EXTENDED TO NOVEMBER 15, 2017

Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , **and ending**

Name of foundation: UEBERROTH FAMILY FOUNDATION

A Employer identification number: 33-0078919

Number and street (or P O box number if mail is not delivered to street address): 11150 W. OLYMPIC BLVD SUITE 860 | Room/suite

B Telephone number: 310-473-7317

City or town, state or province, country, and ZIP or foreign postal code: LOS ANGELES, CA 90064-1839

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 41,843,556.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received	3,412,116.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	605,670.	605,670.	605,670.	STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	175,299.			
b	Gross sales price for all assets on line 6a 2,036,293.				
7	Capital gain net income (from Part IV, line 2)		175,299.		
8	Net short-term capital gain			N/A	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	<103,674.>	<103,674.>	<103,674.	STATEMENT 2
12	**Total** Add lines 1 through 11	4,089,411.	677,295.	501,996.	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	144,000.	0.	0.	0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	11,500.	11,500.	11,500.	0.
c	Other professional fees				
17	Interest				
18	Taxes STMT 4	9,966.	160.	9,966.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	328,346.	292,268.	328,346.	0.
24	**Total operating and administrative expenses.** Add lines 13 through 23	493,812.	303,928.	349,812.	0.
25	Contributions, gifts, grants paid	2,258,000.			2,258,000.
26	**Total expenses and disbursements.** Add lines 24 and 25	2,751,812.	303,928.	349,812.	2,258,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,337,599.			
b	**Net investment income** (if negative, enter -0-)		373,367.		
c	**Adjusted net income** (if negative, enter -0-)			152,184.	

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623501 11-23-16 LHA **For Paperwork Reduction Act Notice, see instructions** Form **990-PF** (2016)

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12401003 140795 UFF 2016.04030 UEBERROTH FAMILY FOUNDATION UFF____1

18

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,356,822.	4,787,313.	4,787,313.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	386,438.	1,538,337.	2,288,641.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	30,211,053.	32,418,028.	34,767,602.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	37,954,313.	38,743,678.	41,843,556.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ CREDIT CARD DEBT)	0.	493.	
	23 **Total liabilities** (add lines 17 through 22)	0.	493.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	37,954,313.	38,743,185.	
	30 **Total net assets or fund balances**	37,954,313.	38,743,185.	
	31 **Total liabilities and net assets/fund balances**	37,954,313.	38,743,678.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	37,954,313.
2	Enter amount from Part I, line 27a	2	1,337,599.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	39,291,912.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	548,727.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	38,743,185.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,036,293.		1,860,994.	175,299.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				175,299.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 175,299.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 — 3 — 228,072.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2,823,900.	38,412,258.	.073516
2014	2,646,280.	39,854,600.	.066398
2013	2,501,318.	40,178,585.	.062255
2012	52,000.	3,456,480.	.015044
2011	2,398,012.	40,794,036.	.058783

		Line	Amount
2	**Total** of line 1, column (d)	2	.275996
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055199
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	37,714,164.
5	Multiply line 4 by line 3	5	2,081,784.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,734.
7	Add lines 5 and 6	7	2,085,518.
8	Enter qualifying distributions from Part XII, line 4	8	2,258,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	3,734.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,734.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,734.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	30,000.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	30,000.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	24.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	26,242.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶		26,242. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ISAAC BARANOWICZ, CPA Telephone no. ▶ 310-473-7317
Located at ▶ 11150 W. OLYMPIC BLVD SUITE 860, LOS ANGELES, CA ZIP+4 ▶ 90064

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		144,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2016)

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	33,674,998.
b	Average of monthly cash balances	1b	4,613,493.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	38,288,491.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	38,288,491.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	574,327.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,714,164.
6	**Minimum investment return** Enter 5% of line 5	6	1,885,708.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,885,708.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 3,734.		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	3,734.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,881,974.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,881,974.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,881,974.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,258,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,258,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,734.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	2,254,266.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					1,881,974.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years: ____ , ____ , ____			0.		
3 Excess distributions carryover, if any, to 2016:					
a From 2011	394,464.				
b From 2012					
c From 2013	544,653.				
d From 2014	681,790.				
e From 2015	951,596.				
f **Total** of lines 3a through e		2,572,503.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 2,258,000.					
a Applied to 2015, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2016 distributable amount					1,881,974.
e Remaining amount distributed out of corpus		376,026.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,948,529.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr.				0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7		394,464.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		2,554,065.			
10 Analysis of line 9:					
a Excess from 2012					
b Excess from 2013	544,653.				
c Excess from 2014	681,790.				
d Excess from 2015	951,596.				
e Excess from 2016	376,026.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

VIRGINIA UEBERROTH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

VICKI BOOTH, (949) 720-9646
P.O. BOX 37, CORONA DEL MAR, CA 92625

b The form in which applications should be submitted and information and materials they should include:

STANDARD SOLICITATION FORMS

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE ATTACHED	NONE		FOUNDATION GOAL	2,258,000.
Total			▶ 3a	2,258,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	605,670.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	<103,674.>	
8 Gain or (loss) from sales of assets other than inventory			18	175,299.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		677,295.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 677,295.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Virginia Ueberroth | 10/5/2017 | ▶ Chairman

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ISAAC BARANOWICZ	[signature]	10/4/17		P00587679
Firm's name ▶ IB MANAGEMENT, INC			Firm's EIN ▶ 95-3276792	
Firm's address ▶ 11150 W. OLYMPIC BLVD STE 860 LOS ANGELES, CA 90064			Phone no. 310-473-7317	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization

UEBERROTH FAMILY FOUNDATION

Employer identification number

33-0078919

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . ▶ $ ________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
UEBERROTH FAMILY FOUNDATION	33-0078919

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	UEBERROTH FAMILY TRUST P.O. BOX 100 LAGUNA BEACH, CA 92652	$ 1,350,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	UEBERROTH FAMILY TRUST P.O. BOX 100 LAGUNA BEACH, CA 92652	$ 2,062,116.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: UEBERROTH FAMILY FOUNDATION

Employer identification number: 33-0078919

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	3,200 SHS ABBVIE INC	$ 199,264.	12/28/16
2	2,067 SHS ALTRIA GROUP INC	$ 139,543.	12/28/16
2	1,451 SHS ANHEUSER-BUSCH INBEV	$ 150,759.	12/28/16
2	2,233 SHS AUTO DATA PROCESSING	$ 230,111.	12/28/16
2	3,312 SHS DIGITAL REALTY TRUST	$ 318,018.	12/28/16
2	2,046 SHS ELI LILLY & COMPANY	$ 149,481.	12/28/16

Name of organization	Employer identification number
UEBERROTH FAMILY FOUNDATION	33-0078919

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	2,686 SHS PACCAR INC	$ 174,483.	12/28/16
2	1,365 SHS SPDR GOLD SHARES	$ 148,594.	12/28/16
2	4,509 SHS SPECTRA ENERGY CORP	$ 184,869.	12/28/16
2	2,020 SHS THOMSON REUTERS CORP	$ 88,274.	12/28/16
2	2,506 SHS TORONTO DOMINION BANK	$ 124,047.	12/28/16
2	2,529 SHS VENTAS INC	$ 154,673.	12/28/16

Name of organization	Employer identification number
UEBERROTH FAMILY FOUNDATION	33-0078919

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THROUGH PARTNERSHIPS	P	VARIOUS	VARIOUS
b	CAPITAL GAIN DIVIDENDS	P	VARIOUS	VARIOUS
c	BASIS ADJUSTMENT	P	VARIOUS	VARIOUS
d	15,523.129 SHS T ROWE PRICE ERA	P	11/20/15	09/26/16
e	30,354.729 SHS TEMPLETON GLOBAL BD	P	VARIOUS	09/26/16
f	168.255 SHS TEMPLETON GLOBAL BD	P	VARIOUS	09/26/16
g	86,353.786 SHS TEMPLETON GLOBAL BD	P	VARIOUS	09/26/16
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	220,600.			220,600.
b	33,547.			33,547.
c	8.			8.
d	500,000.		469,385.	30,615.
e	332,991.		385,764.	<52,773.>
f	1,846.		2,138.	<292.>
g	947,301.		1,003,707.	<56,406.>
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				** 220,600.
b				** 33,547.
c				** 8.
d				** 30,615.
e				<52,773.>
f				** <292.>
g				** <56,406.>
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	175,299.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	228,072.

623591
04-01-16

** (SHORT-TERM)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS PER P&L STATEMENT	389,045.	0.	389,045.	389,045.	389,045.
DIVIDENDS THROUGH PARTNERSHIPS	196,338.	0.	196,338.	196,338.	196,338.
INTEREST PER P&L STATEMENT	845.	0.	845.	845.	845.
INTEREST THROUGH PARTNERSHIPS	19,442.	0.	19,442.	19,442.	19,442.
TO PART I, LINE 4	605,670.	0.	605,670.	605,670.	605,670.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP - ORDINARY INCOME	<138,140.>	<138,140.>	<138,140.>
PARTNERSHIP - RENTAL INCOME	<268.>	<268.>	<268.>
PARTNERSHIP - ROYALTY INCOME	34.	34.	34.
PARTNERSHIP - OTHER INCOME	34,700.	34,700.	34,700.
TOTAL TO FORM 990-PF, PART I, LINE 11	<103,674.>	<103,674.>	<103,674.>

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	11,500.	11,500.	11,500.	0.
TO FORM 990-PF, PG 1, LN 16B	11,500.	11,500.	11,500.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	160.	160.	160.	0.
PAYROLL TAXES	9,806.	0.	9,806.	0.
TO FORM 990-PF, PG 1, LN 18	9,966.	160.	9,966.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE ACCOUNT FEES	164,815.	164,815.	164,815.	0.
CONSULTING	17,124.	0.	17,124.	0.
OFFICE EXPENSES	8,554.	0.	8,554.	0.
PARTNERSHIP PORTFOLIO EXP	98,430.	98,430.	98,430.	0.
PAYROLL PROCESSING	1,093.	0.	1,093.	0.
TAXES PAID ON INVESTMENTS	29,023.	29,023.	29,023.	0.
TRAVEL AND CONFERENCES	2,807.	0.	2,807.	0.
PROFESSIONAL MEMBERSHIPS	6,500.	0.	6,500.	0.
TO FORM 990-PF, PG 1, LN 23	328,346.	292,268.	328,346.	0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
FEDERAL INCOME TAX	54,657.
NONDEDUCTIBLE PARTNERSHIP EXPENSES	1,861.
ADJUSTMENT TO DONOR'S BASIS	492,209.
TOTAL TO FORM 990-PF, PART III, LINE 5	548,727.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	1,538,337.	2,288,641.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,538,337.	2,288,641.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	COST	16,395,331.	18,104,994.
SEE SCHEDULE ATTACHED	COST	16,022,697.	16,662,608.
TOTAL TO FORM 990-PF, PART II, LINE 13		32,418,028.	34,767,602.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 9

NAME OF CONTRIBUTOR	ADDRESS
UEBERROTH FAMILY TRUST	P.O. BOX 100 LAGUNA BEACH, CA 92652

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
VIRGINIA UEBERRROTH P.O. BOX 100 LAGUNA BEACH, CA 92652	CHAIRMAN 3.00	0.	0.	0.
VICKI BOOTH P.O. BOX 100 LAGUNA BEACH, CA 92652	PRESIDENT 40.00	144,000.	0.	0.
KERI UEBERROTH P.O. BOX 100 LAGUNA BEACH, CA 92652	DIRECTOR 1.00	0.	0.	0.
HEIDI UEBERROTH P.O. BOX 100 LAGUNA BEACH, CA 92652	DIRECTOR 1.00	0.	0.	0.
PETER UEBERROTH P.O. BOX 100 LAGUNA BEACH, CA 92652	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		144,000.	0.	0.

Investment Book and Market Valuations by Class

As of December 31, 2016

Investment Description	Quantity On Hand	Book Value	Market Value
Corporate Stocks			
Abbvie Inc	3,200.00	144,181 02	200,384.00
Altna Group Inc	2,067.00	72,620.38	139,770 54
Anheuser-Busch Inbev	1,451.00	169,151 34	152,993 44
Auto Data Processing	2,233 00	157,321.68	229,507.74
Coca Cola Company	16,000.00	330,057 27	663,360 00
First Amencan Financial	5,000.00	56,380.50	183,150 00
Eli Lilly & Company	2,046 00	126,851.80	150,483 30
Paccar Inc	2,686 00	148,807 58	171,635 40
Spectra Energy Corp	4,509 00	152,183 73	185,274 81
Thomson Reuters Corp	2,020 00	71,817.23	88,435 60
Toronto Dominion Bank	2,506.00	108,964 56	123,646 04
Total Corporate Stocks		**1,538,337.09**	**2,288,640.87**
Other Investments			
Aviva - Multi Strategy Target		1,250,000 00	1,283,787.56
Baxter Street Fund II, LP		1,983,540 00	2,027,599.98
Harvest MLP Income Fund LLC		1,448,660 00	2,111,797 31
Kabouter Intl Opp Fund II LLC		1,001,959.00	1,078,326.37
Manners Republic Holding, LLC		216,866 42	216,866.42
Renaissance Inst'l Equities Fnd		1,310,750 00	1,531,346 41
ROW Diversified Offshore Fund		1,500,000 00	1,506,664 06
The Kilteam Global Equity Fund		2,177,317 00	2,317,900.00
Two Sigma Act Ext US-Benchmark		1,000,000 00	1,123,166 00
Two Sigma Active Ext US All Cap		3,000,000 00	3,329,506.00
Wellington Tr Co CTF Durable Co		1,506,239 00	1,578,033.81
Total Other Investments		**16,395,331.42**	**18,104,993.92**
Other Publicly Traded Securities			
Digital Realty Trust	3,312 00	162,892 67	325,437.12
SPDR Gold Shares ETF	1,365.00	123,143 31	149,617 65
T Rowe Pnce New Era I	70,116 869	2,123,528 75	2,360,133.81
Vanguard 500 Index Fd Admiral Shrs	17,021 169	3,288,307.45	3,516,062.88
Vanguard Developed Mkts Index Adm	128,429.135	1,553,852 69	1,507,758.04
Vanguard Emrg Mkts Stk Index Fd Adm Shrs	120,529 164	3,451,591.64	3,589,358.50
Vanguard Interm Term Trsy Fd Adm Cl Shrs	455,917 698	5,187,408.79	5,056,127 27
Ventas Inc	2,529.00	131,971.50	158,113.08
Total Other Publicly Traded Securities		**16,022,696.80**	**16,662,608.35**
TOTAL ALL INVESTMENTS	**842,938.035**	**33,956,365.31**	**37,056,243.14**

THE UEBERROTH FAMILY FOUNDATION
33-0078919
12/31/2016
<u>SCHEDULE OF GIFTS GIVEN</u>

THE WOODEN FLOOR 1810 North Main St. Santa Ana, CA 92706	$150,000.00
EL SOL ACADEMY 1010 N. Broadway St. Santa Ana, CA 92701	$108,000.00
ORANGEWOOD CHILDRENS FOUNDATION 1575 E. 17th St. Santa Ana, CA 92705	$103,000.00
BOYS AND GIRLS CLUBS OF CENTRAL ORANGE COAST 950 W. Highland Santa Ana, CA 92703	$100,000.00
GIRL'S INC. 1815 Anaheim Ave. Costa Mesa, CA 92627	$100,000.00
SECOND HARVEST FOOD BANK OF O.C. 8014 Marine Way Irvine, CA 92618	$100,000.00
TALLER SAN JOSE 801 North Broadway Santa Ana, CA 92701	$100,000.00
KIDWORKS 1902 W. Chestnut Ave. Santa Ana, CA 92703	$75,000.00
PROJECT HOPE ALLIANCE 345 E. Grove Ave. Orange, CA 92865	$75,000.00
PROVIDENCE SPEECH AND HEARING 1301 Providence Ave. Orange, CA 92868	$75,000.00

CANCER RESEARCH INSTITUTE One Exchange Plaza 55 Broadway # 1802 New York, NY 10006	$56,000.00
HUMAN OPTIONS P.O. Box 53745 Irvine, CA. 92619	$55,500.00
FAMILIES FORWARD 9221 Irvine Blvd. Irvine, CA 92618	$53,500.00
BIG BROTHERS, BIG SISTERS OF O.C. 1801 E. Edinger Ave. Suite 101 Santa Ana, CA 92705	$50,000.00
CASA TERESA P.O. Box 429 Orange, CA 92856	$50,000.00
EL VIENTO FOUNDATION P.O. Box 3897 Huntington Beach, CA 92605-3897	$50,000.00
ILLUMINATION FOUNDATION 780 Roosevelt Irvine, CA 92620	$50,000.00
LAURA'S HOUSE 999 Corporate Dr. #225 Ladera Ranch, CA 92694	$50,000.00
M.I.N.D. RESEARCH INSTITUTE 1503 South Coast Dr. Ste. 202 Costa Mesa CA 92626	$50,000.00
SERVING KIDS HOPE 2100 W. Alton Ave. #2 Santa Ana, CA 92704	$50,000.00
THINK TOGETHER 2100 E. 4th St. Ste 200 Santa Ana, CA 92705	$50,000.00

M.O.M.S. 1128 W. Santa Ana Blvd. Santa Ana, CA 92703	$45,000.00
LAGUNA BEACH COMMUNITY CLINIC 362 3rd St Laguna Beach, CA 92651	$40,000.00
SHEA CENTER 26284 Oso Road San Juan Capistrano, CA 92675	$38,000.00
CHOC CHILDREN'S FOUNDATION 455 S. Main St. Orange, CA 92868	$30,000.00
COUNCIL ON AGING OF OC 1971 E. 4th St. Suite 200 Santa Ana, CA 92705	$25,000.00
HEALTHY SMILES FOR KIDS OF OC 10602 Chapman Ave. Suite 200 Garden Grove, CA 92840	$25,000.00
OAKVIEW RENEWAL PARTNERSHIP P.O. Box 3476 Huntington Beach, CA 92605	$25,000.00
PADRES UNIDOS 708 North Garfield St. Santa Ana, CA 92701	$25,000.00
ACCESS O.C. 25283 Cabot Rd. Ste. 101 Laguna Hills, CA 92653-5509	$20,000.00
ALS TDI/AUGIE'S QUEST 300 Technology Square Ste 400 Cambridge, MA 02139-3515	$20,000.00
C.A.S.A. 1615 E. 17th St. #100 Santa Ana, CA 92705	$20,000.00

FRIENDSHIP SHELTER 1335 S. Coast Hwy Laguna Beach, CA 92651	$20,000.00
GOODWILL INDUSTRIES OF OC 410 N. Fairview Santa Ana, CA 92703	$20,000.00
JOYFUL CHILD FOUNDATION P.O. Box 12680 Westminster, CA 92685	$20,000.00
UCI SAGE SCHOLARS University Research Park 5171 California Ave. #150 Irvine, CA 92697-2505	$20,000.00
CASA YOUTH SHELTER P.O. Box 216 Los Alamitos, CA 90720	$15,000.00
HANDS TOGETHER 201 Civic Center Dr. East Santa Ana, CA 92701	$15,000.00
O.C. BAR FOUNDATION P.O. Box 986 Santa Ana, CA 92702	$15,000.00
OCEAN INSTITUTE 24200 Dana Point Harbor Dr. Dana Point, CA 92629	$15,000.00
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MacArthur Blvd. Ste 510 Newport Beach, CA 92660	$11,000.00
TIGER WOODS FOUNDATION 121 Innovation Dr. Suite 150 Irvine, CA 92617	$11,000.00
2-1-1 ORANGE COUNTY 1505 East 17th St. Suite 108 Santa Ana, CA 92705	$10,000.00

ALZHEIMERS ORANGE COUNTY 17771 Cowan Suite 200 Irvine, CA 92614	$10,000.00
BOYS & GIRLS CLUB OF LAGUNA BEACH 1085 Laguna Canyon Rd. Laguna Beach, CA 92651	$10,000.00
BOYS HOPE/GIRLS HOPE 1041 W. 18th St. Ste. 101 A Costa Mesa, CA 92627	$10,000.00
BUILD ON P.O. Box 16741 Stamford, CT 06905	$10,000.00
CENTER FOR AUTISM & NEURO- DEVELOPMENTAL DISORDERS 2500 Red Hill Ave. Suite 100 Santa Ana, CA 92705	$10,000.00
CHRISTMAS FOR ALL Second and Lakeside Coeur D'Alene, ID 83814	$10,000.00
CRYSTAL COVE ALLIANCE #45 Crystal Cove Newport Coast, CA 92657	$10,000.00
DARTMOUTH COLLEGE 6066 Development Office Hanover, NH 03755-3555	$10,000.00
DISCOVERY CUBE 2500 North Main St. Santa Ana, CA 92705	$10,000.00
HIGH SCHOOL INC. 365 B Clinton St. Costa Mesa, CA 92626	$10,000.00
KIDS IN SPORTS 3980 Bill Robertson Lane Suite 237 Los Angeles, CA 90037-1212	$10,000.00

LAGUNA PLAYHOUSE 606 Laguna Canyon Rd. P.O. Box 1747 Laguna Beach, CA 92652	$10,000.00
MARINE CORPS UNIVERSITY FOUNDATION P.O. Box 122 Quantico, VA 22134	$10,000.00
OCCHC 501 N. Golden Circle Suite 200 Santa Ana, CA 92705	$10,000.00
SAGE HILL SCHOOL 20402 Newport Coast Dr. Newport Coast, CA 92657	$10,000.00
TEXAS CHRISTIAN UNIVERSITY TCU P.O. Box 297440 Fort Worth, Texas 76129	$10,000.00
YOUTH EMPLOYMENT SERVICES 114 E. 19th St. Costa Mesa, CA 92627	$10,000.00
CHAMPION CHARITIES 555 Bryant St. #230 Palo Alto, CA 94301	$6,000.00
AQUARIUM OF THE PACIFIC 100 Aquarium Way Long Beach, CA 90802	$5,000.00
CELEBRATE EDU INC. P.O. Box 853 Ventura, CA 93002	$5,000.00
DAMON RUNYAN CANCER RESEARCH FDN One Exchange Plaza 55 Broadway, Suite 302 New York, NY 10006	$5,000.00
MUCKENTHALER CULTURAL CENTER 1201 W. Malvern Ave. Fullerton, CA 92833	$5,000.00

MUST CHARITIES 212 S. Main St. #205 Templeton, CA 93465	$5,000.00
NEWPORT BEACH PUBLIC LIBRARY 1000 Avocado Ave. Newport Beach, CA 92660	$5,000.00
SPIRIT LEAGUE P.O. Box 3766 Mission Viejo, CA 92690	$5,000.00
CHALLENGED ATHLETE FOUNDATION 9591 Waples St. San Diego, CA 92121	$2,500.00
HOAG HOSPITAL FOUNDATION One Hoag Dr. P.O. Box 6100 Newport Beach, CA 92658-6100	$1,500.00
ATLANTA COMMUNITY FOOD BANK 732 Joseph E. Lowry Blvd NW Atlanta, GA 30318	$1,000.00
HUNTINGTON HOSPITAL 100 West California Blvd. Pasadena, CA 91105	$1,000.00
JIM MURRAY MEMORIAL FOUNDATION P.O. Box 60753 Pasadena, CA 91116	$1,000.00
JOSEPH A. MCBRIDE SCHOLARSHIP FUND P.O. Box 1366 Southampton, NY 11969	$1,000.00
LAND OF THE FREE FOUNDATION 13191 Crossroads Pkwy North 6th Floor City of Industry, CA 91746-3497	$1,000.00
NEWPORT BEACH POLICE ASSOCIATION 2549 Eastbluff Dr. #358 Newport Beach, CA 92660	$1,000.00

OAKLAND MILITARY INSTITUTE 3877 Lusk Street Oakland, CA 94608-3822	$1,000.00
Total:	**$2,258,000.00**