

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

ALEX G. NASON FOUNDATION INC.

Number and street (or P.O. box number if mail is not delivered to street address)

1177 HIGH RIDGE ROAD

Room/suite

111

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD, CT 06905

A Employer identification number

34-1757149

B Telephone number

(203) 856-5299C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 4,166,599.

J Accounting method:

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B
Interest on savings and temporary cash investments

3 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a **1,434,696.**

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

STATEMENT 1

STATEMENT 2

STMT 3

STMT 4

STMT 5

#002

7 2017

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326344 DEC 27 2017

SCANNED JAN 30 2018

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	15,397.	5,405.	5,405.
	2 Savings and temporary cash investments	2,897,489.	689,966.	689,966.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	21,825.	20,254.	20,254.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	980,464.	3,146,362.	3,450,974.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment; basis ▶	6,069.		
	Less: accumulated depreciation ▶	6,069.		
	15 Other assets (describe ▶)	0.	195.	0.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,915,175.	3,862,182.	4,166,599.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,915,175.	3,862,182.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	3,915,175.	3,862,182.	
	31 Total liabilities and net assets/fund balances	3,915,175.	3,862,182.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,915,175.
2 Enter amount from Part I, line 27a	2	-92,146.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	39,153.
4 Add lines 1, 2, and 3	4	3,862,182.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,862,182.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TD AMERITRADE	P	VARIOUS	12/31/16
b TD AMERITRADE	P	VARIOUS	12/31/16
c CHARLES SCHWAB	P	VARIOUS	07/01/16
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,062,674.		1,030,508.	32,166.
b 245,129.		204,542.	40,587.
c 126,605.		123,062.	3,543.
d 288.			288.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			32,166.
b			40,587.
c			3,543.
d			288.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	76,584.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	233,340.	3,757,000.	.062108
2014	342,394.	4,719,568.	.072548
2013	392,576.	5,123,218.	.076627
2012	423,396.	5,575,017.	.075945
2011	450,699.	6,727,393.	.066995

2 Total of line 1, column (d)	2	.354223
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.070845
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,927,652.
5 Multiply line 4 by line 3	5	278,255.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	785.
7 Add lines 5 and 6	7	279,040.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	169,121.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,571.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	1,571.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	1,571.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	21,825.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 - Total credits and payments. Add lines 6a through 6d	7	21,825.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	20,254.	
11 Enter the amount of line 10 to be. Credited to 2017 estimated tax <input type="checkbox"/> 20,254. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► ALEX G. NASON Telephone no. ► 203-856-5299 Located at ► 47 ROBIN HOOD ROAD, STAMFORD, CT ZIP+4 ► 06907		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		100,000.	34,072.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,439,495.
b	Average of monthly cash balances	1b	547,969.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,987,464.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,987,464.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,812.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,927,652.
6	Minimum investment return. Enter 5% of line 5	6	196,383.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	196,383.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,571.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,571.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	194,812.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	194,812.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	194,812.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	169,121.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	169,121.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	169,121.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				194,812.
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	114,879.			
b From 2012	144,645.			
c From 2013	136,415.			
d From 2014	106,416.			
e From 2015	45,490.			
f Total of lines 3a through e	547,845.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	169,121.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus	0.			169,121.
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	25,691.			25,691.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	522,154.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	89,188.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	432,966.			
10 Analysis of line 9:				
a Excess from 2012	144,645.			
b Excess from 2013	136,415.			
c Excess from 2014	106,416.			
d Excess from 2015	45,490.			
e Excess from 2016				

N/A

- 4942(1)(3) or 4942(1)(5)

- (4) Gross investment income

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
ALDRICH MUSEUM 258 MAIN STREET RIDGEFIELD, CT 06877	NONE	501(C)(3)	TO SUPPORT EDUCATION PROGRAMS AND TO ENRICH THE COMMUNITY BY PROVIDING DIVERSE ART PROGRAMS	1,000.
BALLET SCHOOL OF STAMFORD 201 SUMMER ST., 2ND FL STAMFORD, CT 06901	NONE	501(C)(3)	TO SUPPORT ARTISTIC TALENTS OF ITS STUDENTS THROUGH SCHOLARSHIPS TO PROVIDE DANCE	1,000.
CARING UNLIMITED PO BOX 590 SANFORD, ME 04073	NONE	501(C)(3)	TO SUPPORT SAFETY PLANNING FOR INDIVIDUALS AND FAMILIES AFFECTED BY DOMESTIC ABUSE	1,000.
CHOATE ROSEMARY HALL 333 CHRISTIAN ST WALLINGFORD, CT 06492	NONE	501(C)(3)	TO SUPPORT EDUCATION THROUGH FINANCIAL AID TO STUDENTS	2,000.
DIVINE WORD OF MISSIONARIES 1835 WAUKEGAN ROAD TECHNY, IL 60082	NONE	501(C)(3)	TO SUPPORT MISSIONARY WORK	2,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				71,000.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DOCTORS WITHOUT BORDERS 333 7TH AVE, 2ND FL NEW YORK, NY 100015004	NONE	501(C)(3)	TO SUPPORT THE DELIVERY OF MEDICAL CARE TO THOSE TRAPPED IN SOME OF THE WORST CRISES GLOBALLY	1,000.
ENVIRONMENTAL LEARNING CENTER 255 LIVE OAK DR VERO BEACH, FL 32963	NONE	501(C)(3)	TO SUPPORT ENVIRONMENTAL TEACHING PROGRAMS FOR THE PROTECTION OF THE ENVIRONMENT	1,000.
GEORGE WASHINGTON CARVER FOUNDATION 7 ACADEMY STREET NORWALK, CT 06850	NONE	501(C)(3)	TO SUPPORT EDUCATIONAL ENRICHMENT AND RECREATIONAL PROGRAMS TO LOW-INCOME YOUTH	1,000.
HEALING HEARTS PEDIATRIC & THERAPY CENTER 48 UNION ST. STAMFORD, CT 06906		501(C)(3)	NON-PROFIT PURPOSES OF ORGANIZATION	1,000.
HIBISCUS CHILDREN'S CENTER 1145 12TH ST VERO BEACH, FL 32960	NONE	501(C)(3)	TO SUPPORT THE CENTER THAT PROVIDES SAFETY, SHELTER, AND RECOVERY PROGRAMS TO ABUSED CHILDREN/FAMILIES.	2,500.
HOMELESS FAMILY CENTER 715 FOURTH PLACE VERO-BEACH, FL 32962	NONE	501(C)(3)	TO SUPPORT THE CENTER THAT PROVIDES SAFETY AND SHELTER FOR HOMELESS FAMILIES.	2,000.
INDIAN RIVER LAND TRUST 1904 12TH COURT VERO BEACH, FL 32960	NONE	501(C)(3)	TO SUPPORT THE PRESERVATION OF ENVIRONMENTALLY IMPORTANT LAND/WATER RESOURCES OF INDIAN	3,000.
INSTITUTE OF NAUTICAL ARCHAEOLOGY PO BOX DRAWER HG COLLEGE STATION, TX 778415137	NONE	501(C)(3)	TO SUPPORT THE STUDY OF ARCHEOLOGY/NAUTICAL SCIENCE THROUGH DISCOVER OF ANCIENT SHIPWRECKS	1,000.
MARTHA'S TABLE 2114 14TH STREET WASHINGTON, DC 20009	NONE	501(C)(3)	INITIATE PROGRAMS FOR HEALTHY EATING FOR THOSE IN NEED TO SUPPORT STRONGER CHILDREN, FAMILIES AND	2,000.
MCKEE BOTANICAL GARDEN 350 US 1 VERO BEACH, FL 32962	NONE	501(C)(3)	TO SUPPORT THE REVITALIZATION PROGRAM OF THE BOTANICAL GARDEN	1,000.
Total from continuation sheets				64,000.

Part XV · Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW MORAN INC PO BOX 8502 BURLINGTON, VT 05402	NONE	501(C)(3)	TO CONVERT AN OLD COAL PLANT TO BUILD A CULTURAL HUB.	1,000.
NEW YORK FOUNDATION FOR THE ARTS 155 AVENUE OF THE AMERICAS, 6TH FL NEW YORK, NY 100131507	NONE	501(C)(3)	TO SUPPORT THE SERVICES PROVIDED FOR ARTISTS AND THE CONTINUATION OF INNOVATIVE	1,000.
NICAPHOTO 13 NEWTOWN TERRACE NORWALK, CT 06851	NONE	501(C)(3)	TO SUPPORT EDUCATION, ART, AND PERSONAL DEVELOPMENT OF POVERTY STRICKEN CHILDREN	4,000.
OBEY FOUNDATION PO BOX 25163 CHARLOTTE, NC 28229	NONE	501(C)(3)	TO SUPPORT CHILDREN AND FAMILIES WHO NEED FOOD AND SHELTER IN AFRICA	1,000.
OCEAN RESEARCH AND CONSERVATION ASSOC 1420 SEAWAY DRIVE, 2ND FL FORT PIERCE, FL 34949	NONE	501(C)(3)	TO SUPPORT THE SEARCH FOR THE MEANS TO PREVENT THE CONTAMINATION OF LOCAL FRESH WATERWAYS	3,000.
OPEN DOOR SHELTER NORWALK CT 4 MERRITT STREET - - - - - NORWALK, CT 06854 - - - - -	NONE	501(C)(3)	TO SUPPORT WIDE RANGING SERVICES FOR THE HOMELESS AND WORKING POOR	1,000.
PMC - JIMMY FUND 10 BROOKLINE PLACE WEST, 6TH FL BROOKLINE, MA 024457295	NONE	501(C)(3)	TO SUPPORT THE INNOVATIVE WORK OF MEDICAL STAFF AT THE DANA-FARBER CANCER INSTITUTE	1,000.
PROMINDFUL, INC 1177 HIGH RIDGE ROAD STAMFORD, CT 06905	NONE	501(C)(3)	TO SUPPORT THE PROMOTION OF HEALTH AND WELLNESS THROUGH HOLISTIC AND INTEGRATIVE PRACTICES	5,000.
RIVERSIDE CHILDREN'S THEATRE 3280 RIVERSIDE PARK DRIVE VERO BEACH, FL 32963	NONE	501(C)(3)	TO SUPPORT CHILDREN'S CULTURAL EDUCATION IN THEATRE ARTS	2,500.
SARATOGA MUSEUM 104 CONSTITUTION AVE. SARATOGA, WY 82331	NONE	501(C)(3)	TO SUPPORT THE EXHIBITS AND CULTURAL EVENTS AT THE MUSEUM	1,000.
Total from continuation sheets				

ALEX G. NASON FOUNDATION INC.

34-1757149

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT, CT 06880	NONE	501(C)(3)	TO SUPPORT THE HEALTH, EDUCATION, AND PROTECTION OF CHILDREN IN THE POOREST AREAS OF THE WORLD	3,000.
SOUTH HERO LAND TRUST PO BOX 455 SOUTH HERO, VT 05486	NONE	501(C)(3)	TO SUPPORT THE LAND CONSERVATION AND VOLUNTEER INITIATIVES	1,000.
ST DEMETRIOS GREEK ORTHODOX CHURCH 400 SKYWAY DR. CAMARILLO, CA 93010	NONE	501(C)(3)	TO SUPPORT THE CHURCH	1,000.
ST EDWARDS SCHOOL 1895 ST. EDWARD'S DR. VERO BEACH, FL 32963	NONE	501(C)(3)	TO SUPPORT THE EDUCATION OF CHILDREN	5,000.
SUN GROVE MONTESSORI 5610 OLEANDER AVE. FORT PIERCE, FL 34982	NONE	501(C)(3)	TO SUPPORT THE EDUCATION AND TRAINING OF STAFF TO BENEFIT THE CHILDREN	5,000.
SUSTAINABLE HARVEST PO BOX 1447 ELLSWORTH, ME 04605	NONE	501(C)(3)	EDUCATE FARMERS BY PARTNERING WITH FAMILIES TO PRESERVE THE ENVIRONMENT THRU SUSTAINABLE HARVEST.	1,000.
SYRACUSE UNIVERSITY SYRACUSE UNIVERSITY SYRACUSE, NY 13244	NONE	501(C)(3)	TO SUPPORT SEVERAL HEALTH AND WELLNESS PROGRAMS AT THE UNIVERSITY ;LISTTOTAL 50000	5,000.
TRIPLE GEM SOCIETY P.O. BOX 713 PRINCETON, NJ 08542	NONE	501(C)(3)	TO SUPPORT THE HUMANITARIAN INITIATIVES WORLDWIDE TO BRING PEACE AND HARMONY TO SUFFERING	1,000.
VERO BEACH OPERA 49 ROYAL PALM PT VERO BEACH, FL 32960	NONE	501(C)(3)	TO SUPPORT THE OPERA AND ARTISTS THAT SERVE THE COMMUNITY	2,000.
VNA & HOSPICE FOUNDATION 1110 35TH LN. VERO BEACH, FL 32960	NONE	501(C)(3)	TO SUPPORT THE HOME HEALTH AND HOSPICE CARE FOR THE COMMUNITY	4,000.
Total from continuation sheets				

Part XV · Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BALLET SCHOOL OF STAMFORD

TO SUPPORT ARTISTIC TALENTS OF ITS STUDENTS THROUGH SCHOLARSHIPS TO
PROVIDE DANCE EDUCATION

NAME OF RECIPIENT - INDIAN RIVER LAND TRUST

TO SUPPORT THE PRESERVATION OF ENVIRONMENTALLY IMPORTANT LAND/WATER
RESOURCES OF INDIAN RIVER

NAME OF RECIPIENT - MARTHA'S TABLE

INITIATE PROGRAMS FOR HEALTHY EATING FOR THOSE IN NEED TO SUPPORT
STRONGER CHILDREN, FAMILIES AND COMMUNITY.

NAME OF RECIPIENT - NEW YORK FOUNDATION FOR THE ARTS

TO SUPPORT THE SERVICES PROVIDED FOR ARTISTS AND THE CONTINUATION OF
INNOVATIVE INITIATIVES.

NAME OF RECIPIENT - PROMINDFUL, INC

TO SUPPORT THE PROMOTION OF HEALTH AND WELLNESS THROUGH HOLISTIC AND
INTEGRATIVE PRACTICES TO BALANCE THE MIND AND BODY

NAME OF RECIPIENT - TRIPLE GEM SOCIETY

TO SUPPORT THE HUMANITARIAN INITIATIVES WORLDWIDE TO BRING PEACE AND
HARMONY TO SUFFERING PEOPLE

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	8,799.	0.	8,799.	8,799.	
TD AMERITRADE	88,262.	288.	87,974.	87,974.	
TO PART I, LINE 4	97,061.	288.	96,773.	96,773.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VANGUARD NATURAL RESOURCES	1,809.	1,809.	
VANGUARD NATURAL RESOURCES	937.	937.	
TD AMERITRADE	146.	146.	
VANGUARD NATURAL RESOURCES	491.	491.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,383.	3,383.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	27,957.	13,978.		13,979.
TO FORM 990-PF, PG 1, LN 16B	27,957.	13,978.		13,979.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	7,935.	3,967.		3,968.
FILING FEES	50.	0.		50.
EXCISE TAXES	1,571.	0.		0.
FOREIGN TAXES	2,301.	2,301.		0.
TO FORM 990-PF, PG 1, LN 18	11,857.	6,268.		4,018.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LIABILITY INSURANCE	2,176.	0.		2,176.
OFFICE EXPENSE	2,093.	1,046.		1,047.
OTHER EXPENSES	22.	11.		11.
TO FORM 990-PF, PG 1, LN 23	4,291.	1,057.		3,234.

FORM 990-PF - OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
UNREALIZED GAIN	35,645.
NONDIVIDEND DISTRIBUTION	3,508.
TOTAL TO FORM 990-PF, PART III, LINE 3	39,153.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	3,146,362.	3,450,974.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,146,362.	3,450,974.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,633.	2,633.	0.
OFFICE EQUIPMENT	870.	870.	0.
PRINTER	180.	180.	0.
COMPUTER	2,386.	2,386.	0.
TOTAL TO FM 990-PF, PART II, LN 14	6,069.	6,069.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS DUE FROM BROKER	0.	195.	0.
TO FORM 990-PF, PART II, LINE 15	0.	195.	0.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALEXANDER G. NASON 47 ROBIN HOOD ROAD STAMFORD, CT 06907	PRESIDENT/TREASURER 40.00	100,000.	34,072.	0.
LUCIE BURKE 4311 SECOND SQUARE SW VERO BEACH, FL 32968	SECRETARY 0.00	0.	0.	0.
VINCENT BURKE 4311 SECOND SQUARE SW VERO BEACH, FL 32968	DIRECTOR 0.00	0.	0.	0.
SOFIA BLANCHARD 95 SURF LAND VERO BEACH, FL 32963	CHAIRMAN 0.00	0.	0.	0.
ALEXANDRA NASON 20 SUMMER STREET NEW CANAAN, CT 06840	DIRECTOR 0.00	0.	0.	0.
STEPHEN NASON 223 5TH STREET SE WASHINGTON, DC 20003	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		100,000.	34,072.	0.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF															
Asset No	Description	Date Acquired	Method	Life	Convention	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	COMPUTER	04/06/09	200DB	5.00		HY17	2,633.			1,317.	1,316.	1,316.		0.	1,316.
2	OFFICE EQUIPMENT	07/24/09	200DB	5.00		HY17	870.			435.	435.	435.		0.	435.
3	PRINTER	03/04/11	200DB	5.00		HY17	180.			180.				0.	
4	COMPUTER	07/29/11	200DB	5.00		HY17	2,386.			2,386.				0.	
* TOTAL 990-PF PG 1 DEPR							6,069.			4,318.	1,751.	1,751.		0.	1,751.

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone