Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
**2016**
**Open to Public Inspection**

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation
Bussing-Koch Foundation, Inc.

A Employer identification number
35-1780862

Number and street (or P O box number if mail is not delivered to street address) Room/suite
2905 Bayard Park Drive

B Telephone number (see instructions)
(812) 473-1060

City or town, state or province, country, and ZIP or foreign postal code
Evansville, IN 47714

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 3,124,073.75

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 5,487.30 | 5,487.30 | 5,487.30 | |
| | 4 | Dividends and interest from securities | 54,243.42 | 54,243.42 | 54,243.42 | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 40,898 95 | | | |
| | b | Gross sales price for all assets on line 6a 388,484 34 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 40,898.95 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | 1,506.74 | 1,506.74 | 1,506.74 | |
| | 12 | **Total.** Add lines 1 through 11 | 102,136.41 | 102,136.41 | 61,237.46 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | 45,846.47 | 45,846.47 | 45,846.47 | 45,846.47 |
| | 17 | Interest | 169.02 | 169.02 | 169.02 | 169.02 |
| | 18 | Taxes (attach schedule) (see instructions) | 2,114.27 | 614.27 | 614.27 | 614.27 |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 350.00 | 350.00 | 350.00 | 350.00 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 48,479 76 | 46,979 76 | 46,979.76 | 46,979 76 |
| | 25 | Contributions, gifts, grants paid | 47,845.00 | | | 47,845.00 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 96,324.76 | 46,979.76 | 46,979.76 | 94,527.76 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 5,811.65 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 55,156.65 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 14,257.70 | |

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P 25

| **Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** 1 | Cash—non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 45,763.90 | 79,182.15 | 79,182.15 |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U S. and state government obligations (attach schedule) | 92,875.13 | 108,969.59 | 108,395 71 |
| b | Investments—corporate stock (attach schedule) | 1,507,547.20 | 1,501,436.06 | 2,334,167.73 |
| c | Investments—corporate bonds (attach schedule) | 176,793.81 | 148,865.87 | 147,446.57 |
| 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | 58,200 00 | 58,200.00 | 58,200.00 |
| 13 | Investments—other (attach schedule) | 417,899.75 | 401,049.69 | 396,681.59 |
| 14 | Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 2,299,079.79 | 2,297,696.36 | 3,124,073 75 |
| **Liabilities** 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | 2,299,079.79 | 2,297,696.36 | |
| 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 2,299,079.79 | 2,297,696 36 | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 2,299,079.79 | 2,297,696.36 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,299,079.79 |
| 2 | Enter amount from Part I, line 27a | 2 | 5,811.65 |
| 3 | Other increases not included in line 2 (itemize) ▶ Trade error adjustment | 3 | 4.10 |
| 4 | Add lines 1, 2, and 3 | 4 | 2,304,895.54 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 7,199.09 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 2,297,696.45 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | see attached list | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 40,898.95 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | (2,138.67) |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2015 | 634,907.36 | 3,060,394.00 | .2074593 |
| 2014 | 366,051.36 | 3,886,899.07 | .0941756 |
| 2013 | 435,201.11 | 3,816,160.59 | .1140416 |
| 2012 | 520,082.20 | 3,667,341.93 | .1418145 |
| 2011 | 219,924.25 | 3,922,649 98 | 0560652 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .6135562 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 1227112 |
| 4 | Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 2,618,173.67 |
| 5 | Multiply line 4 by line 3 | 5 | 321,279.23 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 5,515.66 |
| 7 | Add lines 5 and 6 | 7 | 326,794.89 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 94,527 76 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 1,103 | 13 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | | |
| 3 | Add lines 1 and 2 | 3 | 1,103 | 13 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 1,103 | 13 |
| 6 | Credits/Payments: | | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a | | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | | |
| d | Backup withholding erroneously withheld — 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 0 | 00 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 1,103 | 13 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | | |
| 11 | Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ **Refunded** ▶ | 11 | | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | ✓ |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | ✓ |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | ✓ |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | ✓ |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | ✓ |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | ✓ |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | ✓ |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | ✓ | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | ✓ | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Indiana | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | ✓ | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | ✓ |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | ✓ |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | ✓ |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | ✓ |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | ✓ | |

Website address ▶

14 The books are in care of ▶ Wilfred C. Bussing, III Telephone no ▶

Located at ▶ 2709 Washington Avenue, Suite 18, Evansville, IN ZIP+4 ▶ 47714

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | ✓ |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | 1c | | ✓ |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20 , 20 , 20 , 20 | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20 | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | ✓ |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | 4b | | ✓ |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | ✓ |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Wilfred C Bussing, III<br>2905 Bayard Park Drive, Evansville, IN 47714 | President--2 | 0 | 0 | 0 |
| Marie A. Bussing<br>625 Winterwood Drive, Evansville, IN 47715 | Vice President--0 | 0 | 0 | 0 |
| Constance K. Bussing<br>2905 Bayard Park Drive, Evansville, IN 47714 | Secretary, Treasurer--0 | 0 | 0 | 0 |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** number of other employees paid over $50,000 ▶ | | | | |

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . . ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,603,436.81 |
| b | Average of monthly cash balances | 1b | 54,607.52 |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,658,044 33 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 2,658,044.33 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 39,870.66 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,618,173.67 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 130,908.68 |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 130,908.68 |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 1,103 13 | | |
| b | Income tax for 2016. (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,103 13 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 129,805 55 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 129,805.55 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 129,805.55 |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 94,527.76 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 94,527.76 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 94,527 76 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2016 from Part XI, line 7 | | | | 129,805.55 |
| 2 | Undistributed income, if any, as of the end of 2016. | | | | |
| a | Enter amount for 2015 only | | | 0 | |
| b | Total for prior years· 20___,20___,20___ | | 0 | | |
| 3 | Excess distributions carryover, if any, to 2016: | | | | |
| a | From 2011 . . . 27,500.33 | | | | |
| b | From 2012 . . . 338,059.09 | | | | |
| c | From 2013 . . . 247,300.80 | | | | |
| d | From 2014 . . . 174,614.60 | | | | |
| e | From 2015 . . . 481,887.66 | | | | |
| f | **Total** of lines 3a through e | 1,269,362 48 | | | |
| 4 | Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 94,527.76 | | | | |
| a | Applied to 2015, but not more than line 2a | | | 0 | |
| b | Applied to undistributed income of prior years (Election required—see instructions) | | 0 | | |
| c | Treated as distributions out of corpus (Election required—see instructions) | 0 | | | |
| d | Applied to 2016 distributable amount | | | | |
| e | Remaining amount distributed out of corpus | 0 | | | |
| 5 | Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 35,277.79 | | | 35,277.79 |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus. Add lines 3f, 4c, and 4e Subtract line 5 | 1,234,084.69 | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0 | | |
| d | Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e | Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f | Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 | | | | 0 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | 0 | | | |
| 8 | Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 | **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a | 1,234,084.69 | | | |
| 10 | Analysis of line 9: | | | | |
| a | Excess from 2012 . . . 330,281 63 | | | | |
| b | Excess from 2013 . . . 247,300.80 | | | | |
| c | Excess from 2014 . . . 174,614.60 | | | | |
| d | Excess from 2015 . . . 481,887.66 | | | | |
| e | Excess from 2016 . . . 0 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶ NA

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter. (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE ATTACHED LIST | | | | |
| **Total** ▶ 3a | | | | 47,845.00 |
| **b** *Approved for future payment* | | | | |
| NONE | | | | |
| **Total** ▶ 3b | | | | 0 |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 5,487.30 | |
| 4 Dividends and interest from securities | | | 14 | 54,243.42 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 1,506 74 | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 40,898 95 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 102,136.41 | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 102,136.41

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NA |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Wilfred C. Bussing, III | 5-12-17 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐Yes ☐No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| | | | | |

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

BUSSING-KOCH FOUNDATION, INC. EIN 35-1780862
SCHEDULE OF INFORMATION FOR FORM 2016 990-PF

**PART I, LINE 11, OTHER INCOME**

| | |
|---|---|
| Refund, U S Treasury | $ 1,506 74 |

**PART I, LINE 16c, OTHER PROFESSIONAL FEES**

| | |
|---|---|
| Evansville Commerce Bank agency fees | $ 25,082 84 |
| Fifth Third Bank agency fees | $ 1,167 73 |
| Old National Trust Co agency fees | $ 1,230 22 |
| Wells Fargo Securities, Special Account B, Macs quarterly fees | $ 2,802 54 |
| Wells Fargo Securities, Special Account C, Macs quarterly fees | $ 5,188 49 |
| Wells Fargo Securities, Special Account G, Macs quarterly fees | $ 10,391 57 |
| | $ 45,863 39 |

**PART I, LINE 18, TAXES**

| | |
|---|---|
| The excise tax on 2016 net investment income | $ 1,500 00 |
| Foreign taxes (Wells Fargo Securities, Special Account C) | $ 606 28 |
| Foreign taxes (Wells Fargo Securities, Special Account G) | $ 7 99 |
| | $ 2,114 27 |

**PART I, LINE 23, OTHER EXPENSES**

| | |
|---|---|
| Indiana Philanthropy Alliance, annual membership | $ 330 00 |
| Indiana Secretary of State | $ 20 00 |
| | $ 350 00 |

**PART II, LINE 10a, U.S. AND STATE GOVERNMENT OBLIGATIONS**

| | Book Value | Fair Market Value |
|---|---|---|
| FHLM Notes 0 750% 01/12/18 | $ 8,920 74 | $ 8,982 00 |
| U S Treasury Notes 1 375% 07/31/18 | $ 12,955 55 | $ 13,059 41 |
| U S Treasury Notes 1 0% 03/15/19 | $ 11,017 72 | $ 10,941 59 |
| U S Treasury Notes 1 125% 2/28/21 | $ 12,818 39 | $ 12,646 53 |
| U S Treausry Notes 1 25% 3/31/21 | $ 11,041 51 | $ 10,742 16 |
| U S Treasury Notes 1 125% 6/30/21 | $ 10,908 20 | $ 10,643 38 |
| U S Treasury Notes 1 875% 10/31/22 | $ 6,101 46 | $ 5,916 54 |
| U S Treasury Notes 2 00% 02/15/25 | $ 15,137 52 | $ 14,587 50 |
| Franklin, TN GA 4 55% 03/01/19 | $ 10,068 50 | $ 10,634 40 |
| Racine, WI 4 00% 12/01/17 | $ 10,000 00 | $ 10,242 20 |
| | $ 108,969 59 | $ 108,395 71 |

**PART II, LINE 10b, CORPORATE STOCK**

| Corporate Name | No of Shares | Book Value | Fair Market Value |
|---|---|---|---|
| Alphabet, Inc | 83 | $ 44,721 16 | $ 64,061 06 |
| Apple, Inc | 455 | $ 53,712 47 | $ 86,865 00 |
| Berkshire Hathaway, Inc Cl B | 400 | $ 34,798 00 | $ 65,192 00 |
| CVS Corp | 1015 | $ 41,033 20 | $ 80,093 65 |
| Cisco Systems, Inc | 1600 | $ 35,737 50 | $ 48,352 00 |
| Walt Disney Co | 900 | $ 25,097 00 | $ 93,798 00 |
| Expeditors Intl Wash | 725 | $ 26,999 68 | $ 38,396 00 |
| Exxon Mobil Corp | 400 | $ 24,160 00 | $ 36,104 00 |
| Fastenol Co | 1100 | $ 45,669 41 | $ 51,678 00 |
| General Electric Co | 1500 | $ 47,240 10 | $ 47,400 00 |
| Harley Davidson, Inc | 725 | $ 35,994 98 | $ 42,296 50 |
| Home Depot, Inc | 900 | $ 25,070 49 | $ 120,672 00 |
| IBM | 125 | $ 22,786 22 | $ 20,748 75 |
| J P Morgan Chase & Co | 1185 | $ 52,790 87 | $ 102,253 65 |
| Johnson & Johnson | 700 | $ 46,674 70 | $ 80,647 00 |
| Mattel, Inc | 1300 | $ 27,329 97 | $ 35,815 00 |
| Microsoft Corp | 1270 | $ 36,914 90 | $ 78,917 80 |
| Northern Trust Corp | 600 | $ 29,428 07 | $ 53,430 00 |
| Omnicom Group, Inc | 800 | $ 35,869 78 | $ 68,088 00 |
| Pfizer, Inc | 1700 | $ 48,374 04 | $ 55,216 00 |
| Progressive Corp Ohio | 1665 | $ 32,055 62 | $ 59,107 50 |
| Staples, Inc | 2100 | $ 33,382 97 | $ 19,005 00 |
| TJX Cos , Inc | 625 | $ 9,700 00 | $ 46,956 25 |
| U S Bancorp | 1400 | $ 43,823 16 | $ 71,918 00 |
| Union Pacific Corp | 410 | $ 39,417 36 | $ 42,508 80 |
| Wells Fargo & Co | 1500 | $ 39,232 00 | $ 82,665 00 |
| TE Connectivity, Ltd | 1000 | $ 34,142 75 | $ 69,280 00 |
| Walt Disney Co | 7 | $ 840 58 | $ 729 54 |
| Home Depot, Inc | 9 | $ 1,124 79 | $ 1,206 72 |
| Select Sector SPDR Consumer Disc | 36 | $ 1,683 90 | $ 2,930 40 |
| Starbucks Corp | 27 | $ 1,549 17 | $ 1,499 04 |
| TJX Co , Inc | 22 | $ 717 88 | $ 1,652 86 |
| Twenty-first Century Fox, Inc | 32 | $ 1,067 22 | $ 897 28 |
| CVS Health Corp | 12 | $ 849 88 | $ 946 92 |
| Church & Dwight, Inc | 14 | $ 180 15 | $ 618 66 |
| Costco Wholesale Corp | 16 | $ 888 54 | $ 2,561 76 |
| Kroger Co | 28 | $ 1,026 03 | $ 966 28 |
| Select Sector SPDR Consumer Staples | 18 | $ 612 18 | $ 930 78 |
| Chevron Corp | 6 | $ 642 25 | $ 706 20 |

**BUSSING-KOCH FOUNDATION, INC. EIN 35-1780862**
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| | | | | | |
|---|---|---|---|---|---|
| EOG Resources, Inc | 12 | $ | 992 83 | $ | 1,213 20 |
| Exxon Mobil Corp | 24 | $ | 1,923 29 | $ | 2,166 24 |
| Halliburton Co | 11 | $ | 603 67 | $ | 594 99 |
| Pioneer Natural Resources Co | 6 | $ | 945 11 | $ | 1,080 42 |
| Berkshire Hathaway, Inc Cl B | 7 | $ | 1,042 31 | $ | 1,140 86 |
| Blackrock, Inc | 6 | $ | 1,970 37 | $ | 2,283 24 |
| Goldman Sachs | 8 | $ | 1,276 87 | $ | 1,915 60 |
| J P Morgan Chase & Co | 24 | $ | 983 16 | $ | 2,070 96 |
| Suntrust Banks, Inc | 30 | $ | 1,367 98 | $ | 1,645 50 |
| Danaher Corp | 13 | $ | 286 18 | $ | 1,011 92 |
| Edwards Lifesciences Corp | 14 | $ | 1,090 88 | $ | 1,311 80 |
| Eli Lilly & Co | 20 | $ | 1,572 46 | $ | 1,471 00 |
| Pfizer, Inc | 40 | $ | 1,070 20 | $ | 1,299 20 |
| Stryker Corp | 16 | $ | 1,597 40 | $ | 1,916 96 |
| Thermo Fisher Scientific, Inc | 10 | $ | 373 23 | $ | 1,411 00 |
| Acuity Brands, Inc | 2 | $ | 502 47 | $ | 461 72 |
| Equifax, Inc | 8 | $ | 791 78 | $ | 945 84 |
| Fedex Corp | 7 | $ | 1,205 18 | $ | 1,303 40 |
| Fortive Corp | 21 | $ | 719 18 | $ | 1,126 23 |
| General Electric Co | 32 | $ | 853 28 | $ | 1,011 20 |
| Lennox International, Inc | 5 | $ | 689 31 | $ | 765 85 |
| Northrop Grumman Corp | 6 | $ | 1,131 33 | $ | 1,395 48 |
| Alphabet, Inc Class A | 3 | $ | 2,231 52 | $ | 2,377 35 |
| Apple, Inc | 17 | $ | 273 82 | $ | 1,968 94 |
| Facebook, Inc Class A | 12 | $ | 1,318 17 | $ | 1,380 60 |
| Oracle Corp | 36 | $ | 1,123 44 | $ | 1,384 20 |
| Paypal Holdings, Inc | 25 | $ | 784 67 | $ | 986 75 |
| Qualcomm, Inc | 28 | $ | 1,432 69 | $ | 1,825 60 |
| Visa, Inc | 25 | $ | 414 44 | $ | 1,950 50 |
| Sherwin Williams Co | 5 | $ | 1,457 10 | $ | 1,343 70 |
| Crown Castle Intl Corp | 13 | $ | 1,111 90 | $ | 1,128 01 |
| Extra Space Storage, Inc | 11 | $ | 912 06 | $ | 849 64 |
| Select Sector SPDR Trust Utilities | 24 | $ | 976 25 | $ | 1,165 68 |
| Allergan | 5 | $ | 1,533 13 | $ | 1,050 05 |
| AON | 9 | $ | 993 16 | $ | 1,003 77 |
| Medtronic | 24 | $ | 1,820 40 | $ | 1,709 52 |
| Boston Partners All-Cap Value Fund | 251 971 | $ | 5,177 87 | $ | 5,916 28 |
| Janus Enterprise Cl I Fund #102 | 61 213 | $ | 5,496 93 | $ | 5,828 70 |
| Victory Sycamore Established Value Cl I Fund #203 | 221 207 | $ | 7,129 50 | $ | 7,998 85 |
| Eagle Small Cap Growth Fund #3933 | 65 5 | $ | 2,990 29 | $ | 3,582 85 |
| Federated Clover Small Cap Value Fund #659 | 148 304 | $ | 3,203 22 | $ | 4,019 04 |
| J P Morgan U S Small Company Instl Fund #1384 | 251 343 | $ | 4,364 31 | $ | 4,599 58 |
| Driehaus Emerging Markets Growth Fund #3 | 81 904 | $ | 1,486 69 | $ | 2,291 67 |
| Oakmark Intl Fund #109 | 202 733 | $ | 5,034 10 | $ | 4,602 04 |
| Oppenheimer Intl Growth Fund #1961 | 115 51 | $ | 4,277 24 | $ | 4,005 89 |
| State Street Hedged Intl Dev Equity Index Cl K Fund #5904 | 243 938 | $ | 2,241 79 | $ | 2,239 35 |
| Vanguard S&P 500 ETF | 11 | $ | 2,279 64 | $ | 2,258 41 |
| Vanguard Value ETF | 24 | $ | 1,693 48 | $ | 2,232 24 |
| Allergan | 10 | $ | 2,159 31 | $ | 2,100 10 |
| Medtronic | 28 | $ | 2,154 60 | $ | 1,994 44 |
| Alphabet, Inc | 3 | $ | 418 20 | $ | 2,315 46 |
| Apple, Inc | 35 | $ | 464 15 | $ | 4,053 70 |
| Berkshire Hathaway, Inc Del Cl B | 10 | $ | 859 63 | $ | 1,629 80 |
| Chevron Corp | 15 | $ | 1,061 16 | $ | 1,765 50 |
| Coca Cola Co | 40 | $ | 883 62 | $ | 1,658 40 |
| Walt Disney Co | 40 | $ | 1,351 16 | $ | 4,168 80 |
| Dodge & Cox Intl Stock | 76 952 | $ | 2,981 78 | $ | 2,931 87 |
| E I De Nemours Du Pont & Co | 10 | $ | 433 72 | $ | 734 00 |
| East West Bancorp, Inc | 50 | $ | 1,171 65 | $ | 2,541 50 |
| Emerson Electric Corp | 30 | $ | 526 87 | $ | 1,672 50 |
| Exxon Mobil Corp | 15 | $ | 525 30 | $ | 1,353 90 |
| General Electric Co | 20 | $ | 424 60 | $ | 632 00 |
| IBM | 8 | $ | 554 24 | $ | 1,327 92 |
| J P Morgan Chase & Co | 20 | $ | 1,153 00 | $ | 1,725 80 |
| Johnson & Johnson | 38 | $ | 761 19 | $ | 4,377 98 |
| Mastercard, Inc | 10 | $ | 864 17 | $ | 1,032 50 |
| Microsoft Corp | 36 | $ | 269 16 | $ | 2,237 04 |
| NIKE, Inc | 80 | $ | 1,378 26 | $ | 4,066 40 |
| Oracle Corp | 50 | $ | 243 06 | $ | 1,922 50 |
| Oppenheimer Developing Markets-Y | 111 76 | $ | 3,246 71 | $ | 3,571 85 |
| Pepsico, Inc | 20 | $ | 485 72 | $ | 2,092 60 |
| Praxair, Inc | 15 | $ | 401 62 | $ | 1,757 85 |
| 3M Co | 20 | $ | 1,508 37 | $ | 3,571 40 |
| Verizon Communications, Inc | 30 | $ | 903 14 | $ | 1,601 40 |
| Walgreens Boots Alliance, Inc | 10 | $ | 373 70 | $ | 827 60 |
| Wisdomtree Global Ex-U S Quality Dividend Growth Fund | 100 | $ | 4,497 00 | $ | 3,811 00 |
| Accenture | 16 | $ | 1,884 03 | $ | 1,874 08 |
| Actelion | 40 | $ | 1,307 57 | $ | 2,178 00 |
| Anheuser Busch Inbev | 53 | $ | 3,563 35 | $ | 5,588 32 |
| Aon | 32 | $ | 3,143 66 | $ | 3,568 96 |
| Ashtead Group | 21 | $ | 1,346 48 | $ | 1,667 40 |
| Assa Abloy | 397 | $ | 1,340 16 | $ | 3,672 25 |

| | | | | | |
|---|---|---|---|---|---|
| Associated British Foods | 41 | $ | 1,003 92 | $ | 1,386 62 |
| Banca Mediolanum | 109 | $ | 1,837 17 | $ | 1,571 78 |
| BHP Billiton | 115 | $ | 5,800 22 | $ | 4,114 70 |
| British Ameren | 47 | $ | 3,645 70 | $ | 5,295 49 |
| Canadian National Railway Co | 39 | $ | 2,476 39 | $ | 2,628 60 |
| Capgemini | 122 | $ | 2,085 07 | $ | 2,051 43 |
| Carlsberg | 158 | $ | 2,909 59 | $ | 2,727 08 |
| Compagnie FinanciereRichemont | 234 | $ | 2,124 57 | $ | 1,535 04 |
| Compass Group | 183 | $ | 3,152 05 | $ | 3,418 44 |
| Continental AG | 51 | $ | 2,239 69 | $ | 1,963 50 |
| Daiwa House Ind | 177 | $ | 3,394 16 | $ | 4,805 55 |
| Fanuc Corp | 126 | $ | 2,036 49 | $ | 2,097 90 |
| Fresenius SE | 152 | $ | 1,954 91 | $ | 2,960 96 |
| GEA Group | 35 | $ | 1,528 89 | $ | 1,408 40 |
| Informa | 146 | $ | 1,865 10 | $ | 2,452 80 |
| Japan Tobacco, Inc | 130 | $ | 2,176 88 | $ | 2,123 55 |
| KBC Groep | 38 | $ | 1,140 44 | $ | 1,177 52 |
| KDDI Corp | 180 | $ | 2,084 84 | $ | 2,277 00 |
| Komatsu | 88 | $ | 2,570 31 | $ | 1,995 84 |
| Makita Corp | 56 | $ | 3,154 47 | $ | 3,746 96 |
| Novartis | 86 | $ | 4,246 63 | $ | 6,264 24 |
| Prudential | 146 | $ | 3,813 29 | $ | 5,809 34 |
| Red Electrica Corp | 235 | $ | 1,983 28 | $ | 2,192 55 |
| Relex | 156 | $ | 2,718 82 | $ | 2,803 32 |
| Royal Dutch Shell | 66 | $ | 3,799 80 | $ | 3,589 08 |
| Royal KPN | 441 | $ | 1,427 62 | $ | 1,323 00 |
| Ryanair Holdings | 30 | $ | 1,287 66 | $ | 2,497 80 |
| Ryohin Keikaku Co | 80 | $ | 2,366 11 | $ | 3,149 80 |
| Sampo | 186 | $ | 2,857 30 | $ | 4,164 54 |
| SAP | 46 | $ | 3,988 24 | $ | 3,975 78 |
| Seven & I Holdings | 137 | $ | 2,261 80 | $ | 2,597 52 |
| Shire | 23 | $ | 4,306 05 | $ | 3,918 74 |
| Signet Jewelers | 19 | $ | 1,563 79 | $ | 1,790 94 |
| Softbank Group | 93 | $ | 3,440 09 | $ | 3,071 79 |
| Sony Corp | 79 | $ | 2,090 07 | $ | 2,214 37 |
| Statoil | 114 | $ | 1,915 86 | $ | 2,079 36 |
| Sumitomo Mitsui Financial Group, Inc | 535 | $ | 3,564 23 | $ | 4,087 40 |
| Suncor Energy, Inc | 80 | $ | 2,419 23 | $ | 2,615 20 |
| Swedbank | 114 | $ | 2,665 08 | $ | 2,753 10 |
| Telenor | 146 | $ | 3,188 86 | $ | 2,177 59 |
| Teva Pharmaceutical | 68 | $ | 3,639 25 | $ | 2,465 00 |
| Unilever | 79 | $ | 2,878 12 | $ | 3,215 30 |
| Valeo | 142 | $ | 2,118 34 | $ | 4,061 20 |
| Vinci | 196 | $ | 2,984 83 | $ | 3,326 12 |
| Wolseley | 609 | $ | 2,418 42 | $ | 3,696 63 |
| Wolters Kluwer | 77 | $ | 2,214 86 | $ | 2,795 10 |
| Worldpay Group | 208 | $ | 2,513 20 | $ | 2,060 24 |
| Adient | 84 | $ | 3,820 73 | $ | 4,922 40 |
| Aetna, Inc | 48 | $ | 5,446 61 | $ | 5,952 48 |
| Alphabet, Inc Voting | 13 | $ | 5,569 38 | $ | 10,301 85 |
| Alphabet, Inc Non-voting | 17 | $ | 7,272 16 | $ | 13,120 94 |
| Amazon com, Inc | 26 | $ | 7,980 45 | $ | 19,496 62 |
| American Express Company | 218 | $ | 12,623 97 | $ | 16,149 44 |
| Apache Corp | 296 | $ | 14,782 43 | $ | 18,787 12 |
| Bank New York Mellon Corp | 380 | $ | 10,996 11 | $ | 18,004 40 |
| Berkshire Hathaway, Inc Series B | 130 | $ | 13,204 72 | $ | 21,187 40 |
| Cabot Oil & Gas | 245 | $ | 7,268 81 | $ | 5,723 20 |
| Capital One Financial Corp | 52 | $ | 4,111 90 | $ | 4,536 48 |
| Carmax, Inc | 142 | $ | 7,131 09 | $ | 9,143 38 |
| Chubb, Ltd | 22 | $ | 1,982 72 | $ | 2,906 64 |
| Costco Wholesale Corp | 16 | $ | 7,781 48 | $ | 11,848 14 |
| Delphi Automotive | 62 | $ | 4,031 90 | $ | 4,175 70 |
| Ecolab, Inc | 32 | $ | 2,940 90 | $ | 3,751 04 |
| Encana Corp | 922 | $ | 12,633 50 | $ | 10,824 28 |
| EQT Corp | 52 | $ | 3,894 52 | $ | 3,400 80 |
| Express Scripts Holding Co | 81 | $ | 5,425 29 | $ | 5,571 99 |
| Facebook, Inc | 57 | $ | 6,233 67 | $ | 6,557 85 |
| Johnson Controls, Inc | 252 | $ | 10,798 50 | $ | 10,379 88 |
| J P Morgan Chase & Co | 258 | $ | 14,802 54 | $ | 22,262 82 |
| Liberty Global PLC Lilac Shares Cl C | 25 | $ | 1,069 18 | $ | 529 25 |
| Liberty Global PLC Shares Cl C | 193 | $ | 6,969 80 | $ | 5,732 10 |
| Liberty Interactive Corp | 54 | $ | 1,290 83 | $ | 1,078 92 |
| Loews Corp | 103 | $ | 4,494 53 | $ | 4,823 49 |
| Microsoft Corp | 66 | $ | 1,837 13 | $ | 4,101 24 |
| Monsanto Co | 99 | $ | 9,029 55 | $ | 10,415 79 |
| Occidental Petroleum Corp | 132 | $ | 9,807 32 | $ | 9,402 36 |
| Oracle Corp | 68 | $ | 2,174 65 | $ | 2,614 60 |
| Praxair, Inc | 54 | $ | 7,209 50 | $ | 6,328 26 |
| Priceline Group, Inc | 3 | $ | 3,440 54 | $ | 4,398 18 |
| Texas Instruments, Inc | 118 | $ | 5,241 06 | $ | 8,610 46 |
| United Technologies Corp | 138 | $ | 13,638 49 | $ | 15,127 56 |
| UnitedHealth Group, Inc | 45 | $ | 3,284 74 | $ | 7,201 80 |

| | | | |
|---|---|---|---|
| Valeant Pharmaceuticals Intl , Inc | 107 | $ 7,535 74 | $ 1,553 64 |
| Visa, Inc | 72 | $ 3,053 46 | $ 5,617 44 |
| Wells Fargo Company | 414 | $ 14,048 88 | $ 22,815 54 |
| | | $ 1,501,436 06 | $ 2,334,167 73 |

**PART II, LINE 10c, CORPORATE BONDS**

| Corporate Name | | Book Value | Fair Market Value |
|---|---|---|---|
| Touchstone Investment Trust High-Yield Fund Class Y | 349 432 | $ 3,107 35 | $ 2,935 23 |
| Federated Ultra Short-term Bonds | 656 455 | $ 6,000 00 | $ 5,973 74 |
| PIMCO Moderate Duration Instl Fund #120 | 811 087 | $ 8,407 53 | $ 8,151 42 |
| Vanguard Inter Term Bond Index Fund #1350 | 949 041 | $ 9,983 73 | $ 9,898 50 |
| Vanguard Short Term Bond Index Fund #1349 | 500 952 | $ 5,785 23 | $ 5,630 70 |
| Walgreens Boots Alliance Sr Unsecured 1 75% DTD 11/18/14 | | $ 3,994 52 | $ 4,009 72 |
| Citigroup, Inc Sr Unsecured 1 80% DTD 2/5/15 | | $ 3,996 96 | $ 4,012 56 |
| Abbvie, Inc Sr Unsecured 1 80% DTD 5/14/15 | | $ 6,992 86 | $ 7,001 82 |
| Berkshire Hathaway Fin Notes 5 4% DTD 11/15/08 | | $ 4,756 92 | $ 4,228 36 |
| United Health Group, Inc Sr Unsecured 1 625% DTD 2/28/13 | | $ 3,983 96 | $ 3,986 60 |
| Exelon Corp Sr Unsecured 2 85% DTD 6/11/15 | | $ 3,999 24 | $ 4,033 32 |
| Fifth Third Bancorp Sr Unsecured 2 875% DTD 7/27/15 | | $ 6,990 97 | $ 7,077 84 |
| Goldman Sachs Group, Inc Unsecured 2 75% DTD 9/15/15 | | $ 6,985 72 | $ 7,011 90 |
| Verizon Communications Sr Unsecured 4 5% DTD 9/18/13 | | $ 5,992 20 | $ 6,400 32 |
| John Deere Capital Corp Powernotes Med Term 2 8% DTD 3/4/14 | | $ 4,013 17 | $ 4,047 24 |
| Abbott Laboratories Sr Unsecured 2 55% DTD 3/10/15 | | $ 3,993 36 | $ 3,911 80 |
| Anheuser-Busch Inbev Fin Sr Unsecured 3 3% DTD 1/25/16 | | $ 6,973 47 | $ 7,101 57 |
| AT&T, Inc Sr Unsecured 3 6% DTD 2/9/16 | | $ 6,994 75 | $ 7,007 42 |
| Exxon Mobil Corp Sr Unsecured 2 726% DTD 3/3/16 | | $ 4,000 00 | $ 3,992 24 |
| Chevron Corp Sr Unsecured 2 566% DTD 5/16/16 | | $ 7,000 00 | $ 6,868 61 |
| Bank of New York Mellon Corp Med Term Notes 2 2% DTD 8/16/16 | | $ 3,993 04 | $ 3,804 24 |
| J P Morgan Chase & Co Subordinated 3 875% DTD 9/10/14 | | $ 6,961 93 | $ 7,043 75 |
| Bank of America Corp Internotes 3 95% DTD 4/21/15 | | $ 3,988 20 | $ 3,963 48 |
| Total Capital Canada Ltd Co 1 45% DTD 1/17/13 | | $ 3,996 16 | $ 3,996 68 |
| Bank of Nova Scotia Sr Unsecured 1 65% DTD 6/14/16 | | $ 4,009 88 | $ 3,959 00 |
| Toronto-Dominion Bank Med Term Notes 1 8% DTD 7/13/16 | | $ 4,988 10 | $ 4,836 15 |
| Teva Pharmaceuticals NE Sr Unsecured 2 8% DTD 7/21/16 | | $ 6,976 62 | $ 6,562 36 |
| | | $ 148,865 87 | $ 147,446 57 |

**PART II, LINE 13, OTHER**

| Corporate Name | No of Shares | Book Value | Fair Market Value |
|---|---|---|---|
| Smith Barney Westport Futures Fund, L P | 98 86 | $ 100,000 00 | $ 91,262 34 |
| Litman Gregory Master Alt | 618 14 | $ 7,170 44 | $ 7,077 71 |
| Oppenheimer Steelpath MLP Select 40 | 352 61 | $ 2,500 00 | $ 3,437 94 |
| Vanguard REIT | 120 00 | $ 6,372 25 | $ 9,903 60 |
| Lincoln Boyhood Drama Association loan | | $ 285,000 00 | $ 285,000 00 |
| Total | | $ 401,042 69 | $ 396,681 59 |

**IV CAPITAL GAINS AND LOSSES**
**FOR TAX ON INVESTMENT INCOME**

| | | | | | | |
|---|---|---|---|---|---|---|
| U S Treasury Notes 1 875% due 10/31/22 | P | 11/2/2015 | 1/13/2016 | $ 7,004 38 | $ 6,978 95 | $ 25 43 |
| AT&T, Inc Sr Unsecured 2 45% due 6/30/20 | P | 4/23/2015 | 1/29/2016 | $ 6,903 54 | $ 6,996 43 | $ (92 89) |
| American Express Credit Medium Term Notes 2 375% due 3/24/17 | P | 3/21/2012 | 1/13/2016 | $ 4,044 96 | $ 3,989 16 | $ 55 80 |
| BP Capital Markets Sr Unsecured 1 375% due 11/6/17 | P | 11/1/2012 | 2/29/2016 | $ 3,953 12 | $ 3,996 72 | $ (43 60) |
| Gilead Sciences, Inc Sr Unsecured 2 55% due 9/1/20 | P | 9/9/2015 | 3/21/2016 | $ 7,181 79 | $ 6,987 40 | $ 194 39 |
| General Electric Cap Corp Sr Unsecured 2 20% due 1/9/20 | P | 1/6/2015 | 3/23/2016 | $ 7,147 49 | $ 6,995 73 | $ 151 76 |
| Merck & Co , Inc Sr Unsecured 2 35% due 2/10/22 | P | 2/5/2015 | 3/16/2016 | $ 6,976 97 | $ 6,990 55 | $ (13 58) |
| U S Treasury Notes 875% due 12/31/16 | P | 12/2/2015 | 4/1/2016 | $ 9,019 04 | $ 9,007 68 | $ 11 36 |
| ConocoPhillips Co Sr Unsecured 2 875% due 11/15/21 | P | 11/6/2014 | 4/20/2016 | $ 6,992 09 | $ 6,998 25 | $ (6 16) |
| Microsoft Corp Sr Unsecured 2 375% due 2/12/22 | P | 2/9/2015 | 4/20/2016 | $ 7,208 32 | $ 6,987 89 | $ 220 43 |
| Chevron Corp Sr Unsecured 2 411% due 3/3/22 | P | 2/24/2015 | 5/9/2016 | $ 7,031 64 | $ 7,000 00 | $ 31 64 |
| U S Treasury Notes 0 875% due 11/30/16 | P | 11/30/2011 | 6/30/2016 | $ 3,006 56 | $ 2,984 52 | $ 22 04 |
| U S Treasury Notes 0 875% due 11/30/16 | P | 10/16/2013 | 6/30/2016 | $ 1,002 19 | $ 1,004 42 | $ (2 23) |
| U S Treasury Notes 1 875% due 10/31/22 | P | 11/2/2015 | 7/18/2016 | $ 2,063 59 | $ 1,993 98 | $ 69 61 |
| U S Treasury Notes 1 875% due 10/31/22 | P | 11/5/2015 | 7/18/2016 | $ 2,063 59 | $ 1,984 84 | $ 78 75 |
| U S Treasury Notes 1 875% due 10/31/22 | P | 3/31/2016 | 7/18/2016 | $ 3,095 40 | $ 3,068 81 | $ 26 59 |
| Apple, Inc Sr Unsecured 2 85% due 5/6/21 | P | 4/29/2014 | 7/18/2016 | $ 6,345 96 | $ 5,985 24 | $ 360 72 |
| Bank of Nova Scotia Sr Unsecured 1 45% due 4/25/18 | P | 4/18/2013 | 7/19/2016 | $ 4,010 80 | $ 3,991 36 | $ 19 44 |
| Toronto-Dominion Bank 1 625% due 3/13/18 | P | 3/9/2015 | 7/6/2016 | $ 4,033 28 | $ 3,999 88 | $ 33 40 |
| U S Treasury Notes 1 125% due 2/28/21 | P | 3/16/2016 | 8/9/2016 | $ 3,007 97 | $ 2,946 76 | $ 61 21 |
| U S Treasury Notes 2 00% due 2/15/25 | P | 3/3/2015 | 8/9/2016 | $ 2,082 27 | $ 1,978 28 | $ 103 99 |
| U S Treasury Notes 0 875% due 11/30/16 | P | 10/16/2013 | 9/1/2016 | $ 3,003 52 | $ 3,013 24 | $ (9 72) |
| U S Treasury Notes 1 0% due 3/15/19 | P | 3/21/2016 | 10/3/2016 | $ 5,015 63 | $ 4,995 71 | $ 19 92 |
| U S Treasury Notes 0 875% due 01/31/17 | P | 12/2/2015 | 11/1/2016 | $ 9,011 60 | $ 9,002 75 | $ 8 85 |
| U S Treasury Notes 0 875% due 11/30/16 | P | 10/16/2013 | 11/30/2016 | $ 4,000 00 | $ 4,017 65 | $ (17 65) |
| 65 sh , BG Group | P | 1/22/2015 | 1/11/2016 | $ 872 78 | $ 900 59 | $ (27 81) |
| 19 sh , Mediolanum SPA | P | 12/17/2015 | 1/22/2016 | $ 260 38 | $ 312 38 | $ (52 00) |
| 16 sh , BG Group | P | 8/26/2010 | 1/11/2016 | $ 214 83 | $ 262 35 | $ (47 52) |
| 40 sh , BG Group | P | 9/8/2011 | 1/11/2016 | $ 537 08 | $ 841 41 | $ (304 33) |
| 10 sh , BG Group | P | 11/29/2011 | 1/11/2016 | $ 134 27 | $ 203 20 | $ (68 93) |

| | | | | | | |
|---|---|---|---|---|---|---|
| 25 sh , BNP Paribas | P | 7/19/2012 | 1/21/2016 | $ 580 53 | $ 459 91 | $ 120 62 |
| 14 sh , BNP Paribas | P | 2/15/2013 | 1/21/2016 | $ 325 09 | $ 439 79 | $ (114 70) |
| 23 sh , BNP Paribas | P | 4/8/2013 | 1/21/2016 | $ 534 09 | $ 591 42 | $ (57 33) |
| 9 sh , BNP Paribas | P | 7/1/2014 | 1/21/2016 | $ 208 99 | $ 317 54 | $ (108 55) |
| 18 sh , BNP Paribas | P | 12/29/2014 | 1/21/2016 | $ 417 99 | $ 544 70 | $ (126 71) |
| 24 sh , Mediolanum SPA | P | 10/21/2013 | 1/22/2016 | $ 328 89 | $ 421 86 | $ (92 97) |
| 37 sh , Mediolanum SPA | P | 12/18/2013 | 1/22/2016 | $ 507 04 | $ 625 00 | $ (117 96) |
| 28 sh , Mediolanum SPA | P | 1/6/2015 | 1/22/2016 | $ 383 71 | $ 349 45 | $ 34 26 |
| 105 sh , Encana Corp | P | 5/8/2015 | 2/11/2016 | $ 359 33 | $ 1,450 32 | $ (1,090 99) |
| 81 sh , Encana Corp | P | 6/12/2015 | 2/11/2016 | $ 277 20 | $ 984 09 | $ (706 89) |
| 25 sh , Encana Corp | P | 11/9/2015 | 2/11/2016 | $ 85 56 | $ 201 40 | $ (115 84) |
| 38 sh , Vivendi | P | 7/14/2015 | 2/5/2016 | $ 766 64 | $ 1,016 76 | $ (250 12) |
| 13 sh , Ashtead Group | P | 7/7/2014 | 2/1/2016 | $ 662 94 | $ 843 47 | $ (180 53) |
| 18 sh , Swedbank | P | 4/11/2011 | 2/12/2016 | $ 328 39 | $ 335 46 | $ (7 07) |
| 21 sh , Swedbank | P | 6/23/2011 | 2/12/2016 | $ 383 13 | $ 328 51 | $ 54 62 |
| 90 sh , Compagnie Financiere Richemont | P | 5/21/2013 | 4/1/2016 | $ 571 62 | $ 848 40 | $ (276 78) |
| 3 28802 sh , Rexam | P | 3/1/2011 | 4/11/2016 | $ 143 87 | $ 125 57 | $ 18 30 |
| 18 19249 sh , Rexam | P | 7/1/2011 | 4/11/2016 | $ 796 07 | $ 732 38 | $ 63 69 |
| 4 51949 sh , Rexam | P | 8/17/2011 | 4/11/2016 | $ 197 77 | $ 170 10 | $ 27 67 |
| 330 sh , Sumitomo Mitsui Trust | P | 10/15/2013 | 4/11/2016 | $ 881 31 | $ 1,702 10 | $ (820 79) |
| 22 sh , Sumitomo Mitsui Trust | P | 1/14/2014 | 4/11/2016 | $ 58 76 | $ 115 50 | $ (56 74) |
| 4 sh , Bayer | P | 12/22/2011 | 5/2/2016 | $ 453 02 | $ 248 98 | $ 204 04 |
| 3 sh , Bayer | P | 6/11/2012 | 5/2/2016 | $ 339 78 | $ 189 45 | $ 150 33 |
| 3 sh , Bayer | P | 6/11/2012 | 5/20/2016 | $ 300 39 | $ 189 44 | $ 110 95 |
| 8 sh , Bayer | P | 6/21/2012 | 5/20/2016 | $ 801 04 | $ 546 65 | $ 254 39 |
| 1 sh , Bayer | P | 7/19/2012 | 5/20/2016 | $ 100 13 | $ 73 51 | $ 26 62 |
| 4 sh , Bayer | P | 7/19/2012 | 5/31/2016 | $ 381 46 | $ 294 04 | $ 87 42 |
| 3 sh , Bayer | P | 7/3/2013 | 5/31/2016 | $ 286 09 | $ 317 10 | $ (31 01) |
| 9 sh , Bayer | P | 7/5/2013 | 5/31/2016 | $ 858 30 | $ 952 22 | $ (93 92) |
| 1 sh , Bayer | P | 1/14/2014 | 5/31/2016 | $ 95 37 | $ 134 18 | $ (38 81) |
| 72 sh , Credit Suisse Group | P | 10/29/2015 | 6/27/2016 | $ 751 85 | $ 1,833 07 | $ (1,081 22) |
| 12 sh , Anheuser Busch Inbev | P | 11/12/2019 | 6/17/2016 | $ 1,491 53 | $ 573 38 | $ 918 15 |
| 8 927 sh , Rexam | P | 8/17/2011 | 6/17/2016 | $ 400 12 | $ 335 97 | $ 64 15 |
| 15 02859 sh , Rexam | P | 10/7/2011 | 6/17/2016 | $ 673 61 | $ 479 90 | $ 193 71 |
| 7 9646 sh , Rexam | P | 3/28/2013 | 6/17/2016 | $ 356 99 | $ 358 99 | $ (2 00) |
| 5 30973 sh , Rexam | P | 7/1/2013 | 6/17/2016 | $ 237 99 | $ 228 55 | $ 9 44 |
| 1 76995 sh , Rexam | P | 7/12/2013 | 6/17/2016 | $ 79 34 | $ 73 66 | $ 5 68 |
| 119 sh , Lloyds Banking Group | P | 11/4/2015 | 7/7/2016 | $ 300 50 | $ 550 26 | $ (249 76) |
| 8 sh , Red Electrica Corp | P | 7/29/2016 | 7/29/2016 | $ 9 12 | $ - | $ 9 12 |
| 415 sh , Lloyds Banking Group | P | 12/14/2012 | 7/7/2016 | $ 1,047 93 | $ 1,251 95 | $ (204 02) |
| 328 sh , Lloyds Banking Group | P | 4/30/2013 | 7/7/2016 | $ 828 25 | $ 1,119 23 | $ (290 98) |
| 14 sh , Lloyds Banking Group | P | 7/16/2013 | 7/7/2016 | $ 35 35 | $ 58 49 | $ (23 14) |
| 103 sh , Lloyds Banking Group | P | 5/2/2014 | 7/7/2016 | $ 260 09 | $ 559 23 | $ (299 14) |
| 35 sh , Softbank Group Corp | P | 6/4/2014 | 7/22/2016 | $ 888 32 | $ 1,315 90 | $ (427 58) |
| 14 sh , Check Point Software Tech | P | 10/1/2015 | 8/2/2016 | $ 1,049 21 | $ 1,111 42 | $ (62 21) |
| 7 sh , GEA Group | P | 10/14/2015 | 8/10/2016 | $ 377 50 | $ 288 87 | $ 88 63 |
| 31 sh , Actelion | P | 12/23/2014 | 8/15/2016 | $ 1,290 44 | $ 931 15 | $ 359 29 |
| 3 sh , Check Point Software Tech | P | 10/1/2015 | 9/23/2016 | $ 226 65 | $ 238 16 | $ (11 51) |
| 18 sh , Check Point Software Tech | P | 12/15/2015 | 9/23/2016 | $ 1,359 90 | $ 1,569 15 | $ (209 25) |
| 2 sh , Check Point Software Tech | P | 1/26/2016 | 9/23/2016 | $ 151 10 | $ 151 40 | $ (0 30) |
| 9 sh , Novo Nordisk | P | 8/7/2013 | 9/23/2016 | $ 403 08 | $ 310 66 | $ 92 42 |
| 17 sh , Novo Nordisk | P | 11/6/2013 | 9/23/2016 | $ 761 39 | $ 580 92 | $ 180 47 |
| 38 sh , Novo Nordisk | P | 11/6/2013 | 9/28/2016 | $ 1,656 21 | $ 1,298 50 | $ 357 71 |
| 1 sh , Novo Nordisk | P | 1/14/2014 | 9/28/2016 | $ 43 59 | $ 38 89 | $ 4 70 |
| 2 sh , Canadian National Railway Co | P | 7/25/2016 | 10/3/2016 | $ 132 00 | $ 126 75 | $ 5 25 |
| 4 sh , ENI | P | 6/10/2016 | 10/3/2016 | $ 116 32 | $ 124 66 | $ (8 34) |
| 3 sh , Aon | P | 5/22/2015 | 10/3/2016 | $ 336 17 | $ 309 30 | $ 26 87 |
| 12 sh , Actelion | P | 12/23/2014 | 10/24/2016 | $ 440 20 | $ 360 44 | $ 79 76 |
| 18 sh , Actelion | P | 2/23/2015 | 10/24/2016 | $ 660 32 | $ 530 61 | $ 129 71 |
| 2 sh , Ashtead Group | P | 7/7/2014 | 10/3/2016 | $ 133 21 | $ 129 77 | $ 3 44 |
| 3 sh , Assoc British Foods | P | 12/13/2012 | 10/3/2016 | $ 101 28 | $ 73 46 | $ 27 82 |
| 31 sh Assa Abloy | P | 3/3/2010 | 10/3/2016 | $ 311 54 | $ 102 08 | $ 209 46 |
| 2 sh , British Amern | P | 1/31/2007 | 10/3/2016 | $ 255 33 | $ 122 09 | $ 133 24 |
| 12 sh , Carlsberg | P | 2/4/2013 | 10/3/2016 | $ 229 26 | $ 260 69 | $ (31 43) |
| 11 sh , Compass Group | P | 6/15/2015 | 10/3/2016 | $ 214 94 | $ 192 48 | $ 22 46 |
| 10 sh , Daiwa House Ind | P | 4/30/2013 | 10/3/2016 | $ 272 54 | $ 224 94 | $ 47 60 |
| 10 sh , Fresenius SE & Co | P | 12/23/2013 | 10/3/2016 | $ 198 75 | $ 127 49 | $ 71 26 |
| 11 sh , Informa | P | 2/2/2011 | 10/3/2016 | $ 208 40 | $ 155 67 | $ 52 73 |
| 6 sh , Japan Tobacco, Inc | P | 10/6/2014 | 10/3/2016 | $ 121 77 | $ 98 70 | $ 23 07 |
| 6 sh , KBC Groep | P | 3/12/2015 | 10/3/2016 | $ 172 77 | $ 180 07 | $ (7 30) |
| 7 sh , Komatsu | P | 1/31/2012 | 10/3/2016 | $ 159 99 | $ 197 99 | $ (38 00) |
| 2 sh , Makita Corp | P | 4/26/2013 | 10/3/2016 | $ 142 18 | $ 105 22 | $ 36 96 |
| 3 sh , Novartis | P | 2/27/2009 | 10/3/2016 | $ 237 17 | $ 109 76 | $ 127 41 |
| 6 sh , Prudential | P | 9/29/2010 | 10/3/2016 | $ 215 70 | $ 120 65 | $ 95 05 |
| 25 sh , Red Electrica Corp | P | 1/17/2013 | 10/3/2016 | $ 266 62 | $ 180 25 | $ 86 37 |
| 10 sh , Relx | P | 9/30/2015 | 10/3/2016 | $ 192 70 | $ 172 43 | $ 20 27 |
| 30 sh , Royal KPN | P | 11/28/2014 | 10/3/2016 | $ 100 05 | $ 101 91 | $ (1 86) |
| 5 sh , Sampo OYJ-A | P | 7/26/2010 | 10/3/2016 | $ 111 28 | $ 60 66 | $ 50 62 |
| 4 sh , Royal Dutch Shell | P | 4/27/2010 | 10/3/2016 | $ 201 64 | $ 246 59 | $ (44 95) |
| 10 sh , Seven & I Holdings | P | 8/14/2012 | 10/3/2016 | $ 233 99 | $ 167 39 | $ 66 60 |
| 2 sh , Shire | P | 10/15/2014 | 10/3/2016 | $ 390 18 | $ 344 44 | $ 45 74 |
| 4 sh , Softbank Group Corp | P | 6/4/2014 | 10/3/2016 | $ 126 98 | $ 150 39 | $ (23 41) |

BUSSING-KOCH FOUNDATION, INC. EIN 35-1780862
SCHEDULE OF INFORMATION FOR FORM 2016 990-PF

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 6 sh , Sony Corp | P | 2/5/2015 | 10/3/2016 | $ | 198 54 | $ | 160 72 | $ | 37 82 |
| 27 sh , Sumitomo Mitsui Financial Group, Inc | P | 1/19/2010 | 10/3/2016 | $ | 179 55 | $ | 178 33 | $ | 1 22 |
| 8 sh , Swedbank | P | 6/23/2011 | 10/3/2016 | $ | 189 04 | $ | 125 15 | $ | 63 89 |
| 2 sh , Teva Pharmaceutical | P | 4/3/2014 | 10/3/2016 | $ | 91 40 | $ | 107 41 | $ | (16 01) |
| 2 sh , Teva Pharmaceutical | P | 5/14/2014 | 10/3/2016 | $ | 91 40 | $ | 101 43 | $ | (10 03) |
| 3 sh , Unilver | P | 8/12/2011 | 10/3/2016 | $ | 142 83 | $ | 95 37 | $ | 47 46 |
| 5 sh , Valeo | P | 8/14/2012 | 10/3/2016 | $ | 145 15 | $ | 40 32 | $ | 104 83 |
| 30 sh , Wolseley | P | 10/20/2011 | 10/3/2016 | $ | 168 60 | $ | 91 56 | $ | 77 04 |
| 4 sh , Wolters Kluwer | P | 11/3/2014 | 10/3/2016 | $ | 171 02 | $ | 108 13 | $ | 62 89 |
| 6 sh , Vinci S A | P | 2/18/2015 | 10/3/2016 | $ | 114 18 | $ | 89 35 | $ | 24 83 |
| 5 sh , Capgemini | P | 11/8/2016 | 11/8/2016 | $ | 8 16 | $ | - | $ | 8 16 |
| 62 sh , ENI | P | 6/10/2016 | 11/15/2016 | $ | 1,652 73 | $ | 1,932 21 | $ | (279 48) |
| 16 sh , ENI | P | 6/17/2016 | 11/15/2016 | $ | 426 51 | $ | 494 34 | $ | (67 83) |
| 21 sh , ENI | P | 7/6/2016 | 11/15/2016 | $ | 559 80 | $ | 662 87 | $ | (103 07) |
| 0 667 sh , Fanuc Corp | P | 11/9/2016 | 11/9/2016 | $ | 12 10 | $ | - | $ | 12 10 |
| 33 sh , Vivendi | P | 5/19/2016 | 11/23/2016 | $ | 626 72 | $ | 630 16 | $ | (3 44) |
| 146 sh , Informa | P | 11/8/2016 | 11/9/2016 | $ | 178 95 | $ | - | $ | 178 95 |
| 1 sh , Japan Tobacco, Inc | P | 10/6/2014 | 11/11/2016 | $ | 17 58 | $ | 16 45 | $ | 1 13 |
| 3 sh , Japan Tobacco, Inc | P | 10/6/2014 | 11/14/2016 | $ | 51 42 | $ | 49 35 | $ | 2 07 |
| 77 sh , Japan Tobacco, Inc | P | 10/29/2014 | 11/14/2016 | $ | 1,319 88 | $ | 1,288 42 | $ | 31 46 |
| 17 sh , KBC Groep | P | 3/12/2015 | 11/17/2016 | $ | 494 88 | $ | 510 20 | $ | (15 32) |
| 37 5 sh , KDDI Corp | P | 11/8/2013 | 11/17/2016 | $ | 481 92 | $ | 348 07 | $ | 133 85 |
| 99 5 sh , KDDI Corp | P | 1/15/2014 | 11/17/2016 | $ | 1,227 32 | $ | 959 72 | $ | 267 60 |
| 33 sh , Vivendi | P | 7/14/2015 | 11/23/2016 | $ | 626 71 | $ | 882 96 | $ | (256 25) |
| 23 sh , Vivendi | P | 8/3/2015 | 11/23/2016 | $ | 436 79 | $ | 616 24 | $ | (179 45) |
| 9 sh , Dawia House Ind | P | 4/30/2013 | 12/30/2016 | $ | 245 12 | $ | 202 45 | $ | 42 67 |
| 52 sh , American Express Co | P | 3/22/2011 | 1/12/2016 | $ | 3,329 24 | $ | 2,331 86 | $ | 997 38 |
| 48 sh , Bank New York Mellon | P | 5/13/2011 | 1/12/2016 | $ | 1,794 81 | $ | 1,352 98 | $ | 441 83 |
| 51 sh , Diageo | P | 3/22/2011 | 2/18/2016 | $ | 5,347 84 | $ | 3,814 08 | $ | 1,533 76 |
| 5 sh , Diageo | P | 9/5/2015 | 2/18/2016 | $ | 524 30 | $ | 617 38 | $ | (93 08) |
| 8 sh , Diageo | P | 9/6/2013 | 2/18/2016 | $ | 838 88 | $ | 993 60 | $ | (154 72) |
| 20 sh , EOG Resources, Inc | P | 3/22/2011 | 2/3/2016 | $ | 1,398 88 | $ | 1,140 20 | $ | 258 68 |
| 20 sh , EOG Resources, Inc | P | 5/13/2011 | 2/3/2016 | $ | 1,398 89 | $ | 1,053 40 | $ | 345 49 |
| 26 sh , EOG Resources, Inc | P | 9/6/2013 | 2/3/2016 | $ | 1,818 56 | $ | 2,115 17 | $ | (296 61) |
| 28 sh , Las Vegas Sands Corp | P | 1/24/2014 | 2/24/2016 | $ | 1,283 52 | $ | 2,150 48 | $ | (866 96) |
| 40 sh , Texas Instruments, Inc | P | 12/13/2010 | 2/2/2016 | $ | 2,052 94 | $ | 1,309 20 | $ | 743 74 |
| 96 sh , Ultra Petroleum Corp | P | 7/7/2014 | 2/19/2016 | $ | 22 08 | $ | 2,635 98 | $ | (2,613 90) |
| 110 sh , Ultra Petroleum Corp | P | 7/23/2014 | 2/19/2016 | $ | 25 30 | $ | 2,702 68 | $ | (2,677 38) |
| 35 sh , Ultra Petroleum Corp | P | 10/31/2014 | 2/19/2016 | $ | 8 05 | $ | 782 42 | $ | (774 37) |
| 0 051 sh , California Res Corp | P | 8/14/2015 | 3/24/2016 | $ | 0 06 | $ | 0 07 | $ | (0 01) |
| 8 sh , California Res Corp | P | 8/14/2015 | 3/30/2016 | $ | 9 28 | $ | 10 40 | $ | (1 12) |
| 4 7 sh , Liberty Global Lilac shares | P | 1/17/2014 | 3/30/2016 | $ | 180 79 | $ | 188 36 | $ | (7 57) |
| 4 3 sh , Liberty Global Lilac shares | P | 6/17/2014 | 3/30/2016 | $ | 165 40 | $ | 170 78 | $ | (5 38) |
| 4 sh , Liberty Global Lilac shares | P | 1/13/2015 | 3/30/2016 | $ | 153 87 | $ | 179 46 | $ | (25 59) |
| 4 sh , Laboratory Corp of America | P | 9/6/2013 | 4/22/2016 | $ | 487 00 | $ | 393 96 | $ | 93 04 |
| 40 sh , Laboratory Corp of America | P | 12/19/2013 | 4/22/2016 | $ | 4,870 06 | $ | 3,585 20 | $ | 1,284 86 |
| 6 sh , Monsanto Co | P | 8/28/2015 | 5/24/2016 | $ | 652 68 | $ | 588 65 | $ | 64 03 |
| 52 sh , Bank of New York Mellon Corp | P | 5/13/2011 | 5/12/2016 | $ | 2,068 96 | $ | 1,465 72 | $ | 603 24 |
| 86 sh , Bank of New York Mellon Corp | P | 7/28/2011 | 5/12/2016 | $ | 3,421 75 | $ | 2,178 82 | $ | 1,242 93 |
| 4 sh , Las Vegas Sands Corp | P | 1/24/2014 | 5/18/2016 | $ | 180 85 | $ | 307 21 | $ | (126 36) |
| 40 sh , Las Vegas Sands Corp | P | 4/3/2014 | 5/18/2016 | $ | 1,808 55 | $ | 3,283 15 | $ | (1,474 60) |
| 34 sh , Las Vegas Sands Corp | P | 4/3/2014 | 5/25/2016 | $ | 1,578 63 | $ | 2,790 67 | $ | (1,212 04) |
| 4 sh , Las Vegas Sands Corp | P | 4/7/2014 | 5/25/2016 | $ | 185 72 | $ | 304 89 | $ | (119 17) |
| 52 sh , Las Vegas Sands Corp | P | 11/21/2014 | 5/25/2016 | $ | 2,414 38 | $ | 3,320 26 | $ | (905 88) |
| 6 sh , Paccar, Inc | P | 5/1/2013 | 5/3/2016 | $ | 347 13 | $ | 297 14 | $ | 49 99 |
| 20 sh , UnitedHealth Group, Inc | P | 7/31/2013 | 6/13/2016 | $ | 2,772 37 | $ | 1,459 60 | $ | 1,312 77 |
| 28 sh , HP, Inc | P | 4/12/2016 | 7/12/2016 | $ | 385 19 | $ | 343 76 | $ | 41 43 |
| 107 sh , HP, Inc | P | 4/12/2016 | 7/28/2016 | $ | 1,490 75 | $ | 1,313 65 | $ | 177 10 |
| 4 sh , Amazon Com, Inc | P | 4/17/2014 | 7/12/2016 | $ | 2,994 89 | $ | 1,300 24 | $ | 1,694 65 |
| 9 sh , American Express Co | P | 3/22/2011 | 7/12/2016 | $ | 567 08 | $ | 403 59 | $ | 163 49 |
| 10 sh , Carmax, Inc | P | 5/6/2013 | 7/12/2016 | $ | 547 89 | $ | 460 10 | $ | 87 79 |
| 6 sh , Chubb | P | 5/6/2013 | 7/12/2016 | $ | 778 48 | $ | 545 40 | $ | 233 08 |
| 18 sh , Costco Wholesale Corp | P | 3/22/2011 | 7/1/2016 | $ | 2,814 10 | $ | 1,290 95 | $ | 1,523 15 |
| 5 sh , Costco Wholesale Corp | P | 3/22/2011 | 7/12/2016 | $ | 832 43 | $ | 358 60 | $ | 473 83 |
| 12 sh , Ecolab, Inc | P | 5/6/2013 | 7/12/2016 | $ | 1,446 09 | $ | 1,022 26 | $ | 423 83 |
| 5 sh , Express Scripts Holding Co | P | 1/11/2013 | 7/12/2016 | $ | 391 39 | $ | 277 57 | $ | 113 82 |
| 08438 sh , Liberty Global | P | 1/17/2014 | 7/1/2016 | $ | 2 75 | $ | 3 46 | $ | (0 71) |
| 19097 sh , Liberty Global | P | 6/17/2014 | 7/1/2016 | $ | 6 23 | $ | 7 76 | $ | (1 53) |
| 17765 sh , Liberty Global | P | 1/13/2015 | 7/1/2016 | $ | 5 79 | $ | 8 15 | $ | (2 36) |
| 24 sh , Liberty Interactive Group | P | 1/23/2014 | 7/12/2016 | $ | 633 34 | $ | 573 71 | $ | 59 63 |
| 50 sh , Loews Corp | P | 3/22/2011 | 7/12/2016 | $ | 2,060 08 | $ | 2,152 21 | $ | (92 13) |
| 40 sh , Microsoft Corp | P | 3/22/2011 | 7/12/2016 | $ | 2,128 91 | $ | 1,011 17 | $ | 1,117 74 |
| 7 sh , Moody's Corp | P | 5/7/2014 | 7/12/2016 | $ | 701 29 | $ | 567 83 | $ | 133 46 |
| 17 sh , Oracle Corp | P | 6/28/2012 | 7/12/2016 | $ | 705 38 | $ | 474 93 | $ | 230 45 |
| 7 sh , Paccar, Inc | P | 5/1/2013 | 7/12/2016 | $ | 379 04 | $ | 346 66 | $ | 32 38 |
| 8 sh , Praxair, Inc | P | 9/18/2014 | 7/12/2016 | $ | 935 74 | $ | 1,068 08 | $ | (132 34) |
| 1 sh , Priceline Group, Inc | P | 1/29/2014 | 7/12/2016 | $ | 1,348 44 | $ | 1,146 85 | $ | 201 59 |
| 18 sh , Quest Diagnostics, Inc | P | 7/17/2014 | 7/12/2016 | $ | 1,494 87 | $ | 1,092 05 | $ | 402 82 |
| 10 sh , Unitedhealth Group, Inc | P | 7/31/2013 | 7/7/2016 | $ | 1,403 72 | $ | 729 80 | $ | 673 92 |
| 6 sh , Visa, Inc | P | 4/24/2013 | 7/12/2016 | $ | 464 63 | $ | 250 08 | $ | 214 55 |
| 27 sh , Wells Fargo & Co | P | 3/22/2011 | 7/12/2016 | $ | 1,305 69 | $ | 853 32 | $ | 452 37 |
| 34 sh , Quest Diagnostics, Inc | P | 7/17/2014 | 8/30/2016 | $ | 2,841 83 | $ | 2,062 75 | $ | 779 08 |

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|---|---|---|---|---|---|---|
| 17 sh , Quest Diagnostics, Inc | P | 7/29/2014 | 8/30/2016 | $ 1,420 92 | $ 1,059 10 | $ 361 82 |
| 4 sh , Quest Diagnostics, Inc | P | 11/3/2014 | 8/30/2016 | $ 334 34 | $ 249 31 | $ 85 03 |
| 12 sh , Advance Auto Parts | P | 12/4/2015 | 9/23/2016 | $ 1,788 63 | $ 1,858 96 | $ (70 33) |
| 122 sh , Johnson Controls, Inc | P | 11/4/2015 | 9/6/2016 | $ 5,357 29 | $ 5,493 77 | $ (136 48) |
| 36 sh , Johnson Controls, Inc | P | 6/7/2016 | 9/6/2016 | $ 1,580 84 | $ 1,618 88 | $ (38 04) |
| 11 sh , Johnson Controls, Inc | P | 7/12/2016 | 9/6/2016 | $ 483 03 | $ 485 16 | $ (2 13) |
| 2333 sh , Johnson Controls, Inc | P | 9/6/2016 | 9/6/2016 | $ 11 25 | $ 10 66 | $ 0 59 |
| 15216 sh , Johnson Controls, Inc | P | 2/9/2016 | 9/6/2016 | $ 7 25 | $ 5 51 | $ 1 74 |
| 03285 sh , Johnson Controls, Inc | P | 7/12/2016 | 9/6/2016 | $ 1 56 | $ 1 48 | $ 0 08 |
| 51 sh , Paccar, Inc | P | 5/1/2013 | 9/16/2016 | $ 2,891 60 | $ 2,525 63 | $ 365 97 |
| 4 sh , Paccar, Inc | P | 5/6/2013 | 9/16/2016 | $ 226 79 | $ 206 64 | $ 20 15 |
| 5 sh , Paccar, Inc | P | 9/5/2013 | 9/16/2016 | $ 283 49 | $ 270 85 | $ 12 64 |
| 12 sh , Paccar, Inc | P | 9/6/2013 | 9/16/2016 | $ 680 39 | $ 654 48 | $ 25 91 |
| 20 sh , Apache Corp | P | 11/6/2015 | 10/3/2016 | $ 1,282 37 | $ 965 29 | $ 317 08 |
| 7 sh , EQT Corp | P | 6/14/2016 | 10/3/2016 | $ 517 57 | $ 520 48 | $ (2 91) |
| 17 sh , Johnson Controls Intl | P | 2/9/2016 | 10/3/2016 | $ 788 78 | $ 615 37 | $ 173 41 |
| 1 sh , Alphabet, Inc Voting Cap Stock Class A | P | 3/15/2013 | 10/3/2016 | $ 797 47 | $ 408 86 | $ 388 61 |
| 1 sh , Alphabet, Inc Non-Voting Cap Stock Class C | P | 2/3/2012 | 10/3/2016 | $ 770 32 | $ 295 70 | $ 474 62 |
| 3 sh , Amazon Com, Inc | P | 4/17/2014 | 10/3/2016 | $ 2,506 35 | $ 975 18 | $ 1,531 17 |
| 1 sh , Amazon Com, Inc | P | 5/16/2014 | 10/3/2016 | $ 835 45 | $ 293 49 | $ 541 96 |
| 4 sh , Bank New York Mellon | P | 7/28/2011 | 10/3/2016 | $ 158 55 | $ 101 34 | $ 57 21 |
| 11 sh , Bank New York Mellon | P | 8/30/2011 | 10/3/2016 | $ 436 04 | $ 228 88 | $ 207 16 |
| 3 sh , Berkshire Hathaway, Inc | P | 3/22/2011 | 10/3/2016 | $ 430 98 | $ 255 38 | $ 175 60 |
| 27 sh , Cabot Oil & Gas | P | 6/9/2015 | 10/3/2016 | $ 697 12 | $ 921 44 | $ (224 32) |
| 10 sh , Chubb | P | 5/6/2013 | 10/3/2016 | $ 1,240 07 | $ 909 00 | $ 331 07 |
| 1 sh , Chubb | P | 5/6/2013 | 10/3/2016 | $ 125 22 | $ 90 90 | $ 34 32 |
| 59 sh , Encana Corp | P | 9/23/2014 | 10/3/2016 | $ 631 89 | $ 1,263 11 | $ (631 22) |
| 11 sh , Liberty Global | P | 1/17/2014 | 10/3/2016 | $ 360 13 | $ 379 96 | $ (19 83) |
| 6 sh , Monsanto Co | P | 8/28/2015 | 10/3/2016 | $ 613 67 | $ 588 65 | $ 25 02 |
| 6 sh , J P Morgan Chase & Co | P | 4/19/2013 | 10/3/2016 | $ 398 93 | $ 283 95 | $ 114 98 |
| 4 sh , Unitedhealth Group | P | 7/31/2013 | 10/3/2016 | $ 555 30 | $ 291 92 | $ 263 38 |
| 2 sh , Unitedhealth Group | P | 9/5/2013 | 10/3/2016 | $ 277 66 | $ 147 58 | $ 130 08 |
| 6 sh , Visa, Inc | P | 4/24/2013 | 10/3/2016 | $ 497 09 | $ 250 08 | $ 247 01 |
| 0 6 sh , Adient | P | 11/1/2016 | 11/1/2016 | $ 26 97 | $ - | $ 26 97 |
| 10 sh , Citizens Financial Group, Inc | P | 7/12/2016 | 11/14/2016 | $ 307 02 | $ 204 60 | $ 102 42 |
| 162 sh , Citizens Financial Group, Inc | P | 4/2/2015 | 11/14/2016 | $ 4,973 69 | $ 3,978 33 | $ 995 36 |
| 21 sh , Moody's Corp | P | 5/7/2014 | 11/4/2016 | $ 2,060 44 | $ 1,703 45 | $ 356 99 |
| 6 sh , Texas Instruments, Inc | P | 12/13/2010 | 11/1/2016 | $ 419 95 | $ 196 38 | $ 223 57 |
| 48 sh , Texas Instruments, Inc | P | 3/22/2011 | 11/1/2016 | $ 3,359 67 | $ 1,619 08 | $ 1,740 59 |
| 32 sh , Texas Instruments, Inc | P | 3/22/2011 | 11/11/2016 | $ 2,228 58 | $ 1,079 38 | $ 1,149 20 |
| 90 sh , Charles Schwab Corp | P | 7/1/2016 | 12/9/2016 | $ 3,533 62 | $ 2,251 34 | $ 1,282 28 |
| 173 sh , Charles Schwab Corp | P | 2/4/2014 | 12/9/2016 | $ 6,792 40 | $ 4,161 88 | $ 2,630 52 |
| 10 sh , McDonalds Corp | P | 4/10/2007 | 1/11/2016 | $ 1,168 62 | $ 462 65 | $ 705 97 |
| 15 sh , Union Pacific Corp | P | 2/6/2014 | 1/11/2016 | $ 1,122 05 | $ 1,314 12 | $ (192 07) |
| 3 510 sh , Oppenheimer Intl Growth Cl I Fund #1961 | P | 9/19/2014 | 1/29/2016 | $ 119 83 | $ 130 12 | $ (10 29) |
| 27 sh , Abbott Labs | P | 10/13/2010 | 1/29/2016 | $ 1,011 44 | $ 691 32 | $ 320 12 |
| 19 sh , Abbott Labs | P | 6/29/2015 | 1/29/2016 | $ 711 75 | $ 937 17 | $ (225 42) |
| 6 sh , Chevron Corp | P | 11/14/2011 | 1/29/2016 | $ 512 87 | $ 635 63 | $ (122 77) |
| 2 sh , Chevron Corp | P | 6/5/2013 | 1/29/2016 | $ 170 96 | $ 243 68 | $ (72 72) |
| 7 sh , Ishares NASDAQ Biotechnology ETF | P | 2/17/2015 | 1/29/2016 | $ 1,841 08 | $ 2,280 87 | $ (439 79) |
| 17 sh , Noble Energy, Inc | P | 8/10/2004 | 1/29/2016 | $ 538 66 | $ 220 67 | $ 317 99 |
| 18 sh , Pfizer, Inc | P | 6/5/2013 | 1/29/2016 | $ 541 59 | $ 497 69 | $ 43 90 |
| 7 sh , Visa, Inc Class A | P | 5/28/2009 | 1/29/2016 | $ 510 55 | $ 116 04 | $ 394 51 |
| 59 152 sh , PIMCO Moderate Duration Instl Fund #120 | P | 2/10/2014 | 1/29/2016 | $ 596 25 | $ 631 15 | $ (34 90) |
| 16 200 sh , PIMCO Moderate Duration Instl Fund #120 | P | 9/17/2013 | 1/29/2016 | $ 163 30 | $ 170 91 | $ (7 61) |
| 115 521 sh , Vanguard Short Term Bond Index Adm Fund #5132 | P | 2/10/2014 | 1/29/2016 | $ 1,214 13 | $ 1,216 43 | $ (2 30) |
| 55 892 sh , Vanguard Intermediate Term Bond Index Adm Fund #5314 | P | 9/17/2013 | 1/29/2016 | $ 639 96 | $ 636 05 | $ 3 91 |
| 11 sh , Boeing Co | P | 6/5/2014 | 2/16/2016 | $ 1,234 93 | $ 1,506 70 | $ (271 77) |
| 107 301 sh , PIMCO Moderate Duration Instl Fund #120 | P | 9/17/2013 | 2/9/2016 | $ 1,074 08 | $ 1,132 02 | $ (57 94) |
| 19 034 sh , Vanguard Short Term Bond Index Adm Fund #5132 | P | 2/10/2014 | 2/9/2016 | $ 200 62 | $ 200 43 | $ 0 19 |
| 149 241 sh , Vanguard Short Term Bond Index Adm Fund #5132 | P | 9/17/2013 | 2/9/2016 | $ 1,573 00 | $ 1,565 54 | $ 7 46 |
| 70 367 sh , Vanguard Short Term Bond Index Adm Fund #5132 | P | 2/9/2015 | 2/9/2016 | $ 741 67 | $ 739 56 | $ 2 11 |
| 68 242 sh , Vanguard Intermediate Term Bond Index Adm Fund #5314 | P | 9/24/2014 | 2/9/2016 | $ 787 51 | $ 776 59 | $ 10 92 |
| 1 758 sh , Vanguard Intermediate Term Bond Index Adm Fund #5314 | P | 9/17/2013 | 2/9/2016 | $ 20 29 | $ 19 58 | $ 0 71 |
| 8 943 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 2/19/2015 | 4/25/2016 | $ 299 77 | $ 379 47 | $ (79 70) |
| 31 093 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 8/26/2008 | 4/25/2016 | $ 1,042 24 | $ 1,027 30 | $ 14 94 |
| 70 038 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 7/14/2008 | 4/25/2016 | $ 2,347 66 | $ 2,291 63 | $ 56 03 |
| 7 042 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 9/12/2011 | 4/25/2016 | $ 236 05 | $ 224 01 | $ 12 04 |
| 28 206 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 5/18/2009 | 4/25/2016 | $ 945 47 | $ 645 06 | $ 300 41 |
| 13 864 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 12/10/2008 | 4/25/2016 | $ 464 72 | $ 291 57 | $ 173 15 |
| 39 656 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 2/11/2009 | 4/25/2016 | $ 1,329 27 | $ 830 40 | $ 498 87 |
| 36 260 sh , Goldman Sachs Mid Cap Value Instl Fd #864 | P | 1/29/2016 | 4/25/2016 | $ 1,215 44 | $ 1,109 19 | $ 106 25 |
| 9 649 sh , Goldman Sachs Growth Opp Class I Fd #1132 | P | 2/19/2015 | 4/25/2016 | $ 228 01 | $ 274 22 | $ (46 21) |
| 189 710 sh , Goldman Sachs Growth Opp Class I Fd #1132 | P | 8/26/2010 | 4/25/2016 | $ 4,482 84 | $ 3,834 04 | $ 648 80 |
| 32 873 sh , Goldman Sachs Growth Opp Class I Fd #1132 | P | 1/29/2016 | 4/25/2016 | $ 776 79 | $ 709 06 | $ 67 73 |
| 4 sh , ConocoPhillips | P | 4/21/2014 | 4/25/2016 | $ 183 93 | $ 298 71 | $ (114 78) |
| 25 sh , ConocoPhillips | P | 9/10/2013 | 4/25/2016 | $ 1,149 56 | $ 1,714 95 | $ (565 39) |
| 9 sh , Ebay, Inc | P | 4/21/2014 | 4/25/2016 | $ 217 43 | $ 199 74 | $ 17 69 |
| 16 sh , Ebay, Inc | P | 6/5/2013 | 4/25/2016 | $ 386 54 | $ 332 85 | $ 53 69 |
| 14 sh , Vmware, Inc Class A | P | 9/10/2013 | 4/25/2016 | $ 802 87 | $ 1,244 31 | $ (441 44) |
| 15 sh , Walmart Stores, Inc | P | 11/23/2009 | 4/25/2016 | $ 1,038 20 | $ 821 40 | $ 216 80 |
| 6 sh , McKesson Corp | P | 4/21/2015 | 5/4/2016 | $ 990 92 | $ 1,387 20 | $ (396 28) |

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|---|---|---|---|---|---|---|
| 24 sh , Apple, Inc | P | 5/25/2007 | 6/2/2016 | $ 2,344 28 | $ 386 57 | $ 1,957 71 |
| 5 sh , Fortive Corp | P | 8/9/2006 | 7/21/2016 | $ 25 02 | $ 7 88 | $ 17 14 |
| 17 083 sh , Driehaus Emerging Mkts Growth Fund #3 | P | 2/19/2015 | 8/10/2016 | $ 513 68 | $ 520 69 | $ (7 01) |
| 21 929 sh , Oakmark Intl Fund #109 | P | 9/19/2014 | 8/10/2016 | $ 464 90 | $ 565 99 | $ (101 09) |
| 12 82 sh , Oppenheimer Intl Growth Fund #1961 | P | 9/19/2014 | 8/10/2016 | $ 474 21 | $ 475 24 | $ (1 03) |
| 25 836 sh , Boston Partners All-Cap Valuee Instl Fund #81 | P | 2/19/2015 | 8/10/2016 | $ 588 30 | $ 595 01 | $ (6 71) |
| 2 301 sh , Boston Partners All-Cap Valuee Instl Fund #81 | P | 2/11/2014 | 8/10/2016 | $ 52 39 | $ 47 38 | $ 5 01 |
| 24 764 sh , Victory Sycamore Established Value Fund #203 | P | 4/25/2016 | 8/10/2016 | $ 850 65 | $ 798 14 | $ 52 51 |
| 12 sh , Citigroup, Inc | P | 4/14/2011 | 8/9/2016 | $ 550 06 | $ 538 79 | $ 11 27 |
| 11 sh , General Electric Co | P | 6/29/2015 | 8/9/2016 | $ 343 63 | $ 293 32 | $ 50 31 |
| 2 sh , Goldman Sachs | P | 6/5/2015 | 8/9/2016 | $ 326 68 | $ 420 04 | $ (93 36) |
| 3 sh , Goldman Sachs | P | 3/5/2010 | 8/9/2016 | $ 490 02 | $ 503 43 | $ (13 41) |
| 6 sh , MetLife, Inc | P | 9/12/2011 | 8/9/2016 | $ 247 56 | $ 179 13 | $ 68 43 |
| 24 sh , MetLife, Inc | P | 10/27/2008 | 8/9/2016 | $ 1,072 76 | $ 728 97 | $ 343 79 |
| 9 sh , Pfizer, Inc | P | 6/5/2013 | 8/9/2016 | $ 315 53 | $ 248 85 | $ 66 68 |
| 3 sh , Pfizer, Inc | P | 1/10/2013 | 8/9/2016 | $ 105 18 | $ 80 27 | $ 24 91 |
| 7 sh , Select Sector SPDR Materials ETF | P | 2/17/2015 | 8/9/2016 | $ 340 61 | $ 360 59 | $ (19 98) |
| 18 sh , Select Sector SPDR Materials ETF | P | 9/17/2014 | 8/9/2016 | $ 875 87 | $ 907 08 | $ (31 21) |
| 10 sh , Select Sector SPDR Consumer Discretionary | P | 9/17/2014 | 8/9/2016 | $ 809 60 | $ 684 51 | $ 125 09 |
| 45 sh , Select Sector SPDR Financial | P | 7/31/2015 | 8/9/2016 | $ 1,081 10 | $ 1,133 57 | $ (52 47) |
| 11 sh , TJX Co , Inc | P | 7/19/2014 | 8/9/2016 | $ 888 89 | $ 659 68 | $ 229 21 |
| 5 sh , Thermo Fisher Scientific, Inc | P | 2/17/2015 | 8/9/2016 | $ 782 96 | $ 640 55 | $ 142 41 |
| 3 sh , Thermo Fisher Scientific, Inc | P | 1/3/2008 | 8/9/2016 | $ 469 77 | $ 171 47 | $ 298 30 |
| 10 sh , Twenty-First Century Fox, Inc | P | 6/5/2015 | 8/9/2016 | $ 253 99 | $ 333 51 | $ (79 52) |
| 8 sh , VISA, Inc | P | 5/28/2009 | 8/9/2016 | $ 639 68 | $ 132 62 | $ 507 06 |
| 13 sh , Wells Fargo & Co | P | 9/30/2010 | 8/9/2016 | $ 635 48 | $ 327 47 | $ 308 01 |
| 5 sh , Chevron Corp | P | 8/19/2016 | 8/19/2016 | $ 511 99 | $ 562 75 | $ (50 76) |
| 6 sh , Danaher Corp | P | 10/21/2015 | 10/26/2016 | $ 480 92 | $ 404 12 | $ 76 80 |
| 8 sh , Danaher Corp | P | 8/9/2006 | 10/26/2016 | $ 641 23 | $ 193 55 | $ 447 68 |
| 19 sh , Wells Fargo & Co | P | 9/30/2010 | 10/24/2016 | $ 864 42 | $ 478 61 | $ 385 81 |
| 14 sh , Wells Fargo & Co | P | 9/12/2011 | 10/24/2016 | $ 636 95 | $ 333 99 | $ 302 96 |
| 6 sh , Wells Fargo & Co | P | 2/9/2009 | 10/24/2016 | $ 272 98 | $ 115 38 | $ 157 60 |
| 17 sh , Select Sector SPDR Tr Utils | P | 2/17/2015 | 11/21/2016 | $ 792 81 | $ 766 51 | $ 26 30 |
| 4 sh , Select Sector SPDR Tr Utils | P | 1/3/2008 | 11/21/2016 | $ 186 54 | $ 145 61 | $ 40 93 |
| LTCG, Federated Clover Small Value | P | various | 12/8/2016 | $ 72 29 | | $ 72 29 |
| STCG, J P Morgan U S Small Company Instl Fund #1384 | P | various | 12/16/2016 | $ 0 14 | | $ 0 14 |
| LTCG, Boston Partners All-Cap Value Instl Fund #81 | P | various | 12/19/2016 | $ 122 22 | | $ 122 22 |
| LTCG, Janus Enterprises Cl I Fund #102 | P | various | 12/21/2016 | $ 95 36 | | $ 95 36 |
| LTCG, Eagle Small Cap Growth Cl I Fund #3933 | P | various | 12/27/2016 | $ 103 88 | | $ 103 88 |
| STCG, Eagle Small Cap Growth Cl I Fund #3933 | P | various | 12/27/2016 | $ 1 97 | | $ 1 97 |
| 100 578 sh , Deutsche Croci Intl Instl Fund #1468 | P | 2/19/2015 | 12/23/2016 | $ 4,108 61 | $ 4,935 38 | $ (826 77) |
| 8 966 sh , Deutsche Croci Intl Instl Fund #1468 | P | 1/29/2016 | 12/23/2016 | $ 366 26 | $ 361 85 | $ 4 41 |
| 17 760 sh , Driehaus Emerging Markets Growth Fund #3 | P | 2/19/2015 | 12/23/2016 | $ 487 15 | $ 541 33 | $ (54 18) |
| 25 636 sh , Driehaus Emerging Markets Growth Fund #3 | P | 2/24/2012 | 12/23/2016 | $ 703 19 | $ 744 47 | $ (41 28) |
| 31 717 sh , Driehaus Emerging Markets Growth Fund #3 | P | 2/11/2009 | 12/23/2016 | $ 870 00 | $ 523 01 | $ 346 99 |
| STCB, Boston Partners All-Cap Value Instl Fund #81 | P | various | 12/22/2016 | $ 0 10 | | $ 0 10 |
| STCG, Boston Partners All-Cap Value Instl Fund #81 | P | various | 12/29/2016 | $ 41 47 | | $ 41 47 |
| 10 sh , CVS Health Corp | P | 9/17/2014 | 12/23/2016 | $ 790 85 | $ 822 79 | $ (31 94) |
| LTCG, Victory Sycamore Established Value Fund | P | various | 12/29/2016 | $ 77 02 | | $ 77 02 |
| LTCG, Vanguard Short Term Bond Fund Index Adm Fund | P | various | 12/22/2016 | $ 0 85 | | $ 0 85 |
| STCG, Vanguard Short Term Bond Fund Index Adm Fund | P | various | 12/22/2016 | $ 0 76 | | $ 0 76 |
| LTCG, Vanguard Intermediate Term Bond Fund Index Adm Fund | P | various | 12/22/2016 | $ 22 54 | | $ 22 54 |
| 29 sh , Stericycle, Inc | P | 2/17/2004 | 2/8/2016 | $ 3,063 50 | $ 695 46 | $ 2,368 04 |
| 180 sh , UBS E-Tracs Alerian | P | 5/6/2013 | 2/8/2016 | $ 3,473 93 | $ 6,858 00 | $ (3,384 07) |
| 10 sh , American International Group, Inc | P | 12/12/2012 | 5/4/2016 | $ 546 85 | $ 351 90 | $ 194 95 |
| 10 sh , Fedex Corporation | P | 6/27/2013 | 6/14/2016 | $ 1,592 73 | $ 993 90 | $ 598 83 |
| 52 sh , Spectra Energy Corp | P | 1/15/2009 | 9/12/2016 | $ 2,183 65 | $ 769 58 | $ 1,414 07 |
| 30 sh , Bristol-Myers Squibb Co | P | 10/26/2012 | 10/14/2016 | $ 1,485 57 | $ 1,007 26 | $ 478 31 |
| 150 sh , Walt Disney Co | P | various | 5/17/2016 | $ 15,226 18 | $ 4,714 00 | $ 10,512 18 |
| 75 sh , TJX Cos , Inc | P | various | 5/17/2016 | $ 5,525 13 | $ 1,183 80 | $ 4,341 33 |
| 2 Cap Gain net income (or net cap Loss) | | | | | | $ 40,898 95 |

**PART X, LINE 1a, AVERAGE MONTHLY FAIR MARKET VALUE OF SECURITIES**

| | Morgan Stanley | Fifth Third | Old National Trust | Wells Fargo | Evansville Commerce Bank |
|---|---|---|---|---|---|
| 1/31/2016 | $ 108,772 45 | $ 95,121 89 | $ 148,954 43 | $ 675,406 18 | $ 1,440,425 20 |
| 2/29/2016 | $ 110,554 97 | $ 90,254 04 | $ 146,157 99 | $ 664,388 99 | $ 1,448,546 41 |
| 3/31/2016 | $ 104,749 89 | $ 95,348 19 | $ 152,842 92 | $ 701,887 34 | $ 1,546,182 90 |
| 4/30/2016 | $ 95,738 57 | $ 95,212 10 | $ 153,947 55 | $ 708,881 42 | $ 1,535,603 73 |
| 5/31/2016 | $ 93,714 82 | $ 94,967 61 | $ 155,270 04 | $ 726,476 51 | $ 1,551,534 86 |
| 6/30/2016 | $ 96,953 27 | $ 94,454 09 | $ 156,735 69 | $ 720,019 08 | $ 1,525,514 95 |
| 7/31/2016 | $ 99,104 55 | $ 96,869 58 | $ 161,316 05 | $ 731,272 83 | $ 1,579,079 82 |
| 8/31/2016 | $ 93,699 65 | $ 96,100 43 | $ 159,745 46 | $ 731,658 10 | $ 1,589,511 30 |
| 9/30/2016 | $ 92,776 26 | $ 93,531 23 | $ 159,394 48 | $ 732,679 80 | $ 1,556,651 87 |
| 10/31/2016 | $ 88,074 97 | $ 90,264 01 | $ 156,630 41 | $ 698,262 07 | $ 1,539,763 02 |
| 11/30/2016 | $ 89,616 26 | $ 90,699 89 | $ 158,676 90 | $ 696,720 02 | $ 1,622,775 87 |
| 12/31/2016 | $ 91,262 34 | $ 92,804 03 | $ 159,502 66 | $ 696,714 79 | $ 1,661,464 96 |
| Average | $ 97,084 83 | $ 93,802 26 | $ 155,764 55 | $ 707,030 59 | $ 1,549,754 57 |

Total avg monthly fair market value of securities $ 2,603,436 81

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**PART X, LINE 1b, AVERAGE OF MONTHLY CASH BALANCES**

| | 1/1/2016 | 2/1/2016 |
|---|---|---|
| Morgan Stanley | $ 5,911 19 | $ 1,561 48 |
| Fifth Third | $ 2,422 40 | $ 2,526 07 |
| Old National Trust Co | $ 5,440 12 | $ 5,437 11 |
| Wells Fargo Advisors | $ 20,197 92 | $ 31,949 96 |
| Evansville Commerce Bank | $ 11,792 32 | $ 12,667 69 |
| | $ 45,763 95 | $ 54,142 31 |

| | 2/1/2016 | 3/1/2016 |
|---|---|---|
| Morgan Stanley | $ 1,561 48 | $ 1,561 70 |
| Fifth Third | $ 2,526 07 | $ 6,565 24 |
| Old National Trust Co | $ 5,437 11 | $ 7,448 09 |
| Wells Fargo Advisors | $ 31,949 96 | $ 34,999 27 |
| Evansville Commerce Bank | $ 12,667 69 | $ 11,411 73 |
| | $ 54,142 31 | $ 61,986 03 |

| | 3/1/2016 | 4/1/2016 |
|---|---|---|
| Morgan Stanley | $ 1,561 70 | $ 2,697 68 |
| Fifth Third | $ 6,565 24 | $ 6,826 99 |
| Old National Trust Co | $ 7,448 09 | $ 7,841 19 |
| Wells Fargo Advisors | $ 34,999 27 | $ 28,741 01 |
| Evansville Commerce Bank | $ 11,411 73 | $ 15,996 59 |
| | $ 61,986 03 | $ 62,103 46 |

| | 4/1/2016 | 5/1/2016 |
|---|---|---|
| Morgan Stanley | $ 2,697 68 | $ 3,487 26 |
| Fifth Third | $ 6,826 99 | $ 6,896 44 |
| Old National Trust Co | $ 7,841 19 | $ 7,875 90 |
| Wells Fargo Advisors | $ 28,741 01 | $ 30,403 39 |
| Evansville Commerce Bank | $ 15,996 59 | $ 16,175 90 |
| | $ 62,103 46 | $ 64,838 89 |

| | 5/1/2016 | 6/1/2016 |
|---|---|---|
| Morgan Stanley | $ 3,487 26 | $ 6,287 47 |
| Fifth Third | $ 6,896 44 | $ 1,445 60 |
| Old National Trust Co | $ 7,875 90 | $ 2,901 17 |
| Wells Fargo Advisors | $ 30,403 39 | $ 24,332 62 |
| Evansville Commerce Bank | $ 16,175 90 | $ 7,441 25 |
| | $ 64,838 89 | $ 42,408 11 |

| | 6/1/2016 | 7/1/2016 |
|---|---|---|
| Morgan Stanley | $ 6,287 47 | $ 37 64 |
| Fifth Third | $ 1,445 60 | $ 3,320 34 |
| Old National Trust Co | $ 2,901 17 | $ 1,246 21 |
| Wells Fargo Advisors | $ 24,332 62 | $ 20,359 12 |
| Evansville Commerce Bank | $ 7,441 25 | $ 12,454 37 |
| | $ 42,408 11 | $ 37,417 68 |

| | 7/1/2016 | 8/1/2016 |
|---|---|---|
| Morgan Stanley | $ 37 64 | $ 44 39 |
| Fifth Third | $ 3,320 34 | $ 3,398 24 |
| Old National Trust Co | $ 1,246 21 | $ 1,250 25 |
| Wells Fargo Advisors | $ 20,359 12 | $ 25,625 76 |
| Evansville Commerce Bank | $ 12,454 37 | $ 13,338 04 |
| | $ 37,417 68 | $ 43,656 68 |

| | 8/1/2016 | 9/1/2016 |
|---|---|---|
| Morgan Stanley | $ 44 39 | $ 44 39 |
| Fifth Third | $ 3,398 24 | $ 3,402 03 |
| Old National Trust Co | $ 1,250 25 | $ 2,840 76 |
| Wells Fargo Advisors | $ 25,625 76 | $ 29,162 18 |
| Evansville Commerce Bank | $ 13,338 04 | $ 10,490 52 |
| | $ 43,656 68 | $ 45,939 88 |

| | 9/1/2016 | 10/1/2016 |
|---|---|---|
| Morgan Stanley | $ 44 39 | $ 24 39 |
| Fifth Third | $ 3,402 03 | $ 5,790 68 |
| Old National Trust Co | $ 2,840 76 | $ 3,242 65 |
| Wells Fargo Advisors | $ 29,162 18 | $ 32,997 06 |
| Evansville Commerce Bank | $ 10,490 52 | $ 15,192 25 |
| | $ 45,939 88 | $ 57,247 03 |

| | 10/1/2016 | 11/1/2016 |
|---|---|---|
| Morgan Stanley | $ 24 39 | $ 4,424 46 |
| Fifth Third | $ 5,790 68 | $ 7,304 75 |
| Old National Trust Co | $ 3,242 65 | $ 3,066 16 |
| Wells Fargo Advisors | $ 32,997 06 | $ 22,591 96 |
| Evansville Commerce Bank | $ 15,192 25 | $ 16,015 21 |
| | $ 57,247 03 | $ 53,402 54 |

| | 11/1/2016 | 12/1/2016 |
|---|---|---|
| Morgan Stanley | $ 4,424 46 | $ 149 48 |
| Fifth Third | $ 7,304 75 | $ 7,335 20 |
| Old National Trust Co | $ 3,066 16 | $ 3,135 35 |
| Wells Fargo Advisors | $ 22,591 96 | $ 41,876 17 |
| Evansville Commerce Bank | $ 16,015 21 | $ 17,207 40 |
| | $ 53,402 54 | $ 69,703 60 |

| | 12/1/2016 | 12/31/2016 |
|---|---|---|
| Morgan Stanley | $ 149 48 | $ 149 48 |
| Fifth Third | $ 7,335 20 | $ 7,696 90 |
| Old National Trust Co | $ 3,135 35 | $ 4,489 11 |
| Wells Fargo Advisors | $ 41,876 17 | $ 49,043 37 |
| Evansville Commerce Bank | $ 17,207 40 | $ 17,746 17 |
| | $ 69,703 60 | $ 79,125 03 |

| | January | February | March | April | May | June |
|---|---|---|---|---|---|---|
| Amt of cash on 1st day | $ 45,763 95 | $ 54,142 31 | $ 61,986 03 | $ 62,103 46 | $ 64,838 89 | $ 42,408 11 |
| Amt of cash on last day | $ 54,142 31 | $ 61,986 03 | $ 62,103 46 | $ 64,838 89 | $ 42,408 11 | $ 37,417 18 |
| Avg monthly cash balance | $ 49,953 13 | $ 58,064 17 | $ 62,044 75 | $ 63,471 18 | $ 53,623 50 | $ 39,912 65 |

| | July | August | September | October | November | December |
|---|---|---|---|---|---|---|
| Amt of cash on 1st day | $ 37,417 18 | $ 43,656 68 | $ 45,939 88 | $ 57,247 03 | $ 53,402 54 | $ 69,703 60 |
| Amt of cash on last day | $ 43,656 68 | $ 45,939 88 | $ 57,247 03 | $ 53,402 54 | $ 69,703 60 | $ 79,125 03 |
| Avg monthly cash balance | $ 40,536 93 | $ 44,798 28 | $ 51,593 46 | $ 55,324 79 | $ 61,553 07 | $ 74,414 32 |

Average monthly cash balance $ 54,607 52

**PART XV, LINE 3a, GRANTS AND CONTRIBUTIONS PAID DURING YEAR**

| | | | | |
|---|---|---|---|---|
| Annunciation Parish<br>Evansville, IN | N/A | Public | General operating exp | $ 3,000 00 |
| Buffalo Trace Council, B S A , Inc<br>Evansville, IN | N/A | Public | To underwrite banquet | $ 900 00 |
| | | | General operating exp | $ 1,000 00 |
| Congregations Acting for Justice and Empowerment, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ 2,500 00 |
| Delta Sigma Theta Sorority, Inc<br>Washington, D C | N/A | Public | General operating exp | $ 500 00 |
| Easter Seals of Southwestern Indiana, Inc<br>Evansville, IN | N/A | Public | Capital campaign | $ 3,000 00 |
| Evansville African-American Museum<br>Evansville, IN | N/A | Public | General operating exp | $ 1,500 00 |
| Evansville ARC Foundation, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ 2,500 00 |
| Evansville Police Department Foundation<br>Evansville, IN | N/A | Public | General operating exp | $ 750 00 |
| EVSC Foundation, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ 1,000 00 |
| GAP Ministries<br>Evansville, IN | N/A | Public | General operating exp | $ 150 00 |
| Indiana Philanthropy Alliance<br>Indianapolis, IN | N/A | Public | General operating exp | $ 220 00 |
| Junior Achievement of Southwestern Indiana<br>Evansville, IN | N/A | Public | Capital campaign | $ 1,000 00 |
| Keep Evansville Beautiful, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ 775 00 |
| Marian Day School<br>Evansville, IN | N/A | Public | General operating exp | $ 1,000 00 |
| Media Ministries<br>Evansville, IN | N/A | Public | Gala | $ 1,250 00 |
| Muscular Dystrophy Association<br>Louisville, KY | N/A | Public | General operating exp | $ 1,000 00 |
| Museum of Miniature Houses<br>Indianapolis, IN | N/A | Public | General operating exp | $ 50 00 |

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| | | | | | |
|---|---|---|---|---|---|
| New Hope Baptist Church<br>Newburgh, IN | N/A | Public | General operating exp | $ | 100 00 |
| Notre Dame Club of the Tri-State<br>Evansville, IN | N/A | Public | General operating exp | $ | 4,000 00 |
| Old Liberty Church<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Reitz Memorial High School<br>Evansville, IN | N/A | Public | General operating exp | $ | 2,500 00 |
| The Reitz Home Preservation Society, Inc<br>Evansville, IN | N/A | Public | To underwrite party | $ | 1,000 00 |
| Riley Children's Foundation<br>Indianapolis, IN | N/A | Public | General operating exp | $ | 2,000 00 |
| St Mary's Health Foundation<br>Evansville, IN | N/A | Public | To underwrite party | $ | 3,600 00 |
| Southwestern Indiana Easter Seals Society<br>Evansville, IN | N/A | Public | General operating exp | $ | 250 00 |
| Southwestern Indiana Regional Council on Aging, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Susan G Komen for the Cure<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Sycamore Land Trust<br>Bloomington, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Trails End Wildlife Refuse, Inc<br>Bloomington, IN | N/A | Public | General operating exp | $ | 300 00 |
| Tri-State Multiple Sclerosis Society<br>Evansville, IN | N/A | Public | To underwrite party | $ | 1,000 00 |
| United Caring Shelters, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| University of Evansville<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| YMCA of Southwestern Indiana<br>Evansville, IN | N/A | Public | General operating exp | $ | 3,000 00 |
| YWCA of Evansville, Indiana<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Youth First, Inc<br>Evansville, IN | N/A | Public | General operating exp | $ | 1,000 00 |
| Total | | | | $ | 47,845 00 |