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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation GEOMAR FOUNDATION C/O GEORGE SCHARFFENBERGER, JR.		A Employer identification number 35-0677888
Number and street (or P O box number if mail is not delivered to street address) 4 APPALOOSA LANE	Room/suite	B Telephone number (310) 377-5964
City or town, state or province, country, and ZIP or foreign postal code ROLLING HILLS, CA 90274		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,108,957.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		85,819.	85,819.		STATEMENT 1
4 Dividends and interest from securities		48,583.	48,583.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<176,874.>			
b Gross sales price for all assets on line 6a 936,235.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		10,806.	10,806.		STATEMENT 3
12 Total Add lines 1 through 11		<31,666.>	145,208.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		150.	0.		0.
b Accounting fees STMT 5		5,495.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		2,360.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		12,809.	0.		0.
24 Total operating and administrative expenses Add lines 13 through 23		20,814.	0.		0.
25 Contributions, gifts, grants paid		605,040.			605,040.
26 Total expenses and disbursements Add lines 24 and 25		625,854.	0.		605,040.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<657,520.>			
b Net investment income (if negative, enter -0-)			145,208.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		637,600.	71,578.	71,578.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		2,360.	3,217.	3,217.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 9	2,464,446.	1,658,307.	1,619,852.
	c	Investments - corporate bonds	STMT 10	1,469,061.	2,182,845.	2,132,678.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other	STMT 11	325,112.	325,112.	281,632.
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,898,579.	4,241,059.	4,108,957.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		6,580,710.	6,580,710.		
29	Retained earnings, accumulated income, endowment, or other funds		<1,682,131.>	<2,339,651.>		
30	Total net assets or fund balances		4,898,579.	4,241,059.		
31	Total liabilities and net assets/fund balances		4,898,579.	4,241,059.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,898,579.
2	Enter amount from Part I, line 27a	2	<657,520.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,241,059.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,241,059.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 936,235.		1,113,109.	<176,874.>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<176,874.>	
2 Capital gain net income or (net capital loss)		<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="margin-left: 10px;"> 2 <176,874.> </div> </div>		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> 3 N/A </div> </div>		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	567,164.	4,749,723.	.119410
2014	638,048.	5,552,517.	.114911
2013	229,525.	5,390,830.	.042577
2012	252,690.	5,999,108.	.042121
2011	239,035.	6,113,622.	.039099

2 Total of line 1, column (d)	2	.358118
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.071624
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,220,339.
5 Multiply line 4 by line 3	5	302,278.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,452.
7 Add lines 5 and 6	7	303,730.
8 Enter qualifying distributions from Part XII, line 4	8	605,040.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,452.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,452.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	1,452.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,360.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,360.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	908.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax	11	908.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>MARION A. SCHARFFENBERGER</u> Telephone no. ► <u>310-377-5964</u> Located at ► <u>4 APPALOOSA LANE, ROLLING HILLS, CA</u> ZIP+4 ► <u>90274</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARION A. SCHARFFENBERGER	PRESIDENT			
4 APPALOOSA LANE				
ROLLING HILLS, CA 90274	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,868,968.
b	Average of monthly cash balances	1b	415,640.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,284,608.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,284,608.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	64,269.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,220,339.
6	Minimum investment return. Enter 5% of line 5	6	211,017.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	211,017.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,452.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,452.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	209,565.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	209,565.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	209,565.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	605,040.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	605,040.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,452.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	603,588.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				209,565.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				288,464.
e From 2015				334,360.
f Total of lines 3a through e	622,824.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 605,040.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				209,565.
e Remaining amount distributed out of corpus	395,475.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,018,299.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,018,299.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				288,464.
d Excess from 2015				334,360.
e Excess from 2016				395,475.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MARION A. SCHARFFENBERGER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GEOMAR FOUNDATION

C/O GEORGE SCHARFFENBERGER, JR.

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABUNDANT WATERS 400 W 43RD ST STE 36G NEW YORK, NY 10036				500.
AMERICAN DIABETES ASSOCIATION 611 WILSHIRE BLVD, STE 900 LOS ANGELES, CA 90017				100.
AMERICAN LUNG ASSOCIATION 55 W WACKER DR CHICAGO, IL 60601				2,000.
ANDERSON VALLEY FOOD BANK 13850 HWY 128 BOONEVILLE, CA 95415				2,000.
AQUARIUM OF THE PACIFIC 100 AQUARIUM WAY LONG BEACH, CA 90802				100.
Total	SEE CONTINUATION SHEET(S)			605,040.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

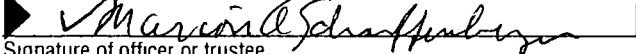

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	 Signature of officer or trustee		5/4/12 Date		 Title		
Paid Preparer Use Only	Print/Type preparer's name ALICE C. CLARNO		Preparer's signature 		Date 4-27-17	Check <input checked="" type="checkbox"/> if self-employed	PTIN P00040992
	Firm's name ▶ ALICE C. CLARNO, EA, ATA					Firm's EIN ▶ 95-3754294	
	Firm's address ▶ 1801 S MYRTLE AVE STE H MONROVIA, CA 91016					Phone no. (626) 359-7065	

Form **990-PF** (2016)

**GEOMAR FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASPEN MUSIC FESTIVAL & SCHOOL 225 MUSIC SCHOOL RD ASPEN, CO 81611				100.
AUXILLARY OF THE LITTLE SISTERS OF THE POOR 2100 S WESTERN AVE SAN PEDRO, CA 90732				200.
BAR HARBOR FESTIVAL CORPORATION 741 W END ST STE 4-8 NEW YORK, NY 10025				1,000.
BAY AREA WILDERNESS TRAINING 1050 8TH ST OAKLAND, CA 94612				1,000.
CATHOLIC BIG SISTERS AND BIG BROTHERS 137 EAST 2ND ST NEW YORK, NY 10009				500.
CENTER FOR LAND BASED LEARNING 5265 PUTAH CREEK RD WINTERS, CA 95694				5,000.
CHAMBER ORCHESTRA OF THE SOUTH BAY PO BOX 2095 PALOS VERDES, CA 90274				1,000.
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BLVD LOS ANGELES, CA 90027				1,500.
CHRYSLIS 522 S MAIN ST LOS ANGELES, CA 90013				100.
CONVENT OF THE SACRED HEART 1 E. 91ST ST NEW YORK, NY 10128				500.
Total from continuation sheets				600,340.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DAUGHTERS OF MARY & JOSEPH RETREAT CENTER 5300 CREST RD RANCHO PALOS VERDES, CA 90275				1,000.
DEVELOPMENT IN GARDENING 9 PEACHTREE ST NE ATLANTA, GA 30309				1,000.
DISABLED VETERANS NATIONAL FOUNDATION PO BOX 96262 WASHINGTON, DC 20090				200.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE 2ND FLR NEW YORK, NY 10001				6,500.
ETC THEATRE 10374 CHEVIOT DR LOS ANGELES, CA 90064				100.
FISTULA FOUNDATION 1900 ALAMEDA SAN JOSE, CA 95126				2,000.
GEORGETOWN UNIVERSITY DEPARTMENT 0734 WASHINGTON, DC 20073-0734				53,100.
HABITAT FOR HUMANITY 17700 S FIGUEROA ST GARDENA, CA 90248				100.
HORSES IN THE HOOD PO BOX 573249 TARZANA, CA 91357				2,000.
KCET 2900 W ALAMEDA AVE BURBANK, CA 91505				100.
Total from continuation sheets				

**GEOMAR FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KUSC PO BOX 7913 LOS ANGELES, CA 90007				150.
LA BIOMED 1124 W CARSON ST TORRANCE, CA 90502				1,000.
LES AMIES 10880 WILSHIRE BLVD, STE 800 LOS ANGELES, CA 90024				100.
LIBRARY FOUNDATION OF LOS ANGELES 630 W 5TH ST LOS ANGELES, CA 90071				400.
LOS ANGELES MISSION 303 E 5TH ST LOS ANGELES, CA 90013				100.
MARY & JOSEPH RETREAT CENTER 5300 CREST RD RANCHO PALOS VERDES, CA 90275				200.
MUSE FILM & TELEVISION 1 E. 53RD ST, 10TH FLR NEW YORK, NY 10022				5,000.
NEW ORLEANS MUSICIANS CLINIC 3700 ST CHARLES ST NEW ORLEANS, LA 70115				500.
PALOS VERDES PENINSULA LAND CONSERVANCY PO BOX 3427 PALOS VERDES, CA 90274				2,100.
PALOS VERDES PERFORMING ARTS 27570 NORRIS CENTER ROLLING HILLS EST, CA 90274				100.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PBS SOCAL 3080 BRISTOL ST STE 100 COSTA MESA, CA 92626				200.
PENINSULA COMMITTEE CHILDREN'S HOSPITAL PO BOX 801 PALOS VERDES, CA 90274				18,850.
PENINSULA FRIENDS OF THE LIBRARY PO BOX 2361 PALOS VERDES, CA 90274				100.
PROJECT MEXICO 7 EL PORTAL PALOS VERDES, CA 90274				1,100.
PROVIDENCE LITTLE COMPANY OF MARY FOUNDATION 4101 TORRANCE BLVD TORRANCE, CA 90503				282,200.
PROVIDENCE TRINITY CARE HOSPICE FDN 2601 AIRPORT DR TORRANCE, CA 90505				10,000.
RAPHAEL HOUSE PO BOX 2361 PALOS VERDES, CA 90274				2,000.
REGENTS OF UNIV OF CALIF - BOTANICAL GARDENS 200 CENTENNIAL DR BERKELEY, CA 94720				5,000.
REGIS HIGH SCHOOL 55 E 84TH ST NEW YORK, NY 10028				500.
SAN FRANCISCO FOOD BANK 900 PENNSYLVANIA AVE SAN FRANCISCO, CA 94107				2,000.
Total from continuation sheets				

**GEOMAR FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SMITH COLLEGE NONE NORTHAMPTON, MA 10163				500.
SOAR 3025 4TH ST NE WASHINGTON, DC 20017				200.
SOUTH COAST BOTANIC GARDEN FOUNDATION 26300 CRENSHAW BLVD PALOS VERDES, CA 90274				25,000.
ST DAVID'S SCHOOL 12 EAST 89TH ST NEW YORK, NY 10128				500.
ST JOHN FISHER CHURCH 5488 CREST RD RANCHO PALOS VERDES, CA 90275				5,920.
ST. ELIZABETH SETON CHURCH 8771 PHILO SCHOOL RD BOONEVILLE, CA 95415				10,000.
THE COLLEAGUES 3312 PICO BLVD SANTA MONICA, CA 90405				4,850.
THE DREAM PROJECT 916 WILLIAMS RD COLCHESTER, VT 95446				500.
THE MUSIC CENTER 135 N GRAND AVE LOS ANGELES, CA 90012				3,300.
THE THOMAS G. LABRECQUE FOUNDATION 1414 PRINCE ST, STE 400 ALEXANDRIA, VA 22314				1,000.
Total from continuation sheets				

**GEOMAR FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TOUCH FOUNDATION PO BOX 1420 NEW YORK, NY 10150				500.
UNHCR 1775 K ST NW, STE 580 WASHINGTON, DC 20006				2,500.
UNION RESCUE MISSION 545 S SAN PEDRO ST LOS ANGELES, CA 90013				100.
UNIVERSITY OF SOUTHERN CALIFORNIA 1150 S OLIVE ST LOS ANGELES, CA 90015				100,000.
USC LIBRARIES 3550 TROUSDALE PKWY LOS ANGELES, CA 90089				350.
USET FOUNDATION PO BOX 355 GLADSTONE, NJ 07934				220.
ALS ASSOCIATION PO BOX 565 AGOURA HILLS, CA 91376				200.
ASPCA 424 E 92ND ST NEW YORK, NY 10128				500.
BROOKE USA 4089 IRON WORKS PARKWAY LEXINGTON, KY 40511				2,000.
CENTRAL PARK CONSERVANCY 14 E 60TH ST NEW YORK, NY 10022				1,600.
Total from continuation sheets				

GEOMAR FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HORIZON'S AT ST. DAVID'S 12 E 89TH ST NEW YORK, NY 10128				500.
INTERNATIONAL REFUGEE ASSISTANCE PROJECT 40 RECTOR ST 9TH FLOOR NEW YORK, NY 10006				2,000.
IRC NORTHERN CALIFORNIA 440 GRAND AVE STE 500 OAKLAND, CA 94610				1,000.
LA SALETTE 4650 S BROADWAY ST LOUIS, MO 63111				100.
LOS ANGELES REGIONAL FOOD BANK 1734 E 41ST ST LOS ANGELES, CA 90058				4,000.
NATIONAL WOUNDED WARRIOR CENTER PO BOX 7275 MAMMOTH LAKES, CA 93546				500.
NRDC 40 W 20TH ST NEW YORK, NY 10011				2,000.
OUR SAVIOR PARISH 844 W 32ND ST LOS ANGELES, CA 90007				1,000.
PACIFIC RESEARCH INSTITUTE 101 MONTGOMERY ST STE 1300 SAN FRANCISCO, CA 94104				250.
PARTNERS IN HEALTH PO BOX 996 FREDERICK, MD 21705				2,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST 10TH FLOOR NEW YORK, NY 10038				1,000.
THE GLOBAL GIVING 1110 VERMONT AVE NW, STE 550 WASHINGTON, DC 20005				1,000.
THE SOCIETY FOR THE PROPAGATION OF FAITH 3424 WILSHIRE BLVD LOS ANGELES, CA 90010				250.
THE TORRANCE MEMORIAL HOSPICE 2336 HAWTHORNE BLVD STE 100B TORRANCE, CA 90505				500.
THOMAS JEFFERSON UNIVERSITY HOSPITAL 125 S 9TH ST, STE 700 PHILADELPHIA, PA 19107				200.
TOGETHER IN MISSION 3424 WILSHIRE BLVD LOS ANGELES, CA 90010				500.
UC BERKELEY FOUNDATION 2080 ADDISON ST #4200 BERKELEY, CA 94720				10,000.
USC FLORA THORNTON SCHOOL OF MUSIC 840 W 34TH ST, MUS 408 LOS ANGELES, CA 90089				1,000.
USC INSTITUTE FOR ADVANCED CATHOLIC STUDIES FUND ADM 160, MC 4017 LOS ANGELES, CA 90089				200.
VETPAW 41 MADISON AVE #3100 NEW YORK, NY 10010				1,000.
Total from continuation sheets				

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3 Grants and Contributions Paid During the Year (Continuation)

623631
04-01-16

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20,760.87 SH PIMCO EMERGING	P	02/19/03	02/09/16
b	11,952.19 SH ARTIO GLOBAL	P	09/15/10	02/09/16
c	150M CISCO SYSTEMS INC	P	05/09/08	02/22/16
d	150M DIRECTV HOLDINGS	P	10/25/13	03/21/16
e	31,818.18 SH PIMCO FOREIGN	P	06/24/11	02/09/16
f	10,395.01 SH WF ADV ULTRA	P	10/06/09	09/16/16
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 136,607.		223,533.	<86,926.>
b 90,000.		122,686.	<32,686.>
c 150,000.		156,713.	<6,713.>
d 165,791.		162,597.	3,194.
e 293,045.		347,788.	<54,743.>
f 100,000.		99,792.	208.
g 792.			792.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<86,926.>
b			<32,686.>
c			<6,713.>
d			3,194.
e			<54,743.>
f			208.
g			792.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<176,874.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO	85,819.	85,819.	
TOTAL TO PART I, LINE 3	85,819.	85,819.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO	49,375.	792.	48,583.	48,583.	
TO PART I, LINE 4	49,375.	792.	48,583.	48,583.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
EATON VANCE	10,806.	10,806.	
TOTAL TO FORM 990-PF, PART I, LINE 11	10,806.	10,806.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FFES	150.	0.		0.
TO FM 990-PF, PG 1, LN 16A	150.	0.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	5,495.	0.		0.	
TO FORM 990-PF, PG 1, LN 16B	5,495.	0.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FRANCHISE TAX	2,360.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	2,360.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WELLS FARGO BANK	12,365.	0.		0.	
FILING FEE	239.	0.		0.	
OFFICE EXPENSE	205.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	12,809.	0.		0.	

FOOTNOTES				STATEMENT	8
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FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS DOMESTIC	664,143.	686,596.	
MUTUAL FUNDS INTERNATIONAL	750,000.	704,742.	
EATON VANCE GLOBAL	244,164.	228,514.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,658,307.	1,619,852.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS	2,182,845.	2,132,678.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,182,845.	2,132,678.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
REAL ASSETS	COST	325,112.	281,632.
TOTAL TO FORM 990-PF, PART II, LINE 13		325,112.	281,632.