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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation YOCUM FOUNDATION		A Employer identification number 31-1572005
Number and street (or P.O. box number if mail is not delivered to street address) 55 ELSMAR AVE.	Room/suite	B Telephone number (see instructions) 513-721-5672
City or town, state or province, country, and ZIP or foreign postal code FORT THOMAS KY 41075		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$	J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	1200			
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	7.79	7.79		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1207.79	7.79		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	0	0		
25 Contributions, gifts, grants paid	1200			1200
26 Total expenses and disbursements. Add lines 24 and 25	1200			1200
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	7.79			
b Net investment income (if negative, enter -0-)		7.79		
c Adjusted net income (if negative, enter -0-)			7.79	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

19p

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		429.27	429.27	429.27
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	6224.85	6197.24	6197.24	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	6663.71	6626.51	6626.51		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	6663.71			
	30	Total net assets or fund balances (see instructions)	6663.71			
	31	Total liabilities and net assets/fund balances (see instructions)	6663.71			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6663.71
2	Enter amount from Part I, line 27a	2	7.79
3	Other increases not included in line 2 (itemize) ▶ <i>Change in investment value</i>	3	(44.99)
4	Add lines 1, 2, and 3	4	6626.51
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6626.51

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	<i>None</i>			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1400.00	6951.41	20.14%
2014	1543.79	6722.67	22.96%
2013	851.34	6655.79	12.79%
2012	600.00	5674.06	10.57%
2011	600.00	6382.04	9.40%

2 Total of line 1, column (d)	2	75.86%
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	15.17%
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	6514.47
5 Multiply line 4 by line 3	5	988.25
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.08
7 Add lines 5 and 6	7	988.33
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	1200.00

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter (attach copy of letter if necessary—see instructions)

- b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b
- c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

- a 2016 estimated tax payments and 2015 overpayment credited to 2016
- b Exempt foreign organizations—tax withheld at source
- c Tax paid with application for extension of time to file (Form 8868) . .
- d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ►

11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

- b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

- c** Did the foundation file **Form 1120-POL** for this year?

- d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

- (1) On the foundation. ► \$ (2) On foundation managers ► \$

- e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$

- 2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

- 3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes . . .*

- 4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?

- b** If "Yes," has it filed a tax return on **Form 990-T** for this year?

- 5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

- 6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or

- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

- 7** Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

- 8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ►

- b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

- 9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV

- 10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		☒
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		☒
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>None</u>	☒	
14 The books are in care of ▶ <u>Thomas R. Yocum</u> Telephone no ▶ <u>513-721-5672</u> Located at ▶ <u>55 Elsmar Ave, Fort Thomas, KY</u> ZIP+4 ▶ <u>41075-1074</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ▶ ☒ See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ▶ ☐ Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ▶ ☒	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	☒
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
N/A		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments See instructions	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 6184.41
b	Average of monthly cash balances	1b 429.27
c	Fair market value of all other assets (see instructions)	1c
d	Total (add lines 1a, b, and c)	1d 6613.68
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets	2 0
3	Subtract line 2 from line 1d	3 6613.68
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4 99.21
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 6514.47
6	Minimum investment return. Enter 5% of line 5	6 325.72

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 325.72
2a	Tax on investment income for 2016 from Part VI, line 5	2a 0
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b 0
c	Add lines 2a and 2b	2c 0
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 325.72
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 325.72
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 325.72

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a 1200.00
b	Program-related investments—total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 1200.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 1200.00

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				325,72
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ _____				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				325,72
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Trustee - Thomas R. Yocum

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

*Thomas R. Yocum, 55 Elsmar Ave.,
Fort Thomas, KY 41075 513-721-5672*

- b** The form in which applications should be submitted and information and materials they should include:

Written Correspondence Setting forth the name, address, and purpose of the organization and why support of Yocum Foundation is needed.

- c** Any submission deadlines:

None

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Must be consistent with terms of Trust Agreement creating the Yocum Foundation.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Family Promise of Northern Kentucky P.O. Box 721763 Newport, KY 41072		Public Charity 501(c)(3)	General Support	\$500.00
Doctors Without Borders 333 Seventh Street, 2nd Fl. New York, NY 10001-5004		Public Charity 501(c)(3)	General Support	\$300.00
Fairhaven Rescue Mission 260 Pike Street Covington, KY 41012-0761		Public Charity 501(c)(3)	General Support	\$200.00
Emergency Shelter of Northern Kentucky P.O. Box 332 Covington, KY 41012		Public Charity 501(c)(3)	General Support	\$200.00
Total				\$1200.00
b Approved for future payment				
None				
Total				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Ths R. Youn
Signature of officer or trustee

7-10-17 Date Title

Chairman of Trustees
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no.



Beginning of Year
Investments, Part II
Line 10.6

ENV# CEBBQLJBBDRZLR_BBBB

PNC INVESTMENTS, LLC
1900 EAST NINTH STREET
B7-YB13-1G-1
CLEVELAND, OH 44114

002357 FIDB8R01 000000 AT 02

THOMAS R YOCUM TTEE
THE YOCUM FOUNDATION
U/A 9/25/97
55 ELSMAR AVE
FORT THOMAS KY 41075



Member FINRA and SIPC

STATEMENT FOR THE PERIOD JANUARY 1, 2016 TO JANUARY 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]

YOUR INVESTMENT

REPRESENTATIVE

KEITH MEYER

RR#: AEE

For questions about your accounts:

Local: 513 651 8745

National: 800 622 7086

FOR YOUR INFORMATION

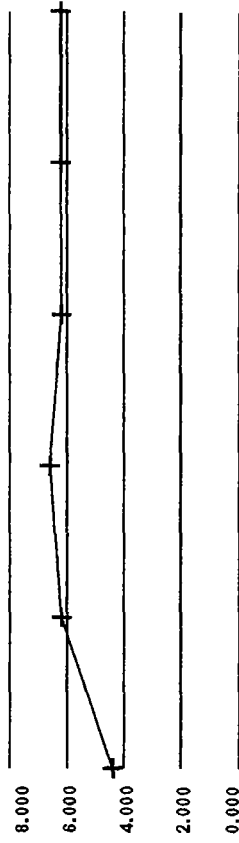
BROKERAGE AND INSURANCE PRODUCTS ARE NOT FDIC INSURED, NOT BANK GUARANTEED, NOT A DEPOSIT NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY AND MAY LOSE VALUE. SECURITIES AND BROKERAGE SERVICES ARE PROVIDED BY PNC INVESTMENTS LLC, MEMBER FINRA AND SIPC. ANNUITIES AND OTHER INSURANCE PRODUCTS ARE OFFERED BY PNC INSURANCE SERVICES, LLC A LICENSED INSURANCE AGENCY.

TOTAL VALUE OF YOUR PORTFOLIO

\$6,238.78

CHANGE IN VALUE OF YOUR PORTFOLIO

\$ thousands



Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement.

PNC Investments, LLC

MN_CEBBQLJBBDRZLR_BBBB 20160129

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period January 1, 2016 to January 31, 2016
 THE YOCUM FOUNDATION - Trust Under Agreement
 Account Number: [REDACTED]



Account Overview

CHANGE IN ACCOUNT VALUE	Current Period	Year-to-Date
BEGINNING VALUE	\$6,234.44	\$6,234.44
Additions and Withdrawals	\$0.00	\$0.00
Income	\$0.05	\$0.05
Taxes, Fees and Expenses	\$0.00	\$0.00
Change in Investment Value	\$4.29	\$4.29
ENDING VALUE (AS OF 01/31/16)	\$6,238.78	\$6,238.78

Refer to Miscellaneous Footnotes for more information on Change in Investment Value.

MARGIN PROFILE	as of January 31, 2016
Margin Positions Market Value	\$153.66
Margin Balance (Net currency credit/debit)	\$0.00
Margin Equity	\$153.66

Margin Equity Percent	100.00%
Equity Buying Power	\$20,405.93
Margin Interest Charged this Period	\$0.00

Balances and Margin availability are reflected as of the closing date of this statement. Please consult with your broker/dealer prior to trading as these amounts may have changed. Refer to Miscellaneous Footnotes at the end of this statement for more information.

INCOME	Current Period	Year-to-Date
TAXABLE		
Taxable Dividends	\$0.05	\$0.05
TOTAL TAXABLE	\$0.05	\$0.05

ACCOUNT ALLOCATION

Equity 2.5%



Money Markets 97.5%

	Percent	Prior Period	Current Period
Money Markets	97.5%	\$6,085.07	\$6,085.12
Equity	2.5%	\$149.37	\$153.66
TOTAL	100.0%	\$6,234.44	\$6,238.78

Cash and Cash Equivalents will include margin debt and credit balances.

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NES has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Products (ETPs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.



Statement for the Period January 1, 2016 to January 31, 2016
THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



Activity

CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/04/16	CASH	YOU BOUGHT	FEDERATED PRIME CASH OBLIGATIONS CLASS @ 1	0.05	(\$0.05)
TOTAL CORE FUND ACTIVITY					(\$0.05)

ACTIVITY > INCOME > TAXABLE INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
12/31/15	CASH	DIVIDEND RECEIVED	FEDERATED PRIME CASH OBLIGATIONS CLASS DIVIDEND RECEIVED		\$0.05
Total Taxable Dividends					\$0.05
Total Taxable Income					\$0.05
TOTAL INCOME					\$0.05

Statement for the Period January 1, 2016 to January 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



Member FINRA and SIPC

Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs). Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

NFS is required to report certain cost basis and related information to the IRS on the Form 1099-B. Your official 1099-B forms for certain transactions will reflect which lots have been sold for tax purposes. To apply a specific identification cost basis method to 1099-B reporting, appropriate instructions must be on file with NFS or be received by NFS before the trade has settled. Absent such instructions, NFS determines cost basis at the time of sale based on its default methods of average cost for open-end mutual funds and first-in, first-out (FIFO) for all other (including ETFs) unless your broker dealer has elected to use another default method. NFS applies FIFO (or other disposal method, if applicable) based on its records, which may be different from yours. For transactions that are not subject to 1099-B cost basis reporting, you should refer to your trade confirmations and other applicable records to determine which lots were considered sold for tax purposes.

While NFS must meet IRS requirements with respect to certain information required to be reported to the IRS, NFS-provided cost basis, realized gain and loss, and holding period information may not reflect all adjustments necessary for your tax reporting purposes. NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment. Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year.

Consult your tax advisor for further information.

T - Cost basis information was provided by a third party. We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed income securities, it reflects any prior principal pay downs.

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN INVESTMENT VALUE is the difference between the prior period and current period values which includes the difference between securities that were bought, sold and redeemed during this time period as well as any activity that occurred such as additions and withdrawals, securities transferred, income, expenses, and other activity. This does not reflect activity related to assets in which National Financial is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

MARGIN - You have been approved for margin privileges and may borrow money from NFS in exchange for pledging the assets in your account(s) as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, identified as Margin Positions Market Value on this statement. This statement shows the combined balance of your margin account and a special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection.

MARGIN POSITIONS MARKET VALUE is the market value for all positions held in the margin account using the previous business day's closing price.

PNC Investments, LLC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

MN_CEBBQJJBDDRZLR_BBBBBB 20160129



Statement for the Period January 1, 2016 to January 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



Account Overview *continued*

<i>INCOME continued</i>	<i>Current Period</i>	<i>Year-to-Date</i>
TOTAL INCOME	\$0.05	\$0.05

Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in February of the subsequent year.

MESSAGES AND ALERTS

PNC Investments LLC, PNCI, receives payments, known as revenue sharing or marketing support, from certain mutual fund companies, 529 plan managers and insurance companies. Clients should understand that while your Financial Advisor does not directly benefit from Revenue Sharing, PNCI's receipt of Revenue Sharing payments creates a potential conflict of interest in the form of additional financial incentive and benefit to PNCI in connection with the sale of products from these Partners. It is not an additional charge to the client. These are in addition to standard sales loads and fees, some of which may directly benefit your Financial Advisor. Details: visit pnc.com/investments

Did you know? Your 1099 for 2015 tax reporting is available for download through TurboTax and H and R Block. For more information, or for detailed instructions on how to access your 1099, contact a PNC Investments financial professional by calling 1-800-565-5723.

Statement for the Period January 1, 2016 to January 31, 2016
THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number. [REDACTED]



Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis information at the end of this statement for more information.

CASH AND CASH EQUIVALENTS - 97.54% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 01/31/16	Current Market Value	Estimated Annual Income
Money Markets					
FEDERATED PRIME CASH OBLIGATIONS CL SS 7 DAY YIELD 10% Dividend Option Cash Capital Gain Option Cash	OPRXD CASH	6,085.12	\$1.00	\$6,085.12	
Total Cash and Cash Equivalents				\$6,085.12	

HOLDINGS > EQUITIES - 2.46% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 01/31/16	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity							
ALCATEL-LUCENT SPONSORED ADR ISIN #US0139043055 SEDOL #2216593 Dividend Option Cash Capital Gain Option Cash	ALU MARGIN	39	\$3.94	\$153.66		\$7,291.64 T	(\$7,137.98)
Total Equities				\$153.66		\$7,291.64	
Total Securities				\$153.66		\$7,291.64	
TOTAL PORTFOLIO VALUE				\$6,238.78		\$7,291.64	

PNC Investments, LLC

MN_CEBBQJJBBDZLR_BBBB 20160129

Account carried with National Financial Services LLC, Member
NYSE, SIPC



ENV# CEBCLQQTBBFXGVS_BBBBB

PNC INVESTMENTS, LLC
1900 EAST NINTH STREET
B7-YB13-1G-1
CLEVELAND, OH 44114

002780 FIEA8K01 000001 AT 02

THOMAS R YOCUM TTEE
THE YOCUM FOUNDATION
U/A 9/25/97
55 ELSMAR AVE
FORT THOMAS KY 41075

End of Year
Investments, Part II
Line 10.6



Member FINRA and SIPC

STATEMENT FOR THE PERIOD DECEMBER 1, 2016 TO DECEMBER 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]

YOUR INVESTMENT
REPRESENTATIVE
KEITH MEYER
RR#: AEE

For questions about your accounts:
Local: 513 651 8745
National: 800 622 7086

TOTAL VALUE OF YOUR PORTFOLIO

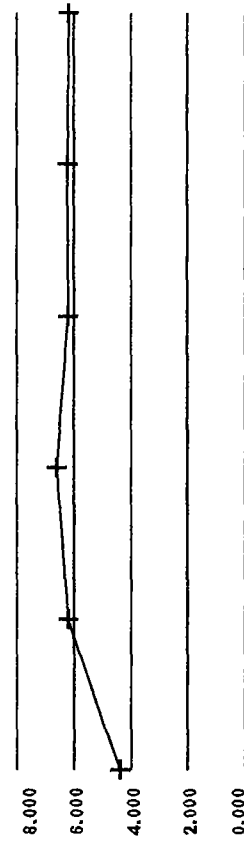
\$6,197.24

FOR YOUR INFORMATION

BROKERAGE AND INSURANCE PRODUCTS ARE: NOT FDIC INSURED, NOT BANK GUARANTEED, NOT A DEPOSIT NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY AND MAY LOSE VALUE. SECURITIES AND BROKERAGE SERVICES ARE PROVIDED BY PNC INVESTMENTS LLC, MEMBER FINRA AND SIPC. ANNUITIES AND OTHER INSURANCE PRODUCTS ARE OFFERED BY PNC INSURANCE SERVICES, LLC A LICENSED INSURANCE AGENCY.

CHANGE IN VALUE OF YOUR PORTFOLIO

\$ thousands



Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement.

PNC Investments, LLC

MN_CEBCLQQTBBFXGVS_BBBBB 20161230

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2016 to December 31, 2016
 THE YOCUM FOUNDATION - Trust: Under Agreement
 Account Number: [REDACTED]



Account Overview

CHANGE IN ACCOUNT VALUE	Current Period	Year-to-Date
BEGINNING VALUE	\$6,195.82	\$6,234.44
Additions and Withdrawals	\$0.00	\$104.38
Income	\$1.42	\$7.79
Taxes, Fees and Expenses	\$0.00	\$0.00
Change in Investment Value	\$0.00	(\$149.37)
ENDING VALUE (AS OF 12/31/16)	\$6,197.24	\$6,197.24

Refer to Miscellaneous Footnotes for more information on Change in Investment Value.

INCOME	Current Period	Year-to-Date
TAXABLE		
Taxable Dividends	\$1.42	\$7.79
TOTAL TAXABLE	\$1.42	\$7.79
TOTAL INCOME	\$1.42	\$7.79

Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in February of the subsequent year.

REALIZED GAIN (LOSS)	Current Period	Year-to-Date
Short Term Gain	\$0.00	\$0.00
Short Term Loss	\$0.00	\$0.00
Disallowed Short Term Loss	\$0.00	\$0.00
TOTAL SHORT TERM GAIN (LOSS)	\$0.00	\$0.00

ACCOUNT ALLOCATION



Money Markets 100.0%

Money Markets	Percent	Prior Period	Current Period
	100.0 %	\$6,195.82	\$6,197.24
TOTAL	100.0 %	\$6,195.82	\$6,197.24

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Products (ETPs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.



Statement for the Period December 1, 2016 to December 31, 2016
THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



Account Overview *continued*

REALIZED GAIN (LOSS)	<i>continued</i>	Current Period	Year-to-Date
Long Term Gain		\$0.00	\$0.00
Long Term Loss		\$0.00	\$7,187.26
Disallowed Long Term Loss		\$0.00	\$0.00
TOTAL LONG TERM GAIN (LOSS)		\$0.00	(\$7,187.26)

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

MESSAGES AND ALERTS

PNC Investments LLC, PNCI, receives payments, known as revenue sharing or marketing support, from certain mutual fund companies, 529 plan managers and insurance companies. Clients should understand that while your Financial Advisor does not directly benefit from Revenue Sharing, PNCI's receipt of Revenue Sharing payments creates a potential conflict of interest in the form of additional financial incentive and benefit to PNCI in connection with the sale of products from these Partners. It is not an additional charge to the client. These are in addition to standard sales loads and fees, some of which may directly benefit your Financial Advisor. Details: visit pnc.com/investments

Look for new enhancements to your customer account statement, beginning in January 2017. Your account statement will include a new Activity subsection titled, Miscellaneous Corporate Actions for Return of Principal, certain Corporate Actions, and transactions with a zero dollar amount. These transactions, if applicable, will be reflected in the Change in Value line item of the Account Overview section. If you have any questions about your account statements, please call the PNC Investments Service Center at 800-622-7086

Statement for the Period December 1, 2016 to December 31, 2016
 THE YOCUM FOUNDATION - Trust: Under Agreement
 Account Number: [REDACTED]



Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

For additional information regarding your holdings, please refer to the footnotes at the end of the statement

CASH AND CASH EQUIVALENTS - 100.00% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income
-------------	------------------------------	----------	----------------------	-------------------------	----------------------------

Money Markets

FEDERATED PRIME CASH OBLIGATIONS CL SS	QPRXQ	6,197.24	\$1.00	\$6,197.24	
--	-------	----------	--------	------------	--

7 DAY YIELD 50%

Dividend Option Cash

Capital Gain Option Cash

Total Cash and Cash Equivalents

\$6,197.24

TOTAL PORTFOLIO VALUE

\$6,197.24

Activity

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/01/16	CASH	YOU BOUGHT	FEDERATED PRIME CASH OBLIGATIONS CL SS @ 1	1.42	(\$1.42)

TOTAL CORE FUND ACTIVITY

(\$1.42)

PNC Investments, LLC

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

MN _CEBCLQQTBBFXGVS_BBBB 20161230



Statement for the Period December 1, 2016 to December 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



ACTIVITY > INCOME > TAXABLE INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
11/30/16	CASH	DIVIDEND RECEIVED	FEDERATED PRIME CASH OBLIGATIONS CLASS DIVIDEND RECEIVED		\$1.42
Total Taxable Dividends					\$1.42
Total Taxable Income					\$1.42
TOTAL INCOME					\$1.42

Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs). Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

NFS is required to report certain cost basis and related information to the IRS on the Form 1099-B. Your official 1099-B forms for certain transactions will reflect which lots have been sold for tax purposes. To apply a specific identification cost basis method to 1099-B reporting, appropriate instructions must be on file with NFS or be received by NFS before the trade has settled. Absent such instructions, NFS determines cost basis at the time of sale based on its default methods of average cost for open-end mutual funds and first-in, first-out (FIFO) for all other (including ETFs) unless your broker dealer has elected to use another default method. NFS applies FIFO (or other disposal method, if applicable) based on its records, which may be different from yours. For transactions that are not subject to 1099-B cost basis reporting, you should refer to your trade confirmations and other applicable records to determine which lots were considered sold for tax purposes.

While NFS must meet IRS requirements with respect to certain information required to be reported to the IRS, NFS-provided cost basis, realized gain and loss, and holding period information may not reflect all adjustments necessary for your tax reporting purposes. NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment. Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year.

Consult your tax advisor for further information.

PNC Investments, LLC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

MN_CEBCLQTTBBFXGVS_BBBBBB 20161230

Statement for the Period December 1, 2016 to December 31, 2016

THE YOCUM FOUNDATION - Trust: Under Agreement
Account Number: [REDACTED]



Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN INVESTMENT VALUE is the difference between the prior period and current period values which includes the difference between securities that were bought, sold and redeemed during this time period as well as any activity that occurred such as additions and withdrawals, securities transferred, income, expenses, and other activity. This does not reflect activity related to assets in which National Financial is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle FX transactions. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

COST BASIS LEGISLATION - New IRS Rules will require National Financial Services to report cost basis and holding period information for the sale of shares of open end Mutual Fund holdings purchased on or after January 1, 2012 on Form 1099-B. National Financial Services determines the cost basis for all shares of open end mutual funds using a default method of average cost. Alternatively, account owners or their brokers and advisors can instruct National Financial Services to determine the cost basis for shares of open end mutual funds by 1) setting up their non-retirement accounts with one of our eleven tax lot disposal methods available to investors or 2) identifying specific tax lots to sell at the time of a transaction. Contact your broker or advisor to learn more about the cost basis tracking of your holdings.

PART VIII INFORMATION ABOUT OFFICERS, DIRECTORS, TRUSTEES, ETC.

1. List all officers, directors, trustees, etc.

(a) Name and address	(b) Title and average hours	(c) Compensation	(d) Contributions/ Deferred compensation	(e) Expenses
Mr. Anthony J. Iacofano 4165 Paxton Woods Lane Cincinnati, OH 45209	Trustee 4 hours Per year	0	0	0
Mr. Mantai Messmer 842 Redmill Drive Cincinnati, OH 45231	Trustee 4 hours Per year	0	0	0
Mr. Roger L. Peterman 129 Riverside Parkway Ft. Thomas, KY 41075	Trustee 4 hours Per year	0	0	0
Mr. Ghebre Tzeghai 103 Wilmuth Avenue Wyoming, OH 45215	Trustee 4 hours Per year	0	0	0
Mr. Thomas R. Yocum 55 Elsmar Ave. Ft. Thomas, KY 41075	Chairman/Trustee 2 hours Per month	0	0	0