Extended to November 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: THE THOMAS FAMILY FOUNDATION

A Employer identification number: 30-6304249

Number and street (or P O box number if mail is not delivered to street address): 3918 ALHAMBRA DR W — Room/suite:

B Telephone number: 904-346-0836

City or town, state or province, country, and ZIP or foreign postal code: JACKSONVILLE, FL 32207

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 9,889,321.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,463,680.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	108,266.	108,266.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,703,707.			
	b Gross sales price for all assets on line 6a 3,914,202.				
	7 Capital gain net income (from Part IV, line 2)		2,703,707.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	312.	312.		Statement 2
	12 **Total.** Add lines 1 through 11	6,275,965.	2,812,285.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 3	11,800.	5,900.		5,900.
	c Other professional fees				
	17 Interest				
	18 Taxes Stmt 4	1,144.	1,144.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 5	27,387.	13,694.		13,693.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	40,331.	20,738.		19,593.
	25 Contributions, gifts, grants paid	270,000.			270,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	310,331.	20,738.		289,593.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	5,965,634.			
	b **Net investment income** (if negative, enter -0-)		2,791,547.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2016)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1.		
	2 Savings and temporary cash investments	150,967.	376,222.	376,222.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	7,944,589.	11,276,249.	9,512,748.
	c Investments - corporate bonds			
	11 Investments - land, buildings and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	5,677.	0.	0.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 9)	79.	351.	351.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,101,313.	11,652,822.	9,889,321.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	8,101,313.	11,652,822.	
	30 Total net assets or fund balances	8,101,313.	11,652,822.	
	31 Total liabilities and net assets/fund balances	8,101,313.	11,652,822.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,101,313.
2	Enter amount from Part I, line 27a	2	5,965,634.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	14,066,947.
5	Decreases not included in line 2 (itemize) ▶ See Statement 6	5	2,414,125.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,652,822.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statement			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,914,202.		1,210,495.	2,703,707.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				2,703,707.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,703,707.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	232,046.	5,626,658.	.041240
2014	249,856.	7,319,554.	.034135
2013	199,850.	6,282,676.	.031810
2012	92,158.	1,865,271.	.049407
2011	0.	398,679.	.000000

2 **Total** of line 1, column (d)	2	.156592
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031318
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,305,605.
5 Multiply line 4 by line 3	5	260,115.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	27,915.
7 Add lines 5 and 6	7	288,030.
8 Enter qualifying distributions from Part XII, line 4	8	289,593.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	27,915.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	27,915.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	27,915.
6 Credits/Payments				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,365.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	30,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	32,365.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,450.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	4,450.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 10	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LEE M THOMAS Telephone no. ▶ 904-346-0836

Located at ▶ 3918 ALHAMBRA DRIVE W, JACKSONVILLE, FL ZIP+4 ▶ 32207

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	[ ] Yes [X] No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ [ ]		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	[ ] Yes [ ] No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEE M THOMAS 3918 ALHAMBRA DR W JACKSONVILLE, FL 32207	TRUSTEE 1.00	0.	0.	0.
DOROTHY B THOMAS 3918 ALHAMBRA DR W JACKSONVILLE, FL 32207	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	7,793,213.
b	Average of monthly cash balances		1b	638,873.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	8,432,086.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	8,432,086.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	126,481.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	8,305,605.
6	**Minimum investment return.** Enter 5% of line 5		6	415,280.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	415,280.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 27,915.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	27,915.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	387,365.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	387,365.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	387,365.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	289,593.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	289,593.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	27,915.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	261,678.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				387,365.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			269,898.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶$ 289,593.				
a Applied to 2015, but not more than line 2a			269,898.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				19,695.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				367,670.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

LEE M THOMAS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JACKSONVILLE HUMANE SOCIETY 8464 BEACH BLVD JACKSONVILLE, FL 32216	NONE	PC	GENERAL OPERATIONS	125,000.
ST STEPHEN EPISCOPAL CHURCH 307 LONGTOWN ROAD RIDGEWAY, SC 29130	NONE	PC	GENERAL OPERATIONS	10,000.
TIGER ACADEMY 6079 BAGLEY RD JACKSONVILLE, FL 32209	NONE	PC	GENERAL OPERATIONS	25,000.
UNITED WAY OF NORTHEAST FLORIDA JESSIE BALL DUPONT CENTER, 40 E ADAMS ST, #200 JACKSONVILLE, FL 32202	NONE	PC	GENERAL OPERATIONS	30,000.
WORLD RESOURCE INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON, DC 20002	NONE	PC	GENERAL OPERATIONS	10,000.
Total See continuation sheet(s)			▶ 3a	270,000.
b Approved for future payment None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	108,266.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	312.	
8 Gain or (loss) from sales of assets other than inventory			18	2,703,707.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		2,812,285.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 2,812,285.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 9/19/17 Trustee

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Howard F. Neiman	[signature]	9/10/17		P00029525
Firm's name ▶ Carr, Riggs & Ingram, LLC			Firm's EIN ▶ 72-1396621	
Firm's address ▶ 5909 Peachtree Dunwoody Rd. Suite 800 Atlanta, GA 30328			Phone no. 770-261-1900	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization

THE THOMAS FAMILY FOUNDATION

Employer identification number

30-6304249

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE THOMAS FAMILY FOUNDATION	30-6304249

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LEE M THOMAS 3918 ALHAMBRA DR W JACKSONVILLE, FL 32207	$ 3,463,680.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE THOMAS FAMILY FOUNDATION	30-6304249

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	46,585 SHS REGAL CINEMAS	$ 1,037,930.	10/07/16
1	6,125 SHS AIRGAS INC COMMON STOCK	$ 868,525.	05/05/16
1	11,250 SHS AIRGAS INC COMMON STOCK	$ 1,557,225.	01/20/16
		$	
		$	
		$	

Name of organization: THE THOMAS FAMILY FOUNDATION

Employer identification number: 30-6304249

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious charitable, etc, contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b	PUBLICLY TRADED SECURITIES			
c	PUBLICLY TRADED SECURITIES			
d	PUBLICLY TRADED SECURITIES			
e	PUBLICLY TRADED SECURITIES			
f	PUBLICLY TRADED SECURITIES			
g	PUBLICLY TRADED SECURITIES			
h	PUBLICLY TRADED SECURITIES			
i	PUBLICLY TRADED SECURITIES			
j	PUBLICLY TRADED SECURITIES			
k	Capital Gains Dividends			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,199,625.		644,075.	2,555,550.
b	54,019.		56,348.	<2,329.>
c	288,388.		293,875.	<5,487.>
d	51,093.		53,682.	<2,589.>
e	55,198.		55,396.	<198.>
f	114,820.		107,119.	7,701.
g	839.			839.
h	287.			287.
i				0.
j				0.
k	149,933.			149,933.
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				2,555,550.
b				<2,329.>
c				<5,487.>
d				<2,589.>
e				<198.>
f				7,701.
g				839.
h				287.
i				0.
j				0.
k				149,933.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	2,703,707.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

623591
04-01-16

15430901 759359 04505.000 2016.04020 THE THOMAS FAMILY FOUNDATI

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTH FLORIDA SCHOOL OF SPECIAL EDUCATION 223 MILL CREEK RD JACKSONVILLE, FL 32211	NONE	PC	GENERAL OPERATIONS	50,000.
GATEWAY INC. 3828 W TAYLOR ST. CHICAGO, IL 60624	NONE	PC	GENERAL OPERATIONS	10,000.
SEWANEE: THE UNIVERSITY OF THE SOUTH 735 UNVERSITY AVE SEWANEE, TN 37383	NONE	PC	GENERAL OPERATIONS	10,000.
Total from continuation sheets				70,000.

623631
04-01-16

STATEMENT A-1

For the Period 12/1/15 to 12/31/15

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
JPM ACCESS MULTI-STRATEGY FUND II RIC 5% HOLDBACK N/O Client 48219B-91-1	1.00 11/30/15	5,676.740	5,676 74	5,676 74

STATEMENT A-2

	Federated Treasury Obligations AS-115			56,235.76		56,235.76	18.01%	0.00	0.00%	22.93	0.04%
Total: Cash and Cash Equivalents				56,235.76		56,235.76	18.01%	0.00	0.00%	22.93	0.04%
Equities											
AXP	AMERICAN EXPRESS COMPANY										
02/24/2015	Cash	84.00	81.00	6,804.00	74 08	6,222.72	1 99%	(581.28)	(8.54)%	107.52	1.73%
05/08/2015	Cash	15.00	79.59	1,193.85	74.08	1,111 20	0 36%	(82 65)	(6 92)%	19 20	1 73%
07/24/2015	Cash	21 00	75.85	1,592.85	74.08	1,555.68	0 50%	(37 17)	(2.33)%	26 88	1.73%
01/25/2016	Cash	27.00	55 30	1,493 10	74.08	2,000.16	0.64%	507.06	33.96%	34 56	1.73%
Total:		147.00	75.40	11,083.80	74.08	10,889.76	3.49%	(194.04)	(1.75)%	188.16	1.73%

STATEMENT A-2

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
NLY	ANNALY CAPITAL MANAGEMENT INC										
02/24/2015	Cash	376.00	10.208	3,838 22	9 97	3,748.72	1.20%	(89.50)	(2.33)%	451.20	12.04%
05/08/2015	Cash	66.00	9.819	648.03	9.97	658.02	0.21%	9.99	1.54%	79.20	12.04%
Total:		442.00	10.15	4,486.26	9.97	4,406.74	1.41%	(79.51)	(1.77)%	530.40	12.04%
SCHW	CHARLES SCHWAB CORP										
02/08/2016	Cash	124.00	23.504	2,914 45	39.47	4,894.28	1.57%	1,979.83	67.93%	34.72	0.71%
CSCO	CISCO SYSTEMS										
02/24/2015	Cash	180.00	29.67	5,340 56	30 22	5,439.60	1.74%	99.04	1.85%	187.20	3 44%
COP	CONOCOPHILLIPS										
11/11/2016	Cash	138.00	43.66	6,025.14	50.14	6,919.32	2.22%	894.18	14.84%	138.00	1.99%
CVS	CVS HEALTH CORP										
12/01/2016	Cash	60 00	76.728	4,603.69	78.91	4,734.60	1.52%	130.91	2.84%	120.00	2.53%
DO	DIAMOND OFFSHORE DRILLING INC										
02/24/2015	Cash	160.00	30.434	4,869 44	17.70	2,832.00	0.91%	(2,037.44)	(41.84)%		
05/08/2015	Cash	28.00	33.125	927.50	17.70	495.60	0 16%	(431.90)	(46.57)%		
Total:		188.00	30.835	5,796.94	17.70	3,327.60	1.07%	(2,469.34)	(42.60)%		
EBAY	EBAY INC										
02/24/2015	Cash	115.00	24.592	2,828.06	29.69	3,414.35	1.09%	586.29	20.73%		
07/23/2015	Cash	161.00	28.36	4,565.96	29.69	4,780.09	1.53%	214.13	4.69%		
Total:		276.00	26.79	7,394.02	29.69	8,194.44	2.62%	800.42	10.83%		
EXC	EXELON CORP										
02/24/2015	Cash	205 00	34.87	7,148.29	35.49	7,275.45	2.33%	127.16	1.78%	260.76	3.58%
04/17/2015	Cash	64 00	32.978	2,110.58	35.49	2,271.36	0.73%	160.78	7.62%	81.41	3 58%
05/08/2015	Cash	53.00	34.15	1,809.95	35.49	1,880.97	0.60%	71.02	3.92%	67.42	3 58%
Total:		322.00	34.375	11,068.82	35.49	11,427.78	3.66%	358.96	3.24%	409.59	3.58%

STATEMENT A-2

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
ESRX	EXPRESS SCRIPTS HOLDING COMPANY										
02/24/2015	Cash	61.00	87.85	5,358.85	68.79	4,196.19	1.34%	(1,162.66)	(21.70)%		
XOM	EXXON MOBIL CORPORATION										
02/24/2015	Cash	105.00	89.31	9,377.53	90.26	9,477.30	3.04%	99.77	1.06%	315.00	3.32%
05/08/2015	Cash	18.00	88.81	1,598.58	90.26	1,624.68	0.52%	26.10	1.63%	54 00	3.32%
08/27/2015	Cash	15.00	73.879	1,108.18	90.26	1,353.90	0.43%	245.72	22.17%	45.00	3.32%
Total:		138.00	87.567	12,084.29	90.26	12,455.88	3.99%	371.59	3.07%	414.00	3.32%
BEN	FRANKLIN RESOURCES										
07/09/2015	Cash	106.00	48.708	5,163.00	39 58	4,195.48	1.34%	(967.52)	(18.74)%	84.80	2 02%
08/27/2015	Cash	51.00	40.438	2,062.32	39.58	2,018.58	0.65%	(43.74)	(2.12)%	40.80	2.02%
Total:		157.00	46.021	7,225.32	39.58	6,214.06	1.99%	(1,011.26)	(14.00)%	125.60	2.02%
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR										
02/24/2015	Cash	110 00	47.72	5,249.18	38.51	4,236.10	1.36%	(1,013.08)	(19.30)%	227.37	5 37%
05/08/2015	Cash	19.00	45.52	864.88	38.51	731.69	0.23%	(133.19)	(15 40)%	39.27	5.37%
12/23/2015	Cash	47.00	40.76	1,915 72	38 51	1,809.97	0 58%	(105.75)	(5.52)%	97.15	5.37%
09/16/2016	Cash	31.00	42.90	1,329.90	38.51	1,193.81	0.38%	(136.09)	(10.23)%	64.08	5 37%
Total:		207.00	45.216	9,359.68	38.51	7,971.57	2.55%	(1,388.11)	(14.83)%	427.87	5.37%
HMC	HONDA MOTOR COMPANY LIMITED SPONSORED ADR										
02/24/2015	Cash	200.00	33.23	6,645.94	29.19	5,838.00	1.87%	(807.94)	(12.16)%	145.00	2 48%
05/08/2015	Cash	35.00	35.28	1,234.80	29.19	1,021.65	0.33%	(213.15)	(17.26)%	25.38	2.48%
Total:		235.00	33.535	7,880.74	29.19	6,859.65	2.20%	(1,021.09)	(12.96)%	170.38	2.48%
JNJ	JOHNSON AND JOHNSON										
02/24/2015	Cash	65.00	100.60	6,539.00	115.21	7,488.65	2.40%	949.65	14.52%	208.00	2 78%
05/08/2015	Cash	11.00	101.65	1,118.15	115.21	1,267.31	0.41%	149.16	13.34%	35.20	2.78%
Total:		76.00	100.752	7,657.15	115.21	8,755.96	2.80%	1,098.81	14.35%	243.20	2.78%

STATEMENT A-2

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
MCK		MCKESSON CORPORATION										
12/01/2016		Cash	32.00	143.563	4,594 01	140.45	4,494.40	1.44%	(99.61)	(2.17)%	35.84	0.80%
MDT		MEDTRONIC PLC										
02/24/2015		Cash	100.00	78.111	7,811.05	71.23	7,123.00	2.28%	(688.05)	(8 81)%	172.00	2.41%
MSFT		MICROSOFT CORP										
02/24/2015		Cash	114.00	44.12	5,029.65	62.14	7,083.96	2.27%	2,054.31	40.84%	177.84	2 51%
05/08/2015		Cash	31.00	47.82	1,482.42	62.14	1,926.34	0.62%	443.92	29.95%	48.36	2.51%
	Total:		145.00	44.911	6,512.07	62.14	9,010.30	2.89%	2,498.23	38.36%	226.20	2.51%
PPG		P P G INDUSTRIES INCORPORATED										
10/25/2016		Cash	48.00	91.33	4,383.84	94.76	4,548.48	1.46%	164.64	3.76%	76.80	1.69%
10/31/2016		Cash	32.00	93.074	2,978.37	94.76	3,032.32	0.97%	53.95	1.81%	51.20	1.69%
	Total:		80.00	92.028	7,362.21	94.76	7,580.80	2.43%	218.59	2.97%	128.00	1.69%
PEP		PEPSICO INCORPORATED										
02/24/2015		Cash	90.00	99.70	8,972.98	104.63	9,416.70	3.02%	443.73	4.95%	270.90	2 88%
05/08/2015		Cash	19.00	96.44	1,832.36	104.63	1,987.97	0.64%	155.61	8.49%	57.19	2.88%
	Total:		109.00	99.132	10,805.34	104.63	11,404.67	3.65%	599.34	5.55%	328.09	2.88%
PNC		PNC FINANCIAL SERVICES GROUP INC										
02/24/2015		Cash	68.00	92.39	6,282.52	116.96	7,953.28	2.55%	1,670.76	26.59%	149.60	1 88%
05/08/2015		Cash	15.00	93.47	1,402.05	116.96	1,754.40	0.56%	352.35	25.13%	33.00	1.88%
	Total:		83.00	92.585	7,684.57	116.96	9,707.68	3.11%	2,023.11	26.33%	182.60	1.88%
PG		PROCTER & GAMBLE COMPANY										
02/24/2015		Cash	94.00	85.50	8,037.00	84.08	7,903.52	2.53%	(133.48)	(1.66)%	251.73	3.19%
05/08/2015		Cash	17 00	81.09	1,378.53	84.08	1,429.36	0.46%	50.83	3.69%	45.53	3.19%
	Total:		111.00	84.825	9,415.53	84.08	9,332.88	2.99%	(82.65)	(0.88)%	297.26	3.19%

STATEMENT A-2

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
QCOM		QUALCOMM INC										
02/24/2015		Cash	75.00	71.32	5,349.00	65.20	4,890.00	1.57%	(459.00)	(8.58)%	159.00	3 25%
05/08/2015		Cash	13.00	69.14	898.82	65 20	847.60	0.27%	(51 22)	(5.70)%	27.56	3.25%
	Total:		88.00	70.998	6,247.82	65.20	5,737.60	1.84%	(510.22)	(8.17)%	186.56	3.25%
STI		SUNTRUST BANKS INC										
02/24/2015		Cash	98.00	41.46	4,063.08	54.85	5,375.30	1 72%	1,312.22	32.30%	101.92	1.90%
05/08/2015		Cash	17.00	42.21	717.57	54.85	932.45	0.30%	214.88	29.95%	17.68	1.90%
02/04/2016		Cash	44.00	34.835	1,532.75	54.85	2,413.40	0.77%	880 65	57.46%	45.76	1.90%
	Total:		159.00	39.707	6,313.40	54.85	8,721.15	2.79%	2,407.75	38.14%	165.36	1.90%
TSM		TAIWAN SEMICONDUCTOR MFG CO SPONSORED ADR										
02/24/2015		Cash	150.00	25.02	3,752 95	28.75	4,312.50	1.38%	559.55	14 91%	112.80	2.62%
07/24/2015		Cash	51 00	21.66	1,104.65	28.75	1,466.25	0.47%	361.60	32.73%	38.35	2 62%
	Total:		201.00	24.167	4,857.60	28.75	5,778.75	1.85%	921.15	18.96%	151.15	2.62%
TGT		TARGET CORP										
02/24/2015		Cash	105.00	76.95	8,079.75	72.23	7,584.15	2.43%	(495.60)	(6.13)%	252.00	3.32%
08/21/2015		Cash	7.00	79.00	553.00	72.23	505.61	0.16%	(47.39)	(8.57)%	16 80	3.32%
04/12/2016		Cash	12.00	80.00	960.00	72.23	866.76	0.28%	(93.24)	(9.71)%	28.80	3 32%
04/29/2016		Cash	5.00	79.81	399.05	72.23	361.15	0.12%	(37 90)	(9.50)%	12.00	3.32%
05/19/2016		Cash	20.00	67.106	1,342.11	72.23	1,444.60	0.46%	102.49	7.64%	48.00	3.32%
	Total:		149.00	76.067	11,333.91	72.23	10,762.27	3.45%	(571.64)	(5.04)%	357.60	3.32%
TRV		THE TRAVELERS COMPANIES INC										
02/24/2015		Cash	60.00	107.75	6,465.00	122.42	7,345.20	2.35%	880.20	13.61%	160.80	2.19%
05/08/2015		Cash	11.00	104.04	1,144.44	122.42	1,346.62	0.43%	202.18	17.67%	29.48	2.19%
	Total:		71.00	107.175	7,609.44	122.42	8,691.82	2.78%	1,082.38	14.22%	190.28	2.19%

STATEMENT A-2

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
TMK		TORCHMARK CORPORATION										
02/24/2015		Cash	75 00	54.03	4,052.25	73.76	5,532.00	1.77%	1,479.75	36.52%	42.00	0.76%
05/08/2015		Cash	13.00	57.41	746.33	73.76	958.88	0.31%	212.55	28.48%	7.28	0.76%
	Total:		88.00	54.529	4,798.58	73.76	6,490.88	2.08%	1,692.30	35.27%	49.28	0.76%
USB		US BANCORP										
02/24/2015		Cash	180.00	44.80	8,063.96	51.37	9,246.60	2.96%	1,182.64	14.67%	201.60	2 18%
05/08/2015		Cash	31.00	43.69	1,354.39	51.37	1,592.47	0.51%	238.08	17.58%	34.72	2.18%
	Total:		211.00	44.637	9,418.35	51.37	10,839.07	3.47%	1,420.72	15.08%	236.32	2.18%
VZ		VERIZON COMMUNICATIONS										
09/15/2016		Cash	114.00	51 61	5,883.51	53.38	6,085.32	1.95%	201.81	3.43%	263.34	4 33%
WMT		WAL-MART STORES INCORPORATED										
02/24/2015		Cash	133 00	84.78	11,275.71	69.12	9,192.96	2.94%	(2,082.75)	(18.47)%	266 00	2.89%
05/08/2015		Cash	24.00	78.65	1,887.60	69.12	1,658.88	0.53%	(228.72)	(12.12)%	48.00	2 89%
08/20/2015		Cash	22 00	68.91	1,516.02	69.12	1,520.64	0.49%	4.62	0.30%	44.00	2 89%
	Total:		179.00	82.007	14,679.33	69.12	12,372.48	3.96%	(2,306.85)	(15.71)%	358.00	2.89%
WFC		WELLS FARGO & COMPANY										
02/24/2015		Cash	146.00	55.46	8,097.12	55.11	8,046.06	2.58%	(51.06)	(0.63)%	221.92	2.76%
05/08/2015		Cash	25.00	55.66	1,391.50	55.11	1,377 75	0.44%	(13.75)	(0.99)%	38 00	2 76%
	Total:		171.00	55.489	9,488.62	55.11	9,423.81	3.02%	(64.81)	(0.68)%	259.92	2.76%
WFM		WHOLE FOODS MARKET INC										
08/24/2015		Cash	84.00	31.289	2,628.26	30.76	2,583.84	0.83%	(44.42)	(1.69)%	47 04	1.82%
11/04/2015		Cash	101.00	30.996	3,130.62	30.76	3,106.76	1.00%	(23.86)	(0.76)%	56 56	1 82%
	Total:		185.00	31.129	5,758.88	30.76	5,690.60	1.82%	(68.28)	(1.19)%	103.60	1.82%
Total: Equities					248,853.92		255,934.91	81.99%	7,081.00	2.85%	6,680.52	2.61%

Thomas Family Foundation
Securities Summary Stephens #1696
2016

USE FIFO FOR DISPOSAL OF CONTRIBUTED SECURITIES

Rayonier Inc and Rayonier Adv Mat Stock

	SHS	Book Value (FMV @ contrib)	Tax Cost Basis (Historical)	Cost		BV	
2011 Initial Contribution	36,276	1,537,014.12	838,054.53	23.10	$/sh	42.37	$/sh
2012 Additions	79,130	4,007,934.50	2,345,427.79	29.64	$/sh	50.65	$/sh
2013 Additions	48,000	2,047,920.00	1,729,964.08	36.04	$/sh	42.67	$/sh
Other Brkr Adjustments			(160.03)				
2014 Disposals	(20,000)	(847,400.00)	(720,638.29)	**2014 book tax diff -**			**126,761.71**
2014 Nondiv Dist		(63,447.32)	(63,447.32)				
2014 Outstanding Optns		(1,434.35)	(1,434.35)				
2015 Expired Optns	5,000	1,434.35	1,434.35				
2015 Nondiv Dist		(14,146.04)	(14,146.04)				
2015 Disposals	(5,000)	(211,850.00)	(133,492.09)	**2015 book tax diff -**			**78,357.91**
12/31/2016	**148,406**	**6,456,025.26**	**3,981,562.63**				

Airgas Stock

	SHS	Book Value (FMV @ contrib)	Tax Cost Basis (Historical)	Cost		BV	
2014 Initial Contribution	2,500	286,775.00	28,730.00	11.49	$/sh	114.71	$/sh
2015 Addition	2,500	345,675.00	31,000.00	12.40	$/sh	138.27	$/sh
2016 Addition	17,375	2,425,750.00	584,345.00	33.63	$/sh	139.61	$/sh
2016 Disposals	(22,375)	(3,058,200.00)	(644,075.00)	**2016 book tax diff -**			**2,414,125.00**
12/31/2016	-	-	-				

Regal Cinemas Stock

	SHS	Book Value (FMV @ contrib)	Tax Cost Basis (Historical)
2016 Initial Contribution	46,585	1,037,930.10	524,439.01

Other Securities

	SHS	Tax Cost Basis
12/31/2016	86.00	5,599.56

Total 12/31/16 Basis	**4,511,601.20**	4200 51
Total 12/31/16 Book Value	**7,499,554.92**	3000 20
	2,987,953 72	2016 diff B/W book and cost

STATEMENT A-3

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
CC	CHEMOURS CO/THE										
02/24/2015	Cash	14.00	19.485	272.79	22.09	309.26	0.01%	36.47	13.37%	1.68	0 54%
DD	E I DU PONT DE NEMOURS & CO										
02/24/2015	Cash	72.00	73.983	5,326.77	73.40	5,284.80	0.10%	(41.97)	(0.79)%	109.44	2 07%
RYAM	RAYONIER ADVANCED MATERIALS										
05/17/2007	Cash	558.993	23.035	12,876.24	15.46	8,642.03	0.16%	(4,234.21)	(32.88)%	156.52	1.81%
10/23/2008	Cash	2,499.966	16.488	41,218.28	15.46	38,649.47	0.70%	(2,568.81)	(6.23)%	699.99	1.81%
11/21/2008	Cash	2,499.966	14.782	36,953.88	15.46	38,649.47	0.70%	1,695.59	4.59%	699 99	1.81%
12/05/2008	Cash	2,499.966	14.526	36,314.02	15.46	38,649.47	0.70%	2,335.45	6.43%	699 99	1.81%
01/14/2010	Cash	999.852	23.799	23,795.25	15.46	15,457.71	0.28%	(8,337.54)	(35.04)%	279 96	1.81%
01/14/2010	Cash	1,649.978	23.799	39,267.44	15.46	25,508.66	0.46%	(13,758.78)	(35.04)%	461.99	1.81%
01/14/2010	Cash	26,376.311	23.799	627,723.77	15.46	407,777.77	7 38%	(219,946.00)	(35.04)%	7,385.37	1.81%
03/01/2010	Cash	2,382.968	22.679	54,044.41	15.46	36,840 69	0.67%	(17,203 72)	(31.83)%	667.23	1 81%

STATEMENT A-3

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
RYAM	RAYONIER ADVANCED MATERIALS		(CONTINUED FROM PREVIOUS PAGE)								
Total:		39,468.00	22.099	872,193.29	15.46	610,175.28	11.05%	(262,018.02)	(30.04)%	11,051.04	1.81%
RYN	RAYONIER INC										
01/29/2015	Cash	133,406.00	21.854	2,915,450.84	26.60	3,548,599.60	64.25%	633,148.76	21.72%	133,406.00	3.76%
02/27/2015	Cash	15,000.00	12.928	193,918.50	26.60	399,000.00	7.22%	205,081.50	105.76%	15,000.00	3.76%
Total:		148,406.00	20.952	3,109,369.34	26.60	3,947,599.60	71.48%	838,230.26	26.96%	148,406.00	3.76%
RGC	REGAL ENTERTAINMENT GROUP CLASS A										
11/25/2008	Cash	10,000.00	6.968	69,675.58	20.60	206,000.00	3.73%	136,324.42	195.66%	8,800.00	4 27%
01/16/2009	Cash	4,980.00	9.009	44,866.12	20.60	102,588.00	1.86%	57,721.88	128.65%	4,382 40	4.27%
01/14/2010	Cash	8,492.00	13.679	116,164.25	20.60	174,935.20	3.17%	58,770.95	50.59%	7,472 96	4.27%
02/03/2011	Cash	6,793.00	12.003	81,539.26	20 60	139,935.80	2.53%	58,396.54	71.62%	5,977.84	4.27%
01/11/2012	Cash	8,190.00	11.743	96,178.70	20.60	168,714.00	3.05%	72,535.30	75.42%	7,207.20	4.27%
01/11/2013	Cash	8,130.00	14.27	116,015.10	20.60	167,478.00	3 03%	51,462.90	44.36%	7,154.40	4 27%
Total:		46,585.00	11.258	524,439.01	20.60	959,651.00	17.38%	435,211.99	82.99%	40,994.80	4.27%
Total: Equities				4,511,601.20		5,523,019.94	100.00%	1,011,418.73	22.42%	200,562.96	3.63%
Total: Portfolio Value				4,511,601.20		5,523,019.94	100.00%	1,011,418.73	22.42%	200,562.96	3.63%

Alternative Investments which are externally held are included on the statement solely as a service to the client and are not covered by SIPC. Information (including valuation) is derived from the client or other external source for which Stephens is not responsible. If this account statement includes a per share estimated value for Direct Participation Program ("DPP") or Real Estate Investment Trust ("REIT") securities, these securities are not listed on a national securities exchange, are generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement. Additionally, the following notice is provided to holders of DPP and REIT securities for which this account statement includes a net investment per share estimated value: ***IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement.***

Annuities are held outside your Stephens account by the issuing insurance companies. Stephens is not the custodian for IRA annuities. Annuity information is provided by the insurance companies and Stephens cannot guarantee its accuracy. Please refer to statements sent by the insurance companies for annuity valuations, tax reporting information, IRA required minimum distributions, IRA fair market value reporting, and other details. Annuities are not covered by SIPC.

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Cash and Cash Equivalents											
	Federated Treasury Obligations AS-116			94,197.25		94,197.25	7.16%	0.00	0.00%	38.40	0.04%
Total: Cash and Cash Equivalents				94,197.25		94,197.25	7.16%	0.00	0.00%	38.40	0.04%
Equities											
MMM	3M COMPANY										
02/24/2015	Cash	28.00	169.14	4,735.92	178.57	4,999.96	0.38%	264.04	5.58%	124.32	2.49%
05/08/2015	Cash	4.00	161.34	645.36	178.57	714 28	0.05%	68.92	10.68%	17.76	2 49%
01/14/2016	Cash	4.00	141.86	567.44	178.57	714.28	0.05%	146.84	25.88%	17.76	2.49%
01/14/2016	Cash	5.00	141 72	708.60	178.57	892.85	0.07%	184.25	26.00%	22.20	2.49%
06/16/2016	Cash	145.00	168 14	24,380.30	178.57	25,892.65	1.97%	1,512.35	6.20%	643.80	2 49%
Total:		186.00	166.869	31,037.62	178.57	33,214.02	2.52%	2,176.40	7.01%	825.84	2.49%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
T	A T & T INC										
02/24/2015	Cash	164.00	34.08	5,589.09	42.53	6,974 92	0.53%	1,385.83	24.80%	321 44	4.61%
05/08/2015	Cash	21 00	33.66	706.86	42.53	893.13	0.07%	186.27	26 35%	41 16	4.61%
06/16/2016	Cash	725.00	40.39	29,282.75	42.53	30,834.25	2 34%	1,551 50	5 30%	1,421 00	4.61%
11/09/2016	Cash	53.00	37.27	1,975.31	42.53	2,254 09	0 17%	278.78	14.11%	103.88	4 61%
Total.		963.00	38.997	37,554.01	42.53	40,956.39	3.11%	3,402.38	9.06%	1,887.48	4.61%
ADNT	ADIENT PLC										
10/31/2016	Cash	3 779	45.979	173.75	58.60	221 44	0 02%	47.69	27.45%		
10/31/2016	Cash	19.221	45.98	883.79	58.60	1,126.36	0 09%	242.57	27.45%		
Total:		23.00	45.98	1,057.54	58.60	1,347.80	0.10%	290.26	27.45%		
MO	ALTRIA GROUP INC										
02/24/2015	Cash	80.00	55.82	4,465.58	67.62	5,409.60	0.41%	944.02	21.14%	195.20	3.61%
05/08/2015	Cash	13.00	52.35	680.55	67.62	879.06	0.07%	198.51	29.17%	31.72	3 61%
01/14/2016	Cash	20.00	58.57	1,171.40	67.62	1,352.40	0.10%	181.00	15 45%	48.80	3 61%
06/16/2016	Cash	488.00	65.61	32,017.68	67.62	32,998.56	2.51%	980.88	3.06%	1,190.72	3 61%
Total:		601.00	63.786	38,335.21	67.62	40,639.62	3.09%	2,304.41	6.01%	1,466.44	3.61%
AZN	ASTRAZENECA PLC SPONSORED ADR										
02/24/2015	Cash	80.00	34.685	2,774.80	27.32	2,185.60	0.17%	(589.20)	(21 23)%	109.60	5 01%
05/08/2015	Cash	10.00	35.105	351.05	27.32	273.20	0.02%	(77.85)	(22.18)%	13.70	5 01%
04/04/2016	Cash	64.00	28.58	1,829.12	27.32	1,748.48	0.13%	(80.64)	(4.41)%	87.68	5.01%
06/16/2016	Cash	755.00	27.347	20,646.76	27.32	20,626.60	1.57%	(20.16)	(0.10)%	1,034.35	5 01%
Total:		909.00	28.165	25,601.73	27.32	24,833.88	1.89%	(767.85)	(3.00)%	1,245.33	5.01%
BCE	BCE INC										
02/24/2015	Cash	126.00	44.26	5,576.72	43.24	5,448.24	0.41%	(128.48)	(2.30)%	266.79	4.71%
05/08/2015	Cash	16.00	44.30	708.80	43.24	691 84	0.05%	(16.96)	(2.39)%	32.61	4.71%
06/16/2016	Cash	540.00	45.19	24,402.60	43.24	23,349.60	1.77%	(1,053.00)	(4.32)%	1,100.52	4.71%
11/09/2016	Cash	116.00	43.92	5,094.72	43.24	5,015.84	0.38%	(78.88)	(1.55)%	236.41	4 71%

STATEMENT A-4

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
BCE		BCE INC (CONTINUED FROM PREVIOUS PAGE)										
	Total:		798.00	44.841	35,782.84	43.24	34,505.62	2.62%	(1,277.32)	(3.57)%	1,626.33	4.71%
BA		BOEING COMPANY										
05/12/2015		Cash	21.00	144.479	3,034.06	155.68	3,269.28	0.25%	235.22	7.75%	119 28	3 65%
05/06/2016		Cash	13.00	132.698	1,725.07	155.68	2,023.84	0.15%	298.77	17.32%	73.84	3.65%
06/16/2016		Cash	205.00	128.48	26,338.44	155.68	31,914.40	2.42%	5,575.96	21.17%	1,164.40	3 65%
	Total:		239.00	130.115	31,097.57	155.68	37,207.52	2.83%	6,109.95	19.65%	1,357.52	3.65%
CVX		CHEVRON CORP										
02/24/2015		Cash	55 00	107.82	5,930.10	117.70	6,473.50	0.49%	543.40	9.16%	237.60	3 67%
05/08/2015		Cash	7.00	108.44	759.08	117.70	823.90	0.06%	64.82	8.54%	30 24	3 67%
10/05/2015		Cash	15.00	83.36	1,250.40	117 70	1,765.50	0.13%	515.10	41.19%	64.80	3 67%
01/14/2016		Cash	5.00	84.96	424.80	117.70	588.50	0 04%	163.70	38 54%	21.60	3 67%
06/16/2016		Cash	320.00	99.86	31,955.20	117.70	37,664 00	2 86%	5,708.80	17.87%	1 382 40	3 67%
	Total:		402.00	100.297	40,319.58	117.70	47,315.40	3.59%	6,995.82	17.35%	1,736.64	3.67%
CB		CHUBB LTD										
09/16/2015		Cash	37.00	101.569	3,758 07	132.12	4,888 44	0.37%	1,130.37	30.08%	102 12	2 09%
12/10/2015		Cash	16.00	114.98	1,839 68	132.12	2,113 92	0.16%	274.24	14 91%	44 16	2 09%
06/16/2016		Cash	245.00	124.42	30,482.85	132.12	32,369 40	2.46%	1,886.55	6 19%	676 20	2 09%
	Total:		298.00	121.076	36,080.60	132.12	39,371.76	2.99%	3,291.16	9.12%	822.48	2.09%
CSCO		CISCO SYSTEMS										
02/24/2015		Cash	188 00	29.67	5,577.92	30.22	5,681.36	0.43%	103.44	1.85%	195.52	3 44%
05/08/2015		Cash	24 00	29.28	702.72	30.22	725 28	0.06%	22.56	3.21%	24.96	3 44%
04/04/2016		Cash	22 00	28 185	620.07	30.22	664.84	0.05%	44.77	7.22%	22 88	3 44%
06/16/2016		Cash	1,060.00	28.539	30,251.45	30.22	32,033.20	2.43%	1,781.75	5.89%	1,102.40	3 44%
	Total:		1,294.00	28.711	37,152.16	30.22	39,104.68	2.97%	1,952.62	5.26%	1,345.76	3.44%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
COP	CONOCOPHILLIPS										
02/24/2015	Cash	90.00	67.11	6,039.90	50.14	4,512.60	0 34%	(1,527.30)	(25 29)%	90 00	1.99%
05/08/2015	Cash	11.00	67.19	739.09	50.14	551.54	0 04%	(187.55)	(25.38)%	11.00	1.99%
01/14/2016	Cash	10.00	40.77	407.70	50.14	501.40	0.04%	93.70	22.98%	10.00	1.99%
01/14/2016	Cash	50.00	41.11	2,055.50	50.14	2,507.00	0.19%	451.50	21.97%	50.00	1.99%
06/16/2016	Cash	705.00	42.97	30,293.85	50.14	35,348.70	2.69%	5,054.85	16.69%	705.00	1.99%
Total:		866.00	45.654	39,536.04	50.14	43,421.24	3.30%	3,885.20	9.83%	866.00	1.99%
GLW	CORNING INCORPORATED										
02/24/2015	Cash	75.00	24.81	1,860.75	24.27	1,820.25	0.14%	(40.50)	(2.18)%	40.50	2.22%
05/08/2015	Cash	10.00	21.26	212.60	24.27	242.70	0.02%	30.10	14.16%	5.40	2.22%
04/04/2016	Cash	20.00	20.90	418.00	24.27	485.40	0.04%	67.40	16.12%	10.80	2.22%
05/06/2016	Cash	135.00	18.363	2,478.94	24.27	3,276.45	0.25%	797.51	32.17%	72.90	2.22%
06/16/2016	Cash	985.00	20.04	19,739.40	24.27	23,905 95	1.82%	4,166.55	21.11%	531.90	2.22%
Total:		1,225.00	20.171	24,709.69	24.27	29,730.75	2.26%	5,021.06	20.32%	661.50	2.22%
DEO	DIAGEO PLC-SPON ADR										
02/24/2015	Cash	39.00	119.49	4,660.11	103.94	4,053.66	0.31%	(606.45)	(13.01)%	121.52	3 00%
05/08/2015	Cash	5.00	110.89	554.45	103.94	519.70	0.04%	(34.75)	(6.27)%	15.58	3 00%
06/16/2016	Cash	260.00	102.266	26,589.21	103.94	27,024.40	2.05%	435.19	1.64%	810.16	3 00%
Total:		304.00	104.618	31,803.77	103.94	31,597.76	2.40%	(206.01)	(0.65)%	947.26	3.00%
LLY	ELI LILLY & COMPANY										
02/24/2015	Cash	33 00	70.91	2,340.03	73.55	2,427.15	0.18%	87.12	3.72%	68.64	2 83%
05/08/2015	Cash	10.00	73.67	736.70	73.55	735.50	0.06%	(1.20)	(0.16)%	20 80	2 83%
04/04/2016	Cash	18.00	73.27	1,318.86	73.55	1,323.90	0.10%	5.04	0.38%	37 44	2.83%
06/16/2016	Cash	275 00	73.71	20,270.25	73.55	20,226.25	1.54%	(44.00)	(0.22)%	572.00	2 83%
Total.		336.00	73.41	24,665.84	73.55	24,712.80	1.88%	46.96	0.19%	698.88	2.83%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
XOM	EXXON MOBIL CORPORATION										
09/24/2015	Cash	51.00	71.765	3,660.00	90.26	4,603 26	0.35%	943.26	25.77%	153.00	3 32%
12/09/2015	Cash	10.00	75.67	756.70	90.26	902.60	0.07%	145.90	19.28%	30.00	3.32%
06/16/2016	Cash	215.00	89.99	19,347.85	90.26	19,405.90	1.47%	58.05	0.30%	645.00	3.32%
Total:		276.00	86.103	23,764.55	90.26	24,911.76	1.89%	1,147.21	4.83%	828.00	3.32%
GE	GENERAL ELECTRIC COMPANY										
02/24/2015	Cash	227 00	25.45	5,777.08	31.60	7,173.20	0.54%	1,396.12	24.17%	217.92	3 04%
05/08/2015	Cash	29.00	27.49	797.21	31.60	916.40	0.07%	119.19	14.95%	27.84	3.04%
06/16/2016	Cash	1,005.00	30.24	30,390.70	31.60	31,758.00	2.41%	1,367.30	4 50%	964.80	3 04%
Total:		1,261.00	29.314	36,964.99	31.60	39,847.60	3.03%	2,882.61	7.80%	1,210.56	3.04%
HCP	HCP INC										
02/24/2015	Cash	132.00	42.057	5,551.56	29.72	3,923.04	0.30%	(1,628.52)	(29.33)%	195.36	4.98%
05/08/2015	Cash	17.00	40.055	680.93	29.72	505.24	0.04%	(175.69)	(25.80)%	25.16	4 98%
06/16/2016	Cash	380 00	34.80	13,224.00	29.72	11,293.60	0.86%	(1,930.40)	(14.60)%	562.40	4 98%
Total:		529.00	36.78	19,456.49	29.72	15,721.88	1.19%	(3,734.61)	(19.19)%	782.92	4.98%
HSBC	HSBC HOLDINGS PLC SPONSORED ADR										
02/24/2015	Cash	104.00	45.04	4,684.13	40.18	4,178.72	0.32%	(505 41)	(10.79)%	265 20	6 35%
05/08/2015	Cash	13.00	49.57	644.41	40.18	522.34	0.04%	(122.07)	(18.94)%	33.15	6.35%
06/16/2016	Cash	710.00	30.237	21,468.41	40.18	28,527.80	2.17%	7,059.39	32.88%	1,810.50	6 35%
Total:		827.00	32.403	26,796.95	40.18	33,228.86	2.52%	6,431.91	24.00%	2,108.85	6.35%
INTC	INTEL CORPORATION										
02/24/2015	Cash	109.00	34.46	3,756.11	36.27	3,953.43	0.30%	197.32	5.25%	113.36	2 87%
05/08/2015	Cash	14.00	32.58	456.12	36.27	507.78	0.04%	51 66	11.33%	14 56	2.87%
06/16/2016	Cash	660.00	31.376	20,708.29	36.27	23,938.20	1.82%	3,229.91	15.60%	686.40	2.87%
Total:		783.00	31.827	24,920.52	36.27	28,399.41	2.16%	3,478.89	13.96%	814.32	2.87%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
JNJ	JOHNSON AND JOHNSON										
02/24/2015	Cash	60.00	100.62	6,037.20	115.21	6,912.60	0.53%	875.40	14 50%	192.00	2.78%
05/08/2015	Cash	8.00	101.59	812.72	115.21	921.68	0.07%	108.96	13 41%	25.60	2.78%
06/16/2016	Cash	275.00	115.96	31,889.00	115.21	31,682.75	2.41%	(206.25)	(0.65)%	880.00	2.78%
Total:		343.00	112.941	38,738.92	115.21	39,517.03	3.00%	778.11	2.01%	1,097.60	2.78%
JCI	JOHNSON CONTROLS INTERNATIONAL PLC										
09/06/2016	Cash	41.789	47.74	1,995 01	41.19	1,721.29	0.13%	(273.72)	(13.72)%	41 79	2.43%
09/06/2016	Cash	192.211	47.74	9,176.15	41.19	7,917.17	0 60%	(1,258.98)	(13 72)%	192.21	2.43%
11/09/2016	Cash	284.00	42.978	12,205.70	41.19	11,697.96	0.89%	(507.74)	(4.16)%	284.00	2.43%
Total:		518.00	45.129	23,376.86	41.19	21,336.42	1.62%	(2,040.44)	(8.73)%	518.00	2.43%
JPM	JP MORGAN CHASE & CO										
02/24/2015	Cash	92 00	60.99	5,611 08	86.29	7,938.68	0.60%	2,327.60	41.48%	176.64	2.23%
05/08/2015	Cash	12.00	65.38	784.56	86.29	1,035.48	0.08%	250.92	31.98%	23.04	2.23%
01/14/2016	Cash	10.00	59.07	590.70	86.29	862.90	0.07%	272.20	46.08%	19.20	2.23%
06/16/2016	Cash	488.00	61.60	30,060.80	86.29	42,109.52	3.20%	12,048.72	40.08%	936.96	2.23%
Total:		602.00	61.54	37,047.14	86.29	51,946.58	3.95%	14,899.44	40.22%	1,155.84	2.23%
KMB	KIMBERLY-CLARK CORPORATION										
02/24/2015	Cash	42 00	110.415	4,637 43	114.12	4,793.04	0.36%	155.61	3.36%	154.56	3.22%
05/08/2015	Cash	5.00	111.05	555.25	114.12	570.60	0.04%	15.35	2.76%	18.40	3.22%
06/16/2016	Cash	185 00	132.35	24,484.75	114.12	21,112.20	1.60%	(3,372.55)	(13.77)%	680.80	3.22%
Total:		232.00	127.92	29,677.43	114.12	26,475.84	2.01%	(3,201.59)	(10.79)%	853.76	3.22%
MRK	MERCK & CO INC										
02/24/2015	Cash	25.00	55.671	1,391.77*	58.87	1,471.75	0.11%	79.98	5.75%	47.00	3 19%
02/24/2015	Cash	75.00	58.39	4,379.24	58.87	4,415 25	0.34%	36.01	0.82%	141.00	3.19%
05/08/2015	Cash	14.00	61.20	856.80	58.87	824.18	0.06%	(32.62)	(3.81)%	26.32	3.19%
04/04/2016	Cash	10.00	54.47	544.70	58.87	588.70	0.04%	44.00	8.08%	18.80	3.19%
06/16/2016	Cash	520.00	57.258	29,774.68	58.87	30,612.40	2.33%	837.72	2.81%	977.60	3 19%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
MRK	MERCK & CO INC (CONTINUED FROM PREVIOUS PAGE)										
Total:		644.00	57.371	36,947.19	58.87	37,912.28	2.88%	965.09	2.61%	1,210.72	3.19%
MET	METLIFE INC										
02/24/2015	Cash	92.00	51.44	4,732.48	53.89	4,957.88	0.38%	225.40	4.76%	147.20	2.97%
05/08/2015	Cash	12.00	52.03	624 36	53.89	646.68	0.05%	22.32	3.57%	19.20	2.97%
06/16/2016	Cash	640 00	41.418	26,507.78	53.89	34,489 60	2.62%	7,981.82	30.11%	1,024.00	2.97%
Total:		744.00	42.829	31,864.62	53.89	40,094.16	3.05%	8,229.54	25.83%	1,190.40	2.97%
MSFT	MICROSOFT CORP										
02/24/2015	Cash	16.00	44.13	706.08	62.14	994.24	0.08%	288.16	40.81%	24.96	2.51%
05/08/2015	Cash	16 00	47.75	764.00	62.14	994.24	0.08%	230.24	30.14%	24.96	2.51%
06/16/2016	Cash	600.00	49.94	29,964.00	62.14	37,284.00	2.83%	7,320.00	24.43%	936.00	2.51%
Total:		632.00	49.737	31,434.08	62.14	39,272.48	2.98%	7,838.40	24.94%	985.92	2.51%
NEE	NEXTERA ENERGY INC										
02/24/2015	Cash	53.00	106.27	5,632.31	119.46	6,331.38	0.48%	699.07	12.41%	184.44	2.91%
05/08/2015	Cash	7.00	101.65	711.55	119.46	836.22	0.06%	124.67	17.52%	24.36	2.91%
06/16/2016	Cash	235.00	124.81	29,330.35	119.46	28,073 10	2.13%	(1,257.25)	(4.29)%	817.80	2.91%
Total:		295.00	120.93	35,674.21	119.46	35,240.70	2.68%	(433.51)	(1.22)%	1,026.60	2.91%
NVS	NOVARTIS AG SPONSORED ADR										
11/09/2016	Cash	341.00	73.16	24,947.53	72.84	24,838.44	1.89%	(109.09)	(0.44)%	785.32	3.16%
PFE	PFIZER INCORPORATED										
02/24/2015	Cash	136.00	34.32	4,667.48	32.48	4,417 28	0.34%	(250.20)	(5.36)%	174.08	3.94%
05/08/2015	Cash	17.00	34.11	579.87	32.48	552.16	0.04%	(27.71)	(4.78)%	21.76	3.94%
07/27/2015	Cash	13.00	34.14	443.82	32.48	422.24	0.03%	(21.58)	(4.86)%	16.64	3.94%
06/16/2016	Cash	900.00	34.69	31,221.00	32.48	29,232.00	2.22%	(1,989.00)	(6.37)%	1,152 00	3.94%
Total:		1,066.00	34.627	36,912.17	32.48	34,623.68	2.63%	(2,288.49)	(6.20)%	1,364.48	3.94%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
PM	PHILIP MORRIS INTERNATIONAL INC										
02/24/2015	Cash	67.00	83.58	5,599.86	91.49	6,129 83	0 47%	529.97	9.46%	278.72	4.55%
05/08/2015	Cash	8.00	85.73	685.84	91.49	731.92	0.06%	46.08	6.72%	33.28	4 55%
06/16/2016	Cash	295.00	99 62	29,387.90	91.49	26,989.55	2.05%	(2,398.35)	(8 16)%	1,227.20	4 55%
Total:		370.00	96.416	35,673.60	91.49	33,851.30	2.57%	(1,822.30)	(5.11)%	1,539.20	4.55%
RTN	RAYTHEON COMPANY										
06/16/2016	Cash	214.00	135.47	28,990.58	142.00	30,388.00	2 31%	1,397 42	4.82%	627.02	2 06%
RDS B	ROYAL DUTCH SHELL PLC SPONSORED ADR - CLASS B										
02/24/2015	Cash	81.00	68.58	5,554.98	57.97	4,695.57	0.36%	(859.41)	(15.47)%	304 56	6 49%
05/08/2015	Cash	10 00	64.99	649.90	57.97	579.70	0.04%	(70.20)	(10.80)%	37.60	6.49%
06/16/2016	Cash	660 00	49.69	32,795.40	57.97	38,260.20	2.91%	5,464.80	16.66%	2,481.60	6 49%
Total:		751.00	51.931	39,000.28	57.97	43,535.47	3.31%	4,535.19	11.63%	2,823.76	6.49%
TRV	THE TRAVELERS COMPANIES INC										
02/24/2015	Cash	29.00	107.71	3,123.59	122.42	3,550.18	0.27%	426 59	13.66%	77.72	2 19%
05/08/2015	Cash	6.00	104.01	624.06	122.42	734.52	0.06%	110.46	17.70%	16.08	2.19%
06/16/2016	Cash	240.00	111.98	26,875.20	122.42	29,380 80	2.23%	2,505.60	9.32%	643.20	2.19%
Total:		275.00	111.356	30,622.85	122.42	33,665.50	2.56%	3,042.65	9.94%	737.00	2.19%
UN	UNILEVER NV NY REGISTRY SHS										
02/24/2015	Cash	129.00	43.50	5,611.47	41.06	5,296.74	0.40%	(314.73)	(5.61)%	153.77	2.90%
05/08/2015	Cash	16.00	44.33	709.28	41.06	656.96	0.05%	(52.32)	(7.38)%	19.07	2.90%
01/14/2016	Cash	10.00	41.04	410.40	41.06	410.60	0.03%	0.20	0.05%	11.92	2.90%
06/16/2016	Cash	708.00	43.00	30,444.00	41.06	29,070.48	2.21%	(1,373.52)	(4.51)%	843.94	2.90%
Total:		863.00	43.077	37,176.15	41.06	35,434.78	2.69%	(1,740.37)	(4.68)%	1,028.70	2.90%
WFC	WELLS FARGO & COMPANY										
03/25/2015	Cash	66.00	54.729	3,612.09	55.11	3,637.26	0.28%	25.17	0.70%	100.32	2.76%
05/08/2015	Cash	8.00	55.67	445.36	55.11	440.88	0.03%	(4.48)	(1.01)%	12.16	2.76%

STATEMENT A-4

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
WFC	WELLS FARGO & COMPANY	(CONTINUED FROM PREVIOUS PAGE)									
06/15/2015	Cash	33.00	56.74	1,872.42	55.11	1,818.63	0.14%	(53.79)	(2.87)%	50.16	2.76%
12/09/2015	Cash	15.00	54.14	812.10	55.11	826.65	0.06%	14.55	1.79%	22.80	2.76%
01/14/2016	Cash	15.00	50.62	759.30	55.11	826.65	0.06%	67.35	8.87%	22.80	2.76%
06/16/2016	Cash	660.00	46.359	30,597.07	55.11	36,372.60	2.76%	5,775.53	18.88%	1,003.20	2.76%
Total:		797.00	47.802	38,098.34	55.11	43,922.67	3.34%	5,824.33	15.29%	1,211.44	2.76%
HCN	WELLTOWER INC										
02/24/2015	Cash	73.00	76.962	5,618.25	66.93	4,885.89	0.37%	(732.36)	(13.04)%	251.12	5.14%
05/08/2015	Cash	9.00	72.574	653.17	66.93	602.37	0.05%	(50.80)	(7.78)%	30.96	5.14%
06/16/2016	Cash	290.00	73.483	21,310.10	66.93	19,409.70	1.47%	(1,900.40)	(8.92)%	997.60	5.14%
11/09/2016	Cash	226.00	63.274	14,299.99	66.93	15,126.18	1.15%	826.19	5.78%	777.44	5.14%
Total:		598.00	70.036	41,881.51	66.93	40,024.14	3.04%	(1,857.37)	(4.43)%	2,057.12	5.14%
Total: Equities				1,144,700.16		1,222,148.12	92.84%	77,447.96	6.77%	41,444.99	3.39%
Total: Portfolio Value				1,238,897.41		1,316,345.37	100.00%	77,447.96	6.25%	41,483.39	3.15%

Alternative Investments which are externally held are included on the statement solely as a service to the client and are not covered by SIPC. Information (including valuation) is derived from the client or other external source for which Stephens is not responsible. If this account statement includes a per share estimated value for Direct Participation Program ("DPP") or Real Estate Investment Trust ("REIT") securities, these securities are not listed on a national securities exchange, are generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement. Additionally, the following notice is provided to holders of DPP and REIT securities for which this account statement includes a net investment per share estimated value: ***IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement.***

Annuities are held outside your Stephens account by the issuing insurance companies Stephens is not the custodian for IRA annuities. Annuity information is provided by the insurance companies and Stephens cannot guarantee its accuracy. Please refer to statements sent by the insurance companies for annuity valuations, tax reporting information, IRA required minimum distributions, IRA fair market value reporting, and other details. Annuities are not covered by SIPC

Valuation of securities is obtained from independent pricing services, the issuer or other sources that we consider reliable. Some prices are approximations and in some cases prices may not accurately reflect prevailing market quotes, especially for fixed income securities, illiquid securities, securities that are not actively traded and investments held outside your account such as annuities and alternative investments. We do not undertake to independently verify reported prices. The Current Price of securities is rounded to three decimal places. The Current Value of securities is calculated with the unrounded price. The valuations shown on your statement are provided

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Cash and Cash Equivalents											
	Federated Treasury Obligations AS-115			32,845.83		32,845.83	2.54%	0.00	0.00%	13.39	0.04%
Total: Cash and Cash Equivalents				32,845.83		32,845.83	2.54%	0.00	0.00%	13.39	0.04%
Equities											
T	A T & T INC										
02/24/2015	Cash	95.00	34.04	3,233.80	42.53	4,040 35	0.31%	806.55	24.94%	186.20	4.61%
05/08/2015	Cash	11 00	33.62	369.82	42.53	467 83	0.04%	98.01	26.50%	21 56	4.61%
01/13/2016	Cash	50.00	33.882	1,694.08	42.53	2,126.50	0.16%	432.42	25.53%	98 00	4 61%
06/16/2016	Cash	730 00	40.52	29,579.60	42.53	31,046.90	2 40%	1,467.30	4.96%	1,430.80	4.61%
Total:		886.00	39.365	34,877.30	42.53	37,681.58	2.92%	2,804.28	8.04%	1,736 56	4.61%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
ABT	ABBOTT LABORATORIES INC										
06/23/2016	Cash	300.00	39.318	11,795.40	38.41	11,523.00	0.89%	(272.40)	(2.31)%	318.00	2 76%
ABBV	ABBVIE INC										
02/24/2015	Cash	65.00	61.06	3,968.90	62.62	4,070.30	0.31%	101.40	2.55%	166.40	4.09%
05/08/2015	Cash	7.00	65.43	458.01	62.62	438.34	0.03%	(19.67)	(4.29)%	17.92	4.09%
10/26/2015	Cash	44.00	51.859	2,281.79	62.62	2,755.28	0.21%	473.49	20.75%	112.64	4.09%
06/16/2016	Cash	558.00	60.846	33,952.29	62.62	34,941.96	2.70%	989.67	2.91%	1,428.48	4.09%
Total:		674.00	60.328	40,660.99	62.62	42,205.88	3.27%	1,544.89	3.80%	1,725.44	4.09%
BBT	B B & T CORP										
10/14/2016	Cash	330.00	37.99	12,536.57	47.02	15,516.60	1.20%	2,980.03	23.77%	396.00	2 55%
10/19/2016	Cash	330.00	38.92	12,843.47	47.02	15,516.60	1.20%	2,673.13	20.81%	396.00	2 55%
Total:		660.00	38.455	25,380.04	47.02	31,033.20	2.40%	5,653.16	22.27%	792.00	2.55%
BMO	BANK OF MONTREAL										
02/24/2015	Cash	50.00	60.82	3,041.00	71 92	3,596.00	0.28%	555.00	18.25%	132.60	3.69%
05/08/2015	Cash	6.00	64.45	386.70	71.92	431.52	0.03%	44.82	11.59%	15.91	3 69%
06/16/2016	Cash	260.00	62.71	16,304.60	71.92	18,699.20	1.45%	2,394.60	14.69%	689.52	3.69%
Total:		316.00	62.444	19,732.30	71.92	22,726.72	1.76%	2,994.42	15.18%	838.03	3.69%
BCE	BCE INC										
02/24/2015	Cash	35.00	44.29	1,550.15	43.24	1,513.40	0.12%	(36.75)	(2.37)%	71.33	4.71%
05/08/2015	Cash	4.00	44.28	177.12	43.24	172.96	0.01%	(4.16)	(2.35)%	8.15	4.71%
06/16/2016	Cash	185.00	45.35	8,389.75	43.24	7,999.40	0.62%	(390.35)	(4.65)%	377.03	4.71%
Total:		224.00	45.165	10,117.02	43.24	9,686.76	0.75%	(431.26)	(4.26)%	456.51	4.71%
CSCO	CISCO SYSTEMS										
02/24/2015	Cash	135.00	29.605	3,996.68	30.22	4,079.70	0.32%	83.02	2.08%	140.40	3.44%
05/08/2015	Cash	15.00	29.27	439.05	30.22	453.30	0.04%	14.25	3.25%	15.60	3.44%
06/15/2015	Cash	88.00	28.38	2,497.41	30.22	2,659.36	0.21%	161.95	6 48%	91.52	3 44%

STATEMENT A-5

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
CSCO		CISCO SYSTEMS	(CONTINUED FROM PREVIOUS PAGE)									
06/16/2016		Cash	1,290.00	28.62	36,919.80	30.22	38,983.80	3.02%	2,064.00	5.59%	1,341.60	3.44%
	Total·		1,528.00	28.70	43,852.94	30.22	46,176.16	3.57%	2,323.22	5.30%	1,589.12	3.44%
CME		CME GROUP INC										
12/17/2015		Cash	39.00	95.641	3,730.00	115.35	4,498.65	0.35%	768.65	20 61%	93 60	2.08%
06/16/2016		Cash	190.00	94.38	17,932.20	115.35	21,916 50	1.70%	3,984.30	22.22%	456.00	2.08%
	Total:		229.00	94.595	21,662.20	115.35	26,415.15	2.04%	4,752.95	21.94%	549.60	2.08%
COH		COACH INC										
02/24/2015		Cash	110.00	42.925	4,721.75	35.02	3,852.20	0.30%	(869.55)	(18.42)%	148.50	3.85%
05/08/2015		Cash	12.00	38.69	464.28	35.02	420.24	0.03%	(44.04)	(9.49)%	16.20	3 85%
06/16/2016		Cash	650.00	38.20	24,830.00	35.02	22,763.00	1.76%	(2,067.00)	(8.32)%	877.50	3.85%
	Total:		772.00	38.881	30,016.03	35.02	27,035.44	2.09%	(2,980.59)	(9.93)%	1,042.20	3.85%
CVA		COVANTA HOLDING CORP										
02/24/2015		Cash	80.00	21.166	1,693.31	15.60	1,248.00	0.10%	(445.31)	(26 30)%	80.00	6.41%
05/08/2015		Cash	9.00	21.104	189.94	15.60	140.40	0.01%	(49.54)	(26.08)%	9 00	6.41%
06/16/2016		Cash	480.00	15.996	7,677.98	15.60	7,488.00	0.58%	(189.98)	(2 47)%	480.00	6.41%
	Total:		569.00	16.804	9,561.23	15.60	8,876.40	0.69%	(684.83)	(7.16)%	569.00	6.41%
CBRL		CRACKER BARREL OLD COUNTRY STORE INC										
11/01/2016		Cash	178.00	134.534	23,946.98	166.98	29,722.44	2.30%	5,775.46	24.12%	818.80	2.75%
CCI		CROWN CASTLE INTERNATIONAL CORP										
11/17/2016		Cash	293 00	84.796	24,845.32	86 77	25,423.61	1 97%	578.29	2.33%	1,113 40	4 38%
DLR		DIGITAL REALTY TRUST INC										
02/24/2015		Cash	62.00	66.75	4,138.50	98 26	6,092.12	0 47%	1,953 62	47 21%	218 24	3.58%
05/08/2015		Cash	8.00	66.17	529.36	98.26	786.08	0.06%	256.72	48.50%	28 16	3.58%
06/16/2016		Cash	285.00	101.023	28,791.56	98.26	28,004.10	2.17%	(787.46)	(2.74)%	1,003.20	3.58%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
DLR	DIGITAL REALTY TRUST INC (CONTINUED FROM PREVIOUS PAGE)										
Total:		355.00	94.252	33,459.42	98.26	34,882.30	2.70%	1,422.88	4.25%	1,249.60	3.58%
UFS	DOMTAR CORP										
02/24/2015	Cash	40.00	43.74	1,749.60	39.03	1,561.20	0.12%	(188.40)	(10.77)%	66.40	4.25%
03/17/2015	Cash	46.00	44.929	2,066.74	39.03	1,795.38	0.14%	(271.36)	(13.13)%	76.36	4.25%
05/08/2015	Cash	10.00	42.70	427.00	39.03	390.30	0.03%	(36.70)	(8.59)%	16.60	4.25%
06/16/2016	Cash	575.00	36.49	20,981.69	39.03	22,442.25	1.74%	1,460.56	6.96%	954.50	4.25%
Total:		671.00	37.593	25,225.03	39.03	26,189.13	2.03%	964.10	3.82%	1,113.86	4.25%
DFT	DUPONT FABROS TECHNOLOGY										
10/21/2016	Cash	288.00	43.513	12,531.72	43.93	12,651.84	0.98%	120.12	0.96%	576.00	4.55%
ETN	EATON CORP PLC										
02/24/2015	Cash	48.00	69.50	3,336.00	67.09	3,220.32	0.25%	(115.68)	(3.47)%	109.44	3.40%
05/08/2015	Cash	5.00	70.92	354.60	67.09	335.45	0.03%	(19.15)	(5.40)%	11.40	3.40%
06/16/2016	Cash	245.00	60.235	14,757.58	67.09	16,437.05	1.27%	1,679.47	11.38%	558.60	3.40%
Total:		298.00	61.907	18,448.18	67.09	19,992.82	1.55%	1,544.64	8.37%	679.44	3.40%
GE	GENERAL ELECTRIC COMPANY										
06/16/2016	Cash	1,302.00	30.36	39,528.72	31.60	41,143.20	3.18%	1,614.48	4.08%	1,249.92	3.04%
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR										
02/24/2015	Cash	80.00	47.75	3,820.00	38.51	3,080.80	0.24%	(739.20)	(19.35)%	165.36	5.37%
05/08/2015	Cash	9.00	45.53	409.77	38.51	346.59	0.03%	(63.18)	(15.42)%	18.60	5.37%
02/29/2016	Cash	40.00	39.003	1,560.10	38.51	1,540.40	0.12%	(19.70)	(1.26)%	82.68	5.37%
06/16/2016	Cash	770.00	40.199	30,953.00	38.51	29,652.70	2.29%	(1,300.30)	(4.20)%	1,591.59	5.37%
Total:		899.00	40.871	36,742.87	38.51	34,620.49	2.68%	(2,122.38)	(5.78)%	1,858.23	5.37%
HPT	HOSPITALITY PROPERTIES TRUST										
02/24/2015	Cash	130.00	31.021	4,032.74	31.74	4,126.20	0.32%	93.46	2.32%	265.20	6.43%
05/08/2015	Cash	15.00	30.574	458.61	31.74	476.10	0.04%	17.49	3.81%	30.60	6.43%

STATEMENT A-5

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
HPT		HOSPITALITY PROPERTIES TRUST		(CONTINUED FROM PREVIOUS PAGE)								
06/16/2016		Cash	755.00	26.439	19,961.22	31.74	23,963 70	1.85%	4,002.48	20.05%	1,540.20	6 43%
	Total·		900.00	27.17	24,452.57	31.74	28,566.00	2.21%	4,113.43	16.82%	1,836.00	6.43%
IBM		INTERNATIONAL BUSINESS MACHINES INCORPORATED										
07/21/2016		Cash	157.00	161.159	25,301.95	165.99	26,060 43	2.02%	758 48	3.00%	879.20	3.37%
JNJ		JOHNSON AND JOHNSON										
02/24/2015		Cash	55.00	100.50	5,527.50	115.21	6,336.55	0 49%	809 05	14.64%	176 00	2 78%
05/08/2015		Cash	6 00	101.58	609 48	115.21	691.26	0.05%	81.78	13.42%	19.20	2 78%
06/16/2016		Cash	300.00	116.53	34,959.00	115.21	34,563.00	2.67%	(396.00)	(1 13)%	960 00	2.78%
	Total·		361.00	113.839	41,095.98	115.21	41,590.81	3.22%	494.83	1.20%	1,155.20	2.78%
JCI		JOHNSON CONTROLS INTERNATIONAL PLC										
09/06/2016		Cash	75.982	47.74	3,627.36	41.19	3,129 68	0.24%	(497.68)	(13.72)%	75.98	2 43%
09/06/2016		Cash	218.953	47.74	10,452.84	41.19	9,018.69	0.70%	(1,434.14)	(13.72)%	218.95	2 43%
09/06/2016		Cash	376.065	47.74	17,953.34	41.19	15,490.12	1.20%	(2,463.23)	(13.72)%	376.07	2.43%
	Total:		671.00	47.74	32,033.54	41.19	27,638.49	2.14%	(4,395.05)	(13.72)%	671.00	2.43%
LB		L BRANDS INC										
02/11/2016		Cash	50.00	83.90	4,195.00	65.84	3,292.00	0.25%	(903.00)	(21.53)%	120.00	3.65%
06/16/2016		Cash	390.00	67.56	26,348.40	65.84	25,677 60	1.99%	(670.80)	(2 55)%	936 00	3.65%
	Total:		440.00	69.417	30,643.40	65.84	28,969.60	2.24%	(1,573.80)	(5.15)%	1,056.00	3.65%
LAMR		LAMAR ADVERTISING COMPANY - A										
02/24/2015		Cash	51.00	57.986	2,957 30	67.24	3,429.24	0.27%	471.94	15.96%	155.04	4.52%
05/08/2015		Cash	12.00	59.539	714.47	67.24	806.88	0.06%	92.41	12.93%	36.48	4 52%
06/16/2016		Cash	315.00	63.50	20,002.50	67.24	21,180 60	1.64%	1,178.10	5.89%	957.60	4.52%
	Total:		378.00	62.63	23,674.27	67.24	25,416.72	1.97%	1,742.45	7.36%	1,149.12	4.52%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
LYB	LYONDELLBASELL INDUSTRIES NV										
02/24/2015	Cash	51.00	91.54	4,668.54	85.78	4,374.78	0.34%	(293.76)	(6.29)%	173.40	3.96%
05/08/2015	Cash	6.00	104.67	628.02	85.78	514.68	0.04%	(113.34)	(18.05)%	20.40	3.96%
06/16/2016	Cash	345.00	78.88	27,213.60	85.78	29,594.10	2.29%	2,380 50	8.75%	1,173.00	3.96%
Total:		402.00	80.871	32,510.16	85.78	34,483.56	2.67%	1,973.40	6.07%	1,366.80	3.96%
MRK	MERCK & CO INC										
02/24/2015	Cash	110.00	58.33	6,416 28	58.87	6,475.70	0.50%	59.42	0.93%	206.80	3.19%
05/08/2015	Cash	12.00	61.20	734.40	58.87	706.44	0.05%	(27.96)	(3.81)%	22.56	3 19%
06/16/2016	Cash	625.00	57.34	35,837.50	58.87	36,793.75	2.85%	956.25	2.67%	1,175.00	3.19%
Total:		747.00	57.548	42,988.18	58.87	43,975.89	3.40%	987.71	2.30%	1,404.36	3.19%
MCHP	MICROCHIP TECHNOLOGY INC										
02/24/2015	Cash	88.00	51.102	4,496 95	64.15	5,645 20	0 44%	1,148.25	25.53%	126.90	2 25%
05/08/2015	Cash	10.00	48.092	480.92	64.15	641.50	0.05%	160.58	33.39%	14.42	2.25%
06/16/2016	Cash	465 00	51.45	23,924 25	64.15	29,829.75	2.31%	5,905.50	24.68%	670.53	2 25%
Total:		563.00	51.336	28,902.12	64.15	36,116.45	2.79%	7,214.33	24.96%	811.85	2.25%
NVS	NOVARTIS AG SPONSORED ADR										
02/24/2015	Cash	40 00	102 63	4,105.20	72.84	2,913.60	0.23%	(1,191 60)	(29.03)%	92.12	3.16%
05/08/2015	Cash	4.00	103.40	413.60	72 84	291.36	0.02%	(122.24)	(29.56)%	9 21	3.16%
06/16/2016	Cash	300.00	76.47	22,941.00	72.84	21,852.00	1.69%	(1,089.00)	(4.75)%	690.90	3.16%
Total:		344.00	79.825	27,459.80	72.84	25,056.96	1.94%	(2,402.84)	(8.75)%	792.23	3.16%
OHI	OMEGA HEALTHCARE INVESTORS INC										
02/24/2015	Cash	50.00	39.168	1,958.39	31.26	1,563.00	0.12%	(395.39)	(20.19)%	122.00	7 81%
05/08/2015	Cash	6.00	35.657	213.94	31.26	187.56	0.01%	(26.38)	(12.33)%	14.64	7 81%
06/16/2016	Cash	340 00	32.93	11,196.20	31.26	10,628.40	0.82%	(567.80)	(5.07)%	829.60	7.81%
Total:		396.00	33.759	13,368.53	31.26	12,378.96	0.96%	(989.57)	(7.40)%	966.24	7.81%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
OKE	ONEOK INC										
02/24/2015	Cash	148.00	45.10	6,674.77	57.41	8,496.68	0.66%	1,821.91	27.30%	364.08	4.28%
05/08/2015	Cash	17.00	44.49	756.33	57.41	975.97	0.08%	219.64	29.04%	41.82	4.28%
06/16/2016	Cash	390.00	44.672	17,422.20	57.41	22,389.90	1.73%	4,967.70	28.51%	959.40	4.28%
Total:		555.00	44.781	24,853.30	57.41	31,862.55	2.47%	7,009.25	28.20%	1,365.30	4.28%
PACW	PACWEST BANCORP										
03/29/2016	Cash	51.00	36.523	1,862.68	54.44	2,776.44	0.21%	913.76	49.06%	102.00	3.67%
06/16/2016	Cash	255.00	38.37	9,784.35	54.44	13,882.20	1.07%	4,097.85	41.88%	510.00	3.67%
Total:		306.00	38.062	11,647.03	54.44	16,658.64	1.29%	5,011.61	43.03%	612.00	3.67%
PBA	PEMBINA PIPELINE CORP										
02/24/2015	Cash	55.00	31.85	1,751.75	31.32	1,722.60	0.13%	(29.15)	(1.66)%	79.53	4.62%
05/08/2015	Cash	6.00	34.42	206.52	31.32	187.92	0.01%	(18.60)	(9.01)%	8.68	4.62%
06/16/2016	Cash	215.00	29.745	6,395.24	31.32	6,733.80	0.52%	338.56	5.29%	310.89	4.62%
Total:		276.00	30.266	8,353.51	31.32	8,644.32	0.67%	290.81	3.48%	399.10	4.62%
PFE	PFIZER INCORPORATED										
02/24/2015	Cash	100.00	34.23	3,422.97	32.48	3,248.00	0.25%	(174.97)	(5.11)%	128.00	3.94%
05/08/2015	Cash	11.00	34.13	375.43	32.48	357.28	0.03%	(18.15)	(4.83)%	14.08	3.94%
03/29/2016	Cash	71.00	29.89	2,122.17	32.48	2,306.08	0.18%	183.91	8.67%	90.88	3.94%
06/16/2016	Cash	860.00	34.639	29,789.37	32.48	27,932.80	2.16%	(1,856.57)	(6.23)%	1,100.80	3.94%
Total:		1,042.00	34.271	35,709.94	32.48	33,844.16	2.62%	(1,866.78)	(5.22)%	1,333.76	3.94%
PAGP	PLAINS GP HOLDINGS LP - CLASS A										
07/19/2016	Cash	1,048.00	31.423	32,931.33	34.68	36,344.64	2.81%	3,413.31	10.36%	2,305.60	6.34%
PG	PROCTER & GAMBLE COMPANY										
06/16/2016	Cash	295.00	83.29	24,570.55	84.08	24,803.60	1.92%	233.05	0.95%	790.01	3.19%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
QCOM	QUALCOMM INC										
02/19/2016	Cash	40.00	49.08	1,963.20	65.20	2,608.00	0.20%	644.80	32.84%	84.80	3.25%
02/24/2016	Cash	40.00	50.869	2,034.74	65.20	2,608.00	0 20%	573.26	28 17%	84.80	3 25%
06/16/2016	Cash	705.00	52.93	37,315.72	65.20	45,966.00	3 56%	8,650.28	23.18%	1,494.60	3 25%
Total:		785.00	52.629	41,313.66	65.20	51,182.00	3.96%	9,868.34	23.89%	1,664.20	3.25%
SE	SPECTRA ENERGY CORP										
02/24/2015	Cash	108.00	36.079	3,896.48	41.09	4,437.72	0.34%	541.24	13.89%	174.96	3.94%
05/08/2015	Cash	22.00	36.81	809.82	41.09	903.98	0.07%	94.16	11 63%	35.64	3.94%
06/16/2016	Cash	890.00	33.50	29,815.00	41.09	36,570.10	2.83%	6,755.10	22.66%	1,441.80	3.94%
Total:		1,020.00	33.844	34,521.30	41.09	41,911.80	3.24%	7,390.50	21.41%	1,652.40	3.94%
TD	TORONTO-DOMINION BANK										
12/21/2015	Cash	45.00	39.252	1,766.36	49.34	2,220.30	0.17%	453.94	25 70%	73.71	3.32%
02/29/2016	Cash	45.00	38.85	1,748.25	49.34	2,220.30	0.17%	472.05	27.00%	73.71	3.32%
06/16/2016	Cash	465.00	42.84	19,920.60	49.34	22,943 10	1.78%	3,022.50	15.17%	761.67	3.32%
Total:		555.00	42.226	23,435.21	49.34	27,383.70	2.12%	3,948.49	16.85%	909.09	3.32%
UPS	UNITED PARCEL SERVICE - CL B										
02/04/2016	Cash	40.00	96.122	3,844.88	114.64	4,585.60	0.35%	740.72	19.27%	124 80	2.72%
06/16/2016	Cash	190.00	103.765	19,715.29	114.64	21,781.60	1.69%	2,066.31	10.48%	592.80	2.72%
Total:		230.00	102.436	23,660.17	114.64	26,367.20	2.04%	2,807.03	11.91%	717.60	2.72%
VTR	VENTAS INC										
02/24/2015	Cash	55.00	65.438	3,599.08	62.52	3,438.60	0.27%	(160.48)	(4.46)%	170.50	4.96%
05/08/2015	Cash	6.00	61.182	367.09	62.52	375.12	0.03%	8.03	2.19%	18.60	4.96%
06/16/2016	Cash	285.00	69.50	19,807.36	62.52	17,818.20	1.38%	(1,989.16)	(10.04)%	883.50	4 96%
Total:		346.00	68.71	23,773.63	62.52	21,631.92	1.67%	(2,141.61)	(9.01)%	1,072.60	4.96%

STATEMENT A-5

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
VZ	VERIZON COMMUNICATIONS										
02/24/2015	Cash	45.00	49.29	2,218.05	53.38	2,402.10	0.19%	184.05	8.30%	103.95	4.33%
05/08/2015	Cash	5.00	50.09	250.45	53.38	266.90	0.02%	16.45	6.57%	11.55	4.33%
06/16/2016	Cash	240.00	53.38	12,811.20	53.38	12,811.20	0.99%	0.00	0.00%	554 40	4.33%
Total:		290.00	52.689	15,279.70	53.38	15,480.20	1.20%	200.50	1.31%	669.90	4.33%
VOD	VODAFONE GROUP PLC SPONSORED ADR										
02/24/2015	Cash	94.00	34.81	3,272.14	24.43	2,296.42	0.18%	(975.72)	(29.82)%	140.34	6.11%
05/08/2015	Cash	11.00	35.99	395.89	24.43	268.73	0.02%	(127.16)	(32.12)%	16.42	6.11%
06/16/2016	Cash	660.00	30.209	19,937.61	24.43	16,123.80	1.25%	(3,813.81)	(19.13)%	985.38	6.11%
09/21/2016	Cash	508.00	29.64	15,057.02	24.43	12,410.44	0.96%	(2,646.58)	(17.58)%	758.44	6.11%
Total:		1,273.00	30.371	38,662.66	24.43	31,099.39	2.41%	(7,563.27)	(19.56)%	1,900.58	6.11%
WDC	WESTERN DIGITAL CORPORATION										
07/11/2016	Cash	507.00	48.93	24,807.51	67.95	34,450.65	2.67%	9,643.14	38.87%	1,014.00	2.94%
WY	WEYERHAEUSER COMPANY										
02/24/2015	Cash	158.00	32.58	5,147.70*	30 09	4,754.22	0.37%	(393.48)	(7.64)%	195.92	4.12%
05/08/2015	Cash	18.00	30.161	542.89*	30.09	541.62	0.04%	(1.27)	(0.23)%	22.32	4.12%
05/27/2015	Cash	79.00	30.331	2,396.11*	30.09	2,377.11	0.18%	(19.00)	(0.79)%	97.96	4.12%
06/16/2016	Cash	1,065.00	28.42	30,267.30	30.09	32,045.85	2.48%	1,778.55	5.88%	1,320.60	4.12%
Total:		1,320.00	29.056	38,354.00	30.09	39,718.80	3.07%	1,364.80	3.56%	1,636.80	4.12%
Total: Equities				1,186,487.59		1,259,622.56	97.46%	73,134.97	6.16%	48,406.65	3.84%
Total: Portfolio Value				1,219,333.42		1,292,468.39	100.00%	73,134.97	6.00%	48,420.04	3.75%

Alternative Investments which are externally held are included on the statement solely as a service to the client and are not covered by SIPC. Information (including valuation) is derived from the client or other external source for which Stephens is not responsible. If this account statement includes a per share estimated value for Direct Participation Program ("DPP") or Real Estate Investment Trust ("REIT") securities, these securities are not listed on a national securities exchange, are generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement. Additionally, the following

STATEMENT A-6

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Cash and Cash Equivalents											
	Federated Treasury Obligations AS-115			13,640.89		13,640.89	2.20%	0.00	0.00%	5.56	0.04%
Total: Cash and Cash Equivalents				13,640.89		13,640.89	2.20%	0.00	0.00%	5.56	0.04%
Equities											
T	A T & T INC										
02/24/2015	Cash	220.00	34.03	7,486.58	42.53	9,356.60	1.51%	1,870.04	24.98%	431.20	4.61%
05/08/2015	Cash	43.00	33.70	1,449.10	42.53	1,828.79	0 30%	379.69	26.20%	84.28	4.61%
02/03/2016	Cash	34.00	36.731	1,248.87	42.53	1,446.02	0.23%	197.15	15.79%	66.64	4.61%
06/16/2016	Cash	440.00	40.42	17,784.80	42.53	18,713.20	3.02%	928.40	5.22%	862 40	4.61%
Total:		737.00	37.95	27,969.33	42.53	31,344.61	5.06%	3,375.28	12.07%	1,444.52	4.61%

STATEMENT A-6

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
ABBV	ABBVIE INC										
01/06/2016	Cash	53 00	57.346	3,039.36	62.62	3,318.86	0.54%	279.50	9.20%	135.68	4.09%
02/03/2016	Cash	51.00	56.57	2,885.06	62.62	3,193.62	0.52%	308.56	10.70%	130.56	4.09%
06/16/2016	Cash	220 00	60.54	13,318.80	62.62	13,776.40	2.23%	457.60	3.44%	563.20	4 09%
07/12/2016	Cash	146 00	64.785	9,458.58	62.62	9,142.52	1.48%	(316.06)	(3 34)%	373 76	4.09%
Total:		470.00	61.068	28,701.80	62.62	29,431.40	4.75%	729.60	2.54%	1,203.20	4.09%
MO	ALTRIA GROUP INC										
02/24/2015	Cash	81 00	55.77	4,517.35	67.62	5,477.22	0.88%	959.87	21.25%	197 64	3 61%
05/08/2015	Cash	28.00	52.35	1,465.80	67.62	1,893.36	0.31%	427.56	29 17%	68.32	3.61%
06/16/2016	Cash	260.00	65.47	17,022.20	67.62	17,581.20	2.84%	559.00	3.28%	634.40	3 61%
10/25/2016	Cash	60.00	64.70	3,881.98	67.62	4,057.20	0.66%	175 22	4.51%	146 40	3 61%
Total:		429.00	62.674	26,887.33	67.62	29,008.98	4.69%	2,121.66	7.89%	1,046.76	3.61%
AEP	AMERICAN ELECTRIC POWER CO INC										
02/24/2015	Cash	35.00	59.32	2,076.20	62.96	2,203.60	0.36%	127.40	6.14%	82.60	3.75%
05/08/2015	Cash	10.00	56.05	560.50	62.96	629.60	0.10%	69.10	12.33%	23.60	3.75%
06/16/2016	Cash	65.00	66.72	4,336.80	62.96	4,092.40	0.66%	(244.40)	(5.64)%	153.40	3.75%
Total.		110.00	63.395	6,973.50	62.96	6,925.60	1.12%	(47.90)	(0.69)%	259.60	3.75%
AZN	ASTRAZENECA PLC SPONSORED ADR										
07/22/2016	Cash	209.00	30.895	6,457.08	27.32	5,709.88	0.92%	(747.20)	(11.57)%	286.33	5 01%
08/02/2016	Cash	99.00	34.171	3,382.91	27.32	2,704.68	0.44%	(678.23)	(20.05)%	135.63	5.01%
Total.		308.00	31.948	9,839.99	27.32	8,414.56	1.36%	(1,425.43)	(14.49)%	421.96	5.01%
BCE	BCE INC										
02/24/2015	Cash	148 00	44.219	6,544.44	43.24	6,399.52	1.03%	(144.92)	(2.21)%	301.62	4.71%
05/17/2016	Cash	28.00	46.701	1,307.62	43.24	1,210.72	0.20%	(96.90)	(7.41)%	57.06	4.71%
06/16/2016	Cash	365.00	45.16	16,483.40	43.24	15,782.60	2.55%	(700.80)	(4.25)%	743.87	4.71%
07/12/2016	Cash	85.00	47.665	4,051.49	43.24	3,675.40	0.59%	(376.09)	(9.28)%	173.23	4.71%

STATEMENT A-6

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
BCE		BCE INC (CONTINUED FROM PREVIOUS PAGE)										
	Total:		626.00	45.347	28,386.95	43.24	27,068.24	4.37%	(1,318.71)	(4.65)%	1,275.78	4.71%
BP		BP PLC SPONSORED ADR										
02/24/2015		Cash	79.00	41.576	3,284.52	37.38	2,953.02	0.48%	(331.50)	(10.09)%	188.02	6 37%
05/08/2015		Cash	26.00	42.71	1,110.46	37.38	971.88	0.16%	(138.58)	(12.48)%	61.88	6.37%
06/25/2015		Cash	25.00	41.71	1,042.75	37.38	934.50	0.15%	(108.25)	(10.38)%	59.50	6.37%
06/16/2016		Cash	260.00	31.288	8,134.91	37.38	9,718.80	1.57%	1,583.89	19.47%	618.80	6.37%
	Total:		390.00	34.802	13,572.64	37.38	14,578.20	2.35%	1,005.56	7.41%	928.20	6.37%
CVX		CHEVRON CORP										
02/24/2015		Cash	35.00	107.77	3,771.95	117.70	4,119.50	0.67%	347.55	9.21%	151.20	3.67%
05/08/2015		Cash	10.00	108.30	1,083.00	117.70	1,177.00	0 19%	94.00	8.68%	43.20	3 67%
06/16/2016		Cash	65.00	99 805	6,487.33	117.70	7,650.50	1.24%	1,163.17	17.93%	280.80	3 67%
	Total:		110.00	103.112	11,342.28	117.70	12,947.00	2.09%	1,604.72	14.15%	475.20	3.67%
KO		COCA-COLA COMPANY (THE)										
02/24/2015		Cash	74.00	42.19	3,122 06	41.46	3,068.04	0.50%	(54.02)	(1.73)%	103 60	3 38%
05/08/2015		Cash	15.00	41 04	615.60	41.46	621.90	0.10%	6 30	1.02%	21 00	3 38%
06/16/2016		Cash	146 00	44.915	6,557.59	41.46	6,053 16	0.98%	(504.43)	(7 69)%	204 40	3 38%
08/02/2016		Cash	77.00	43.446	3,345.33	41.46	3,192.42	0.52%	(152.91)	(4.57)%	107 80	3 38%
10/13/2016		Cash	69.00	41.83	2,886 26	41.46	2,860.74	0 46%	(25.52)	(0.88)%	96.60	3 38%
10/25/2016		Cash	72.00	42.632	3,069.47	41.46	2,985.12	0 48%	(84 35)	(2.75)%	100.80	3 38%
	Total.		453.00	43.259	19,596.31	41.46	18,781.38	3.03%	(814.93)	(4.16)%	634.20	3.38%
ED		CONSOLIDATED EDISON INC OF NEW YORK										
01/08/2016		Cash	34.00	66.315	2,254.70	73.68	2,505.12	0 40%	250.42	11.11%	91.12	3.64%
06/16/2016		Cash	55.00	78.11	4,296.05	73.68	4,052.40	0.65%	(243.65)	(5.67)%	147.40	3.64%
	Total:		89.00	73.604	6,650.75	73.68	6,557.52	1.06%	6.77	0.10%	238.52	3.64%

STATEMENT A-6

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
CCI		CROWN CASTLE INTERNATIONAL CORP										
05/17/2016		Cash	25.00	90 144	2,253.61	86.77	2,169.25	0.35%	(84.36)	(3.74)%	95.00	4.38%
06/16/2016		Cash	40.00	93.99	3,759.60	86.77	3,470.80	0.56%	(288.80)	(7.68)%	152 00	4.38%
07/22/2016		Cash	35.00	98.47	3,446.44	86.77	3,036 95	0.49%	(409.49)	(11.88)%	133.00	4.38%
10/25/2016		Cash	38.00	94.83	3,603.54	86.77	3,297.26	0.53%	(306.28)	(8.50)%	144.40	4.38%
11/17/2016		Cash	37.00	85.354	3,158.11	86.77	3,210.49	0.52%	52.38	1.66%	140.60	4.38%
	Total:		175.00	92.693	16,221.30	86.77	15,184.75	2.45%	(1,036.55)	(6.39)%	665.00	4.38%
D		DOMINION RESOURCES INC / VA										
02/24/2015		Cash	55.00	74.16	4,078.80	76.59	4,212.45	0.68%	133.65	3.28%	154.00	3 66%
05/08/2015		Cash	11.00	72.17	793.87	76.59	842.49	0.14%	48.62	6.12%	30.80	3.66%
06/16/2016		Cash	105.00	73.70	7,738.50	76.59	8,041.95	1.30%	303.45	3.92%	294.00	3.66%
	Total:		171.00	73.75	12,611.17	76.59	13,096.89	2.12%	485.72	3.85%	478.80	3.66%
DUK		DUKE ENERGY CORP										
02/24/2015		Cash	86.00	79.95	6,875.70	77.62	6,675.32	1.08%	(200.38)	(2.91)%	294.12	4.41%
06/16/2016		Cash	165.00	82.394	13,594.99	77.62	12,807.30	2.07%	(787.69)	(5.79)%	564 30	4.41%
	Total:		251.00	81.557	20,470.69	77.62	19,482.62	3.15%	(988.07)	(4.83)%	858.42	4.41%
XOM		EXXON MOBIL CORPORATION										
08/26/2015		Cash	95.00	70.603	6,707.27	90.26	8,574.70	1.38%	1,867.43	27.84%	285.00	3.32%
06/16/2016		Cash	160.00	90.04	14,406.40	90.26	14,441.60	2.33%	35.20	0.24%	480.00	3 32%
	Total:		255.00	82.799	21,113.67	90.26	23,016.30	3.72%	1,902.63	9.01%	765.00	3.32%
GIS		GENERAL MILLS INCORPORATED										
06/16/2016		Cash	90.00	65.34	5,880.60	61.77	5,559.30	0.90%	(321.30)	(5.46)%	172.80	3.11%
GSK		GLAXOSMITHKLINE PLC SPONSORED ADR										
05/08/2015		Cash	3.00	45.60	136.80	38.51	115.53	0 02%	(21.27)	(15.55)%	6.20	5.37%
06/25/2015		Cash	40.00	43.336	1,733.42	38.51	1,540 40	0.25%	(193.02)	(11 14)%	82.66	5 37%
06/16/2016		Cash	348.00	40.00	13,920.00	38.51	13,401.48	2 16%	(518.52)	(3.73)%	719 32	5 37%

STATEMENT A-6

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
GSK		GLAXOSMITHKLINE PLC SPONSORED ADR			(CONTINUED FROM PREVIOUS PAGE)							
	Total:		391.00	40.384	15,790.22	38.51	15,057.41	2.43%	(732.81)	(4.64)%	808.20	5.37%
JNJ		JOHNSON AND JOHNSON										
06/16/2016		Cash	72.00	116.17	8,364.24	115.21	8,295.12	1 34%	(69.12)	(0.83)%	230.40	2.78%
KMB		KIMBERLY-CLARK CORPORATION										
02/24/2015		Cash	38.00	110.49	4,198 62	114.12	4,336.56	0.70%	137.94	3.29%	139.84	3.22%
05/08/2015		Cash	8.00	110.94	887.52	114.12	912.96	0 15%	25.44	2.87%	29.44	3.22%
06/16/2016		Cash	70.00	132.27	9,258.90	114.12	7,988.40	1 29%	(1,270.50)	(13.72)%	257.60	3 22%
	Total:		116.00	123.664	14,345.04	114.12	13,237.92	2.14%	(1,107.12)	(7.72)%	426.88	3.22%
MCD		MCDONALDS CORPORATION										
02/24/2015		Cash	59.00	94.99	5,604.41	121.72	7,181.48	1.16%	1,577.07	28.14%	221.84	3.09%
06/16/2016		Cash	115.00	121.68	13,993.20	121.72	13,997.80	2.26%	4.60	0.03%	432.40	3.09%
10/13/2016		Cash	27.00	115.66	3,122.83	121.72	3,286.44	0.53%	163.61	5.24%	101.52	3.09%
10/25/2016		Cash	30.00	113.277	3,398.32	121.72	3,651.60	0.59%	253.28	7.45%	112.80	3.09%
	Total:		231.00	113.068	26,118.76	121.72	28,117.32	4.54%	1,998.56	7.65%	868.56	3.09%
MRK		MERCK & CO INC										
04/13/2016		Cash	14.00	55.938	783.13	58.87	824.18	0.13%	41.05	5.24%	26.32	3.19%
06/16/2016		Cash	290.00	57.15	16,573.50	58.87	17,072.30	2.76%	498.80	3.01%	545.20	3.19%
	Total:		304.00	57.094	17,356.63	58.87	17,896.48	2.89%	539.85	3.11%	571.52	3.19%
NGG		NATIONAL GRID PLC SPONSORED ADR										
02/24/2015		Cash	97.00	70.19	6,808.43	58.33	5,658.01	0.91%	(1,150.42)	(16.90)%	286.83	5.07%
05/08/2015		Cash	19.00	69.92	1,328.48	58.33	1,108.27	0 18%	(220.21)	(16.58)%	56.18	5.07%
06/25/2015		Cash	27.00	66.974	1,808.31	58.33	1,574.91	0.25%	(233.40)	(12.91)%	79.84	5.07%
06/16/2016		Cash	245.00	68.94	16,890.30	58.33	14,290.85	2.31%	(2,599 45)	(15.39)%	724.47	5.07%
	Total:		388.00	69.164	26,835.52	58.33	22,632.04	3.66%	(4,203.48)	(15.66)%	1,147.32	5.07%

STATEMENT A-6

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
NVS	NOVARTIS AG SPONSORED ADR										
10/13/2016	Cash	83.00	77.131	6,401.88	72.84	6,045.72	0.98%	(356.16)	(5.56)%	191.15	3.16%
10/25/2016	Cash	39.00	72.862	2,841.60	72.84	2,840.76	0.46%	(0.84)	(0.03)%	89.82	3.16%
11/17/2016	Cash	42.00	72.576	3,048.19	72.84	3,059.28	0.49%	11.09	0.36%	96.73	3.16%
Total:		164.00	74.949	12,291.67	72.84	11,945.76	1.93%	(345.91)	(2.81)%	377.70	3.16%
OXY	OCCIDENTAL PETROLEUM CORP										
10/25/2016	Cash	88.00	74.868	6,588.38	71 23	6,268.24	1.01%	(320.14)	(4.86)%	267.52	4.27%
PEP	PEPSICO INCORPORATED										
02/24/2015	Cash	20 00	99.73	1,994.60	104.63	2,092.60	0.34%	98.00	4.91%	60.20	2.88%
05/08/2015	Cash	4.00	96.44	385.76	104.63	418.52	0.07%	32.76	8.49%	12.04	2.88%
06/16/2016	Cash	40.00	103.08	4,123.20	104.63	4,185.20	0.68%	62.00	1.50%	120.40	2.88%
Total:		64.00	101.618	6,503.56	104.63	6,696.32	1.08%	192.76	2.96%	192.64	2.88%
PM	PHILIP MORRIS INTERNATIONAL INC										
02/24/2015	Cash	78.00	83.48	6,511.44	91.49	7,136.22	1.15%	624.78	9.60%	324.48	4.55%
06/25/2015	Cash	14.00	81.38	1,139.32	91.49	1,280.86	0.21%	141.54	12.42%	58.24	4.55%
06/16/2016	Cash	185.00	99.29	18,368.65	91.49	16,925.65	2.73%	(1,443.00)	(7.86)%	769.60	4.55%
11/17/2016	Cash	35.00	89.178	3,121.22	91.49	3,202.15	0.52%	80.93	2.59%	145.60	4.55%
Total:		312.00	93.399	29,140.63	91.49	28,544.88	4.61%	(595.75)	(2.04)%	1,297.92	4.55%
PPL	PPL CORP										
02/24/2015	Cash	129.00	32.71	4,219.55	34.05	4,392.45	0.71%	172.90	4.10%	196.08	4 46%
05/08/2015	Cash	25.00	31 541	788.52	34.05	851.25	0 14%	62.73	7.96%	38.00	4.46%
06/16/2016	Cash	250.00	38.86	9,715.00	34.05	8,512.50	1.37%	(1,202.50)	(12.38)%	380.00	4.46%
Total:		404.00	36.443	14,723.07	34.05	13,756.20	2.22%	(966.87)	(6.57)%	614.08	4.46%
PG	PROCTER & GAMBLE COMPANY										
02/24/2015	Cash	17.00	85.42	1,452.14	84.08	1,429.36	0.23%	(22.78)	(1.57)%	45.53	3.19%
05/08/2015	Cash	13.00	81.11	1,054.43	84.08	1,093 04	0.18%	38.61	3.66%	34.81	3.19%

STATEMENT A-6

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
PG		PROCTER & GAMBLE COMPANY		(CONTINUED FROM PREVIOUS PAGE)								
06/25/2015		Cash	16.00	79.71	1,275.36	84.08	1,345.28	0.22%	69.92	5.48%	42.85	3.19%
09/24/2015		Cash	29.00	71.312	2,068.04	84.08	2,438.32	0.39%	370.28	17.90%	77.66	3.19%
06/16/2016		Cash	195.00	83.01	16,186.95	84.08	16,395.60	2.65%	208.65	1.29%	522.21	3.19%
	Total:		270.00	81.618	22,036.92	84.08	22,701.60	3.67%	664.68	3.02%	723.06	3.19%
O		REALTY INCOME CORP INC										
02/24/2015		Cash	10.00	50.508	505.08	57.48	574.80	0.09%	69.72	13.80%	24.30	4.23%
05/08/2015		Cash	9.00	47.323	425.91	57.48	517.32	0.08%	91.41	21.46%	21.87	4.23%
06/16/2016		Cash	90.00	64.96	5,846.40	57.48	5,173.20	0.84%	(673.20)	(11.51)%	218.70	4.23%
	Total:		109.00	62.178	6,777.39	57.48	6,266.32	1.01%	(512.07)	(7.56)%	264.87	4.23%
SNY		SANOFI ADR										
02/24/2015		Cash	39.00	50.26	1,960.14	40.44	1,577.16	0.25%	(382.98)	(19.54)%	43.84	2.78%
05/08/2015		Cash	8.00	49.94	399.52	40.44	323.52	0.05%	(76.00)	(19.02)%	8.99	2.78%
02/24/2016		Cash	46.00	38.98	1,793.07	40.44	1,860.24	0.30%	67.17	3.75%	51.70	2.78%
04/13/2016		Cash	63.00	43.31	2,728.53	40.44	2,547.72	0.41%	(180.81)	(6.63)%	70.81	2.78%
06/16/2016		Cash	300.00	37.89	11,367.00	40.44	12,132.00	1.96%	765.00	6.73%	337.20	2.78%
07/12/2016		Cash	205.00	41.39	8,484.95	40.44	8,290.20	1.34%	(194.75)	(2.30)%	230.42	2.78%
	Total:		661.00	40.444	26,733.21	40.44	26,730.84	4.32%	(2.37)	(0.01)%	742.96	2.78%
SO		SOUTHERN COMPANY										
02/24/2015		Cash	130.00	46.89	6,095.67	49.19	6,394.70	1.03%	299.03	4.91%	291.20	4.55%
06/16/2016		Cash	250.00	51.05	12,762.50	49.19	12,297.50	1.99%	(465.00)	(3.64)%	560.00	4.55%
	Total:		380.00	49.627	18,858.17	49.19	18,692.20	3.02%	(165.97)	(0.88)%	851.20	4.55%
KHC		THE KRAFT HEINZ CO										
06/16/2016		Cash	79.00	84.74	6,694.46	87.32	6,898.28	1.11%	203.82	3.04%	189.60	2.75%
TOT		TOTAL SA SPONSORED ADR										
10/25/2016		Cash	67.00	48.25	3,232.75	50.97	3,414.99	0.55%	182.24	5.64%	152.96	4.48%

STATEMENT A-6

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
UL		UNILEVER PLC SPONSORED ADR										
06/16/2016		Cash	218.00	43.89	9,568.02	40.70	8,872.60	1.43%	(695.42)	(7.27)%	301.28	3.40%
VTR		VENTAS INC										
02/24/2015		Cash	20.00	65.534	1,310.68	62.52	1,250.40	0.20%	(60.28)	(4.60)%	62.00	4 96%
06/16/2016		Cash	110 00	69.405	7,634.55	62.52	6,877.20	1.11%	(757.35)	(9.92)%	341 00	4.96%
	Total:		130.00	68.809	8,945.23	62.52	8,127.60	1.31%	(817.63)	(9.14)%	403.00	4.96%
VZ		VERIZON COMMUNICATIONS										
02/24/2015		Cash	153 00	49.27	7,538 28	53.38	8,167.14	1.32%	628.86	8.34%	353 43	4.33%
01/06/2016		Cash	46.00	45.469	2,091.56	53.38	2,455.48	0.40%	363 92	17.40%	106 26	4.33%
06/16/2016		Cash	315.00	53.23	16,767.45	53.38	16,814.70	2.72%	47.25	0.28%	727.65	4 33%
11/17/2016		Cash	95.00	47.879	4,548.48	53.38	5,071.10	0.82%	522.62	11.49%	219.45	4 33%
	Total:		609.00	50.814	30,945.77	53.38	32,508.42	5.25%	1,562.65	5.05%	1,406.79	4.33%
VOD		VODAFONE GROUP PLC SPONSORED ADR										
02/24/2015		Cash	95.00	34.79	3,305 05	24.43	2,320.85	0.37%	(984.20)	(29.78)%	141.84	6.11%
05/08/2015		Cash	19.00	35.97	683.43	24.43	464.17	0.07%	(219.26)	(32.08)%	28.37	6 11%
12/08/2015		Cash	72.00	32.587	2,346.27	24.43	1,758.96	0.28%	(587.31)	(25.03)%	107.50	6.11%
01/08/2016		Cash	60.00	32.776	1,966.55	24.43	1,465.80	0.24%	(500.75)	(25.46)%	89.58	6.11%
05/17/2016		Cash	29.00	33.755	978.89	24.43	708.47	0.11%	(270.42)	(27.63)%	43.30	6.11%
06/16/2016		Cash	580.00	30.04	17,423.20	24.43	14,169.40	2.29%	(3,253.80)	(18.68)%	865.94	6.11%
07/12/2016		Cash	239.00	30.735	7,345.55	24.43	5,838 77	0.94%	(1,506.78)	(20.51)%	356.83	6.11%
	Total:		1,094.00	31.123	34,048.94	24.43	26,726.42	4.32%	(7,322.62)	(21.51)%	1,633.36	6.11%
HCN		WELLTOWER INC										
02/24/2015		Cash	50.00	76.582	3,829.12	66.93	3,346.50	0.54%	(482.62)	(12.60)%	172.00	5.14%
05/08/2015		Cash	10.00	72.475	724.75	66.93	669.30	0.11%	(55.45)	(7.65)%	34.40	5.14%
06/16/2016		Cash	100.00	73.30	7,330.00	66.93	6,693.00	1.08%	(637.00)	(8.69)%	344 00	5.14%

STATEMENT A-6

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
HCN	WELLTOWER INC	(CONTINUED FROM PREVIOUS PAGE)									
Total:		160.00	74.274	11,883.87	66.93	10,708.80	1.73%	(1,175.07)	(9.89)%	550.40	5.14%
Total: Equities				613,900.76		605,492.11	97.80%	(8,408.65)	(1.37)%	24,890.18	4.11%
Total: Portfolio Value				627,541.65		619,133.00	100.00%	(8,408.65)	(1.34)%	24,895.74	4.02%

Alternative Investments *which are externally held are included on the statement solely as a service to the client and are not covered by SIPC. Information (including valuation) is derived from the client or other external source for which Stephens is not responsible If this account statement includes a per share estimated value for Direct Participation Program ("DPP") or Real Estate Investment Trust ("REIT") securities, these securities are not listed on a national securities exchange, are generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement. Additionally, the following notice is provided to holders of DPP and REIT securities for which this account statement includes a net investment per share estimated value:* ***IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement.***

Annuities *are held outside your Stephens account by the issuing insurance companies. Stephens is not the custodian for IRA annuities. Annuity information is provided by the insurance companies and Stephens cannot guarantee its accuracy. Please refer to statements sent by the insurance companies for annuity valuations, tax reporting information, IRA required minimum distributions, IRA fair market value reporting, and other details. Annuities are not covered by SIPC,*

Valuation of securities *is obtained from independent pricing services, the issuer or other sources that we consider reliable. Some prices are approximations and in some cases prices may not accurately reflect prevailing market quotes, especially for fixed income securities, illiquid securities, securities that are not actively traded and investments held outside your account such as annuities and alternative investments. We do not undertake to independently verify reported prices. The Current Price of securities is rounded to three decimal places. The Current Value of securities is calculated with the unrounded price. The valuations shown on your statement are provided only as a general indication of the portfolio value and do not necessarily reflect the value at which your positions could actually be traded in the market*

Unpriced securities *are not included in the Current Value in the Portfolio Assets section*

Cost Basis *information is provided for customer benefit. New IRS regulations require that Stephens adjust cost basis using the constant yield method for specified fixed income securities on all open tax lots acquired at a premium beginning January, 2014. Customers are encouraged to check their cost basis and report any discrepancies or adjustments to their Financial Consultant. And as always, customers should consult their tax professional for any and all tax advice.*

Whenever an asterisk (*) *is displayed beside a Book Value, it has been affected by the wash sale rule*

Fixed Income *securities are listed in order of maturity Moody's and Standard & Poor's provide bond ratings For more information about bond ratings please contact your Financial Consultant.*

Dividend Reinvestments *will be consolidated on one line at the end of your individual tax lots with a denotation of Dividend Reinvest. Purchases of securities may not be properly designated as dividend reinvestment if you owned your securities at Stephens prior to March 31, 2003 or have transferred securities into your account from another institution*

Estimated Annual Income (EAI) and Estimated Yield (EY):

- *EAI and EY does not include a return of principal or capital gains in which case the EAI and EY would be overstated.*

STATEMENT A-7 ATTACHMENT

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Cash and Cash Equivalents											
	Federated Treasury Obligations AS-115			16,471.60		16,471.60	2.91%	0.00	0.00%	6.72	0.04%
Total: Cash and Cash Equivalents				16,471.60		16,471.60	2.91%	0.00	0.00%	6.72	0.04%
Equities											
AZN	ASTRAZENECA PLC SPONSORED ADR										
06/20/2016	Cash	665.00	28.45	18,919.18	27.32	18,167.80	3.21%	(751.38)	(3.97)%	911.05	5.01%
07/21/2016	Cash	47.00	30.55	1,435.85	27.32	1,284.04	0.23%	(151.81)	(10 57)%	64.39	5 01%
Total:		712.00	28.589	20,355.03	27.32	19,451.84	3.43%	(903.19)	(4.44)%	975.44	5.01%
BMO	BANK OF MONTREAL										
06/20/2016	Cash	209.00	64.17	13,411.51	71.92	15,031.28	2.65%	1,619.77	12 08%	554.27	3 69%

STATEMENT A-7 ATTACHMENT

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
BCE	BCE INC										
06/20/2016	Cash	520.00	46.26	24,055.15	43.24	22,484.80	3.97%	(1,570.35)	(6.53)%	1,059.76	4.71%
BP	BP PLC SPONSORED ADR										
06/20/2016	Cash	306.00	33.58	10,241.87	37.38	11,400.90	2.01%	1,159.03	11.32%	725.90	6.37%
BTI	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR										
06/20/2016	Cash	187.00	123.66	23,128.15	112.67	21,069.29	3.72%	(2,058.86)	(8.90)%	811.95	3.85%
BT	BT GROP PLC SPONSORED ADR										
10/26/2016	Cash	248.00	23.956	5,941.04	23.03	5,711.44	1.01%	(229.60)	(3.86)%	222.95	3.90%
11/16/2016	Cash	238.00	22.87	5,443.04	23.03	5,481.14	0.97%	38.10	0.70%	213.96	3.90%
Total		486.00	23.424	11,384.08	23.03	11,192.58	1.97%	(191.50)	(1.68)%	436.91	3.90%
CM	CANADIAN IMPERIAL BANK COMMON										
06/20/2016	Cash	175.00	79.80	13,965.00	81.60	14,280.00	2.52%	315.00	2.26%	646.10	4.52%
DEO	DIAGEO PLC SPON ADR										
06/20/2016	Cash	135.00	107.00	14,444.99	103.94	14,031.90	2.48%	(413.09)	(2.86)%	420.66	3.00%
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR										
06/20/2016	Cash	310.00	41.49	12,861.87	38.51	11,938.10	2.11%	(923.77)	(7.18)%	640.77	5.37%
IMBBY	IMPERIAL BRANDS PLC SPONSORED ADR										
06/20/2016	Cash	470.00	54.026	25,391.75	43.773	20,573.31	3.63%	(4,818.44)	(18.98)%	934.36	4.54%
NGG	NATIONAL GRID PLC SPONSORED ADR										
06/20/2016	Cash	420.00	71.93	30,210.56	58.33	24,498.60	4.32%	(5,711.96)	(18.91)%	1,241.94	5.07%
NSRGY	NESTLE SPONSORED ADR										
06/20/2016	Cash	325.00	73.81	23,988.25	71.74	23,315.50	4.11%	(672.75)	(2.80)%	632.78	2.71%
NVS	NOVARTIS AG SPONSORED ADR										
06/20/2016	Cash	129.00	78.83	10,169.06	72.84	9,396.36	1.66%	(772.70)	(7.60)%	297.09	3.16%
10/12/2016	Cash	54.00	76.53	4,132.62	72.84	3,933.36	0.69%	(199.26)	(4.82)%	124.36	3.16%
11/04/2016	Cash	38.00	70.20	2,667.60	72.84	2,767.92	0.49%	100.32	3.76%	87.51	3.16%

STATEMENT A-7 ATTACHMENT

Portfolio Assets

Date Acquired		Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities												
NVS		NOVARTIS AG SPONSORED ADR (CONTINUED FROM PREVIOUS PAGE)										
	Total:		221.00	76.784	16,969.28	72.84	16,097.64	2.84%	(871.64)	(6.14)%	508.96	3.16%
PM		PHILIP MORRIS INTERNATIONAL INC										
06/20/2016		Cash	280.00	101.71	28,478.77	91.49	25,617.20	4.52%	(2,861.57)	(10.05)%	1,164.80	4.55%
RHHBY		ROCHE HOLDINGS LTD SPONSORED ADR										
06/20/2016		Cash	360.00	31.97	11,509.20	28.607	10,298.52	1.82%	(1,210.68)	(10.52)%	301.68	2.93%
10/12/2016		Cash	95.00	29.83	2,833.85	28.607	2,717.67	0.48%	(116.19)	(4.10)%	79.61	2.93%
11/04/2016		Cash	88.00	28.41	2,500.08	28.607	2,517.42	0.44%	17.34	0.69%	73.74	2.93%
	Total:		543.00	31.019	16,843.13	28.607	15,533.60	2.74%	(1,309.53)	(7.77)%	455.03	2.93%
SNY		SANOFI ADR										
06/20/2016		Cash	480.00	39.19	18,811.20	40.44	19,411.20	3.42%	600.00	3.19%	539.52	2.78%
10/12/2016		Cash	110.00	37.93	4,172.30	40.44	4,448.40	0.78%	276.10	6.62%	123.64	2.78%
	Total:		590.00	38.955	22,983.50	40.44	23,859.60	4.21%	876.10	3.81%	663.16	2.78%
TD		TORONTO-DOMINION BANK										
10/12/2016		Cash	157.00	43.71	6,862.47	49.34	7,746.38	1.37%	883.91	12.88%	257.17	3.32%
10/26/2016		Cash	65.00	45.31	2,945.14	49.34	3,207.10	0.57%	261.96	8.89%	106.47	3.32%
	Total:		222.00	44.178	9,807.61	49.34	10,953.48	1.93%	1,145.87	11.68%	363.64	3.32%
TOT		TOTAL SA SPONSORED ADR										
06/20/2016		Cash	365.00	48.363	17,652.39	50.97	18,604.05	3.28%	951.66	5.39%	833.30	4.48%
UL		UNILEVER PLC SPONSORED ADR										
06/20/2016		Cash	378.00	45.435	17,174.54	40.70	15,384.60	2.71%	(1,789.94)	(10.42)%	522.40	3.40%
VOD		VODAFONE GROUP PLC SPONSORED ADR										
06/20/2016		Cash	855.00	31.87	27,248.76	24.43	20,887.65	3.69%	(6,361.11)	(23.34)%	1,276.52	6.11%

STATEMENT A-7 ATTACHMENT

Portfolio Assets

Date Acquired	Account Type	Quantity	Book Value Unit Cost	Book Value	Current Price	Current Value	Percent of Assets	Unrealized Gain/(Loss) Dollars	Unrealized Gain/(Loss) Percent	Estimated Annual Income	Estimated Current Yield
Equities											
ZURVY	ZURICH INSURANCE GROUP AG ADR										
10/12/2016	Cash	265.00	25.80	6,837.00	27.589	7,311.09	1.29%	474.09	6.93%	464.28	6.35%
Total Equities				387,433.19		363,517.01	64.13%	(23,916.18)	(6.17)%	15,332.93	4.22%
Mutual Funds											
FIDPX	FEDERATED INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO										
06/20/2016	Cash	23,410.477	8.59	201,096.00	7.98	186,815.61	32.96%	(14,280.39)	(7.10)%	8,685.29	4.65%
Total Mutual Funds				201,096.00		186,816.61	32.96%	(14,280.39)	(7.10)%	8,685.29	4.65%
Total Portfolio Value				605,000.79		566,804.22	100.00%	(38,196.57)	(6.31)%	24,024.94	4.24%

Alternative Investments, which ar[redacted]*t solely as a service to* [redacted]*mation (including valuation) is derived from the client*[redacted]*is not responsible. If this* [redacted]*mated value for Direct Participation Program ("DPP") or R*[redacted]*s, these securities are r*[redacted]*re generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement. Additionally, the following notice is provided to holders of DPP and REIT securities for which this account statement includes a net investment per share estimated value. **IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement.***

Annuities are held outside your Stephens account by the issuing insurance companies. Stephens is not the custodian for IRA annuities. Annuity information is provided by the insurance companies and Stephens cannot guarantee its accuracy. Please refer to statements sent by the insurance companies for annuity valuations, tax reporting information, IRA required minimum distributions, IRA fair market value reporting, and other details. Annuities are not covered by SIPC.

Valuation of securities is obtained from independent pricing services, the issuer or other sources that we consider reliable. Some prices are approximations and in some cases prices may not accurately reflect prevailing market quotes, especially for fixed income securities, illiquid securities, securities that are not actively traded and investments held outside your account such as annuities and alternative investments. We do not undertake to independently verify reported prices. The Current Price of securities is rounded to three decimal places. The Current Value of securities is calculated with the unrounded price. The valuations shown on your statement are provided only as a general indication of the portfolio value and do not necessarily reflect the value at which your positions could actually be traded in the market.

Unpriced securities are not included in the Current Value in the Portfolio Assets section.

Cost Basis information is provided for customer benefit. New IRS regulations require that Stephens adjust cost basis using the constant yield method for specified fixed income securities on all open tax lots acquired at a premium beginning January, 2014. Customers are encouraged to check their cost basis and report any discrepancies or adjustments to their Financial Consultant. And as always, customers should consult their tax professional for any and all tax advice.

Whenever an asterisk (*) is displayed beside a Book Value, it has been affected by the wash sale rule.

Fixed Income securities are listed in order of maturity. Moody's and Standard & Poor's provide bond ratings. For more information about bond ratings please contact your

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
STEPHENS #1696	179,568.	148,406.	31,162.	31,162.	
STEPHENS #4048	23,532.	199.	23,333.	23,333.	
STEPHENS #6442	25,213.	1,156.	24,057.	24,057.	
STEPHENS #6504	6,318.	27.	6,291.	6,291.	
STEPHENS #8256	9,085.	0.	9,085.	9,085.	
STEPHENS #8551	48.	0.	48.	48.	
STEPHENS #9750	14,435.	145.	14,290.	14,290.	
To Part I, line 4	258,199.	149,933.	108,266.	108,266.	

Form 990-PF Other Income Statement 2

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
OTHER INVESTMENT INCOME	233.	233.	
OTHER INVESTMENT INCOME	79.	79.	
Total to Form 990-PF, Part I, line 11	312.	312.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	11,800.	5,900.		5,900.
To Form 990-PF, Pg 1, ln 16b	11,800.	5,900.		5,900.

Form 990-PF	Taxes	Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	1,144.	1,144.		0.
To Form 990-PF, Pg 1, ln 18	1,144.	1,144.		0.

Form 990-PF	Other Expenses	Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT FEES	27,387.	13,694.		13,693.
To Form 990-PF, Pg 1, ln 23	27,387.	13,694.		13,693.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement 6

Description	Amount
BOOK TO TAX ADJUSTMENT ON DISPOSAL OF ASSETS	2,414,125.
Total to Form 990-PF, Part III, line 5	2,414,125.

Form 990-PF	Corporate Stock	Statement 7

Description	Book Value	Fair Market Value
SEE STATEMENT A-2 ATTACHED	248,854.	255,934.
SEE STATEMENT A-3 ATTACHED	7,499,555.	5,523,020.
SEE STATEMENT A-4 ATTACHED	1,141,493.	1,316,345.
SEE STATEMENT A-5 ATTACHED	1,183,917.	1,259,623.
SEE STATEMENT A-6 ATTACHED	613,901.	605,492.
SEE STATEMENT A-7 ATTACHED	588,529.	552,334.
Total to Form 990-PF, Part II, line 10b	11,276,249.	9,512,748.

Form 990-PF	Other Investments		Statement 8
Description	Valuation Method	Book Value	Fair Market Value
JP MORGAN 1006	COST	0.	0.
Total to Form 990-PF, Part II, line 13		0.	0.

Form 990-PF	Other Assets		Statement 9
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
DIVIDENDS RECEIVABLE FROM BROKER	79.	351.	351.
To Form 990-PF, Part II, line 15	79.	351.	351.

Form 990-PF	List of Substantial Contributors Part VII-A, Line 10	Statement 10
Name of Contributor	Address	
LEE M THOMAS	3918 ALHAMBRA DR W JACKSONVILLE, FL 32207	