

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	574,446	519,812	519,812		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	3,750	3,750	3,750		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)		279,682	279,359		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	4,159,021	3,591,393	3,663,350		
	14	Land, buildings, and equipment basis ▶ _____ 3,566 Less accumulated depreciation (attach schedule) ▶ _____ 3,566					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,737,217	4,394,637	4,466,271			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	4,737,217	4,394,637			
	30	Total net assets or fund balances (see instructions)	4,737,217	4,394,637			
31	Total liabilities and net assets/fund balances (see instructions) .	4,737,217	4,394,637				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,737,217
2	Enter amount from Part I, line 27a	2	-326,977
3	Other increases not included in line 2 (itemize) ▶ _____	3	9,100
4	Add lines 1, 2, and 3	4	4,419,340
5	Decreases not included in line 2 (itemize) ▶ _____	5	24,703
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,394,637

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-100,858
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	269,491	4,669,939	0 05771
2014	306,566	5,096,881	0 06015
2013	264,123	4,843,225	0 05454
2012	211,590	4,687,058	0 04514
2011	198,450	3,901,374	0 05087
2 Total of line 1, column (d)			0 268401
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 053680
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4,338,161
5 Multiply line 4 by line 3			232,872
6 Enter 1% of net investment income (1% of Part I, line 27b)			386
7 Add lines 5 and 6			233,258
8 Enter qualifying distributions from Part XII, line 4			212,671

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	771
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	771
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	771
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	18,757
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	18,757
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	17,986
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 17,986 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address http://www.gochnauer.org/gochnauer-foundation	13	Yes	
14	The books are in care of RICHARD W GOCHNAUER Telephone no (949) 752-2190			

Located at **210 HAZEL DRIVE CORONA DEL MAR CA** ZIP+4 **92625**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b		No
Organizations relying on a current notice regarding disaster assistance check here.	☐ Yes ☒ No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD W GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	PRES & TREAS 0 00	0		
BETH A GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	CHAIR/SECRETARY 0 00	0		
GRANT D GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	VICE PRESIDENT 0 00	0		
MEG LEHMANN 210 HAZEL DR CORONA DEL MAR, CA 92625	Vice President 0 00	52,000		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 	
2 	
All other program-related investments. See instructions. 3 	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,816,868
b	Average of monthly cash balances.	1b	529,742
c	Fair market value of all other assets (see instructions).	1c	57,614
d	Total (add lines 1a, b, and c).	1d	4,404,224
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,404,224
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	66,063
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,338,161
6	Minimum investment return. Enter 5% of line 5.	6	216,908

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	216,908
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	771
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	771
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	216,137
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	216,137
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	216,137

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	212,671
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	212,671
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	212,671

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				216,137
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	7,948			
b From 2012.				
c From 2013.	27,676			
d From 2014.	58,402			
e From 2015.	47,450			
f Total of lines 3a through e.	141,476			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 212,671				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				212,671
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	3,466			3,466
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	138,010			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	4,482			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	133,528			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.	27,676			
c Excess from 2014.	58,402			
d Excess from 2015.	47,450			
e Excess from 2016.				

Part XIV

- 3

Part XV

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	212,671
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	12,285	
4	Dividends and interest from securities. . . .			14	63,327	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			1	4,293	
8	Gain or (loss) from sales of assets other than inventory			18	-100,859	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .				-20,954	
13	Total. Add line 12, columns (b), (d), and (e).			13		-20,954

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name CLAUDIO A HAUG	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00801170
Firm's name ▶ Claudio A Haug CPA A Prof Corp				Firm's EIN ▶
Firm's address ▶ 4910 Campus Drive Newport Beach, CA 926602119				Phone no (949) 752-2190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
MERRILL LYNCH #472	P	2016-12-12	2016-12-12
MERRILL LYNCH #472	P	2012-12-12	2016-12-12
MERRILL LYNCH #445	P	2016-12-12	2016-12-12
MERRILL LYNCH #445	P	2012-12-12	2016-12-12
MERRILL LYNCH #472 1099-DIV	P	2012-12-12	2016-12-12
Wash Sale			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
246,849		261,052	-14,203
872,903		930,545	-57,642
80,583		100,000	-19,417
168,420		201,750	-33,330
23,733			23,733
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14,203
			-57,642
			-19,417
			-33,330
			23,733

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE WOODEN FLOOR 1810 NORTH MAIN STREET SANTA ANA, CA 92706	NONE	501C3	GENERAL	7,500
ENCOMPASS EARLY EDUCATION AND CARE PO BOX 1627 GREEN BAY, WI 54305	NONE	501C3	GENERAL	1,000
RUSH UNIVERSITY MEDICAL CTR 1700 W VAN BUREN ST STE 250 CHICAGO, IL 60612	NONE	501C3	GENERAL	2,500
ONEGOAL 215 W SUPERIOR STREET SUITE 700 CHICAGO, IL 60654	NONE	501C3	GENERAL	10,000
OPPORTUNITY INTERNATIONAL 550 WEST VAN BUREN ST STE 200 CHICAGO, IL 60607	NONE	501C3	GENERAL	35,000
Total 				212,671
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARROLL COLLEGE 1601 N BENTON AVE HELENA, MT 59625	NONE	501C3	GENERAL	7,500
CITY OF HOPE 1500 E DUARTE RD DUARTE, CA 91010	NONE	501C3	GENERAL	10,000
SERVING PEOPLE IN NEED 151 KALMUS H-2 COSTA MESA, CA 92626	NONE	501C3	GENERAL	1,000
FREE WHEELCHAIR MISSION 15279 ALTON PKWY STE 300 IRVINE, CA 92618	NONE	501C3	GENERAL	5,000
CASA 1505 E 17TH ST STE 214 SANTA ANA, CA 92705	NONE	501C3	GENERAL	3,500
Total ► 3a				212,671

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON, IL 60208	NONE	501C3	GENERAL	1,000
EQUALITY ILLINOIS EDUCATION PROJECT 3318 N HALSTED ST CHICAGO, IL 60657	NONE	501C3	GENERAL	12,700
UNIVERSITY OF WISCONSIN GREEN BAY F 2420 NICOLET DRIVE GREEN BAY, WI 54311	NONE	501C3	GENERAL	51,950
HOAG HOSPITAL FOUNDATION 500 SUPERIOR AVENUE SUITE 350 NEWPORT BEACH, CA 92663	NONE	501C3	GENERAL	950
SPARK CHICAGO 223 W JACKSON SUITE 520 CHICAGO, IL 60606	NONE	501C3	GENERAL	7,000
Total ► 3a				212,671

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIDS FOUNDATION OF CHICAGO 200 WEST JACKSON BLVD SUITE 2100 CHICAGO, IL 60606	NONE	501C3	GENERAL	200
PAWS CHICAGO 1997 N CLYBOURN AVENUE CHICAGO, IL 60614	NONE	501C3	GENERAL	500
PLANT WITH PURPOSE 4747 MORENA BLVD SUITE 100 SAN DIEGO, CA 92117	NONE	501C3	GENERAL	5,000
FRIENDSHIP SHELTER PO BOX 4252 LAGUNA BEACH, CA 92652	NONE	501C3	GENERAL	1,000
ART WITH IMPACT 848 PERALTA AVE SAN FRANCISCO, CA 94110	NONE	501C3	GENERAL	5,000
Total 				212,671
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ABZYME RESEARCH FOUNDATION 67 IRVING PLACE 12TH FL NEW YORK, NY 10003	NONE	501C3	GENERAL	10,000
LAGUNA DANCE FESTIVAL 24112 MOULTON PARKWAY LAGUNA WOODS, CA 92637	NONE	501C3	GENERAL	1,000
ST ANNE SCHOOL 32451 BEAR BRAND ROAD LAGUNA NIGUEL, CA 92677	NONE	501C3	GENERAL	8,800
UCI FOUNDATION 100 THEORY SUITE 250 IRVINE, CA 92617	NONE	501C3	CENTER FOR AUTISM RESEARCH AND TRANSLATION RESEARCH FUND	1,000
UCI FOUNDATION 100 THEORY SUITE 250 IRVINE, CA 92617	NONE	501C3	CHIEF EXECUTIVE ROUNDTABLE	11,400
Total ▶ 3a				212,671

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FAMILY EQUALITY COUNCIL 475 PARK AVE SOUTH NEW YORK, NY 10016	NONE	501C3	SUPPORT ORGANIZATION	4,000
GREEN BAY BOTANICAL GARDEN 2600 LARSEN ROAD GREEN BAY, WI 54303	NONE	501C3	SUPPORT ORGANIZATION	1,000
THE ECOLOGY CENTER 32701 ALIPAZ ST SAN JUAN CAPISTRANO, CA 92675	NONE	501C3	SUPPORT ORGANIZATION	250
ORT 1745 BROADWAY 17TH FLOOR NEW YORK, NY 10019	NONE	GOV	SUPPORT ORGANIZATION	284
OPERATION SMILE 3641 FACULTY BLVD VIRGINIA BEACH, VA 23453	NONE	501C3	SUPPORT ORGANIZATION	1,000
Total 3a				212,671

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMER'S ORANGE COUNTY 2515 MCCABE WAY STE 200 IRVINE, CA 92614	NONE	501C3	SUPPORT ORGANIZATION	1,000
FAMILY MATTERS 7731 N MARSHFIELD AVE CHICAGO, IL 60626	NONE	501C3	SUPPORT ORGANIZATION	257
PROUD TO RUN CHICAGO 600 W MONTROSE HARBOR DRIVE CHICAGO, IL 60640	NONE	501C3	SUPPORT ORGANIZATION	105
LAMBDA LEGAL 105 W ADAMS 26TH FL CHICAGO, IL 60603	NONE	501C3	SUPPORT ORGANIZATION	400
LAGUNA NIGUEL YOUTH SOCCER ASSOCIAT 30025 ALICIA PARKWAY 188 LAGUNA NIGUEL, CA 92677	NONE	501C3	SUPPORT ORGANIZATION	175
Total ▶ 3a				212,671

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CO OMAZECOM 9942 CULVER BLVD CULVER CITY, CA 90232	NONE	501C3	SUPPORT ORGANIZATION	1,200
HEARD MUSEUM 2301 N CENTRAL AVE PHOENIX, AZ 85004	NONE	501C3	SUPPORT DONATION	200
PEO 3700 GRAND AVE DES MOINES, IA 50312	NONE	501C3	P E O EDUCATIONAL LOAN FUND	100
GOODWILL 5420 21ST STREET RACINE, WI 53406	NONE	501C3	SUPPORT ORGANIZATION	2,200
Total ▶ 3a				212,671

TY 2016 Accounting Fees Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	5,388	5,388	0	0

TY 2016 Investments Corporate Bonds Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MERRILL LYNCH-ACCRUED INT (SEE ATTACHED)		779
MERRILL LYNCH-CORP BONDS (SEE ATTACHED)	279,682	278,580

TY 2016 Investments - Other Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIELDSTONE NOTE RECEIVABLE	FMV		
MERRILL LYNCH-EQUITIES (SEE ATTACHED)	FMV	225,000	234,873
MERRILL LYNCH-MUTUAL FUND (SEE ATTACHED)	FMV	3,312,027	3,374,111
FNE HOLDINGS, LLC	FMV	54,366	54,366

**TY 2016 Land, Etc.
Schedule****Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Machinery and Equipment	3,566	3,566		

TY 2016 Other Decreases Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
FEDERAL TAX PAYMENT	22,000
NON-DEDUCTIBLE PASSTHROUGH	53
VALUE OF GOODS RECEIVED FOR DONATIONS	2,650

TY 2016 Other Expenses Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GOVERNMENT FEES	105	105		
OPERATING EXPENSES	1,029	1,029		
OUTSIDE SERVICE	869	869		
PASS THRU DONATIONS	5	5		

TY 2016 Other Income Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	4,293	4,293	

TY 2016 Other Increases Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
FEDERAL TAX REFUND	9,100

TY 2016 Other Professional Fees Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	29,326	29,326	0	0

TY 2016 Taxes Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	652	652		
PAYROLL TAXES	3,978	3,978		