

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

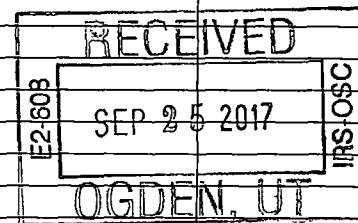
, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE PIMCO FOUNDATION</b>                                                                                                                                                                                                                                                               |                                                                                                                                                  | A Employer identification number<br><b>33-0891470</b>                                                                                                                       |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>650 NEWPORT CENTER DRIVE</b>                                                                                                                                                                                            | Room/suite                                                                                                                                       | B Telephone number<br><b>949-720-6397</b>                                                                                                                                   |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>NEWPORT BEACH, CA 92660</b>                                                                                                                                                                                                      |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                  |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1 Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                               |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 89,425,621.</b>                                                                                                                                                                                                     | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/>                                                 |

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

|                                                                                     | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                      | 8,082,111.                         |                           | N/A                     |                                                             |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                | 1,807.                             | 1,807.                    |                         | STATEMENT 1                                                 |
| 4 Dividends and interest from securities                                            | 3,090,881.                         | 3,090,881.                |                         | STATEMENT 2                                                 |
| 5a Gross rents                                                                      |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                       |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                            | <4,219,702.>                       |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                       | 111,575,523.                       |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                    |                                    | 0.                        |                         |                                                             |
| 8 Net short-term capital gain                                                       |                                    |                           |                         |                                                             |
| 9 Income modifications                                                              |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                         |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                           |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                            |                                    |                           |                         |                                                             |
| 11 Other income                                                                     |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                    | 6,955,097.                         | 3,092,688.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                               | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                 |                                    |                           |                         |                                                             |
| 16a Legal fees STMT 3                                                               | 1,598.                             | 799.                      |                         | 799.                                                        |
| b Accounting fees STMT 4                                                            | 57,625.                            | 28,813.                   |                         | 28,812.                                                     |
| c Other professional fees STMT 5                                                    | 50,115.                            | 50,115.                   |                         | 0.                                                          |
| 17 Interest                                                                         |                                    |                           |                         |                                                             |
| 18 Taxes STMT 6                                                                     | 235.                               | 0.                        |                         | 0.                                                          |
| 19 Depreciation and depletion                                                       |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                        |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                        |                                    |                           |                         |                                                             |
| 23 Other expenses STMT 7                                                            | 65,311.                            | 18,030.                   |                         | 47,281.                                                     |
| 24 Total operating and administrative expenses. Add lines 13 through 23             | 174,884.                           | 97,757.                   |                         | 76,892.                                                     |
| 25 Contributions, gifts, grants paid                                                | 4,615,192.                         |                           |                         | 4,034,492.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                            | 4,790,076.                         | 97,757.                   |                         | 4,111,384.                                                  |
| 27 Subtract line 26 from line 12:                                                   |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                 | 2,165,021.                         |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                    |                                    | 2,994,931.                |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                      |                                    |                           | N/A                     |                                                             |



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| Part II Balance Sheets      |                                                                                                                                          | Beginning of year<br>(a) Book Value | End of year    |                       |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------|-----------------------|
|                             |                                                                                                                                          |                                     | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1 Cash - non-interest-bearing                                                                                                            |                                     |                |                       |
|                             | 2 Savings and temporary cash investments                                                                                                 | 668,651.                            | 747,983.       | 747,983.              |
|                             | 3 Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                       |                                     |                |                       |
|                             | 4 Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                        |                                     |                |                       |
|                             | 5 Grants receivable                                                                                                                      |                                     |                |                       |
|                             | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                     |                                     |                |                       |
|                             | 7 Other notes and loans receivable ▶<br>Less: allowance for doubtful accounts ▶                                                          |                                     |                |                       |
|                             | 8 Inventories for sale or use                                                                                                            |                                     |                |                       |
|                             | 9 Prepaid expenses and deferred charges                                                                                                  | 20,114.                             | 21,247.        | 21,247.               |
|                             | 10a Investments - U.S. and state government obligations                                                                                  |                                     |                |                       |
|                             | b Investments - corporate stock                                                                                                          |                                     |                |                       |
|                             | c Investments - corporate bonds                                                                                                          |                                     |                |                       |
|                             | 11 Investments - land, buildings, and equipment basis ▶<br>Less accumulated depreciation ▶                                               |                                     |                |                       |
|                             | 12 Investments - mortgage loans                                                                                                          |                                     |                |                       |
|                             | 13 Investments - other STMT 9                                                                                                            | 78,243,394.                         | 88,517,285.    | 88,517,285.           |
|                             | 14 Land, buildings, and equipment: basis ▶<br>Less accumulated depreciation ▶                                                            |                                     |                |                       |
| Liabilities                 | 15 Other assets (describe ▶ <u>DIVIDEND RECEIVABLE</u> )                                                                                 | 164,040.                            | 139,106.       | 139,106.              |
|                             | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                                         | 79,096,199.                         | 89,425,621.    | 89,425,621.           |
|                             | 17 Accounts payable and accrued expenses                                                                                                 | 2,589.                              | 4,706.         |                       |
|                             | 18 Grants payable                                                                                                                        | 925,000.                            | 1,498,564.     |                       |
|                             | 19 Deferred revenue                                                                                                                      |                                     |                |                       |
|                             | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                                     |                |                       |
|                             | 21 Mortgages and other notes payable                                                                                                     |                                     |                |                       |
|                             | 22 Other liabilities (describe ▶ )                                                                                                       |                                     |                |                       |
|                             | 23 Total liabilities (add lines 17 through 22)                                                                                           | 927,589.                            | 1,503,270.     |                       |
|                             | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                     |                |                       |
| Net Assets or Fund Balances | 24 Unrestricted                                                                                                                          | 78,168,610.                         | 87,922,351.    |                       |
|                             | 25 Temporarily restricted                                                                                                                |                                     |                |                       |
|                             | 26 Permanently restricted                                                                                                                |                                     |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                                     |                |                       |
|                             | 27 Capital stock, trust principal, or current funds                                                                                      |                                     |                |                       |
|                             | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                        |                                     |                |                       |
|                             | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      |                                     |                |                       |
|                             | 30 Total net assets or fund balances                                                                                                     | 78,168,610.                         | 87,922,351.    |                       |
|                             | 31 Total liabilities and net assets/fund balances                                                                                        | 79,096,199.                         | 89,425,621.    |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 78,168,610. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | 2,165,021.  |
| 3 Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED LOSS ON INVESTMENTS</u>                                                                      | 3 | 7,588,720.  |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 87,922,351. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 87,922,351. |

Form 990-PF (2016)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a REALIZED GAINS -- SEE ATTACHED</b>                                                                                           |  | <b>P</b>                                         | <b>12/31/11</b>                      | <b>12/31/16</b>                  |
| b                                                                                                                                  |  |                                                  |                                      |                                  |
| c                                                                                                                                  |  |                                                  |                                      |                                  |
| d                                                                                                                                  |  |                                                  |                                      |                                  |
| e                                                                                                                                  |  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a 111,575,523.</b> |                                            | <b>115,795,225.</b>                             | <b>&lt;4,219,702.&gt;</b>                    |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <b>a</b>                  |                                      |                                                 | <b>&lt;4,219,702.&gt;</b>                                                                       |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                            |                           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|
| <b>2 Capital gain net income or (net capital loss)</b>                                                                                                                                 | <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;"> <b>2</b> </div> </div>              | <b>&lt;4,219,702.&gt;</b> |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b><br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 8, column (c).<br/>           If (loss), enter -0- in Part I, line 8         </div> <div style="margin-left: 10px;"> <b>3</b> </div> </div> | <b>N/A</b>                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2015                                                                 | 3,748,491.                               | 82,256,117.                                  | .045571                                                     |
| 2014                                                                 | 3,558,100.                               | 76,956,182.                                  | .046235                                                     |
| 2013                                                                 | 3,346,579.                               | 67,154,711.                                  | .049834                                                     |
| 2012                                                                 | 2,698,498.                               | 56,912,189.                                  | .047415                                                     |
| 2011                                                                 | 2,718,605.                               | 47,494,519.                                  | .057240                                                     |

|                                                                                                                                                                                                                                |          |                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------|
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                                                           | <b>2</b> | <b>.246295</b>     |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>                                          | <b>3</b> | <b>.049259</b>     |
| <b>4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5</b>                                                                                                                                          | <b>4</b> | <b>86,962,589.</b> |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                                                             | <b>5</b> | <b>4,283,690.</b>  |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                                                            | <b>6</b> | <b>29,949.</b>     |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                                                                     | <b>7</b> | <b>4,313,639.</b>  |
| <b>8 Enter qualifying distributions from Part XII, line 4</b><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | <b>8</b> | <b>4,111,384.</b>  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |   |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|----|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    | 1 | 0. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    |   |    |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    | 2 | 0. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 3 | 0. |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 4 | 0. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 5 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    |   |    |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |   |    |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                    | 6a |   |    |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |   |    |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |   |    |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |   |    |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  |   | 0. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |   |    |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |   | 0. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 |   |    |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                        | 11 |   |    |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                        |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                         |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                             |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                           |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                             | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>                                                                                                                                                                                                                                |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          |     | X  |

N/A

Form 990-PF (2016)

**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                         |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                        |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>WWW.PIMCO.COM</u>                                                                                                                                                                   | X   |    |
| 14 The books are in care of ► <u>AMBER SKALSKY</u> Telephone no. ► <u>949-720-4854</u><br>Located at ► <u>650 NEWPORT CENTER DRIVE, NEWPORT BEACH, CA</u> ZIP+4 ► <u>92660</u>                                                                                                                                                     |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                          |     |    |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A                                                                 |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                         |                                                                     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                               |                                                                     | X  |

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 10     |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
| 2     |          |
|       |          |
| 3     |          |
|       |          |
| 4     |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |

Total. Add lines 1 through 3

0.

Form 990-PF (2016)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |             |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |             |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 87,060,489. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 1,226,403.  |
| <b>c</b> | Fair market value of all other assets                                                                       | <b>1c</b> |             |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 88,286,892. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.          |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.          |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 88,286,892. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 1,324,303.  |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 86,962,589. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 4,348,129.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |            |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 4,348,129. |
| <b>2a</b> | Tax on investment income for 2016 from Part VI, line 5                                                    | <b>2a</b> |            |
| <b>b</b>  | Income tax for 2016. (This does not include the tax from Part VI.)                                        | <b>2b</b> |            |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 0.         |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 4,348,129. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | 0.         |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 4,348,129. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  | 0.         |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 4,348,129. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                   |           |            |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 4,111,384. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |            |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                              |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |            |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |            |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | <b>4</b>  | 4,111,384. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 0.         |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | <b>6</b>  | 4,111,384. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 4,348,129.  |
| <b>2</b> Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2015 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2011                                                                                                                                                                | 251,393.      |                            |             |             |
| <b>b</b> From 2012                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2013                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2014                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2015                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 251,393.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$                                                                                                            | 4,111,384.    |                            |             |             |
| <b>a</b> Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 4,111,384.  |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 236,745.      |                            |             | 236,745.    |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 14,648.       |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 14,648.       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2012                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2013                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2014                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2015                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2016                                                                                                                                                         |               |                            |             |             |

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(p)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SARAH MIDDLETON, 949-720-7690, PIMCOFOUNDATION@PIMCO.COM  
650 NEWPORT CENTER DRIVE, NEWPORT BEACH, CA 92660

- b The form in which applications should be submitted and information and materials they should include:**

SEE ATTACHED

- c Any submission deadlines:

SEE ATTACHED

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

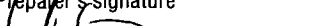
| Recipient<br>Name and address (home or business)                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------|
| <b>a Paid during the year</b>                                                     |                                                                                                                    |                                      |                                     |            |
| SEE ATTACHED                                                                      | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 4,034,492. |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
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|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
|                                                                                   |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                                                      |                                                                                                                    |                                      | ▶ 3a                                | 4,034,492. |
| <b>b Approved for future payment</b>                                              |                                                                                                                    |                                      |                                     |            |
| FIELDSTONE FOUNDATION<br>14 CORPORATE PLAZA, SUITE 100<br>NEWPORT BEACH, CA 92660 | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 10,000.    |
| LBG BEST PRACTICES RESEARCH STUDY<br>245 LONG CLOSE ROAD<br>STAMFORD, CT 06902    | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 2,500.     |
| ACT ANAHEIM<br>4041 MACARTHUR BLVD. SUITE 510<br>NEWPORT BEACH, CA 92660          | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 50,000.    |
| <b>Total</b>                                                                      |                                                                                                                    |                                      | ▶ 3b                                | 1,527,500. |

SEE CONTINUATION SHEET(S)

Form 990-PF (2016)



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |                                       |                                                                             |                                                                                                             |                       |                                                    |                          |
|---------------------------------------|-----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------|----------------------------------------------------|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>CHERYL J. SCHAFFER,<br/>C.P.A., M.S.T.</b> | Preparer's signature<br> | Date<br><b>8/1/17</b> | Check <input type="checkbox"/> if<br>self-employed | PTIN<br><b>P00066920</b> |
|                                       | Firm's name ▶ <b>WRIGHT FORD YOUNG &amp; CO, CPA'S</b>                      |                                                                                                             |                       | Firm's EIN ▶ <b>95-3288054</b>                     |                          |
|                                       | Firm's address ▶ <b>16140 SAND CANYON AVENUE<br/>IRVINE, CA 92618-3715</b>  |                                                                                                             |                       | Phone no. <b>(949) 910-2727</b>                    |                          |

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

Employer identification number

THE PIMCO FOUNDATION

33-0891470

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

**THE PIMCO FOUNDATION****33-0891470****Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                 | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|---------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>1</u>   | <u>DONOR ONE</u><br><u>C/O PIMCO 650 NEWPORT CENTER DRIVE</u><br><u>NEWPORT BEACH, CA 92600</u>   | \$ <u>2,000,000.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)  |
| <u>2</u>   | <u>DONOR TWO</u><br><u>C/O PIMCO 650 NEWPORT CENTER DRIVE</u><br><u>NEWPORT BEACH, CA 92600</u>   | \$ <u>6,060,769.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)  |
| <u>3</u>   | <u>DONOR THREE</u><br><u>C/O PIMCO 650 NEWPORT CENTER DRIVE</u><br><u>NEWPORT BEACH, CA 92600</u> | \$ <u>10,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |                                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)             |
|            |                                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)             |
|            |                                                                                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)             |

Name of organization

Employer identification number

**THE PIMCO FOUNDATION****33-0891470****Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
|------------------------------|----------------------------------------------|------------------------------------------------|----------------------|
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |

Name of organization

Employer identification number

**THE PIMCO FOUNDATION****33-0891470**

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-----------------|------------------------------------------|
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| INTEREST INCOME         | 1,807.                      | 1,807.                          |                               |
| TOTAL TO PART I, LINE 3 | 1,807.                      | 1,807.                          |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                          | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDEND AND<br>INTEREST INCOME | 3,090,881.      | 0.                            | 3,090,881.                  | 3,090,881.                        |                               |
| TO PART I, LINE 4               | 3,090,881.      | 0.                            | 3,090,881.                  | 3,090,881.                        |                               |

## FORM 990-PF LEGAL FEES STATEMENT 3

| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL                      | 1,598.                       | 799.                              |                               | 799.                          |
| TO FM 990-PF, PG 1, LN 16A | 1,598.                       | 799.                              |                               | 799.                          |

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 57,625.                      | 28,813.                           |                               | 28,812.                       |
| TO FORM 990-PF, PG 1, LN 16B | 57,625.                      | 28,813.                           |                               | 28,812.                       |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT                     | 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| OTHER                        | 50,115.                      | 50,115.                           |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 16C | 50,115.                      | 50,115.                           |                               | 0.                            |   |

| FORM 990-PF                     | TAXES                        |                                   |                               | STATEMENT                     | 6 |
|---------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                     | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| REGISTRATION AND FILING<br>FEES | 235.                         | 0.                                |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 18     | 235.                         | 0.                                |                               | 0.                            |   |

| FORM 990-PF                       | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 7 |
|-----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                       | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| INSURANCE                         | 10,843.                      | 5,422.                            |                               | 5,421.                        |   |
| COMPUTER AND SOFTWARE             | 18,334.                      | 9,167.                            |                               | 9,167.                        |   |
| COMPANY CHARITABLE<br>MEMBERSHIPS | 29,250.                      | 0.                                |                               | 29,250.                       |   |
| BANK FEES                         | 5,979.                       | 2,989.                            |                               | 2,990.                        |   |
| OFFICE SUPPLIES                   | 905.                         | 452.                              |                               | 453.                          |   |
| TO FORM 990-PF, PG 1, LN 23       | 65,311.                      | 18,030.                           |                               | 47,281.                       |   |

| FOOTNOTES |  |  |  | STATEMENT | 8 |
|-----------|--|--|--|-----------|---|
|-----------|--|--|--|-----------|---|

AS OF JANUARY 1, 2013 THIS FOUNDATION TERMINATED ITS PRIVATE FOUNDATION STATUS UNDER INTERNAL REVENUE CODE SECTION 507(B)(1)(B) AND INTENDS TO OPERATE AS A PUBLIC CHARITY AS DESCRIBED IN SECTION 509(A)(1) AND 170(B)(1)(A)(VI) OF THE CODE FOR A 60 MONTH PERIOD.

PLEASE REFER TO THE ATTACHED INTERNAL REVENUE

SERVICE APPROVAL LETTER DATED MAY 27, 2014.

BASED ON THE ABOVE MENTIONED LETTER THE PIMCO FOUNDATION WILL BE TREATED AS A PUBLIC CHARITY DESCRIBED IN SECTION 509(A)(1) AND 170(B)(1)(A)(VI) FOR A PERIOD OF 60 MONTHS BEGINNING JANUARY 1, 2013. THE LETTER ALSO STATES THAT THE PIMCO FOUNDATION IS REQUIRED TO CONTINUE FILING FORM 990-PF, RETURN OF PRIVATE FOUNDATION UNTIL THE COMPLETION OF THE 60 MONTH TERMINATION.

ACCORDING TO SECTION 301.6104(D)-1(B)(4)(II), "IN THE CASE OF A TAX-EXEMPT ORGANIZATION OTHER THAN A PRIVATE FOUNDATION, THE TERM ANNUAL INFORMATION RETURN DOES NOT INCLUDE THE NAME AND ADDRESS OF ANY CONTRIBUTOR TO THE ORGANIZATION." BECAUSE THE PIMCO FOUNDATION IS TREATED AS A PUBLIC CHARITY AND NOT AS A PRIVATE FOUNDATION, SCHEDULE B OF THE RETURN DOES NOT INCLUDE THE NAME OF ANY CONTRIBUTOR TO THE ORGANIZATION FOR PURPOSES OF THE PUBLICLY DISCLOSED ANNUAL INFORMATION RETURN. AS IS THE CASE FOR OTHER PUBLIC CHARITIES, SUCH INFORMATION WILL STILL BE FILED WITH THE INTERNAL REVENUE SERVICE UNDER SEPARATE COVER.

ALTHOUGH THE FOUNDATION IS CURRENTLY TREATED AS A PUBLIC CHARITY, THE CHOICES ON SCHEDULE B, PAGE 1 FOR FILERS OF FORM 990-PF DO NOT INCLUDE A PUBLIC CHARITY. BECAUSE THE CORRECT CHOICE IS NOT AVAILABLE, THE FOUNDATION HAS CHECKED THE BOX LABELED, FILERS OF: FORM 990-PF, SECTION: 501(C)(3) EXEMPT PRIVATE FOUNDATION.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

9

| DESCRIPTION                                        | VALUATION<br>METHOD | BOOK VALUE   | FAIR MARKET<br>VALUE |
|----------------------------------------------------|---------------------|--------------|----------------------|
| PIMCO TOTAL RETURN FUND                            | FMV                 | 13,685,624.  | 13,685,624.          |
| PIMCO GLOBAL MULTI ASSET INST                      | FMV                 | 22,583,177.  | 22,583,177.          |
| PAPS SHORT-TERM FLOATING NAV II                    | FMV                 | 1,009,630.   | 1,009,630.           |
| PIMCO INCOME INST                                  | FMV                 | 13,318,991.  | 13,318,991.          |
| PIMCO ALL ASSET INSTITUTIONAL                      | FMV                 | 9,166,607.   | 9,166,607.           |
| PIMCO UNCONSTRAINED BOND<br>INSTITUTIONAL          | FMV                 | 0.           | 0.                   |
| PIMCO INFLATION RESPONSE M-A<br>INSTITUTIONAL      | FMV                 | 4,511,724.   | 4,511,724.           |
| PIMCO DIVIDEND AND INCOME BUILDER<br>INSTITUTIONAL | FMV                 | <20,402.>    | <20,402.>            |
| PIMCO TRENDS MGD FUTURES STRAT INST                | FMV                 | 2,700,817.   | 2,700,817.           |
| PIMCO DYNAMIC CREDIT INCOME                        | FMV                 | 2,533,056.   | 2,533,056.           |
| PIMCO DIVERSIFIED INCOME FUND                      | FMV                 | 0.           | 0.                   |
| PIMCO SENIOR FLOATING RATE<br>INSTITUTIONAL        | FMV                 | 4,558,201.   | 4,558,201.           |
| PIMCO MULTI STRATEGY ALTERNATIVE                   | FMV                 | 4,589,130.   | 4,589,130.           |
| PIMCO RAE FUNDAMENTAL PLUS EMG INST                | FMV                 | 3,581,331.   | 3,581,331.           |
| PIMCO CAPITAL SEC & FINANCIAL INST                 | FMV                 | 1,852,426.   | 1,852,426.           |
| PIMCO REAL ESTATE REAL RETURN                      | FMV                 | 2,765,323.   | 2,765,323.           |
| OPTIONS                                            | FMV                 | <2,635.>     | <2,635.>             |
| FUTURES                                            | FMV                 | 50,610.      | 50,610.              |
| DERIVATIVES                                        | FMV                 | <272,395.>   | <272,395.>           |
| TSY INFL IX N/B                                    | FMV                 | 7,069,241.   | 7,069,241.           |
| UNSETTLED FUNDS LIABILITY                          | FMV                 | <6,887,004.> | <6,887,004.>         |
| CURRENCY FORWARD CONTRACTS                         | FMV                 | 147,833.     | 147,833.             |
| CASH COLLATERAL                                    | FMV                 | 1,576,000.   | 1,576,000.           |
| TOTAL TO FORM 990-PF, PART II, LINE 13             |                     | 88,517,285.  | 88,517,285.          |

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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT    10  
                                          TRUSTEES AND FOUNDATION MANAGERS

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| NAME AND ADDRESS                                                       | TITLE AND<br>AVRG HRS/WK     | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN | EXPENSE<br>CONTRIB ACCOUNT |
|------------------------------------------------------------------------|------------------------------|-------------------|----------------------|----------------------------|
| ARTHUR ONG<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660      | SECRETARY/DIRECTOR<br>2.00   | 0.                | 0.                   | 0.                         |
| JULIE MEGGERS<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660   | TREASURER / DIRECTOR<br>2.00 | 0.                | 0.                   | 0.                         |
| DOUGLAS HODGE<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660   | DIRECTOR<br>2.00             | 0.                | 0.                   | 0.                         |
| SARAH MIDDLETON<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660 | EXECUTIVE DIRECTOR<br>40.00  | 0.                | 0.                   | 0.                         |
| MANNY ROMAN<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660     | PRESIDENT/DIRECTOR<br>2.00   | 0.                | 0.                   | 0.                         |
| MIHIR WORAH<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660     | DIRECTOR<br>2.00             | 0.                | 0.                   | 0.                         |
| MARK KIESEL<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660     | DIRECTOR<br>1.00             | 0.                | 0.                   | 0.                         |
| DAN IVASCYN<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660     | DIRECTOR<br>1.00             | 0.                | 0.                   | 0.                         |
| JAY JACOBS<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660      | DIRECTOR<br>1.00             | 0.                | 0.                   | 0.                         |
| ERIC JOHNSON<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660    | DIRECTOR<br>1.00             | 0.                | 0.                   | 0.                         |
| CATHY STAHL<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH, CA 92660     | DIRECTOR<br>1.00             | 0.                | 0.                   | 0.                         |

THE PIMCO FOUNDATION

33-0891470

BETH MACLEAN  
650 NEWPORT CENTER DRIVE  
NEWPORT BEACH, CA 92660

DIRECTOR  
1.00

0. 0. 0.

CANDICE STACK  
650 NEWPORT CENTER DRIVE  
NEWPORT BEACH, CA 92660

DIRECTOR  
1.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)                                               | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount            |
|------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-------------------|
| TECHNOSERVE<br>1120 19TH ST NW #8<br>WASHINGTON, DC 20036                                      | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 1,000,000.        |
| PYXERA GLOBAL<br>1030 15TH STREET NW SUITE 730 EAST<br>WASHINGTON, DC 20005                    | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 60,000.           |
| TAPROOT FOUNDATION<br>1000 N ALAMEDA ST.<br>LOS ANGELES, CA 90012                              | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 50,000.           |
| BLUE ENGINE<br>75 BROAD STREET, SUITE 2900<br>NEW YORK, NY 10004                               | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 50,000.           |
| HENRY STREET SETTLEMENT<br>282 E 3RD ST.<br>NEW YORK, NY 10009                                 | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 30,000.           |
| NEW HEIGHTS YOUTH INC<br>50A E 118TH ST.<br>NEW YORK, NY 10035                                 | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 30,000.           |
| ORANGE COUNTY COMMUNITY HOUSING CORP<br>501 N GOLDEN CIRCLE DR. STE 200<br>SANTA ANA, CA 92705 | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 25,000.           |
| READING PARTNERS<br>180 GRAND AVE STE 800<br>OAKLAND, CA 94612                                 | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 40,000.           |
| ROOM TO GROW NATIONAL INC<br>54 W 21ST ST<br>NEW YORK, NY 10010                                | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 25,000.           |
| STUDENT SPONSOR PARTNERS<br>21 E 40TH ST # 1601<br>NEW YORK, NY 10016                          | NONE                                                                                                               | 501(C)(3)                            | GENERAL CONTRIBUTION                | 40,000.           |
| <b>Total from continuation sheets</b>                                                          |                                                                                                                    |                                      |                                     | <b>1,465,000.</b> |

## 3 Grants and Contributions Approved for Future Payment (Continuation)

823835  
04-01-16

## 2017 Request for Proposals (RFP)

### Focus Area: Education

Regardless of socio-economic status, geography or background, we believe all individuals should have equal access to education. We are motivated by Sustainable Development Goal No. 4, which strongly supports the reduction of persistent disparities in education. Through our Education focus area, we seek to support organizations that concentrate on early childhood (0-5 years) education and/or college access. When it comes to college access, we specifically seek to invest in innovative organizations that serve low-income, high-risk, first-generation college students and through college. Grant applications should devote efforts to one or more of the following:

- **Early Childhood** Programs that ensure that all children have access to quality early childhood development and pre-primary education; organizations must provide support for children ages 0-5.
- **Middle and High School** Organizations that provide academic, social and emotional support, and an ongoing continuum of care, that aim to help students prepare for, access, and graduate from a four-year university. Preparation can include tools to help students and their caregivers financially prepare for postsecondary education.
- **College/University** Organizations that enable high-achieving, low-income students to gain admission to college and support students' success through college graduation. We are highly interested in funding organizations that support students who have been traditionally under-represented in post-secondary institutions.

**Types of Support** The Foundation provides support toward direct operations of scaling education programs, pilot programs that are board-approved and well-vetted, unrestricted operating support. Unrestricted operating support can be used to underwrite administrative infrastructure and/or to maintain core programs and essential staff and support that improves the capacity and effectiveness of the organization.

**Geographic Restrictions** There are no geographic restrictions for this focus area.

**Grant Awards** Funding amounts range from \$25,000 to \$100,000 and consideration will be made for multi-year support. Our average grant size is \$40,000.

#### Please Note

1. **This program is by invitation only.** Organizations that meet the criteria for this grant program will receive an email invitation and unique link by which they can apply for funding. Unsolicited applications will not be considered.
2. Organizations seeking unrestricted operating support must demonstrate how the grant could have a measurable impact on organizational capacity and programmatic effectiveness. Unrestricted grants will only be given to organizations with which the PIMCO Foundation has a pre-existing funding relationship.
3. \*Organizations applying under our Education focus area **and** seeking multi-year support must have a pre-existing funding relationship (within the last two grant cycles) with the PIMCO Foundation. A limited number of multi-year commitments will be made on an annual basis with commitments not to exceed three years. Organizations without a pre-existing funding relationship may request support for a single calendar year.

## How to Apply

**Application Process** The request for proposal process is conducted in one phase: a single grant application. All application materials must be submitted via the online application portal, which will be made available to solicited organizations.

## Important Dates

**Window to Apply** By late March, organizations invited to submit a proposal will receive a unique URL linked to the application. Organizations will then have six weeks to submit an application. Unsolicited applications will not be accepted.

Notifications of awards will be made by August 31, 2017. Funds will be distributed in December 2017 for a 2018 program year.

## Grantee Qualifications

- Organizations must have had their 501(c)(3) status or have been fiscally sponsored for at least four years.
- Original status must be tax exempt under section 501(c)(3) of the Internal Revenue Code and not a private foundation as defined in section 519(e) of the Code.
- Audited financial statements for the most recently completed fiscal year are required if all organizations in existence for more than five years and with operating income of \$100,000 or more.
- Organizations with annual income under \$500,000 or agencies founded within the last five years must submit an independent review of their financial statements, completed by a Certified Public Accountant.
- Organizations must operate without illegal discrimination on the basis of race, religion, gender, gender orientation, sexual orientation, age, national origin or disability.

Grants will be awarded to support projects that have a clear, measurable impact on the population served. The following kinds of efforts will not be funded:

- Lobbying
- Judicials
- Consulting firms
- Purchasing services
- Debt retirement or bridge projects
- Accident or scientific research
- More than 25% of agency budget
- Fundraising campaigns
- Exclusively rely on sectarian projects
- Scholarships to individuals
- Foundations pass-through or other grant-making organizations
- Organizations that discriminate against certain groups or individuals in the delivery of programs and services on the basis of race, religion, gender, gender orientation, sexual orientation, age, national origin or disability

## Questions

Amber Skalsky, Vice President, Global Corporate Citizenship  
[amber.skalsky@pimco.com](mailto:amber.skalsky@pimco.com)

Emmanuelle Chandler, Program Coordinator, Global Corporate Citizenship  
[emmanuelle.chandler@pimco.com](mailto:emmanuelle.chandler@pimco.com)

**Newport Beach Headquarters**  
650 Newport Center Drive  
Newport Beach, CA 92660  
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Hong Kong

London

Milan

Munich

New York

Rio de Janeiro

Singapore

Sydney

Tokyo

Toronto

Zurich

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4/11

**PIMCO**

## 2017 Request for Proposals (RFP)

### Focus Area: Critical Community Needs

As a firm that believes in contributing to the communities where our employees work and live, we seek to support organizations that are addressing critical community needs in Orange County and the New York Metro Area. Consideration will be given to organizations that for-profit or deliver integrated services that encompass:

- Food Security
  - We seek to support organizations focused on ending hunger and providing individuals and families with access to healthy, nutritious meals.
- Homelessness and housing instability
  - We support proven programs that equip individuals and families at risk or currently experiencing homelessness with access to long-term, sustainable housing. This includes emergency, transitional, and rapid-rehousing programs.
- Workforce development
  - We provide funding for effective workforce development programs that offer comprehensive job training, placement and career development opportunities for individuals.

**Types of Support** The Foundation provides grants toward daily operations or sustaining of current programs, pilot programs that are Board-approved and we have no unrestricted operating support. Our unrestricted operating support can be used to underwrite administrative overhead in order to maintain core programs and essential staff, fund support that improves the capacity and effectiveness of the organization.

**Geographic Restrictions** Funding is restricted to organizations that are located in and primarily serving Orange County, California and the New York Metro Area.

**Grant Awards** Funding amounts range from \$2,500 to \$25,000. Our average grant size is \$12,000.

#### Please Note

1. We accept unsolicited proposals once a year. This call for proposals seeks applications from qualified nonprofit organizations which provide programs that fit with one of our above outlined funding priorities.
2. Organizations seeking unrestricted operating support must demonstrate how the grant could have a measurable impact on organizational and programmatic capacity, and effectiveness. Unrestricted grants will only be given to organizations with which the PIMCO Foundation has a pre-existing funding relationship.

## How to Apply

**Application Process** The request for proposal process is conducted in one phase: a single grant application. All application materials must be submitted via the online application portal, which will be made available on our webpage.

## Important Dates

**Window to Apply** In mid to late June, organizations will be able to access the application materials via the online application portal, which will be made available on the PIMCO Foundation's Giving Programs webpage at [www.pimco.com/pimcofoundation](http://www.pimco.com/pimcofoundation). Organizations will then have six weeks to submit an application. Notifications of awards will be made by November 3, 2017. Funds will be distributed in December 2017 for a 2018 program year.

## Grantee Qualifications

- Organizations must have had their 501(c)(3) status, or have been formally incorporated for at least four years.
- Organizations must be an exempt under section 501(c)(3) of the Internal Revenue Code and not a private foundation as defined in section 509 of the Code.
- Audited financial statements for the most recently completed fiscal year are required of all organizations in existence for more than five years and with operating income of \$500,000 or more.
- Organizations with annual income under \$500,000 or agencies founded within the last five years must submit a third-party review of their financial statements, conducted by a Certified Public Accountant.
- Organizations must operate without illegal discrimination on the basis of race, religion, gender, gender orientation, sexual orientation, age, national origin, or disability.

Grant funds are intended to support projects that have measurable impact on the population served. The following kinds of efforts will not be funded:

- Endowings
- End projects
- Construction costs
- Financial endowments
- Debt retirement or budget deficits
- Academic or scientific research
- More than 25% of agency budget
- Endowment campaigns
- Exclusive religious or sectarian projects
- Scholarships to individuals
- Foundations, pass through or other grant-making organizations
- Organizations that discriminate against certain groups or individuals in the delivery of programs and services on the basis of race, religion, national origin, gender, gender orientation, age, sexual orientation or disability

## Questions

Amber Skalsky, Vice President, Global Corporate Citizenship  
[amber.skalsky@pimco.com](mailto:amber.skalsky@pimco.com)

Emmanuelle Chardier, Program Coordinator, Global Corporate Citizenship  
[emmanuelle.chardier@pimco.com](mailto:emmanuelle.chardier@pimco.com)

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49-08

**PIMCO**

## 2017 Request for Proposals (RFP)

### Focus Area: Gender Equality

Women comprise 70% of the world's poorest people. Around the globe, women and girls bear a disproportionate amount of the consequences of war, violence, poverty, trafficking, and oppression of every kind. The push to improve gender equality at the global level is getting new impetus through the Sustainable Development Goals, specifically SDG No. 5, which details a goal critical for achieving gender parity. In this focus area, we aim to invest in organizations that offer:

- Programs that empower women and girls to develop their collective voice to bring about change on behalf of themselves, their families and their communities
- Organizations that aim to address women's and girls' immediate needs, while maintaining a long-term focus on advancing their overall status in society (programs should focus on formal education, legal support, access to capital and the formal economy and entrepreneurial and sexual health)
- Programs that help end discrimination and violence in all its forms, against women and girls

**Types of Support** The Foundation provides grants toward daily operations or scaling of current programs, pilot programs that are board approved and well-vetted, or restricted operating support. Unless noted otherwise, support can be used to underwrite administrative infrastructure and/or maintain core programs and essential staff and support that include the efficacy and effectiveness of the organization.

**Geographic Restrictions** There are no geographic restrictions for this focus area.

**Grant Awards** Funding amounts range from \$25,000 to \$100,000 and consideration will be made for multi-year\* support. Our average grant size is \$40,000.

#### Please Note

1. **This program is by invitation only.** Organizations that meet the criteria for this grant program will receive an email invitation and unique link through which they can apply for funding. Unsolicited applications will not be considered.
2. Organizations seeking unrestricted operating support must demonstrate how the grant could have a measurable impact on organizational capacity and programmatic effectiveness. Unrestricted grants will be given only to organizations with which the PIMCO Foundation has a pre-existing funding relationship.
3. \*Organizations applying under our Gender Equality focus area **and** seeking multi-year support must have a pre-existing funding relationship (within the last two grant cycles) with the PIMCO Foundation. A limited number of multi-year commitments will be made on an annual basis with commitment is not to exceed three years. Organizations without a pre-existing funding relationship may request support for a single calendar year.

## How to Apply

**Application Process** The request for proposal process is conducted in one phase: a single grant application. All application materials must be submitted via the online application portal, which will be made available to solicited organizations.

## Important Dates

**Window to Apply** By late March, organizations invited to submit will receive a unique URL linked to the application. Organizations will then have six weeks to submit an application. Unsolicited applications will not be accepted.

Notification of awards will be made by August 31, 2017. Funds will be distributed in December 2017 for a 2018 program year.

## Grantee Qualifications

- Organizations must have had their 501(c)(3) status or have been fiscally sponsored, for at least four years.
- Organizations must be tax exempt under section 501(c)(3) of the Internal Revenue Code and not a private foundation as defined in section 569 (1) of the Code.
- Audited financial statements for the most recently completed fiscal year are required of all organizations in existence for more than five years and with operating income of \$200,000 or more.
- Organizations with annual income under \$500,000 or agencies must within the last five years must submit an independent review of their financial statements, conducted by a Certified Public Accountant.
- Organizations must operate without illegal discrimination on the basis of race, religion, gender, gender identity or expression, sexual orientation, age, national origin, or disability.

Funds are intended to support projects that have focused, measurable impacts on the population served. The following kinds of efforts will not be funded:

- Lobbying
- Individuals
- Capital projects
- Fundraising drives
- Debt retirement or budget deficits
- Academic or scientific research
- More than 25% of agency budget
- Endowment campaigns
- Exclusively religious or sectarian projects
- Scholarships to individuals
- Outlets and pass through or other grant-making organizations
- Organizations that discriminate against certain groups of individuals in the delivery of programs and services on the basis of race, religion, gender, gender identity or expression, sexual orientation, age, national origin, or disability.

## Questions

Amber Skalsky, Vice President, Global Corporate Citizenship

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**PIMCO**

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                                 | Address                                | City, State, Zip                | Date      | Amount       |
|--------------------------------------------------------------|----------------------------------------|---------------------------------|-----------|--------------|
| The Newport Beach Library Foundation                         | 1000 Avocado Ave                       | Newport Beach CA 92660          | 1/15/2016 | \$7,500.00   |
| Access California Services                                   | 631 S Brookhurst Street Suite 107      | Anaheim CA 92804                | 1/15/2016 | \$2,500.00   |
| Age Well Seniors Services                                    | 24300 El Toro Rd Building A Suite 2000 | Laguna Woods CA 92637           | 1/15/2016 | \$1,750.00   |
| Children's Hospital of Orange County                         | 1201 West La Veta Avenue               | Orange CA 92868                 | 1/15/2016 | \$5,000.00   |
| USC Center on Philanthropy and Public Policy                 | 650 Childs Way RGL 210                 | Los Angeles CA 90089-0626       | 1/15/2016 | \$5,000.00   |
| Coro New York Leadership Center                              | 42 Broadway Suite 1827                 | New York NY 10004               | 1/15/2016 | \$75,000.00  |
| TechnoServe                                                  | 1120 19th St NW 8th Floor              | Washington DC 20036             | 1/15/2016 | \$200,000.00 |
| Impact 2030 Inc                                              | 1199 N Fairfax Street Suite 300        | Alexandria VA 22314             | 1/15/2016 | \$10,000.00  |
| St Mary And All Angels                                       | 7 Pursuit                              | Aliso Viejo CA 92656            | 1/15/2016 | \$1,800.00   |
| The Bergeson PTA                                             | 25302 Rancho Niguel Road               | Laguna Niguel CA 92677          | 1/15/2016 | \$175.00     |
| UWC-USA                                                      | P O Box 248                            | Monteruma NM 87731-0248         | 1/15/2016 | \$60.00      |
| Pups and Pals                                                | 11278 Los Alamitos Blvd #115           | Los Alamitos CA 90720           | 1/15/2016 | \$1,500.00   |
| The Pegasus School                                           | 19692 Lexington Ln                     | Huntington Beach CA 92646-3734  | 1/15/2016 | \$500.00     |
| Serra Catholic School                                        | 23652 Antonio Pkwy                     | Rancho Santa Margarita CA 92688 | 1/15/2016 | \$2,000.00   |
| The Road 2 Recovery Foundation                               | 1042 N El Camino Real Suite B-350      | Encinitas CA 92024              | 1/19/2016 | \$100.00     |
| MEDA, Inc                                                    | 288 Walnut Street Suite 130            | Newton MA 02460                 | 1/20/2016 | \$1,000.00   |
| 15-40 Connection                                             | 53 Ous Street                          | Westborough MA 01581            | 1/20/2016 | \$1,000.00   |
| Teachers College, Columbia University                        | Box 306 525 West 120th Street          | New York, NY 10027-669          | 1/20/2016 | \$300.00     |
| Columbia University                                          | 622 West 113th Street MC 4524          | New York NY 10025               | 1/20/2016 | \$1,000.00   |
| Columbia University                                          | 622 West 113th Street MC 4524          | New York NY 10025               | 1/20/2016 | \$200.00     |
| Columbia University                                          | 622 West 113th Street MC 4524          | New York NY 10025               | 1/20/2016 | \$100.00     |
| Columbia University                                          | 3451 Walnut Street Room 433            | Philadelphia, PA 19104-6205     | 1/20/2016 | \$500.00     |
| Habitat for Humanity International                           | PO Box 6439                            | Americus GA 31709-6439          | 1/20/2016 | \$100.00     |
| National Park Foundation                                     | 1110 Vermont Ave NW, Suite 200         | Washington DC 20005             | 1/20/2016 | \$100.00     |
| Raise the Children International                             | 112 High Street                        | Hampton NH 03842                | 1/21/2016 | \$335.00     |
| Companions in Grace Foundation                               | 9 Canvon Rm                            | Newport Coast CA 92657          | 1/21/2016 | \$2,000.00   |
| Innovators in Health                                         | 1770 Massachusetts Avenue No 237       | Cambridge MA 02140              | 1/21/2016 | \$0.00       |
| The UCLA Foundation                                          | 10920 Wilshire Blvd Suite 1400         | Los Angeles CA 90024-6516       | 1/21/2016 | \$100.00     |
| University of Chicago Booth School of Business               | 401 North Michigan Avenue Suite 900    | Chicago IL 60611                | 1/21/2016 | \$125.00     |
| Convent of The Sacred Heart                                  | 1177 King St                           | Greenwich CT 06831              | 1/21/2016 | \$1,000.00   |
| Newport Heights Elementary Foundation                        | 300 E 15th Street                      | Newport Beach CA 92663          | 1/21/2016 | \$100.00     |
| University School                                            | 2785 SOM Center Rd                     | Hunting Valley OH 44022         | 1/21/2016 | \$125.00     |
| Community Initiatives for Visiting Immigrants in Confinement | PO Box 40677                           | San Francisco CA 94140          | 1/21/2016 | \$150.00     |
| Covington Catholic High School                               | 1600 Drive Highway                     | Park Hills KY 41011             | 1/21/2016 | \$1,000.00   |
| University of Notre Dame                                     | 1100 Grace Hall                        | Notre Dame IN 46556             | 1/21/2016 | \$250.00     |
| St Jude Children's Research Hospital Inc                     | 501 St Jude Place                      | Memphis TN 38105                | 1/21/2016 | \$2,000.00   |
| WBGO Jazz 88.3                                               | 54 Park Place                          | Newark NJ 07102                 | 2/5/2016  | \$100.00     |
| Ravina Festival Association                                  | 418 Sherdan Rd                         | Highland Park IL 60035          | 2/5/2016  | \$2,000.00   |
| College of the Holy Cross                                    | 1 College St                           | Worcester MA 01610              | 2/5/2016  | \$50.00      |
| Trinity School                                               | 139 West 91st Street                   | 139 West 91st Street            | 2/5/2016  | \$100.00     |
| St Mark's School                                             | 25 Marlboro Road                       | Southborough MA 01772           | 2/5/2016  | \$100.00     |
| College of the Holy Cross                                    | 1 College St                           | Worcester MA 01610              | 2/5/2016  | \$250.00     |
| International Rescue Committee                               | PO Box 6068                            | Albert Lea MN 56007-9847        | 2/8/2016  | \$2,000.00   |
| CareerVillage Inc                                            | 1003 Clark Way                         | Palo Alto CA 94304              | 2/8/2016  | \$5,600.00   |
| Ethical Culture Fieldston School                             | P O Box 28552                          | New York NY 10087               | 2/8/2016  | \$500.00     |
| New York Shakespeare Festival                                | 425 Lafayette Street                   | New York NY 10003               | 2/8/2016  | \$1,000.00   |
| Dartmouth College                                            | 6066 Development Office                | Hanover NH 03755-4400           | 2/8/2016  | \$2,000.00   |
| First Generation College Bound                               | 8101 Sandy Spring Rd, Suite 230        | Laurel MD 20707                 | 2/8/2016  | \$125.00     |
| Leukemia and Lymphoma Society                                | 6033 W Century Blvd Suite 300          | Los Angeles CA 90045            | 2/8/2016  | \$50.00      |
| Journey Christian Church                                     | 4849 Alton Parkway                     | Irvine CA 92604                 | 2/8/2016  | \$2,000.00   |
| New York Common Pantry                                       | 8 East 109th Street                    | New York NY 10029               | 2/8/2016  | \$500.00     |
| The Friends of HSLAPS                                        | 485 Madison Avenue - 15th Floor        | New York NY 10022               | 2/8/2016  | \$125.00     |
| AIDS Services Foundation Orange County                       | 17982 Sky Park Circle Suite J          | Irvine CA 92614                 | 2/8/2016  | \$1,000.00   |
| Amor Mission International                                   | 1664 Precision Park Lane               | San Diego CA 92173              | 2/8/2016  | \$2,000.00   |
| Orange County School of the Arts                             | 1010 N Main Street                     | Santa Ana CA 92701              | 2/5/2016  | \$2,000.00   |
| Clergy And Laity United For Economic Justice-Los Angeles     | 13252 Garden Grove Blvd Suite 204      | Garden Grove CA 92843           | 2/5/2016  | \$750.00     |
| Boys & Girls Club Of The Harbor Area                         | 2131 Tustin Ave                        | Costa Mesa CA 92627             | 2/5/2016  | \$500.00     |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/5/2016  | \$1,271.64   |
| Fayette MO R-III School District                             | 510 North Cleveland St                 | Fayette MO 65248                | 2/12/2016 | \$2,000.00   |
| The World is Just a Book Away                                | 911 Montana Ave, Suite C               | Santa Monica CA 90403           | 2/12/2016 | \$250.00     |
| Humanitarian Experience Inc                                  | 470 N University Ave Suite 201         | Provo UT 84601                  | 2/12/2016 | \$0.00       |
| IIRL Inc                                                     | 3255 Wilshire Blvd Suite 1688          | Los Angeles CA 90010            | 2/12/2016 | \$1,000.00   |
| Fondazione Italia                                            | 10940 Wilshire Blvd Suite 600          | Los Angeles CA 90024            | 2/12/2016 | \$1,890.00   |
| Special Olympics New Jersey                                  | 1 Eunice Kennedy Shriver Way           | Lawrenceville NJ 08648          | 2/12/2016 | \$100.00     |
| Special Olympics New Jersey                                  | 1 Eunice Kennedy Shriver Way           | Lawrenceville NJ 08648          | 2/12/2016 | \$100.00     |
| Stanford University                                          | P O Box 20466                          | Stanford CA 94309-0466          | 2/12/2016 | \$500.00     |
| Harbor Day Endowment Corp                                    | 3443 Pacific View Drive                | Corona del Mar CA 92625         | 2/12/2016 | \$1,000.00   |
| Wounded Warrior Project Inc                                  | PO Box 758517                          | Topeka KS 66675                 | 2/12/2016 | \$50.00      |
| The Alzheimer's Association - Vermont Chapter                | 300 Cornerstone Dr                     | Williston VT 05495              | 2/12/2016 | \$0.00       |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| THINK Together                                               | 2101 E Fourth St Bldg B Second Floor   | Santa Ana CA 92705              | 2/17/2016 | \$50.00      |
| Memorial Sloan Kettering Cancer Center                       | 1275 York Avenue                       | New York NY 10065               | 2/17/2016 | \$100.00     |
| Memorial Sloan Kettering Cancer Center                       | 1275 York Avenue                       | New York NY 10065               | 2/17/2016 | \$100.00     |
| Go and Do Likewise                                           | P O Box 8236                           | Fountain Valley CA 92728-8236   | 2/17/2016 | \$2,000.00   |
| Trustees of Princeton University                             | P O Box 5357                           | Princeton NJ 08543-5292         | 2/17/2016 | \$107.09     |
| Camp Cocker Rescue                                           | 14320 Ventura Blvd Ste 236             | Sherman Oaks CA 91423           | 2/17/2016 | \$100.00     |
| Leukemia and Lymphoma Society                                | 1311 Mamaroneck Avenue Suite 310       | White Plains NY 10605           | 2/17/2016 | \$50.00      |
| Hearing Health Foundation                                    | 363 Seventh Avenue 10th Floor          | New York NY 10001-3904          | 2/17/2016 | \$1,000.00   |
| Read Ahead Inc                                               | 50 Broad Street Suite 1720             | New York NY 10004               | 2/17/2016 | \$1,000.00   |
| HomeAid America Inc                                          | 20411 SW Birch Street Suite #300       | Newport Beach CA 92660          | 2/17/2016 | \$2,500.00   |
| Illumination Foundation                                      | 2691 Ruchter Ave Suite 107             | Irvine CA 92606                 | 2/12/2016 | \$15,000.00  |
| JDRF                                                         | 2 Corporate Park Suite 106             | Irvine CA 92606                 | 2/12/2016 | \$2,500.00   |
| ACCP                                                         | 1150 Hungryneck Blvd #C344             | Mount Pleasant SC 29464         | 2/12/2016 | \$5,000.00   |
| Taproot Foundation                                           | 1000 N Alameda St Suite 320            | Los Angeles CA 90012            | 2/12/2016 | \$10,000.00  |
| Pet Rescue Center                                            | 25800 Jeronimo Rd Suite 100            | Mission Viejo CA 92691          | 2/27/2016 | \$1,000.00   |
| Illumination Foundation                                      | 2691 Ruchter Ave Suite 107             | Irvine CA 92606                 | 2/17/2016 | \$2,500.00   |

**GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**  
**PIMCO Foundation (FEIN 33-0891470)**  
**12/31/2016**

[illegible]

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                                   | Address                              | City, State, Zip                 | Date      | Amount      |
|----------------------------------------------------------------|--------------------------------------|----------------------------------|-----------|-------------|
| Ele's Place Inc                                                | 1145 W Oakland Ave                   | Lansing, MI 48915                | 3/23/2016 | \$500.00    |
| Mottino Family YMCA                                            | 3708 Ruffin Road                     | San Diego CA 92123               | 3/23/2016 | \$50.00     |
| TechnoServe                                                    | 1120 19th St NW 8th Floor            | Washington DC 20036              | 3/23/2016 | \$5,000.00  |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Read Ahead Inc                                                 | 50 Broad Street Suite 1720           | New York, NY 10004               | 3/23/2016 | \$100.00    |
| Muse/ique                                                      | 300 East Green Street 3rd Floor      | Pasadena, CA 91101               | 3/23/2016 | \$2,000.00  |
| Pet Rescue Center                                              | 25800 Jeronimo Rd Suite 100          | Mission Viejo CA 92691           | 3/23/2016 | \$50.00     |
| Amor Mission International                                     | P O Box 436094                       | San Diego CA 92143               | 3/23/2016 | \$2,000.00  |
| PS 452 PTA                                                     | 100 W 77th St 2nd Fl Rm 206          | New York, NY 10024               | 3/23/2016 | \$2,000.00  |
| Special Olympics Kentucky                                      | 105 Lakeview Court                   | Frankfort KY 40601               | 3/23/2016 | \$50.00     |
| Serra Catholic School                                          | 23652 Antonio Pkwy                   | Rancho Santa Margarita, CA 92688 | 3/23/2016 | \$2,000.00  |
| UNC Kenan-Flagler Business School                              | CB# 3440                             | Chapel Hill NC 27599             | 3/24/2016 | \$500.00    |
| Careers Through Culinary Arts                                  | 505 Eighth Ave Ste 1400              | New York, NY 10018               | 3/24/2016 | \$100.00    |
| College of the Holy Cross                                      | 1 College St                         | Worcester, MA 01610              | 3/24/2016 | \$50.00     |
| Special Olympics Connecticut                                   | 2666 State St - Ste 1                | Hamden, CT 06517                 | 3/24/2016 | \$55.00     |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$50.00     |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$100.00    |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$500.00    |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$500.00    |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$60.00     |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$50.00     |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$100.00    |
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| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$100.00    |
| Memorial Sloan Kettering Cancer Center                         | 1275 York Avenue                     | New York, NY 10065               | 4/4/2016  | \$100.00    |
| Translational Genomics Research Institute                      | 445 N 5th St                         | Phoenix, AZ 85004                | 4/4/2016  | \$100.00    |
| Ramona Convent Secondary School                                | 1701 W Ramona Rd                     | Alhambra, CA 91803               | 4/4/2016  | \$150.00    |
| NYC Mission Society                                            | 646 Malcolm X Blvd                   | New York, NY 10037               | 4/4/2016  | \$2,000.00  |
| Room to Grow National Inc                                      | 54 West 21st Street #401             | New York, NY 10010               | 4/4/2016  | \$3,000.00  |
| Vocational Visions                                             | 26041 Pala                           | Mission Viejo, CA 92691          | 4/4/2016  | \$500.00    |
| CASA of Orange County                                          | 1505 E 17th Street Suite 214         | Santa Ana, CA 92705              | 4/4/2016  | \$5,000.00  |
| Helpusadopt.org                                                | P O Box 787                          | New York, NY 10150               | 4/4/2016  | \$1,500.00  |
| Virtual Enterprises International Inc                          | 122 Amsterdam Avenue Room 251        | New York, NY 10023               | 4/4/2016  | \$2,500.00  |
| Humanitarian Experience Inc                                    | 470 N University Ave Ste 201         | Provo UT 84601                   | 3/24/2016 | \$1,350.00  |
| University of Chicago Booth School of Business                 | 401 North Michigan Avenue            | Chicago, IL 60611                | 4/8/2016  | \$750.00    |
| AIDS Services Foundation Orange County                         | 17982 Sky Park Circle Suite J        | Irvine, CA 92614                 | 4/8/2016  | \$100.00    |
| AIDS Services Foundation Orange County                         | 17982 Sky Park Circle Suite J        | Irvine, CA 92614                 | 4/8/2016  | \$500.00    |
| San Ramon Valley High School Academic Boosters                 | 501 Danville Blvd                    | Danville, CA 94526               | 4/8/2016  | \$0.00      |
| Joyce-Gillespie-Harrington Educational & Charitable Foundation | 11654 Plaza America Drive #290       | Reston, VA 20190                 | 4/8/2016  | \$1,000.00  |
| Carolyn Dorfman Dance Company                                  | 2780 Morris Avenue Suite 1-A         | Union, NJ 07083                  | 4/8/2016  | \$2,000.00  |
| Larkspur Community Foundation                                  | PO Box 43                            | Larkspur, CA 94977               | 4/8/2016  | \$1,000.00  |
| The College Of William & Mary Foundation                       | P O Box 8795                         | Williamsburg, VA 23187-8795      | 4/8/2016  | \$100.00    |
| Weekday School                                                 | 490 Riverside Drive                  | New York, NY 10027               | 4/8/2016  | \$500.00    |
| Hannah's Hope for Grant Axonal Neuropathy, Inc                 | 111 Washington Ave                   | Albany, NY 12210                 | 4/8/2016  | \$750.00    |
| College of the Holy Cross                                      | 1 College St                         | Worcester, MA 01610              | 4/8/2016  | \$50.00     |
| Oakland Catholic High School                                   | 144 N Craig St                       | Pittsburgh, PA 15213             | 4/8/2016  | \$100.00    |
| Liberty Humane Society                                         | PO Box 3766                          | Jersey City, NJ 07303            | 4/8/2016  | \$200.00    |
| Liberty Humane Society                                         | PO Box 3766                          | Jersey City, NJ 07303            | 4/8/2016  | \$1,000.00  |
| The Pegasus School                                             | 19692 Levington Ln                   | Huntington Beach, CA 92646       | 4/18/2016 | \$1,000.00  |
| Washington University                                          | Campus Box 1210 1 Brookings Drive    | St Louis, MO 63130               | 4/8/2016  | \$1,000.00  |
| Lycee International Los Angeles                                | 1105 W Riverside Drive               | Burbank, CA 91506                | 4/8/2016  | \$600.00    |
| Tustin Memorial Academy PTO                                    | 12712 Browning Avenue                | Santa Ana, CA 92782              | 4/8/2016  | \$1,400.00  |
| American Cancer Society                                        | 250 Williams Street NW               | Atlanta, GA 30303-1002           | 4/8/2016  | \$50.00     |
| Memorial Sloan Kettering Cancer Center                         | 633 Third Avenue 28th Floor          | New York, NY 10017               | 4/8/2016  | \$180.00    |
| Memorial Sloan Kettering Cancer Center                         | 633 Third Avenue 28th Floor          | New York, NY 10017               | 4/8/2016  | \$100.00    |
| Memorial Sloan Kettering Cancer Center                         | 633 Third Avenue 28th Floor          | New York, NY 10017               | 4/8/2016  | \$65.00     |
| Philharmonic Society of Orange County                          | 2082 Business Center Drive Suite 100 | Irvine, CA 92612                 | 4/8/2016  | \$600.00    |
| Long Beach Opera                                               | 507 Pacific Avenue                   | Long Beach, CA 90802             | 4/8/2016  | \$500.00    |
| Mcdowell PSG                                                   | 29028 Aloma Avenue                   | Laguna Niguel, CA 92677          | 4/8/2016  | \$160.00    |
| Hearing Health Foundation                                      | 363 Seventh Avenue 10th Floor        | New York, NY 10001-3904          | 4/8/2016  | \$250.00    |
| Memorial Sloan Kettering Cancer Center                         | 633 Third Avenue 28th Floor          | New York, NY 10017               | 4/8/2016  | \$100.00    |
| Phoenix Parks Foundation                                       | 2700 N 15th Ave                      | Phoenix, AZ 85007                | 4/8/2016  | \$2,000.00  |
| Special Olympics Southern California                           | 1600 Forbes Way Suite 200            | Long Beach, CA 90810             | 4/8/2016  | \$5,000.00  |
| The Center OC                                                  | 1605 N Spurgeon Street               | Santa Ana, CA 92701              | 4/8/2016  | \$1,500.00  |
| Orange County United Way                                       | 18012 Mitchell South                 | Irvine, CA 92614                 | 4/11/2016 | \$2,500.00  |
| Save Our Youth                                                 | 661 Hamilton Street                  | Costa Mesa, CA 92627             | 4/11/2016 | \$250.00    |
| Women Helping Women                                            | 1800 E McFadden Ave #1A              | Santa Ana, CA 92705              | 4/11/2016 | \$2,500.00  |
| The HOPE Program                                               | One Smith Street 4th Floor           | Brooklyn, NY 11201               | 4/11/2016 | \$2,500.00  |
| Accion International                                           | 10 Fawcett Street Suite 204          | Cambridge, MA 02138              | 4/11/2016 | \$2,500.00  |
| MOMS Orange County                                             | 1128 W Santa Ana Blvd                | Santa Ana, CA 92703              | 4/11/2016 | \$2,000.00  |
| The New York Women's Foundation                                | 1325 Sixth Avenue 27th Floor         | New York, NY 10019               | 4/15/2016 | \$2,500.00  |
| OC Human Relations                                             | 1300 S Grand Ave Bldg B              | Santa Ana, CA 92705              | 4/15/2016 | \$3,500.00  |
| Taproot Foundation                                             | 1700 Broadway Suite 300              | Oakland, CA 94612                | 4/15/2016 | \$10,000.00 |
| OneOC                                                          | 1901 E 4th St Suite 100              | Santa Ana, CA 92705              | 4/15/2016 | \$15,000.00 |
| Big Brothers Big Sisters                                       | 333 Route 46 West Suite 205          | Mountain Lakes, NJ 07046         | 4/15/2016 | \$52.00     |
| National MS Society                                            | 733 Third Avenue                     | New York, NY 10017               | 4/15/2016 | \$0.00      |
| Samartians Inc                                                 | 41 West St 4th Floor                 | Boston, MA 02111                 | 4/15/2016 | \$50.00     |
| Read Ahead                                                     | 50 Broad Street Suite 1720           | New York, NY 10004               | 4/18/2016 | \$100.00    |
| Make-A-Wish Greater Bay Area                                   | 55 Hawthorne Street Suite 800        | San Francisco, CA 94105          | 4/18/2016 | \$750.00    |
| Mako Foundation                                                | P O Box 74982                        | San Clemente, CA 92673           | 4/18/2016 | \$50.00     |
| Seton Hall University                                          | 457 Centre Street                    | South Orange, NJ 07079           | 4/18/2016 | \$2,000.00  |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                             | Address                                         | City, State, Zip           | Date      | Amount      |
|----------------------------------------------------------|-------------------------------------------------|----------------------------|-----------|-------------|
| The Pegasus School                                       | 19692 Lexington Ln                              | Huntington Beach CA 92646  | 4/18/2016 | \$2 000 00  |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/8/2016  | \$50 00     |
| Segerstrom Center for the Arts                           | 600 Town Center Drive                           | Costa Mesa, CA 92626       | 4/27/2016 | \$1 000 00  |
| Bridges Outreach Inc                                     | PO Box 1444                                     | Summit NJ 07902-1444       | 4/27/2016 | \$2 500 00  |
| Boys and Girls Club of Laguna Beach                      | 1085 Laguna Canyon Road                         | Laguna Beach CA 92651      | 4/27/2016 | \$1 000 00  |
| PADRE Foundation                                         | 1201 W La Veta Ave                              | Orange CA 92868            | 4/27/2016 | \$100 00    |
| American Red Cross                                       | 601 N Golden Circle Drive                       | Santa Ana, CA 92705        | 4/28/2016 | \$1 000 00  |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 4/28/2016 | \$15 000 00 |
| Unleashed                                                | 121 Avenue of the Americas, 6th Floor           | New York, NY 10013         | 4/28/2016 | \$1 500 00  |
| Environmental Nature Center                              | 1601 E 16th Street                              | Newport Beach CA 92663     | 4/28/2016 | \$2 500 00  |
| Girls Write Now                                          | 247 West 37th Street Suite 1800                 | New York NY 10018          | 4/28/2016 | \$2 500 00  |
| Boys Hope Girls Hope NY                                  | LaSalle Hall 367 Clermont Ave                   | Brooklyn NY 11238          | 4/28/2016 | \$2,500 00  |
| DOROT                                                    | 171 West 85th Street                            | New York NY 10024          | 4/29/2016 | \$2 500 00  |
| Community Action Partnership of Orange County            | 11870 Monarch Street                            | Garden Grove CA 92841      | 4/29/2016 | \$2 500 00  |
| Build Futures                                            | 19036 Stonehurst Lane                           | Huntington Beach, CA 92648 | 4/29/2016 | \$1,500 00  |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$100 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$100 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York, NY 10017         | 4/29/2016 | \$50 00     |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$250 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$100 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$50 00     |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$50 00     |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$50 00     |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$100 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$100 00    |
| Memorial Sloan Kettering Cancer Center                   | 633 Third Avenue 28th Floor                     | New York NY 10017          | 4/29/2016 | \$50 00     |
| National MS Society - Southern New York Chapter          | 733 Third Ave Third Floor                       | New York NY 10017          | 4/29/2016 | \$50 00     |
| The Hampton Synagogue                                    | 154 Sunset Avenue                               | Westhampton Beach NY 11978 | 4/29/2016 | \$2 000 00  |
| Stanford Men's Soccer                                    | 610 Mayfield                                    | Stanford CA 94305          | 4/29/2016 | \$0 00      |
| Washington University                                    | 7425 Forsyth Blvd Campus Box 1082               | Clayton MO 63105           | 4/29/2016 | \$0 00      |
| Northfield Mount Hermon                                  | One Lamplighter Way                             | Mount Hermon MA 01354      | 4/29/2016 | \$100 00    |
| The ALS Association                                      | 1275 K Street NW - Suite 250                    | Washington, DC 20005       | 4/29/2016 | \$100 00    |
| Chapman University                                       | 1 University Drive                              | Orange CA 92866            | 4/29/2016 | \$1 250 00  |
| March of Dimes Foundation                                | 1275 Mamaroneck Avenue                          | White Plains NY 10605      | 4/29/2016 | \$100 00    |
| March of Dimes Foundation                                | 1275 Mamaroneck Avenue                          | White Plains NY 10605      | 4/29/2016 | \$50 00     |
| March of Dimes Foundation                                | 1275 Mamaroneck Avenue                          | White Plains NY 10605      | 4/29/2016 | \$50 00     |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$50 00     |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$100 00    |
| Bach Beethoven Brahms Society Orchestra                  | 26 Davis Ave - Suite 3B                         | Brookline MA 02445         | 4/29/2016 | \$500 00    |
| Snug Harbor Cultural Center and Botanical Garden         | 1000 Richmond Terrace                           | Staten Island, NY 10301    | 4/29/2016 | \$1 000 00  |
| KidWorks                                                 | 1902 W Chestnut Ave                             | Santa Ana CA 92703         | 4/29/2016 | \$699 00    |
| Stop Hunger Now                                          | 110 Central Ave Suite 190                       | Keam, NJ 07302             | 4/29/2016 | \$3 094 08  |
| Covenant House                                           | 460 West 41st Street                            | New York NY 10036          | 4/29/2016 | \$2,500 00  |
| Pure Game                                                | 1505 E 17th Street #211                         | Santa Ana, CA 92705        | 4/29/2016 | \$2 000 00  |
| To Be a Champion                                         | 415 Euclid Avenue                               | San Diego CA 92114         | 4/25/2016 | \$2 000 00  |
| Boston College Trustees                                  | 140 Commonwealth Avenue                         | Chestnut Hill MA 02467     | 4/29/2016 | \$100 00    |
| Serving Kids Hope                                        | 2100 W Alton Ave #2                             | Santa Ana, CA 92704        | 4/29/2016 | \$100 00    |
| Serving Kids Hope                                        | 2100 W Alton Ave #2                             | Santa Ana, CA 92704        | 4/29/2016 | \$60 00     |
| Columbia University                                      | 622 West 113th Street MC 4524                   | New York NY 10025          | 4/29/2016 | \$250 00    |
| GMHC                                                     | 446 West 33rd Street                            | New York NY 10029          | 4/29/2016 | \$50 00     |
| Irvine Public Schools Foundation                         | 18552 MacArthur Blvd Suite 200                  | Irvine CA 92612            | 4/29/2016 | \$0 00      |
| Servite High School                                      | 1952 W La Palma Avenue                          | Anaheim, CA 92801          | 4/29/2016 | \$1 000 00  |
| Easton Learning Foundation                               | P O Box 437                                     | Easton CT 06612            | 4/29/2016 | \$125 00    |
| The Leukemia & Lymphoma Society - Rocky Mountain Chapter | 720 S Colorado Boulevard Suite 500-S            | Denver CO 80246            | 5/2/2016  | \$1 000 00  |
| The Leukemia & Lymphoma Society - Rocky Mountain Chapter | 720 S Colorado Boulevard Suite 500-S            | Denver CO 80246            | 5/2/2016  | \$50 00     |
| Boot Campaign                                            | 3603 Old Jacksonville Road                      | Tyler TX 75701             | 5/2/2016  | \$50 00     |
| Westchester Golf Association Caddie Scholarship Fund Inc | 49 Knollwood Rd                                 | Elmsford NY 10523-2813     | 5/2/2016  | \$600 00    |
| Laguna Niguel Family YMCA                                | 25302 Rancho Niguel Road                        | Laguna Niguel CA 92677     | 5/2/2016  | \$100 00    |
| Friends of MIP at Bergeson Elementary                    | 25302 Rancho Niguel Road                        | Laguna Niguel CA 92677     | 5/2/2016  | \$1 000 00  |
| Support My Club                                          | 4340 E Indian School Road #21-578               | Phoenix AZ 85018           | 5/2/2016  | \$80 00     |
| Read Ahead Inc                                           | 50 Broad Street, Suite 1720                     | New York NY 10004          | 5/2/2016  | \$100 00    |
| Illumination Foundation                                  | 2691 Ruchier Ave Suite 107                      | Irvine CA 92606            | 5/2/2016  | \$500 00    |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$50 00     |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine, CA 92614           | 5/12/2016 | \$75 00     |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$50 00     |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$50 00     |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine, CA 92614           | 5/12/2016 | \$500 00    |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$100 00    |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$75 00     |
| AIDS Services Foundation Orange County                   | 17982 Sky Park Circle Suite J                   | Irvine CA 92614            | 5/12/2016 | \$2 000 00  |
| BAPS Charities Inc                                       | 81 Suttons Lane                                 | Piscataway NJ 08854        | 5/12/2016 | \$50 00     |
| CSULB 49er Foundation                                    | 6300 E State University Dr #332                 | Pomona Beach CA 90815      | 5/12/2016 | \$150 00    |
| Cookies For Kids Cancer                                  | 31 Hoffmans Crossing Rd                         | Califon NJ 07830           | 5/12/2016 | \$100 00    |
| Drexel University                                        | 3141 Chestnut Street Main Building Suite 310    | Philadelphia, PA 19104     | 5/12/2016 | \$125 00    |
| National MS Society                                      | 733 Third Ave Third Floor                       | New York NY 10017          | 5/12/2016 | \$100 00    |
| National MS Society                                      | 733 Third Ave Third Floor                       | New York NY 10017          | 5/12/2016 | \$50 00     |
| National MS Society                                      | 733 Third Ave Third Floor                       | New York NY 10017          | 5/12/2016 | \$100 00    |
| National MS Society                                      | 733 Third Ave Third Floor                       | New York NY 10017          | 5/12/2016 | \$55 55     |
| National MS Society                                      | 733 Third Ave Third Floor                       | New York NY 10017          | 5/12/2016 | \$50 00     |
| National MS Society NJ Metro Chapter                     | Aspen Corporate Park 1 1480 U S Highway 9 North | Woodbridge NJ 07095        | 5/12/2016 | \$50 00     |
| National MS Society NJ Metro Chapter                     | Aspen Corporate Park 1 1480 U S Highway 9 North | Woodbridge NJ 07095        | 5/12/2016 | \$200 00    |
| National MS Society NJ Metro Chapter                     | Aspen Corporate Park 1 1480 U S Highway 9 North | Woodbridge NJ 07095        | 5/12/2016 | \$100 00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                                  | Address                                           | City, State, Zip          | Date      | Amount      |
|---------------------------------------------------------------|---------------------------------------------------|---------------------------|-----------|-------------|
| National MS Society NJ Metro Chapter                          | Aspen Corporate Park I, 1480 U.S. Highway 9 North | Woodbridge NJ 07095       | 5/12/2016 | \$50.00     |
| The Giving Back Fund                                          | 6033 West Century Blvd Suite 350                  | Los Angeles CA 90045      | 5/12/2016 | \$15,000.00 |
| Sanctuary for Families                                        | PO Box 1406 Wall Street Station                   | New York, NY 10268        | 5/12/2016 | \$1,000.00  |
| PYXERA Global                                                 | 1030 15th Street NW Suite 730 East                | Washington DC 20005       | 5/12/2016 | \$42,000.00 |
| South Boston Neighborhood House                               | 136 H Street                                      | South Boston MA 02127     | 5/12/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                        | 633 Third Avenue, 28th Floor                      | New York, NY 10017        | 5/12/2016 | \$75.00     |
| The UCLA Foundation                                           | 10920 Wilshire Blvd Suite 1400                    | Los Angeles CA 90024      | 5/12/2016 | \$1,250.00  |
| University of Minnesota Foundation                            | 200 Oak Street SE Suite 500                       | Minneapolis MN 55455      | 5/12/2016 | \$250.00    |
| National MS Society                                           | 733 Third Ave Third Floor                         | New York NY 10017         | 5/12/2016 | \$100.00    |
| Cal Alumni Association                                        | 1 Alumni House                                    | Berkeley CA 94720         | 5/12/2016 | \$250.00    |
| Project Bread                                                 | 145 Border Street                                 | East Boston MA 02128-1903 | 5/12/2016 | \$100.00    |
| American Cancer Society                                       | 132 W 32nd St                                     | New York, New York 10001  | 5/12/2016 | \$650.00    |
| Patterson Little League                                       | 64 Bear Hill Rd                                   | Patterson NY 12563        | 5/12/2016 | \$1,000.00  |
| DYC Annadnam Project                                          | 47585 Wabana Common                               | Fremont CA 94539          | 5/12/2016 | \$400.00    |
| Women in Need Inc                                             | 115 West 31st Street, 7th Floor                   | New York, NY 10001        | 5/12/2016 | \$1,000.00  |
| Fixer Children's Foundation                                   | 519 8th Avenue Floor 24                           | New York NY 10018         | 5/12/2016 | \$1,000.00  |
| QSAC                                                          | 253 West 35th Street 14th Floor                   | New York NY 10001         | 5/12/2016 | \$5,000.00  |
| The Partnership for the Homeless                              | 305 Seventh Avenue, 14th Floor                    | New York NY 10001         | 5/12/2016 | \$2,500.00  |
| Shatterproof                                                  | 101 Merrill 7 Corporate Park First Floor          | Norwalk CT 06851          | 5/5/2016  | \$1,000.00  |
| International Center of Photography                           | 1114 Ave of the Americas                          | New York NY 10036         | 5/16/2016 | \$250.00    |
| UC Berkeley Foundation                                        | 2080 Addison Street                               | Berkeley, CA 94720        | 5/16/2016 | \$100.00    |
| Big Brothers Big Sisters of Orange County & The Inland Empire | 1801 E Edinger Suite 101                          | Santa Ana, CA 92705       | 5/16/2016 | \$2,000.00  |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$100.00    |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$100.00    |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$50.00     |
| The Leukemia & Lymphoma Society - Orange County Chapter       | 765 The City Drive South Suite 450                | Orange, CA 92868          | 5/16/2016 | \$100.00    |
| The Leukemia & Lymphoma Society - Rocky Mountain Chapter      | 720 S Colorado Blvd Suite 500-S                   | Denver CO 80246           | 5/16/2016 | \$50.00     |
| Allie's Helping Hands                                         | P O Box 7474                                      | Laguna Niguel CA 92607    | 5/16/2016 | \$50.00     |
| The Ganley Foundation                                         | 6930 Long Leaf Drive                              | Parkland FL 33076         | 5/16/2016 | \$150.00    |
| Overseas China Education Foundation                           | P O Box 772436                                    | Houston TX 77215-2436     | 5/16/2016 | \$500.00    |
| California Institute of Technology                            | 1200 E California Blvd Millikan Library #405      | Pasadena CA 91125         | 5/16/2016 | \$1,000.00  |
| Angel Heart International                                     | PO Box 17486                                      | Irvine CA 92623-7486      | 5/16/2016 | \$500.00    |
| ACT Today                                                     | 21600 Ornard Street Suite 1800                    | Woodland Hills CA 91367   | 5/16/2016 | \$200.00    |
| Expect Miracles Foundation                                    | 6 Quail Run                                       | Hingham MA 02043          | 5/16/2016 | \$50.00     |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$50.00     |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$100.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$100.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$150.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$50.00     |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$100.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$100.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$100.00    |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$50.00     |
| Boot Campaign                                                 | 3603 Old Jacksonville Road                        | Tyler TX 75701            | 5/16/2016 | \$250.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$50.00     |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$50.00     |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$55.00     |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$100.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$100.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$100.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$200.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$50.00     |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$100.00    |
| AIDS Services Foundation Orange County                        | 17982 Sky Park Circle Suite J                     | Irvine CA 92614           | 5/17/2016 | \$100.00    |
| March of Dimes Foundation                                     | 1275 Mamaroneck Avenue                            | White Plains NY 10605     | 5/17/2016 | \$100.00    |
| March of Dimes Foundation                                     | 1275 Mamaroneck Avenue                            | White Plains NY 10605     | 5/17/2016 | \$50.00     |
| March of Dimes Foundation                                     | 1275 Mamaroneck Avenue                            | White Plains NY 10605     | 5/17/2016 | \$100.00    |
| March of Dimes Foundation                                     | 1275 Mamaroneck Avenue                            | White Plains NY 10605     | 5/17/2016 | \$50.00     |
| Cuyahoga Valley Christian Academy                             | 4687 Wyoga Lake Road                              | Cuyahoga Falls OH 44224   | 5/17/2016 | \$2,000.00  |
| St. Man's College                                             | 133 LeMans Hall                                   | Notre Dame IN 46556       | 5/17/2016 | \$100.00    |
| World Vision                                                  | PO Box 9716                                       | Federal Way WA 98063-9716 | 5/17/2016 | \$500.00    |
| Alliance For Excellence                                       | P O Box 479                                       | Lake Bluff IL 60044       | 5/17/2016 | \$250.00    |
| Voices in Motion                                              | 1441 Broadway Suite 3025                          | New York NY 10018         | 5/17/2016 | \$100.00    |
| 211 Orange County                                             | P O Box 10473                                     | Santa Ana, CA 92711       | 5/17/2016 | \$500.00    |
| CT Challenge                                                  | 250 Pequod Avenue                                 | Southport, CT 06890       | 5/17/2016 | \$50.00     |
| Annual Catholic Appeal                                        | 238 Jewett Ave                                    | Bridgeport CT 06606       | 5/17/2016 | \$250.00    |
| Long Beach Education Foundation                               | 1515 Hughes Way                                   | Long Beach CA 90810       | 5/17/2016 | \$300.00    |
| Ramona Convent Secondary School                               | 1701 W Ramona Rd                                  | Alhambra CA 91803         | 5/17/2016 | \$50.00     |
| Ramona Convent Secondary School                               | 1701 W Ramona Rd                                  | Alhambra CA 91803         | 5/17/2016 | \$200.00    |
| Pace University                                               | PO Box 419268                                     | Boston MA 02241-9268      | 5/17/2016 | \$500.00    |
| Ruigers University Foundation                                 | 120 Albany Street, Tower 1 Suite 201              | New Brunswick NJ 08901    | 5/17/2016 | \$250.00    |
| Appalachian State University Foundation                       | ASU Box 32176                                     | Boone NC 28608-2014       | 5/17/2016 | \$100.00    |
| UNC-Chapel Hill                                               | P O Box 309                                       | Chapel Hill NC 27514-0309 | 5/17/2016 | \$100.00    |
| North Carolina State University                               | Campus Box 7474                                   | Raleigh NC 27695-7474     | 5/18/2016 | \$100.00    |
| Greenwich Hospital Foundation                                 | 35 River Road                                     | Cos Cob CT 06807          | 5/18/2016 | \$75.00     |
| One Mission                                                   | 1881 Worcester Rd Suite 204                       | Framingham MA 01701       | 5/18/2016 | \$52.50     |
| Alliance for Lupus Research                                   | 28 West 44th Street, Ste 501                      | New York, NY 10036        | 5/18/2016 | \$1,000.00  |
| St. Baldrick's Foundation                                     | 1333 South Mayflower Avenue Suite 400             | Monrovia, CA 91016        | 5/18/2016 | \$50.00     |
| Huntington's Disease Society of America                       | 505 Eighth Avenue Suite 902                       | New York, NY 10018        | 5/18/2016 | \$107.00    |
| Huntington's Disease Society of America                       | 505 Eighth Avenue Suite 902                       | New York, NY 10018        | 5/18/2016 | \$53.50     |
| Trustees of the University of Pennsylvania                    | 3451 Walnut Street Room 433                       | Philadelphia PA 19104     | 5/18/2016 | \$1,000.00  |
| National MS Society NJ Metro Chapter                          | Aspen Corporate Park I 1480 U.S. Highway 9 North  | Woodbridge NJ 07095       | 5/18/2016 | \$50.00     |
| DYC Annadnam Project                                          | 47585 Wabana Common                               | Fremont CA 94539          | 5/18/2016 | \$400.00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                            | Address                                          | City, State, Zip                 | Date      | Amount      |
|---------------------------------------------------------|--------------------------------------------------|----------------------------------|-----------|-------------|
| St. Mary's School                                       | 7 Pursuit                                        | Aliso Viejo CA 92656             | 5/18/2016 | \$1,500.00  |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$75.00     |
| Safe Horizon                                            | 2 Lafayette Street, 3rd Floor                    | New York NY 10007                | 5/18/2016 | \$50.00     |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$50.00     |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$50.00     |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$50.00     |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$50.00     |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 5/18/2016 | \$50.00     |
| Orange County Community Foundation                      | 4041 MacArthur Blvd, Suite 510                   | Newport Beach CA 92660           | 5/18/2016 | \$50,000.00 |
| Jessie Rees Foundation                                  | PO Box 80667                                     | Rancho Santa Margarita, CA 92688 | 5/18/2016 | \$5,000.00  |
| Orange County Association for Mental Health             | 822 Town & Country Road                          | Orange CA 92868                  | 5/18/2016 | \$500.00    |
| Make-A-Wish                                             | 3230 El Camino Real Suite 100                    | Irvine, CA 92602                 | 5/18/2016 | \$1,000.00  |
| Chapman University                                      | One University Drive                             | Orange CA 92866                  | 5/18/2016 | \$2,500.00  |
| YMCA of Orange County                                   | 13821 Newport Ave Suite 200                      | Tustin CA 92780                  | 5/18/2016 | \$500.00    |
| American Cancer Society                                 | PO Box 22478                                     | Oklahoma City, OK 73123          | 6/1/2016  | \$200.00    |
| Trinity College                                         | 300 Summit Street                                | Hartford CT 06106                | 5/18/2016 | \$100.00    |
| Aliso Viejo Girls Softball                              | 26895 Aliso Creek Rd Ste B #446                  | Aliso Viejo CA 92656             | 5/18/2016 | \$200.00    |
| University of Southern California                       | ADM 160 MC 4017                                  | Los Angeles CA 90089-4017        | 5/18/2016 | \$1,500.00  |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$50.00     |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$50.00     |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$50.00     |
| Kennel Education Foundation                             | 360 E 72nd Street #C-3405                        | New York NY 10021                | 5/18/2016 | \$1,200.00  |
| The University of Chicago Law School                    | 1111 East 60th Street                            | Chicago IL 60637                 | 5/18/2016 | \$250.00    |
| Orange County Rescue Mission                            | 1 Hope Drive                                     | Tustin CA 92782                  | 5/18/2016 | \$200.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 5/18/2016 | \$100.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614                  | 5/17/2016 | \$50.00     |
| Melanoma Research Foundation                            | 1411 K Street NW Suite 800                       | Washington DC 20005              | 5/18/2016 | \$180.00    |
| Wabash College                                          | 301 W Wabash Ave                                 | Crawfordsville IN 47933          | 5/18/2016 | \$500.00    |
| GiveDirectly                                            | P.O. Box 3221                                    | New York NY 10008                | 5/18/2016 | \$339.10    |
| The University of Cincinnati Foundation                 | PO Box 19970                                     | Cincinnati OH 45219              | 5/18/2016 | \$1,500.00  |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle, Suite J                   | Irvine, CA 92614                 | 5/17/2016 | \$80.00     |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614                  | 5/17/2016 | \$2,000.00  |
| The Friends of Kenny Warren Foundation                  | 109 Brookside Drive                              | Smithtown NY 11787               | 5/18/2016 | \$250.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine, CA 92614                 | 5/17/2016 | \$100.00    |
| OneOC                                                   | 1901 E 4th St Suite 100                          | Santa Ana, CA 92705              | 6/13/2016 | \$5,000.00  |
| Shatterproof                                            | 101 Merritt 7 Corporate Park First Floor         | Norwalk CT 06851                 | 6/13/2016 | \$2,000.00  |
| Per Scholas                                             | 804 East 138th Street, 2nd Floor                 | Bronx NY 10454                   | 6/13/2016 | \$25,000.00 |
| Children and Families Commission of Orange County       | 1505 East 17th Street Suite 230                  | Santa Ana, CA 92705              | 6/13/2016 | \$5,000.00  |
| Angelman Syndrome Foundation                            | 75 Executive Drive Suite 327                     | Aurora IL 60504                  | 6/13/2016 | \$100.00    |
| President & Trustees of Colby College                   | 4335 Mayflower Hill                              | Waterville ME 04901              | 6/13/2016 | \$1,000.00  |
| National MS Society NJ Metro Chapter                    | Aspen Corporate Park 1 1480 U.S. Highway 9 North | Woodbridge NJ 07095              | 6/13/2016 | \$250.00    |
| National MS Society NJ Metro Chapter                    | Aspen Corporate Park 1 1480 U.S. Highway 9 North | Woodbridge NJ 07095              | 6/13/2016 | \$50.00     |
| National MS Society NJ Metro Chapter                    | Aspen Corporate Park 1 1480 U.S. Highway 9 North | Woodbridge NJ 07095              | 6/13/2016 | \$775.00    |
| National MS Society NJ Metro Chapter                    | Aspen Corporate Park 1 1480 U.S. Highway 9 North | Woodbridge NJ 07095              | 6/13/2016 | \$75.00     |
| CASA of Orange County                                   | 1505 East 17th Street Suite 230                  | Santa Ana, CA 92705              | 6/14/2016 | \$2,000.00  |
| Dakshini Bengali Association of California              | P.O. Box 14385                                   | Torrance, CA 90503               | 6/14/2016 | \$501.00    |
| The Leukemia & Lymphoma Society - Orange County Chapter | 765 The City Drive South Suite 450               | Orange CA 92868                  | 6/14/2016 | \$50.00     |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/15/2016 | \$200.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/15/2016 | \$500.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/15/2016 | \$236.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/15/2016 | \$100.00    |
| Binghamton University Foundation                        | PO Box 6005                                      | Binghamton NY 13902              | 6/14/2016 | \$250.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$50.00     |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$50.00     |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$50.00     |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$500.00    |
| Ramona Convent Secondary School                         | 1701 W Ramona Rd                                 | Alhambra CA 91803                | 6/14/2016 | \$1,000.00  |
| Safe Horizon                                            | 2 Lafayette Street 3rd Floor                     | New York NY 10007                | 6/14/2016 | \$50.00     |
| Give Hope Fight Poverty                                 | 2436 N Alabama Street                            | Indianapolis IN 46205            | 6/14/2016 | \$300.00    |
| Quail Hill Swim Team                                    | 122 Retreat                                      | Irvine CA 92603                  | 6/14/2016 | \$250.00    |
| NAMI Connecticut                                        | 576 Farmington Avenue                            | Hartford CT 06105                | 6/14/2016 | \$250.00    |
| American Cancer Society                                 | 250 Williams Street NW                           | Atlanta, GA 30303-1002           | 6/14/2016 | \$100.00    |
| American Cancer Society                                 | 250 Williams Street NW                           | Atlanta, GA 30303-1002           | 6/14/2016 | \$100.00    |
| American Cancer Society                                 | 250 Williams Street NW                           | Atlanta, GA 30303-1002           | 6/14/2016 | \$100.00    |
| American Cancer Society                                 | 250 Williams Street NW                           | Atlanta, GA 30303-1002           | 6/14/2016 | \$100.00    |
| Community Roots Academy                                 | 23431 Knollwood                                  | Aliso Viejo CA 92656             | 6/14/2016 | \$1,500.00  |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                  | 633 Third Avenue 28th Floor                      | New York NY 10017                | 6/14/2016 | \$2,000.00  |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/14/2016 | \$700.00    |
| AIDS Services Foundation Orange County                  | 17982 Sky Park Circle Suite J                    | Irvine CA 92614-6482             | 6/14/2016 | \$2,000.00  |
| Institute for Justice                                   | 901 N Glebe Road Suite 900                       | Arlington VA 22203               | 6/14/2016 | \$1,000.00  |
| Cato Institute                                          | 1000 Massachusetts Ave NW                        | Washington DC 20001-5403         | 6/14/2016 | \$500.00    |
| Cato Institute                                          | 1000 Massachusetts Ave NW                        | Washington DC 20001-5403         | 6/14/2016 | \$100.00    |
| Nikhil Badlani Foundation                               | 22 Florence Place                                | West Orange NJ 07052             | 6/15/2016 | \$500.00    |
| West Side Montessori School                             | 309 West 92 Street                               | New York NY 10025                | 6/15/2016 | \$2,000.00  |
| USC Norris Comprehensive Cancer Center                  | 1441 Eastlake Avenue                             | Los Angeles CA 90033             | 6/15/2016 | \$2,000.00  |
| Women in Need Inc                                       | 115 West 31st Street 7th Floor                   | New York NY 10001                | 6/15/2016 | \$50.00     |
| Women in Need Inc                                       | 115 West 31st Street 7th Floor                   | New York NY 10001                | 6/15/2016 | \$50.00     |
| Women in Need Inc                                       | 115 West 31st Street 7th Floor                   | New York NY 10001                | 6/15/2016 | \$50.00     |
| Friends of MIP                                          | 25302 Rancho Niguel Road                         | Laguna Niguel CA 92677           | 6/15/2016 | \$200.00    |
| diRosa Preserve                                         | 5200 Sonoma Highway                              | Napa CA 94559                    | 6/15/2016 | \$2,000.00  |
| Illumination Foundation                                 | 2691 Richter Ave Suite 107                       | Irvine CA 92606                  | 6/15/2016 | \$800.00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                          | Address                                  | City, State, Zip           | Date      | Amount       |
|-------------------------------------------------------|------------------------------------------|----------------------------|-----------|--------------|
| AIDS Services Foundation Orange County                | 17982 Sky Park Circle, Suite J           | Irvine CA 92614-6482       | 6/15/2016 | \$150.00     |
| Festival of Arts of Laguna Beach                      | 650 Laguna Canyon Road                   | Laguna Beach CA 92651      | 6/16/2016 | \$7,000.00   |
| Grandma's House of Hope                               | 1505 E 17th Street Suite 116             | Santa Ana CA 92705         | 6/16/2016 | \$2,500.00   |
| Free Wheelchair Mission                               | 15279 Alton Parkway Suite 300            | Irvine CA 92618            | 6/16/2016 | \$1,000.00   |
| Trustees of the University of Pennsylvania            | 3451 Walnut Street Room 433              | Philadelphia, PA 19104     | 6/16/2016 | \$500.00     |
| Cornell University                                    | 130 E. Seneca Street                     | Ithaca, NY 14850           | 6/16/2016 | \$500.00     |
| Southern California Grantmakers                       | 1000 N. Alameda Street Suite 230         | Los Angeles CA 90012       | 6/16/2016 | \$10,000.00  |
| Chamunade Development Fund                            | 340 Jackson Avenue                       | Mineola, NY 11501-2441     | 6/16/2016 | \$900.00     |
| Harvard University                                    | 124 Mount Auburn Street                  | Cambridge, MA 02138        | 6/16/2016 | \$900.00     |
| Columbia University                                   | 622 West 113th Street MC 4524            | New York, NY 10025         | 6/16/2016 | \$200.00     |
| Boston College Trustees                               | 140 Commonwealth Avenue                  | Chestnut Hill, MA 02467    | 6/16/2016 | \$2,000.00   |
| Boston College Trustees                               | 140 Commonwealth Avenue                  | Chestnut Hill, MA 02467    | 6/16/2016 | \$1,500.00   |
| Massachusetts Institute of Technology                 | 600 Memorial Drive W98-200               | Cambridge, MA 02139        | 6/16/2016 | \$1,250.00   |
| Alpha Phi Alpha IRA Dorsey Scholarship Endowment Fund | PO Box 523167                            | Springfield, VA 22152      | 6/16/2016 | \$500.00     |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Huntington's Disease Society of America               | 505 Eight Avenue Suite 902               | New York, NY 10018         | 7/6/2016  | \$50.00      |
| Stop Hunger Now                                       | 1513 East McFadden Ave                   | Santa Ana CA 92705-4307    | 7/25/2016 | \$2,944.08   |
| TechnoServe                                           | 1120 19th St NW 8th Floor                | Washington DC 20036        | 7/6/2016  | \$100,000.00 |
| Newport Mesa Church                                   | 2599 Newport Blvd                        | Costa Mesa CA 92627        | 7/6/2016  | \$150.00     |
| Congregation Anshei Shalom                            | 472 Hempstead Avenue                     | West Hempstead NY 11552    | 7/6/2016  | \$0.00       |
| Trustees of Princeton University                      | PO Box 5357                              | Princeton NJ 08543-5292    | 7/7/2016  | \$250.00     |
| Parents of P.S. 334 Inc                               | P.O. Box 467                             | New York, NY 10024         | 7/7/2016  | \$1,300.00   |
| The UCLA Foundation                                   | 10920 Wilshire Blvd. Suite 1100          | Los Angeles CA 90095-1603  | 7/7/2016  | \$50.00      |
| American Cancer Society                               | 250 Williams Street NW                   | Atlanta, GA 30303-1002     | 7/7/2016  | \$500.00     |
| Carolina Poodle Rescue                                | 6705 Union Highway                       | Pacolet SC 29372           | 7/7/2016  | \$100.00     |
| Indiana University Foundation                         | 1500 N. State Road 46 Bypass             | Bloomington, IN 47408      | 7/7/2016  | \$300.00     |
| University of New Hampshire Foundation                | 9 Edgewood Rd                            | Durham, NH 03824           | 7/7/2016  | \$100.00     |
| The Heritage Foundation                               | 214 Massachusetts Avenue NE              | Washington DC 20002        | 7/7/2016  | \$0.00       |
| RMIQ Foundation                                       | 30262 Crown Valley Pkwy., B500           | Laguna Niguel CA 92677     | 7/7/2016  | \$300.00     |
| Camp Cocker Rescue                                    | 14320 Ventura Blvd Ste 236               | Sherman Oaks CA 91423      | 7/7/2016  | \$100.00     |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$108.00     |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$50.00      |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$50.00      |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$50.00      |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$200.00     |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$50.00      |
| Boot Campaign                                         | 3603 Old Jacksonville Road               | Tyler TX 75701             | 7/7/2016  | \$100.00     |
| John Wayne Cancer Foundation                          | 210 62nd Street                          | Newport Beach CA 92663     | 7/7/2016  | \$75.00      |
| John Wayne Cancer Foundation                          | 210 62nd Street                          | Newport Beach CA 92663     | 7/7/2016  | \$100.00     |
| John Wayne Cancer Foundation                          | 210 62nd Street                          | Newport Beach CA 92663     | 7/7/2016  | \$50.00      |
| PowerMyLearning                                       | 520 Eighth Avenue 10th Floor             | New York, NY 10018         | 7/7/2016  | \$2,000.00   |
| United States Naval Academy Foundation                | 291 Wood Road Beach Hall                 | Annapolis MD 21402         | 7/7/2016  | \$750.00     |
| Mercy Ships                                           | PO Box 2020                              | Garden Valley, TX 75771    | 7/7/2016  | \$500.00     |
| DYC Annadnam Project                                  | 47585 Wabana Common                      | Fremont, CA 94539          | 7/7/2016  | \$300.00     |
| Torrance First Presbyterian Church                    | 1880 Crenshaw Blvd                       | Torrance CA 90501          | 7/7/2016  | \$50.00      |
| The Epilepsy Foundation of Greater LA                 | 5777 W Century Blvd Ste 820              | Los Angeles CA 90045       | 7/7/2016  | \$250.00     |
| Colette's Children's Home                             | 7372 Prince Drive Suite 106              | Huntington Beach, CA 92647 | 7/7/2016  | \$900.00     |
| Asha for Education                                    | PO Box 398080                            | San Francisco CA 94139     | 7/7/2016  | \$906.53     |
| Serra Catholic School                                 | 23652 Antonio Pkwy                       | Santa Margarita CA 92688   | 7/7/2016  | \$500.00     |
| Safe Horizon                                          | 2 Lafayette Street, 3rd Floor            | New York, NY 10007         | 7/7/2016  | \$100.00     |
| Orange County Rescue Mission                          | 1 Hope Drive                             | Tustin CA 92782            | 7/7/2016  | \$50.00      |
| Shatterproof                                          | 101 Merritt 7 Corporate Park First Floor | Norwalk, CT 06851          | 7/7/2016  | \$50.00      |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue, 28th Floor             | New York, NY 10017         | 7/7/2016  | \$50.00      |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue, 28th Floor             | New York, NY 10017         | 7/7/2016  | \$100.00     |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue, 28th Floor             | New York, NY 10017         | 7/7/2016  | \$50.00      |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue, 28th Floor             | New York, NY 10017         | 7/7/2016  | \$250.00     |
| Green Beret Foundation                                | 14402 Blanco Rd Suite 101                | San Antonio TX 78216       | 7/7/2016  | \$150.00     |
| New York Shakespeare Festival                         | 425 Lafayette Street                     | New York, NY 10003         | 7/7/2016  | \$200.00     |
| Our Lady of Fatima Parish School                      | 105 North La Esperanza                   | San Clemente CA 92672      | 7/7/2016  | \$2,000.00   |
| American Cancer Society                               | 250 Williams Street NW                   | Atlanta, GA 30303-1002     | 7/7/2016  | \$100.00     |
| American Cancer Society                               | 250 Williams Street NW                   | Atlanta, GA 30303-1002     | 7/7/2016  | \$50.00      |
| Operation Underground Railroad Inc                    | 700 N Valley St Suite B                  | Anaheim, CA 92801          | 7/8/2016  | \$2,500.00   |
| Girls Inc                                             | 150 Paulanno D-290                       | Costa Mesa, CA 92626       | 7/8/2016  | \$1,000.00   |
| South Bronx United                                    | 594 Grand Concourse Suite #2             | Bronx, NY 10451            | 7/18/2016 | \$1,000.00   |
| Henry Street Settlement                               | 265 Henry Street                         | New York, NY 10002         | 7/18/2016 | \$1,000.00   |
| Women in Need Inc                                     | 25 Junius Street Playground              | Brooklyn, NY 11212         | 7/18/2016 | \$1,000.00   |
| Family Services of Westchester                        | 47 Purdy Ave                             | Port Chester, NY 10573     | 7/18/2016 | \$1,000.00   |
| Sheltering Arms                                       | 305 7th Ave, Suite #2                    | New York, NY 10001         | 7/18/2016 | \$1,000.00   |
| Kingsbridge Heights Community Center                  | 3101 Kingsbridge Terrace                 | Bronx, NY 10463            | 7/18/2016 | \$1,000.00   |
| SAGE                                                  | 208 W 13th Street                        | New York, NY 10011         | 7/18/2016 | \$1,000.00   |
| Habitat For Humanity                                  | 274 South Salem St Suite 100             | Randolph, NJ 07869         | 7/18/2016 | \$1,000.00   |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                           | Address                                        | City, State, Zip           | Date      | Amount      |
|--------------------------------------------------------|------------------------------------------------|----------------------------|-----------|-------------|
| DOROT                                                  | 171 W 85th St                                  | New York, NY 10024         | 7/18/2016 | \$1 000 00  |
| Network for Teaching Entrepreneurship                  | 120 Wall St 18th Floor                         | New York, NY 10005         | 7/18/2016 | \$1 000 00  |
| SuitUP                                                 | 22 Amsterdam Ave                               | New York, NY 10023         | 7/18/2016 | \$1 000 00  |
| Services for the Underserved                           | 3361 Third Ave                                 | Bronx, NY 10456            | 7/18/2016 | \$1 000 00  |
| New Heights Youth                                      | 50 A E 118th                                   | New York, NY 10035         | 7/18/2016 | \$1 000 00  |
| South Bronx Educational Foundation                     | Rosedale Center for Girls 1724 E 174th St      | Bronx, NY 10472            |           | \$0 00      |
| QSAC                                                   | 253 W 35th St 14th Floor                       | New York, NY 10001         | 7/18/2016 | \$1 000 00  |
| Bridges Outreach                                       | 120 Morris Ave                                 | Summit, NJ 07901           | 7/18/2016 | \$1 000 00  |
| Harlem Grown                                           | 118 W 134th St                                 | New York, NY 10030         | 7/18/2016 | \$1,000 00  |
| CT Challenge                                           | Fairfield Country Hunt Club 174 Long Lots Road | Westport, CT 06880         | 7/18/2016 | \$1 000 00  |
| Huntington's Disease Society of America                | 505 Eight Avenue Suite 902                     | New York, NY 10018         | 7/19/2016 | \$100 00    |
| The Seeing Eye                                         | 10 Washington Valley Rd                        | Morristown, NJ 07960       | 7/19/2016 | \$250 00    |
| New York Bulls Crew                                    | 1457 Montauk Hwy                               | Patchogue, NY 11772        | 7/19/2016 | \$250 00    |
| Kent Place School                                      | 42 Norwood Avenue                              | Summit, NJ 07901           | 7/19/2016 | \$1 500 00  |
| The Center Orlando                                     | 946 N Mills Avenue                             | Orlando, FL 32803          | 7/19/2016 | \$355 00    |
| The Center Orlando                                     | 946 N Mills Avenue                             | Orlando, FL 32803          | 7/19/2016 | \$50 00     |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/19/2016 | \$50 00     |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/19/2016 | \$72 00     |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/19/2016 | \$50 00     |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/19/2016 | \$50 00     |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/19/2016 | \$531 00    |
| Lesbian and Gay Community Services Center              | 208 West 13th Street                           | New York, NY 10011         | 7/19/2016 | \$50 00     |
| Points of Light                                        | 600 Means Street Suite 210                     | Atlanta, GA 30318          | 7/19/2016 | \$9 500 00  |
| Taproot Foundation                                     | 1700 Broadway Suite 300                        | Oakland, CA 94612          | 7/19/2016 | \$10,000 00 |
| Taproot Foundation                                     | 1700 Broadway Suite 300                        | Oakland, CA 94612          | 7/19/2016 | \$10 000 00 |
| South Bronx Educational Foundation                     | Rosedale Center for Girls 1724 E 174th St      | Bronx, NY 10472            | 7/18/2016 | \$1 000 00  |
| Blue Streak Aquatic                                    | 629 Central Avenue                             | New Providence, NJ 07974   | 7/19/2016 | \$750 00    |
| Summit Area YMCA                                       | 490 Morris Avenue                              | Summit, NJ 07901           | 7/19/2016 | \$500 00    |
| Craig Hospital Foundation                              | 3425 S Clarkson St                             | Englewood, CO 80113        | 7/19/2016 | \$50 00     |
| University of Chicago                                  | 5235 South Harper Court Suite 450              | Chicago, IL 60615          | 7/19/2016 | \$500 00    |
| Rise School of Austin                                  | 4800 Manor Road Bldg J                         | Austin, TX 78723           | 7/19/2016 | \$250 00    |
| Girls Inc                                              | 150 Paulanno D-290                             | Costa Mesa, CA 92626       | 7/19/2016 | \$500 00    |
| United Cerebral Palsy Association Of Orange County Inc | 980 Roosevelt Suite 100                        | Irvine, CA 92620-3670      | 7/19/2016 | \$120 00    |
| CT Challenge                                           | 250 Pequot Avenue                              | Southport, CT 06890        | 7/19/2016 | \$50 00     |
| National Multiple Sclerosis Society                    | 1221 East Dyer Road Suite 140                  | Santa Ana, CA 92705        | 7/19/2016 | \$700 00    |
| University of California Irvine Foundation             | 100 Theory, Suite 200                          | Irvine, CA 92617           | 7/19/2016 | \$1 500 00  |
| AIDS Services Foundation Orange County                 | 17982 Sky Park Circle Suite J                  | Irvine, CA 92614           | 7/21/2016 | \$1 000 00  |
| Boys & Girls Club of Santa Ana                         | 950 W Highland St                              | Santa Ana, CA 92703        | 7/21/2016 | \$1 000 00  |
| Environmental Nature Center                            | 1601 E 16th Street                             | Newport Beach, CA 92663    | 7/21/2016 | \$1 000 00  |
| KidWorks                                               | 1902 W Chestnut Ave                            | Santa Ana, CA 92703        | 7/21/2016 | \$1 250 00  |
| Friendship Shelter                                     | 1335 S Coast Hwy                               | Laguna Beach, CA 92651     | 7/21/2016 | \$1 000 00  |
| Grandma's House of Hope                                | 1505 17th St #116                              | Santa Ana, CA 92705        | 7/21/2016 | \$2 000 00  |
| CASA of Orange County                                  | 1505 E 17th Street Suite 214                   | Santa Ana, CA 92705        | 7/21/2016 | \$1 000 00  |
| Giving Children Hope                                   | 8332 Commonwealth Ave                          | Buena Park, CA 90621       | 7/21/2016 | \$1 000 00  |
| OC Coastkeeper                                         | 3151 Aurway Ave F #110                         | Costa Mesa, CA 92626       | 7/21/2016 | \$1 000 00  |
| Pet Rescue Center                                      | 25800 Jeronimo Rd Suite 100                    | Mission Viejo, CA 92691    | 7/21/2016 | \$1 000 00  |
| Thomas House                                           | 12601 Morningside Ave                          | Garden Grove, CA 92843     | 7/21/2016 | \$1 000 00  |
| Operation Underground Railroad                         | 700 N Valley St Suite B                        | Anaheim, CA 92801          | 7/21/2016 | \$1 000 00  |
| California Inclusive Sailing                           | 2901 W Coast Highway Suite 200                 | Newport Beach, 92663       | 7/21/2016 | \$1 000 00  |
| CHOC Foundation                                        | 1201 W La Veta Ave                             | Orange, CA 92868           | 7/21/2016 | \$1 350 00  |
| Illumination Foundation                                | 2691 Richter Ave Suite 107                     | Irvine, CA 92606           | 7/21/2016 | \$1 000 00  |
| Operation Clean Slate                                  | 1578 Minorea Dr                                | Costa Mesa, CA 92626       | 7/21/2016 | \$2 650 00  |
| OC Community Housing Corporation                       | 501 N Golden Cir Dr #200                       | Santa Ana, CA 92705        | 7/21/2016 | \$1 000 00  |
| Playworks                                              | 460 Carson Plaza Dr                            | Carson, CA 90746           | 7/21/2016 | \$2,500 00  |
| Serving People in Need                                 | 151 Kalmus Dr                                  | Costa Mesa, CA 92626       | 7/21/2016 | \$1 000 00  |
| Youth Employment Service of the Harbor Area            | 114 E 19th Street                              | Costa Mesa, CA 92627       | 7/21/2016 | \$1 000 00  |
| Taller San Jose Hope Builders                          | 801 N Broadway                                 | Santa Ana, CA 92701        | 7/21/2016 | \$1,000 00  |
| Jessie Rees Foundation                                 | 9452 Toledo                                    | Irvine, CA 92618           | 7/21/2016 | \$1 000 00  |
| The Painted Turtle                                     | 1300 4th Street Suite 300                      | Santa Monica, CA 90401     | 7/21/2016 | \$1 000 00  |
| OneOC                                                  | 1901 E 4th St Suite 100                        | Santa Ana, CA 92705        | 7/21/2016 | \$1 000 00  |
| Serving Kids Hope                                      | 2100 W Alton Ave #2                            | Santa Ana, CA 92704        | 7/21/2016 | \$1 000 00  |
| Blind Childrens Learning Center                        | 18542 Vanderlip Ave                            | Santa Ana, CA 92705        | 7/21/2016 | \$1 000 00  |
| Orange County Rescue Mission                           | 1 Hope Drive                                   | Tustin, CA 92782           | 7/21/2016 | \$1,000 00  |
| Someone Cares Soup Kitchen                             | 721 W 19th Street                              | Costa Mesa, CA 92627       | 7/21/2016 | \$1 000 00  |
| Women Helping Women                                    | 711 W 17th St                                  | Costa Mesa, CA 92627       | 7/21/2016 | \$1 000 00  |
| Socks for Heroes                                       | 2171 Via Teca                                  | San Clemente, CA 92673     | 7/21/2016 | \$1 000 00  |
| SuitUP                                                 | 22 Amsterdam Ave                               | New York, NY 10023         | 7/18/2016 | \$500 00    |
| because I said I would                                 | 20525 Center Ridge Road, Suite 500             | Rocky River, OH 44116      | 7/19/2016 | \$12 000 00 |
| National MS Society                                    | 733 Third Ave Third Floor                      | New York, NY 10017         | 7/22/2016 | \$250 00    |
| ASPCA                                                  | 424 E 92nd St                                  | New York, NY 10128         | 7/22/2016 | \$100 00    |
| Crohn's & Colitis Foundation of America                | 733 Third Ave Suite 510                        | New York, NY 10017         | 7/22/2016 | \$250 00    |
| Cystic Fibrosis Foundation                             | 6931 Arlington Road Suite 200                  | Bethesda, Maryland 20814   | 7/22/2016 | \$100 00    |
| New Heights Youth                                      | 2576 Broadway PBM 213                          | New York, NY 10025         | 7/22/2016 | \$102 00    |
| Taller San Jose Hope Builders                          | 801 N Broadway                                 | Santa Ana, CA 92701        | 7/22/2016 | \$2 000 00  |
| Kate Shea Foundation                                   | 62 Pearl Street Floor 2                        | New York, NY 10004         | 7/22/2016 | \$2 000 00  |
| Friday Night Club                                      | 2006 Marlin Way                                | Newport Beach, CA 92660    | 7/21/2016 | \$0 00      |
| High Water Women Foundation                            | 590 Madison Ave 31st Floor                     | New York, NY 10022         | 7/22/2016 | \$200 00    |
| Make-A-Wish International                              | 4742 N 24th Street Suite 400                   | Phoenix, AZ 85016          | 7/22/2016 | \$0 00      |
| Orange County SPCA                                     | PO Box 6507                                    | Huntington Beach, CA 92615 | 7/22/2016 | \$65 00     |
| Quail Hill Swim Team                                   | 503 Luminous                                   | Irvine, CA 92603           | 7/22/2016 | \$100 00    |
| because I said I would                                 | 20525 Center Ridge Road Suite 500              | Rocky River, OH 44116      | 8/3/2016  | \$10 000 00 |
| The Semester at Sea                                    | Campus Delivery 1587                           | Fort Collins, CO 80523     | 8/3/2016  | \$200 00    |
| American Cancer Society                                | 250 Williams Street NW                         | Atlanta, GA 30303-1002     | 8/3/2016  | \$200 00    |
| Susan G Komen Maryland                                 | 200 East Joppa Road Suite 407                  | Towson, MD 21286           | 8/3/2016  | \$50 00     |
| Middlebury College                                     | 700 Exchange Street                            | Middlebury, VT 05753       | 8/3/2016  | \$50 00     |
| Carmel Educational Foundation                          | 1145 Pineville-Matthews Rd                     | Matthews, NC 28105         | 8/3/2016  | \$2,000 00  |
| Swim Across America                                    | 950 Third Avenue 20th Floor                    | New York, NY 10022         | 8/3/2016  | \$250 00    |
| Multiple Myeloma Research Foundation                   | 383 Main Avenue 5th floor                      | Norwalk, CT 06851          | 8/3/2016  | \$1 750 00  |
| Lehigh University                                      | 27 Memorial Drive West                         | Bethlehem, PA 18015        | 8/3/2016  | \$1 250 00  |
| Habitat for Humanity International                     | 322 West Lamar Street P O Box 7024             | Americus, GA 31709         | 8/3/2016  | \$100 00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                | Address                               | City, State, Zip             | Date      | Amount      |
|---------------------------------------------|---------------------------------------|------------------------------|-----------|-------------|
| Trustees of Princeton University            | P O Box 5357                          | Princeton NJ 08543-5357      | 8/4/2016  | \$100.00    |
| Harvard University                          | 124 Mount Auburn Street               | Cambridge MA 02138           | 8/3/2016  | \$100.00    |
| Uplift Charity                              | 1250 N Red Gum, Ste B                 | Anaheim, CA 92806            | 8/4/2016  | \$300.00    |
| Haven Kiley Foundation                      | 17915 Golden Meadow Court             | Davidson NC 28036            | 8/5/2016  | \$250.00    |
| Make-A-Wish                                 | 4742 North 24th Street Suite 400      | Phoenix AZ 85016             | 8/4/2016  | \$450.00    |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Wounded Warrior Project Inc                 | PO Box 758517                         | Topeka, KS 66675             | 8/4/2016  | \$50.00     |
| Zero The End of Prostate Cancer             | 515 King Street - Suite 420           | Alexandria VA 22314          | 8/4/2016  | \$65.00     |
| DYC Annadadam Project                       | 47585 Wabana Common                   | Fremont CA 94539             | 8/4/2016  | \$300.00    |
| Princeton Day School                        | P O Box 75                            | Princeton NJ 08542           | 8/4/2016  | \$500.00    |
| Trustees of Princeton University            | PO Box 5357                           | Princeton NJ 08543           | 8/4/2016  | \$200.30    |
| Jewish Opportunities Institute              | 7 Hanover Square 18th Floor           | New York NY 10004            | 8/4/2016  | \$54.00     |
| The Irvine Museum                           | 18881 Von Karman Avenue Suite 100     | Irvine CA 92612              | 8/4/2016  | \$500.00    |
| Cal Poly Foundation                         | One Grand Avenue                      | San Luis Obispo CA 93407     | 8/4/2016  | \$500.00    |
| Massachusetts Institute of Technology       | 600 Memorial Drive W98-200            | Cambridge MA 02139-4822      | 8/4/2016  | \$1,000.00  |
| The Myanmar Children's Foundation           | 216 F Street #57                      | Davis CA 95616               | 8/4/2016  | \$500.00    |
| Davidson College                            | Box 7174                              | Davidson NC 28035            | 8/4/2016  | \$2,000.00  |
| Youth Employment Service of the Harbor Area | 114 E 19th Street                     | Costa Mesa CA 92627          | 8/4/2016  | \$500.00    |
| Gardena Valley Japanese Cultural Institute  | 1964 W 162nd St                       | Gardena CA 90247             | 8/4/2016  | \$100.00    |
| Beach Cities Interfaith Services            | PO Box 8307                           | Huntington Beach CA 92615    | 8/4/2016  | \$2,000.00  |
| Haven Kiley Foundation                      | 17915 Golden Meadow Court             | Davidson NC 28036            | 8/5/2016  | \$50.00     |
| Taller San Jose Hope Builders               | 801 N Broadway                        | Santa Ana CA 92701           | 8/5/2016  | \$3,500.00  |
| Giving Children Hope                        | 8332 Commonwealth Ave                 | Buena Park CA 90621          | 8/5/2016  | \$2,500.00  |
| Veterans Legal Institute                    | 2100 N Broadway, Suite 209            | Santa Ana CA 92706           | 8/5/2016  | \$1,000.00  |
| Big Brothers Big Sisters of Orange County   | 1801 E Edinger, Suite 101             | Santa Ana CA 92705           | 8/5/2016  | \$7,500.00  |
| OC Community Housing Corporation            | 501 N Golden Cir Dr #200              | Santa Ana CA 92705           | 8/5/2016  | \$1,000.00  |
| Families Forward                            | 8 Thomas                              | Irvine CA 92618              | 8/11/2016 | \$1,000.00  |
| Friends of MIP                              | 25302 Rancho Niguel Road              | Laguna Niguel CA 92677       | 8/11/2016 | \$250.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$250.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$500.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$105.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$100.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$52.50     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$50.00     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$125.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$500.00    |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$52.50     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$52.50     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$52.50     |
| CT Challenge                                | 250 Pequot Avenue                     | Southport CT 06890           | 8/11/2016 | \$52.50     |
| Camp Cocker Rescue                          | 14320 Ventura Blvd Ste 236            | Sherman Oaks CA 91423        | 8/11/2016 | \$52.50     |
| Cancer Community Center                     | 778 Main Street                       | South Portland ME 04106      | 8/11/2016 | \$250.00    |
| Los Alamitos Girls Tennis Booster Club      | 3591 Cerritos Avenue                  | Los Alamitos CA 90720        | 8/11/2016 | \$1,000.00  |
| OC Children's Therapeutic Arts              | 2215 N Broadway                       | Santa Ana CA 92706           | 8/11/2016 | \$1,000.00  |
| Pancreatic Cancer Action Network            | 1500 Rosecrans Avenue Suite 200       | Manhattan Beach CA 90266     | 8/11/2016 | \$100.00    |
| President and Fellows of Harvard College    | 124 Mount Auburn Street               | Cambridge MA 02138           | 8/11/2016 | \$2,000.00  |
| President and Fellows of Harvard College    | 124 Mount Auburn Street               | Cambridge MA 02138           | 8/11/2016 | \$1,000.00  |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$1,000.00  |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| The Center Orlando                          | 946 N Mills Avenue                    | Orlando FL 32803             | 8/12/2016 | \$50.00     |
| Orange County Funders Roundtable            | 1901 E 4th St Suite 100               | Santa Ana CA 92705           | 8/12/2016 | \$6,500.00  |
| Women Moving Millions                       | 19 Fulton Street Suite 301            | New York NY 10038            | 8/12/2016 | \$25,000.00 |
| Let's Share Inc                             | 8941 Atlanta Ave #416                 | Huntington Beach CA 92646    | 8/12/2016 | \$500.00    |
| Let's Share Inc                             | 8941 Atlanta Ave #416                 | Huntington Beach CA 92646    | 8/12/2016 | \$1,000.00  |
| America Needs You                           | 589 8th Avenue 5th Floor              | New York NY 10018            | 8/12/2016 | \$1,000.00  |
| Working Wardrobes                           | 3030 Pullman Street Suite A           | Costa Mesa CA 92626          | 8/12/2016 | \$5,000.00  |
| The Shea Center                             | 26284 Oso Road                        | San Juan Capistrano CA 92675 | 8/12/2016 | \$3,000.00  |
| The Wooden Floor                            | 1810 North Main Street                | Santa Ana CA 92706           | 8/12/2016 | \$25,000.00 |
| American Cancer Society                     | 1940 E Deer Avenue Suite 100          | Santa Ana CA 92705           | 8/12/2016 | \$1,000.00  |
| Project Access                              | 2100 West Orangewood Avenue Suite 230 | Orange CA 92868              | 8/12/2016 | \$1,000.00  |
| Pajama Program                              | 114 East 39th Street                  | New York NY 10016            | 8/12/2016 | \$500.00    |
| Room to Grow National Inc                   | 54 West 21st Street #401              | New York NY 10010            | 8/12/2016 | \$492.25    |
| Dream Street Foundation                     | 324 South Beverly Drive Suite 500     | Beverly Hills CA 90212       | 8/12/2016 | \$50.00     |
| South Bronx United                          | 594 Grand Concourse Suite #2          | Bronx NY 10451               | 8/12/2016 | \$250.00    |
| Maine Cancer Foundation                     | 170 US Route 1 Suite 250              | Falmouth ME 04105            | 8/12/2016 | \$50.00     |
| Let's Share Inc                             | 8941 Atlanta Ave #416                 | Huntington Beach CA 92646    | 9/6/2016  | \$50.00     |
| Let's Share Inc                             | 8941 Atlanta Ave #416                 | Huntington Beach CA 92646    | 9/6/2016  | \$50.00     |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                                    | Address                                         | City, State, Zip             | Date      | Amount      |
|-----------------------------------------------------------------|-------------------------------------------------|------------------------------|-----------|-------------|
| Let's Share Inc                                                 | 8941 Atlanta Ave #416                           | Huntington Beach CA 92646    | 9/6/2016  | \$255 00    |
| Let's Share Inc                                                 | 8941 Atlanta Ave #416                           | Huntington Beach CA 92646    | 9/6/2016  | \$125 00    |
| Association for India's Development                             | 5011 Tecumseh Street                            | College Park MD 20740        | 9/6/2016  | \$50 00     |
| The Fieldstone Foundation                                       | 14 Corporate Plaza Drive Suite 100              | Newport Beach CA 92660       | 9/6/2016  | \$10 000 00 |
| Orange County Chinese Christian Assembly                        | 9881 Trask Ave                                  | Garden Grove CA 92841        | 9/6/2016  | \$500 00    |
| The ALS Association                                             | P O Box 565                                     | Agoura Hills CA 91376        | 9/6/2016  | \$50 00     |
| ASPCA                                                           | 424 East 92nd St                                | New York NY 10128-6804       | 9/6/2016  | \$50 00     |
| ASPCA                                                           | 424 East 92nd St                                | New York NY 10128-6804       | 9/6/2016  | \$50 00     |
| ASPCA                                                           | 424 East 92nd St                                | New York NY 10128-6804       | 9/6/2016  | \$100 00    |
| ASPCA                                                           | 424 East 92nd St                                | New York NY 10128-6804       | 9/6/2016  | \$250 00    |
| ASPCA                                                           | 424 East 92nd St                                | New York NY 10128-6804       | 9/6/2016  | \$50 00     |
| Ari Without Limits                                              | 816 Chelham Way                                 | Santa Barbara CA 93108       | 9/6/2016  | \$250 00    |
| Newton Country Day School of the Sacred Heart                   | 785 Centre St                                   | Newton MA 02459-2599         | 9/6/2016  | \$1 000 00  |
| St Sebastian's School                                           | 1191 Greendale Ave                              | Needham MA 02492             | 9/6/2016  | \$1 000 00  |
| White Heart Foundation                                          | PO Box 1911                                     | Agoura Hills CA 91376        | 9/6/2016  | \$1 000 00  |
| Memorial Sloan Kettering Cancer Center                          | 633 Third Avenue 28th Floor                     | New York NY 10017            | 9/6/2016  | \$250 00    |
| Georgetown University                                           | Department Number 0734                          | Washington DC 20073-0734     | 9/6/2016  | \$200 00    |
| Huntington's Disease Society of America                         | 505 Eight Avenue Suite 902                      | New York NY 10018            | 9/6/2016  | \$50 00     |
| Memorial Sloan Kettering Cancer Center                          | 633 Third Avenue 28th Floor                     | New York NY 10017            | 9/6/2016  | \$1 000 00  |
| University of Notre Dame                                        | 1100 Grace Hall                                 | Notre Dame IN 46556          | 9/6/2016  | \$200 00    |
| Thomas House                                                    | P O Box 2737                                    | Garden Grove CA 92842        | 9/6/2016  | \$1 250 00  |
| Canyon High Football Boosters                                   | 5753 E Santa Ana Canyon Road #225               | Anaheim CA 92807             | 9/6/2016  | \$550 00    |
| Youth Employment Service of the Harbor Area                     | 114 E 19th Street                               | Costa Mesa CA 92627          | 9/6/2016  | \$1 500 00  |
| Summer Search                                                   | 90 Broad Street Suite 701                       | New York NY 10004            | 9/6/2016  | \$1 000 00  |
| Valerie Fund                                                    | 2101 Millburn Ave                               | Maplewood NJ 07040           | 9/6/2016  | \$1 000 00  |
| CT Challenge                                                    | 250 Pequot Avenue                               | Southport CT 06890           | 9/15/2016 | \$50 00     |
| CT Challenge                                                    | 250 Pequot Avenue                               | Southport CT 06890           | 9/15/2016 | \$50 00     |
| CT Challenge                                                    | 250 Pequot Avenue                               | Southport CT 06890           | 9/15/2016 | \$200 00    |
| Congregation Anshei Shalom                                      | P O Box 410                                     | West Hempstead NY 11552      | 9/15/2016 | \$500 00    |
| Orange County Community Foundation                              | 4041 MacArthur Blvd Suite 510                   | Newport Beach CA 92660       | 9/15/2016 | \$2 200 00  |
| National Multiple Sclerosis Society Greater New England Chapter | PO Box 845945                                   | Boston MA 02284-5945         | 9/15/2016 | \$500 00    |
| Northwestern Annual Fund                                        | 1201 Davis Street                               | Evanston IL 60208            | 9/15/2016 | \$1 800 00  |
| Memorial Sloan Kettering Cancer Center                          | 633 Third Avenue 28th Floor                     | New York NY 10017            | 9/15/2016 | \$265 93    |
| National MS Society NJ Metro Chapter                            | Aspen Corporate Park I 1480 U S Highway 9 North | Woodbridge NJ 07095          | 9/15/2016 | \$50 00     |
| Wounded Warrior Project Inc                                     | PO Box 758517                                   | Topeka KS 66675              | 9/15/2016 | \$50 00     |
| Wounded Warrior Project Inc                                     | PO Box 758517                                   | Topeka KS 66675              | 9/15/2016 | \$50 00     |
| These Numbers Have Faces                                        | 537 SE Ash Street Suite 204                     | Portland OR 97214            | 9/15/2016 | \$50 00     |
| Connections School of Atlanta                                   | 111 Cottonwood Place                            | Decatur GA 30030             | 9/15/2016 | \$1 750 00  |
| Illumination Foundation                                         | 2691 Richter Ave Suite 107                      | Irvine CA 92606              | 9/15/2016 | \$250 00    |
| Heritage Elementary School PTA                                  | 15400 Lansdowne Road                            | Tusun CA 92782               | 9/15/2016 | \$500 00    |
| Friends of Grace                                                | 1 League #61705                                 | Irvine CA 92602              | 9/15/2016 | \$500 00    |
| Hospice of Central PA                                           | 1320 Linglestown Rd                             | Harrisburg PA 17110          | 9/15/2016 | \$100 00    |
| Uplift Charity                                                  | P O Box 6197                                    | Anaheim CA 92816             | 9/15/2016 | \$200 00    |
| Orange County High School of the Arts                           | 1010 North Main Street                          | Santa Ana 92701              | 9/15/2016 | \$2 000 00  |
| Active Heroes                                                   | 8701 Old Bardston Rd                            | Louisville KY 40291          | 9/15/2016 | \$50 00     |
| Nothing But Nets                                                | PO Box 96539                                    | Washington DC 20090-6539     | 9/15/2016 | \$50 00     |
| King Ridge Foundation                                           | P O Box 5873                                    | Santa Rosa CA 95402          | 9/15/2016 | \$55 00     |
| Leukemia and Lymphoma Society                                   | 221 Main Street, Suite 1650                     | San Francisco CA 94105       | 9/20/2016 | \$50 00     |
| NYU Stern School of Business                                    | 44 West Fourth Street Suite 10-160              | New York NY 10012            | 9/20/2016 | \$1 000 00  |
| The Eli Home                                                    | 1175 N East St                                  | Anaheim CA 92805             | 9/20/2016 | \$2 000 00  |
| Susan G Komen Breast Cancer Foundation                          | 55 East Jackson Blvd Ste 1010                   | Chicago IL 60604             | 9/20/2016 | \$60 00     |
| American Red Cross                                              | 2025 E Street NW                                | Washington DC 20006          | 9/20/2016 | \$100 00    |
| St Margaret's Episcopal School                                  | 31641 La Novia                                  | San Juan Capistrano CA 92675 | 9/15/2016 | \$2 000 00  |
| Rancho San Joaquin PTA                                          | 4861 Michelson Drive                            | Irvine CA 92612              | 9/20/2016 | \$150 00    |
| DYC Annadannan Project                                          | 47585 Wabana Common                             | Fremont CA 94539             | 9/20/2016 | \$200 00    |
| Pediatric Cancer Research Foundation                            | 9272 Jeronimo Road Suite 122                    | Irvine CA 92618              | 9/21/2016 | \$20 00     |
| DOROT, Inc                                                      | 171 W 85th St                                   | New York NY 10024            | 9/21/2016 | \$2 000 00  |
| Taproot Foundation                                              | 1700 Broadway Suite 300                         | Oakland CA 94612             | 9/23/2016 | \$10 000 00 |
| Clinton Global Initiative                                       | 1271 Avenue of the Americas 42nd Floor          | New York NY 10020            | 9/21/2016 | \$20 000 00 |
| HIAS                                                            | 411 Fifth Avenue Suite 1006                     | New York NY 10016            | 9/21/2016 | \$1 000 00  |
| Thomas House                                                    | P O Box 2737                                    | Garden Grove CA 92842        | 9/21/2016 | \$1 000 00  |
| Boys & Girls Clubs of Central Orange Coast                      | 250 N Golden Circle Suite 104                   | Santa Ana CA 92705           | 9/21/2016 | \$5 000 00  |
| St Jude Children's Research Hospital                            | 12365 Lewis Street Suite 101                    | Garden Grove CA 92840        | 9/21/2016 | \$1 500 00  |
| SeniorSen                                                       | 1200 N Knollwood Circle                         | Anaheim CA 92801             | 9/21/2016 | \$1 500 00  |
| Boys & Girls Clubs of the Huntington Valley                     | 16582 Brookhurst Street                         | Fountain Valley CA 92708     | 9/21/2016 | \$500 00    |
| Orange County Family Justice Center Foundation                  | 150 W Vermont Ave                               | Anaheim CA 92805             | 9/23/2016 | \$2 000 00  |
| SAGE                                                            | 305 Seventh Avenue 15th Floor                   | New York NY 10001            | 9/23/2016 | \$2 500 00  |
| Mercado Global                                                  | 254 36th Street Suite C-308 Unit 41             | Brooklyn NY 11232            | 9/23/2016 | \$2 500 00  |
| Tiger Woods Foundation                                          | 121 Innovation Dr Suite 150                     | Irvine CA 92617              | 9/23/2016 | \$2 500 00  |
| Casa Teresa                                                     | P O Box 429                                     | Orange CA 92856              | 9/23/2016 | \$1 000 00  |
| American Cancer Society                                         | P O Box 22478                                   | Oklahoma City OK 73123       | 9/23/2016 | \$500 00    |
| Vista Del Mar Mako Educational Foundation                       | P O Box 74982                                   | San Clemente CA 92673        | 9/23/2016 | \$1 500 00  |
| Swim Across America                                             | 8711 SE 44th Place                              | Mercer Island WA 98040       | 10/3/2016 | \$100 00    |
| ZOE International                                               | 23018 Oak Street                                | Newhall CA 91321             | 10/3/2016 | \$665 00    |
| PS 33 PTA                                                       | 281 Ninth Avenue                                | New York NY 10001            | 9/30/2016 | \$1 800 00  |
| National MS Society                                             | 733 Third Avenue                                | New York NY 10017            | 10/3/2016 | \$150 00    |
| National MS Society                                             | 30 South 17th Street Suite 800                  | Philadelphia PA 19103        | 10/3/2016 | \$50 00     |
| Camp Cocker Rescue                                              | 14320 Ventura Blvd Ste 236                      | Sherman Oaks CA 91423        | 10/3/2016 | \$104 09    |
| Oakland Catholic High School                                    | 144 N Craig St                                  | Pittsburgh PA 15213          | 10/3/2016 | \$150 00    |
| HIAS                                                            | 411 Fifth Avenue Suite 1006                     | New York NY 10016            | 10/3/2016 | \$100 00    |
| HIAS                                                            | 411 Fifth Avenue Suite 1006                     | New York NY 10016            | 10/3/2016 | \$1 000 00  |
| Wounded Warrior Project Inc                                     | PO Box 758516                                   | Topeka KS 66675              | 10/3/2016 | \$50 00     |
| South Bronx United                                              | 594 Grand Concourse Suite #2                    | Bronx NY 10451               | 10/7/2016 | \$100 00    |
| CureDuchenne                                                    | 1400 Quail Street Suite 110                     | Newport Beach CA 92660       | 10/3/2016 | \$250 00    |
| St Jude Children's Research Hospital                            | 501 St Jude Place                               | Memphis TN 38105             | 10/4/2016 | \$300 00    |
| St Jude Children's Research Hospital                            | 501 St Jude Place                               | Memphis TN 38105             | 10/4/2016 | \$100 00    |
| Vista Del Mar Mako Educational Foundation                       | P O Box 74982                                   | San Clemente CA 92673        | 10/4/2016 | \$50 00     |
| Newport Coast Elementary School Foundation                      | 21163 Newport Coast Drive #503                  | Newport Coast CA 92657       | 10/4/2016 | \$750 00    |
| Susan G Komen Breast Cancer Foundation                          | 55 E Jackson Blvd, Suite 1010                   | Chicago IL 60604             | 10/4/2016 | \$250 00    |
| Susan G Komen Breast Cancer Foundation                          | 3191-A Airport Loop Dr                          | Costa Mesa CA 92626          | 10/7/2016 | \$50 00     |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                           | Address                            | City, State, Zip                 | Date       | Amount      |
|--------------------------------------------------------|------------------------------------|----------------------------------|------------|-------------|
| Claremont McKenna College                              | 400 North Claremont Blvd #205      | Claremont, CA 91711-4015         | 10/7/2016  | \$500.00    |
| Amor Mission International                             | P O Box 436094                     | San Diego CA 92143               | 10/7/2016  | \$1,500.00  |
| Turtle Rock Elementary School PTA                      | 5151 Alhafi Drive                  | Irvine CA 92603                  | 10/7/2016  | \$220.00    |
| Eastbluff PTA                                          | 2627 Vista Del Oro                 | Newport Beach CA 92660           | 10/7/2016  | \$2,000.00  |
| Memorial Sloan Kettering Cancer Center                 | 633 Third Avenue 28th Floor        | New York, NY 10017               | 10/7/2016  | \$100.00    |
| Valencia Football Booster Club                         | PO Box 576                         | Placentia, CA 92870              | 10/7/2016  | \$200.00    |
| Friends of MIP                                         | 25302 Rancho Niguel Road           | Laguna Niguel CA 92677           | 10/7/2016  | \$350.00    |
| November Foundation                                    | P O Box 1595                       | Culver City, CA 90232            | 10/7/2016  | \$100.00    |
| Friendly Center                                        | 147 W Rose Avenue                  | Orange, CA 92867                 | 10/7/2016  | \$500.00    |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/7/2016  | \$500.00    |
| Eastbluff PTA                                          | 2627 Vista Del Oro                 | Newport Beach CA 92660           | 10/7/2016  | \$200.00    |
| These Numbers Have Faces                               | 537 SE Ash Street Suite 204        | Portland OR 97214                | 10/7/2016  | \$400.00    |
| AIDS Services Foundation Orange County                 | 17982 Sky Park Circle Suite J      | Irvine CA 92614                  | 10/11/2016 | \$1,000.00  |
| Newport Bay Conservancy                                | PO Box 10804                       | Newport Beach CA 92658           | 10/11/2016 | \$125.00    |
| AIDS Services Foundation Orange County                 | 17982 Sky Park Circle Suite J      | Irvine CA 92614                  | 10/11/2016 | \$100.00    |
| First Candle                                           | 9 Newport Drive Suite 200          | Forest Hill MD 21050             | 10/11/2016 | \$50.00     |
| First Candle                                           | 9 Newport Drive Suite 200          | Forest Hill MD 21050             | 10/11/2016 | \$50.00     |
| Carolina Poodle Rescue                                 | 6705 Union Highway                 | Pacolet, SC 29372                | 10/11/2016 | \$100.00    |
| QSAC                                                   | 253 W 35th St 14th Floor           | New York, NY 10001               | 10/11/2016 | \$150.00    |
| Let's Share Inc                                        | 8941 Atlanta Ave #416              | Huntington Beach, CA 92646       | 10/11/2016 | \$1,000.00  |
| Let's Share Inc                                        | 8941 Atlanta Ave #416              | Huntington Beach, CA 92646       | 10/11/2016 | \$350.00    |
| QSAC                                                   | 253 W 35th St 14th Floor           | New York, NY 10001               | 10/11/2016 | \$1,300.00  |
| Opportunities for a Better Tomorrow                    | 783 4th Avenue                     | Brooklyn NY 11232                | 10/11/2016 | \$1,000.00  |
| Kids Konnected                                         | 26071 Menti Circle Suite 103       | Laguna Hills CA 92653            | 10/11/2016 | \$250.00    |
| AIDS Services Foundation Orange County                 | 17982 Sky Park Circle Suite J      | Irvine CA 92614                  | 10/11/2016 | \$2,500.00  |
| Colette's Children's Home                              | 7372 Prince Drive Suite 106        | Huntington Beach, CA 92647       | 10/11/2016 | \$500.00    |
| Rising Tide Capital                                    | 334 Martin Luther King Drive       | Jersey City, NJ 07305            | 10/11/2016 | \$2,500.00  |
| HomeAid Orange County                                  | 24 Executive Park Suite 100        | Irvine CA 92614                  | 10/11/2016 | \$3,000.00  |
| MIND Research Institute                                | 111 Academy Suite 100              | Irvine CA 92617                  | 10/11/2016 | \$2,000.00  |
| Boys Town California                                   | 2223 E Wellington Avenue Suite 350 | Santa Ana, CA 92701              | 10/11/2016 | \$500.00    |
| Lesbian and Gay Community Services Center              | 208 West 13th Street               | New York, NY 10011               | 10/11/2016 | \$1,000.00  |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/11/2016 | \$500.00    |
| Saddleback Men's Tennis Foundation                     | 28000 Marguerite Parkway           | Mission Viejo CA 92692           | 10/26/2016 | \$200.00    |
| Harbor View PFO                                        | 900 Goldenrod Avenue               | Corona del Mar CA 92625          | 11/1/2016  | \$800.00    |
| Leukemia and Lymphoma Society                          | 1311 Mamaronck Avenue Suite 310    | White Plains NY 10605            | 10/26/2016 | \$250.00    |
| St. Baldrick's Foundation                              | 1333 S Mayflower Avenue Suite 400  | Monrovia CA 91016                | 10/26/2016 | \$50.00     |
| St. Baldrick's Foundation                              | 1333 S Mayflower Avenue Suite 400  | Monrovia CA 91016                | 10/26/2016 | \$50.00     |
| Families Forward                                       | 8 Thomas                           | Irvine CA 92618                  | 10/26/2016 | \$1,000.00  |
| Earthplace                                             | 10 Woodside Lane                   | Westport CT 06880                | 10/26/2016 | \$1,000.00  |
| Friends of Westport Parks and Recreation               | 260 Campo Road South               | Westport CT 06880                | 10/26/2016 | \$500.00    |
| Pan-Mass Challenge                                     | 77 Fourth Avenue                   | Needham, MA 02494                | 10/26/2016 | \$1,000.00  |
| Susan G Komen Breast Cancer Foundation                 | 55 E Jackson Blvd Suite 1010       | Chicago IL 60604                 | 10/26/2016 | \$200.00    |
| Our Lady Queen of Angels Catholic School               | 2046 Mar Vista Drive               | Newport Beach CA 92660           | 10/26/2016 | \$500.00    |
| Best Buddies International                             | 100 Southeast Second St Suite 2200 | Miami FL 33131                   | 10/26/2016 | \$50.00     |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/26/2016 | \$65.00     |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/26/2016 | \$65.00     |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/26/2016 | \$65.00     |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/26/2016 | \$65.00     |
| South Bronx United                                     | 594 Grand Concourse Suite #2       | Bronx, NY 10451                  | 10/26/2016 | \$65.00     |
| Golden Elementary PTA                                  | 740 E Golden Avenue                | Placentia, CA 92870              | 10/26/2016 | \$100.00    |
| Golden Elementary PTA                                  | 740 E Golden Avenue                | Placentia, CA 92870              | 10/26/2016 | \$100.00    |
| Orange County United Way                               | 18012 Mitchell South               | Irvine CA 92614                  | 10/26/2016 | \$2,000.00  |
| Arthritis Foundation Northeast Region                  | 122 E 42nd Street Floor 18         | New York, NY 10168               | 11/1/2016  | \$75.00     |
| Melanoma Research Foundation                           | 1411 K Street NW Suite 800         | Washington DC 20005              | 11/1/2016  | \$250.00    |
| Anaheim Hills Elementary School PTA                    | 6450 Serrano Avenue                | Anaheim Hills CA 92807           | 10/26/2016 | \$100.00    |
| Anaheim Hills Elementary School PTA                    | 6450 Serrano Avenue                | Anaheim Hills CA 92807           | 10/26/2016 | \$100.00    |
| Cancer Research Institute                              | 55 Broadway Suite 1802             | New York, NY 10006               | 11/1/2016  | \$2,000.00  |
| The UCLA Foundation                                    | 405 Hilgard Ave                    | Los Angeles CA 90095             | 11/1/2016  | \$250.00    |
| The Clayco Foundation                                  | 35 East Wacker Drive               | Chicago IL 60601                 | 10/28/2016 | \$1,800.00  |
| Women's Step House of Orange County                    | PO Box 3676                        | Laguna Hills CA 92654-3676       | 11/1/2016  | \$973.00    |
| Stop Hunger Now                                        | 615 Hillsborough St Suite 200      | Raleigh NC 27603                 | 11/1/2016  | \$3,094.08  |
| USCCF's Corporate Citizenship Center                   | P O Box 1200                       | Washington DC 20013              | 11/1/2016  | \$7,000.00  |
| Second Harvest Food Bank of Orange County              | 8014 Marine Way                    | Irvine CA 92618                  | 11/1/2016  | \$1,000.00  |
| Orange County Rescue Mission                           | One Hope Drive                     | Tustin CA 92782                  | 11/1/2016  | \$1,000.00  |
| SRVHS Academic Boosters                                | P O Box 1004                       | Danville CA 94526                | 11/1/2016  | \$800.00    |
| St. Jude Children's Research Hospital                  | 501 St. Jude Place                 | Memphis TN 38105                 | 11/1/2016  | \$50.00     |
| Give Hope Fight Poverty                                | 2436 N Alabama Street              | Indianapolis IN 46205            | 11/1/2016  | \$1,700.00  |
| The Bergeson PTA                                       | 25302 Rancho Niguel Road           | Laguna Niguel CA 92677           | 11/1/2016  | \$120.00    |
| ASPCA                                                  | 424 East 92nd St                   | New York, NY 10128-6804          | 11/1/2016  | \$50.00     |
| Collegewood School Community Club                      | 20725 East Collegewood Drive       | Walnut CA 91789                  | 10/26/2016 | \$500.00    |
| Kaiser Woodland PFO                                    | 2130 Santa Ana Ave                 | Costa Mesa, CA 92627             | 11/1/2016  | \$390.00    |
| Ronald McDonald House Charities                        | 26345 Network Place                | Chicago IL 60673-1263            | 11/1/2016  | \$250.00    |
| Harbor View PFO                                        | 900 Goldenrod Ave                  | Corona del Mar CA 92625          | 11/1/2016  | \$2,000.00  |
| Global Genes                                           | 28 Argonaut Suite 150              | Aliso Viejo CA 92656             | 11/1/2016  | \$1,350.00  |
| Partners In Health                                     | PO Box 996                         | Frederick, MD 21705-9942         | 11/1/2016  | \$20,000.00 |
| Save the Children                                      | 501 Kings Highway East Suite 400   | Fairfield CT 06825               | 11/1/2016  | \$25,000.00 |
| Charlotte Country Day School                           | 1440 Carmel Road                   | Charlotte NC 28226-5096          | 11/1/2016  | \$500.00    |
| Orange County School of the Arts                       | 1010 N Main Street                 | Santa Ana, CA 92701              | 11/1/2016  | \$2,000.00  |
| Jewish Federation and Family Services of Orange County | 1 Federation Way #210              | Irvine CA 92603                  | 11/1/2016  | \$360.00    |
| Santa Margarita Catholic School                        | 22062 Antonio Parkway              | Rancho Santa Margarita, CA 92688 | 11/1/2016  | \$580.00    |
| The Center Orlando                                     | 946 N Mills Avenue                 | Orlando FL 32803                 | 10/26/2016 | \$1,000.00  |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                     | Summit NJ 07901                  | 11/16/2016 | \$25.00     |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

[illegible]

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                           | Address                             | City, State, Zip                | Date       | Amount      |
|--------------------------------------------------------|-------------------------------------|---------------------------------|------------|-------------|
| Queen of Hearts Foundation                             | P O Box 2164                        | Newport Beach CA 92659          | 11/16/2016 | \$700.00    |
| Davis Magnet School Education Foundation               | 1050 Arlington Drive                | Costa Mesa, CA 92626            | 11/16/2016 | \$400.00    |
| YGAP USA                                               | 697 N Park Blvd                     | Glen Ellyn IL 60137             | 11/16/2016 | \$100.00    |
| YGAP USA                                               | 697 N Park Blvd                     | Glen Ellyn IL 60137             | 11/16/2016 | \$50.00     |
| YGAP USA                                               | 697 N Park Blvd                     | Glen Ellyn IL 60137             | 11/16/2016 | \$100.00    |
| YGAP USA                                               | 697 N Park Blvd                     | Glen Ellyn IL 60137             | 11/16/2016 | \$50.00     |
| UC Irvine                                              | 100 Theory Suite 250                | Irvine CA 92697                 | 11/16/2016 | \$250.00    |
| Pencils of Promise                                     | 37 West 28th Street 3rd Floor       | New York, NY 10001              | 11/16/2016 | \$2,000.00  |
| Gift of Life Marrow Registry                           | 800 Yamato Road Suite 101           | Boca Raton, FL 33431            | 11/17/2016 | \$180.00    |
| CHOC Foundation                                        | 1201 W La Veta Ave                  | Orange CA 92868                 | 11/17/2016 | \$100.00    |
| Kenyon College                                         | College Relations Building          | Gambier OH 43022                | 11/17/2016 | \$500.00    |
| Liberty Humane Society                                 | 235 Jersey City Blvd                | Jersey City, NJ 07305           | 11/17/2016 | \$250.00    |
| Search Dog Foundation                                  | 6800 Wheeler Canyon Rd              | Sanita Paula, CA 93060          | 11/17/2016 | \$150.00    |
| American Diabetes Association                          | 17752 Mitchell Ave Suites F-H       | Irvine CA 92614                 | 11/17/2016 | \$50.00     |
| American Diabetes Association                          | 17752 Mitchell Ave Suites F-H       | Irvine CA 92614                 | 11/17/2016 | \$100.00    |
| American Diabetes Association                          | 17752 Mitchell Ave Suites F-H       | Irvine CA 92614                 | 11/17/2016 | \$100.00    |
| Kaiser Woodland Schools Foundation                     | P O Box 11333                       | Costa Mesa, CA 92627            | 11/17/2016 | \$150.00    |
| The University of Cincinnati Foundation                | PO Box 19970                        | Cincinnati, OH 45219            | 11/17/2016 | \$500.00    |
| The Healy Foundation                                   | P O Box 844                         | Naugatuck, CT 06770             | 11/17/2016 | \$150.00    |
| Mercado Global                                         | 254 36th Street Suite C-308 Unit 41 | Brooklyn NY 11232               | 11/17/2016 | \$150.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$250.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$250.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$25.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$25.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$300.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$500.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$100.00    |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$25.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$50.00     |
| Bridges Outreach                                       | 120 Morris Ave                      | Summit, NJ 07901                | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$250.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$250.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$25.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$250.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$25.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$100.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$100.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$100.00    |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$25.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$25.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$25.00     |
| The Bowers Mission                                     | 432 Park Avenue South Floor 3       | New York, NY 10016              | 11/16/2016 | \$50.00     |
| Yale University                                        | PO Box 803                          | New Haven CT 06503-0803         | 11/23/2016 | \$500.00    |
| Brandeis University                                    | Mailstop 126 P O Box 549110         | Waltham, MA 02454               | 11/23/2016 | \$470.00    |
| Portledge School                                       | 355 Duck Pond Road                  | Locust Valley, NY 11560         | 11/23/2016 | \$300.00    |
| Rush University Medical Center                         | 28057 Network Pl                    | Chicago IL 60673                | 11/23/2016 | \$250.00    |
| University of Chicago                                  | 5235 S Harper Court                 | Chicago IL 60637                | 12/5/2016  | \$250.00    |
| JDRF International                                     | 26 Broadway 14th Floor              | New York, NY 10004              | 12/5/2016  | \$150.00    |
| Jewish Federation and Family Services of Orange County | 1 Federation Way #210               | Irvine CA 92603                 | 12/5/2016  | \$1,800.00  |
| LUNGEVITY Foundation                                   | 228 S Wabash Ave Suite 700          | Chicago IL 60604                | 12/5/2016  | \$500.00    |
| Young Life - Irvine                                    | 4445 Alton Pkwy                     | Irvine CA 92606                 | 12/5/2016  | \$1,000.00  |
| St. Christopher's School Foundation                    | 711 St Christopher's Road           | Richmond VA 23226               | 12/5/2016  | \$501.73    |
| University of Virginia School of Law                   | 580 Massie Road                     | Charlottesville, VA 22903       | 12/5/2016  | \$668.00    |
| College of the Holy Cross                              | One College Square                  | Worcester MA 01610              | 12/5/2016  | \$780.27    |
| St. Mary's School                                      | 7 Pursuit                           | Aliso Viejo CA 92656            | 12/5/2016  | \$500.00    |
| Columbia University                                    | 622 West 113th Street MC 4524       | New York, NY 10025              | 12/5/2016  | \$50.00     |
| Columbia University                                    | 622 West 113th Street MC 4524       | New York, NY 10025              | 12/5/2016  | \$50.00     |
| Harbor View PFO                                        | 900 Goldenrod                       | Corona del Mar CA 92625         | 12/5/2016  | \$1,600.00  |
| Queen of Hearts Foundation                             | P O Box 2164                        | Newport Beach CA 92659          | 12/5/2016  | \$120.00    |
| SeniorServ                                             | 1200 N Knollwood Circle             | Anaheim CA 92801                | 12/5/2016  | \$2,000.00  |
| Serra Catholic School                                  | 23652 Antonio Pkwy                  | Rancho Santa Margarita CA 92688 | 12/5/2016  | \$750.00    |
| Manna House of Mariposa County                         | P O Box 1484                        | Mariposa CA 95338               | 12/5/2016  | \$500.00    |
| JDRF                                                   | 2 Corporate Park Suite 106          | Irvine CA 92606                 | 12/5/2016  | \$100.00    |
| Albuquerque Academy                                    | 6400 Wyoming Blvd NE                | Albuquerque NM 87109            | 12/5/2016  | \$688.56    |
| St. Margaret's Episcopal School                        | 31641 La Novia                      | San Juan Capistrano CA 92675    | 12/5/2016  | \$1,000.00  |
| DYC Annadaniham Project                                | 47585 Wabana Common                 | Fremont CA 94539                | 12/5/2016  | \$400.00    |
| New Spring Christian Center                            | 3775 Carmel Ave                     | Irvine CA 92606                 | 12/5/2016  | \$2,000.00  |
| Pancreatic Cancer Action Network                       | 1500 Rosecrans Avenue Suite 200     | Manhattan Beach CA 90266        | 12/5/2016  | \$100.00    |
| The Apollo Theater                                     | 253 W 125th St                      | New York, NY 10027              | 12/5/2016  | \$2,000.00  |
| Camp Cocker Rescue                                     | 14320 Ventura Blvd #236             | Sherman Oaks CA 91423           | 12/5/2016  | \$206.48    |
| Voices Against Brain Cancer                            | 1441 Broadway Suite 3025            | New York, NY 10018              | 12/5/2016  | \$150.00    |
| MS Run the US                                          | P O Box 2273                        | Brookfield WI 53008-2273        | 12/5/2016  | \$500.00    |
| MS Run the US                                          | P O Box 2273                        | Brookfield WI 53008-2273        | 12/5/2016  | \$250.00    |
| South Bronx United                                     | 594 Grand Concourse, Suite #2       | Bronx, NY 10451                 | 12/5/2016  | \$180.00    |
| South Bronx Educational Foundation                     | 843 Crotona Park N Bronx NY 10460   | Bronx NY 10460                  | 12/5/2016  | \$200.00    |
| Autism Speaks                                          | 1 East 33rd Street 4th Floor        | New York, NY 10016              | 12/5/2016  | \$50.00     |
| Autism Speaks                                          | 1 East 33rd Street 4th Floor        | New York, NY 10016              | 12/5/2016  | \$50.00     |
| The New York Center for Children                       | 333 East 70th Street                | New York, NY 10021              | 12/5/2016  | \$150.00    |
| University of Southern California                      | Off Campus ATT T2500                | Los Angeles CA 90089            | 12/5/2016  | \$2,000.00  |
| No Greater Sacrifice Foundation                        | 1101 Pennsylvania Ave NW 6th Fl     | Washington DC 20004             | 12/5/2016  | \$101.00    |
| Jewish Federation and Family Services of Orange County | 1 Federation Way #210               | Irvine CA 92603                 | 12/5/2016  | \$360.00    |
| Taproot Foundation                                     | 1700 Broadway Suite 300             | Oakland CA 94612                | 12/5/2016  | \$10,000.00 |
| New York Common Pantry                                 | 8 East 109th Street                 | New York, NY 100029             | 12/5/2016  | \$9,106.44  |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                             | Address                         | City, State, Zip              | Date       | Amount       |
|----------------------------------------------------------|---------------------------------|-------------------------------|------------|--------------|
| Bridges Outreach                                         | 120 Morris Ave                  | Summit, NJ 07901              | 12/5/2016  | \$50.00      |
| Bridges Outreach                                         | 120 Morris Ave                  | Summit, NJ 07901              | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$50.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$250.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/5/2016  | \$500.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 11/23/2016 | \$180,000.00 |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$50.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$1,250.00   |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$500.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/12/2016 | \$100.00     |
| OC Food Bank                                             | 11870 Monarch                   | Garden Grove, CA 92841        | 12/3/2016  | \$20,000.00  |
| Services for the UnderServed                             | 305 Seventh Avenue 10th Floor   | New York, NY 10001            | 12/12/2016 | \$2,136.00   |
| Orange County Rescue Mission                             | 1 Hope Drive                    | Tustin, CA 92782              | 12/12/2016 | \$8,856.00   |
| IronMutt                                                 | P O Box 836                     | Franklin Lakes, NJ 07417      | 12/12/2016 | \$50.00      |
| IronMutt                                                 | P O Box 836                     | Franklin Lakes, NJ 07417      | 12/12/2016 | \$100.00     |
| IronMutt                                                 | P O Box 836                     | Franklin Lakes, NJ 07417      | 12/12/2016 | \$50.00      |
| IronMutt                                                 | P O Box 836                     | Franklin Lakes, NJ 07417      | 12/12/2016 | \$50.00      |
| No-Shave November                                        | 2340 Powell Street #293         | Emeryville, CA 94608          | 12/12/2016 | \$50.00      |
| No-Shave November                                        | 2340 Powell Street #293         | Emeryville, CA 94608          | 12/12/2016 | \$50.00      |
| Council on Foreign Relations                             | 58 East 68th Street             | New York, NY 10065            | 12/12/2016 | \$1,250.00   |
| Cal Poly Foundation                                      | One Grand Avenue                | San Luis Obispo, CA 93407     | 12/12/2016 | \$2,000.00   |
| Mentage Vocal Arts Ensemble                              | 2677 Chalet Place               | Chino Hills, CA 91709         | 12/12/2016 | \$1,000.00   |
| Andersen DEANs Foundation                                | 1900 Port Seabourne Way         | Newport Beach, CA 92660       | 12/12/2016 | \$1,000.00   |
| Andersen DEANs Foundation                                | 1900 Port Seabourne Way         | Newport Beach, CA 92660       | 12/12/2016 | \$2,000.00   |
| Andersen DEANs Foundation                                | 1900 Port Seabourne Way         | Newport Beach, CA 92660       | 12/12/2016 | \$300.00     |
| Youth Employment Service of the Harbor Area              | 114 E 19th Street               | Costa Mesa, CA 92627          | 12/12/2016 | \$250.00     |
| Planned Parenthood Federation of America                 | 123 William St 10th Floor       | New York, NY 10038            | 12/12/2016 | \$120.00     |
| Planned Parenthood of Orange and San Bernardino Counties | 700 S Tustin St                 | Orange, CA 92866              | 12/12/2016 | \$50.00      |
| Planned Parenthood of Orange and San Bernardino Counties | 700 S Tustin St                 | Orange, CA 92866              | 12/12/2016 | \$2,000.00   |
| Pups and Pals Inc                                        | 11278 Los Alamitos Blvd #115    | Los Alamitos, CA 90720        | 12/12/2016 | \$500.00     |
| Ethical Culture Fieldston School                         | P O Box 28552                   | New York, NY 10087-8552       | 12/12/2016 | \$250.00     |
| Upwardly Global                                          | 582 Market Street Suite 1207    | San Francisco, CA 94104       | 12/12/2016 | \$50.00      |
| St. Mark's School                                        | 25 Marlboro Road                | Southborough, MA 01772        | 12/12/2016 | \$100.00     |
| St. Margaret's Episcopal School                          | 31641 La Novia                  | San Juan Capistrano, CA 92675 | 12/12/2016 | \$1,750.00   |
| Susan G. Komen Breast Cancer Foundation                  | 55 E Jackson Blvd Suite 1010    | Chicago, IL 60604             | 12/12/2016 | \$60.00      |
| The Pegasus School                                       | 19692 Lexington Ln              | Huntington Beach, CA 92646    | 12/12/2016 | \$1,250.00   |
| ArtExchange Visual Art Center                            | 356 East 3rd Street             | Long Beach, CA 90802          | 12/12/2016 | \$550.00     |
| Southern California Public Radio                         | 474 S Raymond Ave               | Pasadena, CA 91105            | 12/12/2016 | \$200.00     |
| Let's Share Inc                                          | 8941 Atlanta Ave #416           | Huntington Beach, CA 92646    | 12/12/2016 | \$1,000.00   |
| Dutch School of Los Angeles                              | 2746 235th Street Apt 11        | Torrance, CA 90505            | 12/12/2016 | \$500.00     |
| St. Margaret's Episcopal School                          | 31641 La Novia                  | San Juan Capistrano, CA 92675 | 12/12/2016 | \$500.00     |
| El Segundo Education Foundation                          | 641 Sheldon Street              | El Segundo, CA 90245          | 12/12/2016 | \$2,000.00   |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/19/2016 | \$19,000.00  |
| UC Berkeley Foundation                                   | 2080 Addison Street #4200       | Berkeley, CA 94720            | 12/19/2016 | \$2,000.00   |
| Congregation Anshei Shalom                               | PO Box 410                      | West Hempstead, NY 11552      | 12/20/2016 | \$150.00     |
| Pediatric Cancer Research Foundation                     | 9272 Jeronimo Road Suite 122    | Irvine, CA 92618              | 12/20/2016 | \$500.00     |
| CHOC Foundation                                          | 1201 W La Veta Ave              | Orange, CA 92668-3874         | 12/20/2016 | \$750.00     |
| Leukemia and Lymphoma Society                            | 3 International Drive Suite 200 | Rye Brook, NY 10573           | 12/20/2016 | \$750.00     |
| Wake Forest University                                   | 1834 Wake Forest Rd             | Winston Salem, NC 27106       | 12/20/2016 | \$150.00     |
| Girls Inc                                                | 150 Paulmarino D-290            | Costa Mesa, CA 92626          | 12/20/2016 | \$2,000.00   |
| American Heart Association                               | 7272 Greenville Ave             | Dallas, TX 75231              | 12/20/2016 | \$200.00     |
| Lensmasters Toastmasters Club                            | 11401 Palmwood Drive            | Garden Grove, 92840           | 12/20/2016 | \$150.00     |
| Mt. Moriah 4-H Club                                      | 302 Main                        | Carthage, MO 64836            | 12/20/2016 | \$200.00     |
| Harlem Girls Cheer                                       | 260 Covent Avenue #34           | New York, NY 10031            | 12/20/2016 | \$2,000.00   |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$250.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$250.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$100.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$250.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$25.00      |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$100.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$500.00     |
| OC Food Bank                                             | 11870 Monarch Street            | Garden Grove, CA 92841        | 12/20/2016 | \$100.00     |
| St. Pius X Catholic High School                          | 2674 Johnson Road NE            | Atlanta, GA 30345             | 12/20/2016 | \$750.00     |
| Texas Christian University                               | TCU Box 297044                  | Fort Worth, TX 76129          | 12/20/2016 | \$500.00     |
| Roch Courreges Elementary PTA                            | 18313 Santa Carlotta Street     | Fountain Valley, CA 92708     | 12/20/2016 | \$52.00      |
| Big Brothers Big Sisters of Orange County                | 1801 E Edinger Suite 101        | Santa Ana, CA 92705           | 12/14/2016 | \$25,000.00  |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                      | Address                                    | City, State, Zip           | Date       | Amount      |
|---------------------------------------------------|--------------------------------------------|----------------------------|------------|-------------|
| Blue Engine                                       | 75 Broad Street Suite 2900                 | New York NY 10004          | 12/14/2016 | \$50,000.00 |
| Boys & Girls Clubs of Central Orange Coast        | 250 N. Golden Circle Suite 104             | Santa Ana, CA 92705        | 12/14/2016 | \$50,000.00 |
| Figure Skating In Harlem                          | 361 W. 125th Street, 4th Floor             | New York, NY 10027         | 12/14/2016 | \$25,000.00 |
| Girls Inc. of Orange County                       | 150 Paulanno D-290                         | Costa Mesa CA 92626        | 12/14/2016 | \$25,000.00 |
| Girls Write Now Inc                               | 247 West 37th Street Suite 1000            | New York NY 10018          | 12/14/2016 | \$25,000.00 |
| Harlem Children's Zone                            | 35 E 125th St                              | New York, NY 10035         | 12/14/2016 | \$75,000.00 |
| Henry Street Settlement                           | 265 Henry Street                           | New York NY 10002          | 12/14/2016 | \$30,000.00 |
| Kidworks Community Development Corporation        | 1902 W. Chestnut Ave                       | Santa Ana, CA 92703        | 12/14/2016 | \$30,000.00 |
| Leadership Enterprise For A Diverse America       | 501 Seventh Avenue, 7th Floor              | New York NY 10018          | 12/14/2016 | \$30,000.00 |
| Moneythink                                        | 405 W. Superior St                         | Chicago IL 60654           | 12/14/2016 | \$50,000.00 |
| Network For Teaching Entrepreneurship Los Angeles | 350 S Bixel St Suite 280                   | Los Angeles CA 90017       | 12/14/2016 | \$50,000.00 |
| New Heights Youth                                 | 2472 Broadway PMB 112                      | New York NY 10025          | 12/14/2016 | \$30,000.00 |
| NYC Mission Society                               | 646 Malcolm X Blvd                         | New York NY 10037          | 12/14/2016 | \$25,000.00 |
| OC Community Housing Corporation                  | 501 N. Golden Circle Drive Suite 200       | Santa Ana CA 92705         | 12/14/2016 | \$25,000.00 |
| Reading Partners                                  | 499 Seventh Avenue, 20th Floor North Tower | New York NY 10018          | 12/14/2016 | \$40,000.00 |
| Room to Grow National Inc                         | 7 West 30th Street Floor 3                 | New York NY 10001          | 12/14/2016 | \$25,000.00 |
| Sponsors for Educational Opportunity              | 55 Exchange Place                          | New York NY 10005          | 12/14/2016 | \$50,000.00 |
| Student Sponsor Partners                          | 424 Madison Avenue Ste 1002                | New York, NY 10017         | 12/14/2016 | \$40,000.00 |
| The Literacy Project Foundation                   | 124 Tustin Avenue Suite 103                | Newport Beach CA 92663     | 12/14/2016 | \$25,000.00 |
| The Wooden Floor                                  | 1810 N Main Street                         | Santa Ana CA 92706         | 12/14/2016 | \$50,000.00 |
| THINK Together                                    | 2101 E Fourth St Bldg B, Second Floor      | Santa Ana, CA 92705        | 12/14/2016 | \$50,000.00 |
| Sustainable Health Enterprises                    | 175 Vanck Street 6th Floor                 | New York NY 10014          | 12/14/2016 | \$50,000.00 |
| Rising Tide Capital Inc                           | 334 Martin Luther King Drive               | Jersey City NJ 07305       | 12/14/2016 | \$65,000.00 |
| Callisto                                          | 222 Broadway 20th Fl                       | New York, NY 10038         | 12/14/2016 | \$0.00      |
| Global Aids Interfaith Alliance                   | 2171 Francisco Blvd East Suite I           | San Rafael CA 94901        | 12/14/2016 | \$25,000.00 |
| Business Council For Peace                        | 2472 Broadway #317                         | New York NY 10025          | 12/14/2016 | \$35,000.00 |
| Thistle Farms                                     | 5122 Charlotte Pike                        | Nashville TN 37209         | 12/14/2016 | \$25,000.00 |
| Trekkle Up Program                                | 104 W 27th St, 12th Fl                     | New York NY 10001          | 12/14/2016 | \$25,000.00 |
| SHE-CAN                                           | 1050 Court Street #223                     | San Rafael CA 94901        | 12/14/2016 | \$35,000.00 |
| Plan International USA                            | 1255 23rd Street NW Suite 300              | Washington DC 20037        | 12/14/2016 | \$40,000.00 |
| Accion East                                       | 80 Maiden Lane Suite 903                   | New York NY 10038          | 12/14/2016 | \$50,000.00 |
| Grameen America                                   | 150 West 30th Street 8th Floor             | New York NY 10001          | 12/14/2016 | \$50,000.00 |
| Mercado Global                                    | 254 36th Street Suite C-308, Unit 41       | Brooklyn, NY 11232         | 12/14/2016 | \$25,000.00 |
| Brac USA                                          | 110 William Street 29th Floor              | New York NY 10038          | 12/14/2016 | \$50,000.00 |
| Giving Children Hope                              | 8332 Commonwealth Ave                      | Buena Park CA 90621        | 12/15/2016 | \$25,000.00 |
| Bridges Outreach                                  | PO Box 1444                                | Summit NJ 07902            | 12/15/2016 | \$15,000.00 |
| New York Common Pantry                            | 8 East 109th Street                        | New York NY 10029          | 12/15/2016 | \$25,000.00 |
| Project Dignity                                   | 12020 Chapman Ave PMB #257                 | Garden Grove CA 92840      | 12/15/2016 | \$15,000.00 |
| AIDS Services Foundation Orange County            | 17982 Sky Park Circle, Suite J             | Irvine CA 92614            | 12/15/2016 | \$20,000.00 |
| Orange County Food Access Coalition               | 1505 E 17th St Suite 219                   | Santa Ana, CA 92705        | 12/15/2016 | \$10,000.00 |
| Rescuing Leftover Cuisine                         | 25 Broadway 12th Floor                     | New York NY 10004          | 12/15/2016 | \$5,000.00  |
| Serve The People Inc                              | 1201 E 17th St Ste 101                     | Santa Ana CA 92701         | 12/15/2016 | \$10,000.00 |
| Human Options                                     | PO Box 53745                               | Irvine CA 92619            | 12/15/2016 | \$25,000.00 |
| Illumination Foundation                           | 2691 Richter Ave Suite 107                 | Irvine CA 92606            | 12/15/2016 | \$25,000.00 |
| Build Futures                                     | 19036 Stonehurst Lane                      | Huntington Beach, CA 92648 | 12/15/2016 | \$15,000.00 |
| Enterprise Community Partners                     | 1 Whitehall Street 11th Floor              | New York NY 10004          | 12/15/2016 | \$10,000.00 |
| Friendship Shelter Inc                            | PO Box 4252                                | Laguna Beach CA 92652      | 12/16/2016 | \$10,000.00 |
| The Bowery Mission                                | 432 Park Avenue South Floor 3              | New York NY 10016          | 12/16/2016 | \$10,000.00 |
| Safe Horizon                                      | 2 Lafayette Street 3rd Floor               | New York NY 10007          | 12/16/2016 | \$10,000.00 |
| Interval House                                    | PO Box 3356                                | Seal Beach CA 90740        | 12/16/2016 | \$15,000.00 |
| 211 Orange County                                 | P O Box 10473                              | Santa Ana, CA 92711        | 12/16/2016 | \$15,000.00 |
| University Settlement Society Of New York         | 184 Eldridge Street                        | New York NY 10002          | 12/16/2016 | \$15,000.00 |
| Families Forward                                  | 8 Thomas                                   | Irvine CA 92618            | 12/16/2016 | \$17,500.00 |
| Casa Teresa                                       | P O Box 429                                | Orange CA 92856            | 12/16/2016 | \$17,500.00 |
| Women In Need                                     | 115 West 31st Street 7th Floor             | New York NY 10001          | 12/16/2016 | \$15,000.00 |
| South County Outreach                             | 7 Whitney, Suite B                         | Irvine CA 92618            | 12/16/2016 | \$15,000.00 |
| Thomas House Family Shelter                       | 12601 Morningside Avenue                   | Garden Grove CA 92843      | 12/16/2016 | \$10,000.00 |
| Hot Bread Kitchen                                 | 1590 Park Avenue                           | New York NY 10029          | 12/16/2016 | \$25,000.00 |
| Defi Ventures                                     | 5 Penn Plaza 19th Floor                    | New York NY 10001          | 12/16/2016 | \$20,000.00 |
| Per Scholas                                       | 804 East 138th Street 2nd Floor            | Bronx NY 10454             | 12/16/2016 | \$25,000.00 |
| Access California Services                        | 631 S Brookhurst St Ste 107                | Anaheim CA 92804           | 12/16/2016 | \$15,000.00 |
| WHW                                               | 1800 E. McFadden Ave #1A                   | Santa Ana CA 92705         | 12/16/2016 | \$25,000.00 |
| The Doe Fund                                      | 232 E 84th St                              | New York NY 10028          | 12/16/2016 | \$20,000.00 |
| Taller San Jose Hope Builders                     | 801 N Broadway                             | Santa Ana, CA 92701        | 12/16/2016 | \$25,000.00 |
| Upwardly Global                                   | 505 8th Ave Suite 602                      | New York NY 10018          | 12/16/2016 | \$20,000.00 |
| Year Up                                           | 85 Broad Street 6th Floor                  | New York NY 10004          | 12/16/2016 | \$20,000.00 |
| QSAC                                              | 253 West 35th Street 14th Floor            | New York NY 10001          | 12/16/2016 | \$20,000.00 |
| STRIVE International                              | 240 East 123rd Street 3rd Floor            | New York NY 10035          | 12/16/2016 | \$5,000.00  |
| Working Wardrobes                                 | 1851 Kettering Street                      | Irvine, CA 92614           | 12/16/2016 | \$15,000.00 |
| America Needs You                                 | 589 8th Avenue 5th Floor                   | New York NY 10018          | 12/16/2016 | \$10,000.00 |
| Youth Employment Service of the Harbor Area       | 114 E 19th Street                          | Costa Mesa, CA 92627       | 12/16/2016 | \$5,000.00  |
| 211 Orange County                                 | P O Box 10473                              | Santa Ana, CA 92711        | 12/20/2016 | \$2,000.00  |
| AIDS Services Foundation Orange County            | 17982 Sky Park Circle Suite J              | Irvine CA 92614            | 12/20/2016 | \$2,000.00  |
| Giving Children Hope                              | 8332 Commonwealth Ave                      | Buena Park CA 90621        | 12/20/2016 | \$2,000.00  |
| Human Options                                     | PO Box 53745                               | Irvine, CA 92619           | 12/20/2016 | \$2,000.00  |
| Illumination Foundation                           | 2691 Richter Ave Suite 107                 | Irvine CA 92606            | 12/20/2016 | \$2,000.00  |
| South County Outreach                             | 7 Whitney, Suite B                         | Irvine CA 92618            | 12/20/2016 | \$2,000.00  |
| Taller San Jose Hope Builders                     | 801 N Broadway                             | Santa Ana, CA 92701        | 12/20/2016 | \$2,000.00  |
| The Wooden Floor                                  | 1810 N Main Street                         | Santa Ana, CA 92706        | 12/20/2016 | \$2,000.00  |
| CASA of Orange County                             | 1505 E 17th Street Suite 214               | Santa Ana, CA 92705        | 12/20/2016 | \$2,000.00  |
| Blue Engine                                       | 75 Broad Street Suite 2900                 | New York NY 10004          | 12/20/2016 | \$2,000.00  |
| Bridges Outreach                                  | PO Box 1444                                | Summit NJ 07902            | 12/20/2016 | \$2,000.00  |
| Mercado Global                                    | 254 36th Street Suite C-308 Unit 41        | Brooklyn NY 11232          | 12/20/2016 | \$2,000.00  |
| New Heights Youth                                 | 2472 Broadway, PMB 112                     | New York NY 10025          | 12/20/2016 | \$2,000.00  |
| Per Scholas                                       | 804 East 138th Street 2nd Floor            | Bronx NY 10454             | 12/20/2016 | \$2,000.00  |
| QSAC                                              | 253 West 35th Street 14th Floor            | New York NY 10001          | 12/20/2016 | \$2,000.00  |
| Room to Grow National Inc                         | 7 West 30th Street Floor 3                 | New York NY 10001          | 12/20/2016 | \$2,000.00  |
| Sustainable Health Enterprises                    | 175 Vanck Street 6th Floor                 | New York NY 10014          | 12/20/2016 | \$2,000.00  |
| St. Mary's School                                 | 7 Pursuit                                  | Aliso Viejo CA 92656       | 12/21/2016 | \$2,000.00  |
| Caring for Orphans - Mozambique                   | 35865 Calle Nopal                          | Temecula, CA 92592         | 12/31/2016 | \$1,000.00  |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                           | Address                           | City, State, Zip            | Date       | Amount      |
|--------------------------------------------------------|-----------------------------------|-----------------------------|------------|-------------|
| Harbor Day Endowment Corp                              | 3443 Pacific View Drive           | Corona del Mar CA 92625     | 12/21/2016 | \$1,000.00  |
| Harbor Day Endowment Corp                              | 3443 Pacific View Drive           | Corona del Mar CA 92625     | 12/21/2016 | \$1,000.00  |
| Crossroads School for Arts & Sciences                  | 1714 21st St                      | Santa Monica CA 90404       | 12/21/2016 | \$2,000.00  |
| CHOC Foundation                                        | 1201 W La Veta Ave                | Orange CA 92868             | 12/21/2016 | \$2,000.00  |
| Camp Cocker Rescue                                     | 14320 Ventura Blvd #236           | Sherman Oaks CA 91423       | 12/21/2016 | \$206.48    |
| Camp Cocker Rescue                                     | 14320 Ventura Blvd #236           | Sherman Oaks CA 91423       | 12/21/2016 | \$50.00     |
| International FOP Association                          | 101 Sunnyside Road Suite 208      | Casselberry FL 32719        | 12/21/2016 | \$250.00    |
| Knights of Heroes                                      | 20260 True Vista Circle           | Monument CO 80132           | 12/21/2016 | \$500.00    |
| Fisher House Foundation                                | 111 Rockville Pike #420           | Rockville MD 20850          | 12/21/2016 | \$450.00    |
| Special Operations Warrior Foundation                  | PO Box 89367                      | Tampa FL 33689              | 12/22/2016 | \$450.00    |
| California Inclusive Sailing                           | 2901 W Coast Highway Suite 200    | Newport Beach CA 92663      | 12/21/2016 | \$150.00    |
| Every Monday Matters                                   | 321 Pass Avenue, Suite 152        | Burbank CA 91505            | 12/21/2016 | \$2,000.00  |
| Basset Hound Rescue of Southern California             | P O Box 88                        | Whittier CA 90608-0088      | 12/21/2016 | \$500.00    |
| John Wayne Cancer Foundation                           | 210 62nd Street                   | Newport Beach CA 92663      | 12/21/2016 | \$100.00    |
| No One Left Behind                                     | P O Box 3641                      | Merrifield VA 22116         | 12/21/2016 | \$100.00    |
| Age Well Senior Services                               | 24461 Ridge Route Drive Suite 220 | Laguna Hills CA 92653       | 12/21/2016 | \$100.00    |
| Pacific Marine Mammal Center                           | 20612 Laguna Canyon Road          | Laguna Beach CA 92651       | 12/22/2016 | \$100.00    |
| Project Access                                         | 2100 W Orangewood Ave Suite 230   | Orange CA 92868             | 12/21/2016 | \$50.00     |
| Illumination Foundation                                | 2691 Richter Ave Suite 107        | Irvine CA 92606             | 12/21/2016 | \$2,000.00  |
| Illumination Foundation                                | 2691 Richter Ave Suite 107        | Irvine CA 92606             | 12/21/2016 | \$400.00    |
| Our Lady of Lourdes Catholic School                    | 7500 Pearl Street                 | Bethesda MD 20814           | 12/21/2016 | \$1,000.00  |
| Wakeman Boys & Girls Club                              | 2414 Fairfield Avenue             | Bridgeport CT 06605         | 12/21/2016 | \$250.00    |
| Home Start Inc                                         | 5005 Texas Street Suite 203       | San Diego CA 92108          | 12/21/2016 | \$250.00    |
| The Wooden Floor                                       | 1810 N Main Street                | Santa Ana CA 92706          | 12/21/2016 | \$250.00    |
| Horizon Pregnancy Clinic                               | 15061 Springdale Street Suite 109 | Huntington Beach CA 92649   | 12/21/2016 | \$500.00    |
| Zen Center of Orange County                            | 120 East 18th St                  | Costa Mesa CA 92627-3035    | 12/21/2016 | \$250.00    |
| Beagle Freedom Project                                 | 4804 Laurel Canyon Blvd #534      | Valley Village CA 91607     | 12/21/2016 | \$500.00    |
| ACLU Foundation                                        | 125 Broad Street 18th Floor       | New York NY 10004-2400      | 12/21/2016 | \$250.00    |
| Foundations Inc                                        | 701 East Gate Drive Suite 300     | Mt Laurel NJ 08054          | 12/21/2016 | \$100.00    |
| Friends of Marin County School Volunteers              | P O Box 4925                      | San Rafael CA 94913         | 12/21/2016 | \$200.00    |
| Rady Children's Hospital Foundation                    | 3020 Children's Way               | San Diego CA 92123          | 12/21/2016 | \$250.00    |
| American Friends of Carmel School                      | 31 West 34th Street               | New York NY 10001           | 12/21/2016 | \$1,000.00  |
| Friends of the United Jewish Congregation of Hong Kong | 36 Bay Street                     | Piermont NY 10968           | 12/21/2016 | \$1,000.00  |
| Working Wardrobes                                      | 1851 Kettering Street             | Irvine CA 92614             | 12/21/2016 | \$500.00    |
| Michigan Tech Fund                                     | 1400 Townsend Drive               | Houghton MI 49931           | 12/21/2016 | \$375.00    |
| Harvard Business School                                | Teale Hall Soldiers Field         | Boston MA 02163             | 12/21/2016 | \$375.00    |
| Harvard Business School                                | Teale Hall Soldiers Field         | Boston MA 02163             | 12/21/2016 | \$200.00    |
| President and Fellows of Harvard College               | 124 Mount Auburn Street           | Cambridge MA 02138          | 12/21/2016 | \$1,300.00  |
| South Bronx United                                     | 594 Grand Concourse Suite #2      | Bronx NY 10451              | 12/22/2016 | \$345.00    |
| South Bronx United                                     | 594 Grand Concourse Suite #2      | Bronx NY 10451              | 12/22/2016 | \$230.00    |
| Make-A-Wish Foundation of Metro New York               | 1111 Marcus Avenue Suite LL22     | Lake Success NY 11042       | 12/21/2016 | \$95.00     |
| Global Glimpse                                         | 101 Broadway Suite 301            | Oakland CA 94607            | 12/22/2016 | \$50.00     |
| Ukrainian Catholic Education Foundation                | 2247 W Chicago Ave                | Chicago IL 60622            | 12/22/2016 | \$1,000.00  |
| Nature Conservancy                                     | P O Box 6014                      | Albert Lea MN 56007         | 12/22/2016 | \$100.00    |
| Mariposa Friends of the Fairgrounds Foundation         | P O Box 5008-362                  | Mariposa CA 95338           | 12/22/2016 | \$100.00    |
| Doctors Without Borders                                | P O Box 5023                      | Hagerstown MD 21741         | 12/22/2016 | \$50.00     |
| Lovola Academy                                         | 1100 Laramie Avenue               | Wilmette IL 60091           | 12/22/2016 | \$350.00    |
| You Gotta Believe                                      | 3114 Mermaid Avenue               | Brooklyn NY 11224           | 12/22/2016 | \$100.00    |
| Planned Parenthood of New York City                    | 26 Bleecker Street                | New York NY 10012           | 12/22/2016 | \$100.00    |
| The Pegasus School                                     | 19692 Lexington Ln                | Huntington Beach CA 92646   | 12/22/2016 | \$1,000.00  |
| The Pegasus School                                     | 19692 Lexington Ln                | Huntington Beach CA 92646   | 12/22/2016 | \$1,500.00  |
| College of the Holy Cross                              | 1 College St                      | Worcester MA 01610          | 12/22/2016 | \$1,500.00  |
| Holy Redeemer Catholic School                          | 3380 Old Alabama Rd               | Johns Creek GA 30022        | 12/22/2016 | \$750.00    |
| St Ignatius College Preparatory                        | 2001 37th Avenue                  | San Francisco CA 94116      | 12/23/2016 | \$500.00    |
| OneSky                                                 | 715 Hearst Avenue Suite 200       | Berkeley CA 94710           | 12/22/2016 | \$200.00    |
| Orange County Rescue Mission                           | 1 Hope Drive                      | Tustin CA 92782             | 12/22/2016 | \$200.00    |
| Read Ahead                                             | 50 Broad Street Suite 1720        | New York NY 10004           | 12/22/2016 | \$52.45     |
| South Boston Neighborhood House                        | 136 H Street                      | South Boston MA 02127       | 12/22/2016 | \$150.00    |
| HIAS                                                   | 411 Fifth Avenue Suite 1006       | New York NY 10016           | 12/22/2016 | \$200.00    |
| Holy Trinity Parish                                    | 1600 Corporate Drive              | Ladera Ranch CA 92694       | 12/22/2016 | \$2,000.00  |
| South Bronx United                                     | 594 Grand Concourse Suite #2      | Bronx NY 10451              | 12/22/2016 | \$150.00    |
| Viet Toc Foundation                                    | PO Box 10061                      | Silver Spring MD 20914      | 12/22/2016 | \$1,000.00  |
| St Mary of Sorrows Catholic Church                     | 5222 Sideburn Rd                  | Fairfax VA 22032            | 12/22/2016 | \$150.00    |
| Northwest Federal Credit Union Foundation              | P O Box 1229                      | Herndon VA 20172            | 12/22/2016 | \$250.00    |
| Lasalian Alumni Association                            | PO Box 453                        | Springfield VA 22150        | 12/22/2016 | \$250.00    |
| OC Food Bank                                           | 11870 Monarch Street              | Garden Grove CA 92841       | 12/19/2016 | \$58,250.00 |
| Sustainable Health Enterprises                         | 175 Varck Street 6th Floor        | New York NY 10014           | 12/22/2016 | \$6,156.00  |
| YUGO Ministries                                        | P O Box 58                        | National City CA 91951-0058 | 12/22/2016 | \$1,000.00  |
| Zhanyang Kids Organization                             | P O Box 989                       | Lancaster OH 43130-0989     | 12/22/2016 | \$1,000.00  |
| Fordham University Controllers Office                  | 888 7th Avenue FL 7               | New York NY 10101           | 12/22/2016 | \$100.00    |
| GetAbout                                               | P O Box 224                       | New Canaan CT 06840         | 12/22/2016 | \$50.00     |
| New Canaan Fire Company, No 1                          | P O Box 963                       | New Canaan CT 06840         | 12/22/2016 | \$100.00    |
| You Gotta Believe                                      | 3114 Mermaid Avenue               | Brooklyn NY 11224           | 12/22/2016 | \$250.00    |
| New Canaan Community Foundation                        | 111 Cherry Street                 | New Canaan CT 06840         | 12/22/2016 | \$250.00    |
| Jane Goodall Institute                                 | 1595 Spring Hill Rd Suite 550     | Vienna VA 22182             | 12/22/2016 | \$1,000.00  |
| National Park Foundation                               | 1110 Vermont Ave NW Suite 200     | Washington DC 20005         | 12/22/2016 | \$1,000.00  |
| New Canaan Volunteer Ambulance Corps                   | P O Box 598                       | New Canaan CT 06840         | 12/22/2016 | \$100.00    |
| Trustees of Princeton University                       | P O Box 598                       | Princeton NJ 08543          | 12/23/2016 | \$200.00    |
| Special Operations Warrior Foundation                  | P O Box 89367                     | Tampa FL 33689              | 12/22/2016 | \$100.00    |
| The Hotchkiss School                                   | 11 Interlaken Road                | Lakeville CT 06039          | 12/22/2016 | \$100.00    |
| Spence School                                          | 22 East 91st Street               | New York NY 10128           | 12/22/2016 | \$100.00    |
| University of Chicago                                  | 1427 East 60th Street Suite 120   | Chicago IL 60637            | 12/22/2016 | \$100.00    |
| Wounded Warrior Project Inc                            | P O Box 758517                    | Topeka KS 66675             | 12/22/2016 | \$100.00    |
| The American School in London Foundation               | 15 Rozel Road                     | Princeton NJ 08543          | 12/22/2016 | \$100.00    |
| World Wildlife Fund                                    | 1250 24th Street NW               | Washington DC 20037         | 12/22/2016 | \$150.00    |
| Pacific Marine Mammal Center                           | 20612 Laguna Canyon Road          | Laguna Beach CA 92651       | 12/22/2016 | \$150.00    |
| McLean Hospital                                        | 115 Mill Street                   | Belmont MA 02478            | 12/22/2016 | \$400.00    |
| Jewish Community Foundation of Orange County           | 1 Federation Way Suite 210        | Irvine CA 92603             | 12/22/2016 | \$500.00    |
| UJA Federation of New York                             | 130 East 59th Street              | New York NY 10022           | 12/22/2016 | \$800.00    |
| National Resources Defense Council                     | 40 West 20th Street               | New York NY 10011           | 12/22/2016 | \$250.00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                          | Address                               | City, State, Zip                 | Date       | Amount      |
|-------------------------------------------------------|---------------------------------------|----------------------------------|------------|-------------|
| Walsh Jesuit High School                              | 4550 W. oga Lake Road                 | Cuyahoga Falls OH 44224-1059     | 12/22/2016 | \$1,250.00  |
| Don Juan Avila Elementary PTA                         | 26278 Wood Canyon Drive               | Aliso Viejo CA 92656             | 12/22/2016 | \$450.00    |
| Engage Inc                                            | PO Box 11613                          | Albany NY 12211                  | 12/22/2016 | \$150.00    |
| Children's Hospital of Pennsylvania Foundation        | 3401 Civic Center Blvd                | Philadelphia, PA 19104           | 12/22/2016 | \$2,000.00  |
| Western Youth Services                                | 23461 S. Pointe Drive Suite 220       | Laguna Hills CA 92653            | 12/22/2016 | \$300.00    |
| Prince George's County Delta Alumnae Foundation, Inc  | PO Box 97                             | Greenbelt, MD 20768              | 12/22/2016 | \$500.00    |
| American Cancer Society                               | 250 Williams Street NW                | Atlanta, GA 30303-1002           | 12/22/2016 | \$500.00    |
| American Cancer Society                               | 250 Williams Street NW                | Atlanta, GA 30303-1002           | 12/22/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue 28th Floor           | New York, NY 10017               | 12/23/2016 | \$100.00    |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue 28th Floor           | New York, NY 10017               | 12/23/2016 | \$500.00    |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue 28th Floor           | New York, NY 10017               | 12/23/2016 | \$50.00     |
| Tufts University                                      | 160 Packard Avenue                    | Medford, MA 02155-7082           | 12/22/2016 | \$1,000.00  |
| Redemption Church                                     | 270 Baker St E Suite 200              | Costa Mesa, 92626                | 12/22/2016 | \$1,550.00  |
| Hope International                                    | 227 Granite Run Drive Suite 250       | Lancaster PA 17601               | 12/22/2016 | \$50.00     |
| HopeHealth                                            | 765 Attucks Lane                      | Hyannis MA 02601                 | 12/22/2016 | \$250.00    |
| Orangewood Foundation                                 | 1575 E 17th Street                    | Santa Ana, CA 92705              | 12/22/2016 | \$100.00    |
| Inner-City Arts                                       | 720 Kohler St                         | Los Angeles CA 90021             | 12/22/2016 | \$250.00    |
| Catholic Relief Services                              | 228 W. Lexington St                   | Baltimore MD 21201               | 12/22/2016 | \$150.00    |
| Agua de la Mollo US                                   | 4366 Westlawn Ave                     | Los Angeles CA 90066             | 12/22/2016 | \$1,320.00  |
| Roch Courreges Elementary PTA                         | 18313 Santa Carlotta Street           | Fountain Valley, CA 92708        | 12/22/2016 | \$500.00    |
| Celebrate Everyday                                    | 855 E 3575 N                          | North Ogden, UT 84414            | 12/22/2016 | \$250.00    |
| Celebrate Everyday                                    | 855 E 3575 N                          | North Ogden UT 84414             | 12/22/2016 | \$50.00     |
| Trustees of the University of Pennsylvania            | 2929 Walnut Street                    | Philadelphia, PA 19104-5099      | 12/22/2016 | \$500.00    |
| Trustees of the University of Pennsylvania            | 2929 Walnut Street                    | Philadelphia PA 19104-5099       | 12/27/2016 | \$500.00    |
| LEAD Program                                          | 500 Office Center Drive Suite 400     | Fort Washington PA 19034         | 12/22/2016 | \$50.00     |
| Robert A Torjo Foundation                             | 180 Grand Ave Suite 450               | Oakland CA 94612                 | 12/27/2016 | \$1,300.00  |
| Management Leadership for Tomorrow Inc                | 5335 Wisconsin Ave NW Suite 805       | Washington DC 20015              | 12/22/2016 | \$175.00    |
| Newport Aquatic Center                                | 1 White Cliffs Dr                     | Newport Beach CA 92660           | 12/23/2016 | \$316.42    |
| Davis Magnet School Education Foundation              | 1050 Arlington Drive                  | Costa Mesa, CA 92626             | 12/27/2016 | \$1,000.00  |
| Boot Campaign                                         | 3603 Old Jacksonville Road            | Tyler TX 75701                   | 12/22/2016 | \$100.00    |
| CT Challenge                                          | 250 Pequot Ave                        | Southport CT 06890               | 12/22/2016 | \$50.00     |
| Orangewood Foundation                                 | 1575 E 17th Street                    | Santa Ana, CA 92705              | 12/22/2016 | \$100.00    |
| Davis Magnet School Education Foundation              | 1050 Arlington Drive                  | Costa Mesa, CA 92626             | 12/27/2016 | \$100.00    |
| Columbia University                                   | 622 West 113th Street MC 4524         | New York, NY 10025               | 12/22/2016 | \$640.00    |
| Boys & Girls Clubs of Central Orange Coast            | 250 N. Golden Circle Suite 104        | Santa Ana, CA 92705              | 12/22/2016 | \$1,500.00  |
| Marion-Polk Food Share                                | 1660 Salem Industrial Drive NE        | Salem OR 97301                   | 12/22/2016 | \$50.00     |
| American Diabetes Association                         | 2451 Crystal Drive Suite 900          | Arlington VA 22202               | 12/22/2016 | \$50.00     |
| UCI Student Run Free Clinic Foundation Fund           | 252 Irvine Hall                       | Irvine CA 92697                  | 12/23/2016 | \$100.00    |
| The Chapin School                                     | 100 East End Ave                      | New York, NY 10028               | 12/23/2016 | \$1,975.00  |
| St. Jude Children's Research Hospital                 | 262 Danny Thomas Place                | Memphis TN 38105                 | 12/23/2016 | \$250.00    |
| St. Jude Children's Research Hospital                 | 262 Danny Thomas Place                | Memphis TN 38105                 | 12/23/2016 | \$500.00    |
| St. Thomas More Church                                | 374 Middlesex Road                    | Darien CT 06820                  | 12/23/2016 | \$250.00    |
| Shriners Hospitals for Children                       | 2900 N Rocky Point Drive              | Tampa, FL 33607                  | 12/23/2016 | \$250.00    |
| Wounded Warrior Project Inc                           | PO Box 758516                         | Topeka, KS 66675                 | 12/23/2016 | \$250.00    |
| Unity Schools SoCal                                   | 960 North Tustin Street, #239         | Tustin CA 92687                  | 12/22/2016 | \$2,000.00  |
| Santiago Canyon College Foundation                    | 8045 E Chapman Avenue                 | Orange CA 92669                  | 12/23/2016 | \$100.00    |
| Human Options                                         | 5540 Trabuco Rd Ste 100               | Irvine CA 92620                  | 12/23/2016 | \$500.00    |
| Memorial Sloan Kettering Cancer Center                | 633 Third Avenue 28th Floor           | New York, NY 10017               | 12/23/2016 | \$500.00    |
| Doctors Without Borders                               | P O Box 5030                          | Hagerstown MD 21741-5030         | 12/22/2016 | \$1,000.00  |
| Doctors Without Borders                               | P O Box 5030                          | Hagerstown MD 21741-5030         | 12/22/2016 | \$1,000.00  |
| Doctors Without Borders                               | P O Box 5030                          | Hagerstown MD 21741-5030         | 12/22/2016 | \$500.00    |
| The Pegasus School                                    | 19692 Lexington Ln                    | Huntington Beach CA 92646        | 12/22/2016 | \$2,000.00  |
| University of Delaware Athletic Fund                  | 631 South College Avenue              | Newark, DE 19716                 | 12/23/2016 | \$1,600.00  |
| Planned Parenthood                                    | 2330 NE Division Street Suite 7       | Bend OR 97701                    | 12/23/2016 | \$50.00     |
| Appalachian State University Foundation               | Box 32014                             | Boone NC 28608-2014              | 12/23/2016 | \$100.00    |
| North Carolina State University                       | Campus Box 7474                       | Raleigh NC 27695-7474            | 12/23/2016 | \$100.00    |
| University of North Carolina at Chapel Hill           | P O Box 309                           | Chapel Hill, NC 27514-0309       | 12/23/2016 | \$150.00    |
| IRL Inc                                               | 3255 Wilshire Blvd Suite 1688         | Los Angeles CA 90010             | 12/23/2016 | \$500.00    |
| Indiana University Foundation                         | PO Box 500                            | Bloomington IN 47402             | 12/23/2016 | \$2,000.00  |
| Programa Velasco                                      | P O Box 601                           | Downers Grove IL 60515           | 12/23/2016 | \$670.00    |
| Cornell University                                    | 130 E Seneca Street Suite 400         | Ithaca, NY 14850                 | 12/23/2016 | \$300.00    |
| Women In Need                                         | 115 West 31st Street                  | New York, NY 10001               | 12/23/2016 | \$1,000.00  |
| The CDM Foundation                                    | 2549 Eastbluff Drive Suite 483        | Newport Beach, CA 92660          | 12/23/2016 | \$1,000.00  |
| Oceana                                                | 1350 Connecticut Ave NW 5th Floor     | Washington DC 20036              | 12/23/2016 | \$50.00     |
| Oceana                                                | 1350 Connecticut Ave NW 5th Floor     | Washington DC 20036              | 12/23/2016 | \$250.00    |
| President & Trustees of Colby College                 | 4335 Mayflower Hill                   | Waterville ME 04901-8843         | 12/23/2016 | \$1,000.00  |
| Greenbelt Conservancy                                 | 200 Nevada Avenue                     | Staten Island NY 10306           | 12/23/2016 | \$100.00    |
| Newport Aquatic Center                                | 1 White Cliffs Dr                     | Newport Beach CA 92660           | 12/23/2016 | \$318.53    |
| Center for Independent Futures                        | 1015 Davis Street                     | Evanston IL 60201                | 12/23/2016 | \$2,000.00  |
| Minds Matter of NYC                                   | 1120 Avenue of the Americas 4th Floor | New York, NY 10036               | 12/23/2016 | \$1,000.00  |
| 211 Orange County                                     | P O Box 10473                         | Santa Ana, CA 92711              | 12/23/2016 | \$400.00    |
| Columbia University                                   | 622 West 113th Street, MC 4524        | New York, NY 10025               | 12/22/2016 | \$300.00    |
| Power of Dad                                          | P O Box 294                           | Saginaw MI 48606                 | 12/23/2016 | \$1,000.00  |
| New Israel Fund                                       | 6 East 39th Street Suite 301          | New York, NY 10016-0112          | 12/23/2016 | \$750.00    |
| Blind Children's Learning Center                      | 18542-B Vanderlip Avenue              | Santa Ana, CA 92705              | 12/23/2016 | \$2,000.00  |
| Semper Fi Fund                                        | 825 College Blvd                      | Oceanside CA 92057               | 12/23/2016 | \$1,000.00  |
| Dandora Africa Wellness Network Inc                   | 1152 Charm Acres Place                | Pacific Palisades CA 90272       | 12/23/2016 | \$2,000.00  |
| Illumination Foundation                               | 2691 Richter Ave Suite 107            | Irvine CA 92606                  | 12/23/2016 | \$25,000.00 |
| The Wooden Floor                                      | 1810 N Main Street                    | Santa Ana, CA 92706              | 12/23/2016 | \$25,000.00 |
| Food Gatherers                                        | P O Box 131037                        | Ann Arbor MI 48113               | 12/27/2016 | \$500.00    |
| Catholic Social Services of Washtenaw County          | 4925 Packard Road                     | Ann Arbor MI 48108               | 12/27/2016 | \$500.00    |
| GiveWell                                              | 182 Howard Street #208                | San Francisco CA 94105           | 12/27/2016 | \$1,950.00  |
| The Consortium for Graduate Study in Management       | 229 Chesterfield Business Parkway     | Chesterfield MO 63005            | 12/27/2016 | \$1,750.00  |
| Serra Catholic School                                 | 23652 Antonio Parkway                 | Rancho Santa Margarita, CA 92688 | 12/27/2016 | \$250.00    |
| U S Fund for UNICEF                                   | 125 Maiden Lane                       | New York, NY 10038               | 12/27/2016 | \$800.00    |
| Oak View Renewal Partnership                          | 17241 Oak Lane                        | Huntington Beach CA 92647        | 12/27/2016 | \$500.00    |
| Long Beach Community Television and Media Corporation | 1906 E Anaheim Street                 | Long Beach CA 90813              | 12/27/2016 | \$100.00    |
| Chapman University                                    | 1 University Drive                    | Orange CA 92866                  | 12/27/2016 | \$450.00    |
| International Orthodox Christian Charities            | 110 West Road Suite 360               | Baltimore MD 21204               | 12/27/2016 | \$2,000.00  |
| Ojai Music Festival                                   | P O Box 185                           | Ojai, CA 93024                   | 12/27/2016 | \$150.00    |

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
PIMCO Foundation (FEIN 33-0891470)  
12/31/2016

| Organization                                   | Address                                          | City, State, Zip             | Date       | Amount                |
|------------------------------------------------|--------------------------------------------------|------------------------------|------------|-----------------------|
| Baroque Music Festival Corona del Mar          | P O Box 838                                      | Corona del Mar, CA 92524     | 12/27/2016 | \$150 00              |
| University of Chicago Booth School of Business | 401 North Michigan Avenue Suite 900              | Chicago Illinois 60611       | 12/27/2016 | \$100 00              |
| Loyola Marymount University                    | 1 LMU Drive                                      | Los Angeles CA 90045         | 12/27/2016 | \$100 00              |
| Sadhu Vaswani Mission                          | 494 Dune Ave                                     | Closter NJ 07624             | 12/27/2016 | \$50 00               |
| Clinica Verde                                  | 512 Pine Hill Road                               | Angwin CA 94508              | 12/27/2016 | \$50 00               |
| American Jewish Joint Distribution Committee   | P O Box 4124                                     | New York NY 10163            | 12/27/2016 | \$500 00              |
| American Jewish Joint Distribution Committee   | P O Box 4124                                     | New York NY 10163            | 12/27/2016 | \$180 00              |
| Companions for Heroes                          | PO Box 961                                       | Lake Hopatcong NJ 07849      | 12/27/2016 | \$500 00              |
| University of Portland                         | 5000 N Willamette Blvd                           | Portland, OR 97203           | 12/27/2016 | \$250 00              |
| University of Wisconsin Foundation             | 1848 University Ave                              | Madison WI 53726             | 12/27/2016 | \$500 00              |
| Temple Beth Shalom                             | 2625 N Tustin Avenue                             | Santa Ana, CA 92705          | 12/27/2016 | \$500 00              |
| St Sebastian School                            | 307 Siebert Road                                 | Pittsburgh, PA 15237         | 12/27/2016 | \$1 000 00            |
| FACT Seneca County                             | P O Box 624                                      | Tiffin OH 44883              | 12/27/2016 | \$75 00               |
| Robert A Toigo Foundation                      | 180 Grand Ave Suite 450                          | Oakland CA 94612             | 12/27/2016 | \$50 00               |
| Cardinal Wuerl North Catholic High School      | 1617 Route 228                                   | Cranberry Township PA 16066  | 12/27/2016 | \$1 000 00            |
| University of Illinois Foundation              | 1305 West Green Street                           | Urbana, IL 61801             | 12/27/2016 | \$1 100 00            |
| Save the Children Federation                   | 501 Kings Highway East Suite 400                 | Fairfield CT 06825           | 12/27/2016 | \$250 00              |
| World Wildlife Fund                            | 1250 24th St NW                                  | Washington DC 20037          | 12/27/2016 | \$250 00              |
| Trustees of the University of Pennsylvania     | 2929 Walnut Street                               | Philadelphia, PA 19104-5099  | 12/27/2016 | \$100 00              |
| Sutter Health CPMC Foundation                  | 2015 Steiner Street 2nd Floor                    | San Francisco CA 94115       | 12/27/2016 | \$200 00              |
| University of Chicago Booth School of Business | 401 North Michigan Avenue Suite 900              | Chicago Illinois 60611       | 12/27/2016 | \$1 150 00            |
| Davis Magnet School Education Foundation       | 1050 Arlington Drive                             | Costa Mesa, CA 92626         | 12/27/2016 | \$200 00              |
| Cortland College Foundation                    | P O Box 2000                                     | Cortland NY 13045            | 12/27/2016 | \$500 00              |
| New Horizons                                   | 294 Medical Drive                                | Arlene TX 79601              | 12/27/2016 | \$100 00              |
| Greenville College                             | 315 E College Ave                                | Greenville IL 62246          | 12/27/2016 | \$2 000 00            |
| UGA Foundation                                 | Milledge Centre Suite 100 394 South Milledge Ave | Athens GA 30602              | 12/27/2016 | \$1 000 00            |
| UGA Foundation                                 | Milledge Centre Suite 100 394 South Milledge Ave | Athens GA 30602              | 12/27/2016 | \$150 00              |
| 2017 William C Hartman Junior Fund             | PO Box 1472                                      | Athens GA 30603              | 12/27/2016 | \$550 00              |
| Yale University                                | 157 Church Street                                | New Haven CT 06510           | 12/27/2016 | \$300 00              |
| Little Kids Rock                               | 271 Grove Ave Bldg E2                            | Verona, NJ 07044             | 12/27/2016 | \$515 00              |
| Syracuse University                            | Dineen Hall                                      | Syracuse NY 13244            | 12/27/2016 | \$2 000 00            |
| The V Foundation                               | 14600 Weston Parkway                             | Car, NC 27513                | 12/27/2016 | \$1 000 00            |
| Appalachian Research & Defense Fund of KY      | 120 N Front Avenue                               | Prestonsburg KY 41653        | 12/27/2016 | \$500 00              |
| Friends of Women's World Banking               | 122 East 42nd Street 42nd Floor                  | New York NY 10168            | 12/27/2016 | \$500 00              |
| International Documentary Association          | 5161 Whitaker Drive                              | Nashville TN 37211           | 12/27/2016 | \$1 000 00            |
| St John's High School                          | 378 Main Street                                  | Shrewsbury MA 01545          | 12/27/2016 | \$500 00              |
| ETTA                                           | 12722 Riverside Dr Suite 105                     | North Hollywood CA 91607     | 12/27/2016 | \$200 00              |
| Santiago Baseball Booster                      | 1395 Foothill Parkway                            | Corona CA 92881              | 12/27/2016 | \$200 00              |
| New York Road Runners                          | 156 W 56th Street 3rd Floor                      | New York NY 10019            | 12/27/2016 | \$100 00              |
| Direct Relief                                  | 27 S La Palera Lane                              | Santa Barbara CA 93117       | 12/27/2016 | \$400 00              |
| Green Beret Foundation                         | 14402 Blanco Road Suite 101                      | San Antonio TX 78216         | 12/27/2016 | \$1 750 00            |
| Center for Competitive Politics                | 124 S West Street Suite 201                      | Alexandria, VA 22314         | 12/27/2016 | \$100 00              |
| Futures and Options                            | 120 Broadway Suite 1019                          | New York NY 10271            | 12/27/2016 | \$1 000 00            |
| Massachusetts Institute of Technology          | 600 Memorial Drive W98-200                       | Cambridge MA 02139-4822      | 12/27/2016 | \$750 00              |
| Chinmaya Mission Los Angeles                   | 14451 Franklin Ave                               | Tustin CA 92870              | 12/27/2016 | \$500 00              |
| University of Chicago                          | 5235 S Harper Court 4th Floor                    | Chicago IL 60615             | 12/27/2016 | \$2 000 00            |
| Innovators in Health                           | 524 Parker St                                    | Newton MA 02459              | 12/27/2016 | \$750 00              |
| University of Wisconsin Foundation             | 1848 University Ave                              | Madison, WI 53726            | 12/27/2016 | \$2 000 00            |
| Allie's Helping Hands                          | P O Box 7474                                     | Laguna Niguel CA 92607       | 12/27/2016 | \$2 000 00            |
| JSerra Catholic High School                    | 26351 Junipero Serra Road                        | San Juan Capistrano CA 92675 | 12/27/2016 | \$1 000 00            |
| WINGS for Growth                               | 47 Cedar Road                                    | Watchung, NJ 07069           | 12/27/2016 | \$1 000 00            |
| PYXERA Global                                  | 1030 15th Street NW Suite 730 East               | Washington DC 20005          | 12/28/2016 | \$15 000 00           |
| U S Chamber of Commerce Foundation             | P O Box 1200                                     | Washington DC 20013          | 12/28/2016 | \$10 000 00           |
| OC Food Bank                                   | 11870 Monarch Street                             | Garden Grove CA 92841        | 12/28/2016 | \$5 575 00            |
| Orange County Community Foundation             | 4041 MacArthur Blvd Suite 510                    | Newport Beach CA 92660       | 12/28/2016 | \$15 000 00           |
| Global Good Fund                               | P O Box 15861                                    | Washington DC 20003-9997     | 12/28/2016 | \$15 000 00           |
| Callisto                                       | 222 Broadway 20th Fl                             | New York, NY 10038           | 12/28/2016 | \$0 00                |
| Figure Skating In Harlem                       | 361 W 125th Street 4th Floor                     | New York NY 10027            | 12/28/2016 | \$1 000 00            |
| Girls Write Now Inc                            | 247 West 37th Street Suite 1000                  | New York NY 10018            | 12/28/2016 | \$1 000 00            |
| Global Aids Interfaith Alliance                | 2171 Francisco Blvd East Suite 1                 | San Rafael CA 94901          | 12/28/2016 | \$1 000 00            |
| Hot Bread Kitchen                              | 1590 Park Avenue                                 | New York NY 10029            | 12/28/2016 | \$1 000 00            |
| Mercado Global                                 | 254 36th Street Suite C-308 Unit 41              | Brooklyn NY 11232            | 12/28/2016 | \$1 000 00            |
| Plan International USA                         | 1255 23rd Street NW Suite 300                    | Washington DC 20037          | 12/28/2016 | \$1 000 00            |
| Rising Tide Capital Inc                        | 334 Martin Luther King Drive                     | Jersey City NJ 07305         | 12/28/2016 | \$1 000 00            |
| SHE-CAN                                        | 1050 Court Street #223                           | San Rafael CA 94901          | 12/28/2016 | \$1 000 00            |
| Sustainable Health Enterprises                 | 175 Varick Street, 6th Floor                     | New York NY 10014            | 12/28/2016 | \$1 000 00            |
| Thistle Farms                                  | 5122 Charlotte Pike                              | Nashville TN 37209           | 12/28/2016 | \$1 000 00            |
| Trickle Up Program                             | 104 W 27th St 12th Fl                            | New York NY 10001            | 12/28/2016 | \$1 000 00            |
| Mercy Corps                                    | 45 SW Ankeny Street                              | Portland OR 97204            | 12/28/2016 | \$23 000 00           |
| Save the Children Federation                   | 501 Kings Highway East Suite 400                 | Fairfield CT 06825           | 12/28/2016 | \$12 000 00           |
| Oak Middle School PTA                          | 10821 Oak St                                     | Los Alamitos CA 90720        | 12/28/2016 | \$150 00              |
| Friedrichs Ataxia Research Alliance            | PO Box 1537                                      | Springfield, VA 22151        | 12/28/2016 | \$50 00               |
| <b>Total</b>                                   |                                                  |                              |            | <b>\$4,034,491 78</b> |

THE PIMCO FOUNDATION (FEIN 33-0891470)  
FYE 12/31/2016  
**REALIZED GAIN LOSS FROM SALE OF SECURITIES**

| FUND NAME                                              | Total Gan/Loss on Sale | SALES<br>PROCEED      | COST                  |
|--------------------------------------------------------|------------------------|-----------------------|-----------------------|
| <u>PIMCO All Asset Institutional</u>                   | (17,218 82)            | 250,000 00            | 267,218 82            |
| <u>PIMCO Total Return Institutional</u>                | (144,429 42)           | 1,296,198 00          | 1,440,627 42          |
| <u>PIMCO Diversified Income Fund</u>                   | (91,027 24)            | 4,879,302 35          | 4,970,329 59          |
| <u>PIMCO Multi Strategy Alternative</u>                | -                      | -                     | -                     |
| <u>PIMCO Income Institutional</u>                      | (61,207 92)            | 3,602,579 00          | 3,663,786 92          |
| <u>PIMCO Global Multi-Asset Institutional</u>          | 10,395 09              | 370,000 00            | 359,604 91            |
| <u>PIMCO Unconstrained Bond Institutional</u>          | (447,367 08)           | 4,918,466 26          | 5,365,833 34          |
| <u>PAPS Short-Term Floating NAV II</u>                 | 552 92                 | 18,020,000 00         | 18,019,447 08         |
| <u>PIMCO Senior Floating Rate Institutional</u>        | -                      | -                     | -                     |
| <u>PIMCO RAE Fundamental Emerging</u>                  | 331,988 46             | 2,540,475 45          | 2,208,486 99          |
| <u>PIMCO Inflation Response M-A Institutional</u>      | (1,804,613 52)         | 9,952,101 99          | 11,756,715 51         |
| <u>PIMCO Dividend and Income Builder Institutional</u> | (1,526,865 65)         | 5,164,136 89          | 6,691,002 54          |
| <u>PIMCO Real Return Asset Fund</u>                    | (205,104 92)           | 7,067,840 00          | 7,272,944 92          |
| <u>Pimco Dynamic Credit Income</u>                     | (20,054 09)            | -                     | 20,054 09             |
| <u>Pimco Capital SEC &amp; Financial Inst</u>          | -                      | -                     | -                     |
| <u>PIMCO Real Estate Real Return</u>                   | -                      | -                     | -                     |
| <u>Options</u>                                         | 9,118 28               | 92,619 88             | 83,501 60             |
| <u>Futures</u>                                         | (36,746 81)            | 379,225 02            | 415,971 83            |
| <u>Derivatives</u>                                     | (27,766 80)            | 182,410 99            | 210,177 79            |
| <u>FNMA TBA 30 YR 3 5 Mortgage &amp; Pool</u>          | (267,005 64)           | 45,951,621 09         | 46,218,626 73         |
| <u>TSY INFL IX N/B</u>                                 | 72,803 11              | 6,903,697 82          | 6,830,894 71          |
| <u>PIMCO Foundation Cash Equivalents</u>               | -                      | -                     | -                     |
| <u>Other adjustment</u>                                | 4,848 00               | 4,848 00              | -                     |
| <u>Grand Total</u>                                     | <u>(4,219,702 05)</u>  | <u>111,575,522 74</u> | <u>115,795,224.79</u> |