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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

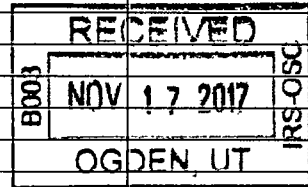
Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation SAINT LUKE'S FOUNDATION OF CLEVELAND, OH		A Employer identification number 34-0714513
Number and street (or P O box number if mail is not delivered to street address) 11327 SHAKER BOULEVARD	Room/suite 600W	B Telephone number 216 431-8010
City or town, state or province, country, and ZIP or foreign postal code CLEVELAND, OH 44104		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 167,514,536. (Part I, column (d) must be on cash basis)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	0.			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,133,557.	850,625.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,016,510.			
	b Gross sales price for all assets on line 6a	9,047,736.			
	7 Capital gain net income (from Part IV, line 2)		8,005,666.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	9,150,067.	8,856,291.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	415,272.	0.	0.	371,411.
	14 Other employee salaries and wages	520,493.	0.	0.	532,663.
	15 Pension plans, employee benefits	194,692.	0.	0.	194,692.
	16a Legal fees STMT 1	22,129.	0.	0.	22,129.
	b Accounting fees STMT 2	122,893.	0.	0.	122,893.
	c Other professional fees STMT 3	381,333.	249,676.	0.	6,819.
	17 Interest				
	18 Taxes STMT 4	272,240.	0.	0.	60,009.
	19 Depreciation and depletion	43,276.	0.	0.	
	20 Occupancy	167,265.	0.	0.	167,265.
	21 Travel, conferences, and meetings				
	22 Printing and publications	1,887.	0.	0.	1,887.
	23 Other expenses STMT 5	355,507.	0.	0.	328,256.
	24 Total operating and administrative expenses Add lines 13 through 23	2,496,987.	249,676.	0.	1,808,024.
	25 Contributions, gifts, grants paid	10,724,318.			10,724,318.
26 Total expenses and disbursements Add lines 24 and 25	13,221,305.	249,676.	0.	12,532,342.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-4,071,238.				
b Net investment income (if negative, enter -0-)		8,606,615.			
c Adjusted net income (if negative, enter -0-)			0.		



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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,284,215.	2,785,328.	2,785,328.
	2 Savings and temporary cash investments	3,409,292.	18,385,452.	18,385,452.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	23,360.	17,163.	17,163.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	170,057.	142,520.	142,520.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	164,495,142.	145,714,457.	145,714,457.
	14 Land, buildings, and equipment: basis ▶ 817,817.			
	Less: accumulated depreciation STMT 9 ▶ 474,241.	379,505.	343,576.	343,576.
	15 Other assets (describe ▶ STATEMENT 10)	143,921.	126,040.	126,040.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	171,905,492.	167,514,536.	167,514,536.
	17 Accounts payable and accrued expenses	222,280.	223,610.	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	61,116.	56,536.	
	23 Total liabilities (add lines 17 through 22)	283,396.	280,146.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	158,819,956.	156,699,933.	
	25 Temporarily restricted	7,867,918.	7,846,065.	
	26 Permanently restricted	4,934,222.	2,688,392.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	171,622,096.	167,234,390.	
	31 Total liabilities and net assets/fund balances	171,905,492.	167,514,536.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	171,622,096.
2 Enter amount from Part I, line 27a	2	-4,071,238.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	79,935.
4 Add lines 1, 2, and 3	4	167,630,793.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	396,403.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	167,234,390.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 9,047,736.		1,042,070.	8,005,666.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			8,005,666.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	8,005,666.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	10,769,569.	171,790,047.	.062690
2014	13,369,142.	174,192,340.	.076749
2013	12,876,842.	171,464,133.	.075099
2012	11,840,002.	168,829,567.	.070130
2011	11,361,267.	182,423,908.	.062279

2 Total of line 1, column (d)	2	.346947
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.069389
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	163,438,788.
5 Multiply line 4 by line 3	5	11,340,854.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	86,066.
7 Add lines 5 and 6	7	11,426,920.
8 Enter qualifying distributions from Part XII, line 4	8	12,532,342.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	86,066.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	86,066.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	86,066.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	269,504.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	269,504.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	183,438.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 183,438. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.SAINTLUKESFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>ELIZABETH HONOLD</u> Telephone no. ► <u>216 431-8010</u> Located at ► <u>11327 SHAKER BOULEVARD, NO. 600W, CLEVELAND, OH</u> ZIP+4 ► <u>44104</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		357,475.	57,797.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HEATHER TOROK - 11327 SHAKER BOULEVARD, SUITE 600W, CLEVELAND, OH	SR PROG OFF - 40.00	HEALTHY PEOPLE 123,510.	29,276.	0.
NELSON BECKFORD - 11327 SHAKER BOULEVARD, SUITE 600W, CLEVELAND, OH	SR PROG OFF - 40.00	A STRONG NEIGHBORHOOD 106,437.	23,851.	0.
CHRISTIE MANNING - 11327 SHAKER BOULEVARD, SUITE 600W, CLEVELAND, OH	SR PROG OFF - 40.00	RESILIENT FAMILIES 103,859.	26,135.	0.
KATHLEEN DEAN - 11327 SHAKER BOULEVARD, SUITE 600W, CLEVELAND, OH	SR DIR - EVAL, 40.00	OUTCOMES & LEARNING 88,031.	13,350.	0.
KIMBERLY FIELDS - 11327 SHAKER BOULEVARD, SUITE 600W, CLEVELAND, OH	OFFICE MANAGER 40.00	55,623.	12,704.	0.
Total number of other employees paid over \$50,000				0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROUX CREATIVE - 29605 LORAIN ROAD, #201, NORTH OLMSTED, OH 44070	COMMUNICATIONS	97,203.
MELANIE GAVIN 625 PRESTIGE WOODS BLVD., AURORA, OH 44202	ACCOUNTING SERVICES	70,000.
OTM SOLUTIONS 3986 KELLER HANNA DRIVE, BRUNSWICK, OH 44212	INFORMATION TECHNOLOGY	51,600.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
SEE STATEMENT 14	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	162,327,265.
b	Average of monthly cash balances	1b	3,482,469.
c	Fair market value of all other assets	1c	117,970.
d	Total (add lines 1a, b, and c)	1d	165,927,704.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	165,927,704.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,488,916.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	163,438,788.
6	Minimum investment return. Enter 5% of line 5	6	8,171,939.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,171,939.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	86,066.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	86,066.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,085,873.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	8,085,873.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,085,873.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	12,532,342.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,532,342.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	86,066.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,446,276.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				8,085,873.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	2,324,568.			
b From 2012	3,810,558.			
c From 2013	4,389,691.			
d From 2014	4,830,543.			
e From 2015	2,293,368.			
f Total of lines 3a through e	17,648,728.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 12,532,342.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				8,085,873.
e Remaining amount distributed out of corpus	4,446,469.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,095,197.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	2,324,568.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	19,770,629.			
10 Analysis of line 9				
a Excess from 2012	3,810,558.			
b Excess from 2013	4,389,691.			
c Excess from 2014	4,830,543.			
d Excess from 2015	2,293,368.			
e Excess from 2016	4,446,469.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

10

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE 2016 GRANTS PAID ATTACHMENT				10,724,318.
Total			3a	10,724,318.
b Approved for future payment				
NONE				
Total			3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STRATEGIC SOLUTIONS GLOBAL EQUITY, LLC	P	VARIOUS	06/30/16
b ALPHA PORT SEGREGATED PORTFOLIO COMPANY	P	VARIOUS	06/30/16
c CF MULTI-STRATEGY BOND INVESTORS, LLC	P	VARIOUS	06/30/16
d CONTINGENT ASSET PORTFOLIO	P	VARIOUS	06/30/16
e EQUITY MARKET NEUTRAL SEG PORT CLASS A	P	VARIOUS	06/30/16
f SSG GLOBAL HEDGED EQUITY A01	P	VARIOUS	06/30/16
g SSG DIVERSIFYING COMPANY A01	P	VARIOUS	06/30/16
h SSG RELATIVE VALUE & EVENT DRIVEN A01	P	VARIOUS	06/30/16
i CFI MULTI-STRATEGY COMMODITIES FUND	P	VARIOUS	06/30/16
j SSGA S&P GLOBAL LARGEMIDCAP NATURAL RESR	P	VARIOUS	06/30/16
k SSGA US TREASURY INFLATION PROTECTED S	P	VARIOUS	06/30/16
l PRIVATE EQUITY PARTNERS V	P	VARIOUS	06/30/16
m PRIVATE EQUITY PARTNERS VI	P	VARIOUS	06/30/16
n PRIVATE EQUITY PARTNERS VIII	P	VARIOUS	06/30/16
o INT'L PRIVATE EQUITY PARTNERS IV	P	VARIOUS	06/30/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,973,853.			1,973,853.
b 2,110,482.			2,110,482.
c 99,050.			99,050.
d		6,214.	-6,214.
e 115,286.			115,286.
f 1,323,174.			1,323,174.
g 267,412.			267,412.
h 806,255.			806,255.
i		742,727.	-742,727.
j 1,506.			1,506.
k 63,007.			63,007.
l 355,197.			355,197.
m 222,607.			222,607.
n 50,524.			50,524.
o 154,554.			154,554.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,973,853.
b			2,110,482.
c			99,050.
d			-6,214.
e			115,286.
f			1,323,174.
g			267,412.
h			806,255.
i			-742,727.
j			1,506.
k			63,007.
l			355,197.
m			222,607.
n			50,524.
o			154,554.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a INT'L PRIVATE EQUITY PARTNERS V	P	VARIOUS	06/30/16
b INT'L PRIVATE EQUITY PARTNERS VII	P	VARIOUS	06/30/16
c VENTURE PARTNERS VI	P	VARIOUS	06/30/16
d VENTURE PARTNERS VII	P	VARIOUS	06/30/16
e VENTURE PARTNERS IX	P	VARIOUS	06/30/16
f VENTURE PARTNERS X	P	VARIOUS	06/30/16
g GLOBAL DISTRESSED INVESTORS LLC 3	P	VARIOUS	06/30/16
h CSI-SSG GLOBAL PRIVATE EQUITY FUND	P	VARIOUS	06/30/16
i SECONDARY PARTNERS 2015	P	VARIOUS	06/30/16
j NATURAL RESOURCES PARTNERS VIII	P	VARIOUS	06/30/16
k NATURAL RESOURCES PARTNERS X, LP	P	VARIOUS	06/30/16
l STRATEGIC SOLUTIONS REALTY OPPORTUNITIES	P	VARIOUS	06/30/16
m SSG REALTY OPPORTUNITIES FUND 2014	P	VARIOUS	06/30/16
n NEO CAPITAL FUND	P	VARIOUS	06/30/16
o FARRALLON	P	VARIOUS	06/30/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 223,171.			223,171.
b 41,849.			41,849.
c 181,772.			181,772.
d 91,754.			91,754.
e 154,865.			154,865.
f 30,147.			30,147.
g 132,629.			132,629.
h 16,610.			16,610.
i 6,490.			6,490.
j 17,757.			17,757.
k 10,055.			10,055.
l 276,435.			276,435.
m 230,952.			230,952.
n 36,764.			36,764.
o 8,255.			8,255.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			223,171.
b			41,849.
c			181,772.
d			91,754.
e			154,865.
f			30,147.
g			132,629.
h			16,610.
i			6,490.
j			17,757.
k			10,055.
l			276,435.
m			230,952.
n			36,764.
o			8,255.

 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KAYNE ANDERSON PRIVATE INVESTORS	P	VARIOUS	06/30/16
b EARLY STAGE PARTNERS	P	VARIOUS	06/30/16
c OAKTREE III	P	VARIOUS	06/30/16
d MCM CAPITAL	P	VARIOUS	06/30/16
e KAYNE ANDERSON III	P	VARIOUS	06/30/16
f KAYNE PRIVATE INVESTORS II	P	VARIOUS	06/30/16
g EARLY STAGE PARTNERS II	P	VARIOUS	06/30/16
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,362.			1,362.
b 34,705.			34,705.
c		40,517.	-40,517.
d 9,257.			9,257.
e		164,091.	-164,091.
f		437.	-437.
g		88,084.	-88,084.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,362.
b			34,705.
c			-40,517.
d			9,257.
e			-164,091.
f			-437.
g			-88,084.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	8,005,666.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	LEGAL FEES			STATEMENT 1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS LEGAL FEES	22,129.	0.	0.	22,129.
TO FM 990-PF, PG 1, LN 16A	22,129.	0.	0.	22,129.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING/AUDIT/TAX FEES	122,893.	0.	0.	122,893.
TO FORM 990-PF, PG 1, LN 16B	122,893.	0.	0.	122,893.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	374,514.	249,676.	0.	0.
RETIREMENT PLAN FEES	1,818.	0.	0.	1,818.
PAYROLL PROCESSING FEES	5,001.	0.	0.	5,001.
TO FORM 990-PF, PG 1, LN 16C	381,333.	249,676.	0.	6,819.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	212,231.	0.	0.	0.
EMPLOYMENT TAXES	60,009.	0.	0.	60,009.
TO FORM 990-PF, PG 1, LN 18	272,240.	0.	0.	60,009.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOUNDATION DEVELOPMENT	303,614.	0.	0.	303,614.	
BANK FEES	5,236.	0.	0.	5,236.	
SUPPLIES	7,054.	0.	0.	7,054.	
TELEPHONE	14,811.	0.	0.	14,811.	
INSURANCE	19,208.	0.	0.	19,208.	
OFFICE EXPENSES	318.	0.	0.	318.	
MISCELLANEOUS EXPENSES	5,266.	0.	0.	-21,985.	
TO FORM 990-PF, PG 1, LN 23	355,507.	0.	0.	328,256.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION		AMOUNT	
CHANGE IN FMV OF TRUST HELD BY OTHERS		79,935.	
TOTAL TO FORM 990-PF, PART III, LINE 3		79,935.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK	142,520.	142,520.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	142,520.	142,520.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
COMMINGLED FUNDS - FIXED INCOME	COST	13,729,050.	13,729,050.
COMMINGLED FUNDS - COMMODITIES	COST	1,358,025.	1,358,025.
COMMINGLED FUNDS - EQUITY	COST	50,696,825.	50,696,825.
COMMINGLED FUNDS - REAL ESTATE	COST	5,395,077.	5,395,077.
COMMINGLED FUNDS - DIVERSIFYING STRATEGIES	COST	25,095,975.	25,095,975.

PRIVATE CAPITAL ASSETS	COST	48,357,258.	48,357,258.
ACCRUED INCOME	COST	0.	0.
INVESTMENTS HELD IN TRUST	COST	1,082,247.	1,082,247.
TOTAL TO FORM 990-PF, PART II, LINE 13		145,714,457.	145,714,457.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	294,530.	63,466.	231,064.
FURNITURE	230,981.	169,790.	61,191.
OFFICE EQUIPMENT	62,477.	42,818.	19,659.
COMPUTER HARDWARE	170,430.	141,707.	28,723.
COMPUTER SOFTWARE	59,399.	56,460.	2,939.
TOTAL TO FM 990-PF, PART II, LN 14	817,817.	474,241.	343,576.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEFERRED COMPENSATION DEPOSITS	61,116.	56,536.	56,536.
PREPAID TAXES	82,805.	69,504.	69,504.
TO FORM 990-PF, PART II, LINE 15	143,921.	126,040.	126,040.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
LIABILITY FOR DEFERRED COMPENSATION	61,116.	56,536.
TOTAL TO FORM 990-PF, PART II, LINE 22	61,116.	56,536.

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 12 STATEMENT 12

EXPLANATION

THE FOUNDATION TRANSFERRED ITS INTEREST IN A PERPETUAL TRUST TO THE CLEVELAND FOUNDATION, A 501(C)(3) COMMUNITY TRUST, AND TREATED THIS DISTRIBUTION AS A QUALIFYING DISTRIBUTION. THE FOUNDATION WILL BE THE ADVISOR OF THE DONOR ADVISED TRUST, BUT ULTIMATELY THE CLEVELAND FOUNDATION HAS CONTROL OVER THE DONOR ADVISED FUND. THE DISTRIBUTIONS WILL BE USED TO ACCOMPLISH A PURPOSE DESCRIBED IN SECTION 170(C)(2)(B) BECAUSE DISTRIBUTIONS RECEIVED BY THE FOUNDATION FROM THE DONOR ADVISED FUND WILL BE USED TO FURTHER ITS EXEMPT PURPOSE.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 13
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FRANCIS AFRAM-GYENING 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.
LUIS CARTAGENA 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.
DIANA CENTENO-GOMEZ 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.
JAWANZA COLVIN 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.
JOHN R. CORLETT 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	SECRETARY 1.00	0.	0.	0.
COLLEEN M. COTTER 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.
CLAUDIA J. COULTON 11327 SHAKER BOULEVARD, SUITE 600W CLEVELAND, OH 44104	TRUSTEE 1.00	0.	0.	0.

EMILY DRAKE	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
ANN FARRALL	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
EDGAR B. JACKSON, JR., M.D.	VICE CHAIR			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
ARTHUR LAVIN, M.D.	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
GEORGE MATEYO	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
LORI MCCLUNG	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
TANIA MENESSE	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
TIMOTHY MILANICH	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
ANN O'BRIEN	TREASURER			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
ASHLEY BASILE OEKEN	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
BELVA DENMARK TIBBS	CHAIR			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
DOUGLAS WANG	TRUSTEE			
11327 SHAKER BOULEVARD, SUITE 600W	1.00	0.	0.	0.
CLEVELAND, OH 44104				
ROBERT MONITELLO	CFO/ASSISTANT TREASURER THRU 9/30/16			
11327 SHAKER BOULEVARD, SUITE 600W	20.00	92,693.	0.	0.
CLEVELAND, OH 44104				

ANNE GOODMAN	PRESIDENT AND CEO			
11327 SHAKER BOULEVARD, SUITE 600W	38.00	264,782.	57,797.	0.
CLEVELAND, OH 44104				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		357,475.	57,797.	0.
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FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	14
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DESCRIPTION

THE FOUNDATION ENTERED INTO A LOAN AGREEMENT WITH FINANCE FUND CAPITAL CORPORATION, A NONPROFIT ORGANIZATION, IN 2016. UNDER THE LOAN AGREEMENT, FINANCE FUND CAPITAL CORPORATION MAY MAKE REQUESTS FOR ADVANCES OF LOAN PROCEEDS UP TO \$250,000. THE LOAN PROCEEDS ARE TO BE USED BY FINANCE FUND CAPITAL CORPORATION TO PROVIDE FLEXIBLE FINANCING TO HEALTHY FOOD PROJECTS LOCATED ANYWHERE IN CUYAHOGA COUNTY, OHIO. EACH ADVANCE OF THE LOAN WILL BEAR SIMPLE INTEREST ON THE PRINCIPAL BALANCE OUTSTANDING.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 15

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

FOR QUESTIONS, CONTACT KRISTEN SUMMERS 216-431-8010

NAME OF GRANT PROGRAM

COMMUNITY GRANTS

EMAIL ADDRESS

WWW.SAINTLUKESFOUNDATION.ORG

FORM AND CONTENT OF APPLICATIONS

ALL APPLICATIONS ARE ACCEPTED VIA ONLINE APPLICATION FOUND AT
WWW.SAINTLUKESFOUNDATION.ORG. PAPER COPIES ARE NOT ACCEPTED.

SEE ATTACHED STATEMENT 21

ANY SUBMISSION DEADLINES

APRIL 1, JULY 1, AND OCTOBER 1

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION DOES NOT FUND:

- INDIVIDUALS
- RELIGIOUS ORGANIZATIONS FOR RELIGIOUS OR EVANGELICAL PURPOSES
- PROJECTS OUTSIDE CUYAHOGA COUNTY THAT DO NOT DIRECTLY BENEFIT CUYAHOGA COUNTY RESIDENTS
- FUNDRAISING EVENTS
- ENDOWMENT FUNDS
- BIOMEDICAL RESEARCH
- DEBT RETIREMENT
- LOBBYING
- PRIVATE FOUNDATIONS OR PUBLIC CHARITIES WITH A 509(A)(3), TYPE III., NON-FUNCTIONALLY INTEGRATED DESIGNATED WITH THE IRS.

Saint Luke's Foundation of Cleveland, Ohio

34-0714513

Form 990-PF 2016

Part XV, Line 3, Grants Paid during the Year

ORGANIZATION NAME AND ADDRESS	INDIVIDUAL	FOUNDATION STATUS	PURPOSE	AMOUNT
Adoption Network Cleveland 4614 Prospect Ave., Suite 550 Cleveland, Ohio 44103	N/A	501c3	Post Adoption Services Program Support	\$60,000
Asian Services In Action (ASIA) 3631 Perkins Ave #2A-W Cleveland, Ohio 44114	N/A	501c3	Strengthening ASIA-ICHC's Health Home Model of Care	\$50,000
Beech Brook 3737 Lander Rd Pepper Pike, Ohio 44124	N/A	501c3	Prevention and Early Intervention Planning	\$20,000
Benjamin Rose Institute 11890 Fairhill Rd Cleveland, Ohio 44120	N/A	Educ/Govt	ABD Medicaid Forum	\$1,000
Bike Cleveland PO Box 609718 Cleveland, OH 44109	N/A	501c3	ciCLEvia, Operating Support	\$50,000
Boys and Girls Clubs of Cleveland 6114 Broadway Ave Cleveland, Ohio 44127	N/A	501c3	Youth Development Services Expansion at Saint Luke's Club & New Mount Pleasant Neighborhood Clubs	\$177,500
Business Volunteers Unlimited 1300 East Ninth Street, Suite 1805 Cleveland, Ohio 44114	N/A	501c3	Capacity Building Services for Nonprofits	\$90,000
Care Alliance 1530 St Clair Ave Cleveland, Ohio 44114	N/A	501c3	Care Alliance Selecting our next President & CEO, In Honor Of Francis Afram-Gyening, Operating Support	\$275,000
Case Western Reserve University Office of Foundation Relations 10900 Euclid Avenue Cleveland, Ohio 44106-7232	N/A	501c3	Partnership for Evaluation, Research, and Implementation (PERI) at Case Western Reserve University, Effective Neighboring Project Promoting Stable and Inclusive Diversity in Cleveland Neighborhoods, Planning for Evaluation of CMSD's Alliance for a Healthier Generation	\$110,000
Centers for Families and Children 4500 Euclid Avenue Cleveland, Ohio 44103	N/A	501c3	Operating Support to Continue Progress Toward Family-Focused Service Integration, The Centers Wraparound Services at Harvey Rice Elementary School	\$245,000
Charitable Ventures of Orange County 1505 E. 17th Street, Suite 101 Santa Ana, CA 92705	N/A	501c3	Standards of Quality for Family Strengthening and Support Certification Training 7/27/16 and 7/28/16	\$12,909
City Club of Cleveland 850 Euclid Ave Cleveland, Ohio 44114-3304	N/A	501c3	Resilient Families Forum Series	\$20,000
City Year 820 Prospect Ave 2nd Floor Cleveland, OH 44115	N/A	501c3	Whole School Whole Child - Harvey Rice Expansion	\$119,986
Cleveland Botanical Garden 11030 East Blvd Cleveland, OH 44106	N/A	501c3	\$160,000 for Green Corps and Vacant to Vibrant Projects and \$5,000 for signage and marketing	\$40,000
Cleveland Department of Aging 75 Erievue Plaza Room 201 Cleveland, OH 44114	N/A	Educ/Govt	Age Friendly Cleveland	\$29,750
Cleveland Department of Public Health 75 Erievue Plaza Cleveland, OH 44120	N/A	Educ/Govt	CDPH Accreditation TA Support	\$20,000
Cleveland Eastside Ex-Offender Coalition 12200 Fairhill Rd Cleveland, Ohio 44120	N/A	501c3	2016 Discretionary Funding to Expand Services	\$10,000
Cleveland Housing Network, Inc 2999 Payne Ave Suite 306 Cleveland, Ohio 44114	N/A	501c3	Reshaping the Delivery of Human Services for Low-income Families A Service Integration Project	\$150,000
Cleveland Leadership Center One Cleveland Center 1375 East 9th St #2430 Cleveland, Ohio 44114	N/A	501c3	\$64,000 outright and \$16,000 as a 1:1 match for Building & Strengthening Nonprofit Leadership in CLE	\$40,000
Cleveland Mediation Center 2012 W 25th Street, Suite 412 Cleveland, OH 44113	N/A	501c3	Strategic Plan and Board/Governance Review	\$19,500
Cleveland Metropolitan School District 1111 Superior Avenue E., Suite 1800 Cleveland, OH 44114	N/A	Educ/Govt	Converting Breakfast and Lunch to Self-Service at the High School Level Healthy Schools Initiative Program Support JFK Eagle Academy- Building a Culture of Excellence, Resilience and Recognition	\$41,400
Cleveland Neighborhood Progress, Inc 11327 Shaker Boulevard, Suite 500W Cleveland, OH 44104	N/A	501c3	Greater Buckeye Transformation and Purpose Built Initiative Community Financial Centers Data Management Transition and Program Evaluation	\$415,000
Cleveland Police Foundation 1300 Ontario Cleveland, OH 44113	N/A	501c3	Cops for Kids Community Truck, Buckeye Area Community Policing Pilot Project	\$25,000
Cleveland Public Theatre 6415 Detroit Avenue Cleveland, Ohio 44102	N/A	501c3	The Student Theatre Enrichment Program, Brick City Theatre	\$35,000

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ORGANIZATION NAME AND ADDRESS	INDIVIDUAL	FOUNDATION STATUS	PURPOSE	AMOUNT
Cleveland Rape Crisis Center 526 Superior Ave, Suite 1400 Cleveland, Ohio 44114	N/A	501c3	Serving Children and Families after Sexual Assault	\$19,630
Cleveland State University Foundation 2121 Euclid Avenue Cleveland, OH 44115	N/A	501c3	Urban Health Fellows Program (UHF), CSU-CMSD School Health Fellowship Project	\$222,538
College Now Greater Cleveland 50 Public Sq, Ste 1800 Cleveland, OH 44113	N/A	501c3	You First Initiative	\$50,000
Cuyahoga Community College Foundation 700 Carnegie Avenue Cleveland, Ohio 44115	N/A	501c3	Tri-C Health Careers Scholarships	\$75,000
Cuyahoga County Board of Health 5550 Venture Drive Cleveland, OH 44130	N/A	Educ/Govt	Safe Routes to School Coalition and HIP-C	\$100,000
Cuyahoga County Office of Early Childhood 310 W Lakeside Ave, #565 Cleveland, OH 44113	N/A	Educ/Govt	Cuyahoga County Universal Pre-Kindergarten Program Expansion	\$160,000
Cuyahoga Metro Housing Authority 8120 Kinsman Ave Cleveland, OH 44104	N/A	Educ/Govt	Police Assisted Referral Program	\$175,939
Doan Brook Watershed Partnership 12200 Fairhill Rd C-238 Cleveland, OH 44120	N/A	501c3	Individual and Neighborhood Health with Access to Doan Brook and its Ecosystems	\$45,000
Domestic Violence and Child Advocacy Center P O Box 5466 Cleveland, Ohio 44101	N/A	501c3	Operating support	\$120,000
East End Neighborhood House 2749 Woodhill Road Cleveland, Ohio 44104	N/A	501c3	Funds for Grant Writing and Implementation Services, East End anchoring collective impact for strong communities	\$157,000
EDWINS Leadership & Restaurant Institute 13101 Shaker Square Cleveland, Ohio 44120	N/A	501c3	The EDWINS Run for Re-Entry	\$10,000
Enterprise Community Partners, Inc 1360 East 9th Street, Suite 510 Cleveland, Ohio 44114	N/A	501c3	Ending the homelessness and housing insecurity for vulnerable families in Cuyahoga County	\$50,000
Esperanza, Incorporated 3104 West 25 Street, 4th Floor Cleveland, Ohio 44109	N/A	501c3	Family Engagement in Education	\$162,500
Fairhill Partners 12200 Fairhill Road Cleveland, Ohio 44120	N/A	501c3	Operating Support	\$50,000
Famicos Foundation 1325 Ansel Road Cleveland, OH 44106	N/A	501c3	Buckeye Area Fresh Camp	\$20,000
Family Connections 19824 Sussex Road Shaker Heights, Ohio 44120	N/A	501c3	SPARK (Supporting Partnerships to Assure Ready Kids), Diversity Training	\$75,823
Family Promise 3470 East 152nd Street Cleveland, Ohio 44120	N/A	501c3	Keeping the Promise Mt Pleasant Family Homeless Shelter Renovation	\$150,000
Far West Center 29133 Health Campus Drive Westlake, OH 44145	N/A	501c3	Improved Care Coordination via EHR Implementation	\$28,000
Finance Fund Capital Corporation 175 South Third Street, Suite 1200 Columbus, OH 43215	N/A	501c3	Healthy Food for Ohio (HFFO)	\$10,000
Forces4Quality Northeast Ohio The MetroHealth System 2500 MetroHealth Drive, R221 Cleveland, OH 44109	N/A	501c3	Children's Health Initiative	\$250,000
Foundation Center-Cleveland 1422 Euclid Avenue Suite 1600 Cleveland, OH 44114	N/A	501c3	Cleveland Driving Social Innovation to Strengthen the Social Sector	\$12,500
Friends of the Cleveland Public Library 325 Superior Ave Cleveland, OH 44114	N/A	501c3	Woodland Branch Family Playroom	\$60,000
FrontLine Service 1744 Payne Avenue Cleveland, Ohio 44114	N/A	501c3	Primary and Behavioral Healthcare Integration Family Coordinated Intake and Diversion	\$268,718
Girls With Sole 19885 Detroit Road, Suite 233 Rocky River, OH 44116	N/A	501c3	St Luke's Ponte Women's Wellness Series	\$3,000
Greater Cleveland Food Bank 15500 S Waterloo Rd Cleveland, OH 44110	N/A	501c3	Strategic Plan Initiatives	\$350,000

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Greater Cleveland Habitat for Humanity 2110 West 110th Street Cleveland OH 44102	N/A	501c3	Woodland Hills Phases Two and Three of the Buckeye Build Initiative	\$65,000
Harvard Community Services Center 18240 Harvard Avenue Cleveland, Ohio 44128	N/A	501c3	See You At The Top, Neighborhood Stabilization and Implementation in Southeast Neighborhoods	\$130,000
Health Policy Institute of Ohio 10 West Broad Street, Suite 1050 Columbus, Ohio 43215	N/A	501c3	Advancing the Health of All Ohioans through Informed Policy Decisions	\$150,000
HFLA of Northeast Ohio 23300 Chagrin Blvd., Suite 204 Beachwood, Ohio 44122	N/A	501c3	Mount Pleasant Strong Neighborhoods Pilot Loan Fund	\$30,000
Home Repair Resource Center 2520 Noble Road Cleveland Heights, OH 44121	N/A	501c3	Building Stronger Neighborhoods The Mt Pleasant/Woodland Hills/Buckeye Initiative - PILOT PROGRAM	\$25,000
ideastream 1375 Euclid Avenue Cleveland, OH 44115	N/A	501c3	Greater Buckeye/Mt Pleasant Early Childhood Education and Family Literacy Environmental Scan	\$15,000
ioby 540 President Street, 3rd Floor Brooklyn NY 11215	N/A	501c3	ioby in Buckeye	\$70,000
LAND studio 1939 W 25th Street, #200 Cleveland Ohio 44113	N/A	501c3	Project and Program Delivery in Buckeye and Mt Pleasant	\$217,500
Larchmere PorchFest 2631 East 128th Street Cleveland Oh 44120	N/A	501c3	Larchmere PorchFest	\$5,000
Lutheran Metropolitan Ministry 4515 Superior Avenue Cleveland, Ohio 44103	N/A	501c3	My Life, My Future Obesity Prevention Program	\$35,194
Mental Health and Addiction Advocacy Coalition 4500 Euclid Ave Cleveland Ohio 44103	N/A	501c3	General operating support	\$120,000
Nature Center at Shaker Lakes 2600 South Park Blvd Cleveland, Ohio 44120	N/A	501c3	Preparing for the Future at the Nature Center at Shaker Lakes	\$17,500
Neighborhood Family Practice 3569 Ridge Road Cleveland, Ohio 44102	N/A	501c3	Building Collaboration and Partnership for Cleveland Families, Operating Support	\$182,500
Neighborhood Housing Services of Greater Cleveland 5700 Broadway Avenue Cleveland, Ohio 44127	N/A	501c3	Operating support	\$50,000
North Coast Health 16110 Detroit Ave Lakewood, Ohio 44107	N/A	501c3	Operating Support	\$125,000
North Union Farmers Market 13111 Shaker Square, Suite 301 Cleveland, Ohio 44120	N/A	501c3	Harvest at the Square Building the Business Plan	\$18,000
Ohio IAF (Greater Cleveland Congregations) 6114 Francis Ave Cleveland OH 44127	N/A	501c3	Organizing for Parent Engagement	\$90,000
Ohio University 104 Research and Technology Center Athens OH 45701-2979	N/A	Educ/Govt	Aspiring DOctors Precollege Program	\$10,000
Open Doors Academy 1427 E 36th St Suite 4206A Cleveland, Ohio 44114	N/A	501c3	Family Advocacy and Engagement to Empower ODA Parents	\$80,000
OrganizeOhio 3500 Lorain 501A Cleveland, Ohio 44113	N/A	501c3	Support for E 130th Street Working Group	\$12,500
Passages Connecting Fathers and Sons, Inc 3631 Perkins Avenue Suite 4HE Cleveland, OH 44114	N/A	501c3	Jobs for Dads and Moms	\$100,000
Philanthropy Ohio 500 South Front Street, Suite 900 Columbus Ohio 43215-7628	N/A	501c3	2017 Philanthropy Ohio General Operating Support	\$25,000
Policy Matters Ohio 3631 Perkins Avenue, Suite 4C-East Cleveland Ohio 44114	N/A	501c3	Operating support	\$125,000
Preterm 12000 Shaker Blvd Cleveland OH 44120	N/A	501c3	In Honor of Doug Wang	\$5,000
ROBO 2400 Roxboro Rd Cleveland Hts, OH 44106	N/A	Educ/Govt	Matching gift	\$25
Rockefeller Philanthropy Advisors 6 West 48th Street, 10th Floor New York NY 10036	N/A	501c3	Fund for Shared Insight's Listen for Good Initiative, East End Neighborhood House	\$20,000

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Seeds of Literacy 3104 W 25th St., Ste 3 Cleveland OH 44109	N/A	501c3	Increasing Success at Seeds East	\$75,000
SPACES 2220 Superior Viaduct Cleveland OH 44113	N/A	501c3	The Fixers Community Dialogues	\$750
Suite 1300 Services-Neighborhood Connections 5000 Euclid Avenue Suite 310 Cleveland OH 44103	N/A	501c3	Neighbor Up Greater Buckeye - Phase II	\$115,000
The Center for Community Solutions 1501 Euclid Avenue, Ste 310 Cleveland Ohio 44115	N/A	501c3	Ohio Transformation Fund, Operating Support	\$185,000
The Children's Museum Of Cleveland 10730 Euclid Ave Cleveland, OH 44106	N/A	501c3	Building Community at the Children's Museum of Cleveland	\$5,000
The Cleveland Foundation 1422 Euclid Avenue, No 1300 Cleveland, OH 44115	N/A	501c3	Transfer of interest in perpetual trust	\$2,212,234
The Diversity Center of Northeast Ohio 3659 Green Road Cleveland, OH 44122	N/A	501c3	Building Capacity to Serve Neighborhoods at the Diversity Center of Northeast Ohio	\$9,000
The Food Trust One Penn Center, Suite 900 1617 John F Kennedy Blvd Philadelphia PA 19103	N/A	501c3	The Food Trust/Cleveland Comprehensive - Dissemination Project, Implementation of a Collaborative, Comprehensive Approach to a Healthier Cleveland/Cuyahoga County	\$270,000
The Fund for Our Economic Future 1360 E Ninth St., Suite 210 Cleveland, Ohio 44114	N/A	501c3	Operating Support	\$110,000
The Harvard Square Center 13510 Harvard Avenue Cleveland, Ohio 44105	N/A	501c3	Men Leading the Way	\$8,920
The Legal Aid Society of Cleveland 1223 West Sixth Street Cleveland, Ohio 44113	N/A	501c3	Building Resilient Families' Health and Financial Stability through Legal Aid	\$350,000
The Life Exchange Center 13407 Kinsman Ave Cleveland, OH 44128	N/A	501c3	Operating Support	\$75,000
The Meeting Place Learning Center, Inc 2636 E 115th St Cleveland, OH 44104	N/A	501c3	TMPLC Operation and Upgrade	\$18,863
Towards Employment Inc 1255 Euclid Avenue, Suite 300 Cleveland Ohio 44115	N/A	501c3	Strengthen Family Focus at Towards Employment	\$30,000
United Labor Agency, Inc 1020 Bolivar Ave Cleveland OH 44115	N/A	501c3	Northern Ohioans for Budget Legislation Equality (NOBLE)	\$10,000
University Settlement 4800 Broadway Avenue Cleveland, OH 44127	N/A	501c3	Strengthening Families Childcare & Wrap Support, Strengthening Families	\$155,670
Veggie U 184 Oberlin Road Oberlin, OH 44074	N/A	501c3	Growing Healthy Children with Veggie U Classroom Gardens in CMSD	\$19,800
West Side Catholic Center 3135 Lorain Ave Cleveland, Ohio 44113	N/A	501c3	Zacchaeus Housing Solutions	\$100,000
West Side Community House 9300 Lorain Avenue Cleveland, Ohio 44102	N/A	501c3	Wrap for Success	\$76,000
Western Reserve Land Conservancy, Thriving Communities Institute 3850 Chagrin River Road Moreland Hills Ohio 44022	N/A	501c3	Francis H. Beam Fellowship, Thriving Communities Institute- Saint Luke's Neighborhood Revitalization project phase II	\$437,168
YWCA of Greater Cleveland 4019 Prospect Ave Cleveland, Ohio 44103	N/A	501c3	YWCA's Two-Generational, Trauma-Informed Approach to Early Learning and Parent Engagement	\$55,000
Total grants paid in 2016				\$10,724,318