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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation OAK LODGE FOUNDATION		A Employer identification number 31-1604793
Number and street (or P O box number if mail is not delivered to street address) C/O WILLIAM O. HUNT, JR., P.O. BOX 7951	Room/suite	B Telephone number 970-920-9491
City or town, state or province, country, and ZIP or foreign postal code ASPEN, CO 81612		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,295,347.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		5,210.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		57,359.	57,359.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		17,575.			
b Gross sales price for all assets on line 6a		171,137.			
7 Capital gain net income (from Part IV, line 2)			17,575.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		80,144.	74,934.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		5,200.	0.		5,200.
c Other professional fees		17,555.	17,555.		0.
17 Interest					
18 Taxes		3,003.	203.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		10.	0.		10.
24 Total operating and administrative expenses. Add lines 13 through 23		25,768.	17,758.		5,210.
25 Contributions, gifts, grants paid		123,500.			123,500.
26 Total expenses and disbursements Add lines 24 and 25		149,268.	17,758.		128,710.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<69,124.>			
b Net investment income (if negative, enter -0-)			57,176.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	133,442.	70,188.	70,188.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	489.	430.	431.
	b Investments - corporate stock STMT 8	233,260.	170,130.	405,658.
	c Investments - corporate bonds STMT 9	1,593,191.	1,597,662.	1,678,025.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	69,554.	124,770.	141,045.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,029,936.	1,963,180.	2,295,347.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	2,029,936.	1,963,180.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	2,029,936.	1,963,180.		
31 Total liabilities and net assets/fund balances	2,029,936.	1,963,180.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,029,936.
2 Enter amount from Part I, line 27a	2	<69,124.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	2,368.
4 Add lines 1, 2, and 3	4	1,963,180.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,963,180.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS SHORT-TERM TRANSACTIONS [SEE ATTACHMENT]		P	VARIOUS	04/11/16
b UBS LONG-TERM TRANSACTIONS [SEE ATTACHMENT]		P	VARIOUS	12/31/16
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,649.		1,722.	<73.>
b 161,489.		151,840.	9,649.
c 7,999.			7,999.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<73.>
b			9,649.
c			7,999.
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	17,575.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	124,650.	2,391,817.	.052115
2014	154,312.	2,463,387.	.062642
2013	129,052.	2,388,022.	.054041
2012	144,441.	2,498,826.	.057804
2011	100,500.	2,462,182.	.040817

2 Total of line 1, column (d)	2	.267419
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053484
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,294,594.
5 Multiply line 4 by line 3	5	122,724.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	572.
7 Add lines 5 and 6	7	123,296.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	128,710.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2016 estimated tax payments and 2015 overpayment credited to 2016

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed**10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax**

1,305.

Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file **Form 1120-POL** for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. $\$$ 0. (2) On foundation managers. $\$$ 0.e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. $\$$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on **Form 990-T** for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either.

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) **NONE**

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address **N/A**

14 The books are in care of **WILLIAM O. HUNT, JR.** Telephone no. **970-920-9491**
 Located at **P.O. BOX 7951, ASPEN, CO** ZIP+4 **81612**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** **Yes** **No** **X**
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM O. HUNT, JR. 330 LAKE AVENUE ASPEN, CO 81611	PRESIDENT, TREASURER &		DIRECTOR	
CHRISTOPHER HUNT 2409 6TH STREET SANTA MONICA, CA 90405	SECRETARY	0.00	0.	0.
IAN C. HUNT 9823 WANDA PARK DRIVE BEVERLY HILLS, CA 90210	DIRECTOR	0.00	0.	0.
HILARY H. MCCUTCHEON 1409 N. DEARBORN PKWY CHICAGO, IL 60610	DIRECTOR	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DIRECT GRANTS TO OTHER CHARITABLE ORGANIZATIONS	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,215,535.
b	Average of monthly cash balances	1b	114,002.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,329,537.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,329,537.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	34,943.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,294,594.
6	Minimum investment return Enter 5% of line 5	6	114,730.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	114,730.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	572.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	572.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	114,158.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	114,158.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	114,158.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	128,710.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	128,710.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	572.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	128,138.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				114,158.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012	20,736.			
c From 2013	11,547.			
d From 2014	32,819.			
e From 2015	6,822.			
f Total of lines 3a through e	71,924.			
4 Qualifying distributions for 2016 from Part XII, line 4. ► \$ 128,710.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				114,158.
e Remaining amount distributed out of corpus	14,552.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	86,476.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	86,476.			
10 Analysis of line 9:				
a Excess from 2012	20,736.			
b Excess from 2013	11,547.			
c Excess from 2014	32,819.			
d Excess from 2015	6,822.			
e Excess from 2016	14,552.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(i)(5)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT	N/A		VARIOUS	123,500.
Total			3a	123,500.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2016)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

OAK LODGE FOUNDATION

Employer identification number

31-1604793

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

OAK LODGE FOUNDATION

31-1604793

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM O. HUNT, JR. P.O. BOX 7951 ASPEN, CO 81612	\$ 5,210.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

OAK LODGE FOUNDATION**31-1604793****Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

OAK LODGE FOUNDATION**31-1604793****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PRIOR YEAR DIVIDENDS ADJUSTMENT	1,641.	0.	1,641.	1,641.	
UBS DIVIDENDS	44,667.	7,999.	36,668.	36,668.	
UBS INTEREST	19,050.	0.	19,050.	19,050.	
TO PART I, LINE 4	65,358.	7,999.	57,359.	57,359.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,200.	0.		5,200.
TO FORM 990-PF, PG 1, LN 16B	5,200.	0.		5,200.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	17,555.	17,555.		0.
TO FORM 990-PF, PG 1, LN 16C	17,555.	17,555.		0.

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXICSE TAX	2,800.	0.		0.
FOREIGN TAX WITHELD	203.	203.		0.
TO FORM 990-PF, PG 1, LN 18	3,003.	203.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEE	10.	0.		10.
TO FORM 990-PF, PG 1, LN 23	10.	0.		10.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 6

DESCRIPTION	AMOUNT
NON-DIVIDEND DISTRIBUTIONS	362.
OTHER INVESTMENT BASIS ADJUSTMENTS	2,006.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,368.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	X		430.	431.
TOTAL U.S. GOVERNMENT OBLIGATIONS			430.	431.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			430.	431.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	170,130.	405,658.
TOTAL TO FORM 990-PF, PART II, LINE 10B	170,130.	405,658.

FORM 990-PF

CORPORATE BONDS

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	1,597,662.	1,678,025.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,597,662.	1,678,025.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	COST	124,770.	141,045.
TOTAL TO FORM 990-PF, PART II, LINE 13		124,770.	141,045.

Oak Lodge Foundation
31-1604793
Attachment to Form 990-PF
Part II, Lines 10a, 10b, 10c. and 13
December 31, 2016

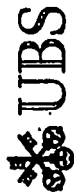
Description	Beginning of Year	no of shares	End of Year	
	Book Value		Book Value	Fair Market Value
<u>Investments - U.S. and state government obligations</u>				
FNMA Pass Thru Pool 03/01/23, 7%	488 85	150,000 000	429 63	431 10
Total Line 10a.	488 85		429 63	431 10
<u>Investments - Corporate Stock</u>				
Cognizant Tech Solutions	22,668 20	1,900 000	21,534 79	106,457 00
Colgate Palmolive Co	20,434 31	600 000	20,434 31	39,264 00
Energen Corp DE	4,020 00	90 000	2,412 00	5,190 30
Eversource Energy Com	257 95	10 000	257 95	552 30
Fiserv Inc	9,614 25	430 000	9,186 95	45,700 40
Guggenheim Timber ETF	9,455 99	600 000	9,455 99	14,862 00
Humana Inc	61,206 80	500 000	61,206 80	102,015 00
Ishares MSCI Taiwan Invests	3,600 00	245 000	3,528 00	7,195 65
Ishares Trust Russell 2000 Value Index Fund	22,945 69	300 000	22,945 69	35,682 00
Keppel Corp	990 66	10 000	105 32	80 00
Noble Energy Inc	3,560 36	60 000	2,117 96	2,283 60
Oracle Corp	1,705 00	100 000	1,705 00	3,845 00
Pfizer Inc	4,952 62	300 000	4,952 62	9,744 00
QLIK Technologies Inc Com	54,679 76	-	-	-
Stericycle Inc	4,658 75	130 000	3,785 24	10,015 20
Travelers Cos Inc	2,991 98	20 000	984 28	2,448 40
Walt Disney Co	5,517 30	195 000	5,517 30	20,322 90
Total Line 10b.	233,259 62		170,130 20	405,657 75
<u>Investments - Corporate Bonds</u>				
Arbitrage Fund No Load	145,085 69	11,181 497	146,446 43	147,595 76
Calamos High Yield Fund	37,375 20	-	-	-
Calamos Market Neutral	117,559 41	9,933 226	121,269 00	127,840 61
Diamond Hill Long-Short	98,255 81	5,028 612	102,403 45	127,877 60
Eaton Vance Sr Income Trust	33,154 45	2,494 000	15,503 59	16,659 92
Edison Intl Fin Nts 3 750% 9/15/17	25,142 31	25,000 000	25,486 50	25,389 50
Gateway Fund Class Y	115,775 63	4,200 875	117,827 36	129,512 97
Guggenheim Bulletshares 2017 C	63,684 50	2,800 000	63,684 50	63,308 00
Hartford Floating Rate	71,924 36	8,469 002	74,686 53	73,510 93
Ingersoll Rand Co 11/18/27 , 6 13%	55,000 00	550 000	55,000 00	63,920 45
Ishares Barclays 1-3 YR CD BD	83,818 30	800 000	83,818 30	83,952 00
Ishares Barclays Tips Bond Fund	43,928 90	400 000	43,928 90	45,268 00
Ishares Iboxx High Yield Corp	35,875 50	300 000	26,546 50	25,965 00
JP Morgan Chase	102,983 18	\$ 100,000	103,944 14	106,290 00
Lord Abbett Short Duration Inc Fund CI A	83,025 59	18,695 996	86,219 58	80,392 78
Microsoft Corp 6/1/19, 4 2%	100,739 83	\$ 100,000	101,882 00	106,262 00
Petobras Intl Fin Nts 3 875% 1/27/16	25,012 75	-	-	-
Pimco All Assets	6,168 74	637 944	6,352 79	5,352 35
Pimco Income fund Class A	213,680 79	23,777 887	287,662 80	286,761 31
Quebec Province CDA 2/27/26, 5 67%	75,000 00	750 000	75,000 00	96,117 75
Union Carbide corp 06/01/25, 6 79%	60,000 00	600 000	60,000 00	66,048 00
Total Line 10c.	1,593,190 94		1,597,662 37	1,678,024 93
<u>Investments - Other</u>				
Ishares Comex Gold Trust	13,751 67	1,600 000	13,751 67	17,728 00
Matthews Asia Dividend	55,802 60	4,031 941	57,723 95	62,575 72
The Investment Company Of America Fund	-	1,677 469	53,294 29	60,741 15
Total Line 13	69,554 27		124,769 91	141,044 87

Oak Lodge Foundation
31-1604783
Attachment to Form 990-PF
Part XV, Line 3
December 31, 2016

<u>DATE</u>	<u>RECIPIENT</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>	<u>PURPOSE</u>
02/18/16	Arctic Exploration Fund	Newyork	NY	\$ 15,000.00	1
05/09/16	Aspen Community Foundation	Aspen	CO	\$ 2,500.00	1
05/09/16	Wilderness Workshop	Carbondale	CO	\$ 3,000.00	2
05/18/16	Fat City Farmers	Basalt	CO	\$ 2,500.00	1
07/08/16	A Way Out	Aspen	CO	\$ 5,000.00	1
07/08/16	Aspen Chapel	Aspen	CO	\$ 2,500.00	1
07/19/16	Aspen Center for Environmental Studies for "Rock Bottom Ranch"	Aspen	CO	\$ 5,000.00	1
10/13/16	Zanmi Sasye-Partner with Sassier, Inc	Glencoe	IL	\$ 5,000.00	1
10/28/16	Aspen Chapel	Aspen	CO	\$ 5,000.00	1
11/15/16	LWVIL Education Fund	Chicago	IL	\$ 5,000.00	1
11/29/16	Jo Davies Conservation Foundation	Elizabeth	IL	\$ 6,000.00	4
11/30/16	The Kindling Group NFP	Chicago	IL	\$ 2,000.00	1
12/28/16	Oxfam America	Boston	MA	\$ 5,000.00	1
12/28/16	Environmental Defense Fund	Washington	DC	\$ 10,000.00	1
12/28/16	International Crane Foundation	Boaraboo	WI	\$ 5,000.00	1
12/28/16	Great Lakes Boat Building	Cedarville	MI	\$ 5,000.00	1
12/28/16	Doctors Without Borders	Newyork	NY	\$ 10,000.00	3
12/28/16	Nature Conservancy of Colorado	Boulder	CO	\$ 5,000.00	4
12/28/16	Nature Conservancy of Illin	Chicago	IL	\$ 5,000.00	4
12/28/16	Western Resource Advocates	Boulder	CO	\$ 5,000.00	1
12/28/16	Openlands	Chicago	IL	\$ 5,000.00	4
12/29/16	Caribbean Coastal Conservancy	Arlington	VA	\$ 5,000.00	1
TOTAL				<u>\$ 123,500.00</u>	

CHARITABLE PURPOSE:

- 1 = VARIOUS
- 2 = EDUCATIONAL
- 3 = MEDICAL
- 4 = ENVIRONMENTAL



Portfolio Management Program

Account name:
Account number:

OAK LODGE FOUNDATION
CP 09273 EV

Your Financial Advisor:
PETER ERRETT VAN NICE, JR.
312-525-4500/800-621-0684

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALAMOS HIGH YIELD CLI	FIFO	18.843	Apr 30, 15	Apr 11, 16	154.33	173.36		-19.03	
	FIFO	13.815	May 29, 15	Apr 11, 16	113.14	127.24		-14.10	
	FIFO	17.718	Jun 30, 15	Apr 11, 16	145.11	159.82		-14.71	
	FIFO	16.523	Jul 31, 15	Apr 11, 16	135.33	146.72		-11.39	
	FIFO	17.257	Aug 31, 15	Apr 11, 16	141.33	149.62		-8.29	
	FIFO	16.064	Sep 30, 15	Apr 11, 16	131.56	135.42		-3.86	
	FIFO	14.910	Oct 30, 15	Apr 11, 16	122.12	128.67		-6.55	
	FIFO	16.458	Nov 30, 15	Apr 11, 16	134.79	138.58		-3.79	
	FIFO	22.912	Dec 31, 15	Apr 11, 16	187.65	187.19			0.46
	FIFO	18.668	Jan 29, 16	Apr 11, 16	152.89	148.41			4.48
	FIFO	13.137	Feb 29, 16	Apr 11, 16	107.59	104.70			2.89
	FIFO	15.017	Mar 31, 16	Apr 11, 16	122.99	122.54			0.45
Total					\$1,648.83	\$1,722.27		-\$81.72	\$8.28
Net short-term capital gains and losses								-\$73.44	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALAMOS HIGH YIELD CLI	FIFO	49.856	Feb 27, 12	Feb 01, 16	396.85	491.58		-94.73	
	FIFO	2,327.679	Feb 27, 12	Apr 11, 16	19,063.69	22,950.91		-3,887.22	
	FIFO	23.738	Feb 29, 12	Apr 11, 16	194.41	233.82		-39.41	
	FIFO	23.920	Mar 30, 12	Apr 11, 16	195.91	232.98		-37.07	
	FIFO	26.812	Apr 30, 12	Apr 11, 16	219.59	261.15		-41.56	
	FIFO	27.795	May 31, 12	Apr 11, 16	227.64	264.89		-37.25	
	FIFO	20.683	Jun 29, 12	Apr 11, 16	169.39	199.38		-29.99	

continued next page



Portfolio Management Program

Account name:
Account number:

Your Financial Advisor:
PETER ERRETT VAN NICE, JR.
312-525-4500/800-621-0684

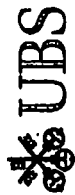
Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	25 879	Jul 31, 12	Apr 11, 16	211.95	252.32		-40.37	
	FIFO	23 654	Aug 31, 12	Apr 11, 16	193.73	231.81		-38.08	
	HIFO	26 478	Sep 28, 12	Feb 01, 16	210.77	261.60		-50.83	
	HIFO	25 017	Nov 01, 12	Feb 01, 16	199.13	247.42		-48.29	
	HIFO	24 529	Nov 30, 12	Feb 01, 16	195.25	242.35		-47.10	
	HIFO	71 848	Dec 20, 12	Feb 01, 16	571.91	710.58		-138.67	
	FIFO	25 426	Dec 31, 12	Apr 11, 16	208.24	250.70		-42.46	
	HIFO	22 967	Jan 31, 13	Feb 01, 16	182.81	228.75		-45.94	
	HIFO	20 750	Feb 28, 13	Feb 01, 16	165.17	205.84		-40.67	
	HIFO	25 311	Mar 28, 13	Feb 01, 16	201.48	252.60		-51.12	
	HIFO	26 501	Apr 30, 13	Feb 01, 16	210.95	267.93		-56.98	
	HIFO	19 474	May 31, 13	Feb 01, 16	155.01	195.91		-40.90	
	FIFO	31 520	Jun 28, 13	Apr 11, 16	258.14	304.80		-46.66	
	FIFO	24 772	Jul 31, 13	Apr 11, 16	202.89	243.51		-40.62	
	FIFO	26 967	Aug 30, 13	Apr 11, 16	220.86	261.58		-40.72	
	FIFO	23 273	Sep 30, 13	Apr 11, 16	190.60	226.45		-35.85	
	HIFO	23 236	Oct 31, 13	Feb 01, 16	184.96	230.04		-45.08	
	HIFO	23 944	Nov 29, 13	Feb 01, 16	190.59	237.05		-46.46	
	FIFO	111 590	Dec 19, 13	Apr 11, 16	913.93	1,086.89		-172.96	
	FIFO	28 080	Dec 31, 13	Apr 11, 16	229.97	273.22		-43.25	
	FIFO	23 426	Jan 31, 14	Apr 11, 16	191.86	228.17		-36.31	
	HIFO	25 724	Feb 28, 14	Feb 01, 16	204.77	254.41		-49.64	
	HIFO	33 859	Mar 31, 14	Feb 01, 16	269.52	334.19		-64.67	
	HIFO	26 168	Apr 30, 14	Feb 01, 16	208.29	258.54		-50.25	
	HIFO	32 657	May 30, 14	Feb 01, 16	259.95	323.63		-63.68	
	HIFO	24 194	Jun 30, 14	Feb 01, 16	192.59	240.49		-47.90	
	FIFO	30 610	Jul 31, 14	Apr 11, 16	250.69	299.06		-48.37	
	FIFO	21 579	Aug 29, 14	Apr 11, 16	176.74	212.55		-35.81	
	FIFO	24 672	Sep 30, 14	Apr 11, 16	202.06	236.85		-34.79	

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Portfolio Management Program

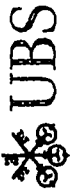
Account name: OAK LODGE FOUNDATION
Account number: CP 09273 EVYour Financial Advisor:
PETER ERRETT VAN NICE, JR.
312-525-4500/800-621-0684

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
COGNIZANT TECH SOLUTIONS CRP	FIFO	100.000	Apr 03, 09	Apr 27, 16	6,070.37	1,133.41			4,936.96
EATON VANCE SENIOR INCOME TRUST SBI	VSP	1,406.000	Jul 23, 13	Feb 01, 16	7,634.44	10,348.16		-2,713.72	
	VSP	1,000.000	Jul 30, 13	Feb 01, 16	5,429.90	7,302.70		-1,872.80	
ENERGEN CORP	FIFO	10.000	Dec 03, 08	Mar 31, 16	370.39	268.00			102.39
	FIFO	10.000	Dec 03, 08	Apr 11, 16	383.60	268.00			115.60
	FIFO	10.000	Dec 03, 08	Apr 12, 16	398.30	268.00			130.30
	FIFO	10.000	Dec 03, 08	Apr 25, 16	403.59	268.00			135.59
	FIFO	10.000	Dec 03, 08	Apr 27, 16	446.30	268.00			178.30
	FIFO	10.000	Dec 03, 08	Jul 15, 16	490.39	268.00			222.39
FSERV INC	FIFO	10.000	Jul 25, 05	Apr 25, 16	989.06	213.65			775.41
	FIFO	10.000	Jul 25, 05	Apr 27, 16	992.48	213.65			778.83
ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF	VSP	100.000	Dec 10, 12	Jul 15, 16	8,569.13	9,329.00		-759.87	
ISHARES MSCI TAIWAN ETF	FIFO	10.000	Dec 03, 08	Jul 15, 16	147.29	72.00			75.29
KEPPEL CORP LTD SPON ADR	VSP	5.000	Oct 28, 09	Apr 12, 16	43.42	52.66		-9.24	
	FIFO	10.000	Oct 28, 09	Apr 25, 16	81.84	105.32		-23.48	
	FIFO	10.000	Oct 28, 09	Apr 27, 16	81.84	105.31		-23.47	
	FIFO	10.000	Oct 28, 09	Jul 15, 16	83.34	105.32		-21.98	
	VSP	10.000	Nov 17, 10	Feb 01, 16	67.49	147.64		-80.15	
	VSP	10.000	Nov 17, 10	Mar 31, 16	86.79	147.64		-60.85	
	VSP	10.000	Nov 17, 10	Apr 11, 16	86.04	147.63		-61.59	

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CP 09273 EVYour Financial Advisor:
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312-525-4500/800-621-0684

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	VSP	5 000	Nov 17, 10	Apr 12, 16	43.42	73.82		-30.40	
NOBLE ENERGY INC	VSP	10 000	Mar 22, 10	Mar 31, 16	310.49	360.60		-50.11	
	VSP	10 000	Mar 22, 10	Apr 11, 16	321.49	360.60		-39.11	
	VSP	10 000	Mar 22, 10	Apr 12, 16	338.00	360.60		-22.60	
	VSP	10 000	Mar 22, 10	Apr 25, 16	348.89	360.60		-11.71	
PETROBRAS INTL FIN NTS 03.875% 012716 DTD012711 FC072711 CALL@MW+30BP QLIK TECHNOLOGIES INC COM **MERGER EFF: 08/2016**									
	Adjustment	25,000.000	Jun 07, 11	Jan 27, 16	25,000.00	25,766.50		-766.50	
STERICYCLE INC	FIFO	2,100.000	Oct 29, 13	Aug 23, 16	64,050.00	54,679.76			9,370.24
	FIFO	10,000	Aug 19, 05	Apr 12, 16	1,254.77	291.17			963.60
	FIFO	10,000	Aug 19, 05	Apr 25, 16	1,229.48	291.17			938.31
	FIFO	10,000	Aug 19, 05	Apr 27, 16	1,235.47	291.17			944.30
TRAVELERS COS INC/THE	FIFO	10,000	Sep 15, 09	Apr 27, 16	1,098.09	492.14			605.95
	VSP	10,000	Dec 15, 09	Apr 11, 16	1,160.37	505.19			655.18
	VSP	10,000	Dec 15, 09	Apr 12, 16	1,152.57	505.18			647.39
	VSP	10,000	Dec 15, 09	Apr 25, 16	1,104.09	505.19			598.90
Total					\$161,488.92	\$151,839.64		-\$12,525.65	\$22,174.93
Net long-term capital gains or losses									\$9,649.28
Net capital gains/losses:									\$9,575.84

