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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

A Employer identification number
31-1535223

Number and street (or P O box number if mail is not delivered to street address)
6720 SE HARBOR CIRCLE

Room/suite

B Telephone number (see instructions)
(772) 225-0403

City or town, state or province, country, and ZIP or foreign postal code
STUART, FL 34996

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 19,723,253

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	4,012,709			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	237	237	237	
	4	Dividends and interest from securities	394,665	394,665	394,665	
	5a	Gross rents	2,204	2,204	2,204	
	b	Net rental income or (loss) -6,803				
	6a	Net gain or (loss) from sale of assets not on line 10	1,897,649			
	b	Gross sales price for all assets on line 6a 4,568,057				
	7	Capital gain net income (from Part IV, line 2)		1,897,649		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	6,307,464	2,294,755	397,106	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	190,000	0	0	0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,555	0	0	0
	c	Other professional fees (attach schedule)	69,044	69,044	69,044	0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,149	2,149	2,149	0
	19	Depreciation (attach schedule) and depletion	7,726	8,057	8,057	
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	3,035	3,035	3,035	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	277,509	82,285	82,285	0
	25	Contributions, gifts, grants paid	787,800			787,800
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,065,309	82,285	82,285	787,800
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	5,242,155			
	b	**Net investment income** (if negative, enter -0-)		2,212,470		
	c	**Adjusted net income**(if negative, enter -0-)			314,821	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	215,906	3,741,037	3,741,037
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,833,996	7,828,259	15,982,216
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶	598,229		
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	7,648,131	11,569,296	19,723,253
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
	30 **Total net assets or fund balances** (see instructions)	7,648,131	11,569,296	
	31 **Total liabilities and net assets/fund balances** (see instructions)	7,648,131	11,569,296	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,648,131
2	Enter amount from Part I, line 27a	2	5,242,155
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	12,890,286
5	Decreases not included in line 2 (itemize) ▶	5	1,320,990
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,569,296

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	WELLS FARGO #7500		2016-06-28	2016-12-15
b	WELLS FARGO #7500		2015-04-17	2016-12-15
c	123 YORK ST KENNEBUNK ME	P	2009-09-04	2016-06-27
d	CAPITAL GAINS DIVIDENDS	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	159,414		168,223	-8,809
b	3,908,544		1,911,682	1,996,862
c	500,000	121,774	712,277	-90,503
d	99			99
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-8,809
b				1,996,862
c				-90,503
d				99
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,897,649
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-8,809

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	807,542	15,861,900	0 050911
2014	745,498	15,705,180	0 047468
2013	718,499	14,389,939	0 049931
2012	650,239	12,642,374	0 051433
2011	560,295	12,420,857	0 045109

2	**Total** of line 1, column (d)	2	0 244852
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048970
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	14,558,919
5	Multiply line 4 by line 3	5	712,950
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	22,125
7	Add lines 5 and 6	7	735,075
8	Enter qualifying distributions from Part XII, line 4	8	787,800

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	22,125
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	22,125
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	22,125
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 3,500		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,500
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	18,625
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT P BAUMAN Telephone no ▶ (772) 225-0403

Located at ▶ 6720 SE HARBOR CIRCLE STUART FL ZIP+4 ▶ 34996

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
		16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT BAUMAN 6720 SE HARBOR CIRCLE STUART, FL 34996	DIRECTOR 4 00	0	0	0
JOHN BAUMAN 22 FOXBORO POINT ESSEX, CT 06426	DIRECTOR 8 00	95,000	0	0
ELIZABETH H BAUMAN 6 DOLLOFF FARM ROAD EXETER, NH 03833	DIRECTOR 8 00	95,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 OHIO WESLEYAN UNIVERSITY61 S SANDUSKY STDELAWARE, OH 43105	0
2 SOUTHERN MAINE HEALTH CENTERPO BOX 626EXETER NH 03833	0
3 YALE NEW HAVEN HOSPITAL1 PARK STREETNEW HAVEN, CT 06510	0
4 EASTER SEALS 555 AUBURN STBROOKLINE, MA 03103	0

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	14,019,722
b	Average of monthly cash balances	1b	760,906
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	14,780,628
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	14,780,628
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	221,709
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,558,919
6	**Minimum investment return.** Enter 5% of line 5	6	727,946

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	727,946
2a	Tax on investment income for 2016 from Part VI, line 5	2a	22,125		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	22,125
3	Distributable amount before adjustments Subtract line 2c from line 1			3	705,821
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	705,821
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	705,821

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	787,800
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	787,800
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	22,125
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	765,675

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				705,821
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015. 13,125				
f **Total** of lines 3a through e.	13,125			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 787,800				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				705,821
e Remaining amount distributed out of corpus	81,979			
5 Excess distributions carryover applied to 2016	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	95,104			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	95,104			
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . . 13,125				
e Excess from 2016. . . . 81,979				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROBERT BAUMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROBERT P BAUMAN
6720 SE HARBOR CIRCLE
STUART, FL 34996
(772) 225-4118

b The form in which applications should be submitted and information and materials they should include

NONE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				787,800
b *Approved for future payment*				
Total . . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments	523000	237			
4 Dividends and interest from securities. . . .	523000	394,665			
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.	531390	-6,803			
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory	531190	1,897,649			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		2,285,748		0	0
13 **Total.** Add line 12, columns (b), (d), and (e).				13	2,285,748

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1	NOT APPLICABLE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
STEVEN GOLODNY		2017-05-11		P00059037
Firm's name ▶ STEVEN GOLODNY CPA PC				Firm's EIN ▶11-3147050
Firm's address ▶ 55 W 39TH ST SUITE 303 NEW YORK, NY 10018				Phone no (212) 418-1226

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN INDEPENDENCE MUSEUM-EXETER 1 GOVERNORS LANE EXETER, NH 03833	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	5,000
AMERICAN RED CROSS 158 BROOKLAWN AVENUE BRIDGEPORT, CT 06604	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	5,000
ANNIE'S ANGEL MEMORIAL FUND 8 JANA LANE STRATHAM, NH 03885	NONE	EXEMPT	CHARITABLE	10,000
BIG BROTHERSBIG SISTERS OF NH SEACOAST 909 ISLINGTON STREET SUITE 4 PORTSMOUTH, NH 03801	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	5,000
BRICK STORE MUSEUM HERITAGE FUND 117 MAIN STREET KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - HISTORICAL SERVICES	10,000
CAMP SUNSHINE AT SEBAGO LAKE INC 35 ACADIA ROAD CASCO, ME 04015	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
CHARCOT MARIE TOOTH ASSOCIATION 2700 CHESTNUT STREET CHESTER, PA 19013	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	5,000
CHILDREN'S MUSUEM OF THE TREASURE COAST 1706 NE INDIAN RIVER DRIVE JENSEN BEACH, FL 34957	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	2,000
COASTAL MAINE BOTANICAL GARDEN PO BOX 234 BOOTHBAY, ME 04537	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	1,000
COMMUNITY BIKE CENTER PO BOX 783 BIDDEFORD, ME 04005	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
COMMUNITY MUSIC SCHOOL 90 MAIN STREET ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	26,500
CONNORS CLIMB FOUNDATION PO BOX 283 EXETER, NH 03833	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	10,000
CSGA CO THE FIRST TEE CT 35 COLD SPRING ROAD 212 ROCKY HILL, CT 06067	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
CT SECTION PGA GOLF FOUNDATION 931 MAIN STREET SOUTH GASTONBURY, CT 06073	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICE	2,500
DANA FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST BROOKLINE, MA 02445	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	25,000
Total . . . . . ▶ 3a				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EASTER SEALS 555 AUBURN STREET MANCHESTER, NH 03103	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	5,000
EASTER SEALS 213 S CONGRESS AVENUE WEST PALM BEACH, FL 34994	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	5,000
EDUCATION FUND OF THE KENNEBUNK & ARUNDEL PO BOX 1531 KENNEBUNK, ME 04046	NONE	EXEMPT	CHARITABLE EDUCATION SERVICES	10,000
ENVIRONMENTAL STUDY CENTER 2900 NE INDIAN RIVER DRIVE JENSEN BEACH, FL 34957	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	5,000
ESSEX LIBRARY 33 WEST AVENUE ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	1,000
EXETER HOSPITAL 5 ALUMNI DRIVE EXETER, NH 03833	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	20,000
FAIRFIELD UNIVERSITY NORTH BENSON RD FAIRFIELD, CT 06824	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	1,000
FAMILIES FIRST HEALTH & SUPPORT CENTER 333 BORTHWICK AVENUE PORTSMOUTH, NH 03801	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	10,000
FARMSTEAD OF NEW ENGLAND INC 213 CENTER ROAD HILLSBOROUGH, NH 03244	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	15,000
FIRST TEE OF MAINE INVITATIONAL 58 VAL HALLA ROAD CUMBERLAND, ME 04021	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,500
FLORIDA OCEANOGRAPHIC SOCIETY 890 NE OCEAN BLVD STUART, FL 34996	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	20,000
FORTUNATE BLESSINGS FOUNDATION 409 BANTAM ROAD LITCHFILED, CT 06759	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVIE	5,000
FRIENDS OF COCOONS DAY SCHOOL 35 HEATH ROAD KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	3,000
FRIENDS OF KAREN INC PO BOX 190 PURDYS, NY 10578	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	25,000
FRIENDS OF THE LYRIC INC 59 SW FLAGLER STUART, FL 34994	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	10,000
Total . . . . . ▶ 3a				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GRACE PRESBYTERIAN CHURCH 1875 NW BRITT ROAD STUART, FL 34994	NONE	EXEMPT	CHARITABLE - RELIGIOUS	5,000
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND, ME 04101	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	1,000
HABITAT FOR HUMANITY - YORK COUNTY PO BOX 267 KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	5,000
HEARING RESEARCH INSTITUTE INC 7000 SW 62ND AVE SOUTH MIAMI, FL 33143	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	1,000
HORIZONS AT GREEN FARMS ACADEMY 35 BEACHSIDE AVENUE PO BOX 998 GREEN FARMS, CT 06838	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	1,000
HOSPICE OF SOUTHERN MAINE 901 WASHINGTON AVE STE 104 PORTLAND, ME 04103	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	5,000
IVORYTON PLAYHOUSE PO BOX 458 IVORYTON, CT 06442	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	16,000
KBIA PO BOX 707 KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	25,000
KENNEBUNKPORT CONSERVATION TRUST PO BOX 7004 CAPE PORPOISE, ME 04014	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	1,000
LADY OF SORROWS CATHOLIC CHURCH 14 PROSPECT STREET ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE - RELIGIOUS	10,000
LAUDHOLM TRUST LAUDHOLM FARM ROAD WELLS, ME 04090	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	1,000
LAURI STRAUSS LEUKEMIA FOUNDATION 130 SHORE ROAD 114 PORT WASHINGTON, NY 11050	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	1,000
LIBRARY FOUNDATION OF MARTIN COUNTY 2351 SE MONTERRY ROAD STUART, FL 34996	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,500
LIGHTHOUSE CHARITABLE FOUNDATION 28 SWATHMORE ROAD WELLSLEY, MA 02482	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICE	2,500
LYME ACADEMY COLLEGE OF FINE ARTS 85 LYME STREET OLD LYME, CT 06317	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	1,000
Total . . . . . ▶ 3a				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAINE MEDICAL CENTER 22 BRAMHALL STREET PORTLAND, ME 04102	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	10,000
MAINE RIVERS - MKRA PO BOX 782 YARMOUTH, ME 04096	NONE	EXEMPT	CHARITABLE - ENVIRONMENTAL SERVICES	3,000
MARTIN MEMORIAL HEALTH FOUNDATION PO BOX 9010 STUART, FL 34995	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	10,000
MASSACHUSETTS EYE AND EAR INFIRMARY 243 CHARLES STREET BOSTON, MA 02114	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	10,000
MGA-MGA FOUNDATION 49 KNOLLWOOD ROAD ELMSFORD, NY 10523	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
MOLLY'S HOUSE 430 SE OSCEOLA STREET STUART, FL 34994	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	1,500
NEW ENGLAND BAPTIST HOSPITAL 125 PARKER HILL AVE BOSTON, MA 02120	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	10,000
NEW ENGLAND ELECTRICAL RAILWAY HISTORICAL SOCIETY PO BOX A KENNEBUNKPORT, ME 04046	NONE	EXEMPT	CHARITABLE - CULTURAL SERVICES	1,000
NEW HEIGHTS ADVENTURES FOR TEENS 100 CAMPUS DRIVE PORTSMOUTH, NH 03801	NONE	EXEMPT	CHARITABLE- COMMUNITY SERVICE	5,000
NORWALK HOSPITAL FOUNDATION 39 MAPLE STREET NORWALK, CT 06850	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	2,500
OHIO WESLEYAN UNIVERSITY 61 S SANDUSKY ST DELAWARE, OH 43105	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	85,000
PALEY FOUNDATION INC 1551 NO FLAGLER DR SUITE 1005 WEST PALM BEACH, FL 33401	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	5,000
PHI GAMMA DELTA PO BOX 4599 LEXINGTON, KY 40544	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	1,000
PROCTOR ACADEMY PO BOX 389 ANDOVER, NH 03216	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	20,000
RICHIE MCFARLAND CHILDRENS CENTER 11 SANDY POINT ROAD STRATHAM, NH 03885	NONE	EXEMPT	CHARITABLE -COMMUNTY SERVICE	15,000
Total 3a . . . . . ▶				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROCKINGHAM NUTRITION MEALS ON WHEELS 106 NORTH ROAD KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	5,000
ROCKINGHAM VNA 137 EPPING ROAD EXETER, NH 03833	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICE	5,000
SACRED HEART SCHOOL SYSTEM - WILLIAM HUNT FUND 399 BISHOP HIGHWAY KINGSTON, MA 02364	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	5,000
SAFE SPACE 632 SE MONTEREY ROAD STUART, FL 34994	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	1,000
SAILFISH POINT FOUNDATION 2201 SE SAILFISH POINT BLVD STUART, FL 34996	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	3,000
SAINT ANNE'S CHURCH PO BOX 44 KENNEBUNKPORT, ME 04046	NONE	EXEMPT	CHARITABLE - RELIGIOUS	2,000
SENIOR CENTER AT LOWER VILLAGE 175 PORT ROAD KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
SIMPLY SHARING 17 CLARK LANE ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	1,000
SOCIETY OF CRITICAL CARE MEDICINE 500 MIDWAY DRIVE MT PROSPECT, IL 60056	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	2,000
SOCIETY OF ST VINCENT DE PAUL EXETER 53 LINCOLN ST EXETER, NH 03833	NONE	EXEMPT	CHARITABLE - RELIGIOUS	5,000
SOUTHERN MAINE HEALTH CENTER PO BOX 626 BIDDEFORD, ME 04005	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	100,000
THE SHORE LINE SOUP KITCHENS & PANTRIES PO BOX 804 ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	1,000
TOMMY MCNAMARA CHARITABLE FOUNDATION 234 CAUSEWAY ST 1107 BOSTON, MA 02114	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	7,500
TOYS FOR TOTS OF SACO MAINE PO BOX 235 SACO, ME 04072	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	500
TRINITY CHAPEL WOODLAND AVE KENNEBUNK BEACH, ME 04043	NONE	EXEMPT	CHARITABLE - RELIGIOUS	1,000
Total . . . . . ▶ 3a				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TUESDAY'S CHILDREN 390 PLANDOME ROAD MANHASSETT, NY 10030	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,000
UNITED WAY OF MARTIN COUNTY PO BOX 362 STUART, FL 34995	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	10,000
UNITED WAY OF YORK COUNTY LEADERSHIP CIRCLE OF GIVING PO BOX 727 KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	10,000
UNIVERSITY SCHOOL 2785 SOM CENTER RD HUNTING VALLEY, OH 44022	NONE	EXEMPT	CHARITABLE - EDUCATIONAL SERVICES	1,000
VISITING NURSES OF THE LOWER VALLEY INC 61 MAIN STREET SUITE 5 CENTERBROOK, CT 06409	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	1,000
WEBHANNET CHARITABLE FOUNDATION 20 ANNIES WAY KENNEBUNK, ME 04043	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICES	2,500
YALE NEW HAVEN CHILDREN'S HOSPITAL 1 PARK STREET NEW HAVEN, CT 06510	NONE	EXEMPT	CHARITABLE - HEALTH SERVICES	45,000
YMCA OF SOUTHERN DISTRICT- CAMP LINCOLN PO BOX 729 KINGSTON, NH 03848	NONE	EXEMPT	CHARITABLE - COMMUNITY SERVICE	15,000
AQUAHOLICS SPECIAL SURFERS 13 LINCOLN ST WESTBROOK, ME 04092	NONE	EXEMPT	CHARITABLE	1,000
THE ARTS COUNCIL INC 80 EAST OCEN AVENUE STUART, FL 34994	NONE	EXEMPT	CHARITABLE	5,000
CARING BRIDGE 1715 YANKEE DOODLE ROAD SUITE 301 EAGEN, MN 56121	NONE	EXEMPT	CHARITABLE	5,000
MAINE HEALTH CARE AT HOME 15 INDUSTRIAL PARK ROAD SACO, ME 04072	NONE	EXEMPT	CHARITABLE	1,000
CHRIST EPISCOPAL CHURCH 43 PINE ST EXETER, NH 03833	NONE	EXEMPT	CHAIRTABLE	5,000
HOSPICE OF THE TREASURE COAST 1201 SE INDIAN STREET STUART, FL 34997	NONE	EXEMPT	CHARITABLE	5,000
MY PLACE TEEN CENTER 755 MAIN ST WESTBROOK, ME 04092	NONE	EXEMPT	CHARITABLE	2,000
Total . . . . . ▶ 3a				787,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
#22 KILL 1365 NEWTON RD DALLAS, TX 75244	NONE	EXEMPT	CHARITABLE	1,000
EXETER BAND BOOSTERS 1 BLUE HAWK DRIVE EXETER, NH 03833	NONE	EXEMPT	CHARITABLE	2,000
WILTON YMCA AKA RIVERBROOK REGIONAL 404 DANBURY RD WILTON, CT 06897	NONE	EXEMPT	CHARITABLE	500
GODSPEED OPERA PO BOX A 6 MAIN ST EAST SADDAM, CT 06423	NONE	EXEMPT	CHARITABLE	500
GABRIELLE DINSMORE HEART & HOPE FUND 843 OAKLAND AVE CRANSTON, RI 02920	NONE	EXEMPT	CHARITABLE	1,000
MSGA 58 VAL HALLA ROAD CUMBERLAND, ME 04021	NONE	EXEMPT	CHARITABLE	10,000
HIGH HOPES THERAPEUTIC RIDING 36 TOWN WOODS ROAD OLD LYME, CT 06371	NONE	EXEMPT	CHARITABLE	5,000
APTA 1111 NORTH FAIRFAX ST ALEXANDRIA, VA 22314	NONE	EXEMPT	CHARITABLE	300
CT SPORTS FOUNDATION 455 BOSTON POST ROAD SUITE 203B OLD SAYBROOK, CT 06475	NONE	EXEMPT	CHARITABLE	500
CAPITOL SQUASH 49 KENMOORE ROAD BLOOMFIELD, CT 06002	NONE	EXEMPT	CHARITABLE	1,000
SISTER CITIES HAITI PO BOX 26 ESSEX, CT 06426	NONE	EXEMPT	CHARITABLE	1,000
PACE NURSING CENTER FOR THE ELDERLY 225 CHAPMAN ST PROVIDENCE, RI 02905	NONE	EXEMPT	CHARITABLE	3,000
WORLD OCEAN SCHOOL PO BOX 71 CAMDEN, ME 04843	NONE	EXEMPT	CHARITABLE	1,000
Total 3a . . . . . ▶				787,800

TY 2016 Accounting Fees Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STEVEN GOLODNY CPA PC - TAX PREP	5,555	0	0	0

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TY 2016 Depreciation Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	2009-09-04	69,907		L		0	0	0	
BUILDING	2009-09-04	629,163	101,504	SL	39 000000000000	7,394	7,394	7,394	
OIL BURNER	2009-12-03	13,207	12,544	200DB	7 000000000000	332	663	663	

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TY 2016 Investments Corporate Stock Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARKETABLE SECURITIES	7,828,259	15,982,216

TY 2016 Other Decreases Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Description	Amount
FEDERAL ESTIMATED TAX PAID IN 2016	2,258
UNREALIZED GAINS INCLUDED IN ENDING BALANCE SHEET	1,318,732

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TY 2016 Other Expenses Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO - ADR FEES	1,669	1,669	1,669	0
STATE REGISTRATION	85	85	85	0
UTILITIES	1,281	1,281	1,281	0

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TY 2016 Other Professional Fees Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO INVESTMENT MGMT FEE	69,044	69,044	69,044	0

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TY 2016 Taxes Schedule

Name: THE BAUMAN FAMILY FOUNDATION INC
C/O ROBERT P BAUMAN

EIN: 31-1535223

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	2,149	2,149	2,149	0

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Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization	Employer identification number
THE BAUMAN FAMILY FOUNDATION INC C/O ROBERT P BAUMAN	31-1535223

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE BAUMAN FAMILY FOUNDATION INC C/O ROBERT P BAUMAN	31-1535223

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT BAUMAN 6720 SE HARBOR CIRCLE STUART, FL34996	$ 4,012,709	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE BAUMAN FAMILY FOUNDATION INC C/O ROBERT P BAUMAN	31-1535223

Part II Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	VARIOUS STOCK CONTRIBUTIONS	$ 4,012 709	2016-07-01
		$	
		$	
		$	
		$	
		$	

Name of organization	Employer identification number
THE BAUMAN FAMILY FOUNDATION INC C/O ROBERT P BAUMAN	31-1535223

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** _____

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee