Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
Hatton Foundation

A Employer identification number
31-1533046

Number and street (or P O box number if mail is not delivered to street address) Room/suite
1776 Mentor Avenue

B Telephone number (see instructions)
(513) 351-1945

City or town, state or province, country, and ZIP or foreign postal code
Cincinnati, OH 45212

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 26,594,047

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	207,265	207,265		
	4	Dividends and interest from securities	360,945	360,945		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	640,424			
	b	Gross sales price for all assets on line 6a 6,313,862				
	7	Capital gain net income (from Part IV, line 2)		640,424		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-143,240	-143,240		
	12	**Total.** Add lines 1 through 11	1,065,394	1,065,394		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	2,600			2,600
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,999			2,999
	b	Accounting fees (attach schedule)	18,475	7,575		10,900
	c	Other professional fees (attach schedule)	303,298	254,720		48,578
	17	Interest	47	47		
	18	Taxes (attach schedule) (see instructions)	21,119	10,198		754
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	1,993	996		997
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	19,516	2,288		6,466
	24	**Total operating and administrative expenses.** Add lines 13 through 23	370,047	275,824		73,294
	25	Contributions, gifts, grants paid	1,260,928			1,260,928
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,630,975	275,824		1,334,222
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-565,581			
	b	**Net investment income** (if negative, enter -0-)		789,570		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	14,426	36,810	36,810
2	Savings and temporary cash investments	954,697	975,590	975,590
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)		5,088,889	5,088,889
b	Investments—corporate stock (attach schedule)	13,895,999	13,955,191	13,955,191
c	Investments—corporate bonds (attach schedule)	5,223,518	244,412	244,412
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	6,399,770	6,293,155	6,293,155
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	26,488,410	26,594,047	26,594,047
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	26,488,410	26,594,047	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	26,488,410	26,594,047	
31	**Total liabilities and net assets/fund balances** (see instructions)	26,488,410	26,594,047	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,488,410
2	Enter amount from Part I, line 27a	2	-565,581
3	Other increases not included in line 2 (itemize) ▶	3	671,218
4	Add lines 1, 2, and 3	4	26,594,047
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	26,594,047

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly Traded Securities	P	2016-12-31	2016-12-31
b	Pass Through from Partnerships	P	2016-12-31	2016-12-31
c	Capital Gain Dividends			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,257,888		5,550,831	707,057
b			122,607	-122,607
c				55,974
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				707,057
b				-122,607
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	640,424
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,387,077	27,770,166	0 04995
2014	1,413,229	28,693,292	0 04925
2013	1,313,550	27,078,721	0 04851
2012	1,306,027	25,396,257	0 05143
2011	1,238,918	25,917,008	0 04780

2	**Total** of line 1, column (d)	2	0 246939
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 049388
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . .	4	26,359,275
5	Multiply line 4 by line 3	5	1,301,832
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	7,896
7	Add lines 5 and 6	7	1,309,728
8	Enter qualifying distributions from Part XII, line 4	8	1,334,222

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	7,896
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	7,896
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	7,896
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	31,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	31,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	23,104
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 23,104	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Susan Ingmire Telephone no ▶(513) 351-1945

Located at ▶1776 Mentor Avenue Suite 260 Cincinnati OH ZIP+4 ▶45212

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	25,747,788
b	Average of monthly cash balances			1b	1,012,897
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	26,760,685
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	26,760,685
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	401,410
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	26,359,275
6	**Minimum investment return.** Enter 5% of line 5			6	1,317,964

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	1,317,964
2a	Tax on investment income for 2016 from Part VI, line 5	2a	7,896		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	7,896
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,310,068
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,310,068
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,310,068

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,334,222
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,334,222
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	7,896
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,326,326

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,310,068
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			1,216,663	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 1,334,222				
a Applied to 2015, but not more than line 2a			1,216,663	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				117,559
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				1,192,509
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Susan Ingmire
1776 Mentor Ave Suite 260
Cincinnati, OH 45212
(513) 351-1945

b The form in which applications should be submitted and information and materials they should include

Please contact the Foundation

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Foundation's focus is on civic & arts programs, faith-based programs, homeless/poverty programs, medical & health organizations, programs for people with special needs and their families, sports and youth development programs, and veterans

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,260,928
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	207,265	
4 Dividends and interest from securities. . . .			14	360,945	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	143,240	
8 Gain or (loss) from sales of assets other than inventory			18	640,424	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,065,394	

13 Total. Add line 12, columns (b), (d), and (e). 13 1,065,394

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-10	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Mark R Dickey CPA				P00183368
Firm's name ▶ John F Dickey & Co CPAs				Firm's EIN ▶
Firm's address ▶ 1251 Nilles Rd Unit 19 Fairfield, OH 45014				Phone no (513) 829-4500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Steve Scherzinger 1766 Mentor Ave Suite 260 Cincinnati, OH 45212	Pres /Exec Dir 1 00	0		500
Jeffrey Holtmeier 1766 Mentor Ave Suite 260 Cincinnati, OH 45212	Vice President 1 00	0		600
Margaret Lunsford 1766 Mentor Ave Suite 260 Cincinnati, OH 45212	Trustee 1 00	0		200
Robert Robinson 1766 Mentor Ave Suite 260 Cincinnati, OH 45212	Secretary 1 00	0		600
Kim Beach 1766 Mentor Ave Suite 260 Cincinnati, OH 45212	Treasurer 1 00	0		600
Carlene Robinson 1776 Mentor Ave Suite 260 Cincinnati, OH 45212	Trustee 1 00	0		100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Cinti State TechComm College Founda 3520 Central Pwy Cincinnati, OH 45223	None	Exempt	Scholarships for Homeless Students	7,500
Big Brothers Big Sisters 2400 Reading Rd Suite 407 Cincinnati, OH 45202	None	Exempt	Adopt-a-School and One-to-One MentoringPrograms	70,000
Impact 100 4010 Executive Park Dr Ste 100 Cincinnati, OH 45241	None	Exempt	General Support	1,100
Marvin Lewis Community Fund 700 W Pete Rose Way Cincinnati, OH 45203	None	Exempt	Scholarship Fund and Football 101 Program	50,310
Depaul Crist Rey High School 1133 Clifton Hills Ave Cincinnati, OH 45220	None	Exempt	Support	5,000
Shelterhouse 411 Gest St Cincinnati, OH 45202	None	Exempt	To support the women's homeless shelter	150,000
Mt Washington Presbyterian Church 6474 Beechmont Ave Cincinnati, OH 45230	None	Exempt	Bioethics Symposium Support	2,500
La Soupe 4150 Round Bottom Rd Cincinnati, OH 45244	None	Exempt	Operating Support	1,000
Greater Cinti Foundation 200 W Fourth St Cincinnati, OH 45202	None	Exempt	Kids-to-Camp and the Hatton Wish & Mlitary Scholarship Programs	150,000
Beech Acres 6881 Beechmont Ave Cincinnati, OH 45230	None	Exempt	Parenting Support	25,000
CRU formerly Campus Crusade for Chr 5197 Taylor Lake Lane Middletown, OH 45044	None	Exempt	Operating Budget & Staff Support	29,500
ProKids 2605 Burnet Ave Cincinnati, OH 45219	None	Exempt	Support Building Blocks Birth through Five	25,000
Cinti Children's Hosp Medical Cente 3333 Burnet Ave Cincinnati, OH 45229	None	Exempt	Teen Mental Health Program	1,000
Christ Hospital Foundation 2139 Auburn Ave Cincinnati, OH 45219	None	Exempt	General Support	1,000
National Multiple Sclerosis Society 4440 Lake Forest Dr Cincinnati, OH 45242	None	Exempt	Dinner of Champions Sponsorship	10,000
Total . . . . ▶ **3a**				1,260,928

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Strategies to End Homelessness 2368 Victory Pkwy Suite 600 Cincinnati, OH 45206	None	Exempt	Development & Marketing Efforts	75,000
Healthy Beginnings 47 E Hollister St Ste 201 Cincinnati, OH 45219	None	Exempt	Clinical Director Support	30,000
Hospice of Cincinnati 4360 Cooper Rd Cincinnati, OH 45242	None	Exempt	Operating Support	1,000
InterAct for Change 3805 Edwards Rd Cincinnati, OH 45209	None	Exempt	Heroin Epidemic Support	5,000
University of Cincinnati Foundation 51 Goodman Dr Cincinnati, OH 45267	None	Exempt	Gardner Family Center	1,500
United Service Organization 738 Avon Fields Lane Cincinnati, OH 45229	None	Exempt	Event Sponsor	2,800
Calipari Foundation 6125 Commerce Ct Mason, OH 45040	None	Exempt	Support 4 Paws for Ability	75,000
James W Miller Memorial Fund 6715 Hammerstone Way Cincinnati, OH 45227	None	Exempt	IN5 Event & Warrior Run Race Support	35,000
Leukemia Lymphoma Society 4370 Glendale Milford Rd Cincinnat, OH 45242	None	Exempt	Event Support	10,000
Archbishop Moeller High School 9001 Montgomery Rd Cincinnati, OH 45242	None	Exempt	Special Education Scholarships	15,000
Wittenberg University Fund PO Box 720 Springfield, OH 45501	None	Exempt	Business School & Communications Dept Support	2,000
Talbert House 2600 Victory Parkway Cincinnati, OH 45206	None	Exempt	Events Support	40,000
Association of the US Army 5493 Delhi Rd Cincinnati, OH 45238	None	Exempt	Support Operation Christmas Cheer	10,000
Bright Time Inc 430 Ray Norrish Dr Cincinnati, OH 45246	None	Exempt	Inclusive playground and park for individuals with disabilities	18,320
Bethel Cincinnati Church PO Box 19953 Cincinnati, OH 45219	None	Exempt	General Support	10,000
Total . . . . ▶ **3a**				1,260,928

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Salvation Army 114 East Central Parkway Cincinnati, OH 45202	None	Exempt	Operating Support & Red Kettle Campaign	2,000
Cinti Assoc for the Blind 2045 Gilbert Ave Cincinnati, OH 45202	None	Exempt	Support for impaired homemakers	30,000
Covington Ladies Home 702 Garrard St Covington, KY 41011	None	Exempt	Building Fund	2,500
Cincinnati Museum Center 1301 Western Ave Cincinnati, OH 45203	None	Exempt	Program Support for People with ASD	1,200
CincySmiles Foundation 635 West 7th St Ste 405 Cincinnati, OH 45203	None	Exempt	Support CincySmilesHealth Initiative	25,000
Circle Tail Inc 8834 Carey Lane Pleasant Plain, OH 45162	None	Exempt	Diabetic Alert Dog Program	5,000
Down Syndrome Association 4623 Wesley Ave Ste A Cincinnati, OH 45212	None	Exempt	Office Restrooms Renovation	15,000
GLAD House 1994 Madison Rd Cincinnati, OH 45208	None	Exempt	CHAMPS Prevention Program	20,000
Mariemont Community Church 3908 Plainville Rd Cincinnati, OH 45227	None	Exempt	Unrestricted Support	2,500
Hannah Meyer Memorial Fund 5430 Carterway Dr Milford, OH 45150	None	Exempt	Movement Matters Program	16,500
Melodic Connections 4527 Reading Rd Cincinnati, OH 45229	None	Exempt	Support Purchase of Building	15,000
Gorman Heritage Farm Foundation 10052 Reading Rd Cincinnati, OH 45241	None	Exempt	Harness Club Outreach Program	5,000
Hearing Speech Deaf Center 2825 Burnet Ave Cincinnati, OH 45219	None	Exempt	Business Plan for the Captioning Program Development	5,500
Pillar of Fire STAR 933 Radio PO Box 24126 Cincinnati, OH 45224	None	Exempt	Operating Support	12,400
Starfire Council 5030 Oaklawn Dr Cincinnati, OH 45227	None	Exempt	Concert Support	2,500
Total . . . . ▶ **3a**				1,260,928

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TAG Ranch PO Box 681448 Park City, UT 84098	None	Exempt	Geothermal Water Systems and Safety Training	10,000
Housing Network of Hamilton County 3030 West Fork Rd Cincinnati, OH 45211	None	Exempt	Monitored Fire Alarms Program	26,325
LADD Living Arrangements 3603 Victory Parkway Cincinnati, OH 45229	None	Exempt	Event Support	35,000
Lovesome Stables 250 Boltz Lake Rd Dry Ridge, KY 41035	None	Exempt	Lift & Care System for Indiviuals with Physical Challenges	7,730
Joe Nuxhall Miracle League Fields PO Box 18146 Fairfield, OH 45018	None	Exempt	Event Support	15,000
New Perceptions One Sperti Dr Edgewood, KY 41017	None	Exempt	ADA Compliant Bathroom Renovations	17,243
May We Help 7525 Wooster Pike Cincinnati, OH 45227	None	Exempt	Materials for Adaptive Devices	20,000
Portrait of a Soul 3944 Miami Rd Unit 107 Cincinnati, OH 45227	None	Exempt	Portrait Program	8,000
Redwood 71 Orpahnage Rd Ft Mitchell, KY 41017	None	Exempt	Playground Renovation	20,000
Spina Bifida Coalition 644 Linn St Ste 635 Cincinnati, OH 45203	None	Exempt	Technology Needs	10,000
Gateway CommTech College 500 Technology Way Florence, KY 41042	None	Exempt	Event Support	7,000
St Joseph Home 10722 Wyscarver Rd Cincinnati, OH 45241	None	Exempt	Medically Specialized Beds	32,000
Sycamore Alumni Friends Assoc 7400 Cornell Rd Cincinnati, OH 45242	None	Exempt	Event Support	5,000
Thomas A Wildey School PTO 2040 US Highway 50 Batavia, OH 45103	None	Exempt	Ceiling Lift for Disabled Individuals	8,500
Accounting for Kids 3536 Edwards Rd Ste 201 Cincinnati, OH 45208	None	Exempt	Program Support	2,500
Total . . . . ▶ **3a**				1,260,928

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Beechwood Band Boosters 50 Beechwood Rd Ft Mitchell, KY 41017	None	Exempt	Scholarship Fund	500
Boy Scouts of America 10078 Reading Rd Ste 407 Cincinnati, OH 45202	None	Exempt	Jack Kraeutler Award Support	1,500
Boys Girls Club of Hamilton 958 East Ave Hamilton, OH 45011	None	Exempt	General Support	10,000
Mount St Joseph University 5701 Delhi Rd Cincinnati, OH 45233	None	Exempt	First Generation Scholarships	5,000
Total . . . . ▶ **3a**				1,260,928

TY 2016 Accounting Fees Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	18,475	7,575	0	10,900

TY 2016 Compensation Explanation

Name: Hatton Foundation
EIN: 31-1533046
Software ID: 16000303
Software Version: 2016v3.0

Person Name	Explanation
Steve Scherzinger	Board meeting allowance
Jeffrey Holtmeier	Board meeting allowance
Margaret Lunsford	Board meeting allowance
Robert Robinson	Board meeting allowance
Kim Beach	Board meeting allowance
Carlene Robinson	Board meeting allowance

TY 2016 Legal Fees Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	2,999	0	0	2,999

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TY 2016 Other Expenses Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Charges	50			50
Board Meetings	3,323			3,323
Directors Insurance	3,966	1,983		1,983
Fundraiser/Sponsorship Ads	765			765
General Liability Insurance	610	305		305
Office Expense	20			20
Promotion/Goodwill	10,762			
Transfer Fees	20			20

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TY 2016 Other Income Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	-143,240	-143,240	

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TY 2016 Other Professional Fees Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Fees	254,684	254,684	0	0
Payroll Service Fees	237	36	0	201
Philanthropic Consulting Fees	48,377	0	0	48,377

TY 2016 Taxes Schedule

Name: Hatton Foundation

EIN: 31-1533046

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Taxes	10,167			
Foreign Taxes Withheld on Investments	10,100	10,100		
Ohio Taxes	200			200
Payroll Taxes	652	98		554