Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Name of foundation: RIFKIN FAMILY FOUNDATION

A Employer identification number: 31-1192429

Number and street (or P O box number if mail is not delivered to street address): 5642 COVENTRY LANE — Room/suite:

B Telephone number (see instructions): (260) 432-2233

City or town, state or province, country, and ZIP or foreign postal code: FORT WAYNE IN 46804

C If exemption application is pending, check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 6,794,643.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)	475,000.			
	2	Check ► [ ] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	254,150.	231,832.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-396,304.	L-6a Stmt		
	b	Gross sales price for all assets on line 6a 5,465,903.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain			0.	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) See Line 11 Stmt		-260.		
	12	**Total** Add lines 1 through 11	332,846.	231,572.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch)				
	c	Other professional fees (attach sch) L-16c Stmt	32,761.	32,761.		
	17	Interest		653.		
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	23.	498.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt		241.		
	24	**Total operating and administrative expenses** Add lines 13 through 23	32,784.	34,153.		
	25	Contributions, gifts, grants paid	746,950.			746,950.
	26	**Total expenses and disbursements** Add lines 24 and 25	779,734.	34,153.		746,950.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-446,888.			
	b	**Net investment income** (if negative, enter -0-)		197,419.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	368,777.	2,418,997.	2,418,997.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)			
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule) L-13 Stmt	7,456,070.	5,005,776.	4,375,646.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	7,824,847.	7,424,773.	6,794,643.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,154,347.	834,789.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	4,223,250.	4,539,039.	
	29	Retained earnings, accumulated income, endowment, or other funds	2,447,250.	2,050,945.	
	30	**Total net assets or fund balances** (see instructions)	7,824,847.	7,424,773.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	7,824,847.	7,424,773.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,824,847.
2	Enter amount from Part I, line 27a	2	-446,888.
3	Other increases not included in line 2 (itemize) ► See Other Increases Stmt	3	46,814.
4	Add lines 1, 2, and 3	4	7,424,773.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,424,773.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	WFA ACCT XXXX-8117 ST COVERED	P	Various	12/31/16
b	WFA ACCT XXXX-8117 LT COVERED	P	Various	12/31/16
c	WFA ACCT XXXX-2815 ST COVERED	P	Various	12/31/16
d	WFA ACCT XXXX-2815 ST NONCOVERED	P	Various	12/31/16
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	884,357.		858,402.	25,955.
b	1,591,323.		1,559,176.	32,147.
c	1,580,950.		1,387,831.	193,119.
d	117,260.		162,216.	-44,956.
e	See Columns (e) thru (h)		1,894,581.	-601,201.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0.	0.	0.	25,955.
b	0.	0.	0.	32,147.
c	0.	0.	0.	193,119.
d				-44,956.
e	See Columns (i) thru (l)	0.	0.	-601,201.

2	Capital gain net income or (net capital loss) . . . If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 . . .	2	-394,936.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . .	3	-394,936.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	407,836.	7,069,992.	0.057686
2014	670,536.	8,095,395.	0.082829
2013	417,296.	8,217,228.	0.050783
2012	318,750.	8,412,677.	0.037889
2011	392,111.	8,633,795.	0.045416

2	Total of line 1, column (d) . . .	2	0.274603
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.054921
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . .	4	6,747,255.
5	Multiply line 4 by line 3 . . .	5	370,566.
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	1,974.
7	Add lines 5 and 6 . . .	7	372,540.
8	Enter qualifying distributions from Part XII, line 4 . . .	8	746,950.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	1,974.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,974.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,974.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6a: 2,973.		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	2,973.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	999.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ► 999. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ ______ (2) On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► INDIANA			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JENNIFER L. WILSON Telephone no ► (260) 432-2233
Located at ► 5642 COVENTRY LANE FORT WAYNE IN ZIP + 4 ► 46804-7140

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies. Yes No

1 a During the year did the foundation (either directly or indirectly)
- **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- **(6)** Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

			Yes	No
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
► 20__ , 20__ , 20__ , 20__

3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD S. RIFKIN 5642 COVENTRY LANE FORT WAYNE IN 46804	TRUSTEE 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	5,872,168.
b	Average of monthly cash balances	1b	977,837.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,850,005.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,850,005.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	102,750.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,747,255.
6	**Minimum investment return.** Enter 5% of line 5	6	337,363.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	337,363.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,974.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,974.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	335,389.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	335,389.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	335,389.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	746,950.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	746,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,974.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	744,976.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					335,389.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years 20__, 20__, 20__					
3 Excess distributions carryover, if any, to 2016					
a From 2011	0.				
b From 2012	0.				
c From 2013	0.				
d From 2014	0.				
e From 2015	42,633.				
f **Total** of lines 3a through e		42,633.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 746,950.					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2016 distributable amount					292,756.
e Remaining amount distributed out of corpus		454,194.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		42,633.			42,633.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		454,194.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		454,194.			
10 Analysis of line 9					
a Excess from 2012	0.				
b Excess from 2013	0.				
c Excess from 2014	0.				
d Excess from 2015	0.				
e Excess from 2016	454,194.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

DANIEL M. RIFKIN

See Information Regarding Foundation Managers

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BLESSINGS IN A BACK PACK 111 EAST WAYNE ST STE 555 FORT WAYNE IN 46802		501(C)3	ASSISTS IN NUTRITIONAL NEEDS FOR CHILDREN	13,500.
CONGREGATION ACHDUTH VESHOLOM 5200 OLD MILL ROAD FORT WAYNE IN 46807		501(C)3	RELIGIOUS EDUCATION	383,400.
FORT WAYNE CENTER FOR LEARNING 3310 MALLARD COVE LANE FORT WAYNE IN 46804		501(C)3	LEARNING DISADVANTAGED CHILDREN	1,000.
FRANCINE'S FRIENDS 709 CLAY ST #300 FORT WAYNE IN 46802		501(C)3	MAMMOGRAPHY SCREENING	3,000.
INDIANA UNIVERSITY FOUNDATION P O BOX 2298 BLOOMINGTON IN 47402		501(C)3	EDUCATION	15,000.
JUNIOR ACHIEVEMENT OF NORTHERN INDIANA 601 NOBLE DRIVE FORT WAYNE IN 46825		501(C)3	EDUCATION SCHOLARSHIP FUND	600.
THE LITERACY ALLIANCE 709 CLAY ST STE 100 FORT WAYNE IN 46802		501(C)3	ACADEMIC SERVICES	1,500.
AVON FOUNDATION FOR WOMEN P.O. BOX 1073 RYE NY 10580-1073		501(C)3	SUPPORTS RESEARCH BREAST CANCER CURE	300.
INDIANA JEWISH HISTORICAL ASSOCIATION 6301 CONSTITUTION DRIVE FORT WAYNE IN 46804		501(C)3	RELIGIOUS EDUCATION	1,000.
See Line 3a statement				327,650.
Total ► 3a				746,950.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	254,150.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-396,304.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-142,154.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -142,154.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Richard A Rifkin 11/1/2017 TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2016

Name of the organization: RIFKIN FAMILY FOUNDATION

Employer identification number: 31-1192429

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . ► $ ______

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: RIFKIN FAMILY FOUNDATION

Employer identification number: 31-1192429

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DANIEL M. RIFKIN 5642 COVENTRY LANE Fort Wayne IN 46804	$ 125,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	RICHARD S. RIFKIN 162 BEARS CLUB DRIVE Jupiter FL 33477	$ 125,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3	MARTIN S. RIFKIN 211 BEARS CLUB DRIVE Jupiter FL 33477	$ 225,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2016

Name: RIFKIN FAMILY FOUNDATION

Employer Identification Number: 31-1192429

Asset Information:

Description of Property . . . . WFA ACCT XXXX-8117 ST COVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold . . . 12/31/16 Name of Buyer . . .
Sales Price . . 884,358. Cost or other basis (do not reduce by depreciation) . . . 858,403.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . 25,955. Accumulation Depreciation . . .

Description of Property . . . . . . WFA ACCT XXXX-8117 LT COVERED
Date Acquired. . Various How Acquired . . . Purchased
Date Sold . . . 12/31/16 Name of Buyer . . .
Sales Price . . 1,591,323. Cost or other basis (do not reduce by depreciation) . . . 1,559,176.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . 32,147. Accumulation Depreciation . . .

Description of Property . . . . WFA XXXX-2815 ST COVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold . . . 12/31/16 Name of Buyer. . . .
Sales Price . . 1,580,950. Cost or other basis (do not reduce by depreciation) . . . 1,387,831.
Sales Expense . . Valuation Method.. . . .
Total Gain (Loss) . . 193,119. Accumulation Depreciation . . .

Description of Property . . . . . . WFA XXXX-2815 ST NONCOVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold. . . . 12/31/16 Name of Buyer . . .
Sales Price . . 117,260. Cost or other basis (do not reduce by depreciation) . . . 162,216.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . -44,956. Accumulation Depreciation . . .

Description of Property . . . . . . WFA XXXX-2815 LT COVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold. . . . 12/31/16 Name of Buyer . . .
Sales Price . . 919,341. Cost or other basis (do not reduce by depreciation) . . . 907,468.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . 11,873. Accumulation Depreciation . . .

Description of Property . . . . . . WFA XXXX-2815 LT NONCOVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold . . . 12/31/16 Name of Buyer . . .
Sales Price . . 35,106. Cost or other basis (do not reduce by depreciation) . . . 382,440.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . -347,334. Accumulation Depreciation . . .

Description of Property . . . . . . WFA XXXX-9964 ST COVERED
Date Acquired . Various How Acquired . . . Purchased
Date Sold . . . 12/31/16 Name of Buyer . . .
Sales Price . . 6,878. Cost or other basis (do not reduce by depreciation) . . . 10,752.
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . -3,874. Accumulation Depreciation . . .

Description of Property . . . . . . See Net Gain or Loss from Sale of Assets
Date Acquired . How Acquired . . .
Date Sold . . . Name of Buyer . . .
Sales Price . . Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . Valuation Method . . . .
Total Gain (Loss) . . Accumulation Depreciation . . .

Form 990-PF, Part I, Lines 6a
Net Gain or Loss from Sale of Assets

Asset Information:

Description of Property. . . . . . WFA XXXX-9964 LT COVERED
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 12/31/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 183,830. Cost or other basis (do not reduce by depreciation) . . 277,574.
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . -93,744. Accumulated Depreciation . . . .

Description of Property. . . . . . WFA XXXX-9964 LT NONCOVERED
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 12/31/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 137,018. Cost or other basis (do not reduce by depreciation) . . 314,276.
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . -177,258. Accumulated Depreciation . . . .

Description of Property. . . . . . HARRAH'S OPERATING CO INC RETURN OF PRINCIPAL
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 12/06/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 1,285. Cost or other basis (do not reduce by depreciation) . . 1,285.
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . 0. Accumulated Depreciation . . . .

Description of Property. . . . . . MOLYCORP INC LIQUIDATION
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 09/14/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 96. Cost or other basis (do not reduce by depreciation) . . 0.
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . 96. Accumulated Depreciation . . . .

Description of Property. . . . . . MOLYCORP INC RETURN OF PRINCIPAL
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 12/31/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 786. Cost or other basis (do not reduce by depreciation) . . 786.
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . 0. Accumulated Depreciation . . . .

Description of Property. . . . . . WFA ACCT XXXX-8117 LT CAPITAL GAIN DISTRIBUTIONS
Business Code . Exclusion Code. . . . 18
Date Acquired. . Various How Acquired . Purchased
Date Sold . . . . 12/31/16 Name of Buyer.
Check Box, if Buyer is a Business. . . . ☐
Sales Price . . . 7,672. Cost or other basis (do not reduce by depreciation) . .
Sales Expense . . Valuation Method . . .
Total Gain (Loss) . . . . 7,672. Accumulated Depreciation . . . .

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income.	Rev/Exp Book	Net Inv Inc	Adj Net Inc
SEC 1231 GAIN (LOSS)		-2,392.	
ROYALTY INCOME		2,132.	
OTHER INCOME			
Total		-260.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAX PAID	23.	498.		
EXCISE TAX				
Total	23.	498.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
MISC/OTHER EXPENSES		20.		
ROYALTY EXPENSES		221.		
Total		241.		

Form 990-PF, Page 2, Part III, Line 3
Other Increases Stmt

ADJUSTMENT TO COST BASIS	-14,825.
PARTNERSHIP DISTRIBUTIONS	61,639.
Total	46,814.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
WFA ACCT XXXX-2815 LT COVERED	P	Various	12/31/16
WFA ACCT XXXX-2815 LT NONCOVERED	P	Various	12/31/16
WFA ACCT XXXX-9964 ST COVERED	P	Various	12/31/16
WFA ACCT XXXX-9964 LT COVERED	P	Various	12/31/16
WFA ACCT XXXX-9964 LT NONCOVERED	P	Various	12/31/16
HARRAH'S OPERATING CO INC RETURN OF PRINCIPAL	P	Various	12/06/16
MOLYCORP INC LIQUIDATION	P	Various	09/14/16
MOLYCORP INC RETURN OF PRINCIPAL	P	Various	12/31/16
WFA ACCT XXXX-8117 CAPITAL GAIN DISTRIBUTIONS	P	Various	12/31/16
WFA ACCT XXXX-2815 CAPITAL GAIN DISTRIBUTIONS	P	Various	12/31/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
919,341.		907,468.	11,873.
35,106.		382,440.	-347,334.
6,878.		10,752.	-3,874.
183,829.		277,574.	-93,745.
137,018.		314,276.	-177,258.
1,285.		1,285.	0.
96.		0.	96.
786.		786.	0.
7,672.		0.	7,672.
1,369.		0.	1,369.
Total		1,894,581.	-601,201.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
0.	0.	0.	11,873.
			-347,334.
			-3,874.
			-93,745.
			-177,258.
			0.
0.	0.	0.	96.
			0.
0.	0.	0.	7,672.
0.	0.	0.	1,369.
Total	0.	0.	-601,201.

Form 990-PF, Page 10, Part XV, Line 1a
Information Regarding Foundation Managers

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See Section 507(d)(2).)

RICHARD S. RIFKIN

MARTIN S. RIFKIN

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a Paid during the year				
INDIANA FIREFIGHTERS ASSOCIATION 9702 E WASHINGTON ST PMB 357 INDIANAPOLIS IN 46229-2609		501(C)3	PROMOTES FIRE SAFETY & PREVENTION	Person or Business X 1,000.
INDIANA FRATERNAL ORDER OF POLICE 1427 E WASHINGTON ST INDIANAPOLIS IN 46201		501(C)3	PROMOTES PUBLIC SAFETY	Person or Business X 1,000.
MLK MONTESSORI SCHOOL 6001 SOUTH ANTHONY BLVD FORT WAYNE IN 46816		501(C)3	EDUCATION	Person or Business X 1,000.
ORLAND VOLUNTEER FIRE DEPARTMENT P.O. BOX 174 ORLAND IN 46776		501(C)3	FIRE & RESCUE SERVICES TO ORLAND COMMUNITY	Person or Business X 1,000.
ZETA BETA TAU FOUNDATION 3905 VINCENNES RD STE 100 INDIANAPOLIS IN 46268-3000		501(C)3	EDUCATION-SCHOLARSHIPS & LEADERSHIP PROGRAMS	Person or Business X 500.
BOYS & GIRLS CLUB 2609 FAIRFIELD AVE FORT WAYNE IN 46807		501(C)3	SERVICES TO YOUTH	Person or Business X 5,000.
CLEVELAND CLINIC FLORIDA 525 OKEECHOBEE BLVD STE 1400 WEST PALM BEACH FL 33401		501(C)3	MEDICAL RESEARCH	Person or Business X 4,500.
AMERICAN HEART ASSOCIATION 2300 CENTREPARK WEST DRIVE WEST PALM BEACH FL 33409		501(C)3	RESEARCH & SUPPORT TO FIGHT CARDIOVASCULAR DISEASE	Person or Business X 4,200.
BASCOM PALMER EYE INSTITUTE 900 NW 17TH STREET MIAMI FL 33136		501(C)3	PROVIDES TREATMENT EYE DISEASES	Person or Business X 2,000.
INDIANA GOLF FOUNDATION 2625 HURRICANE RD FRANKLIN IN 46131		501(C)3	PROVIDES SUPPORT FOR YOUTH GOLF PROGRAMS	Person or Business X 25,000.
LEADERS IN FURTHERING EDUCATION 6274 LINTON BLVD STE 103 DELRAY BEACH FL 33484		501(C)3	PROGRAMS SUPPORTING ANIMAL RESCUE & ADOPTION	Person or Business X 21,250.
ALLIANCE FOR EATING DISORDERS AWARENESS 1649 FORUM STE # 2 WEST PALM BEACH FL 33401		501(C)3	PROMOTES POSITIVE BODY IMAGE AND SELF ESTEEM & AWARENESS OF EATING DISORDERS	Person or Business X 149,000.
COMMUNITY FOUNDATION FOR PALM BEACH & MARTIN COUNTIES 700 SOUTH DIXIE HWY STE 200 WEST PALM BEACH FL 33401		501(C)3	COMMUNITY GRANTS TO NONPROFITS	Person or Business X 1,100.
HOLIDAY HUGS/YWCA 5920 DECATUR ROAD FORT WAYNE IN 46805		501(C)3	DOMESTIC VIOLENCE RECOVERY	Person or Business X 500.
HOPE FOR THE WARRIORS 5101C BACKLICK RD ANNANDALE VA 22003		501(C)3	PROVIDES SUPPORT FOR MILITARY SERVICE MEMBERS & FAMILIES	Person or Business X 5,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
HOW HEAR THE OVARIAN CANCER WHISPER 360 CYPRESS DRIVE Jupiter FL 33469		501(C)3	RESEARCH IN OVARIAN CANCER	Person or [ ] Business [X] 9,600.
MAD ANTHONYS CHILDREN'S HOPE HOUSE 7922 WEST JEFFERSON BLVD FORT WAYNE IN 46804		501(C)3	HOSPITALITY HOUSE FOR FAMILIES OF CHILDREN NEEDING MEDICAL CARE	Person or [ ] Business [X] 5,000.
TRI COUNTY ANIMAL RESCUE 21287 BOCA RIO RD BOCA RATON FL 33433		501(C)3	PROCIDES SAFE HAVEN FOR RESCUED ANIMALS	Person or [ ] Business [X] 1,500.
TROY CENTER 4161 NORTH AIRPORT RD COLUMBIA CITY IN 46725		501(C)3	PROVIDES TUITION FOR AT-RISK STUDENTS	Person or [ ] Business [X] 1,000.
AUSTIN ATHLETIC SCHOLARSHIP FUND 3801 N. CAPITAL OF TEXAS HWY STE 240-161 AUSTIN TX 78746		501(C)3	PROVIDES FINANCIAL ASSISTANCE FOR TRAINING & TRAVEL JUNIOR TENNIS	Person or [ ] Business [X] 1,000.
CANCER ALLIANCE OF HELP & HOPE INC. P.O. BOX 3292 Palm Beach FL 33480		501(C)3	PROVIDES FINANCIAL ASSISTANCE TO CANCER PATIENTS	Person or [ ] Business [X] 1,500.
CENTER FOR FAMILY SERVICES 4101 PARKER AVENUE West Palm Beach FL 33405		501(C)3	BEHAVIORAL HEALTH, EDUCATION, AND HOMELESS INTERVENTION	Person or [X] Business [ ] 1,800.
CONGREGATION B'NAI JACOB 7227 BITTERSWEET MOORS DRIVE Fort Wayne IN 46814		501(C)3	RELIGIOUS	Person or [ ] Business [X] 7,200.
FORT WAYNE JEWISH FEDERATION 5200 OLD MILL ROAD Fort Wayne IN 46807		501(C)3	RELIGIOUS	Person or [ ] Business [X] 75,000.
HISTORY CENTER 302 EAST BERRY STREET Fort Wayne IN 46897		501(C)3	K-12 FREE SCHOOL GROUP PROGRAM	Person or [ ] Business [X] 500.
PATROLMEN'S BENEVOLENT ASSOCIATION 110 WEST BERRY STREET Fort Wayne IN 46802		501(C)3	SUPPORTS LAW ENFORCEMENT	Person or [ ] Business [X] 500.
TRINE UNIVERSITY ONE UNIVERSITY AVENUE Angola IN 46703		501(C)3	STUDENT SCHOLARSHIPS	Person or [ ] Business [X] 1,000.
Total				327,650.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO ADVISORS	INVESTMENT FUND MGR FEES	32,761.	32,761.		
Total		32,761.	32,761.		

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
WELLS FARGO A/C 8117	1,442,162.	1,346,169.
WELLS FARGO A/C 2815	2,461,025.	1,979,008.
WELLS FARGO A/C 9964	1,102,589.	1,050,469.
Total	5,005,776.	4,375,646.

Supporting Statement of:

Form 990-PF, p1/Line 1(a)

Description	Amount
DANIEL M. RIFKIN	125,000.
RICHARD S. RIFKIN	125,000.
MARTIN S. RIFKIN	225,000.
Total	475,000.

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -8117

RIFKIN FAMILY FOUNDATION
PIM

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FIDELITY ADVISORS * REAL ESTATE INCOME CUSIP: 316389444	15141 12300	12/24/2015	12/23/2016	176,999 75	169,277 76	0 00	0 00	7,721 99	**Box 6:** Gross Proceeds
FIDELITY ADVISOR * ADVISORS MATERIALS CUSIP: 316390277	130.22600	03/29/2016	12/23/2016	10,000 07	8,943 92	0 00	0.00	1,056,15	**Box 6:** Gross Proceeds
HENDERSON GLOBAL FDS * EMERGING MARKETS CUSIP. 425067527	855 26100	09/08/2015	08/12/2016	8,022 35	6,756 57	0 00	0 00	1,265 78	**Box 6:** Gross Proceeds
	19 04700	12/30/2015	12/23/2016	159 61	151 04	0 00	0 00	8 57	**Box 6:** Gross Proceeds
	2181 35300	03/29/2016	12/23/2016	18,279.75	18,105 24	0 00	0 00	174 51	**Box 6:** Gross Proceeds
	2393 00000	03/29/2016	12/23/2016	20,053 34	19,861 90	0 00	0 00	191 44	**Box 6:** Gross Proceeds
Subtotals	**5448.66100**			**$46,515.05**	**$44,874.75**	**$0.00**	**$0.00**	**$1,640.30**	
ISHARES MSCI ACWI ETF EX US CUSIP. 464288240	435 00000	03/31/2015	03/29/2016	16,914 85	19,461 53	0.00	0 00	-2,546 68	**Box 6:** Gross Proceeds
LEGG MASON FUNDS * CLEARBRIDGE AGGRESSIVE CUSIP· 52468C406	11 39400	09/08/2015	03/29/2016	2,257.16	2,450 74	0 00	0 00	-193 58	**Box 6:** Gross Proceeds
LORD ABBETT INVESTMENT * TR FLTG RATE FD CLASS F CUSIP 543916167	26409 00000	03/29/2016	12/23/2016	243,755 07	233,191 48	0 00	0.00	10,563 59	**Box 6:** Gross Proceeds
	0 06000	03/29/2016	12/23/2016	0.55	0.52	0 00	0 00	0 03	**Box 6:** Gross Proceeds
	6 92200	04/01/2016	12/23/2016	63.89	61 19	0 00	0 00	2 70	**Box 6:** Gross Proceeds
	101.04100	05/02/2016	12/23/2016	932 60	906 34	0.00	0.00	26 26	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date: 02/21/17

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LORD ABBETT INVESTMENT * TR FLTG RATE FD CLASS F CUSIP. 543916167	105 86600	06/01/2016	12/23/2016	977 14	953 85	0 00	0 00	23 29	**Box 6:** Gross Proceeds
	107.35300	07/01/2016	12/23/2016	990 86	964 03	0 00	0.00	26 83	**Box 6:** Gross Proceeds
	107 03600	08/01/2016	12/23/2016	987 94	970 82	0 00	0.00	17 12	**Box 6:** Gross Proceeds
	5080.72200	08/12/2016	12/23/2016	46,895 09	46,132 96	0 00	0 00	762 13	**Box 6:** Gross Proceeds
Subtotals	**31918.00000**			**$294,603.14**	**$283,181.19**	**$0.00**	**$0.00**	**$11,421.95**	
MACQUARIE INFRASTRUCTURE CORPORATION CUSIP. 55608B105	120 00000	03/29/2016	12/23/2016	9,920 87	7,478 75	0 00	0 00	2,442 12	Original Basis 7,782 00 **Box 6:** Gross Proceeds
MILLER INVT TRUST * CONVERTIBLE BD FD CL I CUSIP: 60055P201	28 55400	03/16/2016	12/23/2016	368 34	329 80	0 00	0 00	38 54	**Box 6:** Gross Proceeds
	2005 00000	03/29/2016	12/23/2016	25,864.50	23,558.75	0 00	0 00	2,305 75	**Box 6:** Gross Proceeds
	1878 86800	03/29/2016	12/23/2016	24,237 45	22,076 70	0 00	0.00	2,160 75	**Box 6:** Gross Proceeds
	2425 00000	03/29/2016	12/23/2016	31,282 50	28,493 76	0 00	0.00	2,788 74	**Box 6:** Gross Proceeds
	0 68500	03/29/2016	12/28/2016	8 82	8 04	0 00	0.00	0 78	**Box 6:** Gross Proceeds
	6341 00000	03/29/2016	12/28/2016	81,672.08	74,506 75	0 00	0.00	7,165 33	**Box 6:** Gross Proceeds
	169 79700	06/16/2016	12/28/2016	2,186 98	2,068.13	0 00	0.00	118 85	**Box 6:** Gross Proceeds
	1834 51800	08/12/2016	12/28/2016	23,628 60	23,096 58	0 00	0 00	532 02	**Box 6:** Gross Proceeds
Subtotals	**14683.42200**			**$189,249.27**	**$174,138.51**	**$0.00**	**$0.00**	**$15,110.76**	
POWERSHARES DWA ETF CONSUMER CYCLICALS CUSIP 73935X419	765 00000	09/08/2015	08/12/2016	33,281 59	35,626 05	0 00	0.00	-2,344 46	**Box 6:** Gross Proceeds
T ROWE PRICE * GLOBAL TECHNOLOGY FUND CUSIP 741494108	730 00000	03/29/2016	12/23/2016	9,752.80	9,424 30	0 00	0 00	328 50	**Box 6:** Gross Proceeds
	348 47700	03/29/2016	12/23/2016	4,655 66	4,498 84	0 00	0 00	156 82	**Box 6:** Gross Proceeds
	776 00000	03/29/2016	12/28/2016	10,359 60	10,018 16	0 00	0 00	341 44	**Box 6:** Gross Proceeds
Subtotals	**1854.47700**			**$24,768.06**	**$23,941.30**	**$0.00**	**$0.00**	**$826.76**	

WELLS FARGO

2016 Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
VANGUARD TOTAL STOCK MARKET ETF CUSIP. 922908769	117 00000	08/12/2016	12/23/2016	13,606 09	13,100 23	0 00	0 00	505 86	**Box 6:** Gross Proceeds
WISDOMTREE JAPAN ETF HEDGED EQUITY FUND CUSIP 97717W851	87.00000	03/31/2015	03/29/2016	3,854.88	4,795.81	0 00	0 00	-940 93	**Box 6:** Gross Proceeds
	1408 00000	09/08/2015	03/29/2016	62,387 17	71,132 16	0 00	0 00	-8,744.99	**Box 6:** Gross Proceeds
Subtotals	**1495.00000**			**$66,242.05**	**$75,927.97**	**$0.00**	**$0.00**	**($9,685.92)**	
Totals				**$884,357.95**	**$858,402.70**	**$0.00**	**$0.00**	**$25,955.25**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FIDELITY ADVISORS * REAL ESTATE INCOME CUSIP. 316389444	4549 69800	12/19/2014	03/29/2016	52,094 04	52,731.00	0.00	0 00	-636 96	**Box 6:** Gross Proceeds
	4530 27400	12/22/2014	03/29/2016	51,871 63	52,823.00	0 00	0 00	-951 37	**Box 6:** Gross Proceeds
	10914 26100	12/31/2014	03/29/2016	124,968 30	127,042 01	0 00	0.00	-2,073.71	**Box 6:** Gross Proceeds
	6464 58400	12/31/2014	08/12/2016	79,385.09	75,247 76	0.00	0 00	4,137 33	**Box 6:** Gross Proceeds
	7091 85900	12/31/2014	12/23/2016	82,903 83	82,549 23	0 00	0 00	354 60	**Box 6:** Gross Proceeds
	37 24700	03/09/2015	12/23/2016	435 41	436 16	0 00	0 00	-0 75	**Box 6:** Gross Proceeds
	383 19900	06/08/2015	12/23/2016	4,479.59	4,433 61	0.00	0 00	45 98	**Box 6:** Gross Proceeds
	384 86400	09/14/2015	12/23/2016	4,499 06	4,314 33	0 00	0 00	184 73	**Box 6:** Gross Proceeds
	309 10300	09/14/2015	12/23/2016	3,613 41	3,465 05	0 00	0 00	148 36	**Box 6:** Gross Proceeds
	677 68600	12/21/2015	12/23/2016	7,922 15	7,522 32	0 00	0 00	399 83	**Box 6:** Gross Proceeds
	124.91900	12/21/2015	12/23/2016	1,460 30	1,386.60	0.00	0 00	73 70	**Box 6:** Gross Proceeds
	9009.00000	12/24/2015	12/28/2016	105,225 12	100,720 62	0 00	0 00	4,504 50	**Box 6:** Gross Proceeds
Subtotals	***44476.69400***			**$518,857.93**	**$512,671.69**	**$0.00**	**$0.00**	**$6,186.24**	
FIDELITY ADVISOR * ADVISORS MATERIALS CUSIP. 316390277	953 00000	01/30/2015	12/23/2016	73,180.87	71,560 80	0 00	0 00	1,620.07	**Box 6:** Gross Proceeds
	0 85500	01/30/2015	12/23/2016	65 65	64 20	0 00	0 00	1.45	**Box 6:** Gross Proceeds
	0.43500	04/13/2015	12/23/2016	33.40	34 34	0 00	0 00	-0 94	**Box 6:** Gross Proceeds
	11.00300	12/21/2015	12/23/2016	844 92	731 94	0 00	0 00	112.98	**Box 6:** Gross Proceeds
	12 48100	12/21/2015	12/23/2016	958 41	830 23	0 00	0 00	128 18	**Box 6:** Gross Proceeds
Subtotals	**977.77400**			**$75,083.25**	**$73,221.51**	**$0.00**	**$0.00**	**$1,861.74**	
HENDERSON GLOBAL FDS * EMERGING MARKETS CUSIP 425067527	2393.60000	09/08/2015	12/23/2016	20,058 36	18,909 43	0 00	0 00	1,148.93	**Box 6:** Gross Proceeds

WELLS FARGO

2016 Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES MSCI ACWI ETF EX US CUSIP. 464288240	2325 00000	01/08/2015	03/29/2016	90,406.90	98,773 28	0 00	0 00	-8,366 38	**Box 6:** Gross Proceeds
ISHARES ETF 1-3 YEAR CREDIT BOND CUSIP. 464288646	580 00000	12/31/2014	03/29/2016	61,003 43	60,999 71	0 00	0 00	3 72	**Box 6:** Gross Proceeds
LEGG MASON FUNDS * CLEARBRIDGE AGGRESSIVE CUSIP. 52468C406	179.12500	01/08/2015	03/29/2016	35,484 65	39,524 02	0 00	0 00	-4,039 37	**Box 6:** Gross Proceeds
MACQUARIE INFRASTRUCTURE CORPORATION CUSIP. 55608B105	293.00000	12/19/2014	08/12/2016	23,509 83	17,796 25	0 00	0 00	5,713 58	Original Basis 19,777 50 **Box 6:** Gross Proceeds
	294 00000	12/22/2014	08/12/2016	23,590 07	17,798 19	0 00	0 00	5,791 88	Original Basis.19,786 20 **Box 6:** Gross Proceeds
	49.00000	12/23/2014	08/12/2016	3,931 69	3,007 70	0 00	0 00	923 99	Original Basis 3,339 02 **Box 6:** Gross Proceeds
	242 00000	12/23/2014	12/23/2016	20,007 09	14,643 35	0 00	0 00	5,363 74	Original Basis.16,490 63 **Box 6:** Gross Proceeds
	89 00000	12/31/2014	12/23/2016	7,357 98	5,640 62	0.00	0 00	1,717.36	Original Basis.6,319 97 **Box 6:** Gross Proceeds
	303 00000	09/08/2015	12/23/2016	25,050 21	22,645 75	0 00	0 00	2,404.46	Original Basis.23,989 10 **Box 6:** Gross Proceeds
Subtotals	**1270.00000**			**$103,446.87**	**$81,531.86**	**$0.00**	**$0.00**	**$21,915.01**	
MILLER INVT TRUST * CONVERTIBLE BD FD CL I CUSIP. 60055P201	7523 23700	05/30/2014	12/23/2016	97,049.75	96,974.51	0 00	0 00	75 24	**Box 6:** Gross Proceeds
	68 19600	06/17/2014	12/23/2016	879 72	879 05	0 00	0 00	0 67	**Box 6:** Gross Proceeds
	107 88900	08/15/2014	12/23/2016	1,391.76	1,395 00	0 00	0 00	-3 24	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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MILLER INVT TRUST *	47.64300	09/16/2014	12/23/2016	614 59	613 64	0 00	0 00	0 95	**Box 6:** Gross Proceeds
CONVERTIBLE BD FD CL I	81 14000	12/16/2014	12/23/2016	1,046 70	976 12	0 00	0 00	70 58	**Box 6:** Gross Proceeds
CUSIP. 60055P201	107 41400	12/16/2014	12/23/2016	1,385 64	1,292 19	0 00	0 00	93.45	**Box 6:** Gross Proceeds
	112.18000	12/16/2014	12/23/2016	1,447.12	1,349 52	0.00	0 00	97.60	**Box 6:** Gross Proceeds
	70 69900	03/17/2015	12/23/2016	912 01	884.44	0 00	0 00	27 57	**Box 6:** Gross Proceeds
	64 48600	06/16/2015	12/23/2016	831 87	818 33	0 00	0 00	13 54	**Box 6:** Gross Proceeds
	2425 43100	09/08/2015	12/23/2016	31,288 08	29,566 00	0 00	0 00	1,722 08	**Box 6:** Gross Proceeds
	44 74100	09/16/2015	12/23/2016	577 15	543 15	0 00	0 00	34 00	**Box 6:** Gross Proceeds
	61.50100	12/16/2015	12/23/2016	793.36	731 86	0 00	0 00	61.50	**Box 6:** Gross Proceeds
	13 16000	12/16/2015	12/23/2016	169 76	156 60	0 00	0 00	13 16	**Box 6:** Gross Proceeds
	14 86100	12/16/2015	12/23/2016	191.70	176 84	0.00	0.00	14 86	**Box 6:** Gross Proceeds
Subtotals	**10742.57800**			**$138,579.21**	**$136,357.25**	**$0.00**	**$0.00**	**$2,221.96**	
POWERSHARES DWA ETF	25 00000	12/19/2014	03/29/2016	1,082.22	1,096.25	0 00	0 00	-14 03	**Box 6:** Gross Proceeds
CONSUMER CYCLICALS	449 00000	12/22/2014	03/29/2016	19,436.78	19,779 42	0 00	0.00	-342 64	**Box 6:** Gross Proceeds
CUSIP. 73935X419	256 00000	12/31/2014	03/29/2016	11,082 01	11,581 27	0 00	0 00	-499 26	**Box 6:** Gross Proceeds
	182 00000	12/31/2014	08/12/2016	7,917 97	8,233 55	0 00	0 00	-315 58	**Box 6:** Gross Proceeds
	483 00000	03/31/2015	08/12/2016	21,013.08	22,912 57	0 00	0 00	-1,899 49	**Box 6:** Gross Proceeds
Subtotals	**1395.00000**			**$60,532.06**	**$63,603.06**	**$0.00**	**$0.00**	**($3,071.00)**	
T ROWE PRICE *	2490 80200	01/08/2015	08/12/2016	36,340 80	30,562 14	0 00	0 00	5,778.66	**Box 6:** Gross Proceeds
GLOBAL TECHNOLOGY FUND	730 38800	01/08/2015	12/23/2016	9,757 98	8,961 86	0.00	0 00	796 12	**Box 6:** Gross Proceeds
CUSIP. 741494108	3803 61200	09/08/2015	12/23/2016	50,816 26	49,675.18	0 00	0 00	1,141.08	**Box 6:** Gross Proceeds
	731 07400	09/08/2015	12/23/2016	9,767.14	9,547 82	0 00	0 00	219 32	**Box 6:** Gross Proceeds
	165 14000	12/17/2015	12/23/2016	2,206 27	2,249 20	0.00	0 00	-42 93	**Box 6:** Gross Proceeds
	609 30900	12/17/2015	12/23/2016	8,140 37	8,298 79	0 00	0 00	-158 42	**Box 6:** Gross Proceeds
Subtotals	**8530.32500**			**$117,028.82**	**$109,294.99**	**$0.00**	**$0.00**	**$7,733.83**	

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -8117

RIFKIN FAMILY FOUNDATION
PIM .

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
VANGUARD TOTAL BOND ETF MARKET CUSIP 921937835	40 00000	08/15/2014	03/29/2016	3,298 33	3,301 60	0.00	0 00	-3 27	**Box 6:** Gross Proceeds
	1967.00000	08/15/2014	08/12/2016	165,932 70	162,355 99	0 00	0 00	3,576 71	**Box 6:** Gross Proceeds
Subtotals	**2007.00000**			**$169,231.03**	**$165,657.59**	**$0.00**	**$0.00**	**$3,573.44**	
VANGUARD TOTAL STOCK MARKET ETF CUSIP 922908769	660 00000	01/08/2015	03/29/2016	68,216 18	69,887.40	0.00	0 00	-1,671 22	**Box 6:** Gross Proceeds
	783 00000	01/08/2015	12/23/2016	91,056 13	82,911 87	0.00	0 00	8,144 26	**Box 6:** Gross Proceeds
Subtotals	**1443.00000**			**$159,272.31**	**$152,799.27**	**$0.00**	**$0.00**	**$6,473.04**	
WESTERN ASSET HIGH YIELD DEFINED OPPORTUNITY FD CUSIP 95768B107	323 00000	12/19/2014	08/12/2016	4,890.37	5,102 56	0 00	0 00	-212.19	**Box 6:** Gross Proceeds
	506 00000	12/22/2014	08/12/2016	7,661 08	7,929 02	0.00	0 00	-267 94	**Box 6:** Gross Proceeds
	505 00000	12/22/2014	08/12/2016	7,645 94	7,918.32	0 00	0.00	-272 38	**Box 6:** Gross Proceeds
	5 00000	12/23/2014	08/12/2016	75 71	78 60	0.00	0 00	-2 89	**Box 6:** Gross Proceeds
Subtotals	**1339.00000**			**$20,273.10**	**$21,028.50**	**$0.00**	**$0.00**	**($755.40)**	
WISDOMTREE JAPAN ETF HEDGED EQUITY FUND CUSIP. 97717W851	78 00000	12/19/2014	03/29/2016	3,456.10	3,921 84	0 00	0 00	-465 74	**Box 6:** Gross Proceeds
	78 00000	12/22/2014	03/29/2016	3,456 10	3,922.61	0 00	0.00	-466 51	**Box 6:** Gross Proceeds
	342 00000	12/31/2014	03/29/2016	15,153.69	16,959 78	0 00	0.00	-1,806 09	**Box 6:** Gross Proceeds
Subtotals	**498.00000**			**$22,065.89**	**$24,804.23**	**$0.00**	**$0.00**	**($2,738.34)**	
Totals				**$1,591,323.81**	**$1,559,176.39**	**$0.00**	**$0.00**	**$32,147.42**	

For some tax lots complete data is not available Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ADVANCEPIERRE FOODS HOLDINGS INC CUSIP: 00782L107	875.00000	07/15/2016	08/24/2016	22,508.63	18,375.00	0.00	0.00	4,133.63	**Box 6:** Gross Proceeds
ADVANCED MICRO DEVICES INC CUSIP: 007903107	2800.00000	09/09/2016	12/22/2016	32,098.93	16,800.00	0.00	0.00	15,298.93	**Box 6:** Gross Proceeds
AGREE REALTY CORP REIT CUSIP: 008492100	500.00000	05/05/2016	08/24/2016	23,608.48	19,829.64	0.00	0.00	3,778.84	Original Basis:19,875.00 **Box 6:** Gross Proceeds
	325.00000	10/25/2016	12/22/2016	14,253.52	15,407.09	0.00	0.00	-1,153.57	Original Basis:15,437.50 **Box 6:** Gross Proceeds
Subtotals	**825.00000**			**$37,862.00**	**$35,236.73**	**$0.00**	**$0.00**	**$2,625.27**	
ALDER BIOPHARMACEUTICALS INC CUSIP: 014339105	500.00000	04/07/2016	08/24/2016	17,447.65	11,625.00	0.00	0.00	5,822.65	**Box 6:** Gross Proceeds
AMPLIFY SNACK BRANDS INC CUSIP: 03211L102	750.00000	05/20/2016	08/24/2016	11,642.74	8,437.50	0.00	0.00	3,205.24	**Box 6:** Gross Proceeds
ATKORE INTERNATIONAL GROUP INC CUSIP: 047649108	400.00000	06/10/2016	08/24/2016	6,935.89	6,400.00	0.00	0.00	535.89	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: ·2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BATS GLOBAL MARKETS INC CUSIP: 05491G109	1000.00000	04/15/2016	08/24/2016	23,524.98	19,000 00	0.00	0.00	4,524.98	**Box 6:** Gross Proceeds
BLUE BUFFALO PET PRODUCTS INC CUSIP: 09531U102	900.00000	07/22/2015	05/20/2016	23,076.32	18,000.00	0.00	0.00	5,076.32	**Box 6:** Gross Proceeds
CALLIDUS SOFTWARE INC CUSIP: 13123E500	300.00000	09/16/2016	09/22/2016	5,318.88	5,475.00	0.00	0.00	-156.12	**Box 6:** Gross Proceeds
CALLON PETROLEUM CO CUSIP: 13123X102	600.00000	09/07/2016	09/22/2016	8,777.87	8,760.00	0.00	0.00	17.87	**Box 6:** Gross Proceeds
CAMPING WORLD HOLDINGS INC CL A CUSIP: 13462K109	250.00000	10/07/2016	12/22/2016	7,996.85	5,500.00	0.00	0.00	2,496.85	**Box 6:** Gross Proceeds
CARETRUST REIT INC CUSIP: 14174T107	1700.00000	03/22/2016	08/24/2016	24,998.95	19,207.16	0.00	0.00	5,791.79	Original Basis:19,295.00 **Box 6:** Gross Proceeds
CHESAPEAKE UTILITIES CORP CUSIP: 165303108	600.00000	09/22/2016	12/22/2016	40,645.10	37,356.00	0.00	0.00	3,289.10	**Box 6:** Gross Proceeds
COMMSCOPE HOLDING CO INC CUSIP: 20337X109	400.00000	09/21/2016	10/10/2016	12,591.72	12,220.00	0.00	0.00	371.72	**Box 6:** Gross Proceeds
COMMUNICATIONS SALES & LEASING INC CUSIP: 20341J104	400.00000	06/21/2016	08/24/2016	11,663.78	10,295.44	0.00	0.00	1,368.34	Original Basis:10,404.00 **Box 6:** Gross Proceeds

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CORVUS PHARMACEUTICALS INC CUSIP: 221015100	2800.00000	03/23/2016	09/22/2016	42,872.26	42,000.00	0.00	0.00	872.26	**Box 6:** Gross Proceeds
COTT CORPORATION CUSIP: 22163N106	2500.00000	06/22/2016	08/24/2016	40,200.71	38,125.00	0.00	0.00	2,075.71	**Box 6:** Gross Proceeds
DEVON ENERGY CORP CUSIP: 25179M103	1100.00000	03/17/2016	12/22/2016	50,962.19	29,655.92	0.00	0.00	21,306.27	**Box 6:** Gross Proceeds
ELF BEAUTY INC CUSIP: 26856L103	525.00000	09/22/2016	09/22/2016	14,130.08	8,925.00	0.00	0.00	5,205.08	**Box 6:** Gross Proceeds
EVERBRIDGE INC CUSIP: 29978A104	500.00000	09/16/2016	09/22/2016	8,353.57	6,000.00	0.00	0.00	2,353.57	**Box 6:** Gross Proceeds
	500.00000	09/16/2016	09/27/2016	8,450.31	6,000.00	0.00	0.00	2,450.31	**Box 6:** Gross Proceeds
Subtotals	**1000.00000**			**$16,803.88**	**$12,000.00**	**$0.00**	**$0.00**	**$4,803.88**	
FIRST INDL RLTY TR INC REIT CUSIP: 32054K103	1400.00000	03/31/2016	08/24/2016	39,273.09	31,491.39	0.00	0.00	7,781.70	Original Basis:31,500.00 **Box 6:** Gross Proceeds
FLEXION THERAPEUTICS INC CUSIP: 33938J106	1000.00000	06/08/2016	08/24/2016	17,782.61	14,000.00	0.00	0.00	3,782.61	**Box 6:** Gross Proceeds
FOGO DE CHAO INC CUSIP: 344177100	1000.00000	06/19/2015	05/20/2016	13,981.49	20,000.00	0.00	0.00	-6,018.51	**Box 6:** Gross Proceeds
FORTERRA INC CUSIP: 34960W106	1250.00000	10/20/2016	12/22/2016	26,821.91	22,500.00	0.00	0.00	4,321.91	**Box 6:** Gross Proceeds
GMS INC CUSIP: 36251C103	500.00000	05/26/2016	08/24/2016	11,837.79	10,500.00	0.00	0.00	1,337.79	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
GAMING AND LEISURE PROPERTIES INC CUSIP: 36467J108	1100.00000	03/31/2016	08/24/2016	37,878.92	32,968.50	0.00	0.00	4,910.42	Original Basis:33,000.00 **Box 6:** Gross Proceeds
GULFPORT ENERGY CORP COM CUSIP: 402635304	900.00000	12/16/2016	12/22/2016	19,809.48	19,350.00	0.00	0.00	459.48	**Box 6:** Gross Proceeds
HANNON ARMSTRONG SUSTAINABLE INFRASTRCTR CUSIP: 41068X100	1725.00000	06/15/2016	08/24/2016	40,012.39	34,845.00	0.00	0.00	5,167.39	Original Basis:35,362.50 **Box 6:** Gross Proceeds
HORTONWORKS INC CUSIP: 440894103	400.00000	02/02/2016	05/20/2016	4,207.91	3,800.00	0.00	0.00	407.91	**Box 6:** Gross Proceeds
IMMUNE DESIGN CORP CUSIP: 45252L103	2450.00000	09/15/2016	12/22/2016	15,000.17	15,312 50	0.00	0.00	-312.33	**Box 6:** Gross Proceeds
JONES ENERGY INC CLASS A CUSIP. 48019R108	10000.00000	08/19/2016	09/23/2016	30,484.53	27,700.00	0.00	0.00	2,784.53	**Box 6:** Gross Proceeds
MCBC HOLDINGS INC CUSIP. 55276F107	800.00000	09/15/2016	12/22/2016	10,947.82	8,200.00	0.00	0.00	2,747.82	**Box 6:** Gross Proceeds
MEDICAL PROPERTIES TRUST INC CUSIP: 58463J304	1717.00000	08/06/2015	05/20/2016	23,773.11	20,914.89	0.00	0.00	2,858.22	Original Basis:21,033.25 **Box 6:** Gross Proceeds
MOLINA HEALTHCARE INC CUSIP: 60855R100	1000.00000	06/04/2015	05/20/2016	45,334 99	67,750.00	0.00	0.00	-22,415.01	**Box 6:** Gross Proceeds

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
NCI BUILDING SYSTEM INC COM NEW CUSIP: 628852204	625.00000	07/20/2016	08/24/2016	10,667.76	10,093.75	0.00	0.00	574.01	**Box 6:** Gross Proceeds
NATIONAL STORAGE AFFILIATES TR CUSIP: 637870106	600.00000	06/30/2016	08/24/2016	12,167.73	12,450.00	0.00	0.00	-282.27	**Box 6:** Gross Proceeds
NEW MOUNTAIN FINANCE CRP CUSIP: 647551100	5200.00000	10/25/2016	12/22/2016	70,908.26	70,200.00	0.00	0.00	708.26	**Box 6:** Gross Proceeds
NORTHWEST NATURAL GAS CO CUSIP: 667655104	600.00000	11/10/2016	12/22/2016	35,662.21	32,778.00	0.00	0.00	2,884.21	**Box 6:** Gross Proceeds
PERFORMANCE FOOD GROUP CO CUSIP: 71377A103	2500.00000	05/19/2016	08/24/2016	66,014.80	60,625.00	0.00	0.00	5,389.80	**Box 6:** Gross Proceeds
PLATFORM SPECIALTY PRODS CORP CUSIP: 72766Q105	2175.00000	09/16/2016	09/22/2016	18,661.59	17,943.75	0.00	0.00	717.84	**Box 6:** Gross Proceeds
QEP RESOURCES INC CUSIP. 74733V100	1500.00000	06/22/2016	08/24/2016	29,625.60	27,525.00	0.00	0.00	2,100.60	**Box 6:** Gross Proceeds
RA PHARMACEUTICALS INC CUSIP: 74933V108	750.00000	10/26/2016	12/22/2016	11,326.55	9,750.00	0.00	0.00	1,576.55	**Box 6:** Gross Proceeds
RED ROCK RESORTS INC CUSIP: 75700L108	500.00000	04/27/2016	08/24/2016	11,022.76	9,750.00	0.00	0.00	1,272.76	**Box 6:** Gross Proceeds
REXFORD IND REALTY INC CUSIP: 76169C100	575.00000	04/12/2016	08/24/2016	12,474.23	10,148.75	0.00	0.00	2,325.48	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SABRA HEALTH CARE REIT INC CUSIP: 78573L106	1330.00000	06/24/2015	05/20/2016	25,863.31	32,512.58	0.00	0.00	-6,649.27	Original Basis:33,582.50 **Box 6:** Gross Proceeds
SOUTHWESTERN ENERGY CO CUSIP: 845467109	2400.00000	06/29/2016	08/24/2016	33,400.26	31,200.00	0.00	0.00	2,200.26	**Box 6:** Gross Proceeds
TABULA RASA HEALTHCARE INC CUSIP: 873379101	1000.00000	09/28/2016	12/22/2016	13,522.17	12,000.00	0.00	0.00	1,522.17	**Box 6:** Gross Proceeds
TESARO INC CUSIP: 881569107	200.00000	07/01/2016	08/25/2016	17,531.61	16,200.00	0.00	0.00	1,331.61	**Box 6:** Gross Proceeds
TETRA TECHNOLOGIES INC DEL CUSIP: 88162F105	2225.00000	06/16/2016	08/24/2016	14,069.41	12,237.50	0.00	0.00	1,831.91	**Box 6:** Gross Proceeds
TREEHOUSE FOODS INC CUSIP: 89469A104	1700.00000	01/21/2016	05/20/2016	153,024.99	110,500.00	0.00	0.00	42,524.99	**Box 6:** Gross Proceeds
US FOODS HOLDINGS CORP CUSIP: 912008109	850.00000	05/26/2016	08/24/2016	20,635.88	19,550.00	0.00	0.00	1,085.88	**Box 6:** Gross Proceeds
VIMPELCOM LTD SPON ADR CUSIP: 92719A106	2900.00000	09/16/2016	09/22/2016	10,220.77	10,150.00	0.00	0.00	70.77	**Box 6:** Gross Proceeds
ATHENE HOLDING LTD CLASS A CUSIP: G0684D107	2200.00000	12/09/2016	12/22/2016	101,642.65	88,000.00	0.00	0.00	13,642.65	**Box 6:** Gross Proceeds

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BANK OF NT BUTTERFIELD & SON LTD SHS NEW CUSIP: G0772R208	400.00000	09/16/2016	12/22/2016	12,901.76	9,400.00	0.00	0.00	3,501.76	**Box 6:** Gross Proceeds
OM ASSET MANAGEMENT PLC CUSIP: G67506108	825.00000	12/14/2016	12/22/2016	11,630.99	11,756.25	0.00	0.00	-125.26	**Box 6:** Gross Proceeds
AC IMMUNE SA CUSIP: H00263105	1400.00000	09/23/2016	09/23/2016	22,528.50	15,400.00	0.00	0.00	7,128.50	**Box 6:** Gross Proceeds
PATHEON NV CUSIP: N6865W105	500.00000	07/21/2016	08/24/2016	13,724.20	10,500.00	0.00	0.00	3,224.20	**Box 6:** Gross Proceeds
SEASPAN CORP CUSIP: Y75638109	3800.00000	05/24/2016	08/24/2016	55,528.28	54,435.00	0.00	0.00	1,093.28	Original Basis:55,860.00 **Box 6:** Gross Proceeds
PUT WHOLE FOODS MARKET $29 EXP 08/19/16 CUSIP: N/A	37.00000	08/19/2016	06/23/2016	3,249.12	0.00	0.00	0.00	3,249.12	**Box 6:** Gross Proceeds Short Sale
CALL ALIBABA GRP HOLDING $95 EXP 02/19/16 CUSIP: N/A	22.00000	02/19/2016	12/02/2015	3,739.15	0.00	0.00	0.00	3,739.15	**Box 6:** Gross Proceeds Short Sale
Totals				**$1,580,950.16**	**$1,387,831.61**	**$0.00**	**$0.00**	**$193,118.55**	

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AMERICAN MIDSTREAM PARTNERS LP-COM UNITS CUSIP: 02752P100	3600.00000	09/10/2015	05/20/2016	40,659.86	40,716.00	0.00	0.00	-56.14	**Box 6:** Gross Proceeds
CNX COAL RESOURCES LP CUSIP: 12592V100	7200.00000	07/01/2015	05/20/2016	54,607.31	108,000.00	0 00	0.00	-53,392.69	**Box 6:** Gross Proceeds
NOBLE MIDSTREAM PARTNERS LP CUSIP: 65506L105	600.00000	09/15/2016	12/22/2016	21,992.58	13,500.00	0.00	0.00	8,492.58	**Box 6:** Gross Proceeds
Totals				**$117,259.75**	**$162,216.00**	**$0.00**	**$0.00**	**($44,956.25)**	

WELLS FARGO ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

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RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALDER BIOPHARMACEUTICALS INC CUSIP: 014339105	425.00000	06/26/2015	08/24/2016	14,830.49	18,912.50	0.00	0.00	-4,082.01	**Box 6:** Gross Proceeds
ALIBABA GRP HOLDING ADR LTD SPON CUSIP: 01609W102	1800 00000	09/18/2014	09/21/2016	162,100.07	122,400.00	0.00	0.00	39,700.07	**Box 6:** Gross Proceeds Assignment
	400.00000	09/18/2014	10/05/2016	36,016.79	27,200.00	0.00	0.00	8,816.79	**Box 6:** Gross Proceeds Assignment
Subtotals	**2200.00000**			**$198,116.86**	**$149,600.00**	**$0.00**	**$0.00**	**$48,516.86**	
BLACKSTONE MORTGAGE TRUST INC CUSIP: 09257W100	900.00000	04/14/2015	09/02/2016	26,892.39	27,450.00	0.00	0.00	-557.61	**Box 6:** Gross Proceeds
	3200.00000	06/02/2015	09/02/2016	95,617.43	94,880 00	0.00	0.00	737.43	**Box 6:** Gross Proceeds
Subtotals	**4100.00000**			**$122,509.82**	**$122,330.00**	**$0.00**	**$0.00**	**$179.82**	
BRIXMOR PROPERTY GROUP INC CUSIP: 11120U105	4200.00000	03/24/2015	05/20/2016	102,901.43	110,742.88	0.00	0.00	-7,841.45	Original Basis:110,796.00 **Box 6:** Gross Proceeds
GOLDMAN SACHS BDC INC CUSIP: 38147U107	500.00000	03/18/2015	08/24/2016	10,559.53	10,000.00	0.00	0.00	559.53	**Box 6:** Gross Proceeds
	1300.00000	03/18/2015	08/24/2016	27,348.28	26,000.00	0.00	0.00	1,348.28	**Box 6:** Gross Proceeds
Subtotals	**1800.00000**			**$37,907.81**	**$36,000.00**	**$0.00**	**$0.00**	**$1,907.81**	
GOLUB CAP BDC INC CUSIP: 38173M102	3200.00000	04/10/2015	08/24/2016	60,372.87	55,744.00	0.00	0.00	4,628.87	**Box 6:** Gross Proceeds
HERCULES TECHNOLOGY CAPITAL INC CUSIP: 427096508	8400.00000	03/24/2015	08/24/2016	113,954.02	114,576.00	0.00	0.00	-621.98	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
HUDSON PACIFIC PPTYS INC CUSIP: 444097109	1900.00000	04/07/2015	05/20/2016	51,279.50	62,985.00	0.00	0.00	-11,705.50	**Box 6:** Gross Proceeds
MCBC HOLDINGS INC CUSIP: 55276F107	1150.00000	07/17/2015	12/22/2016	15,737.48	14,628.00	0.00	0.00	1,109.48	Original Basis:17,250.00 **Box 6:** Gross Proceeds
MALIBU BOATS INC CLASS A CUSIP: 56117J100	900.00000	05/21/2015	12/22/2016	16,491.73	18,000 00	0.00	0.00	-1,508.27	**Box 6:** Gross Proceeds
NEW MOUNTAIN FINANCE CRP CUSIP: 647551100	8100.00000	09/22/2015	12/22/2016	110,453.25	114,534.00	0.00	0.00	-4,080.75	**Box 6:** Gross Proceeds
WELLTOWER INC CUSIP: 95040Q104	900.00000	02/24/2015	05/20/2016	60,659.85	67,890.24	0.00	0.00	-7,230.39	Original Basis:67,950.00 **Box 6:** Gross Proceeds
WEST CORP CUSIP: 952355204	700.00000	03/13/2015	05/20/2016	14,125.93	21,525.00	0.00	0.00	-7,399.07	**Box 6:** Gross Proceeds
Totals				**$919,341.04**	**$907,467.62**	**$0.00**	**$0.00**	**$11,873.42**	

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -2815

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AZURE MIDSTREAM PARTNERS LP CUSIP: 05501X100	8100.00000	06/17/2015	12/22/2016	7,364.84	114,777.00	0.00	0.00	-107,412.16	**Box 6:** Gross Proceeds
MEMORIAL PRODUCTION PARTNERS LP CUSIP: 586048100	5500.00000	09/04/2014	03/17/2016	13,597.20	122,595.00	0.00	0.00	-108,997.80	**Box 6:** Gross Proceeds
MID-CON ENERGY PART LP CUSIP: 59560V109	8400.00000	11/11/2014	03/17/2016	14,143.61	145,068.00	0.00	0.00	-130,924.39	**Box 6:** Gross Proceeds
Totals				**$35,105.65**	**$382,440.00**	**$0.00**	**$0.00**	**($347,334.35)**	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -9964

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CASH AMERICA INTERNATION SR UNSECURED CPN: 5.7500% DUE: 05/15/2018 CUSIP: 14754DAG5	4000.00000	02/02/2016	08/05/2016	4,308.00	3,890 00	23.81	0.00	394.19	**Box 6:** Gross Proceeds
CONTURA ENERGY INC CUSIP: 21241B100	0.70100	12/28/2016	12/28/2016	50.54	0.00	0.00	0.00	50.54 ~~0.00~~	**Box 6:** Gross Proceeds Cash in Lieu
ELIZABETH ARDEN INC SR UNSECURED CALLABLE CPN: 7.3750% DUE: 03/15/2021 CUSIP: 28660GAG1	2000.00000	06/30/2015	06/17/2016	2,040.00	1,640.00	46.18	0.00	353.82	**Box 6:** Gross Proceeds
SANDRIDGE ENERGY INC SR UNSECURED CPN. 8.1250% DUE: 10/15/2022 CUSIP: 80007PAQ2	2000.00000 6000.00000	04/16/2015 05/26/2015	03/31/2016 03/31/2016	120.00 360.00	1,357.50 3,795 00	0.00 0.00	0.00 0.00	-1,237.50 -3,435.00	**Box 6:** Gross Proceeds **Box 6:** Gross Proceeds
Subtotals	**8000.00000**			**$480.00**	**$5,152.50**	**$0.00**	**$0.00**	**($4,672.50)**	
Totals				**$6,878.54**	**$10,682.50**	**$69.99**	**$0.00**	**($3,924.49)**	

50.54

(3,873 95)

WELLS FARGO ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: -9964

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALPHA NATURAL RESOURCES SR UNSECURED CPN. 9 7500% DUE: 04/15/2018 CUSIP: 02076XAD4	3000 00000	03/11/2014	08/02/2016	11.25	3,022.03	0.00	0.00	-3,010.78	Original Basis:3,028.13 **Box 6:** Gross Proceeds
	3000.00000	04/03/2014	08/02/2016	11.25	2,902.50	0.00	0.00	-2,891.25	**Box 6:** Gross Proceeds
	4000.00000	06/03/2014	08/02/2016	15.00	3,630.00	0.00	0.00	-3,615.00	**Box 6:** Gross Proceeds
	4000.00000	08/08/2014	08/02/2016	15.00	3,410.00	0.00	0.00	-3,395.00	**Box 6:** Gross Proceeds
Subtotals	**14000.00000**			**$52.50**	**$12,964.53**	**$0.00**	**$0.00**	**($12,912.03)**	
ARCH COAL INC COMPANY SR UNSECURED CPN: 7.0000% DUE. 06/15/2019 CUSIP: 039380AE0	6000.00000	02/04/2014	07/07/2016	90.00	4,590 00	0.00	0.00	-4,500.00	**Box 6:** Gross Proceeds
	12000 00000	08/01/2014	07/07/2016	180 00	8,010.00	0.00	0.00	-7,830.00	**Box 6:** Gross Proceeds
Subtotals	**18000.00000**			**$270.00**	**$12,600.00**	**$0.00**	**$0.00**	**($12,330.00)**	
CASH AMERICA INTERNATION SR UNSECURED CPN: 5.7500% DUE: 05/15/2018 CUSIP: 14754DAG5	32000.00000	05/22/2014	08/05/2016	34,464.00	32,375.60	0.00	0.00	2,088.40	Original Basis:32,800.00 **Box 6:** Gross Proceeds
	2000.00000	03/06/2015	08/05/2016	2,154.00	2,057.11	0.00	0.00	96.89	Original Basis:2,100 00 **Box 6:** Gross Proceeds
Subtotals	**34000.00000**			**$36,618.00**	**$34,432.71**	**$0.00**	**$0.00**	**$2,185.29**	
COEUR D'ALENE MINES CORP SR UNSECURED CPN: 7 8750% DUE: 02/01/2021 CUSIP: 1921089Y4	36000.00000	06/17/2014	12/16/2016	37,709.26	35,955.00	0.00	0.00	1,754.26	**Box 6:** Gross Proceeds Redemption
ELIZABETH ARDEN INC SR UNSECURED CALLABLE CPN: 7.3750% DUE: 03/15/2021 CUSIP. 28660GAG1	2000.00000	08/20/2014	06/17/2016	2,040.00	1,910.00	20.60	0.00	109.40	**Box 6:** Gross Proceeds
	5000.00000	10/02/2014	06/17/2016	5,100.00	4,437.50	117.97	0.00	544 53	**Box 6:** Gross Proceeds
	6000.00000	10/23/2014	06/17/2016	6,120.00	5,460.00	112.17	0.00	547 83	**Box 6:** Gross Proceeds
	53000.00000	11/06/2014	06/17/2016	54,060.00	49,952.50	636.07	0.00	3,471.43	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

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RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ELIZABETH ARDEN INC SR UNSECURED CALLABLE CPN. 7.3750% DUE. 03/15/2021 CUSIP: 28660GAG1									
Subtotals	**66000.00000**			**$67,320.00**	**$61,760.00**	**$886.81**	**$0.00**	**$4,673.19**	
FORBES ENERGY SERVICES COMPANY GTD CPN: 9.0000% DUE: 06/15/2019 CUSIP: 345143AC5	4000.00000 3000.00000	02/12/2014 12/02/2014	05/10/2016 05/10/2016	1,840.00 1,380.00	3,915.00 2,377.50	0.00 0.00	0.00 0.00	-2,075.00 -997.50	**Box 6:** Gross Proceeds **Box 6:** Gross Proceeds
Subtotals	**7000.00000**			**$3,220.00**	**$6,292.50**	**$0.00**	**$0.00**	**($3,072.50)**	
GRAFTECH INTERNATIONAL SR UNSECURED CPN: 6.3750% DUE. 11/15/2020 CUSIP: 384313AD4	39000.00000 3000.00000	05/06/2015 10/14/2015	12/12/2016 12/12/2016	31,200.00 2,400.00	35,295.00 1,920.00	0.00 173 73	0.00 0.00	-4,095.00 306.27	**Box 6:** Gross Proceeds **Box 6:** Gross Proceeds
Subtotals	**42000.00000**			**$33,600.00**	**$37,215.00**	**$173.73**	**$0.00**	**($3,788.73)**	
SANDRIDGE ENERGY INC SR UNSECURED CPN· 8.1250% DUE. 10/15/2022 CUSIP: 80007PAQ2	65000.00000 2000.00000 17000.00000	10/20/2014 11/04/2014 01/27/2015	03/31/2016 03/31/2016 03/31/2016	3,900.00 120.00 1,020.00	61,886.50 1,762.50 11,645.00	0 00 0.00 0 00	0.00 0.00 0.00	-57,986.50 -1,642.50 -10,625.00	**Box 6:** Gross Proceeds **Box 6:** Gross Proceeds **Box 6:** Gross Proceeds
Subtotals	**84000.00000**			**$5,040.00**	**$75,294.00**	**$0.00**	**$0.00**	**($70,254.00)**	
Totals				**$183,829.76**	**$276,513.74**	**$1,060.54**	**$0.00**	**($93,744.52)**	

WELLS FARGO ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number -9964

RIFKIN FAMILY FOUNDATION
5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ALPHA NATURAL RESOURCES SR UNSECURED CPN· 9 7500% DUE: 04/15/2018 CUSIP 02076XAD4	63000 00000	07/24/2013	08/02/2016	236.25	66,071.25	0 00	0.00	-65,835 00	**Box 6:** Gross Proceeds
ARCH COAL INC COMPANY SR UNSECURED CPN. 7 0000% DUE: 06/15/2019 CUSIP 039380AE0	71000 00000	04/10/2013	07/07/2016	1,065.00	67,794.82	0.00	0 00	-66,729.82	Original Basis·65,053 75 **Box 6:** Gross Proceeds
	7000 00000	07/18/2013	07/07/2016	105 00	6,422.27	0 00	0 00	-6,317.27	Original Basis 5,985.00 **Box 6:** Gross Proceeds
	3000 00000	08/19/2013	07/07/2016	45.00	2,663 00	0 00	0.00	-2,618 00	Original Basis:2,422 50 **Box 6:** Gross Proceeds
Subtotals	**81000.00000**			**$1,215.00**	**$76,880.09**	**$0.00**	**$0.00**	**($75,665.09)**	
FORBES ENERGY SERVICES COMPANY GTD CPN: 9 0000% DUE 06/15/2019 CUSIP: 345143AC5	39000.00000	06/12/2013	05/10/2016	17,940 00	38,707.50	0.00	0.00	-20,767 50	**Box 6:** Gross Proceeds
	4000.00000	07/19/2013	05/10/2016	1,840 00	4,010.00	0 00	0.00	-2,170 00	**Box 6:** Gross Proceeds
	21000.00000	08/12/2013	05/10/2016	9,660 00	21,210.00	0 00	0 00	-11,550.00	**Box 6:** Gross Proceeds
Subtotals	**64000.00000**			**$29,440.00**	**$63,927.50**	**$0.00**	**$0.00**	**($34,487.50)**	
HUDBAY MINERALS INC SR UNSECURED CPN: 9.5000% DUE· 10/01/2020 CUSIP: 44399ACE2	37000.00000	03/27/2014	12/12/2016	38,942.50	38,182.06	0.00	0 00	760.44	Original Basis:39,682 50 **Box 6:** Gross Proceeds Tender
	5000 00000	12/03/2015	12/12/2016	5,262.50	4,081.48	0 00	0.00	1,181 02	Original Basis:3,912.50 **Box 6:** Gross Proceeds Tender
Subtotals	**42000.00000**			**$44,205.00**	**$42,263.54**	**$0.00**	**$0.00**	**$1,941.46**	

2016 Year-End Account Summary

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5642 COVENTRY LANE

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
PDL BIOPHARMA INC CONV SR UNSECURED CPN: 4.0000% DUE: 02/01/2018 CUSIP: 69329YAF1	38000.00000	02/26/2014	11/17/2016	37,952.50	40,090.00	0.00	0.00	-2,137.50	**Box 6:** Gross Proceeds
	24000.00000	03/24/2014	11/17/2016	23,970.00	25,044.00	0.00	0.00	-1,074.00	**Box 6:** Gross Proceeds
Subtotals	**62000.00000**			**$61,922.50**	**$65,134.00**	**$0.00**	**$0.00**	**($3,211.50)**	
Totals				**$137,018.75**	**$314,276.38**	**$0.00**	**$0.00**	**($177,257.63)**	