **Total Purchases vs Market Value** | | | | | 42,300.39 | 37,957.60 | | | |
| **Cumulative Cash Distributions** | | | | | | 3,903.35 | | | |
| **Net Value Increase/(Decrease)** | | | | | | (439.44) | | | |
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| **DIAMOND HILL LONG-SHORT I (DHLSX)** | 4/25/16 | 870.396 | 24.490 | 25.430 | 21,315.99 | 22,134.17 | 818.18 ST | | |
| | 6/6/16 | 18.144 | 24.249 | 25.430 | 439.98 | 461.40 | 21.42 ST | | |
| | 6/28/16 | 25.239 | 22.940 | 25.430 | 578.99 | 641.83 | 62.84 ST | | |
| | **Total** | 913.779 | | | 22,334.96 | **23,237.40** | 902.44 ST | | |
| **Total Purchases vs Market Value** | | | | | 22,334.96 | 23,237.40 | | | |
| **Cumulative Cash Distributions** | | | | | | 778.81 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 1,681.25 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| **JP MORGAN MID CAP VALUE S (JMVSX)** | 4/25/16 | 604 708 | 35 250 | 36.000 | 21,315.97 | **21,769.49** | 453.52 ST | 145 00 | 0 66 |
| **Total Purchases vs Market Value** | | | | | 21,315.97 | 21,769.49 | | | |
| **Cumulative Cash Distributions** | | | | | | 1,502.38 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 1,955 90 | | | |
| *GIMA Status. FL; Dividend Cash; Capital Gains Cash, Asset Class. Equities* | | | | | | | | | |
| **MATTHEWS ASIAN JAPAN INV (MJFOX)** | 5/13/15 | 1,084.883 | 18.620 | 18.830 | 20,200.52 | 20,428.35 | 227 83 LT | | |
| | 5/2/16 | 28 888 | 19.350 | 18.830 | 558.99 | 543 96 | (15 03) ST | | |
| | **Total** | 1,113.771 | | | 20,759.51 | **20,972.31** | 227 83 LT<br>(15 03) ST | 184.00 | 0.87 |
| **Total Purchases vs Market Value** | | | | | 20,759.51 | 20,972.31 | | | |
| **Cumulative Cash Distributions** | | | | | | 240.61 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 453.41 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| **NATIONWIDE GENEVA MDCP GW F (NWHYX)** | 4/25/16 | 565.909 | 25.110 | 23.350 | 14,209.98 | **13,213.98** | (996.00) ST | — | — |

[redacted]
337-081152-083

[redacted]
30528 N 126TH LN

# Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **Total Purchases vs Market Value** | | | | | 14,209.98 | 13,213.98 | | | |
| **Cumulative Cash Distributions** | | | | | | 1,151.66 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 155 66 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| **PIMCO LOW DURATION P (PLDPX)** | 4/25/16 | 2,157.489 | 9.880 | 9.850 | 21,315.99 | 21,251.26 | (64.73) ST | | |
| | 7/29/16 | 45.085 | 9.870 | 9.850 | 444.99 | 444.08 | (0.91) ST | | |
| | **Total** | 2,202 574 | | | 21,760 98 | **21,695.35** | (65.64) ST | 418.00 | 1.92 |
| **Total Purchases vs Market Value** | | | | | 21,760.98 | 21,695.35 | | | |
| **Cumulative Cash Distributions** | | | | | | 263.46 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 197.83 | | | |
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| **PIMCO SHORT TERM P (PTSPX)** | 4/25/16 | 732.473 | 9.700 | 9.800 | 7,104.99 | 7,178.24 | 73.25 ST | | |
| | 8/11/16 | 16.734 | 9.740 | 9.800 | 162.99 | 163.99 | 1.00 ST | | |
| | **Total** | 749 207 | | | 7,267 98 | **7,342.23** | 74.25 ST | 127.00 | 1.72 |
| **Total Purchases vs Market Value** | | | | | 7,267.98 | 7,342.23 | | | |
| **Cumulative Cash Distributions** | | | | | | 77.54 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 151.79 | | | |
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| **UNDISCOVERED MNGR BHVRL VL SEL (UBVSX)** | 4/25/16 | 120.751 | 58.840 | 64.400 | 7,104.97 | 7,776.36 | 671.39 ST | | |
| | 5/18/16 | 2 452 | 57 076 | 64.400 | 139.95 | 157.91 | 17.96 ST | | |
| | 6/28/16 | 3 125 | 55 344 | 64.400 | 172.95 | 201.25 | 28.30 ST | | |
| | **Total** | 126.328 | | | 7,417.87 | **8,135.52** | 717.65 ST | 70.00 | 0.86 |
| **Total Purchases vs Market Value** | | | | | 7,417.87 | 8,135 52 | | | |
| **Cumulative Cash Distributions** | | | | | | 253 12 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 970.77 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| **VAN ECK EMERGING MARKETS Y (EMRYX)** | 4/25/16 | 3,487.289 | 12.490 | 12.510 | 43,556.24 | 43,625.98 | 69.74 ST | | |
| | 5/10/16 | 91.421 | 12 240 | 12.510 | 1,118.99 | 1,143 67 | 24 68 ST | | |
| | **Total** | 3,578.710 | | | 44,675.23 | **44,769.66** | 94.42 ST | | |
| **Total Purchases vs Market Value** | | | | | 44,675.23 | 44,769.66 | | | |
| **Cumulative Cash Distributions** | | | | | | 57 26 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 151 69 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |

# Account Detail

Select UMA Active Assets Account
337-081152-083

GUNNERSON FAMILY FOUNDATION INC
30528 N 126TH LN

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** | **48.61%** | **$344,318.75** | **$342,286.63** | **$(4,374.70) LT**<br>**$2,342.56 ST** | **$7,447.00** | **2.18%** |

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income<br>Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|
| **TOTAL MARKET VALUE** | | **$659,046.70** | **$704,107.69** | **$15,598.90 LT**<br>**$10,460.96 ST** | **$14,306.00**<br>**$0.00** | **2.03%** |
| **TOTAL VALUE** (includes accrued interest) | **100.00%** | | **$704,107.69** | | | |

*R - The cost basis for this tax lot was adjusted due to a reclassification of income.*
*Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.*

## ALLOCATION OF ASSETS

| | Cash | Equities | Fixed Income & Preferred Securities | Alternatives | Annuities & Insurance | Structured Investments | Other |
|---|---|---|---|---|---|---|---|
| Cash, BDP, MMFs | $19,000.94 | | – | — | — | — | |
| Stocks | — | $339,408.37 | — | $3,411.75 | | — | |
| Mutual Funds | — | 116,854.97 | $122,671.14 | 102,760.52 | — | — | — |
| **TOTAL ALLOCATION OF ASSETS** | **$19,000.94** | **$456,263.34** | **$122,671.14** | **$106,172.27** | **—** | **—** | **—** |

# ACTIVITY

## CASH FLOW ACTIVITY BY DATE

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/1 | | Dividend | VINCI SA ADR<br>ADJ GROSS DIV AMOUNT 8 94<br>FOREIGN TAX PAID IS 8.94 | | | | $0.00 |
| 12/1 | | Dividend | BLACKROCK HI YIELD BD PTF INST<br>DIV PAYMENT | | | | 179.43 |
| 12/1 | | Dividend | BLACKROCK TOTAL RET I<br>DIV PAYMENT | | | | 70.52 |
| 12/1 | | Dividend | PIMCO LOW DURATION P<br>DIV PAYMENT | | | | 43.09 |