

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**Department of the Treasury  
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation <b>The Monte Foundation</b>		A Employer identification number <b>31-1736155</b>
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) <b>614-224-6828</b>
c/o William K Burton, Trustee, 4608 Sawmill Road City or town, state or province, country, and ZIP or foreign postal code <b>Columbus OH 43220-2247</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>20,034,405</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,487	1,487		
	4 Dividends and interest from securities	463,588	463,588		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	786,349			
	b Gross sales price for all assets on line 6a 1,289,784				
	7 Capital gain net income (from Part IV, line 2)		786,349		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,251,424	1,251,424	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	152,285			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	750			
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	56,213	2,231		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	76,134	75,655		
	24 Total operating and administrative expenses. Add lines 13 through 23	285,382	77,886	0	0
	25 Contributions, gifts, grants paid	979,537			979,537
26 Total expenses and disbursements. Add lines 24 and 25	1,264,919	77,886	0	979,537	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	(13,495)				
b Net investment income (if negative, enter -0-)		1,173,538			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash—non-interest-bearing . . . . .		25,550	25,758	25,758
	2	Savings and temporary cash investments . . . . .		91,396	208,880	208,880
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule) . . . . .		14,941,062	14,809,877	19,799,767
	c	Investments—corporate bonds (attach schedule) . . . . .				
<b>Liabilities</b>	11	Investments—land, buildings, and equipment basis ▶				
		Less: accumulated depreciation (attach schedule) ▶				
	12	Investments—mortgage loans . . . . .				
	13	Investments—other (attach schedule) . . . . .				
	14	Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation (attach schedule) ▶				
	15	Other assets (describe ▶ )				
	16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .		15,058,008	15,044,515	20,034,405
	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
<b>Net Assets or Fund Balances</b>	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ )				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	0	
		<b>Foundations that follow SFAS 117, check here ▶</b> <input type="checkbox"/>				
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
		<b>Foundations that do not follow SFAS 117, check here ▶</b> <input type="checkbox"/>				
<b>Net Assets or Fund Balances</b>	27	Capital stock, trust principal, or current funds . . . . .		9,370,446	9,370,446	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		5,687,562	5,674,068	
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		15,058,008	15,044,514	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		15,058,008	15,044,514	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	15,058,008
2	Enter amount from Part I, line 27a . . . . .	2	(13,495)
3	Other increases not included in line 2 (itemize) ▶ Rounding adjustment . . . . .	3	1
4	Add lines 1, 2, and 3 . . . . .	4	15,044,514
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	15,044,514

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> Publicly traded securities				
<b>b</b> Capital gain distributions from publicly traded mutual funds				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 1,199,222		503,435	695,787	
<b>b</b> 90,562			90,562	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			<b>2</b>	786,349
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,033,320	21,470,815	0.0481
2014	1,038,896	21,228,574	0.0489
2013	953,640	19,443,604	0.0490
2012	866,143	17,794,305	0.0487
2011	846,037	17,151,069	0.0493
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.2440
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.0488
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 19,765,253
<b>5</b> Multiply line 4 by line 3			<b>5</b> 964,544
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 11,735
<b>7</b> Add lines 5 and 6			<b>7</b> 976,279
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 979,537

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		11,735
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		11,735
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		11,735
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	39,000	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		39,000
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		0
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		27,265
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> 11,735 <b>Refunded</b>	<b>11</b>		15,530

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="text" value="0"/> (2) On foundation managers <input type="text" value="0"/>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="text" value="0"/>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="text" value="Ohio"/>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
<b>14</b> The books are in care of ► William K. Burton Telephone no ► 614-224-6828 Located at ► 4608 Sawmill Rd., Columbus, Ohio ZIP+4 ► 43220-2247		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 n/a		
<b>16</b> At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► n/a		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		X
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐ ☒
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b		
6b		X
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Julia K. Monte 3627 Country Place Blvd, Sarasota, FL 34233	Trustee 1	50,762	0	0
Todd R. Monte 11114 Sagamore Rd NW, Pickerington, OH 43147	Trustee 1	50,762		
William K. Burton 4608 Sawmill Rd, Columbus, OH 43220	Trustee 1	50,762		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b> (see instructions)
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A ..... .....	
<b>2</b> ..... .....	
All other program-related investments See instructions <b>3</b> ..... .....	
<b>Total.</b> Add lines 1 through 3	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	19,233,136
<b>b</b>	Average of monthly cash balances	<b>1b</b>	833,111
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	20,066,247
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	20,066,247
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	300,994
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	19,765,253
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	988,263

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	988,263
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	11,735
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	11,735
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	976,528
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0
<b>5</b>	Add lines 3 and 4	<b>5</b>	976,528
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	976,528

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	979,537
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	979,537
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	11,735
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	967,802

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				976,528
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011	0			
<b>b</b> From 2012	0			
<b>c</b> From 2013	0			
<b>d</b> From 2014	1,347			
<b>e</b> From 2015	0			
<b>f</b> Total of lines 3a through e	1,347			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 979,537				
<b>a</b> Applied to 2015, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)	0			
<b>d</b> Applied to 2016 distributable amount				979,537
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,347			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,347			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012	0			
<b>b</b> Excess from 2013	0			
<b>c</b> Excess from 2014	1,347			
<b>d</b> Excess from 2015	0			
<b>e</b> Excess from 2016	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶ N/A

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
<b>b</b> 85% of line 2a	0	0	0	0	0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>  Schedule attached. All recipients are public charities and all contributions were for general charitable purposes of donees	none	public		979,537
<b>Total</b>			▶ <b>3a</b>	979,537
<b>b</b> <i>Approved for future payment</i>  None				
<b>Total</b>			▶ <b>3b</b>	0



**1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?**

	Yes	No
--	-----	----

- (1) Cash . . . . .

1a(1)		X
-------	--	---

- (2) Other assets

1a(2)		X
-------	--	---

- (1) Sales of assets to a noncharitable exempt organization** . . . . .

1b(1)		X
-------	--	---

- (2) Purchases of assets from a noncharitable exempt organization** . . . . .

1b(2)		X
-------	--	---

- (3) Rental of facilities, equipment, or other assets

1b(3)		X
-------	--	---

- (4) Reimbursement arrangements** . . . . .

1b(4)		X
-------	--	---

- (5) Loans or loan guarantees

1b(5)		X
-------	--	---

- (6) Performance of services or membership or fundraising solicitations

1b(6)		X
-------	--	---

- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .

1c		X
----	--	---

- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature \_\_\_\_\_

Date \_\_\_\_\_

Check ☐ if self-employed

PTIN:

**Roger T Whitaker**

**Firm's name** ▶ Luper Neidenthal & Logan

Firm's address ▶ 50 West Broad St., Suite 1200 Columbus, OH 43215-3374

Firm's EIN ► 31-0928310

Phone no. 614-229-4422

**The Montei Foundation**

**Form 990-PF-2016**

**EIN: 31-1736155**

Part I, Line 16a Legal Fees

Luper Neidenthal & Logan \$ 750

Part I, Line 18 Taxes

Column (a)

Tax on Net Investment Income – 2016 estimated payments \$39,000

Tax on Net Investment Income – 2015 balance 990-PF \$14,982

Foreign taxes withheld on dividends \$ 2,231

Total \$56,213

Column (b)

Foreign taxes withheld on dividends \$ 2,231

Part I, Line 23 Other Expenses

Column (a)

Bank charges \$ 41

Investment Advisory Fees \$ 75,655

Ohio annual report filing fee \$ 200

Tax interest and penalty \$ 238

Total \$ \$76,134

Column (b)

Investment Advisory Fees \$ 75,655

## Asset List

Part II, Line 10b

\$16,008,933.66

\$12,839,659.01

\$281,275.42

Market Value  
 + 14,350.25  
 - Filters & Options  
 \$16,023,283.91

Cost Basis  
 + 14,350.25  
 \$12,854,009.26

Unrealized Net G/L Estimated Annual Income

Intraday price updates are currently unavailable

Group By

Search

Asset Class

Description or ID

Q Search

Holdings As Of

Clear

Specific Date

12/31/2016

Description / ID	Market Value	%	Cost Basis	Unrealized Net G/L	Estimated Annual Income
Balanced	\$474,525.18	2.96%	\$389,930.41	-	\$14,130.11
Global Managed Vol Fund (SVTAX) Q 783925415   SVTAX	\$474,525.18 44,019 033 @ \$10.78	2.96%	\$389,930.41	-	\$14,130.11
Domestic Equities	\$8,780,900.45	54.85%	\$5,917,015.80	-	\$72,935.33
Large Cap Fund (SLGAX) 783925217   SLGAX	\$901,979.49 69,758 661 @ \$12.93	5.63%	\$777,261.87	-	\$8,510.56
Large Cap Growth Fund (SELCX) 783925662   SELCX	\$1,739,030.87 60,070.151 @ \$28.95	10.86%	\$1,319,242.40	-	\$1,922.24
Large Cap Value Fund (TRMVX) 783925100   TRMVX	\$1,855,675.49 81,496 508 @ \$22.77	11.59%	\$1,358,228.87	-	\$26,649.36
Real Estate Fund (SETAX) 783925472   SETAX	\$280,063.20 16,104 842 @ \$17.39	1.75%	\$173,539.79	-	\$4,847.56
Small Cap Growth Fund (SSCGX) 783925837   SSCGX	\$1,186,191.34 39,605 721 @ \$29.95	7.41%	\$497,219.36	-	\$0.00
Small Cap Value Fund (SESVX) 783925688   SESVX	\$1,154,528.13 45,832 796 @ \$25.19	7.21%	\$674,900.60	-	\$6,416.59
U.S. Managed Volatility Fund (SVOAX) 783925480   SVOAX	\$1,663,431.93 97,964 189 @ \$16.98	10.39%	\$1,116,622.91	-	\$24,589.02
International Equities	\$1,025,546.08	6.41%	\$902,040.26	-	\$12,006.93
International Equity Fund (SEITX) 78411R109   SEITX	\$1,025,546.08 110,155.325 @ \$9.31	6.41%	\$902,040.26	-	\$12,006.93
Emerging Market Equities	\$518,757.42	3.24%	\$504,941.95	-	\$4,154.41
Emerging Mkts Equity Fund (SIEMX) 78411R703   SIEMX	\$518,757.42 54,377 088 @ \$9.54	3.24%	\$504,941.95	-	\$4,154.41
Domestic Fixed Income	\$3,786,061.47	23.65%	\$3,653,151.08	-	\$159,158.90
Core Fixed Income Fund (TRLVX) 783925506   TRLVX	\$659,644.27 59,427 412 @ \$11.1	4.12%	\$633,876.77	-	\$15,807.69
High Yield Bond Fund (SHYAX) 783925647   SHYAX	\$2,250,528.42 315,200.059 @ \$7.14	14.06%	\$2,100,841.91	-	\$131,753.63

Description / ID	Market Value	%	Cost Basis	Unrealized Net G/L	Estimated Annual Income
Real Return Fund (SRAAX) 783925233   SRAAX	\$265,649.89 26,301,969 @ \$10.1	1.66%	\$274,236.46	-	\$0.00
U.S. Fixed Income Fund (SUFAX) 783925258   SUFAX	\$610,238.89 60,720,288 @ \$10.05	3.81%	\$644,195.94	-	\$11,597.58
International Fixed Income	\$1,147,262.43	7.17%	\$1,192,848.97	-	\$18,664.93
Emerging Mkts Debt Fund (SITEX) 78411R851   SITEX	\$704,062.03 75,059,918 @ \$9.38	4.40%	\$747,437.03	-	\$11,108.87
Intl Fixed Income Fund (SEFIX) 78411R307   SEFIX	\$443,200.40 44,187,478 @ \$10.03	2.77%	\$445,411.94	-	\$7,556.06
Alternative Investments	\$253,833.45	1.59%	\$257,683.36	-	\$180.01
Multi-Strategy Alt Fund (SMSAX) 783925167   SMSAX	\$253,833.45 26,087,713 @ \$9.73	1.59%	\$257,683.36	-	\$180.01
Cash & Equivalents	\$22,047.18	0.14%	\$22,047.18	-	\$44.80
Government Fund (SEOXX) 783965593   SEOXX	\$22,047.18 22,047.18 @ \$1	0.14%	\$22,047.18	-	\$44.80



# Brokerage

## Account Statement

Account Number: 037-863321  
 Statement Period: 12/01/2016 - 12/31/2016

### Portfolio at a Glance

	This Period
Beginning Account Value	\$3,717,217.23
Dividends, Interest and Other Income	2.07
Net Change in Portfolio	59,263.28
<b>Ending Account Value</b>	<b>\$3,776,482.58</b>
Estimated Annual Income	\$119,372.30

THE MONTEI FOUNDATION  
 4608 SAWMILL ROAD  
 COLUMBUS OH 43220-2247

Your Investment Professional:  
 MOSS N/BURTON W  
 (614) 224-6828

### Asset Summary

	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits <sup>1</sup>	84,188.79	84,190.86	2%
Equities	3,633,028.44	3,692,291.72	98%
<b>Account Total</b>	<b>\$3,717,217.23</b>	<b>\$3,776,482.58</b>	<b>100%</b>

Please review your allocation periodically with your Investment Professional.

<sup>1</sup> The Bank Deposits in your account are FDIC insured bank deposits. FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Form 490-PF - 2016 31-1736153 Part II, Line 10b



Royal Alliance  
 Royal Alliance Associates, Inc.  
 One World Financial Center, 15th Floor  
 New York, New York 10281  
 800-821-5100

# Brokerage

## Account Statement

Statement Period: 12/01/2016 - 12/31/2016

### Transactions by Type of Activity

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount Currency
Dividends and Interest 12/15/16	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 458999901	INSURED DEPOSIT INTEREST CREDITED				2.07 USD
Total Dividends and Interest - U.S. DOLLARS				0.00		2.07
FDIC Insured Bank Deposits 12/15/16	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 458999901	INSURED DEPOSIT INTEREST REINVESTED				-2.07 USD
Total FDIC Insured Bank Deposits - U.S. DOLLARS				0.00		-2.07
Total Value of Transactions				0.00		0.00 USD

The price and quantity displayed may have been rounded.

### Portfolio Holdings

Opening Date	Account Number	Quantity	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
Money Market									
SUNAMERICA GOVT MONEY MKT FUND 12/01/16	N/A	10/03/16	0.00	\$0.00	\$0.00	0.00	3.14	0.01%	0.01%
Total Money Market				\$0.00	\$0.00	\$0.00	\$3.14		
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM 12/01/16	N/A	12/30/16	84,188.79	\$84,188.79	\$84,190.86	1.24	3.64	N/A	N/A
Total FDIC Insured Bank Deposits				\$84,188.79	\$84,190.86	\$1.24	\$3.64		
Total Cash, Money Funds, and Bank Deposits				\$84,188.79	\$84,190.86	\$1.24	\$6.78		



Firm 990-PF-2016 31-1186155  
 Part 11 - Line 10b

Form 440-PF - 2016  
 Part 11, Line 10b  
 31-1736155

## Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>Equities 98.00% of Portfolio</b>								
<b>Common Stocks</b>								
<b>ABBOTT LABS COM</b>								
Dividend Option: Cash								
Security Identifier: ABT CUSIP: 002824100								
08/24/09 *	22,350,000	22.1070	494,089.80	38.4100	858,463.51	364,373.71	23,691.00	2.75%
08/24/09 *	39,542,000	22.1070	874,152.08	38.4100	1,518,808.21	644,656.13	41,914.52	2.75%
<b>Total Noncovered</b>	<b>61,892,000</b>		<b>1,368,241.88</b>		<b>2,377,271.72</b>	<b>1,009,029.84</b>	<b>65,605.52</b>	
<b>Total</b>	<b>61,892,000</b>		<b>\$1,368,241.88</b>		<b>\$2,377,271.72</b>	<b>\$1,009,029.84</b>	<b>\$65,605.52</b>	
<b>ABBVIE INC COM</b>								
Dividend Option: Cash								
Security Identifier: ABBV CUSIP: 00287Y109								
08/24/09 *	21,000,000	23.9730	503,434.55	62.6200	1,315,020.00	811,585.45	53,760.00	4.08%
<b>Total Common Stocks</b>			<b>\$1,871,676.43</b>		<b>\$3,692,291.72</b>	<b>\$1,820,615.29</b>	<b>\$119,365.52</b>	
<b>Total Equities</b>			<b>\$1,871,676.43</b>		<b>\$3,692,291.72</b>	<b>\$1,820,615.29</b>	<b>\$119,365.52</b>	
<b>Total Portfolio Holdings</b>			<b>\$1,955,867.29</b>		<b>\$3,776,482.58</b>	<b>\$1,820,615.29</b>	<b>\$119,372.30</b>	

\* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as non-retirement, US taxpayers securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Options and any Debt Instruments that provide a simple fixed payment schedule for which a yield to maturity can be easily determined acquired on or after January 1, 2014.

3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

## Portfolio Holdings Disclosures

### Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time,

The Montei Foundation Form 990-PF 2016  
Part XV, Line 3 31.1736155

Montei Foundation Charitable Donations - Last year  
1/1/2016 through 12/31/2016

Date	Account	Num	Description	Memo	Clr	Amount
<b>EXPENSES</b>						
Charity						
12/1/2016	Montei Fdn		**VOID**Columbus Zoo And Aquarium	Reissue check #2493 to SECORE International Inc	R	-979,537.00
8/1/2016	Montei Fdn	2467	1Voice Foundation	Equine Therapy Program	R	10,000.00
11/22/2016	Montei Fdn	2485	A Sense Of Home	Donation-Purchase New Truck	R	-10,000.00
10/21/2016	Montei Fdn	2475	Alley's House	Donation-Pullway to Independence Program	R	-20,000.00
10/21/2016	Montei Fdn	2476	Angel Flight Mid-Atlantic	Donation-Angel Flight Program	R	-10,000.00
11/22/2016	Montei Fdn	2486	Big Brothers Big Sisters Of Central Ohio	Donation-Matchmaker Partner Amachi Program	R	-25,000.00
8/1/2016	Montei Fdn	2461	Bishop Hartley High School	Tuition Assistance for Financially Needy Non-Catholics	R	-6,000.00
11/22/2016	Montei Fdn	2492	Capital Area Humane Society	Donation-Second Chance Fund	R	-20,000.00
11/22/2016	Montei Fdn	2493	Columbus Zoo And Aquarium	Donation-SECORE Foundation-Coral Reef Conservation	R	-10,000.00
10/21/2016	Montei Fdn	2477	Community Kitchen Inc	Donation-Washer/Dryer/Warming Oven	R	-3,000.00
8/1/2016	Montei Fdn	2460	Emilio Nares Foundation	The Ride with Emilio Program	R	-20,000.00
10/21/2016	Montei Fdn	2478	Flying Horse Farms	General Operating Support	R	-35,000.00
11/22/2016	Montei Fdn	2494	Forty Carrots Family Center	Donation-Designated Programs	R	-29,759.00
10/21/2016	Montei Fdn	2479	Franklin County Children Services	Donation-Holiday Wish Program	R	-25,000.00
8/1/2016	Montei Fdn	2466	Gift Of Adoption Fund	Ohio Adoption Grant	R	-25,000.00
10/21/2016	Montei Fdn	2480	Habitat For Humanity Mid Ohio	Donation-Sponsor Home for Needy Family	R	-100,000.00
8/1/2016	Montei Fdn	2462	Helping Hands Monkey Helpers For The Disabled, Inc	Train 2 Monkeys	R	-18,000.00
8/26/2016	Montei Fdn	2471	Homeless Families Foundation	Donation-Multi-Functional School Activity Bus	R	-30,000.00
3/23/2016	Montei Fdn	2450	Humane Society Of Sarasota County	Endoscope for Cline	R	-12,000.00
11/22/2016	Montei Fdn	2495	Ipoderae's Children Fund	Donation-Kids Christmas Fund	R	-2,000.00
11/22/2016	Montei Fdn	2516	Kakenya Center For Excellence	Network for Excellence-Fund 36 Girls	R	-44,878.00
8/1/2016	Montei Fdn	2465	Kids Of Courage	Donation-Dallas, TX Trip	R	-30,000.00
11/22/2016	Montei Fdn	2497	Little Patriots Embraced, Inc	Donation-Support Military Personnel	R	-10,000.00
11/22/2016	Montei Fdn	2498	Lone Survivor Foundation	Donation-Veteran & Family Therapeutic Retreats	R	-25,000.00
11/22/2016	Montei Fdn	2487	Lower Lights Ministries	Donation-Light Up the Lights/Light the Way Home	R	-24,000.00
10/21/2016	Montei Fdn	2481	Madison County Children's Camp	Donation-Grief Weekend/Field of Awareness	R	-10,000.00
8/26/2016	Montei Fdn	2472	Make-A-Wish Foundation Of Greater OH, KY, IN	Fund 3 Wishes	R	-24,000.00
11/22/2016	Montei Fdn	2499	Mary's Meals USA	Donation-Meals at Chumani & Chigombe Schools	R	-30,000.00
4/21/2016	Montei Fdn	2455	McCurdy Ministries	\$15,000 Project Cernis/\$25,000 Camp Stars	R	-40,000.00
11/22/2016	Montei Fdn	2500	Mental Health America Of Franklin County	Donation-General Needs	R	-2,000.00
11/22/2016	Montei Fdn	2488	Misty Meadows Miley Riders	Donation-Adopt 3 Horses	R	-10,800.00
11/22/2016	Montei Fdn	2502	MOBILE Center For Independent Living	Donation-Needs for Parker Headings	R	-10,000.00
11/22/2016	Montei Fdn	2503	Molly's Adopt a Sailor	Donation	R	-5,000.00
11/22/2016	Montei Fdn	2504	Mote Marine Laboratory	Donation-International Marine Science Diplomacy	R	-5,000.00
11/22/2016	Montei Fdn	2489	Muse Movement Inc	Donation	R	-10,000.00
11/22/2016	Montei Fdn	2490	Nellie's Catwalk For Kids	Donation-2016 Christmas Program	R	-10,000.00
11/22/2016	Montei Fdn	2505	Ohio Historical Society	Donation-General Needs	R	-1,000.00
11/22/2016	Montei Fdn	2491	Ohio Wildlife Center	Donation-Public Visitation Improvement Projects	R	-7,500.00
10/21/2016	Montei Fdn	2482	One Simple Wish	\$10,000 OH Wishes/\$5,000 Unrestricted/\$5,000 Website	R	-20,000.00

# The Montei Foundation

## Part XV, Line 3

Form 990-PF 2016  
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### Montei Foundation Charitable Donations - Last year 1/1/2016 through 12/31/2016

Date	Account	Num	Description	Memo	Clr	Amount
11/22/2016	Montei Fdn	2506	Operation Finally Home	House for a Veteran in Columbus or Dayton Ohio	R	-25,000.00
11/22/2016	Montei Fdn	2507	Operation Gratitude	Donation-Support Military Personnel	R	-10,000.00
11/22/2016	Montei Fdn	2508	Patches Of Light	Donation	R	-15,000.00
11/22/2016	Montei Fdn	2509	Pilot Dogs, Inc	Donation-2 Dog Training Scholarships	R	-18,000.00
10/21/2016	Montei Fdn	2483	Planned Parenthood Of Greater Ohio	Donation-General Needs	R	-5,000.00
12/1/2016	Montei Fdn	2517	SECORE International Inc	Donation-Coral Reef Conservation	R	-10,000.00
11/22/2016	Montei Fdn	2511	Special Operations Warrior Foundation	Donation-Scholarships	R	-25,000.00
11/22/2016	Montei Fdn	2512	Special Spaces Columbus	Donation	R	-8,000.00
8/1/2016	Montei Fdn	2464	St. Catharine School	Tuition Assistance for Non-Catholic Families	R	-15,000.00
8/26/2016	Montei Fdn	2473	Sunshine Kids Foundation	2016 California Fun-Time Fantasy Trip	R	-20,000.00
3/23/2016	Montei Fdn	2449	The Buckeye Ranch Foundation	Computer Tablets for Caseworkers	R	-20,600.00
11/22/2016	Montei Fdn	2501	The Mission Continues	Donation-3 Fellowships	R	-30,000.00
11/22/2016	Montei Fdn	2510	The Pink Daisy Project	Donation	R	-10,000.00
8/1/2016	Montei Fdn	2463	The Trustees Of Columbia University In The City Of New York	School of Social Work Scholarship	R	-15,000.00
8/1/2016	Montei Fdn	2468	Tom Fennessy/Mike Harden Back-to-School Project	Donation	R	-5,000.00
11/22/2016	Montei Fdn	2513	Volunteers Of America - Greater Ohio	Donation-General Needs	R	-2,000.00
11/22/2016	Montei Fdn	2514	We Care Solar	Donation-Solar Suitcase Program in Zimbabwe	R	-30,000.00
11/22/2016	Montei Fdn	2515	WOSU	Donation-General Needs	R	-3,000.00
OVERALL TOTAL						-979,537.00