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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation JOHN BURTON HARTER FOUNDATION		A Employer identification number 30-6048115
Number and street (or P O box number if mail is not delivered to street address) 295 N HUBBARDS LANE, SUITE 302	Room/suite	B Telephone number (502) 400-6000
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40207		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,033,374.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		90,305.	90,305.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		16,452.			
b Gross sales price for all assets on line 6a 377,950.					
7 Capital gain net income (from Part IV, line 2)			16,452.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		46.	46.		STATEMENT 2
12 Total. Add lines 1 through 11		106,803.	106,803.		
13 Compensation of officers, directors, trustees, etc		74,508.	13,254.		61,254.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,900.	0.		1,900.
c Other professional fees					
17 Interest STMT 4		4,108.	285.		0.
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		5,459.	0.		5,459.
22 Printing and publications					
23 Other expenses STMT 5		13,914.	0.		13,914.
24 Total operating and administrative expenses. Add lines 13 through 23		99,889.	13,539.		82,527.
25 Contributions, gifts, grants paid		97,500.			97,500.
26 Total expenses and disbursements. Add lines 24 and 25		197,389.	13,539.		180,027.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<90,586.>			
b Net investment income (if negative, enter -0-)			93,264.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		121,622.	90,022.	90,022.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		118,015.	119,796.	118,957.
	b	Investments - corporate stock STMT 8		1,843,224.	1,898,489.	2,650,855.
	c	Investments - corporate bonds STMT 9		920,645.	838,741.	851,314.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ... ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶ ART COLLECTION)		322,226.	322,226.	322,226.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,325,732.	3,269,274.	4,033,374.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		3,325,732.	3,269,274.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		3,325,732.	3,269,274.		
31	Total liabilities and net assets/fund balances		3,325,732.	3,269,274.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,325,732.
2	Enter amount from Part I, line 27a	2	<90,586.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	36,781.
4	Add lines 1, 2, and 3	4	3,271,927.
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	2,653.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,269,274.

Form 990-PF (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED			
b FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED			
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,835.		15,283.	<3,448.>
b 358,798.		346,215.	12,583.
c 7,317.			7,317.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<3,448.>
b			12,583.
c			7,317.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	16,452.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	196,629.	4,000,306.	.049153
2014	257,321.	4,144,665.	.062085
2013	250,284.	4,357,327.	.057440
2012	240,605.	3,947,374.	.060953
2011	178,720.	3,957,065.	.045165

2 Total of line 1, column (d)	2	.274796
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054959
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,839,948.
5 Multiply line 4 by line 3	5	211,040.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	933.
7 Add lines 5 and 6	7	211,973.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	180,027.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,865.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,865.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,865.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		56.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		1,921.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>KY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.JBHARTER.ORG</u>	X	
14 The books are in care of ► <u>FIRST KENTUCKY TRUST</u> Telephone no. ► <u>(502) 400-6000</u> Located at ► <u>295 N HUBBARDS LANE, SUITE 203, LOUISVILLE, KY</u> ZIP+4 ► <u>40207</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		<input type="checkbox"/>
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	15	N/A
	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE JORDAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
JOHN J. SULLIVAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
FIRST KENTUCKY TRUST 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	TRUSTEE 2.00	26,508.	0.	0.
ALAN J WILLIAMS 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,497,274.
b	Average of monthly cash balances	1b	78,924.
c	Fair market value of all other assets	1c	322,226.
d	Total (add lines 1a, b, and c)	1d	3,898,424.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,898,424.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	58,476.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,839,948.
6	Minimum investment return. Enter 5% of line 5	6	191,997.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	191,997.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,865.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,865.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	190,132.
4	Recoveries of amounts treated as qualifying distributions	4	35,000.
5	Add lines 3 and 4	5	225,132.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	225,132.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	180,027.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	180,027.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	180,027.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				225,132.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2015 only			47,348.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 180,027.				
a Applied to 2015, but not more than line 2a			47,348.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				132,679.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				92,453.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LESLIE LOHMAN MUSEUM OF GAY AND LESBIAN ART 26 WOOSTER STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	SUPPORT OF EXHIBIT CATALOGUE.	12,000.
NEW ORLEANS MUSEUM OF ART ONE COLLINS C. DIBOLL CIRCLE, CITY PARK NEW ORLEANS, LA 70124	N/A	PUBLIC CHARITY	SUPPORT OF 2015 ODYSSEY BALL.	10,000.
NO AIDS TASK FORCE 601 TULANE AVE SUITE 500 NEW ORLEANS, LA 70119	N/A	PUBLIC CHARITY	SUPPORT OF ART AGAINST AIDS.	1,000.
TENNESSEE WILLIAMS/NEW ORLEANS LITERARY FESTIVAL 938 LAFAYETTE STREET NEW ORLEANS, LA 70113	N/A	PUBLIC CHARITY	SUPPORT OF SAINTS AND SINNERS LITERARY FESTIVAL.	12,500.
UNIVERSITY AT BUFFALO FOUNDATION P.O. BOX 900 BUFFLO, NY 14226-0900	N/A	PUBLIC CHARITY	SUPPORT OF JOHN BURTON HARTER ART COLLECTION; JOURNAL FOR QUEER ART STUDIES.	27,000.
Total	SEE CONTINUATION SHEET(S)			97,500.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr 7)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee <i>[Signature]</i>		Title <i>VP of Marketing</i>		
Paid Preparer Use Only	Print/Type preparer's name CHRISTINE N KOENIG	Preparer's signature <i>Christine N Koenig</i>	Date 4/8/2017	Check <input type="checkbox"/> if self-employed	PTIN P01022180
	Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC			Firm's EIN ▶ 61-1064249	
	Firm's address ▶ 9300 SHELBYVILLE RD STE 1100 LOUISVILLE, KY 40222-5187			Phone no. (502) 426-9660	

Form **990-PF** (2016)

30-6048115

3 Grants and Contributions Paid During the Year (Continuation)

623631
04-01-18

ACCOUNT # [REDACTED]
 TAX ID XX-XXX8115
 FROM 01/01/2016
 TO 12/31/2016
 FISCAL YEAR: 12/31

FIRST KENTUCKY TRUST COMPANY
 Gain and Loss Report
 JOHN B. HARTER CHARITABLE TRUST

GAIN AND LOSS DETAIL

CUSIP #/ PROPERTY DESCRIPTION -----	DATE SOLD/ ACQUIRED -----	PROCEEDS OF SALE/ COST -----	GAIN OR LOSS -----	REG	HOW ACQ COVERED -----
SHORT TERM CAPITAL GAIN (LOSS) -----					
200 SHARES OF	06/08/2016	8,073.84	(3,500.16)	40	P
NORDSTROM INC	03/21/2016	11,574.00			C
.5793 SHARES OF	09/16/2016	27.91	0.57	40	P
DELL TECHNOLOGIES INC V	09/07/2016	27.34			C
78 SHARES OF	09/28/2016	3,733.38	51.78	40	P
DELL TECHNOLOGIES INC V	09/07/2016	3,681.60			C
ORIG. ACQ DATE 09/07/2016					
TOTAL SHORT TERM CAPITAL GAIN (LOSS)		11,835.13	(3,447.81)		
		15,282.94			

ACCOUNT # [REDACTED]
 TAX ID XX-XXX8115
 FROM 01/01/2016
 TO 12/31/2016
 FISCAL YEAR: 12/31

FIRST KENTUCKY TRUST COMPANY
 Gain and Loss Report
 JOHN B. HARTER CHARITABLE TRUST

GAIN AND LOSS DETAIL

CUSIP #/ PROPERTY DESCRIPTION -----	DATE SOLD/ ACQUIRED -----	PROCEEDS OF SALE/ COST -----	GAIN OR LOSS -----	REG ---	HOW ACQ COVERED -----
LONG TERM CAPITAL GAIN (LOSS) -----					
8267.862 SHARES OF EATON VANCE FLOATING RATE I	03/21/2016 03/23/2012	69,780.76 74,328.08	(4,547.32)	51	P C
131 SHARES OF VERIZON COMMUNICATIONS INC	06/08/2016 02/24/2014	6,721.46 6,303.06	418.40	40	C C
540 SHARES OF VERIZON COMMUNICATIONS INC	06/08/2016 03/12/2014	27,706.79 25,136.46	2,570.33	40	P C
6684.492 SHARES OF NORTHERN HIGH YIELD FIXED INCOME FUND	06/15/2016 11/05/2012	43,449.20 50,000.00	(6,550.80)	51	P C
119.311 SHARES OF NORTHERN HIGH YIELD FIXED INCOME FUND	06/15/2016 12/19/2013	775.52 892.45	(116.93)	51	P C
12.448 SHARES OF NORTHERN HIGH YIELD FIXED INCOME FUND	06/15/2016 12/19/2013	80.91 93.11	(12.20)	51	P C
31.559 SHARES OF NORTHERN HIGH YIELD FIXED INCOME FUND	06/15/2016 12/18/2014	205.13 221.86	(16.73)	51	P C
102.05 SHARES OF NORTHERN HIGH YIELD FIXED INCOME FUND	06/15/2016 12/18/2014	663.33 717.41	(54.08)	51	P C
195 SHARES OF HERSHEY CO FOODS CORP	07/06/2016 12/14/2011	21,681.76 11,443.48	10,238.28	40	F C

ACCOUNT # [REDACTED]
 TAX ID XX-XXX8115
 FROM 01/01/2016
 TO 12/31/2016
 FISCAL YEAR: 12/31

FIRST KENTUCKY TRUST COMPANY
 Gain and Loss Report
 JOHN B. HARTER CHARITABLE TRUST

GAIN AND LOSS DETAIL

CUSIP #/ PROPERTY DESCRIPTION -----	DATE SOLD/ ACQUIRED -----	PROCEEDS OF SALE/ COST -----	GAIN OR LOSS -----	REG	HOW ACQ COVERED -----
LONG TERM CAPITAL GAIN (LOSS) - CONTINUED -----					
410 SHARES OF EMC CORP MASS	09/07/2016 12/14/2011	12,017.47 9,073.47	2,944.00	40	F C
295 SHARES OF EMC CORP MASS	09/07/2016 11/05/2012	8,646.72 7,348.42	1,298.30	40	P C
4378.284 SHARES OF PIMCO ALL ASSET FUND INST CLASS ORIG. ACQ DATE 12/31/2014	09/29/2016 12/31/2014	50,000.00 50,788.10	(788.10)	51	P C
200 SHARES OF UNION PACIFIC CORP ORIG. ACQ DATE 12/14/2011	09/28/2016 12/14/2011	19,221.58 9,869.30	9,352.28	40	F C
7686.395 SHARES OF NATIXIS LOOMIS SAYLES CORE PLUS BOND Y ORIG. ACQ DATE 12/17/2014	12/29/2016 12/17/2014	97,847.81 100,000.00	(2,152.19)	51	P C
TOTAL LONG TERM CAPITAL GAIN (LOSS)		358,798.44 346,215.20	12,583.24		

ACCOUNT # [REDACTED]
 TAX ID XX-XXX8115
 FROM 01/01/2016
 TO 12/31/2016
 FISCAL YEAR: 12/31

FIRST KENTUCKY TRUST COMPANY
 Gain and Loss Report
 JOHN B. HARTER CHARITABLE TRUST

GAIN AND LOSS DETAIL

CUSIP #/ PROPERTY DESCRIPTION -----	DATE SOLD/ ACQUIRED -----	PROCEEDS OF SALE/ COST -----	GAIN OR LOSS -----	HOW ACQ REG ---	COVEREI -----
SHORT TERM CAPITAL GAINS DIVIDENDS -----					
10627.978 BASIS SHARES OF LAZARD US EQUITY CONCENTRATED INSTL			3,104.43	51	
9017.13 BASIS SHARES OF BLACKROCK MULTI ASSET INCOME INSTL			277.18	51	
8818.342 BASIS SHARES OF VANGUARD HIGH YIELD ADM			17.64	51	
TOTAL SHORT TERM CAPITAL GAINS DIVIDENDS		0.00 0.00	3,399.25		

ACCOUNT # [REDACTED]
 TAX ID XX-XXX8115
 FROM 01/01/2016
 TO 12/31/2016
 FISCAL YEAR: 12/31

FIRST KENTUCKY TRUST COMPANY
 Gain and Loss Report
 JOHN B. HARTER CHARITABLE TRUST

GAIN AND LOSS DETAIL

CUSIP #/ PROPERTY DESCRIPTION -----	DATE SOLD/ ACQUIRED -----	PROCEEDS OF SALE/ COST -----	GAIN OR LOSS -----	HOW ACQ REG ---	COVEREI -----
LONG TERM CAPITAL GAINS DIVIDENDS -----					
7238.481 BASIS SHARES OF DODGE & COX INCOME FUND			137.53	51	
10588.164 BASIS SHARES OF LAZARD US EQUITY CONCENTRATED INSTL			602.78	51	
10627.978 BASIS SHARES OF LAZARD US EQUITY CONCENTRATED INSTL			3,177.23	51	
TOTAL LONG TERM CAPITAL GAINS DIVIDENDS		0.00 0.00	3,917.54		

STATEMENT PERIOD: DECEMBER 01, 2016 THROUGH DECEMBER 31, 2016

PORTFOLIO DETAIL - PRINCIPAL

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .38%	BGSXX	89,967.750	89,967.75 89,967.75	1.00 1.00	341.88	0.38
PRINCIPAL CASH			200,427.80- 200,427.80-			
* TOTAL CASH AND EQUIVALENTS			110,460.05- 110,460.05-		341.88 0.00	0.31-
EQUITIES						
ALPHABET INC CL C	GOOG	25.000	19,295.50 6,097.98	771.82 243.92	13,197.52	
ALPHABET INC CL A	GOOGL	25.000	19,811.25 6,134.41	792.45 245.38	13,676.84	
AMERICAN WATER WORKS CO	AWK	500.000	36,180.00 19,028.85	72.36 38.06	750.00 17,151.15	2.07
APPLE INC	AAPL	350.000	40,537.00 2,943.18	115.82 8.41	798.00 37,593.82	1.97
BANK OF AMERICA CORP	BAC	2,500.000	55,250.00 22,476.75	22.10 8.99	750.00 32,773.25	1.36
BLACKROCK MULTI ASSET INCOME INSTL	BIICX	9,043.156	96,490.47 101,077.57	10.67 11.18	4,530.62 4,587.10-	4.70
CME GROUP INC	CME	350.000	40,372.50 25,398.31	115.35 72.57	840.00 14,974.19	2.08
CHEVRON CORP	CVX	195.000	22,951.50 19,456.03	117.70 99.77	842.40 3,495.47	3.67
CITIGROUP INC	C	525.000	31,200.75 17,411.88	59.43 33.17	336.00 13,788.87	1.08
COCA COLA CO	KO	600.000	24,876.00 22,040.21	41.46 36.73	840.00 2,835.79	3.38
COMCAST CORP NEW CL A	CMCSA	400.000	27,620.00 9,382.46	69.05 23.46	440.00 18,237.54	1.59
CONOCOPHILLIPS	COP	500.000	25,070.00 30,037.40	50.14 60.07	500.00 4,967.40-	1.99
DU PONT E I DE NEMOURS & CO	DD	340.000	24,956.00 14,190.98	73.40 41.74	516.80 10,765.02	2.07
EXXON MOBIL CORP	XOM	290.000	26,175.40 21,332.40	90.26 73.56	870.00 4,843.00	3.32
GENERAL ELECTRIC CO	GE	700.000	22,120.00 12,383.88	31.60 17.69	672.00 9,736.12	3.04
GOLDMAN SACHS MULTI-MANAGER ALTS INSTL	GSMMX	13,585.827	139,934.02 150,612.71	10.30 11.09	2,744.34 10,678.69-	1.96

STATEMENT PERIOD: DECEMBER 01, 2016 THROUGH DECEMBER 31, 2016

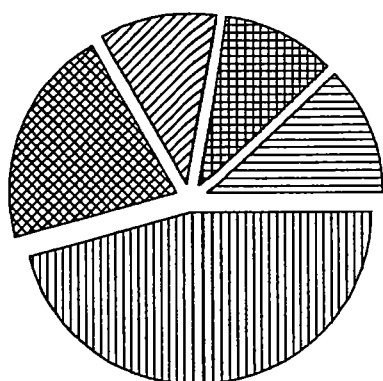
PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
HARBOR INTERNATIONAL FD	HAINX	2,022.591	118,139.54 71,440.39	58.41 35.32	2,352.27 46,699.15	1.99
HOME DEPOT INC	HD	300.000	40,224.00 13,404.00	134.08 44.68	828.00 26,820.00	2.06
INTEL CORP	INTC	910.000	33,005.70 25,532.11	36.27 28.06	946.40 7,473.59	2.87
ISHARES RUSSELL MIDCAP INDEX	IWR	1,200.000	214,632.00 77,509.12	178.86 64.59	3,687.60 137,122.88	1.72
ISHARES RUSSELL 2000 INDEX	IWM	1,000.000	134,850.00 79,107.94	134.85 79.11	1,854.00 55,742.06	1.37
ISHARES US TELECOMMUNICATIONS ETF	IYZ	800.000	27,600.00 23,640.00	34.50 29.55	625.60 3,960.00	2.27
JPMORGAN CHASE & CO	JPM	750.000	64,717.50 21,680.34	86.29 28.91	1,440.00 43,037.16	2.23
JOHNSON & JOHNSON	JNJ	240.000	27,650.40 15,126.30	115.21 63.03	768.00 12,524.10	2.78
KOHL'S CORP	KSS	600.000	29,628.00 29,414.97	49.38 49.02	1,200.00 213.03	4.05
LAZARD US EQUITY CONCENTRATED INSTL	LEVIX	11,068.487	156,729.78 134,791.38	14.16 12.18	520.22 21,938.40	0.33
MFS INSTL INTERNATIONAL EQUITY	MIEIX	4,186.024	84,808.85 90,026.50	20.26 21.51	1,506.97 5,217.65-	1.78
MERCK & CO INC	MRK	500.000	29,435.00 23,266.64	58.87 46.53	940.00 6,168.36	3.19
MICROSOFT CORP	MSFT	530.000	32,934.20 12,341.38	62.14 23.29	826.80 20,592.82	2.51
NATIONAL OIL WELL VARCO INC	NOV	600.000	22,464.00 23,551.32	37.44 39.25	120.00 1,087.32-	0.53
NORDSTROM INC	JWN	600.000	28,758.00 27,743.96	47.93 46.24	888.00 1,014.04	3.09
NORTHERN TRUST CORP	NTRS	400.000	35,620.00 18,479.96	89.05 46.20	608.00 17,140.04	1.71
NOVARTIS A G SPONSORED ADR	NVS	300.000	21,852.00 17,807.01	72.84 59.36	690.90 4,044.99	3.16
ORACLE CORP	ORCL	500.000	19,225.00 15,256.80	38.45 30.51	300.00 3,968.20	1.56
PFIZER INC	PFE	950.000	30,856.00 24,671.34	32.48 25.97	1,216.00 6,184.66	3.94
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PORTFOLIO	PBE	750.000	29,107.50 17,144.93	38.81 22.86	111.75 11,962.57	0.38

STATEMENT PERIOD: DECEMBER 01, 2016 THROUGH DECEMBER 31, 2016

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
PROCTER & GAMBLE CO	PG	500.000	42,040.00 35,247.02	84.08 70.49	1,339.00 6,792.98	3.19
SPDR TECHNOLOGY SELECT SECTOR FUND	XLK	900.000	43,524.00 39,507.52	48.36 43.90	758.70 4,016.48	1.74
UNITED PARCEL SERVICE INC CL B	UPS	300.000	34,392.00 23,610.99	114.64 78.70	936.00 10,781.01	2.72
UNITED TECHNOLOGIES CORP	UTX	350.000	38,367.00 22,050.38	109.62 63.00	924.00 16,316.62	2.41
VANGUARD HIGH DIVIDEND YIELD INDX ETF	VYM	5,000.000	378,850.00 243,900.05	75.77 48.78	11,030.00 134,949.95	2.91
VANGUARD FTSE EMERGING MARKETS ETF	VWO	4,600.000	164,588.00 194,741.45	35.78 42.34	4,140.00 30,153.45-	2.52
VANGUARD ENERGY ETF	VDE	350.000	36,638.00 39,842.39	104.68 113.84	844.90 3,204.39-	2.31
VANGUARD MATERIALS INDEX FUND	VAW	200.000	22,488.00 16,040.00	112.44 80.20	375.80 6,448.00	1.67
WATERS CORP	WAT	175.000	23,518.25 23,154.06	134.39 132.31	364.19	
WELLS FARGO & CO COMPANY NEW	WFC	460.000	25,350.60 12,432.02	55.11 27.03	699.20 12,918.58	2.76
DC INDUSTRIAL LIQUIDATING TRUST		10,752.688	6,021.52 6,021.52	0.56 0.56		
* TOTAL EQUITIES			2,650,855.23 1,898,488.79		57,908.27 752,366.44	2.18

BOND QUALITY SUMMARY


S & P QUALITY RATING	MARKET VALUE	PERCENT
TREASURY / AGENCY	118,957.31	12.3%
AA+	102,075.00	10.5%
AA	102,416.00	10.6%
AA-	201,625.00	20.8%
NOT RATED	445,197.58	45.8%
Total	970,270.89	100.0%

STATEMENT PERIOD: DECEMBER 01, 2016 THROUGH DECEMBER 31, 2016

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	YIELD CURRENT/ MATURITY
FIXED INCOME						
APPLE INC SR GLBL NT DTD 05/06/2014 2.85% 05/06/2021	AA+	100,000.000	102,075.00 101,948.00	102.08 101.95	2,850.00 127.00	2.79 2.35
BERKSHIRE HATHAWAY FINANCE CORP SR NT DTD 10/15/2013 2.9% 10/15/2020	AA	100,000.000	102,416.00 102,185.00	102.42 102.19	2,900.00 231.00	2.83 2.23
COCA COLA CO NOTE DTD 11/01/2013 2.45% 11/01/2020	AA-	100,000.000	101,033.00 100,987.00	101.03 100.99	2,450.00 46.00	2.42 2.17
COHEN & STEERS PREFERRED SEC & INC I		3,566.334	47,931.53 50,000.00	13.44 14.02	2,753.21 2,068.47-	5.74
DODGE & COX INCOME FUND		7,248.744	98,510.43 89,456.26	13.59 12.34	3,174.95 9,054.17	3.22
ISHARES BARCLAYS TIPS BONDS		720.000	81,482.40 74,864.02	113.17 103.98	1,203.12 6,618.38	1.48
JPMORGAN CORE PLUS BOND INSTL		11,642.157	95,116.42 95,000.00	8.17 8.16	2,631.13 116.42	2.77
PIMCO ALL ASSET FUND INST CLASS		6,344.921	70,745.87 73,601.08	11.15 11.60	2,544.31 2,855.21-	3.60
TOYOTA MOTOR CREDIT DTD 10/24/2013 2% 10/24/2018	AA-	100,000.000	100,592.00 100,700.00	100.59 100.70	2,000.00 108.00-	1.99 1.67
US TREASURY INFLATION PROTECTED DTD 01/15/2009 2.125% 01/15/2019		112,585.000	118,957.31 119,796.05	105.66 106.40	2,392.43 838.74-	2.01
VANGUARD HIGH YIELD ADM		8,818.342	51,410.93 50,000.00	5.83 5.67	2,821.87 1,410.93	5.49
* TOTAL FIXED INCOME			970,270.89 958,537.41		27,721.02 11,733.48	2.86
OTHER						
BURT'S ART COLLECTIONS		1.000	322,226.00 322,226.00	322,226.00		
* TOTAL OTHER			322,226.00 322,226.00		0.00 0.00	0.00
TOTAL PRINCIPAL ASSETS			3,832,892.07 3,068,792.15		85,971.17 764,099.92	2.24



STATEMENT PERIOD: DECEMBER 01, 2016 THROUGH DECEMBER 31, 2016

PORTFOLIO DETAIL - INCOME

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .38%	BGSXX	54.400	54.40 54.40	1.00 1.00	0.21	0.39
INCOME CASH			200,427.80 200,427.80			
* TOTAL CASH AND EQUIVALENTS			200,482.20 200,482.20		0.21 0.00	0.00
TOTAL INCOME ASSETS			200,482.20 200,482.20		0.21 0.00	0.00

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FKT - DIVIDENDS/INTEREST	97,622.	7,317.	90,305.	90,305.	
TO PART I, LINE 4	97,622.	7,317.	90,305.	90,305.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENT PROCEEDS	46.	46.	
TOTAL TO FORM 990-PF, PART I, LINE 11	46.	46.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1,900.	0.		1,900.
TO FORM 990-PF, PG 1, LN 16B	1,900.	0.		1,900.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX PAYMENTS PRIOR YEAR	3,823.	0.		0.
FOREIGN TAXES	285.	285.		0.
TO FORM 990-PF, PG 1, LN 18	4,108.	285.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,216.	0.		1,216.	
ADMINISTRATIVE EXPENSE	12,698.	0.		12,698.	
TO FORM 990-PF, PG 1, LN 23	13,914.	0.		13,914.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	6
DESCRIPTION	AMOUNT				
ADJUSTMENT FOR TIPS	1,781.				
RECOVERIES OF PRIOR YEAR GRANT DISTRIBUTIONS	35,000.				
TOTAL TO FORM 990-PF, PART III, LINE 3	36,781.				

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT FIXED INCOME - SEE ATTACHED	X		119,796.	118,957.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			119,796.	118,957.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			119,796.	118,957.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED	1,898,489.	2,650,855.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,898,489.	2,650,855.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED	838,741.	851,314.
TOTAL TO FORM 990-PF, PART II, LINE 10C	838,741.	851,314.