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Form 990-PF Department of the Treasury Internal Revenue Service		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.			OMB No 1545-0052 2016 Open to Public Inspection	
For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016						
Name of foundation DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION				A Employer identification number 31-1411794		
Number and street (or P.O. box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR			Room/suite			
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705				B Telephone number (see instructions) (412) 762-0861		
G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change				D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation		
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 23,503,687		J Accounting method Cash Accrual Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here		
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	
				(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities		508,452	508,452	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10		657,114		
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)			657,114	
	8	Net short-term capital gain				0
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)		3,652		
	12	Total. Add lines 1 through 11		1,169,218	1,165,566	
	13	Compensation of officers, directors, trustees, etc		62,553	50,042	12,511
	14	Other employee salaries and wages			0	0
	15	Pension plans, employee benefits			0	0
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)		19,209	1,609	0
	19	Depreciation (attach schedule) and depletion		0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings		368	0	0
	22	Printing and publications			0	0
23	Other expenses (attach schedule)		70,550		70,550	
24	Total operating and administrative expenses. Add lines 13 through 23		152,680	51,651	0	
25	Contributions, gifts, grants paid		1,245,732		1,245,732	
26	Total expenses and disbursements. Add lines 24 and 25		1,398,412	51,651	0	
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements		-229,194		
	b	Net investment income (if negative, enter -0-)			1,113,915	
	c	Adjusted net income (if negative, enter -0-)				0
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				Form 990-PF (2016)		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	349,321	451,742		451,742	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0		0	
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	4,801	4,484		5,016	
	b	Investments—corporate stock (attach schedule)	5,913,334	5,431,446		7,417,443	
	c	Investments—corporate bonds (attach schedule)	2,324,841	3,058,022		3,067,919	
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	10,191,569	9,607,621		12,561,567	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	18,783,866	18,553,315		23,503,687		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	18,766,978	18,536,427			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	16,888	16,888			
30	Total net assets or fund balances (see instructions)	18,783,866	18,553,315				
31	Total liabilities and net assets/fund balances (see instructions) .	18,783,866	18,553,315				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,783,866
2	Enter amount from Part I, line 27a	2	-229,194
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	18,554,672
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,357
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	18,553,315

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	657,114
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,534,658	24,234,741	0 063325
2014	1,220,879	24,345,864	0 050147
2013	908,695	18,214,937	0 049887
2012	930,695	15,711,965	0 059235
2011	894,396	14,670,809	0 060964

2 Total of line 1, column (d)	2	0 283558
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 056712
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	23,065,412
5 Multiply line 4 by line 3	5	1,308,086
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,139
7 Add lines 5 and 6	7	1,319,225
8 Enter qualifying distributions from Part XII, line 4 ,	8	1,329,161

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	11,139
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	11,139
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,139
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	22,780
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	22,780
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	11,641
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 5,570 Refunded ▶	11	6,071

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► PNC BANK NA Telephone no ► (412) 762-0861			

Located at ► 620 LIBERTY AVENUE PITTSBURGH PA ZIP+4 ► 152222705

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DANIEL A AND SUSAN L PFAU 8305 OLD HICKORY DRIVE CINCINNATI, OH 45243	ADVISORY BOARD 1	0		
GREATER CINCINNATI FOUNDATION 200 WEST FOURTH STREET CINCINNATI, OH 45202	FOUNDATION MGR 1	0		
PNC BANK N A 620 LIBERTY AVENUE PITTSBURGH, PA 152222705	TRUSTEE 13	62,553		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	23,416,662
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	23,416,662
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	23,416,662
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	351,250
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	23,065,412
6	Minimum investment return. Enter 5% of line 5.	6	1,153,271

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,153,271
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	11,139
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,139
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,142,132
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,142,132
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,142,132

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,329,161
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,329,161
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	11,139
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,318,022

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,142,132
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	172,094			
b From 2012.	157,503			
c From 2013.	23,314			
d From 2014.	39,179			
e From 2015.	343,635			
f Total of lines 3a through e.	735,725			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 1,329,161				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,142,132
e Remaining amount distributed out of corpus	187,029			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	922,754			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . .	172,094			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	750,660			
10 Analysis of line 9				
a Excess from 2012.	157,503			
b Excess from 2013.	23,314			
c Excess from 2014.	39,179			
d Excess from 2015.	343,635			
e Excess from 2016.	187,029			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling.	<input type="text"/>
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	
b 85% of line 2a	
c Qualifying distributions from Part XII, line 4 for each year listed	
d Amounts included in line 2c not used directly for active conduct of exempt activities	
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.	
3 Complete 3a, b, or c for the alternative test relied upon	
a "Assets" alternative test—enter	
(1) Value of all assets	
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .	
c "Support" alternative test—enter	
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .	
(3) Largest amount of support from an exempt organization	
(4) Gross investment income	

Part XV

1	Information Regarding Foundation Managers:
a	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a	The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
	DANIEL AND SUSAN PFAU FOUNDATION 200 WEST FOURTH STREET CINCINNATI, OH 45202 (513) 241-2880
b	The form in which applications should be submitted and information and materials they should include
	LETTER OF INQUIRY REQUIRED - REFER TO www.gcfdn.org FOR THE ON-LINE FORM (FROM THE MENU TAB, SELECT GRANTS, PRIVATE FOUNDATION GRANTS, AND THEN THE DANIEL AND SUSAN PFAU FOUNDATION)
c	Any submission deadlines
	FOLLOW INSTRUCTIONS ABOVE TO FIND SUBMISSION DEADLINES
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
	FOLLOW INSTRUCTIONS ABOVE TO FIND RESTRICTIONS OR LIMITATIONS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,245,732
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Form **990-PF** (2016)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--|--------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | | 1a(1) | No |
| (2) Other assets. | | 1a(2) | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | | 1b(1) | No |
| (2) Purchases of assets from a noncharitable exempt organization. | | 1b(2) | No |
| (3) Rental of facilities, equipment, or other assets. | | 1b(3) | No |
| (4) Reimbursement arrangements. | | 1b(4) | No |
| (5) Loans or loan guarantees. | | 1b(5) | No |
| (6) Performance of services or membership or fundraising solicitations. | | 1b(6) | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | | 1c | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | | |

[illegible]

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

Sign Here ▶ Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

*****	2017-05-03	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► <input type="checkbox"/>	PTIN
	Firm's name ►				Firm's EIN ►
	Firm's address ►				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 36 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-01-01
15 29 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-01-01
100000 IBM CORP SR UNSEC		2011-06-08	2016-01-05
17 34 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-01-31
15 43 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-01-31
100000 WYETH NTS		2007-05-14	2016-02-15
5 38 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-02-29
15 53 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-02-29
900 AETNA INC NEW		2014-01-07	2016-03-03
700 BECTON DICKINSON & CO		2013-04-04	2016-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18		18	
15		15	
100,000		100,000	
17		17	
15		15	
100,000		100,000	
5		5	
16		16	
98,614		66,111	32,503
104,582		68,489	36,093

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32,503
			36,093

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
700 BERKSHIRE HATHAWAY INC CLASS B		2015-03-03	2016-03-03
700 BOEING CO		2012-09-12	2016-03-03
700 CIGNA CORP		2014-08-26	2016-03-03
550 DISNEY WALT CO		2014-04-01	2016-03-03
1800 HCA HOLDINGS INC		2014-08-26	2016-03-03
1400 KROGER CO		2014-05-15	2016-03-03
2500 MAGNA INTERNATIONAL ISIN CA5592224011		2014-01-07	2016-03-03
1400 QUALCOMM		2011-02-16	2016-03-03
1350 ST JUDE MEDICAL INC		2015-01-12	2016-03-03
745 STANLEY BLACK & DECKER INC		2015-08-19	2016-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
95,662		102,034	-6,372
83,615		49,973	33,642
98,338		65,757	32,581
54,301		46,063	8,238
131,214		124,464	6,750
52,847		32,466	20,381
100,751		106,364	-5,613
73,331		80,910	-7,579
74,196		88,294	-14,098
72,677		78,948	-6,271

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,372
			33,642
			32,581
			8,238
			6,750
			20,381
			-5,613
			-7,579
			-14,098
			-6,271

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3150 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2014-01-07	2016-03-03
960 CHUBB LTD SEDOL B3BQMF6		2014-05-21	2016-03-03
900 NXP SEMICONDUCTORS ISIN NL0009538784 SEDOL B505PN7		2014-01-07	2016-03-03
617 CALIFORNIA RESOURCES CORP REV SPLIT 05/31/16		2008-02-19	2016-03-30
20 63 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-03-31
15 64 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-03-31
26478 376 ASG GLOBAL ALTERNATIVES FUND CL Y		2014-01-14	2016-04-04
1430 323 BLACKROCK S&P 500 INDEX FUND		2014-02-06	2016-04-05
93 CALIFORNIA RESOURCES CORP REV SPLIT 05/31/16		2008-02-19	2016-04-27
5 54 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
89,875		119,114	-29,239
113,175		102,220	10,955
67,221		38,626	28,595
1			1
21		21	
16		16	
257,899		300,000	-42,101
350,000		305,159	44,841
219		48	171
6		6	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-29,239
			10,955
			28,595
			1
			-42,101
			44,841
			171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 74 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-04-30
6 08 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-05-31
15 85 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-05-31
625 AMERIPRISE FINANCIAL INC		2015-03-03	2016-06-01
400 APPLE INC		2015-03-03	2016-06-01
1400 CISCO SYS INC COM		2013-01-14	2016-06-01
1750 KROGER CO		2014-05-15	2016-06-01
1175 L BRANDS INC		2015-01-12	2016-06-01
2300 LINCOLN NATIONAL CORP		2014-01-07	2016-06-01
1500 MICROSOFT CORP		1995-06-08	2016-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16		16	
6		6	
16		16	
64,007		84,055	-20,048
39,490		51,640	-12,150
40,424		29,596	10,828
63,585		40,582	23,003
80,339		102,889	-22,550
105,188		125,014	-19,826
79,311		32,571	46,740

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-20,048
			-12,150
			10,828
			23,003
			-22,550
			-19,826
			46,740

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
150 NORTHROP GRUMMAN CORPORATION		2015-08-19	2016-06-01
2000 ORACLE CORP		2004-12-28	2016-06-01
820 SNAP-ON INC COM		2014-01-07	2016-06-01
1340 THE TRAVELERS COS INC		2015-08-19	2016-06-01
3 72 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-06-30
15 91 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-06-30
1 PFIZER INC 717081103		2001-01-01	2016-07-19
13 6 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-07-31
16 02 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-07-31
87881 065 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2010-03-31	2016-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,088		26,393	5,695
80,448		27,460	52,988
133,874		92,403	41,471
153,701		144,648	9,053
4		4	
16		16	
127		25	102
14		14	
16		16	
834,870		861,741	-26,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,695
			52,988
			41,471
			9,053
			102
			-26,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 96 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-08-31
16 12 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-08-31
500 AT&T INC		2006-02-28	2016-09-14
200 AETNA INC NEW		2014-01-07	2016-09-14
1000 ALASKA AIR GROUP INC		2016-03-03	2016-09-14
25 ALPHABET INC/CA-CL A		2014-08-26	2016-09-14
250 ALTRIA GROUP INC		2014-01-07	2016-09-14
150 AMERICAN ELECTRIC POWER INC		2016-03-03	2016-09-14
150 AMERICAN WATER WORKS CO INC		2016-03-03	2016-09-14
200 AMGEN INC		2014-08-11	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		6	
16		16	
20,047		16,251	3,796
22,605		13,684	8,921
67,534		78,171	-10,637
19,819		14,713	5,106
15,783		9,454	6,329
9,589		9,269	320
10,986		10,188	798
34,041		25,467	8,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,796
			8,921
			-10,637
			5,106
			6,329
			320
			798
			8,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
600 APPLE INC		2006-08-28	2016-09-14
1174 996 BLACKROCK S&P 500 INDEX FUND		2002-04-18	2016-09-14
700 CIGNA CORP		2014-08-26	2016-09-14
525 CVS CAREMARK CORP		2013-04-04	2016-09-14
1025 CELANESE CORP-SERIES A		2015-08-19	2016-09-14
575 CELANESE CORP-SERIES A		2016-06-01	2016-09-14
100 CHEVRON CORPORATION		2010-08-31	2016-09-14
700 CISCO SYS INC COM		2012-01-05	2016-09-14
270 CINTAS CORP		2015-03-03	2016-09-14
500 COMCAST CORPORATION CL A		2014-08-26	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
67,484		28,386	39,098
300,000		222,047	77,953
88,251		65,757	22,494
47,138		30,929	16,209
62,823		64,825	-2,002
35,242		40,420	-5,178
9,909		7,358	2,551
21,757		13,590	8,167
30,682		22,725	7,957
32,605		27,280	5,325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			39,098
			77,953
			22,494
			16,209
			-2,002
			-5,178
			2,551
			8,167
			7,957
			5,325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 D R HORTON INC		2016-03-03	2016-09-14
200 DISNEY WALT CO		2014-04-01	2016-09-14
475 DOW CHEMICAL CO		2015-06-18	2016-09-14
700 EDWARDS LIFESCIENCES CORP		2015-03-03	2016-09-14
200 FACEBOOK INC A		2016-06-01	2016-09-14
1500 FOOT LOCKER INC		2014-08-26	2016-09-14
6100 FORD MTR CO DEL COM PAR \$0 01		2016-06-01	2016-09-14
100 GENERAL DYNAMICS CORP		2015-08-19	2016-09-14
1000 GENERAL ELEC CO COM		2012-04-17	2016-09-14
850 GILEAD SCIENCES INC COM		2016-03-03	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,035		8,598	437
18,483		16,254	2,229
24,870		25,470	-600
80,082		47,088	32,994
25,587		23,771	1,816
97,870		82,668	15,202
74,174		80,307	-6,133
15,025		15,302	-277
29,868		20,580	9,288
66,158		74,587	-8,429

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			437
			2,229
			-600
			32,994
			1,816
			15,202
			-6,133
			-277
			9,288
			-8,429

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
150 HOME DEPOT INC COM		2012-02-08	2016-09-14
150 ILLINOIS TOOL WORKS INC COM		2016-03-03	2016-09-14
800 INTEL CORP		2011-06-08	2016-09-14
100 J P MORGAN CHASE & CO COM		2016-03-03	2016-09-14
400 J P MORGAN CHASE & CO COM		2015-03-03	2016-09-14
2250 KROGER CO		2014-05-15	2016-09-14
150 LOCKHEED MARTIN CORP		2014-01-07	2016-09-14
650 MICROSOFT CORP		1995-06-08	2016-09-14
200 NIKE INC CL B		2015-08-19	2016-09-14
125 NORTHERN TRUST CORP		2016-06-01	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,985		6,742	12,243
17,436		14,499	2,937
28,532		18,543	9,989
6,675		5,983	692
26,701		24,736	1,965
69,658		52,177	17,481
35,732		22,808	12,924
36,663		3,433	33,230
11,065		11,509	-444
8,572		9,263	-691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,243
			2,937
			9,989
			692
			1,965
			17,481
			12,924
			33,230
			-444
			-691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 NORTHROP GRUMMAN CORPORATION		2015-08-19	2016-09-14
1000 OCCIDENTAL PETE CORP COM		2008-02-19	2016-09-14
250 PPG INDS INC COM		2015-03-03	2016-09-14
600 PFIZER INC COM		2016-03-03	2016-09-14
2150 PRINCIPAL FINANCIAL GROUP COM		2014-08-26	2016-09-14
900 PROCTER & GAMBLE CO		2004-12-28	2016-09-14
100 RAYTHEON COMPANY		2016-03-03	2016-09-14
100 S&P GLOBAL INC		2016-06-01	2016-09-14
50 SIMON PROPERTY GROUP INC		2016-06-01	2016-09-14
250 TEXAS INSTRS INC COM		2016-03-03	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,768		35,191	6,577
72,151		68,979	3,172
25,083		29,364	-4,281
20,403		17,952	2,451
104,160		116,140	-11,980
78,674		57,742	20,932
13,703		12,494	1,209
12,224		11,085	1,139
10,402		9,878	524
16,966		13,732	3,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,577
			3,172
			-4,281
			2,451
			-11,980
			20,932
			1,209
			1,139
			524
			3,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 THERMO ELECTRON CORP COM		2016-03-03	2016-09-14
900 TRACTOR SUPPLY CO COM		2016-03-03	2016-09-14
500 US BANCORP DEL COM NEW		2011-02-16	2016-09-14
75 UNIVERSAL HEALTH SERVICES INC CLASS B		2016-03-03	2016-09-14
200 VANTIV INC CLASS A		2016-06-01	2016-09-14
400 VISA INC CLASS A SHARES		2013-01-14	2016-09-14
300 WEC ENERGY GROUP INC		2009-03-31	2016-09-14
1750 WELLS FARGO & CO NEW COM		2011-02-16	2016-09-14
300 WYNDHAM WORLDWIDE CORP		2014-08-26	2016-09-14
150 INGERSOLL-RAND PLC SEDOL B633030		2016-06-01	2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,632		13,888	744
61,772		77,604	-15,832
21,578		15,649	5,929
9,037		8,819	218
10,801		10,743	58
32,777		16,003	16,774
17,954		11,505	6,449
82,738		63,393	19,345
20,164		24,270	-4,106
9,568		9,987	-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			744
			-15,832
			5,929
			218
			58
			16,774
			6,449
			19,345
			-4,106
			-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1440 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-04-01	2016-09-14
965 CHECK POINT SOFTWARE COM		2015-08-19	2016-09-14
6 13 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-09-30
16 23 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-09-30
100000 ABBOTT LABORATORIES SR UNSEC		2014-01-16	2016-10-12
12 12 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-10-31
16 34 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-10-31
10 77 FEDERAL HOME LN MTG CORP GOLD POOL C00676		1998-12-29	2016-11-30
16 45 GOVERNMENT NATL MTG ASSN POOL 489108		1999-07-27	2016-11-30
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
88,047		86,310	1,737
73,228		78,463	-5,235
6		6	
16		16	
107,917		105,044	2,873
12		12	
16		16	
11		11	
16		16	
			41,055

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,737
			-5,235
			2,873

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILD FOCUS INC 555 CINCINNATI BATAVIA PIKE CINCINNATI, OH 45244	NONE	PC	EARLY CHILDHOOD DAY	10,000
AMERICAN DIABETES ASSOCIATION INC 1701 N BEAUREGARD STREET ALEXANDRIA, OH 22311	NONE	PC	CAMP KORELITZ	25,000
EQUINOX INC 95 CENTRAL AVENUE ALBANY, NY 12206	NONE	PC	YOUTH OUTREACH SERVICES	25,000
NORTHERN KENTUCKY EDUCATION COUNCIL INC 7310 TURFWAY ROAD FLORENCE, KY 41042	NONE	PC	1 TO 1 PRACTICING	25,000
LIGHTHOUSE YOUTH SERVICES INC 401 EST MCMILLAN STREET CINCINNATI, OH 45206	NONE	PC	LIGHTHOUSE SHEAKLEY CENTER	50,000
EPILEPSY FOUNDATION OF GREATER CINCINNATI AND COLUMBUS 895 CENTRAL AVENUE SUITE 550 CINCINNATI, OH 45202	NONE	PC	COUNSELING PROGRAM IN THE	20,000
MINDPEACE 5642 HAMILTON AVENUE CINCINNATI, OH 45224	NONE	PC	SCHOOL BASED MENTAL HEALTH	50,000
MAY WE HELP 7501 WOOSTER PIKE CINCINNATI, OH 45227	NONE	PC	GENERAL SUPPORT	20,000
CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DRIVE CINCINNATI, OH 45202	NONE	PC	SCHOOL-BASED LEARNING	10,000
HEARING SPEECH & DEAF CENTER OF GREATER CINCINNATI 2825 BURNET AVENUE CINCINNATI, OH 45219	NONE	PC	SUPPORT THE CONNECTIONS FOR	25,000
BEECH ACRES PARENTING CENTER 6881 BEECHMONT AVENUE CINCINNATI, OH 45230	NONE	PC	FAMILY PEER SUPPORT PROGRAM	12,500
LEGAL AID SOCIETY OF CINCINNATI 215 E 9TH STREET STE 200 CINCINNATI, OH 45202	NONE	PC	EDUCATION	25,000
OHIO HUMANE SOCIETY 2400 READING ROAD CINCINNATI, OH 45202	NONE	PC	INSPIRING EXCELLENCE AT	50,000
CHILDREN'S HOME OF CINCINNATI OHIO INC 5050 MADISON ROAD CINCINNATI, OH 45227	NONE	PC	READY SET WORK-JOB	11,000
ST ALOYSIUS ORPHANAGE 4721 READING ROAD CINCINNATI, OH 45237	NONE	PC	SUPPORT EASING ACCESS TO	20,000
Total ► 3a				1,245,732

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CINCINNATI SYMPHONY ORCHESTRA 1241 ELM STREET CINCINNATI, OH 45202	NONE	PC	2016-2017 SEASON	10,000
CINCINNATI INSTITUTE OF FINE ARTS 20 EAST CENTRAL PARKWAY CINCINNATI, OH 45202	NONE	PC	CATALYZING IMPACT GRANTS	50,000
SANTA MARIA COMMUNITY SERVICES 617 STEINER AVENUE CINCINNATI, OH 45204	NONE	PC	BUILDING YOUTH ASSETS IN	20,000
UNITED WAY OF GREATER CINCINNATI 2400 READING ROAD CINCINNATI, OH 45202	NONE	PC	READY TO SHINE-PAROCHIAL	25,000
CISE FOUNDATION 100 EAST EIGHTH STREET CINCINNATI, OH 45020	NONE	PC	SUPPORT CINCINNATI	15,000
URBAN LEAGUE OF GREATER CINCINNATI INC 3458 READING ROAD CINCINNATI, OH 45229	NONE	PC	SUPPORT WOODWARD AFTER	25,000
BIG BROTHERS & BIG SISTERS OF GREATER CINCINNATI 2400 READING ROAD CINCINNATI, OH 45202	NONE	PC	COMMUNITY BASED MENTORING	20,000
STEPPING STONES INC 5650 GIVEN ROAD CINCINNATI, OH 45243	NONE	PC	AUTISM TRANSITION PROGRAM	50,000
SPRINGER SCHOOL AND CENTER 2121 MADISON ROAD CINCINNATI, OH 45208	NONE	PC	DISTINGUISHED SPEAKER	10,000
EDUCATIONAL THEATRE ASSOCIATION 2343 AUBURN AVENUE CINCINNATI, OH 45219	NONE	PC	JUMPSTART THEATER PILOT	7,500
COMPREHENSIVE COMMUNITY CHILD CARE 1924 DANA AVENUE CINCINNATI, OH 45207	NONE	PC	SUPPORT STRENGTHENING	60,000
LIVING ARRANGEMENTS FOR THE DEVELOPMENTALLY DISABLED 3603 VICTORY PARKWAY CINCINNATI, OH 45229	NONE	PC	CINCINNATI REEL ABILITIES	25,000
UNIVERSITY OF CINCINNATI P O BOX 19970 CINCINNATI, OH 45219	NONE	PC	SUPPORT HIGHER EDUC	25,000
ECONOMICS CENTER P O BOX 210223 CINCINNATI, OH 45221	NONE	PC	STUDENT ENTERPRISE PROGRAM	12,500
BETHANY HOUSE SERVICES INC 1841 FAIRMOUNT AVENUE CINCINNATI, OH 45214	NONE	PC	BRIGHTER FUTURES FOR	30,000
Total ► 3a				1,245,732

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CINCINNATI THERAPEUTIC RIDING AND HORSEMANSHIP (CTRH) 1342 US HWY 50 MILFORD, OH 45150	NONE	PC	SUPPORT 2016 RIDERSHIP SO	24,547
FERNSIDE INC A CENTER FOR GRIEVING CHILDREN 4360 COOPER ROAD CINCINNATI, OH 45242	NONE	PC	SUPPORT GRIEVING CHILDREN	25,000
MY NOSE TURNS RED THEATRE COMPANY P O BOX 120307 COVINGTON, KY 41012	NONE	PC	SUPPORT CIRCUS YOUTH IN	8,685
CINCINNATI YOUTH COLABORATIVE 301 OAK STREET CINCINNATI, OH 45219	NONE	PC	GIRLS CLUBS & YOUTH	45,000
MADISONVILLE EDUCATION AND ASSISTANCE CENTER 400 ERIE AVENUE CINCINNATI, OH 45227	NONE	PC	SUPPORT EARLY LITERACY	20,000
INTERFAITH HOSPITALITY NEWORK OF GTR CINCINNAIT (IHNGC) 990 NASSAU STREET CINCINNATI, OH 45206	NONE	PC	SUPPORT THE TRANSPORTATION	10,000
STARFIRE COUNCIL OF GREATER CINCINNATI INC 5030 OAKLAWN DRIVE CINCINNATI, OH 45227	NONE	PC	NEIGHBORHOOD CONNECTORS	40,000
OHIO VALLEY VOICES INC 6642 BRANCH HILL GUINEA PIKE LOVELAND, OH 45140	NONE	PC	SUPPORT THE FAMILY CENTER	25,000
CINCINNATI WORKS INC 708 WALNUT STREET SUITE 200 CINCINNATI, OH 45202	NONE	PC	NEXT STEP PROGRAM	30,000
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PC	BALLET MOVES PROGRAM	15,000
NATIONAL SOCIETY TO PREVENT BLINDNESS 211 W WACKER DR SUITE 1700 CHICAGO, IL 60606	NONE	PC	SUPPORT VISIONCARE OUTREACH	5,000
MENTAL HEALTH & ADDICTION ADVOCACY COALITION 4500 EUCLID AVENUE CLEVELAND, OH 44103	NONE	PC	IMPROVE ACCESS TO	25,000
OPPORTUNITY MATTERS INC 2110 SAINT MICHAEL ST CINCINNATI, OH 45204	NONE	PC	FOOD ACCESS-LOWER PRICEHILL	15,000
OUTDOOR ADVENTURE CLUBS OF GREATER CINCINNATI INC 8401 SKIFF LANE MAINEVILLE, OH 45039	NONE	PC	SUPPORT OUTDOOR ADVENTURES	20,000
PUBLIC ALLIES INC 2330 VICTORY PARKWAY SUITE 306 CINCINNATI, OH 45206	NONE	PC	SUPPORT APPRENTICESHIP	20,000
Total ►				1,245,732
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRIGHTON CENTER INC 741 CENTRAL AVENUE NEWPORT, KY 41070	NONE	PC	MEMBERSHIP IN THE FOUNDER'S	20,000
CHILDREN'S LAW CENTER 1002 RUSSELL STREET COVINGTON, KY 41011	NONE	PC	HOLISTIC REPRESENTATION FOR	25,000
MORGAN-SCOTT PROJECT FOR CO-OPERATIVE CHRISTIAN CONCERNS P O BOX 8 DEER LODGE, TN 37726	NONE	PC	NEW LIGHT EDUCATIONAL	20,000
CAMP KOINONIA FOUNDATION INC 244 N PETERS ROAD SUITE 211 KNOXVILLE, TN 37923	NONE	PC	CAMP KOINONIA SCHOLARSHIP	20,000
CHILDREN'S CENTER OF THE CUMBERLANDS DBA FENTRESS COUNTY CHILDREN'S CTR INC 22510 ALBERTA STREET ONEIDA, TN 37841	NONE	PC	HELP AND HOPE FOR CHILDREN	10,000
THE PARTNERSHIP FOR MENTAL HEALTH INC 22 N PARK AVENUE BATESVILLE, IN 47006	NONE	PC	SUPPORT TRISTATE TRAUMA	30,000
LAWN LIFE P O BOX 68123 CINCINNATI, OH 45206	NONE	PC	SUPPORT REAL WORK FOR	24,000
OPERATION GIVE BACK 10891 MILLINGTON CT BLUE ASH, OH 45242	NONE	PC	AFTER SCHOOL	5,000
Total ► 3a				1,245,732

TY 2016 Explanation of Non-Filing with Attorney General Statement

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Statement:

THIS TRUST DOES NOT PROVIDE A COPY OF FORM 990-PF TO THE OHIO ATTORNEY GENERAL BECAUSE, IN LIEU THEREOF, IT IS REQUIRED TO FILE AN ON-LINE ANNUAL REPORT WITH THE OHIO ATTORNEY GENERAL'S OFFICE AT www.ohioattorneygeneral.gov

TY 2016 Investments - Other Schedule**Name:** DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION**EIN:** 31-1411794

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED	AT COST	2,685,000	2,543,051
MUTUAL FUNDS - EQUITY	AT COST	6,922,621	10,018,516

TY 2016 Other Decreases Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Description	Amount
ACCRUED INTEREST CARRY FWD TO 2017	710
AMORTIZATION CARRY FWD TO 2017	629
ADJ FOR ROUNDING TRANSACTIONS AND SALES	18

TY 2016 Other Expenses Schedule**Name:** DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION**EIN:** 31-1411794**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	200	0		200
GRANTMAKING FEES	64,969	0		64,969
OFFICE EXPENSES	5,381	0		5,381

TY 2016 Other Income Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	3,652	0	

TY 2016 Taxes Schedule**Name:** DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION**EIN:** 31-1411794

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,162	1,162		0
FEDERAL ESTIMATES - PRINCIPAL	17,600	0		0
FOREIGN TAXES ON QUALIFIED FOR	447	447		0