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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation ROBERT & ELIZABETH FERGUS FOUNDATION C/O ELIZABETH FERGUS		A Employer identification number 31-6087932	
Number and street (or P O box number if mail is not delivered to street address) POST OFFICE BOX 1515		Room/suite	B Telephone number (see instructions) (970) 925-7716
City or town, state or province, country, and ZIP or foreign postal code ASPEN, CO 81612		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,673,528	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	65,863	65,863		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	125,829			
	b Gross sales price for all assets on line 6a 2,007,585				
	7 Capital gain net income (from Part IV, line 2) . . .		125,829		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	5,650	5,650		
	12 Total. Add lines 1 through 11	197,342	197,342		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,455	3,430		1,025
	c Other professional fees (attach schedule)	33,766	33,766		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	1,410	1,410		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	586	434		152
	24 Total operating and administrative expenses. Add lines 13 through 23	40,217	39,040		1,177
	25 Contributions, gifts, grants paid	130,500			130,500
	26 Total expenses and disbursements. Add lines 24 and 25	170,717	39,040		131,677
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	26,625			
	b Net investment income (if negative, enter -0-)		158,302		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	146,974	58,135	58,135		
	3	Accounts receivable ▶ <u>1,072</u>					
		Less allowance for doubtful accounts ▶ _____	1,072	1,072	1,072		
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	4,945				
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	2,264,835	2,379,578	2,614,321		
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____					
	Less accumulated depreciation (attach schedule) ▶ _____						
12	Investments—mortgage loans						
13	Investments—other (attach schedule)						
14	Land, buildings, and equipment basis ▶ _____						
	Less accumulated depreciation (attach schedule) ▶ _____						
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,417,826	2,438,785	2,673,528			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	2,417,826	2,438,785			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)	2,417,826	2,438,785				
31	Total liabilities and net assets/fund balances (see instructions) .	2,417,826	2,438,785				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,417,826
2	Enter amount from Part I, line 27a	2	26,625
3	Other increases not included in line 2 (itemize) ▶ _____	3	502
4	Add lines 1, 2, and 3	4	2,444,953
5	Decreases not included in line 2 (itemize) ▶ _____	5	6,168
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,438,785

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a ST PUBLICLY TRADED SECURITIES	P		
b LT PUBLICLY TRADED SECURITIES	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,033,022		1,069,561	-36,539
b 973,920		812,195	161,725
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-36,539
b			161,725
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	125,829
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	139,629	2,780,022	0 050226
2014	130,681	2,838,537	0 046038
2013	116,661	2,618,282	0 044556
2012	116,225	2,390,916	0 048611
2011	111,303	2,394,662	0 046480

2 Total of line 1, column (d)	2	0 235911
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047182
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,657,454
5 Multiply line 4 by line 3	5	125,384
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,583
7 Add lines 5 and 6	7	126,967
8 Enter qualifying distributions from Part XII, line 4	8	131,677

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,583
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,583
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,583
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,200
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,200
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	383
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>JAMES DAGGS & ASSOCPC</u> Telephone no ► <u>(970) 925-4290</u>			

Located at ► 715 WEST MAIN STE 101 ASPEN CO ZIP+4 ► 81611

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ELIZABETH FERGUS PO BOX 1515 ASPEN, CO 81612	CO-TRUSTEE 4 00	0	0	0
CATHERINE GARBER 2201 BYRON ST PALO ALTO, CA 94301	CO-TRUSTEE 2 00	0	0	0
SYLVIA FERGUS 2052 N LINCOLN PARK WEST APT 301 CHICAGO, IL 60614	CO-TRUSTEE 2 00	0	0	0
CORWIN FERGUS 38 LEWISIA RD WINTHROP, WA 98862	CO-TRUSTEE 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2 	
All other program-related investments. See instructions. 3 	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,528,825
b	Average of monthly cash balances.	1b	169,098
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,697,923
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,697,923
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	40,469
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,657,454
6	Minimum investment return. Enter 5% of line 5.	6	132,873

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	132,873
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,583
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,583
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	131,290
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	131,290
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	131,290

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	131,677
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	131,677
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,583
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	130,094

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				131,290
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			126,012	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 131,677				
a Applied to 2015, but not more than line 2a			126,012	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				5,665
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				125,625
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE	

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed ELIZABETH FERGUS P O BOX 1515 ASPEN, CO 81612 (970) 925-7716	
b The form in which applications should be submitted and information and materials they should include WRITTEN REQUEST REQUIRED - NO SPECIAL FORMAT	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	130,500
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	65,863	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			25	5,650	
8 Gain or (loss) from sales of assets other than inventory			14	125,829	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). . .				197,342	
13 Total. Add line 12, columns (b), (d), and (e).			13		197,342

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JAMES K DAGGS	Preparer's Signature	Date 2017-04-05	Check if self-employed ☐	PTIN P00924527
Firm's name ▶ JAMES DAGGS & ASSOCIATES PC				Firm's EIN ▶ 84-0830571
Firm's address ▶ 715 W MAIN ST STE 101 ASPEN, CO 816111659				Phone no (970) 925-4290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF COLORADO FOUNDATION 4740 WALNUT ST BOULDER, CO 80301	NONE	501 (C)3	SCHOLARSHIPS	10,000
ASPEN PUBLIC RADIO 110 E HALLAM ST ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
ASPEN VALLEY LAND TRUST 320 MAIN ST CARBONDALE, CO 81623	NONE	501C(3)	UNRESTRICTED	500
ROARING FORK OUTDOOR VOLUNTEERS POBOX 1341 BASALT, CO 81621	NONE	501C(3)	UNRESTRICTED	500
WILDERNESS LAND TRUST PO BOX 1420 CARBONDALE, CO 81623	NONE	501C(3)	UNRESTRICTED	500
EARTH JUSTICE 50 CALIFORNIA ST SAN FRANCISCO, CA 94111	NONE	501C(3)	UNRESTRICTED	500
GREAT OLD BROADS FOR WILDERNESS PO BOX 2924 DURANGO, CO 81302	NONE	501C(3)	UNRESTRICTED	500
10TH MOUNTAIN DIVISION HUT ASSN 1280 UTE AVE ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
OXFAM 226 CAUSEWAY ST BOSTON, MA 02114	NONE	501C(3)	UNRESTRICTED	4,000
DOCTORS WITHOUT BORDERS POBOX 5030 HAGERSTOWN, MD 217415030	NONE	501C(3)	UNRESTRICTED	4,000
COLORADO PUBLIC RADIO 7409 ALTON COURT CENTENNIAL, CO 80117	NONE	501C(3)	UNRESTRICTED	500
ASPEN EDUCATION FOUNDATION PO BOX 2200 ASPEN, CO 81612	NONE	501C(3)	UNRESTRICTED	500
STEPHENS COLLEGE 1200 E BROADWAY COLUMBIA, MO 65201	NONE	501C(3)	UNRESTRICTED	1,000
ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM ST BOULDER, CO 80302	NONE	501C(3)	UNRESTRICTED	4,000
ROCKY MOUNTAIN PBS 1089 BANNOCK ST DENVER, CO 80204	NONE	501C(3)	UNRESTRICTED	1,000
Total ▶ 3a				130,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
WILDERNESS WORKSHOP POBOX 1442 CARBONDALE, CO 81623	NONE	501C(3)	UNRESTRICTED	1,000
SENIORS INDEPENDENT 0275 CASTLE CREEK RD ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
SOUTHERN UTAH WILDERNESS ALLIANCE 425 E 100 SOUTH SALT LAKE CITY, UT 84111	NONE	501C(3)	UNRESTRICTED	1,500
PITKIN COUNTY LIBRARY 120 N MILL ST ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
COMPASS SCHOOL BOX 336 WOODY CREEK, CO 81656	NONE	501C(3)	UNRESTRICTED	500
TEEN LIVING PROGRAMS 162 W HUBBARD ST 4 CHICAGO, IL 60625	NONE	501 C(3)	UNRESTRICTED	400
WELLESLEY COLLEGE FRIENDS OF ART 106 CENTRAL ST WELLESLEY, MA 02481	NONE	501C(3)	FRIENDS OF ART	5,000
GREEN CITY MARKET 2615 W LAWRENCE AVE CHICAGO, IL 60625	NONE	501C(3)	UNRESTRICTED	250
CHICAGO COMMUNITY TRUST 225 N MICHIGAN AVE CHICAGO, IL 60601	NONE	501C(3)	CHICAGO DANCEMAKERS FUND	500
MUSEUM OF CONTEMPORARY ART 220 EAST CHICAGO AVE CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	2,000
THE ART INSTITUTE OF CHICAGO 111 SOUTH MICHIGAN AVE CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	4,000
LITTLE TRAVERSE CONSERVANCY 3264 POWELL ST HARBOR SPRINGS, MI 49740	NONE	501C(3)	UNRESTRICTED	500
STORYCATCHER'S THEATER 544 W OAK ST STE 1005 CHICAGO, IL 60610	NONE	501C(3)	UNRESTRICTED	500
PLANNED PARENTHOOD FED OF AMERICA 434 WEST 33RD ST NEW YORK, NY 10001	NONE	501C(3)	UNRESTRICTED	2,350
PLANNED PARENTHOOD OF ILLINOIS 18 S MICHIGAN AVE 6TH FL CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	2,000
Total ►				130,500
3a				

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
WBEZ 915 FM - CHICAGO PUBLIC MEDIA 848 E GRAND AVE CHICAGO, IL 60611	NONE	501C(3)	UNRESTRICTED	2,000
WELLESLEY COLLEGE 106 CENTRAL ST WELLESLEY, MA 02481	NONE	501C(3)	UNRESTRICTED	5,000
THE OUNCE OF PREVENTION FUND 33 WEST MONROE STE 2400 CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	1,000
OPEN LANDS 25 E WASHINGTON ST CHICAGO, IL 60602	NONE	501C(3)	UNRESTRICTED	500
COURT THEATRE 5535 S ELLIS AVENUE CHICAGO, IL 60637	NONE	501C(3)	UNRESTRICTED	500
EVERYTOWN FOR GUN SAFETY SUPPORT PO BOX 3886 NEW YORK, NY 10017	NONE	501 C(3)	UNRESTRICTED	1,000
RAIN - RAPE ABUSE & INCEST NAT'L 1220 L ST NW STE 505 WASHINGTON, DC 20005	NONE	501(C)3	UNRESTRICTED	1,000
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY, AL 36104	NONE	501 (C)3	UNRESTRICTED	1,000
SIERRA CLUB FOUNDATION 2101 WEBSTER ST 1300 OAKLAND, CA 94612	NONE	501 (C)3	UNRESTRICTED	2,000
AMERICAN CIVIL LIBERTIES FOUNDATION 125 BROAD ST 18TH FLOOR NEW YORK, NY 10004	NONE	501 (C)3	UNRESTRICTED	1,000
CONSERVATION NORTHWEST 1829 10TH AVE W STE B BELLINGHAM, WA 98119	NONE	501C(3)	UNRESTRICTED	8,000
MULTICULTURAL MUSIC FELLOWSHIP 2419 A 10TH ST BERKELEY, CA 94710	NONE	501C(3)	UNRESTRICTED	3,000
WHATCOM FILM ASSOCIATION BOX 2521 BELLINGHAM, WA 98227	NONE	501C(3)	UNRESTRICTED	5,000
KULSHAN COMMUNITY LAND TRUST 1303 COMMERCIAL ST STE 6 BELLINGHAM, WA 98225	NONE	501C(3)	UNRESTRICTED	1,500
SKAGIT LAND TRUST BOX 1017 MOUNT VERNON, WA 98273	NONE	501C(3)	UNRESTRICTED	2,000
Total ► 3a				130,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NORTH CASCADES INSTITUTE 810 STATE RT 20 SEDRO WOOLLEY, WA 98284	NONE	501C(3)	UNRESTRICTED	2,000
NORTHWEST FILM FORUM 1515 12TH AVE SEATTLE, WA 98122	NONE	501C(3)	UNRESTRICTED	1,000
NATURAL RESOURCES DEFENSE COUNSEL BOX 1830 MERRIFIELD, VA 22116	NONE	501C(3)	UNRESTRICTED	1,000
EARTH ISLAND INSTITUTE 2150 ALLSTON WAY STE 460 BERKELEY, CA 94704	NONE	501C(3)	UNRESTRICTED	3,000
BLUE EARTH BOX 4490 SEATTLE, WA 98194	NONE	501C(3)	UNRESTRICTED	3,000
LITTLE TRAVERSE CONSERVANCY 3264 POWELL ROAD HARBOR SPRINGS, MI 49740	NONE	501C(3)	UNRESTRICTED	1,000
METHOW CONSERVANCY BOX 71 WINTHROP, WA 98862	NONE	501C(3)	UNRESTRICTED	2,000
PLANNED PARENTHOOD 18 S MICHIGAN AVE CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	500
KQED BOX 7618 SAN FRANCISCO, CA 94120	NONE	501C(3)	UNRESTRICTED	2,500
KPFA 1929 MARTIN LUTHER KING W BERKELEY, CA 94704	NONE	501C(3)	UNRESTRICTED	250
SF MOMA 151 3RD STREET SAN FRANCISCO, CA 94105	NONE	501 (C)3	UNRESTRICTED	300
THEATERWORKS BOX 50458 PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	10,000
PALO ALTO ARTS CENTER 1313 NEWELL PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	5,000
CONSERVATION AMBASSADORS 2325 ADOBE RD PASA ROBLES, CA 93446	NONE	501 (C)3	UNRESTRICTED	250
DEFY 154 GRAND AVE NY, NY 10013	NONE	501 (C)3	UNRESTRICTED	500
Total ► 3a				130,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAL MATTERS 1017 L ST 261 SACRAMENTO, CA 95814	NONE	501 (C)3	UNRESTRICTED	1,000
THE SCHOOL FUND BOX 444 PALO ALTO, CA 94302	NONE	501C(3)	UNRESTRICTED	5,000
CANOPY 3921 E BAYSHORE RD PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	500
HUMANS RIGHT'S WATCH 100 BUSH ST 925 SAN FRANCISCO, CA 94104	NONE	501C(3)	UNRESTRICTED	2,500
FAMILY AND CHILDREN SERVICES 375 CAMBRIDGE PALO ALTO, CA 94306	NONE	501C(3)	UNRESTRICTED	500
TRUST FOR PUBLIC LAND 116 NEW MONTGOMERY ST SAN FRANCISCO, CA 94105	NONE	501C(3)	UNRESTRICTED	500
PALO ALTO COMMUNITY FUND BOX 50634 PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	1,000
PRIMARY STAGES 307 W 38TH ST NEW YORK, NY 10018	NONE	501C(3)	UNRESTRICTED	1,000
DREAM CATCHERS BOX 60902 PALO ALTO, CA 94306	NONE	501C(3)	UNRESTRICTED	500
GLOBAL AIDS INTERFAITH ALLIANCE 2171 FRANCISCO BLVD EAST SAN RAFAEL, CA 94901	NONE	501C(3)	UNRESTRICTED	500
PALO ALTO STANFORD HERITAGE BOX 308 PALO ALTO, CA 94301	NONE	501C(3)	UNRESTRICTED	200
ADA'S CAFE 839 NORTHAMPTON DR PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	500
Total ► 3a				130,500

TY 2016 Accounting Fees Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX PREPARATION	4,455	3,430		1,025

TY 2016 Investments Corporate Stock Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MERRILL/LYNCH 51V-04009 - COHEN-STEE	529,390	523,822
MERRILL/LYNCH 51V-04050 - NWQ LARGE	260,763	333,991
MERRILL/LYNCH 51V-04052 - NWQ SMALL	255,498	321,797
MERRILL/LYNCH 51V-04157 - NB LG CAP	365,810	414,823
MERRILL/LYNCH 51V-04225 - LAZARD	195,929	231,518
MERRILL/LYNCH 51V-04227 - LASSER	612,301	614,082
MERRILL/LYNCH 51V-04248 - AIM INT'L	159,887	174,288

TY 2016 Other Decreases Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Description	Amount
ADJUSTMENT TO BOOK VALUE TO COST PER MERRILL LYNCH	4,968
ESTIMATED TAX APPLIED TO 2016 TAX LIABILITY	1,200

TY 2016 Other Expenses Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEES	152			152
INVESTMENT EXPENSE	434	434		

TY 2016 Other Income Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISC INCOME (UNCASHED CHCKS)	5,650	5,650	

TY 2016 Other Increases Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Description	Amount
NONDIVIDEND DISTRIBUTIONS	502

TY 2016 Other Professional Fees Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES M/L COHEN &	3,873	3,873		
INVESTMENT MGMT FEES M/L NWQ LG	4,645	4,645		
INVESTMENT MGMT FEES M/L NWQ SM	4,129	4,129		
INVESTMENT MGMT FEES M/L NB LG C	5,669	5,669		
INVESTMENT MGMT FEES M/L - LAZAR	3,328	3,328		
INVESTMENT MGMT FEES M/L- LASSER	9,281	9,281		
INVESTMENT MGMT FEES M/L - AIM I	2,841	2,841		

TY 2016 Taxes Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	1,410	1,410		

FERGUS FOUNDATION FORM 990PF FOR YEAR ENDED DECEMBER 31, 2016													
SCHEDULE OF CAPITAL GAINS AND LOSSES MERRYLL LYNCH													
ACCOUNT	SHORT TERM PROCEEDS	SHORT TERM COST BASIS	WASH SALE ADJUSTMENT	SHORT TERM CAP. GAIN (LOSS)	LONG TERM PROCEEDS	LONG TERM COST BASIS	WASH SALE ADJUSTMENT	LONG TERM CAP. GAIN (LOSS)	PROCEEDS PER ML STATEMENT	PROCEEDS PER ML'S 1099	DIFFERENCE	TOTAL BASIS	TOTAL CAP. GAINS
1. MERRILL LYNCH - 51V-04009 CASH IN LIEU	81,276.38	82,856.91	30.72	(1,549.81)	199,113.80	189,056.83	11.67	10,068.64	280,390.18	280,390.18	-	271,913.74	8,518.83
2. NWQ LG CAP - 51V-04050 CASH IN LIEU	20,569.70	22,436.42	-	(1,866.72)	87,070.91	76,440.61		10,630.30	107,640.61	107,640.61	-	98,877.03	8,763.58
3. NWQ SMALL CAP - 51V-04052 CASH IN LIEU	21,861.88	21,798.60	178.02	241.30	108,275.56	82,625.43	795.19	26,445.32	130,137.44	130,137.44	-	104,424.03	26,686.62
4. NB LG CAP GR. 51V-04157 CASH IN LIEU	185,267.57	194,598.88	70.08	(9,261.23)	195,134.22	159,903.55	-	35,230.67	380,401.79	380,401.79	-	354,502.43	25,969.44
5. CHECKING - 51V-04223 CASH IN LIEU				-				-	-		-	-	-
6. LAZARD - 51V-04225 CASH IN LIEU	23,377.71 4.45	30,699.33	-	(7,321.62) 4.45	52,758.11	42,446.56	-	10,311.55	76,140.27	76,140.27	-	73,145.89	2,994.38
7. LASSER-KAMINSKY-51V-04227 CASH IN LIEU	696,735.15	713,894.28	1,510.81	(15,648.32)	295,120.36	230,317.47	246.97	65,049.86	991,855.51	991,855.51	-	944,211.75	49,401.54
8. AIM INTN'L - 51V-04248 CASH IN LIEU	3,929.29	5,066.72	-	(1,137.43)	36,447.16	32,458.01		3,989.15	40,376.45	40,376.45	-	37,524.73	2,851.72
TOTALS	1,033,022.13	1,071,351.14	1,789.63	(36,539.38)	973,920.12	813,248.46	1,053.83	161,725.49	2,006,942.25	2,006,942.25	-	1,884,599.60	125,186.11
CAPITAL GAIN DISTRIBUTIONS					643.51			643.51	643.51				643.51
					974,563.63			162,369.00	2,007,585.76				125,829.62