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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation FAIGEN FAMILY FOUNDATION, INC.		A Employer identification number 31-1509512
Number and street (or P O box number if mail is not delivered to street address) 231 BRADLEY PLACE, SUITE 200	Room/suite	B Telephone number 561-833-0377
City or town, state or province, country, and ZIP or foreign postal code PALM BEACH, FL 33480		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 19,560,893.	J Accounting method. <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		8,000,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		210,420.	166,496.		STATEMENT 2
4 Dividends and interest from securities		188,199.	188,199.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		573,947.			STATEMENT 1
b Gross sales price for all assets on line 6a		2,180,925.			
7 Capital gain net income (from Part IV, line 2)			762,143.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		8,972,566.	1,116,838.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		1,639.	328.		1,311.
c Other professional fees STMT 5		65,214.	62,747.		0.
17 Interest					
18 Taxes STMT 6		11,554.	1,027.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		118.	57.		61.
24 Total operating and administrative expenses. Add lines 13 through 23		78,525.	64,159.		1,372.
25 Contributions, gifts, grants paid		2,118,584.			2,118,584.
26 Total expenses and disbursements. Add lines 24 and 25		2,197,109.	64,159.		2,119,956.
27 Subtract line 26 from line 12		6,775,457.			
a Excess of revenue over expenses and disbursements			1,052,679.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	101,965.	438,450.	438,450.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	894.	1,258.	1,258.
	10a Investments - U S and state government obligations STMT 8	4,725,600.	3,700,441.	3,828,592.
	b Investments - corporate stock STMT 9	4,262,554.	10,160,537.	13,364,617.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10		293,010.	1,854,283.	1,926,143.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 11)		0.	1,833.	1,833.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		9,384,023.	16,156,802.	19,560,893.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶ EXCISE TAX PAYABLE)	2,678.	0.		
23 Total liabilities (add lines 17 through 22)	2,678.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X			
	24 Unrestricted	9,381,345.	16,156,802.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	9,381,345.	16,156,802.		
31 Total liabilities and net assets/fund balances	9,384,023.	16,156,802.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,381,345.
2 Enter amount from Part I, line 27a	2	6,775,457.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,156,802.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,156,802.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT 12-B	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,180,925.		1,418,782.	762,143.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			762,143.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	762,143.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,152,338.	13,903,843.	.154802
2014	979,314.	14,282,178.	.068569
2013	1,123,239.	13,527,796.	.083032
2012	1,363,250.	13,217,391.	.103141
2011	307,173.	11,889,132.	.025836

2 Total of line 1, column (d)	2	.435380
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.087076
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	12,238,550.
5 Multiply line 4 by line 3	5	1,065,684.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,527.
7 Add lines 5 and 6	7	1,076,211.
8 Enter qualifying distributions from Part XII, line 4	8	2,119,956.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	10,527.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	10,527.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10,527.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	12,360.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	12,360.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,833.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input checked="" type="checkbox"/> 1,833. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address NONE	13	X
14 The books are in care of BRENDA MCGOWAN Telephone no 561-833-0377 Located at 231 BRADLEY PLACE, SUITE 200, PALM BEACH, FL ZIP+4 33480		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LISA SEIGEL	PRESIDENT			
2120 WYOMING AVENUE NW, APT. #3	125.00/yr	0.	0.	0.
WASHINGTON, DC 20008				
DR. ANDREW FAIGEN	VICE PRESIDENT			
1013 COUNTRY CLUB DRIVE	25.00/yr	0.	0.	0.
NORTH PALM BEACH, FL 33408				
DR. AMANDA FAIGEN	TREASURER			
1013 COUNTRY CLUB DRIVE	25.00/yr	0.	0.	0.
NORTH PALM BEACH, FL 33408				
BRENDA MCGOWAN	SECRETARY			
231 BRADLEY PLACE, STE 200	125.00/yr	0.	0.	0.
PALM BEACH, FL 33480				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	12,067,196.
b Average of monthly cash balances	1b	357,728.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	12,424,924.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	12,424,924.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	186,374.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,238,550.
6 Minimum investment return. Enter 5% of line 5	6	611,928.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6		1	611,928.
2a Tax on investment income for 2016 from Part VI, line 5	2a	10,527.	
b Income tax for 2016 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c	10,527.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	601,401.	
4 Recoveries of amounts treated as qualifying distributions	4	0.	
5 Add lines 3 and 4	5	601,401.	
6 Deduction from distributable amount (see instructions)	6	0.	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	601,401.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,119,956.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,119,956.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,527.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,109,429.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				601,401.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012	715,714.			
c From 2013	466,963.			
d From 2014	284,553.			
e From 2015	1,481,862.			
f Total of lines 3a through e	2,949,092.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,119,956.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				601,401.
e Remaining amount distributed out of corpus	1,518,555.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,467,647.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	4,467,647.			
10 Analysis of line 9				
a Excess from 2012	715,714.			
b Excess from 2013	466,963.			
c Excess from 2014	284,553.			
d Excess from 2015	1,481,862.			
e Excess from 2016	1,518,555.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling _____.

- b Check box to indicate whether the foundation is a private operating foundation described in section**

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly
for active conduct of exempt activities**
Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon.

- a "Assets" alternative test - enter
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

- c "Support" alternative test - enter**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

[illegible]

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILDHELP INC 4350 E. CAMELBACK RD,BLDG F250 PHOENIX, AZ 85018		IRC SEC 501(C)(3)	TREATMENT FOR ABUSED AND NEGLECTED CHILDREN	428,584.
OPPORTUNITY INC 1713 QUAIL DRIVE WEST PALM BEACH, FL 33409		IRC SEC 501(C)(3)	EDUCATIONAL SERVICES	910,000.
SEE FOREVER FOUNDATION 1436 U STREET, N.W., STE 203 WASHINGTON, DC 20009		IRC SEC 501(C)(3)	EDUCATIONAL SERVICES	520,000.
ALZHEIMER'S ASSOCIATION (SOUTHEAST FLORIDA CHAPTER) 3333 FOREST HILL BLVD WEST PALM BEACH, FL 33406		IRC SEC 501(C)(3)	CHARITABLE	10,000.
AMERICAN CANCER SOCIETY 235 S.COUNTY RD #2 PALM BEACH, FL 33480		IRC SEC 501(C)(3)	CHARITABLE	10,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				2,118,584.
b Approved for future payment				
ST. MARY'S CATHOLIC CHURCH 1200 EAST MAIN STREET PAHOKEE, FL 33476		IRC SEC 501(C)(3)	SCHOLARSHIP FUND	10,000.
HEALING HEALTH CARE CENTER 1200 EAST MAIN STREET PAHOKEE, FL 33476		IRC SEC 501(C)(3)	CHARITABLE	109,500.
CHILDHELP INC 4350 E. CAMELBACK RD,BLDG F250 PHOENIX, AZ 85018		IRC SEC 501(C)(3)	TREATMENT FOR ABUSED AND NEGLECTED CHILDREN	934,468.
Total SEE CONTINUATION SHEET(S) ▶ 3b				2,203,968.

Form 990-PF (2016)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2016

Name of the organization

Employer identification number

FAIGEN FAMILY FOUNDATION, INC.

31-1509512

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

FAIGEN FAMILY FOUNDATION, INC.

31-1509512

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GRETA FAIGEN ESTATE 231 BRADLEY PLACE, STE 200 PALM BEACH, FL 33480	\$ 7,900,221.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	GRETA FAIGEN ESTATE 231 BRADLEY PLACE, STE 200 PALM BEACH, FL 33480	\$ 99,779.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

FAIGEN FAMILY FOUNDATION, INC.**31-1509512****Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	<u>MARKETABLE SECURITIES-SEE STATEMENT 15</u>		
		\$ <u>7,900,221.</u>	<u>12/06/16</u>
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

FAIGEN FAMILY FOUNDATION, INC.

31-1509512

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN FRIENDS OF HERZOG HOSPITAL 57 W 57TH ST #412 NEW YORK, NY 10019		IRC SEC 501(C)(3)	CHARITABLE	30,000.
THE ARC OF PALM BEACH COUNTY 1201 AUSTRALIAN AVE RIVIERA BEACH, FL 33404		IRC SEC 501(C)(3)	CHARITABLE	10,000.
BACK TO BASICS, INC 13754 GREENTREE TRAIL WELLINGTON, FL 33414		IRC SEC 501(C)(3)	CHARITABLE	15,000.
UNITED WAY OF PALM BEACH COUNTY (BILL BROOKS FOOD FOR FAMILIES) 2600 QUANTUM BLVD BOYNTON BEACH, FL 33426		IRC SEC 501(C)(3)	CHARITABLE	20,000.
CATHOLIC CHARITIES OF PALM BEACH, ELDER AFFAIRS PROGRAM PO BOX 8246 WEST PALM BEACH, FL 33407		IRC SEC 501(C)(3)	CHARITABLE	15,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001		IRC SEC 501(C)(3)	CHARITABLE	10,000.
HOME SAFE 2840 SIXTH AVENUE SOUTH LAKE WORTH, FL 33461		IRC SEC 501(C)(3)	CHARITABLE	15,000.
HOSPICE OF PALM BEACH COUNTY 5300 EAST AVENUE WEST PALM BEACH, FL 33407		IRC SEC 501(C)(3)	CHARITABLE	15,000.
JASMINE JAHANSHAHI FIRE SAFETY FOUNDATION 250 S. AUSTRALIAN AVE #601 WEST PALM BEACH, FL 33401		IRC SEC 501(C)(3)	CHARITABLE	10,000.
JEWISH COMMUNITY CENTER 5221 HOOD ROAD PALM BEACH GARDENS, FL 33418		IRC SEC 501(C)(3)	CHARITABLE	10,000.
Total from continuation sheets				240,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FOUNDATION FOR GROUP HOMES 1500 E JEFFERSON ST ROCKVILLE, MD 20852		IRC SEC 501(C)(3)	CHARITABLE	15,000.
JEWISH RESIDENTIAL & FAMILY SERVICES 5841 CORPORATE WAY #200 WEST PALM BEACH, FL 33407		IRC SEC 501(C)(3)	CHARITABLE	10,000.
KRAVIS CENTER FOR THE PERFORMING ARTS 701 OKEECHOBEE BLVD WEST PALM BEACH, FL 33401		IRC SEC 501(C)(3)	CHARITABLE	10,000.
THE LORD'S PLACE PO BOX 3265 WEST PALM BEACH, FL 33402		IRC SEC 501(C)(3)	CHARITABLE	20,000.
PLANNED PARENTHOOD 2300 N. FLORIDA MANGO RD WEST PALM BEACH, FL 33409		IRC SEC 501(C)(3)	CHARITABLE	15,000.
UNITED WAY OF PALM BEACH COUNTY (PROJECT THANKSGIVING) 2600 QUANTUM BLVD BOYNTON BEACH, FL 33426		IRC SEC 501(C)(3)	CHARITABLE	20,000.
Total from continuation sheets				

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
SEE FOREVER FOUNDATION 1436 U STREET, N.W., STE 203 WASHINGTON, DC 20009		IRC SEC 501(C)(3)	EDUCATIONAL SERVICES	1,150,000.
Total from continuation sheets				1,150,000.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
				PURCHASED	VARIOUS	VARIOUS
SEE STATEMENT 12-A						
	2,180,925.	1,606,978.	0.		0.	573,947.
CAPITAL GAINS DIVIDENDS FROM PART IV						0.
TOTAL TO FORM 990-PF, PART I, LINE 6A						573,947.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHEVY CHASE TRUST CO.	210,404.	166,480.	
WELLS FARGO	16.	16.	
TOTAL TO PART I, LINE 3	210,420.	166,496.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHEVY CHASE TRUST CO.	188,199.	0.	188,199.	188,199.	
TO PART I, LINE 4	188,199.	0.	188,199.	188,199.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,639.	328.		1,311.
TO FORM 990-PF, PG 1, LN 16B	1,639.	328.		1,311.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	60,838.	58,537.		0.
CUSTODIAL FEE	4,376.	4,210.		0.
TO FORM 990-PF, PG 1, LN 16C	65,214.	62,747.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	10,527.	0.		0.
FOREIGN TAXES	1,027.	1,027.		0.
TO FORM 990-PF, PG 1, LN 18	11,554.	1,027.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSE	57.	57.		0.
ANNUAL FILING FEE	61.	0.		61.
TO FORM 990-PF, PG 1, LN 23	118.	57.		61.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MUNICIPAL OBLIGATIONS-SEE STMT 14		X	3,700,441.	3,828,592.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			3,700,441.	3,828,592.
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,700,441.	3,828,592.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS-SEE STMT 13	10,160,537.	13,364,617.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,160,537.	13,364,617.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD FTSE ALL-WORLD EX-US	COST	521,934.	508,070.
MIDCAP SPDR TRUST SERIES 1	COST	0.	0.
ISHARES MSCI EAFE INDEX FUND	COST	106,671.	106,801.
ISHARES RUSSELL 2000 INDEX FUND	COST	269,180.	269,700.
SPDR S&P MIDCAP 400 ETF	COST	214,763.	301,730.
VANGUARD LARGE-CAP ETF	COST	216,975.	218,662.
VANGUARD MID-CAP ETF	COST	265,140.	263,260.
VANGUARD SMALL-CAP ETF	COST	259,620.	257,920.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,854,283.	1,926,143.

FORM 990-PF	OTHER ASSETS	STATEMENT 11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
EXCISE TAX RECEIVABLE	0.	1,833.	1,833.
TO FORM 990-PF, PART II, LINE 15	0.	1,833.	1,833.

FAIGEN FAMILY FOUNDATION, INC
2016 BOOK BASIS CAPITAL GAINS (LOSSES)
Updated: 12/31/16

SHARES	SECURITY	GROSS SALE PRICE	BOOK VALUE	GAIN (LOSS)	DATE ACQUIRED	DATE SOLD
100	3M Company	15,998.65	7,092.25	8,906.40	09/24/03	03/04/16
100	3M Company	16,984.03	7,092.25	9,891.78	09/24/03	05/10/16
150	Air Prods & Chems Inc	21,607.02	6,757.50	14,849.52	05/24/01	04/13/16
100	Air Prods & Chems Inc	15,003.67	4,505.00	10,498.67	05/24/01	07/26/16
200	Altria Group Inc	12,397.72	5,222.00	7,175.72	04/20/11	03/03/16
300	Coca Cola Company	13,196.71	11,499.00	1,697.71	09/27/13	03/04/16
100,000	Columbus-Franklin, OH Bond	101,468.00	98,302.00	3,166.00	02/05/15	05/10/16
75	Constellation Brands C/A	11,863.86	7,098.75	4,765.11	12/18/14	04/06/16
0.3415	Coty Inc CL A	8.58	3.94	4.64	06/26/98	10/14/16
0.862	Dell Technologies Inc	41.94	41.38	0.56	09/24/03	09/19/16
1,500	EMC Corp Mass	40,484.12	19,365.00	21,119.12	09/24/03	05/10/16
600	EMC Corp Mass	16,793.63	7,746.00	9,047.63	09/24/03	06/06/16
4,700	EMC Corp Mass	97,514.68	43,651.30	53,863.38	9/24/03 & 3/24/10	09/08/16
300	Exxon Mobil Corp	25,265.44	13,189.50	12,075.94	05/24/01	03/07/16
150	Exxon Mobil Corp	13,846.20	6,594.75	7,251.45	05/24/01	06/29/16
200,000	Florida Dept Environ Bond	221,052.00	201,130.00	19,922.00	06/17/10	04/13/16
175,000	Harford Cnty, MD Bond	195,018.25	175,000.00	20,018.25	06/02/10	03/08/16
331	Home Depot Inc	45,481.71	12,896.39	32,585.32	5/24/01 & 9/24/03	05/10/16
300	Honeywell International Inc	32,096.30	13,344.00	18,752.30	03/24/10	03/03/16
200	Honeywell International Inc	22,949.49	8,896.00	14,053.49	03/24/10	04/13/16
100	Honeywell International Inc	11,598.74	4,448.00	7,150.74	03/24/10	06/08/16
200	Intl Business Machines	30,197.34	23,920.00	6,277.34	05/24/01	04/13/16
100	Intl Business Machines	15,358.66	11,960.00	3,398.66	05/24/01	06/08/16
100	Intl Business Machines	16,137.64	11,960.00	4,177.64	05/24/01	07/21/16
5,000	Iowa Student Loan Bond	5,000.00	4,976.16	23.84	05/06/15	06/01/16
10,000	Iowa Student Loan Bond	10,000.00	9,953.92	46.08	05/06/15	12/01/16
300	Johnson & Johnson	32,117.29	16,743.00	15,374.29	06/05/09	03/02/16
100	Johnson & Johnson	11,480.74	5,581.00	5,899.74	06/05/09	05/10/16
200	Johnson & Johnson	25,097.45	11,162.00	13,935.45	06/05/09	08/01/16
80,000	Lee Cnty, FL Airport Bond	90,740.00	82,081.30	8,658.70	01/17/14	04/13/16

FAIGEN FAMILY FOUNDATION, INC
2016 BOOK BASIS CAPITAL GAINS (LOSSES)
Updated: 12/31/16

SHARES	SECURITY	GROSS SALE PRICE	BOOK VALUE	GAIN (LOSS)	DATE ACQUIRED	DATE SOLD
175	Martin Marietta Matls Inc	26,247.67	24,557.75	1,689.92	12/21/15	03/04/16
100	Martin Marietta Matls Inc	16,498.64	4,928.00	11,570.64	05/24/01	04/13/16
100	Martin Marietta Matls Inc	18,503.59	4,928.00	13,575.59	05/24/01	05/10/16
100	Martin Marietta Matls Inc	20,498.55	4,928.00	15,570.55	05/24/01	07/28/16
250,000	Maryland State Comnty Bond	250,000.00	246,035.00	3,965.00	07/06/11	09/01/16
5,000	Mass State Educ Bond	5,000.00	5,000.00	0.00	06/21/13	01/01/16
10,000	Mass State Educ Bond	10,000.00	10,000.00	0.00	06/21/13	07/01/16
15,000	Mass State Educ Bond	15,000.00	14,953.71	46.29	06/21/12	01/01/16
15,000	Mass State Educ Bond	15,000.00	14,956.13	43.87	06/21/12	07/01/16
300	McDonalds Corp	39,569.13	7,356.00	32,213.13	09/24/97	05/10/16
300	Medtronic PLC	24,329.46	22,150.03	2,179.43	01/27/15	05/25/16
5,000	New Hampshire Rev Bond	5,000.00	5,000.00	0.00	03/14/13	03/01/16
5,000	New Hampshire Rev Bond	5,000.00	5,000.00	0.00	03/14/13	06/01/16
15,000	New Jersey State Educ Bond	15,000.00	14,973.62	26.38	06/26/12	01/01/16
135,000	New Jersey State Educ Bond	140,940.00	134,772.21	6,167.79	06/26/12	05/10/16
100,000	New York, NY Bldg Bond	119,065.00	97,599.00	21,466.00	12/30/09	06/14/16
200	Pepsico Inc	21,281.53	9,104.00	12,177.53	09/24/03	05/10/16
200	Philip Morris International	18,541.65	17,296.00	1,245.65	09/30/13	03/03/16
200	Philip Morris International	18,919.58	11,334.00	7,585.58	01/28/11	03/04/16
500	Phillips 66	45,084.01	17,970.05	27,113.96	07/26/12	03/22/16
500	Procter & Gamble Company	41,134.10	22,540.86	18,593.24	06/26/98	03/02/16
100	Raytheon Company	13,598.70	2,907.50	10,691.20	09/24/03	06/08/16
200	SPDR S&P Midcap 400 ETF	53,047.84	31,289.00	21,758.84	06/12/08	05/10/16
250	T Rowe Price Group Inc	19,377.07	5,410.00	13,967.07	09/24/03	05/25/16
500	Verizon Communications	25,994.43	17,702.74	8,291.69	01/22/08	03/02/16
250	Verizon Communications	13,497.20	8,851.37	4,645.83	01/22/08	06/21/16
250	Walmart Stores Inc	17,997.11	13,222.50	4,774.61	05/24/01	06/22/16
TOTALS:		2,180,925.12	1,606,977.86	573,947.26		

FAIGEN FAMILY FOUNDATION, INC
2016 TAX BASIS CAPITAL GAINS (LOSSES)
Updated: 12/31/16

SHARES	SECURITY	GROSS SALE PRICE	ACQUISITION COST	SHORT TERM GAIN (LOSS)	LONG TERM GAIN (LOSS)	DATE ACQUIRED	DATE SOLD
100	3M Company	15,998.65	5,484.50		10,514.15	07/30/01	03/04/16
100	3M Company	16,984.03	5,484.50		11,499.53	07/30/01	05/10/16
150	Air Prods & Chems Inc	21,607.02	2,993.63		18,613.39	05/11/94	04/13/16
100	Air Prods & Chems Inc	15,003.67	1,995.75		13,007.92	05/11/94	07/26/16
200	Altria Group Inc	12,397.72	5,222.00		7,175.72	04/20/11	03/03/16
300	Coca Cola Company	13,196.71	11,499.00		1,697.71	09/27/13	03/04/16
100,000	Columbus-Franklin, OH Bond	101,468.00	98,302.00		3,166.00	02/05/15	05/10/16
75	Constellation Brands CIA	11,863.86	1,483.50		10,380.36	02/04/11	04/06/16
0.3415	Coty Inc CL A	8.58	1.14		7.44	10/14/93	10/14/16
0.862	Dell Technologies Inc	41.94	40.69		1.25	04/16/02	09/19/16
1,500	EMC Corp Mass	40,484.12	15,525.00		24,959.12	04/16/02	05/10/16
600	EMC Corp Mass	16,793.63	6,210.00		10,583.63	04/16/02	06/06/16
4,700	EMC Corp Mass	97,514.68			97,514.68	4/16/02 & 12/18/02	09/08/16
300	Exxon Mobil Corp	25,265.44	3,805.88		21,459.56	02/19/93	03/07/16
150	Exxon Mobil Corp	13,846.20	1,901.96		11,944.24	5/28/92 & 2/19/93	06/29/16
200,000	Florida Dept Environ Bond	221,052.00	201,130.00		19,922.00	06/17/10	04/13/16
175,000	Harford Cnty, MD Bond	195,018.25	175,000.00		20,018.25	06/02/10	03/08/16
331	Home Depot Inc	45,481.71	8,820.60		36,661.11	08/31/98	05/10/16
300	Honeywell International Inc	32,096.30	7,200.00		24,896.30	12/17/02	03/03/16
200	Honeywell International Inc	22,949.49	4,800.00		18,149.49	12/17/02	04/13/16
100	Honeywell International Inc	11,598.74	2,400.00		9,198.74	12/17/02	06/08/16
200	Intl Business Machines	30,197.34	5,281.11		24,916.23	07/29/96	04/13/16
100	Intl Business Machines	15,358.66	2,640.56		12,718.10	07/29/96	06/08/16
100	Intl Business Machines	16,137.64	2,640.55		13,497.09	07/29/96	07/21/16
5,000	Iowa Student Loan Bond	5,000.00	4,976.16		23.84	05/06/15	06/01/16
10,000	Iowa Student Loan Bond	10,000.00	9,953.92		46.08	05/06/15	12/01/16
300	Johnson & Johnson	32,117.29	16,743.00		15,374.29	06/05/09	03/02/16
100	Johnson & Johnson	11,480.74	5,581.00		5,899.74	06/05/09	05/10/16
200	Johnson & Johnson	25,097.45	11,162.00		13,935.45	06/05/09	08/01/16
80,000	Lee Cnty, FL Airport Bond	90,740.00	82,081.30		8,658.70	01/17/14	04/13/16
175	Martin Marietta Matls Inc	26,247.67	5,132.66		21,115.01	01/24/03	03/04/16
100	Martin Marietta Matls Inc	16,498.64	2,193.50		14,305.14	09/27/96	04/13/16
100	Martin Marietta Matls Inc	18,503.59	2,193.50		16,310.09	09/27/96	05/10/16
100	Martin Marietta Matls Inc	20,498.55	2,193.50		18,305.05	09/27/96	07/28/16
250,000	Maryland State Comnty Bond	250,000.00	246,035.00		3,965.00	07/06/11	09/01/16
5,000	Mass State Educ Bond	5,000.00	5,000.00		0.00	06/21/13	01/01/16

FAIGEN FAMILY FOUNDATION, INC
2016 TAX BASIS CAPITAL GAINS (LOSSES)
Updated: 12/31/16

SHARES	SECURITY	GROSS SALE PRICE	ACQUISITION COST	SHORT TERM GAIN (LOSS)	LONG TERM GAIN (LOSS)	DATE ACQUIRED	DATE SOLD
10,000	Mass State Educ Bond	10,000.00	10,000.00		0.00	06/21/13	07/01/16
15,000	Mass State Educ Bond	15,000.00	14,953.71		46.29	06/21/12	01/01/16
15,000	Mass State Educ Bond	15,000.00	14,956.13		43.87	06/21/12	07/01/16
300	McDonalds Corp	39,569.13	7,356.00		32,213.13	09/24/97	05/10/16
300	Medtronic PLC	24,329.46	22,150.03		2,179.43	01/27/15	05/25/16
5,000	New Hampshire Rev Bond	5,000.00	5,000.00		0.00	03/14/13	03/01/16
5,000	New Hampshire Rev Bond	5,000.00	5,000.00		0.00	03/14/13	06/01/16
15,000	New Jersey State Educ Bond	15,000.00	14,973.62		26.38	06/26/12	01/01/16
135,000	New Jersey State Educ Bond	140,940.00	134,772.21		6,167.79	06/26/12	05/10/16
100,000	New York, NY Bldg Bond	119,065.00	97,599.00		21,466.00	12/30/09	06/14/16
200	Pepsico Inc	21,281.53	7,449.76		13,831.77	07/19/02	05/10/16
200	Philip Morris International	18,541.65	17,296.00		1,245.65	09/30/13	03/03/16
200	Philip Morris International	18,919.58	11,334.00		7,585.58	01/28/11	03/04/16
500	Phillips 66	45,084.01	17,970.05		27,113.96	07/26/12	03/22/16
500	Procter & Gamble Company	41,134.10	6,529.54		34,604.56	10/14/93	03/02/16
100	Raytheon Company	13,598.70	2,622.00		10,976.70	07/18/01	06/08/16
200	SPDR S&P Midcap 400 ETF	53,047.84	31,289.00		21,758.84	06/12/08	05/10/16
250	T Rowe Price Group Inc	19,377.07	4,750.63		14,626.44	08/07/01	05/25/16
500	Verizon Communications	25,994.43	17,702.74		8,291.69	01/22/08	03/02/16
250	Verizon Communications	13,497.20	8,851.37		4,645.83	01/22/08	06/21/16
250	Walmart Stores Inc	17,997.11	3,118.13		14,878.98	09/06/95	06/22/16
TOTALS:		2,180,925.12	1,418,781.83	0.00	762,143.29		

TOTAL SHORT TERM GAINS (LOSSES)	0.00
TOTAL LONG TERM GAINS (LOSSES)	762,143.29
TOTAL GAINS (LOSSES)	762,143.29

FAIGEN FAMILY FOUNDATION (ACCT #754)
CURRENT VALUE OF STOCKS & BONDS
AT CHEVY CHASE TRUST BANK
Updated: December 31, 2016

Shares	Description	Cost	Book Value	Value 12/31/16
	Stocks			
600	3M Company	32,802.00	42,553.50	107,142.00
44	Advansix Inc	139.22	260.79	974.16
1,800	Air Prod & Chems Inc	167,642.36	184,915.42	258,876.00
5,400	Altria Group Inc	277,748.71	295,249.95	365,148.00
2,000	American Express Co	67,065.49	67,065.49	148,160.00
1,000	Amgen Inc	34,219.15	65,921.00	146,210.00
2,900	Apache Corp	192,415.00	192,415.00	184,063.00
5,600	Apple Computer	69,509.78	183,496.00	648,592.00
9,000	AT&T Inc	354,150.00	354,150.00	382,770.00
2,400	Automatic Data Processing Inc	230,400.00	230,400.00	246,672.00
700	BlackRock Inc	232,595.00	232,595.00	266,378.00
1,800	Boeing Company	274,032.00	274,032.00	280,224.00
2,500	Bristol Myers Squibb Co	140,075.00	140,075.00	146,100.00
2,000	Caterpillar Inc	129,716.60	129,716.60	185,480.00
3,400	Chevron Corporation	311,776.98	329,198.70	400,180.00
3,900	Cisco Systems Inc	59,411.70	85,151.70	117,858.00
2,500	Coca-Cola Co	28,440.43	104,783.00	103,650.00
2,500	Comcast Corp	171,750.00	171,750.00	172,625.00
3,600	ConocoPhillips	114,540.76	140,789.46	180,504.00
415	Constellation Brands Inc	6,469.15	31,987.35	63,623.65
995	Coty Inc CL A	3,328.92	11,491.90	18,218.45
5,000	CSX Corp	180,250.00	180,250.00	179,650.00
523	Dell Technologies Inc	24,685.59	25,104.00	28,749.31
2,500	Du Pont El De Nemours	181,825.00	181,825.00	183,500.00
5,550	Exxon Mobil Corp	369,063.44	418,385.75	500,943.00
1,700	Fluor Corporation	35,058.89	35,058.89	89,284.00
7,436	General Electric Corp	133,303.53	226,850.12	234,977.60
5,500	Home Depot Inc	503,139.39	587,757.86	737,440.00
3,600	Honda Motor Ltd	128,089.83	128,089.83	105,084.00
3,600	Honeywell International Inc	308,655.78	331,342.21	417,060.00
800	IBM Corporation	21,124.44	95,680.00	132,792.00
6,000	Intel Corporation	21,454.38	122,268.14	217,620.00
1,000	Johnson & Johnson	50,408.25	57,598.00	115,210.00
2,500	Marriott Intl Inc	66,731.11	79,316.42	206,700.00
700	Martin Marietta Matls Inc	15,354.50	34,496.00	155,071.00
7,500	MasterCard Inc	695,196.20	728,443.45	774,375.00
2,500	McDonald's Corporation	167,638.30	225,712.00	304,300.00
500	McKesson Corp	52,196.52	90,583.77	70,225.00
2,000	Medtronic PLC	147,666.87	147,666.87	142,460.00
2,500	Merck & Company Inc	42,149.12	155,285.16	147,175.00
5,000	Microsoft Corp	198,877.84	200,900.40	310,700.00
4,500	Morgan Stanley	101,449.14	262,218.46	190,125.00
3,000	Nike Inc Class B	15,624.37	15,624.37	152,490.00
2,500	Norfolk Southern Corp	180,348.74	180,348.74	270,175.00

FAIGEN FAMILY FOUNDATION (ACCT #754)
CURRENT VALUE OF STOCKS & BONDS
AT CHEVY CHASE TRUST BANK
Updated: December 31, 2016

Shares	Description	Cost	Book Value	Value 12/31/16
2,000	Paccar Inc	128,860.00	128,860.00	127,800.00
1,600	Pepsico Inc	56,886.04	85,276.00	167,408.00
2,655	Pfizer Inc	40,697.89	62,223.50	86,234.40
800	Philip Morris International	22,278.70	41,990.00	73,192.00
1,700	Phillips 66	31,675.30	41,313.92	146,897.00
1,445	Procter & Gamble Co	17,669.63	65,143.09	121,495.60
700	Raytheon Company	18,354.00	20,352.50	99,400.00
4,000	Schlumberger Ltd	190,814.29	231,770.00	335,800.00
5,000	SLM Corp	42,380.50	42,380.50	55,100.00
3,000	Synchrony Financial	37,352.91	85,153.17	108,810.00
2,800	T Rowe Price Group Inc	108,476.37	117,764.50	210,728.00
3,400	Target Corp	261,018.00	261,018.00	245,582.00
2,200	United Technologies Corp	125,176.65	174,750.00	241,164.00
7,250	Verizon Communications	325,323.58	325,323.58	387,005.00
1,675	Versum Materials Inc	33,984.26	35,530.58	47,017.25
3,000	Walmart Stores Inc	109,776.87	180,507.50	207,360.00
4,000	Walt Disney Co	271,837.90	300,941.61	416,880.00
5,500	Yum China Holdings Inc	155,925.00	155,925.00	143,660.00
1,000	Zoetis Inc	20,029.29	25,509.98	53,530.00
	Total Stocks:	8,537,036.66	10,160,536.73	13,364,617.42

FAIGEN FAMILY FOUNDATION (ACCT #754)
CURRENT VALUE OF STOCKS & BONDS
AT CHEVY CHASE TRUST BANK
Updated: December 31, 2016

Shares	Description	Cost	Book Value	Value 12/31/16
	Taxable Bonds			
100,000	Beech Grove, IN Sch (4.1%)	100,000.00	100,000.00	101,834.00
100,000	Colony, TX Local Dev Corp (3.866%)	92,738.00	92,738.00	102,261.00
115,000	Contra Costa, CA Gen Oblig (5.882%)	123,550.25	123,550.25	135,936.90
130,000	DuPont El De Nemours (6.5%)	159,715.37	159,715.37	158,194.40
100,000	East Baton Rouge, LA Swr (5.25%)	99,265.00	99,265.00	105,165.00
100,000	Edinburg, TX Econ Dev (4.13%)	100,928.97	100,928.97	98,352.00
100,000	Florida State Govt Util (5.72%)	99,497.18	99,497.18	111,890.00
100,000	Glendale, CA Sch Dist (4.7%)	101,000.00	101,000.00	104,926.00
100,000	Grand River, OK Dam (3.403%)	99,900.00	99,900.00	96,241.00
150,000	Haverford, PA Sch Dist (5.414%)	166,449.00	166,449.00	157,591.50
100,000	Jefferson County, KY Sch (5.125%)	107,260.00	107,260.00	104,938.00
50,000	Kansas City, MO Gen Oblig (4.75%)	51,189.00	51,189.00	53,080.00
200,000	Longmont, CO Wtr (4.70%)	192,594.00	192,594.00	204,602.00
100,000	Mc Kinney, TX Econ Dev (5.5%)	99,550.00	99,550.00	100,197.00
80,000	Montgomery Cnty, MD Rev (4.42%)	79,300.00	79,300.00	81,278.40
100,000	Nassau Cnty, NY Gen Oblig (6.1%)	104,736.40	104,736.40	108,292.00
140,000	New Hampshire State HFA (4.05%)	140,000.00	140,000.00	140,565.60
75,000	New York State Dorm (5.051%)	77,895.79	77,895.79	84,169.50
100,000	New York State Dorm (5%)	100,000.00	100,000.00	111,950.00
65,000	Palm Beach Cnty, FL Sch (5.4%)	62,367.50	62,367.50	72,467.85
100,000	Pasadena, CA Pension (4.03%)	99,840.00	99,840.00	97,284.00
100,000	Rhode Island State Fac (6.03%)	115,886.00	115,886.00	115,444.00
100,000	San Bernardino, CA Sch (4.08%)	95,000.00	95,000.00	107,025.00
80,000	San Francisco, CA Water (5.30%)	82,520.80	82,520.80	90,501.60
100,000	Utah State Educ Rev (4.75%)	102,080.43	102,080.43	105,174.00
230,000	Van Buren, MI Sch (5.88%)	240,759.40	240,759.40	247,889.40
200,000	Will Grundy Cnty, IL GO (6.3%)	215,556.00	215,556.00	214,432.00
	Total Taxable Bonds:	3,109,579.09	3,109,579.09	3,211,682.15
	Tax-Exempt Bonds			
100,000	Broward Cnty, FL Rev (4.625%)	102,807.06	102,807.06	105,612.00
50,000	Dallas Ft Worth, TX Arpt (5%)	52,076.30	52,076.30	55,565.00
85,000	Iowa State Student Loan (4%)	84,610.65	84,610.65	87,528.75
125,000	Massachusetts Educ (4.375%)	124,655.28	124,655.28	129,387.50
100,000	Massachusetts Educ (4%)	99,919.78	99,919.78	103,181.00
75,000	Massachusetts Educ (5%)	75,000.00	75,000.00	80,411.25
50,000	Miami Dade Cnty, FL Aviatn (5%)	51,792.83	51,792.83	55,224.50
	Total Tax-Exempt Bonds:	590,861.90	590,861.90	616,910.00
	Total Bonds		3,700,440.99	3,828,592.15



Investors Management Corporation

7101 Wisconsin Avenue, Suite 1112
Bethesda, Maryland 20814-4878
301-951-0440
301-951-4954 (FAX)

ACCOUNT
No. 1101

Greta Faigen Declaration Trust

Asset to transfer to Faigen Family Foundation - CHHE000754

SHARES	SYMBOL CUSIP	DESCRIPTION	ACQUI. DATE	UNIT PRICE	TOTAL COST	12/06/16		Sungard Memo No.
						UNIT PRICE	MARKET VALUE	
2,400	ADP	AUTOMATIC DATA PROCESSING INC	10/13/2016	86.57000	207,768.00	96.0000	230,400.00	579668
2,900	APA	APACHE CORP	10/13/2016	61.62000	178,698.00	66.3500	192,415.00	579671
1,050	APD	AIR PRODS & CHEMS INC	10/13/2016	131.23000	137,791.50	146.5700	153,898.50	579672
1,800	BA	BOEING CO	10/13/2016	132.66000	238,788.00	152.2400	274,032.00	579674
300	BLK	BLACKROCK INC	10/13/2016	347.68000	104,304.00	373.9700	112,191.00	579676
2,500	BMJ	BRISTOL MYERS SQUIBB CO	10/13/2016	49.80500	124,512.50	56.0300	140,075.00	579677
400	CAT	CATERPILLAR INC	10/13/2016	86.66500	34,666.00	95.2200	38,088.00	579678
2,500	CMCSA	COMCAST CORP	10/13/2016	64.75735	161,893.38	68.7000	171,750.00	579679
2,000	COP	CONOCOPHILLIPS	10/13/2016	42.28000	84,560.00	49.9000	99,800.00	579681
5,000	CSX	CSX CORP	10/13/2016	30.77000	153,850.00	36.0500	180,250.00	579683
2,100	CVX	CHEVRON CORP	10/13/2016	100.66500	211,396.50	112.7600	236,796.00	579684
2,500	DD	DU PONT E I DE NEMOURS & CO	10/13/2016	67.91000	169,775.00	72.7300	181,825.00	579685
2,500	DIS	DISNEY WALT CO	10/13/2016	90.83750	227,093.75	100.6600	251,650.00	579686
1,850	EFA	ISHARES	10/13/2016	57.34315	106,084.83	57.6600	106,671.00	579688
3,436	GE	GENERAL ELECTRIC CO	10/13/2016	28.78000	98,888.08	31.1700	107,100.12	579690
3,500	HD	HOME DEPOT INC	10/13/2016	125.91650	440,707.75	128.7400	450,590.00	579694
2,500	HON	HONEYWELL INTERNATIONAL INC	10/13/2016	107.61500	269,037.50	113.0700	282,675.00	579695
2,000	IWM	ISHARES	10/13/2016	120.76000	241,520.00	134.5900	269,180.00	579697
6,000	MA	MASTERCARD INC	10/13/2016	100.63500	603,810.00	102.0000	612,000.00	579700
1,200	MCD	MCDONALDS CORP	10/13/2016	114.90500	137,886.00	119.2500	143,100.00	579701
400	MDY	SPDR S&P MIDCAP 400 ETF TR	10/13/2016	275.53000	110,212.00	302.2400	120,896.00	579704
4,000	MO	ALTRIA GROUP INC	10/13/2016	62.56750	250,270.00	64.3000	257,200.00	579706
1,800	MSFT	MICROSOFT CORP	10/13/2016	56.80750	102,253.50	59.9500	107,910.00	579707
1,200	NSC	NORFOLK SOUTHERN CORP	10/13/2016	93.75000	112,500.00	106.2100	127,452.00	579709
2,000	PCAR	PACCAR INC	10/13/2016	56.65500	113,310.00	64.4300	128,860.00	579710
2,000	SLB	SCHLUMBERGER LTD	10/13/2016	81.27000	162,540.00	83.9600	167,920.00	579715
9,000	T	AT&T INC	10/13/2016	39.25000	353,250.00	39.3500	354,150.00	579718
3,400	TGT	TARGET CORP	10/13/2016	67.74500	230,333.00	76.7700	261,018.00	579719
1,050	TROW	PRICE T ROWE GROUP INC	10/13/2016	65.36500	68,633.25	76.0900	79,894.50	579720
800	UTX	UNITED TECHNOLOGIES CORP	10/13/2016	99.11750	79,294.00	107.4300	85,944.00	579721
2,000	VB	VANGUARD INDEX FUNDS	10/13/2016	118.80000	237,600.00	129.8100	259,620.00	579722
8,000	VEU	VANGUARD INTL EQUITY INDEX FD	10/13/2016	44.20185	353,614.80	44.2600	354,080.00	579723
2,000	VO	VANGUARD INDEX FUNDS	10/13/2016	125.53215	251,064.30	132.5700	265,140.00	579724
1,300	VSM	VERSUM MATLS INC	10/13/2016	22.83500	29,685.50	25.2000	32,760.00	579725
2,136	VV	VANGUARD INDEX FUNDS	10/13/2016	97.28500	207,800.76	101.5800	216,974.88	579726
5,000	VZ	VERIZON COMMUNICATIONS INC	10/13/2016	50.11500	250,575.00	50.3600	251,800.00	579727
1,250	WMT	WAL-MART STORES INC	10/13/2016	67.80500	84,756.25	70.3600	87,950.00	579728
4,000	XOM	EXXON MOBIL CORP	10/13/2016	86.14950	344,598.00	87.5600	350,240.00	579729
5,500	YUMC	YUM CHINA HLDGS INC	10/13/2016	25.30266	139,164.63	28.3500	155,925.00	579811
		Subtotal - Equities			7,414,485.78		7,900,221.00	
		Cash			99,779.00		99,779.00	
		Total Transfer			7,514,264.78		8,000,000.00	