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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation CUMMINGS FOUNDATION FOR BEHAVIORAL HEALTH		A Employer identification number 30-0163951	
Number and street (or P O box number if mail is not delivered to street address) 4781 CAUGHLIN PARKWAY		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code RENO, NV 89509		B Telephone number (see instructions) (775) 826-3311	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 42,919,377		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	876,162			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4,008	4,008	4,008	
	4 Dividends and interest from securities	19,444	19,444	19,444	
	5a Gross rents	15,000	15,000	15,000	
	b Net rental income or (loss) -104,191				
	6a Net gain or (loss) from sale of assets not on line 10	12,149			
	b Gross sales price for all assets on line 6a 406,271				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	57	57	57	
	12 Total. Add lines 1 through 11	926,820	38,509	38,509	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	47,576			47,576
	15 Pension plans, employee benefits	2,607			2,607
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,995			3,995
	c Other professional fees (attach schedule)	17,072	15,749		1,323
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,043	1,043		
	19 Depreciation (attach schedule) and depletion	5,016	4,539	4,539	
	20 Occupancy	18,282	18,282	18,282	
	21 Travel, conferences, and meetings	1,731			1,731
	22 Printing and publications				
	23 Other expenses (attach schedule)	826,442	96,370	96,370	724,472
	24 Total operating and administrative expenses. Add lines 13 through 23	923,764	135,983	119,191	781,704
	25 Contributions, gifts, grants paid	0			0
	26 Total expenses and disbursements. Add lines 24 and 25	923,764	135,983	119,191	781,704
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	3,056			
	b Net investment income (if negative, enter -0-)		0		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	55,844	76,645	76,645
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable		38,608,325	38,608,325
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 1,417,000 Less allowance for doubtful accounts ▶ _____	1,417,000	1,417,000	1,417,000
	8	Inventories for sale or use		2,789	2,789
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	86,248		
	b	Investments—corporate stock (attach schedule)	1,017,613	1,349,864	1,349,864
	c	Investments—corporate bonds (attach schedule)	79,274		
	11	Investments—land, buildings, and equipment basis ▶ _____ 2,186,258 Less accumulated depreciation (attach schedule) ▶ _____ 721,504	1,497,810	1,464,754	1,464,754
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 75,857 Less accumulated depreciation (attach schedule) ▶ _____ 75,857	2,300		
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,156,089	42,919,377	42,919,377	
Liabilities	17	Accounts payable and accrued expenses	135		
	18	Grants payable		40,181,333	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	1,420,966		
	23	Total liabilities (add lines 17 through 22)	1,421,101	40,181,333	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	2,734,988	2,738,044	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	2,734,988	2,738,044	
31	Total liabilities and net assets/fund balances (see instructions) .	4,156,089	42,919,377		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,734,988
2	Enter amount from Part I, line 27a	2	3,056
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,738,044
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,738,044

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	21,496	2,729,379	0 007876
2014	36,062	2,770,254	0 013018
2013	44,678	2,672,719	0 016716
2012	106,465	2,534,043	0 042014
2011	64,386	3,007,667	0 021407
2 Total of line 1, column (d)			2 0 101031
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 020206
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,771,826
5 Multiply line 4 by line 3			5 56,008
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7 56,008
8 Enter qualifying distributions from Part XII, line 4			8 781,704

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NV _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> 	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► SABATINI ASSOCIATES LTD Telephone no ► (775) 324-2066			

Located at **►** 5255 LONGLEY LN 101 RENO NVZIP+4 **►** 89511

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NICHOLAS CUMMINGS 561 KEYSTONE AVE 305 RENO, NV 89503	PRESIDENT 5 00	0	0	0
ANDREW CUMMINGS PO BOX 482 GREENWICH, CT 06836	DIRECTOR 5 00	0	0	0
JANET CUMMINGS 4781 CAUGHLIN PKWY RENO, NV 89509	DIRECTOR 5 00	0	0	0
DOROTHY CUMMINGS 561 KEYSTONE AVE 305 RENO, NV 89503	SECRETARY 10 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 EDUCATION OF THE PUBLIC AND RESEARCH REGARDING BEHAVIORAL HEALTH ISSUES	781,704
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,266,500
b	Average of monthly cash balances.	1b	66,245
c	Fair market value of all other assets (see instructions).	1c	1,481,292
d	Total (add lines 1a, b, and c).	1d	2,814,037
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,814,037
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	42,211
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,771,826
6	Minimum investment return. Enter 5% of line 5.	6	138,591

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	781,704
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	781,704
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	781,704

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			114,973	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>781,704</u>				
a Applied to 2015, but not more than line 2a			114,973	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	666,731			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	666,731			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. **2015-11-18**

b Check box to indicate whether the organization is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0				0
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed	781,704	21,496	36,062	44,678	883,940
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	781,704	21,496	36,062	44,678	883,940
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	92,394	90,979	92,342	89,091	364,806
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	4,008	
4	Dividends and interest from securities. . . .			14	19,444	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.			16	-104,191	
6	Net rental income or (loss) from personal property					
7	Other investment income.					57
8	Gain or (loss) from sales of assets other than inventory					12,149
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				-80,739	12,206
13	Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		-68,533

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | |
|-------|-----|----|
| | Yes | No |
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | Yes | |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name RONALD D SIMPKINS CPA	Preparer's Signature	Date 2017-11-14	Check if self-employed ☒	PTIN P00955301
Firm's name ▶ RONALD D SIMPKINS CPA				Firm's EIN ▶ 88-0232901
Firm's address ▶ 1155 W 4TH ST STE 214 RENO, NV 895035149				Phone no (775) 786-1008

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

NICHOLAS CUMMINGS
DOROTHY CUMMINGS

TY 2016 Accounting Fees Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	3,995			3,995

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH
EIN: 30-0163951

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
IMPROVEMENTS	2012-06-30	112,955	10,137	S/L	39 0000	2,896	2,896	2,896	
GUTTERS	2015-05-19	11,503	959	S/L	7 0000	1,643	1,643	1,643	
COMPUTER	2003-09-03	960	960	S/L	5 0000				
ART	2004-12-04	3,070	3,070	S/L	7 0000				
COPIER	2004-11-02	327	327	S/L	5 0000				
COPIER	2004-11-28	604	604	S/L	5 0000				
DESKS	2004-09-25	9,910	9,910	S/L	7 0000				
FURNITURE	2004-10-21	9,403	9,403	S/L	7 0000				
FILING CABINETS	2005-02-06	2,719	2,719	S/L	7 0000				
FILING CABINETS	2005-12-15	308	308	S/L	7 0000				
FURNITURE	2005-07-20	3,915	3,915	S/L	7 0000				
FURNITURE	2005-08-25	2,517	2,517	S/L	7 0000				
PRINTER	2006-07-27	606	606	S/L	5 0000				
WINDOW COVERINGS	2006-06-05	532	532	S/L	7 0000				
COMPUTERS	2005-02-25	6,557	6,557	S/L	5 0000				
COMPUTERS	2005-08-11	17,600	17,600	S/L	5 0000				
FURNITURE	2005-05-20	392	392	S/L	7 0000				
FURNITURE	2005-10-09	457	457	S/L	7 0000				
WINDOW SHADES	2007-08-03	3,441	3,441	S/L	7 0000				
PHONE LINE	2007-05-18	553	553	S/L	7 0000				

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2010-09-07	1,986	1,986	S/L	5 0000				
BUILDING	2009-05-10	10,000	9,523	S/L	7 0000	477			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH
EIN: 30-0163951

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ABBVIE	2016-04	PURCHASE	2016-06		1,581	1,535			46	
ACTAVIS FUNDING	2016-03	PURCHASE	2016-08		2,048	2,045			3	
ACTIVISION BLIZZARD	2016-08	PURCHASE	2016-11		2,557	2,510			47	
ADIENT PLC	2016-10	PURCHASE	2016-10		18	19			-1	
ADVANCE AUTO PARTS	2015-12	PURCHASE	2016-09		894	918			-24	
AMERICAN TOWER	2016-04	PURCHASE	2016-12		1,261	1,214			47	
AMGEN INC	2016-06	PURCHASE	2016-11		2,014	2,224			-210	
ANADARKO PETE	2015-10	PURCHASE	2016-02		191	320			-129	
ANSYS INC	2015-12	PURCHASE	2016-09		2,368	2,410			-42	
APARTMENT INVT	2015-12	PURCHASE	1947-01		547	537			10	
APPLE INC	2016-01	PURCHASE	2016-04		673	601			72	
APPLIED MATERIALS	2016-05	PURCHASE	2016-12		229	160			69	
BANK OF HAWAII	2015-12	PURCHASE	2016-04		6,810	6,630			180	
BANK OF NEW YORK	2015-12	PURCHASE	2016-05		3,911	4,272			-361	
BERKSHIRE HATHAWAY	2015-12	PURCHASE	2016-07		424	406			18	
AIR PROD	2016-07	PURCHASE	2016-09		586	597			-11	
ALEXANDRIA REAL ESTATE	2015-12	PURCHASE	2016-04		94	90			4	
ALLERGAN	2016-04	PURCHASE	2016-11		3,065	3,315			-250	
ALLY	2015-06	PURCHASE	2016-04		2,217	2,944			-727	
ALPHABET	2016-01	PURCHASE	2016-04		1,493	1,507			-14	
AMERICAN EXPRESS	2015-12	PURCHASE	2016-01		765	846			-81	
BIOGEN	2016-11	PURCHASE	2016-11		1,221	1,274			-53	
BOEING	2016-04	PURCHASE	2016-06		1,523	1,576			-53	
BOSTON PROPERTIES	2015-12	PURCHASE	2016-07		132	125			7	
BOISTEN SCIENTIFIC	2016-04	PURCHASE	2016-06		1,504	1,306			198	
BRISTOL MYERS	2016-04	PURCHASE	2016-10		3,271	3,948			-677	
BROADCOM LTD	2016-04	PURCHASE	2016-12		165	159			6	
C R BARD INC	2016-02	PURCHASE	2016-04		1,852	1,690			162	
CARE CAP	2015-12	PURCHASE	2016-01		245	251			-6	
CARTERS	2016-03	PURCHASE	2016-04		2,694	2,684			10	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CENTENE CORP	2016-07	PURCHASE	2016-12		1,859	2,238			-379	
CHARLES SCHWAB	2016-07	PURCHASE	2016-12		2,476	1,565			911	
CHARTER COMMUNICATIONS	2016-04	PURCHASE	2016-09		2,186	2,031			155	
CITIZENS FINANCIAL	2015-12	PURCHASE	2016-11		2,572	2,222			350	
COMCAST	2016-04	PURCHASE	2016-08		132	124			8	
COMSCORE	2015-12	PURCHASE	2016-02		2,964	3,495			-531	
CRACKER BARREL	2016-03	PURCHASE	2016-04		1,673	1,662			11	
CROWN CASTLE	2016-05	PURCHASE	2016-12		4,145	4,070			75	
CUBESMART	2015-12	PURCHASE	2016-08		234	236			-2	
CVS	2016-08	PURCHASE	2016-11		2,926	3,390			-464	
DDR CORP	2015-12	PURCHASE	2016-11		784	818			-34	
DELPHI	2016-04	PURCHASE	2016-06		1,339	1,521			-182	
DELTA	2016-04	PURCHASE	2016-06		1,563	1,916			-353	
DENTSPLY	2015-12	PURCHASE	2016-03		33	34			-1	
DIAGEO	2015-12	PURCHASE	2016-02		3,256	3,543			-287	
DIAMONDBACK	2016-05	PURCHASE	2016-12		109	92			17	
DOLLAR GEN	2016-03	PURCHASE	2016-04		2,908	2,627			281	
DUKE REALTY	2015-12	PURCHASE	2016-11		197	166			31	
EDWARD LIFESIENCES	2016-04	PURCHASE	2016-05		1,816	1,953			-137	
ELECTRONIC ARTS	2016-04	PURCHASE	2016-07		3,042	2,581			461	
EOG RESOURCES	2015-12	PURCHASE	2016-02		2,723	3,263			-540	
EPR	2015-12	PURCHASE	2016-08		253	165			88	
ESSEX PROPERTY	2015-12	PURCHASE	2016-04		224	232			-8	
FACEBOOK	2015-06	PURCHASE	2016-04		2,802	2,122			680	
FEDL RLTY	2015-10	PURCHASE	2016-03		453	424			29	
FERRARI N V	2016-02	PURCHASE	2016-04		2,856	3,030			-174	
FIAT	2016-01	PURCHASE	2016-02		1,736	2,670			-934	
FIDELITY NATL	2016-05	PURCHASE	2016-12		535	499			36	
FIRST CASH FINL	2016-01	PURCHASE	2016-02		4,621	4,338			283	
FIRST DATA	2016-04	PURCHASE	2016-05		1,735	1,884			-149	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
FIRST INDUST	2015-12	PURCHASE	2016-06		629	609			20	
FNMA	2016-03	PURCHASE	2016-11		1,002	1,001			1	
GENERAL GROWTH	2015-12	PURCHASE	2016-08		689	600			89	
GEO GROUP	2015-12	PURCHASE	2016-02		82	86			-4	
GILEAD	2015-08	PURCHASE	2016-04		2,723	3,227			-504	
HANESBRAND	2016-01	PURCHASE	2016-04		1,530	1,705			-175	
HOME DEPOT	2016-04	PURCHASE	2016-08		939	937			2	
HONEYWELL	2015-07	PURCHASE	2016-04		1,607	1,440			167	
HOST HOTEL	2015-12	PURCHASE	2016-05		1,111	1,192			-81	
HP INC	2016-04	PURCHASE	2016-07		1,053	914			139	
HUDSON PACIFIC	2015-12	PURCHASE	2016-04		466	445			21	
ILLUMINA	2015-10	PURCHASE	2016-04		3,308	3,558			-250	
INTUIT	2016-04	PURCHASE	2016-08		3,111	2,888			223	
JACK HENRY	2015-12	PURCHASE	2016-01		1,252	1,262			-10	
JOHN CTRL	2016-05	PURCHASE	2016-09		3,908	3,752			156	
KANSAS CY	2016-06	PURCHASE	2016-12		1,792	1,972			-180	
KILROY REALTY	2015-12	PURCHASE	2016-05		64	65			-1	
KIMCO REALTY	2016-02	PURCHASE	2016-02		159	161			-2	
L BRANDS	2016-04	PURCHASE	2016-04		700	714			-14	
LABORATORY CP	2016-04	PURCHASE	2016-04		3,635	3,692			-57	
LAS VEGAS SANDS	2015-12	PURCHASE	2016-05		3,523	3,358			165	
LIBERTY GLOBAL	2015-12	PURCHASE	2016-07		19	24			-5	
LIVANOVA	2015-12	PURCHASE	2016-08		3,618	3,517			101	
LOCKHEED	2016-04	PURCHASE	2016-09		2,421	2,261			160	
LOWES	2016-03	PURCHASE	2016-04		2,745	2,719			26	
LOEWS CO	2015-12	PURCHASE	2016-07		83	77			6	
LULULEMON	2016-04	PURCHASE	2016-08		779	621			158	
MASTERCARD	2016-04	PURCHASE	2016-07		359	381			-22	
MIDDLEBY	2015-09	PURCHASE	2016-04		2,786	3,000			-214	
MOBILEYE	2016-04	PURCHASE	2016-08		803	678			125	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MONSANTO	2015-12	PURCHASE	2016-05		980	877			103	
MOODYS	2016-04	PURCHASE	2016-11		1,373	1,441			-68	
NIELSEN	2016-09	PURCHASE	2016-11		1,799	2,247			-448	
NOVARTIS	2015-06	PURCHASE	2016-04		905	1,225			-320	
NXP	2016-07	PURCHASE	2016-10		4,430	4,216			214	
ORACLE	2015-02	PURCHASE	2016-01		1,016	1,050			-34	
PACCAR	2016-01	PURCHASE	2016-09		3,679	3,119			560	
PEBBLEBROOK	2015-12	PURCHASE	2016-11		696	792			-96	
PEPSICO	2016-05	PURCHASE	2016-09		642	631			11	
PIONEER NATURAL	2016-02	PURCHASE	2016-04		1,464	1,199			265	
PPG	2016-08	PURCHASE	2016-10		2,146	2,607			-461	
PRICELINE	2016-04	PURCHASE	2016-09		4,373	3,913			460	
PRIMERICA	2015-12	PURCHASE	2016-12		3,986	2,937			1,049	
PROLOGIS	2015-12	PURCHASE	2016-11		198	171			27	
PUBLIC STORAGE	2015-12	PURCHASE	2016-05		1,695	1,702			-7	
QUEST	2015-12	PURCHASE	2016-08		2,511	2,063			448	
QUINTILIES	2016-04	PURCHASE	2016-10		2,960	2,941			19	
ROCKWELL COLLINS	2015-06	PURCHASE	2016-04		1,668	1,721			-53	
ROSS STORES	2016-08	PURCHASE	2016-11		9,583	8,509			1,074	
SALESFORCE	2016-04	PURCHASE	2016-07		394	378			16	
SBA	2016-04	PURCHASE	2016-05		1,719	1,720			-1	
SCHLUMBERGER	2016-02	PURCHASE	2016-04		3,409	3,404			5	
SENIOR HSG	2016-06	PURCHASE	2016-11		18	19			-1	
SHERWIN WILLIAMS	2015-07	PURCHASE	2016-04		300	268			32	
SIMON PPTY	2015-12	PURCHASE	2016-07		652	562			90	
SNAP-ON	2015-12	PURCHASE	2016-01		2,142	2,429			-287	
STANLEY	2015-11	PURCHASE	2016-04		2,831	2,768			63	
STATE STREET	2015-08	PURCHASE	2016-01		1,016	1,003			13	
STRYKER	2016-10	PURCHASE	2016-11		1,454	1,478			-24	
SUNSTONE	2016-01	PURCHASE	2016-01		8	7			1	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
TEAM HEALTH	2015-12	PURCHASE	2016-02		2,798	4,634			-1,836	
TEXAS INSTRUMENTS	2015-12	PURCHASE	2016-11		3,264	2,801			463	
THERMO FISHER	2016-02	PURCHASE	2016-04		1,590	1,424			166	
TIME WARNER	2016-04	PURCHASE	2016-11		2,910	2,536			374	
TGX COS	2016-02	PURCHASE	2016-04		2,597	2,538			59	
TOWERS WATSON	2015-12	PURCHASE	2016-01		2,630	2,765			-135	
ULTA SALON	2016-04	PURCHASE	2016-05		1,663	1,652			11	
ULTRA PETROLEUM	2015-12	PURCHASE	2016-02		43	548			-505	
UNDER ARMOUR	2016-04	PURCHASE	2016-10		817	1,105			-288	
UNDER ARMOUR	2016-04	PURCHASE	2016-10		689	1,091			-402	
UNITEDHEALTH	2016-01	PURCHASE	2016-12		6,369	5,208			1,161	
US TSY	2016-09	PURCHASE	2016-12		7,951	7,993			-42	
USTSY	2016-05	PURCHASE	2016-11		9,004	8,991			13	
US TSY	2016-05	PURCHASE	2016-09		2,002	2,002				
US TSY	2015-08	PURCHASE	2016-01		1,000	994			6	
US TSY	2016-10	PURCHASE	2016-12		8,345	9,157			-812	
US TSY	2015-11	PURCHASE	2016-09		6,163	5,867			296	
VENTAS INC	2015-12	PURCHASE	2016-09		1,303	1,236			67	
VEREIT	2015-12	PURCHASE	2016-02		252	277			-25	
WELLS FARGO	2015-05	PURCHASE	2016-01		963	378			585	
WELLTOWER	2015-12	PURCHASE	2016-08		238	192			46	
WILLIS TOWERS	2016-01	PURCHASE	2016-01		2,550	2,630			-80	
WINMARK	2016-01	PURCHASE	2016-08		1,997	1,829			168	
WYN RESORTS	2015-12	PURCHASE	2016-05		261	194			67	
ZIMMER BIOMET	2016-08	PURCHASE	2016-11		2,191	2,626			-435	
ALEXION	2016-04	PURCHASE	2016-06		2,793	3,973			-1,180	
ACCENTURE	2015-01	PURCHASE	2016-04		4,799	3,768			1,031	
ACTAVIS	2015-04	PURCHASE	2016-08		12,458	12,247			211	
ADOBE	2014-03	PURCHASE	2016-04		2,923	1,944			979	
ADVANSIX	2015-07	PURCHASE	2016-10		19	13			6	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
AMAZON	2014-02	PURCHASE	2016-10		3,175	886			2,289	
AMERIPRISE	2014-04	PURCHASE	2016-04		2,230	2,165			65	
ANADARKO	2014-11	PURCHASE	2016-02		2,364	5,389			-3,025	
ANALOG	2014-10	PURCHASE	2016-04		4,716	3,284			1,432	
ANHEUSER BUSCH	2012-07	PURCHASE	2016-04		4,562	3,021			1,541	
ANNUEUSER-BUSCH	2014-07	PURCHASE	2016-01		1,023	1,027			-4	
APPLE	2011-06	PURCHASE	2016-05		6,190	5,518			672	
BIOGEN	2014-10	PURCHASE	2016-04		2,992	3,393			-401	
CALIFORNIA RES	2014-10	PURCHASE	2016-03		5	6			-1	
CELGENE	2012-06	PURCHASE	2016-07		309	92			217	
CERNER	2014-04	PURCHASE	2016-04		946	923			23	
CHARLES SCHWAB	2015-12	PURCHASE	2016-12		3,654	3,167			487	
CHUBB	2014-11	PURCHASE	2016-10		631	496			135	
COSTCO	2014-10	PURCHASE	2016-09		4,201	3,283			918	
CROWN CASTLE	2015-10	PURCHASE	2016-11		164	162			2	
EQUITY RESIDENTIAL	2015-07	PURCHASE	2016-12		1,731	1,740			-9	
ESTEE LAUDER	2011-05	PURCHASE	2016-04		3,021	1,637			1,384	
FEDL RLTY	2015-10	PURCHASE	2016-11		140	141			-1	
FNMA	2014-04	PURCHASE	2016-11		2,004	2,002			2	
GOLDMAN	2014-12	PURCHASE	2016-01		1,020	1,031			-11	
HAIN CELESTIAL	2014-07	PURCHASE	2016-03		2,066	2,127			-61	
HARMAN	2015-01	PURCHASE	2016-04		1,851	2,300			-449	
HD SUPPLY	2014-12	PURCHASE	2016-04		4,493	3,684			809	
JPMORGAN	2014-12	PURCHASE	2016-02		12,982	12,923			59	
MICROSOFT	2014-10	PURCHASE	2016-09		2,131	1,731			400	
NOVARTIS	2014-12	PURCHASE	2016-04		2,961	3,724			-763	
NXP	2015-10	PURCHASE	2016-10		392	355			37	
PALO ALTO NETWORKS	2014-04	PURCHASE	2016-05		2,489	1,303			1,186	
PEBBLEBROOK	2015-12	PURCHASE	2016-12		123	122			1	
PIONEER NATURAL	2014-12	PURCHASE	2016-04		1,756	1,398			358	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
RESTORATION HARDWARE	2014-10	PURCHASE	2016-06		1,268	2,508			-1,240	
ROCKWELL COLLINS	2015-02	PURCHASE	2016-04		2,039	1,921			118	
SCHLUMBERGER	2013-04	PURCHASE	2016-04		620	556			64	
SHIRE PLC	2015-01	PURCHASE	2016-04		2,743	3,110			-367	
SL GREEN	2015-12	PURCHASE	2016-12		110	117			-7	
STARBUCKS	2013-04	PURCHASE	2016-11		1,849	1,111			738	
STARWOOD	2013-11	PURCHASE	2016-03		3,849	3,422			427	
UNITED RENTALS	2015-01	PURCHASE	2016-04		2,605	2,364			241	
US TSY	2014-12	PURCHASE	2016-09		3,002	3,001			1	
V F CORP	2014-02	PURCHASE	2016-04		3,877	2,794			1,083	
WALGREENS	2012-09	PURCHASE	2016-04		2,594	1,120			1,474	
WALT DISNEY	2011-06	PURCHASE	2016-04		5,363	3,178			2,185	

TY 2016 Investments Corporate Bonds Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY		

TY 2016 Investments Corporate Stock Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY	1,266,170	1,266,170
MORGAN STANLEY-MUTUAL FUNDS		
NDC DELTA	83,694	83,694

TY 2016 Investments - Land Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	2,186,258	721,504	1,464,754	1,464,754

TY 2016 Land, Etc. Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	75,857	75,857		

TY 2016 Other Expenses Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE BUILDING				
SECURITY	1,946	1,946	1,946	
REPAIRS	9,189	9,189	9,189	
COPIER	2,162	2,162	2,162	
HOA	9,612	9,612	9,612	
INTERNET	5,400	5,400	5,400	
JANITORIAL	4,650	4,650	4,650	
SEWER	537	537	537	
TELEPHONE	7,515	7,515	7,515	
WATER	1,143	1,143	1,143	

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UTILITIES	5,255	5,255	5,255	
INSURANCE	7,333	7,333	7,333	
INVESTMENT DEPRECIATION	41,628	41,628	41,628	
EXPENSES				
OFFICE	1,622			
REPAIRS	3,880			
BANK CHARGES	98			
SHIPPING	119			119
SUPPLIES	153			153
GRANTS	724,200			724,200

TY 2016 Other Income Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
S577999	57	57	57

TY 2016 Other Liabilities Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO CUMMINGS	1,303,532	
INVESTMENTS-DELTA REALTY	117,434	

TY 2016 Other Notes/Loans Receivable Short Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Name of 501(c)(3) Organization	Balance Due
DUE FROM DELTA REALTY	1,417,000

TY 2016 Other Professional Fees Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL PROCESSING	1,323			1,323
MORGAN STANLEY	15,749	15,749		

**TY 2016 Substantial Contributors
Schedule**

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Name	Address
NDC GAMMA LP	561 KEYSTONE AVE PMB 305 RENO, NV 89503
NDC INVESTMENTS	561 KEYSTONE AVE PMB 305 RENO, NV 89503
AMERICAN ENDOWMENT FOUNTATION	1521 GEORGETOWN ROAD SUITE 104 HUDSON, OH 44236

TY 2016 Taxes Schedule

Name: CUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH

EIN: 30-0163951

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES AND LICENSES	1,043	1,043		

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization CUMMINGS FOUNDATION FOR BEHAVIORAL HEALTH	Employer identification number 30-0163951

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization CUMMINGS FOUNDATION FOR BEHAVIORAL HEALTH	Employer identification number 30-0163951
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NDC GAMMA LIMITED PARTNERSHIP	\$ 9,000	Person ☒
	561 KEYSTONE AVE PMB 305		Payroll ☐
	RENO, NV89503		Noncash ☐ (Complete Part II for noncash contributions)
2	NDC INVESTMENTS LTD	\$ 15,000	Person ☒
	561 KEYSTONE AVE PMB 305		Payroll ☐
	RENO, NV89503		Noncash ☐ (Complete Part II for noncash contributions)
3	AMERICAN ENDOWMENT FOUNDATION	\$ 852,000	Person ☒
	1521 GEORGETOWN ROAD SUITE 104		Payroll ☐
	HUDSON, OH44236		Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

30-0163951

Part II	Noncash Property
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Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organizationCUMMINGS FOUNDATION FOR BEHAVIORAL
HEALTH**Employer identification number**

30-0163951

Part III **Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of **exclusively** religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	