

Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury
Internal Revenue Service

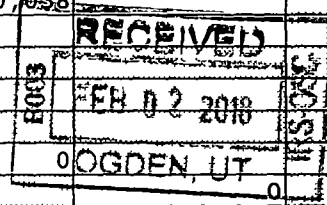
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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation The Harry Shapiro Charitable Foundation Inc.		A Employer identification number 30-6458212
Number and street (or P O box number if mail is not delivered to street address) 783 S Orange Ave Ste 100	Room/suite	B Telephone number (see instructions) 941-364-5080
City or town, state or province country, and ZIP or foreign postal code Sarasota FL 34236		C If exemption application is pending, check here <input checked="" type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation 04 <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A) check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,517,789	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	16,303			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	70,053	70,038		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 Stmt 1	-78,062			
	b Gross sales price for all assets on line 6a 1,652,790				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	8,294	70,038	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,393	2,079		8,314
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) See Stmt 2	2,189			
	b Accounting fees (attach schedule) Stmt 3	4,240	4,240		
	Other professional fees (attach schedule) Stmt 4	53,461	53,461		
	Interest	134			
	18 Taxes (attach schedule) (see instructions) Stmt 5	2,733	2,733		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 6	6,121	1,200		4,800
	24 Total operating and administrative expenses. Add lines 13 through 23	79,271	63,713	0	13,114
	25 Contributions, gifts, grants paid	206,000			206,000
26 Total expenses and disbursements. Add lines 24 and 25	285,271	63,713	0	219,114	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-276,977				
b Net investment income (if negative, enter -0-)		6,325			
c Adjusted net income (if negative, enter -0-)			0		



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Part III	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year			End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	-4	670	670			
	2	Savings and temporary cash investments	86,191	390,131	390,131			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶						
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶						
	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0					
	8	Inventories for sale or use						
	9	Prepaid expenses and deferred charges						
	10a	Investments – U S and state government obligations (attach schedule)						
	b	Investments – corporate stock (attach schedule) See Stmt 7	897,195	915,867	955,252			
	c	Investments – corporate bonds (attach schedule) See Stmt 8	723,606	721,842	710,737			
	11	Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶						
	12	Investments – mortgage loans						
	13	Investments – other (attach schedule) See Statement 9	2,035,794	1,437,295	1,460,999			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶						
15	Other assets (describe ▶)							
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item l)	3,742,782	3,465,805	3,517,789				
Liabilities	17	Accounts payable and accrued expenses						
	18	Grants payable						
	19	Deferred revenue						
	20	Loans from officers, directors, trustees, and other disqualified persons						
	21	Mortgages and other notes payable (attach schedule)						
	22	Other liabilities (describe ▶)						
	23	Total liabilities (add lines 17 through 22)	0	0				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ <input checked="" type="checkbox"/>							
	24	Unrestricted	3,742,782	3,465,805				
	25	Temporarily restricted						
	26	Permanently restricted						
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>							
	27	Capital stock, trust principal, or current funds						
	28	Paid-in or capital surplus, or land, bldg , and equipment fund						
	29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see instructions)	3,742,782	3,465,805					
31	Total liabilities and net assets/fund balances (see instructions)	3,742,782	3,465,805					

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 3,742,782
2	Enter amount from Part I, line 27a	2 -276,977
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 3,465,805
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6 3,465,805

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate 2-story brick warehouse or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Worksheet			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-78,054
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-56,504

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	199,379	3,670,245	0.054323
2014		3,546,727	
2013			
2012			
2011			

2 Total of line 1, column (d)	2	0.054323
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054323
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,540,883
5 Multiply line 4 by line 3	5	192,351
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	63
7 Add lines 5 and 6	7	192,414
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	219,114

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	63
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	63
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	63
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,189
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	2,189
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,126
11	Enter the amount of line 10 to be Credited to 2017 estimated tax 2,126 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14	The books are in care of ▶ Sabadell United Bank N.A. 738 South Orange Ave Located at ▶ Sarasota FL ZIP+4 ▶ 34236 Telephone no ▶ 941-364-5092		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? N/A		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Soyla Rausch 9132 Strada Place Naples FL 34108	Director 0.00	0	0	0
Donald P. Mazor 2800 Stone Cliff Drive Baltimore MD 21209	Director 0.00	10,393	0	0
Kerry Edwards 783 S Orange Ave Ste 100 Sarasota FL 34236	President/V1 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses
N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	Amount
N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,227,982
b	Average of monthly cash balances	1b	366,823
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	3,594,805
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,594,805
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	53,922
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,540,883
6	Minimum investment return. Enter 5% of line 5	6	177,044

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	177,044
2a	Tax on investment income for 2016 from Part VI, line 5	2a	63
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	63
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	176,981
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	176,981
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	176,981

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	219,114
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	219,114
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	63
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	219,051

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				176,981
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				19,385
f Total of lines 3a through e	19,385			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 219,114				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2016 distributable amount				176,981
e Remaining amount distributed out of corpus	42,133			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	61,518			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	61,518			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				19,385
e Excess from 2016				42,133

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test – enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test – enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Statement 10				206,000
Total				206,000
b <i>Approved for future payment</i> N/A				
Total				

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization The Harry Shapiro Charitable Foundation Inc.	Employer identification number 30-6458212
--	---

Organization type (check one)

- | | | |
|--------------------|-------------------------------------|--|
| Filers of: | Section: | |
| Form 990 or 990-EZ | <input type="checkbox"/> | 501(c)() (enter number) organization |
| | <input type="checkbox"/> | 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> | 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> | 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> | 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> | 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ §

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

The Harry Shapiro Charitable

Employer identification number

30-6458212

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Harry Shapiro Revocable Trust 783 S Orange Ave Ste 100 Sarasota FL 34236	\$ 16,303	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Capital Gains and Losses for Tax on Investment Income

Form **990-PF****2016**

For calendar year 2016, or tax year beginning

, and ending

Name

**The Harry Shapiro Charitable
Foundation Inc.**

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 62 Colorplast A/S	P	01/12/16	11/25/16
(2) 3 Dassault Systems SA	P	02/20/15	02/12/16
(3) 16 ARM Holdings PLC	P		09/22/16
(4) 32 Novo-Nordisk A-S	P	02/20/15	02/09/16
(5) 34 Sands China Ltd	P		01/22/16
(6) 265 Unicharm Corp	P	02/20/15	01/07/16
(7) .10 Air Liquide	P	10/21/16	10/21/16
(8) .10 BG Group PLC	P	04/12/16	04/12/16
(9) 9 A/A Group LTD	P	02/20/15	02/29/16
(10) 29 Air Liquide	P	02/20/15	06/07/16
(11) 58 Allianza SE	P	02/20/15	07/18/16
(12) 16 Anheuser-Busch Inbev	P	02/20/15	11/15/16
(13) 39 Arm Holdings PLC	P	02/20/15	08/30/16
(14) 6 Arm Holdings PLC	P	02/20/15	09/22/16
(15) 144 BG Group PLC	P	02/20/15	03/07/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 391		477	-86
(2) 220		206	14
(3) 1,066		715	351
(4) 1,490		1,507	-17
(5) 974		1,642	-668
(6) 1,014		1,492	-478
(7) 63			63
(8) 22			22
(9) 186		205	-19
(10) 628		761	-133
(11) 816		964	-148
(12) 1,644		1,958	-314
(13) 2,591		2,005	586
(14) 400		308	92
(15) 2,188		2,164	24

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-86
(2)			14
(3)			351
(4)			-17
(5)			-668
(6)			-478
(7)			63
(8)			22
(9)			-19
(10)			-133
(11)			-148
(12)			-314
(13)			586
(14)			92
(15)			24

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning , and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 10 C S L Limited	P	02/20/15	03/29/16
(2) 65 Colorplast A/S	P	09/25/15	11/25/16
(3) 3 Dassault Systems SA	P	02/20/15	02/29/16
(4) 40 Fanuc Corporation	P	02/20/15	12/12/16
(5) 35 Fanuc Corporation	P	02/20/15	12/29/16
(6) 231 ICICI Bank LTD	P	02/20/15	02/22/16
(7) 26 Imperial Oil LTD	P	02/20/15	04/05/16
(8) 69 ITAU unibanco Holdin	P	02/20/15	03/24/16
(9) 22 Kone OYJ	P	02/20/15	05/20/16
(10) 20 Kone OYJ	P	02/20/15	10/27/16
(11) 20 Kone OYJ	P	02/20/15	10/28/16
(12) 84 M T N Group LTD	P	02/20/15	10/20/16
(13) 135 Svenska Hndlbkn AB	P	02/20/15	04/12/16
(14) 26 Sysmex Corp	P	02/20/15	06/21/16
(15) 14 Taiwan Semiconductr	P	02/20/15	02/22/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 376		351	25
(2) 409		459	-50
(3) 227		206	21
(4) 711		784	-73
(5) 599		686	-87
(6) 1,309		2,567	-1,258
(7) 807		1,027	-220
(8) 575		767	-192
(9) 491		506	-15
(10) 464		460	4
(11) 460		460	
(12) 644		1,560	-916
(13) 833		1,071	-238
(14) 897		646	251
(15) 335		343	-8

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	
(1)			25
(2)			-50
(3)			21
(4)			-73
(5)			-87
(6)			-1,258
(7)			-220
(8)			-192
(9)			-15
(10)			4
(11)			
(12)			-916
(13)			-238
(14)			251
(15)			-8

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** **2016**

For calendar year 2016, or tax year beginning _____, and ending _____

Name **The Harry Shapiro Charitable Foundation Inc.** Employer Identification Number **30-6458212**

(a) List and describe the kind(s) of property sold, e.g. real estate 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 2 Taiwan Semiconductr	P	02/20/15	10/05/16
(2) 18 Taiwan Semiconductr	P	02/20/15	10/10/16
(3) 25 Taiwan Semiconductr	P	02/20/15	11/16/16
(4) 285 Unicharm Corp	P	02/20/15	05/23/16
(5) 3 W P P PLC	P	02/20/15	07/18/16
(6) .33 Fanuc Corporation	P	02/20/15	11/08/16
(7) .30 Itau Unibanco Holdin	P	02/20/15	10/25/16
(8) 72 BP PLC	P	03/02/15	02/24/16
(9) 123 HCP Inc Reit	P	03/02/15	01/06/16
(10) 3 HCP Inc Reit	P	07/08/15	01/06/16
(11) 20 McDonalds Corp	P	03/02/15	02/03/16
(12) 3 Omega Hlthcare Invts Reit	P	11/05/15	07/12/16
(13) 50 Reynolds American	P	03/02/15	02/03/16
(14) 3 Reynolds American	P	11/05/15	10/21/16
(15) 3 Reynolds American	P	09/12/16	10/21/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 61		49	12
(2) 564		441	123
(3) 736		612	124
(4) 1,101		1,604	-503
(5) 330		349	-19
(6) 6		7	-1
(7) 3		3	
(8) 2,047		2,956	-909
(9) 4,626		5,215	-589
(10) 113		114	-1
(11) 2,450		2,012	438
(12) 97		103	-6
(13) 2,429		1,895	534
(14) 163		139	24
(15) 163		141	22

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			12
(2)			123
(3)			124
(4)			-503
(5)			-19
(6)			-1
(7)			
(8)			-909
(9)			-589
(10)			-1
(11)			438
(12)			-6
(13)			534
(14)			24
(15)			22

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning

, and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo. day yr.)	(d) Date sold (mo., day, yr.)
(1) 54 Reynolds American	P	10/12/16	10/21/16
(2) 18 Royal Dutch Shell	P	03/02/15	01/07/16
(3) 100 Royal Dutch Shell	P	03/02/15	01/07/16
(4) 3 Royal Dutch Shell	P	07/08/15	01/07/16
(5) 20 Altria Group Inc	P	03/02/15	04/13/16
(6) 25 Altria Group Inc	P	03/02/15	07/22/16
(7) 18 General Mills Inc	P	03/02/15	07/22/16
(8) 20 General Mills Inc	P	03/02/15	08/02/16
(9) 31 Glaxosmithkline PLC	P	03/02/15	07/12/16
(10) 65 Glaxosmithkline PLC	P	03/02/15	11/16/16
(11) 10 Johnson & Johnson	P	03/02/15	07/12/16
(12) 11 Johnson & Johnson	P	03/02/15	10/12/16
(13) 20 Kraft Heinz Company	P	03/02/15	04/13/16
(14) 63 Kraft Heinz Company	P	03/02/15	05/18/16
(15) 20 Kraft Heinz Company	P	03/02/15	07/12/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,932		2,541	391
(2) 766		1,203	-437
(3) 4,254		6,682	-2,428
(4) 128		167	-39
(5) 1,244		1,133	111
(6) 1,723		1,416	307
(7) 1,286		964	322
(8) 1,420		1,071	349
(9) 1,354		1,473	-119
(10) 2,504		3,089	-585
(11) 1,231		1,030	201
(12) 1,298		1,133	165
(13) 1,554		1,264	290
(14) 5,111		3,981	1,130
(15) 1,759		1,254	505

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k) but not less than 0-) or Losses (from col (h))
(1)			391
(2)			-437
(3)			-2,428
(4)			-39
(5)			111
(6)			307
(7)			322
(8)			349
(9)			-119
(10)			-585
(11)			201
(12)			165
(13)			290
(14)			1,130
(15)			505

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning , and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g. real estate 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo. day, yr)	(d) Date sold (mo. day, yr)
(1) 15 Kraft Heinz Company	P	03/02/15	10/12/16
(2) 21 Merck & Co Inc	P	03/02/15	10/12/16
(3) 40 Merck & Co Inc	P	03/02/15	11/16/16
(4) 86 Omega Hlthcare Invts Reit	P	06/10/15	07/12/16
(5) 18 Philip Morris Intl	P	03/02/15	04/13/16
(6) 25 Proctor & Gamble	P	03/02/15	07/22/16
(7) 21 Realty Incm Corp	P	03/02/15	08/02/16
(8) 21 Reynolds American	P	03/02/15	07/12/16
(9) 150 Reynolds American	P	03/02/15	10/21/16
(10) 6 Reynolds American	P	07/08/15	10/21/16
(11) 55 Unilever PLC	P	03/02/15	10/12/16
(12) 20 Ventas Inc	P	03/02/15	10/12/16
(13) 55.79 Goldman Sachs Strategic Incm	P	09/30/15	09/14/16
(14) 66.45 Goldman Sachs Strategic Incm	P	10/30/15	09/14/16
(15) 78.19 Goldman Sachs Strategic Incm	P	11/30/15	09/14/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,310		933	377
(2) 1,297		1,235	62
(3) 2,516		2,352	164
(4) 2,788		3,020	-232
(5) 1,812		1,494	318
(6) 2,145		2,125	20
(7) 1,482		1,048	434
(8) 2,758		2,009	749
(9) 8,144		5,685	2,459
(10) 326		228	98
(11) 2,488		2,437	51
(12) 1,329		1,312	17
(13) 533		551	-18
(14) 635		659	-24
(15) 747		773	-26

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-)OR Losses (from col (h))
(1)			377
(2)			62
(3)			164
(4)			-232
(5)			318
(6)			20
(7)			434
(8)			749
(9)			2,459
(10)			98
(11)			51
(12)			17
(13)			-18
(14)			-24
(15)			-26

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning _____, and ending _____

Name
The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number
30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
(1) 360.56 Goldman Sachs Strategic Incm	P	12/28/15	09/14/16
(2) 70.45 Goldman Sachs Strategic Incm	P	12/31/15	09/14/16
(3) 73.16 Goldman Sachs Strategic Incm	P	01/29/16	09/14/16
(4) 53.33 Goldman Sachs Strategic Incm	P	02/29/16	09/14/16
(5) Goldman Sachs Strategic Incm	P	03/31/16	09/14/16
(6) 60.83 Goldman Sachs Strategic Incm	P	04/29/16	09/14/16
(7) Goldman Sachs Strategic Incm	P	05/31/16	09/14/16
(8) 54.69 Goldman Sachs Strategic Incm	P	06/30/16	09/14/16
(9) 54.92 Goldman Sachs Strategic Incm	P	07/29/16	09/14/16
(10) 46.27 Goldman Sachs Strategic Incm	P	08/31/16	09/14/16
(11) 3117.20 Harding Loevner Inst Emrg	P	10/30/15	02/05/16
(12) 19.91 Harding Loevner Inst Emrg	P	12/18/15	02/05/16
(13) 4638.21 Harding Loevner Inst Emrg	P	04/26/16	11/14/16
(14) 5571.03 Harding Loevner Inst Emrg	P	10/19/16	11/14/16
(15) 2000 Ishares 1-3 Year TreasuryBond	P	07/13/15	03/04/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,443		3,469	-26
(2) 673		678	-5
(3) 699		691	8
(4) 509		494	15
(5) 302		298	4
(6) 581		575	6
(7) 676		672	4
(8) 522		513	9
(9) 524		520	4
(10) 442		442	
(11) 43,715		50,020	-6,305
(12) 279		296	-17
(13) 75,595		75,020	575
(14) 90,798		100,020	-9,222
(15) 169,517		169,568	-51

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
(1)			-26
(2)			-5
(3)			8
(4)			15
(5)			4
(6)			6
(7)			4
(8)			9
(9)			4
(10)			
(11)			-6,305
(12)			-17
(13)			575
(14)			-9,222
(15)			-51

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning

, and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold e.g., real estate 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day, yr)
(1) 76.36 Oshaughnessy Enhanced Div	P	04/30/15	04/26/16
(2) 99.77 Oshaughnessy Enhanced Div	P	05/29/15	04/26/16
(3) 119.54 Oshaughnessy Enhanced Div	P	06/30/15	04/26/16
(4) 31.70 Oshaughnessy Enhanced Div	P	07/31/15	04/26/16
(5) 63.69 Oshaughnessy Enhanced Div	P	08/31/15	04/26/16
(6) 53.89 Oshaughnessy Enhanced Div	P	09/30/15	04/26/16
(7) 20.25 Oshaughnessy Enhanced Div	P	10/30/15	04/26/16
(8) 70.93 Oshaughnessy Enhanced Div	P	11/30/15	04/26/16
(9) 117.40 Oshaughnessy Enhanced Div	P	12/31/15	04/26/16
(10) 56.47 Oshaughnessy Enhanced Div	P	02/29/16	04/26/16
(11) 43.79 Oshaughnessy Enhanced Div	P	03/31/16	04/26/16
(12) 500 Powershares DWA	P	04/24/15	02/05/16
(13) 1500 Powershares DWA	P	04/24/15	02/05/16
(14) 100 Powershares QQQ Trust	P	03/19/15	02/05/16
(15) 600 Powershares QQQ Trust	P	03/19/15	02/05/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 747		838	-91
(2) 977		1,054	-77
(3) 1,170		1,210	-40
(4) 310		316	-6
(5) 624		596	28
(6) 528		476	52
(7) 198		194	4
(8) 694		669	25
(9) 1,149		1,058	91
(10) 553		495	58
(11) 429		412	17
(12) 18,386		22,055	-3,669
(13) 55,158		66,164	-11,006
(14) 9,795		10,828	-1,033
(15) 58,769		64,968	-6,199

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k) but not less than -0- or Losses (from col (h))
(1)			-91
(2)			-77
(3)			-40
(4)			-6
(5)			28
(6)			52
(7)			4
(8)			25
(9)			91
(10)			58
(11)			17
(12)			-3,669
(13)			-11,006
(14)			-1,033
(15)			-6,199

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning

and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) Powershares QQQ Trust	P	05/01/15	02/05/16
(2) 1.58 Powershares QQQ Trust	P	08/03/15	02/05/16
(3) 0.00 Powershares QQQ Trust	P	01/04/16	02/05/16
(4) 2.20 Powershares QQQ Trust	P	01/04/16	02/05/16
(5) 9.15 Powershares S&P 500 Low	P	12/01/15	11/08/16
(6) 9.56 Powershares S&P 500 Low	P	01/04/16	11/08/16
(7) 10.04 Powershares S&P 500 Low	P	02/01/16	11/08/16
(8) 9.80 Powershares S&P 500 Low	P	03/01/16	11/08/16
(9) 9.49 Powershares S&P 500 Low	P	04/01/16	11/08/16
(10) 1.60 Powershares QQQ Trust	P	11/02/15	02/05/16
(11) 9.19 Powershares S&P 500 Low	P	05/02/16	11/08/16
(12) .90 Powershares S&P 500 Low	P	06/01/16	11/08/16
(13) 9.38 Powershares S&P 500 Low	P	06/01/16	11/08/16
(14) 10.44 Powershares S&P 500 Low	P	07/01/16	11/08/16
(15) 8.27 Powershares S&P 500 Low	P	08/01/16	11/08/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 157		183	-26
(2) 156		178	-22
(3) 1		1	
(4) 216		240	-24
(5) 372		357	15
(6) 389		363	26
(7) 408		378	30
(8) 398		376	22
(9) 386		382	4
(10) 157		183	-26
(11) 374		369	5
(12) 37		37	
(13) 381		381	
(14) 424		448	-24
(15) 336		354	-18

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-26
(2)			-22
(3)			
(4)			-24
(5)			15
(6)			26
(7)			30
(8)			22
(9)			4
(10)			-26
(11)			5
(12)			
(13)			
(14)			-24
(15)			-18

Capital Gains and Losses for Tax on Investment Income

Form **990-PF****2016**

For calendar year 2016, or tax year beginning

, and ending

Name

**The Harry Shapiro Charitable
Foundation Inc.**

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold e.g. real estate 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo. day yr)	(d) Date sold (mo., day, yr)
(1) 8.35 Powershares S&P 500 Low	P	09/01/16	11/08/16
(2) 8.48 Powershares S&P 500 Low	P	10/03/16	11/08/16
(3) 7.94 Powershares S&P 500 Low	P	11/01/16	11/08/16
(4) 2 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(5) 37 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(6) 50 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(7) 83 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(8) 145 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(9) 176 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(10) 357 Vanguard FTSE Europe ETF	P	06/29/15	06/16/16
(11) 7.79 Vanguard FTSE Europe ETF	P	10/02/15	07/21/16
(12) 6.78 Vanguard FTSE Europe ETF	P	12/29/15	07/21/16
(13) .25 Vanguard FTSE Europe ETF	P	03/22/16	07/21/16
(14) 9.44 Vanguard FTSE Europe ETF	P	03/22/16	07/21/16
(15) 9708.73 Goldman Sachs Strategic Incm	P	12/29/14	09/14/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 339		350	-11
(2) 345		350	-5
(3) 323		321	2
(4) 92		110	-18
(5) 1,700		2,036	-336
(6) 2,297		2,751	-454
(7) 3,813		4,566	-753
(8) 6,661		7,977	-1,316
(9) 8,085		9,683	-1,598
(10) 16,400		19,640	-3,240
(11) 368		383	-15
(12) 320		345	-25
(13) 12		12	
(14) 446		454	-8
(15) 92,711		100,012	-7,301

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-11
(2)			-5
(3)			2
(4)			-18
(5)			-336
(6)			-454
(7)			-753
(8)			-1,316
(9)			-1,598
(10)			-3,240
(11)			-15
(12)			-25
(13)			
(14)			-8
(15)			-7,301

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning , and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day, yr)
(1) 1.74 Goldman Sachs Strategic Incm	P	12/31/14	09/14/16
(2) 20.85 Goldman Sachs Strategic Incm	P	01/30/15	09/14/16
(3) 14778.32 Goldman Sachs Strategic Inc	P	02/10/15	09/14/16
(4) 39.78 Goldman Sachs Strategic Incm	P	02/27/15	09/14/16
(5) 58.20 Goldman Sachs Strategic Incm	P	03/31/15	09/14/16
(6) 67.32 Goldman Sachs Strategic Incm	P	04/30/15	09/14/16
(7) 67.27 Goldman Sachs Strategic Incm	P	05/29/15	09/14/16
(8) 71.79 Goldman Sachs Strategic Incm	P	06/30/15	09/14/16
(9) 73.96 Goldman Sachs Strategic Incm	P	07/31/15	09/14/16
(10) 65.01 Goldman Sachs Strategic Incm	P	08/31/15	09/14/16
(11) 6465.51 Oshaughnessy Enhanced Div	P	12/29/14	04/26/16
(12) 17.68 Oshaughnessy Enhanced Div	P	12/31/14	04/26/16
(13) 476.28 Oshaughnessy Enhanced Div	P	12/31/14	04/26/16
(14) 2.41 Oshaughnessy Enhanced Div	P	01/30/15	04/26/16
(15) 9017.13 Oshaughnessy Enhanced Div	P	02/25/15	04/26/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 17		18	-1
(2) 199		210	-11
(3) 141,122		150,012	-8,890
(4) 380		406	-26
(5) 556		585	-29
(6) 643		678	-35
(7) 642		681	-39
(8) 686		721	-35
(9) 706		743	-37
(10) 621		648	-27
(11) 63,290		75,020	-11,730
(12) 173		188	-15
(13) 4,662		5,058	-396
(14) 24		25	-1
(15) 88,267		100,020	-11,753

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) Losses (from col (h))
(1)			-1
(2)			-11
(3)			-8,890
(4)			-26
(5)			-29
(6)			-35
(7)			-39
(8)			-35
(9)			-37
(10)			-27
(11)			-11,730
(12)			-15
(13)			-396
(14)			-1
(15)			-11,753

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning

, and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 34.74 Oshaughnessy Enhanced Div	P	02/27/15	04/26/16
(2) 47.66 Oshaughnessy Enhanced Div	P	03/31/15	04/26/16
(3) 200 Powershares DWA	P	04/24/15	08/19/16
(4) 1800 Powershares DWA	P	04/24/15	08/19/16
(5) 1 Powershares DWA	P	06/29/15	08/19/16
(6) 1 Powershares DWA	P	06/29/15	08/19/16
(7) 6 Powershares DWA	P	06/29/15	08/19/16
(8) 28 Powershares DWA	P	06/29/15	08/19/16
(9) 45 Powershares DWA	P	06/29/15	08/19/16
(10) 278 Powershares DWA	P	06/29/15	08/19/16
(11) 300 Powershares DWA	P	06/29/15	08/19/16
(12) 711 Powershares DWA	P	06/29/15	08/19/16
(13) 1030 Powershares DWA	P	06/29/15	08/19/16
(14) 2500 Powershares S&P 500 Low	P	01/16/15	11/04/16
(15) 100 Powershares S&P 500 Low	P	01/16/15	11/08/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 340		383	-43
(2) 467		498	-31
(3) 8,574		8,822	-248
(4) 77,166		79,396	-2,230
(5) 43		43	
(6) 43		43	
(7) 257		261	-4
(8) 1,200		1,217	-17
(9) 1,929		1,955	-26
(10) 11,918		12,079	-161
(11) 12,861		13,035	-174
(12) 30,481		30,893	-412
(13) 44,156		44,754	-598
(14) 99,106		95,298	3,808
(15) 4,062		3,812	250

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-43
(2)			-31
(3)			-248
(4)			-2,230
(5)			
(6)			
(7)			-4
(8)			-17
(9)			-26
(10)			-161
(11)			-174
(12)			-412
(13)			-598
(14)			3,808
(15)			250

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning

, and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse or common stock 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day, yr)
(1) 100 Powershares S&P 500 Low	P	01/16/15	11/08/16
(2) 2500 Powershares S&P 500 Low	P	01/16/15	11/08/16
(3) 10.94 Powershares S&P 500 Low	P	03/02/15	11/08/16
(4) 10.55 Powershares S&P 500 Low	P	04/01/15	11/08/16
(5) 10.92 Powershares S&P 500 Low	P	05/01/15	11/08/16
(6) 11.10 Powershares S&P 500 Low	P	06/01/15	11/08/16
(7) 9.98 Powershares S&P 500 Low	P	07/01/15	11/08/16
(8) 9.72 Powershares S&P 500 Low	P	08/03/15	11/08/16
(9) 10.81 Powershares S&P 00 Low	P	09/01/15	11/08/16
(10) 10.06 Powershares S&P 500 Low	P	10/01/15	11/08/16
(11) 9.69 Powershares S&P 500 Low	P	11/02/15	11/08/16
(12) 3076.92 Towle Deep Value	P	12/29/14	11/23/16
(13) 223.58 Towle Deep Value	P	01/08/15	11/23/16
(14) 850 Vanguard FTSE Europe ETF	P	06/29/15	07/21/16
(15) 32.97 Vangurad FTSE Europe ETF	P	07/04/15	07/21/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 4,062		3,812	250
(2) 101,553		95,298	6,255
(3) 445		419	26
(4) 429		398	31
(5) 444		407	37
(6) 451		415	36
(7) 406		370	36
(8) 395		372	23
(9) 439		384	55
(10) 409		361	48
(11) 394		374	20
(12) 60,597		50,020	10,577
(13) 4,403		3,516	887
(14) 40,107		46,762	-6,655
(15) 1,556		1,893	-337

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) Losses (from col (h))
(1)			250
(2)			6,255
(3)			26
(4)			31
(5)			37
(6)			36
(7)			36
(8)			23
(9)			55
(10)			48
(11)			20
(12)			10,577
(13)			887
(14)			-6,655
(15)			-337

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning , and ending

Name

The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number

30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 115 M&T Bank Corp	P	02/11/15	02/09/16
(2) 51 Perrigo Co PLC	P	11/19/15	04/26/16
(3) 65 Qualcomm Inc	P	02/11/15	02/03/16
(4) 21 Stericycle Inc	P	10/28/15	10/26/16
(5) 10 Stericycle Inc	P	11/11/15	10/26/16
(6) 30 Stericycle Inc	P	02/12/16	10/26/16
(7) 20 Apple Inc	P	02/11/15	09/19/16
(8) 15 Berkshire Hathaway	P	02/11/15	12/09/16
(9) 105 Cognizant TEch Solu	P	02/11/15	11/29/16
(10) 45 Express Scripts Hldg	P	02/11/15	06/03/16
(11) 45 Express Scripts Hldg	P	02/11/15	11/16/16
(12) 110 LKQ Corp	P	02/11/15	07/27/16
(13) 35 Mead Johnson Nutritn	P	02/11/15	07/21/16
(14) 27 Perrigo Co PLC	P	02/11/15	04/26/16
(15) 80 Qualcomm Inc	P	02/11/15	06/03/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 12,358		13,848	-1,490
(2) 5,100		7,900	-2,800
(3) 2,871		4,579	-1,708
(4) 1,614		2,542	-928
(5) 769		1,243	-474
(6) 2,306		3,198	-892
(7) 2,277		2,488	-211
(8) 2,465		2,248	217
(9) 5,872		6,179	-307
(10) 3,399		3,803	-404
(11) 3,426		3,803	-377
(12) 3,740		2,985	755
(13) 3,194		3,467	-273
(14) 2,700		4,022	-1,322
(15) 4,377		5,636	-1,259

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	
(1)			-1,490
(2)			-2,800
(3)			-1,708
(4)			-928
(5)			-474
(6)			-892
(7)			-211
(8)			217
(9)			-307
(10)			-404
(11)			-377
(12)			755
(13)			-273
(14)			-1,322
(15)			-1,259

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2016

For calendar year 2016, or tax year beginning _____, and ending _____

Name
The Harry Shapiro Charitable Foundation Inc.

Employer Identification Number
30-6458212

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 50 Stericycle Inc	P	02/11/15	10/26/16
(2) Chs Schwab #4535 Cap Gain Dis			
(3) Chs Schwab #3558 Cap Gain Dis			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,843		6,572	-2,729
(2) 9,244			9,244
(3) 95			95
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
			-2,729
			9,244
			95
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	Sale Price	How Received		Cost	Expense	Depreciation	Net Gain / Loss
					Purchase	Other				
		12/30/16	12/31/16	\$ 8	\$ 8					\$ -8
Total				\$ 8	\$ 8					\$ -8

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Legal fees	\$ 2,189	\$	\$	\$
Total	<u>\$ 2,189</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting & Tax Preparation Fee	\$ 4,240	\$ 4,240	\$	\$
Total	<u>\$ 4,240</u>	<u>\$ 4,240</u>	<u>\$ 0</u>	<u>\$ 0</u>

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Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Trustee fees-Sabadell	\$ 9,038	\$ 9,038	\$	
Trustee fees-Schwab	1,489	1,489		
Advisor fees Schwab	36,151	36,151		
ADR fees	141	141		
Schwab 0020	1,389	1,389		
Schwab 3558	3,068	3,068		
Schwab 9143	2,185	2,185		
Total	\$ 53,461	\$ 53,461	\$	\$

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Foreign Taxes Paid	\$ 933	\$ 933	\$	
Taxes-Excise	1,800	1,800		
Total	\$ 2,733	\$ 2,733	\$	\$

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	
Roundings	121			
G Shapiro	6,000	1,200		4,800
Total	\$ 6,121	\$ 1,200	\$	\$ 4,800

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$	\$		\$
Abbvie Inc		11,078	Cost	11,773
AIA Group Ltd	3,516	3,311	Cost	3,258
Air Liquide	3,072	2,651	Cost	2,313
Alfa Laval AB		983	Cost	1,057
Allianz SE	3,041	2,602	Cost	2,587
Alphabet, Inc	8,088	8,884	Cost	12,679
Altria Group, Inc.	11,768	11,171	Cost	13,051
Amer Electric Pwr Co	2,891	2,891	Cost	3,211
Anheuser-Busch InBev	1,958		Cost	
Apple, Inc	21,486	22,319	Cost	22,122
Arm Holdings PLC	2,794		Cost	
Aspen Pharmacare		939	Cost	872
Astrazeneca PLC		4,481	Cost	3,852
AT & T		11,900	Cost	14,588
Atlas CopCo AB	10,400	1,814	Cost	1,710
Baidu Inc	1,814	4,302	Cost	3,617
Banco Bilboa Argen	2,902	3,079	Cost	2,647
Bayer AG	1,468	3,853	Cost	3,858
Bayerische Motr	2,431	2,431	Cost	1,799
BBA Aviation PLC		476	Cost	566
BCE Inc	8,769	12,129	Cost	11,761
Berkshire Hathaway	22,786	20,537	Cost	22,817
BG Group PLC	2,164		Cost	
BP PLC	9,872	7,020	Cost	6,392
Bunge Limited	2,268	2,268	Cost	2,095
Canadian Natl Railway	2,378	2,378	Cost	2,292
Charles Schwab Corp		5,522	Cost	7,499
Check Pt Software		1,621	Cost	1,774
Chevron Corporation	4,948	5,253	Cost	5,885
Coca Cola Company	4,488	8,325	Cost	8,043
Cognizant Tech Solu	13,829	7,650	Cost	7,284
Coloplast A/S	459		Cost	
Consolidated Edison		2,691	Cost	3,021
Core Laboratories	8,650	9,815	Cost	10,804
CSL Limited	1,860	1,509	Cost	1,560
Dassault Systems SA	3,639	3,227	Cost	3,591
DBS Group Holdings	1,550	2,001	Cost	1,766

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Dominion Res Inc VA	\$ 5,536	5,536	Cost	5,974
Duke Energy Corp	8,739	8,973	Cost	9,004
Equities			Cost	
Equity Funds			Cost	
Express Scripts Hldg	15,636	8,029	Cost	6,535
Exxon Mobil Corp	8,107	8,107	Cost	10,380
Fanuc Corporation	3,300	3,572	Cost	3,297
Fastenal Co		5,933	Cost	6,342
Fresenius Med Care	1,420	2,034	Cost	2,237
Fuchs Petrolub AG	1,238	1,238	Cost	1,213
General Mills, Inc.	4,134	2,099	Cost	2,409
GlaxoSmithKline PLC	12,477	8,043	Cost	6,701
Grifols SA	945	945	Cost	868
Grupo Televisa SA DE CVF		906	Cost	710
Harding Loevner Inst Emrg Mkts CL 1	50,316		Cost	
HSBC Hldgs PLC		1,077	Cost	1,045
ICICI Bank LTD	2,567	1,074	Cost	1,011
Imperial Oil LTD	1,027		Cost	
Itau Unibanco Holdin	2,120	1,325	Cost	1,614
JGC Corporation	1,469	1,469	Cost	1,230
Johnson & Johnson	5,459	3,296	Cost	3,687
Kimberly-Clark Corp	5,709	6,077	Cost	6,277
Kone OYJ	1,425		Cost	
Kraft Heinz Company	9,880	12,003	Cost	14,408
Kraft Heinz Company	8,899	2,312	Cost	3,058
Kubota Corp		1,052	Cost	995
L'Oreal	2,603	2,603	Cost	2,658
Linde Aktiengesellsc	1,131	1,509	Cost	1,359
LKQ Corp	8,819	5,834	Cost	6,590
LVMH Moet	1,166	1,166	Cost	1,216
M & T Bank Corp	13,848		Cost	
McDonald's Corp	9,746	10,747	Cost	12,537
Mead Johnson Nutritn	10,472	7,005	Cost	5,661
Merck & Co, Inc.	9,700	7,852	Cost	7,947
Mitsubishi Estate	1,144	1,144	Cost	997
Monotaro Co LTD	655	655	Cost	810
MTN Group LTD	1,560		Cost	

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Naspers LTD	\$ 1,032	1,732	Cost	1,901
National Grid PLC	11,211	11,211	Cost	9,624
Nestle SA	3,805	3,805	Cost	3,587
Novarits AG		5,489	Cost	5,317
Novo-Nordisk A-S	1,507		Cost	2,707
Occidental Petrol Co		2,860	Cost	
OShaughnessy Enhanced DIV FD 1	187,602		Cost	
Parktwentyfour Co Ltd		1,132	Cost	1,109
Paypal Holdings Inco	5,903	5,903	Cost	6,907
PepsiCo Incorporated	2,774	3,088	Cost	3,444
Perrigo Co PLC	11,922		Cost	
Philip Morris Intl	11,510	11,631	Cost	12,717
PPL Corporation	5,591	5,692	Cost	6,197
Priceline Group	9,726	11,952	Cost	16,127
Proctor & Gamble	11,550	9,686	Cost	10,174
Qualcomm, Inc.	21,958	11,743	Cost	11,084
Reynolds American	9,955		Cost	
Roche Hldg AG	3,441	4,315	Cost	3,680
Ross Stores Inc		5,918	Cost	7,216
Royal Dutch Shell	8,052	2,794	Cost	3,478
Sands China LTD	1,642		Cost	
Sanofi Spond	2,758	12,317	Cost	11,606
SAP Sponsored	2,630	2,630	Cost	3,284
SASOL Limited	1,202	1,202	Cost	915
Schlumberger LTD	2,536	14,365	Cost	14,691
Schlumberger LTD	14,365	2,536	Cost	2,519
Shire PLC		1,034	Cost	1,022
Sonova Holding AG	1,120		Cost	
Sonova Holding AG		1,120	Cost	989
Southern Company	7,861	8,013	Cost	8,707
Stericycle, Inc.	10,357		Cost	
Svenska Hndlsbnkn AB	1,071		Cost	
Symrise AG	1,218	1,218	Cost	1,184
Sysmex Corp	2,185	1,539	Cost	1,798
Taiwan SemiConductr	4,287	2,842	Cost	3,335
Tenaris S A		931	Cost	1,266
TJX Companies Inc		4,594	Cost	4,508

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Total S A	\$	\$		\$
Towle Deep Value	103,562	1,370	Cost	1,427
Tractor Supply Comp		50,026	Cost	64,736
Treehouse Foods Inc		5,646	Cost	6,444
Turkiye Garnati BK		9,439	Cost	7,580
Unicharm Corp	1,515	1,515	Cost	832
Unilever PLC	3,096	4,299	Cost	3,948
Unilever PLC	6,596	1,617	Cost	1,506
Verisk Analytics, Inc.	1,617	8,624	Cost	10,552
Verizon Communication	8,624	13,145	Cost	14,413
Visa, Inc.	10,379	12,967	Cost	14,356
Vodafone Group	8,241	13,857	Cost	10,285
Welltower, Inc.	7,132		Cost	
WPP PLC	5,631	3,145	Cost	2,988
Edgewood Growth Institutional	3,495	183,806	Cost	179,936
JP Morgan Small Cap Equity		138,393	Cost	144,242
Total	\$ 897,195	\$ 915,867		\$ 955,252

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Bond Funds	\$	\$		\$
Doubleline Total Return BD FD Cl 1	206,922	214,471	Cost	206,742
Goldman Sachs Strategic Incm FD Inst	260,860		Cost	
JP Morgan Core Bond SLCT	255,824		Cost	
Federated Institutional Hi Yield Fd		104,113	Cost	108,261
JP Morgan Core Bond Select		262,972	Cost	255,288
Ridgeworth SEIX Float		140,286	Cost	140,446
Total	\$ 723,606	\$ 721,842		\$ 710,737

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Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Charles Schwab 4535	\$ 2,018,816		Cost	\$
Charles Schwab 3558	16,978		Cost	
Crown castle Intl Co (REIT)		7,035	Cost	6,681
Realty Incm Corp (REIT)		2,360	Cost	2,702
Ventas inc (REIT)		3,702	Cost	3,564
Well Tower Inc.		5,628	Cost	4,886
Guggenheim S & P 500 Equal (ETF)		290,105	Cost	311,816
SPDR S&P 500 (ETF)		266,055	Cost	288,237
Vanguard FTSE Developed Mrkt (ETF)		147,579	Cost	133,678
Vanguard Intermediate term Bd (ETF)		464,238	Cost	448,915
Vanguard Mid Cap Growth (ETF)		250,593	Cost	260,520
Total	\$ 2,035,794	\$ 1,437,295		\$ 1,460,999

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
St. Charles Boromeo Catholic School	21505 Augusta Avenue			Charitable	17,500
Charlotte FL 33952					
Jewish Family & Children's Services	2688 Fruitville Road			Charitable	10,000
Sarasota FL 34237					
The Maryland Zoo In Baltimore	1876 Mansion House Drive			Charitable	10,000
Baltimore MD 21217					
American Red Cross	2001 Cantu Court			Charitable	10,000
Sarasota FL 34232					
Goodwill Manasota	7700 55th Avenue			Charitable	5,000
Bradenton FL 34203					
Tidewell Hospice	1751 Mound Street, #101			Charitable	10,000
Sarasota FL 34236					
First Baptist Church North Port	8000 Dorothy Avenue			Charitable	18,000
North Port FL 34287					
Charlotte County Sheriff	7474 Utilities Road			Charitable	15,000
Punta Gorda FL 33982					

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Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name Address	Relationship	Address Status	Purpose	Amount
Selah Freedom, Inc. Sarasota FL 34276	P.O. Box 21415		Charitable	5,000
The Circus Arts Conservatory, Inc. Sarasota FL 34239	2075 Bahia Vista Street		Charitable	10,000
North Port Police Department NorthPort FL 34286	4980 City Hall Blvd		Charitable	10,000
Punta Gorda Police Dept Jammers Punta Gorda FL 33950	326 W. Marion Avenue		Charitable	10,000
WUSF Public Media Tampa FL 33620	4106 USF Willow Drive		Charitable	10,000
Central Scholarship Owings Mills MD 21117	6 Park Center Court		Charitable	15,000
Jewish Museum of Maryland Baltimore MD 21202	15 Lloyd Street		Charitable	10,000
Easter Seals of Southwest Florida sarasota FL 34243	350 Braden Avenue		Charitable	5,000
Punta Gorda Fire Department Punta Gorda FL 33950	1410 Tamiami Trail		Charitable	10,000
Meals On Wheels Plus of Manatee Bradenton FL 34208	811 23rd Ave E.		Charitable	5,000
Salvation Army Sarasota FL 34236	1400 10th Street		Charitable	5,000
Sarasota Cty Sheriff's ofc ch fdn Sarasota FL 34231	6120 S Lockwood Ridge Rd		Charitable	15,000
Blessing Bags Project Bradenton FL 34209	5416 14th Ave Dr W		Charitable	500
Total				<u>206,000</u>