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EXTENDED TO NOVEMBER 15, 2017

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, and ending

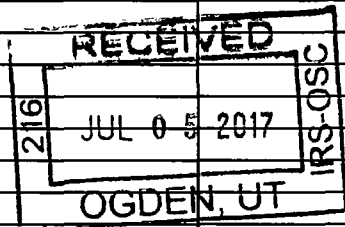
|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>NEW YORK STATE HEALTH FOUNDATION</b>                                                                                                                                                                                                                                                   |                                                                                                                                                  | A Employer identification number<br><b>30-0127892</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>1385 BROADWAY, 23RD FL</b>                                                                                                                                                                                               | Room/suite                                                                                                                                       | B Telephone number<br><b>212 664-7656</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>NEW YORK, NY 10018-6013</b>                                                                                                                                                                                                      |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>276,606,882.</b>                                                                                                                                                                                                    | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                       |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                            |  | 2,740,433.                         | 6,280,371.                |                         | STATEMENT 1                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 5,495,377.                         |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a <b>13,396,244.</b>                                                                                    |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 5,257,405.                |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  | 223,032.                           | 177,693.                  |                         | STATEMENT 2                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 8,458,842.                         | 11,715,469.               |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               |  | 591,853.                           | 0.                        |                         | 591,853.                                                    |
| 14 Other employee salaries and wages                                                                                                                |  | 2,036,416.                         | 338,616.                  |                         | 1,656,590.                                                  |
| 15 Pension plans, employee benefits                                                                                                                 |  | 724,324.                           | 93,319.                   |                         | 600,541.                                                    |
| 16a Legal fees STMT 3                                                                                                                               |  | 51,773.                            | 0.                        |                         | 48,498.                                                     |
| b Accounting fees STMT 4                                                                                                                            |  | 33,973.                            | 0.                        |                         | 33,723.                                                     |
| c Other professional fees STMT 5                                                                                                                    |  | 312,575.                           | 269,341.                  |                         | 87,215.                                                     |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes STMT 6                                                                                                                                     |  | 106,000.                           | 157,016.                  |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                       |  | 28,506.                            | 0.                        |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  | 725,482.                           | 94,313.                   |                         | 662,652.                                                    |
| 21 Travel, conferences, and meetings                                                                                                                |  | 123,429.                           | 3,353.                    |                         | 109,006.                                                    |
| 22 Printing and publications                                                                                                                        |  | 22,262.                            | 0.                        |                         | 6,326.                                                      |
| 23 Other expenses STMT 7                                                                                                                            |  | 442,390.                           | 109,665.                  |                         | 423,208.                                                    |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 5,198,983.                         | 1,065,623.                |                         | 4,219,612.                                                  |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 9,307,645.                         |                           |                         | 11,063,736.                                                 |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 14,506,628.                        | 1,065,623.                |                         | 15,283,348.                                                 |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | -6,047,786.                        |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 10,649,846.               |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |

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Received in JUL 10 2017  
 24 Batchings Ogden  
 SCANNED JUL 10 2017



| <b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only |                                                                                                                                          | Beginning of year | End of year    |                       |
|-------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                               |                                                                                                                                          | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                 | 1 Cash, non-interest-bearing                                                                                                             | 238,244.          | 348,696.       | 348,696.              |
|                                                                                                                               | 2 Savings and temporary cash investments                                                                                                 | 681,744.          | 668,363.       | 668,363.              |
|                                                                                                                               | 3 Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                       | 1,726.            |                |                       |
|                                                                                                                               | 4 Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                        |                   |                |                       |
|                                                                                                                               | 5 Grants receivable                                                                                                                      |                   |                |                       |
|                                                                                                                               | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                     |                   |                |                       |
|                                                                                                                               | 7 Other notes and loans receivable ▶<br>Less: allowance for doubtful accounts ▶                                                          |                   |                |                       |
|                                                                                                                               | 8 Inventories for sale or use                                                                                                            |                   |                |                       |
|                                                                                                                               | 9 Prepaid expenses and deferred charges                                                                                                  | 22,242.           | 8,385.         | 8,385.                |
|                                                                                                                               | 10a Investments - U.S. and state government obligations                                                                                  |                   |                |                       |
|                                                                                                                               | b Investments - corporate stock                                                                                                          |                   |                |                       |
|                                                                                                                               | c Investments - corporate bonds                                                                                                          |                   |                |                       |
|                                                                                                                               | 11 Investments - land, buildings, and equipment basis ▶<br>Less accumulated depreciation ▶                                               |                   |                |                       |
|                                                                                                                               | 12 Investments - mortgage loans                                                                                                          |                   |                |                       |
|                                                                                                                               | 13 Investments - other STMT 9                                                                                                            | 271,113,851.      | 275,309,068.   | 275,309,068.          |
|                                                                                                                               | 14 Land, buildings, and equipment basis ▶ STMT 8 642,096.                                                                                | 127,418.          | 108,364.       | 108,364.              |
|                                                                                                                               | Less accumulated depreciation STMT 8 ▶ 533,732.                                                                                          | 558,248.          | 164,006.       | 164,006.              |
|                                                                                                                               | 15 Other assets (describe ▶ STATEMENT 10)                                                                                                |                   |                |                       |
|                                                                                                                               | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                                         | 272,743,473.      | 276,606,882.   | 276,606,882.          |
| <b>Liabilities</b>                                                                                                            | 17 Accounts payable and accrued expenses                                                                                                 | 235,028.          | 323,007.       |                       |
|                                                                                                                               | 18 Grants payable                                                                                                                        | 7,388,920.        | 5,175,574.     |                       |
|                                                                                                                               | 19 Deferred revenue                                                                                                                      | 30,268.           |                |                       |
|                                                                                                                               | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                   |                |                       |
|                                                                                                                               | 21 Mortgages and other notes payable                                                                                                     |                   |                |                       |
|                                                                                                                               | 22 Other liabilities (describe ▶ STATEMENT 11)                                                                                           | 1,560,296.        | 1,772,547.     |                       |
|                                                                                                                               | 23 Total liabilities (add lines 17 through 22)                                                                                           | 9,214,512.        | 7,271,128.     |                       |
| <b>Net Assets or Fund Balances</b>                                                                                            | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                   |                |                       |
|                                                                                                                               | 24 Unrestricted                                                                                                                          | 263,528,961.      | 269,335,754.   |                       |
|                                                                                                                               | 25 Temporarily restricted                                                                                                                |                   |                |                       |
|                                                                                                                               | 26 Permanently restricted                                                                                                                |                   |                |                       |
|                                                                                                                               | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                   |                |                       |
|                                                                                                                               | 27 Capital stock, trust principal, or current funds                                                                                      |                   |                |                       |
|                                                                                                                               | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                        |                   |                |                       |
|                                                                                                                               | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      |                   |                |                       |
|                                                                                                                               | 30 Total net assets or fund balances                                                                                                     | 263,528,961.      | 269,335,754.   |                       |
|                                                                                                                               | 31 Total liabilities and net assets/fund balances                                                                                        | 272,743,473.      | 276,606,882.   |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                 |                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 263,528,961. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 -6,047,786.  |
| 3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS                                                                             | 3 12,096,084.  |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 269,577,259. |
| 5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX EXPENSE                                                                              | 5 241,505.     |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 269,335,754. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a SEE ATTACHMENT A                                                                                                                | P                                                | VARIOUS                              | VARIOUS                          |
| b BLACKROCK RUSSELL 1000 INDEX FUND B REALIZED                                                                                     |                                                  |                                      |                                  |
| c GAINS THROUGH K-1                                                                                                                | P                                                | VARIOUS                              | VARIOUS                          |
| d BLACKROCK ACWI EX-US SUPERFUND B REALIZED                                                                                        |                                                  |                                      |                                  |
| e GAINS THROUGH K-1                                                                                                                | P                                                | VARIOUS                              | VARIOUS                          |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 13,396,244.         |                                            | 12,043,681.                                     | 1,352,563.                                   |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 | 3,323,097.                                   |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 | 581,745.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 1,352,563.                                                                                      |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 | 3,323,097.                                                                                      |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | 581,745.                                                                                        |

|                                                                                                                                                                                 |                                                                                                                             |   |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                 | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>             | 2 | 5,257,405. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | <div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div> | 3 | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2015                                                              | 14,535,462.                           | 280,458,570.                              | .051827                                                  |
| 2014                                                              | 13,979,509.                           | 285,527,645.                              | .048960                                                  |
| 2013                                                              | 14,133,834.                           | 276,157,862.                              | .051180                                                  |
| 2012                                                              | 16,008,078.                           | 273,474,679.                              | .058536                                                  |
| 2011                                                              | 16,696,087.                           | 278,449,597.                              | .059961                                                  |

|                                                                                                                                                                                                                         |   |              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                           | 2 | .270464      |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          | 3 | .054093      |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                                                          | 4 | 270,497,824. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             | 5 | 14,632,039.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | 6 | 106,498.     |
| 7 Add lines 5 and 6                                                                                                                                                                                                     | 7 | 14,738,537.  |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | 8 | 15,292,800.  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |          |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |          |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1        | 106,498. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |          |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2        | 0.       |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3        | 106,498. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4        | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5        | 106,498. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |          |          |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                    | 6a | 269,779. |          |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |          |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |          |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |          |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 269,779. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                         | 8  |          |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |          |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 163,281. |          |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 163,281. Refunded <input type="checkbox"/>                                                                                    | 11 | 0.       |          |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                        |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                 |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  | X   |    |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>                                                                                                                                                                                                                               |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         |     | X  |

Form 990-PF (2016)

**Part VII-A** Statements Regarding Activities (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                         | 11  | X   |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                        | 12  | X   |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <b>WWW.NYSHEALTH.ORG</b>                                                                                                                                                               | 13  | X   |
| 14 The books are in care of ► <b>THE FOUNDATION</b> Telephone no. ► <b>212 664-7656</b><br>Located at ► <b>1385 BROADWAY, 23RD FL, NEW YORK, NY</b> ZIP+4 ► <b>10018-6013</b>                                                                                                                                                      |     |     |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                             | 15  | N/A |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16  | X   |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>                                                                                                                                                          | 1b                                                                  | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                        | 1c                                                                  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?<br>If "Yes," list the years ► _____, _____, _____                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                               | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>► _____, _____, _____                                                                                                                                                                                                                                                                                                                                                      | 2b                                                                  |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               | 4a                                                                  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                              | 4b                                                                  | X  |

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☒ Yes ☐ No☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 13

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 12     |                                                           | 591,853.                                  | 67,895.                                                               | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000      | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--------------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018 | CHIEF INVESTMENT OFFICER                                  | 305,181.         | 33,125.                                                               | 0.                                    |
| AMY DRIVER - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018         | SENIOR DIRECTOR, FINANCE & OPER.                          | 239,090.         | 59,907.                                                               | 0.                                    |
| MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018     | SENIOR DIRECTOR, COMMUNICATIONS                           | 188,809.         | 23,601.                                                               | 0.                                    |
| SHARRIE MCINTOSH - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018   | VICE PRESIDENT FOR PROGRAMS                               | 122,692.         | 15,755.                                                               | 0.                                    |
| BRIAN BYRD - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018         | PROGRAM OFFICER                                           | 119,007.         | 14,875.                                                               | 0.                                    |
| Total number of other employees paid over \$50,000                 |                                                           |                  |                                                                       | 11                                    |

Form 990-PF (2016)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service           | (c) Compensation |
|--------------------------------------------------------------------------|-------------------------------|------------------|
| BLACKROCK<br>55 EAST 52ND STREET, NEW YORK, NY 10055                     | INVESTMENT<br>MANAGEMENT FEES | 153,498.         |
| JP MORGAN - 4 METRO TECH CENTER, 16TH FLOOR,<br>NEW YORK, NY 11245       | INVESTMENT CUSTODIAN<br>FEES  | 65,843.          |
|                                                                          |                               |                  |
|                                                                          |                               |                  |
|                                                                          |                               |                  |
|                                                                          |                               |                  |
| Total number of others receiving over \$50,000 for professional services |                               | 0                |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                                              | Expenses |
|----------------------------------------------|----------|
| 1 LEARNING AND EVALUATION - SEE ATTACHMENT B |          |
|                                              | 562,254. |
| 2 LEVERAGE - SEE ATTACHMENT B                |          |
|                                              | 160,600. |
| 3 OUTREACH AND EDUCATION - SEE ATTACHMENT B  |          |
|                                              | 747,589. |
| 4                                            |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |
| Total. Add lines 1 through 3                             | 0.     |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                                      |           |              |
|----------------------------------------------------------------------------------------------------------------------|-----------|--------------|
| <b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |              |
| <b>a</b> Average monthly fair market value of securities                                                             | <b>1a</b> | 272,954,131. |
| <b>b</b> Average of monthly cash balances                                                                            | <b>1b</b> | 1,662,943.   |
| <b>c</b> Fair market value of all other assets                                                                       | <b>1c</b> | 6.           |
| <b>d</b> Total (add lines 1a, b, and c)                                                                              | <b>1d</b> | 274,617,080. |
| <b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.           |
| <b>2</b> Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.           |
| <b>3</b> Subtract line 2 from line 1d                                                                                | <b>3</b>  | 274,617,080. |
| <b>4</b> Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 4,119,256.   |
| <b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | <b>5</b>  | 270,497,824. |
| <b>6</b> Minimum investment return. Enter 5% of line 5                                                               | <b>6</b>  | 13,524,891.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|                                                                                                             |           |             |
|-------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> Minimum investment return from Part X, line 6                                                      | <b>1</b>  | 13,524,891. |
| <b>2a</b> Tax on investment income for 2016 from Part VI, line 5                                            | <b>2a</b> | 106,498.    |
| <b>b</b> Income tax for 2016. (This does not include the tax from Part VI.)                                 | <b>2b</b> |             |
| <b>c</b> Add lines 2a and 2b                                                                                | <b>2c</b> | 106,498.    |
| <b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1                              | <b>3</b>  | 13,418,393. |
| <b>4</b> Recoveries of amounts treated as qualifying distributions                                          | <b>4</b>  | 0.          |
| <b>5</b> Add lines 3 and 4                                                                                  | <b>5</b>  | 13,418,393. |
| <b>6</b> Deduction from distributable amount (see instructions)                                             | <b>6</b>  | 0.          |
| <b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 13,418,393. |

**Part XII Qualifying Distributions** (see instructions)

|                                                                                                                                            |           |             |
|--------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |             |
| <b>a</b> Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 15,283,348. |
| <b>b</b> Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.          |
| <b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  | 9,452.      |
| <b>3</b> Amounts set aside for specific charitable projects that satisfy the:                                                              |           |             |
| <b>a</b> Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |             |
| <b>b</b> Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |             |
| <b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | <b>4</b>  | 15,292,800. |
| <b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 106,498.    |
| <b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | <b>6</b>  | 15,186,302. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 13,418,393. |
| <b>2</b> Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2015 only                                                                                                                                               |               |                            | 235,096.    |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2011                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2012                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2013                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2014                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2015                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ 15,292,800.                                                                                                |               |                            |             |             |
| <b>a</b> Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 235,096.    |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 13,418,393. |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 1,639,311.    |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 1,639,311.    |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 1,639,311.    |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2012                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2013                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2014                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2015                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2016                                                                                                                                                         | 1,639,311.    |                            |             |             |

**N/A**

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Form **990-PF** (2016)

**Part XV** Supplementary Information (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                                           | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                        | Amount      |
|------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------|-------------|
| a Paid during the year                                                                                     |                                                                                                                    |                                      |                                                                                            |             |
| ACTORS' FUND OF AMERICA<br>729 SEVENTH AVENUE, 10TH FL.<br>NEW YORK, NY 10019                              | N/A                                                                                                                | PC                                   | EVERY ARTIST INSURED                                                                       | 37,500.     |
| ADAPTIVE DESIGN ASSOCIATION, INC.<br>313 WEST 36TH STREET<br>NEW YORK, NY 10018                            | N/A                                                                                                                | PC                                   | INTEGRATING ADAPTIVE<br>DESIGN SERVICES WITHIN<br>MANAGED CARE                             | 20,000.     |
| AHRC HEALTH CARE, INC.<br>83 MAIDEN LANE FLOOR 6<br>NEW YORK, NY 10038-4812                                | N/A                                                                                                                | PC                                   | SCALING UP THE<br>NATIONAL DIABETES<br>PREVENTION PROGRAM                                  | 0.          |
| AIDS COMMUNITY RESOURCES, INC.<br>627 WEST GENESEE STREET<br>SYRACUSE, NY 13204                            | N/A                                                                                                                | PC                                   | NEW YORK BENEFIT<br>EXCHANGE EDUCATION AND<br>ENROLLMENT PROGRAM<br>(NYBEEEP), PHASE 2     | 37,500.     |
| AMERICAN CANCER SOCIETY<br>ONE PENNY LANE<br>LATHAM, NY 12110                                              | N/A                                                                                                                | PC                                   | STATEWIDE CONVENING ON<br>ELECTRONIC AND OTHER<br>ALTERNATIVE NICOTINE<br>DELIVERY SYSTEMS | 40,000.     |
| Total                                                                                                      |                                                                                                                    |                                      | SEE CONTINUATION SHEET(S)                                                                  | 11,063,736. |
| b Approved for future payment                                                                              |                                                                                                                    |                                      |                                                                                            |             |
| ADAPTIVE DESIGN ASSOCIATION, INC.<br>313 WEST 36TH STREET<br>NEW YORK, NY 10018                            | N/A                                                                                                                | PC                                   | INTEGRATING ADAPTIVE<br>DESIGN SERVICES WITHIN<br>MANAGED CARE                             | 5,000.      |
| ALBANY MEDICAL CENTER<br>ALBANY MEDICAL CENTER PEDIATRIC GROUP<br>1 CLARA BARTON DRIVE ALBANY, NY<br>12208 | N/A                                                                                                                | PC                                   | SCALING UP THE WIC<br>SMILES PROGRAM                                                       | 33,555.     |
| AMERICAN CANCER SOCIETY<br>ONE PENNY LANE<br>LATHAM, NY 12110                                              | N/A                                                                                                                | PC                                   | STATEWIDE CONVENING ON<br>ELECTRONIC AND OTHER<br>ALTERNATIVE NICOTINE<br>DELIVERY SYSTEMS | 10,000.     |
| Total                                                                                                      |                                                                                                                    |                                      | SEE CONTINUATION SHEET(S)                                                                  | 5,175,574.  |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. |                                                          | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income |
|-------------------------------------------------|----------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|---------------------------------------------|
|                                                 |                                                          | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                             |
| 1                                               | Program service revenue:                                 |                           |               |                                      |               |                                             |
| a                                               | <b>PRI INTEREST</b>                                      |                           |               |                                      |               | -6,060.                                     |
| b                                               |                                                          |                           |               |                                      |               |                                             |
| c                                               |                                                          |                           |               |                                      |               |                                             |
| d                                               |                                                          |                           |               |                                      |               |                                             |
| e                                               |                                                          |                           |               |                                      |               |                                             |
| f                                               |                                                          |                           |               |                                      |               |                                             |
| g                                               | Fees and contracts from government agencies              |                           |               |                                      |               |                                             |
| 2                                               | Membership dues and assessments                          |                           |               |                                      |               |                                             |
| 3                                               | Interest on savings and temporary cash investments       |                           |               |                                      |               |                                             |
| 4                                               | Dividends and interest from securities                   |                           |               | 14                                   | 2,740,433.    |                                             |
| 5                                               | Net rental income or (loss) from real estate:            |                           |               |                                      |               |                                             |
| a                                               | Debt-financed property                                   |                           |               |                                      |               |                                             |
| b                                               | Not debt-financed property                               |                           |               |                                      |               |                                             |
| 6                                               | Net rental income or (loss) from personal property       |                           |               |                                      |               |                                             |
| 7                                               | Other investment income                                  |                           |               |                                      |               |                                             |
| 8                                               | Gain or (loss) from sales of assets other than inventory |                           |               | 18                                   | 5,495,377.    |                                             |
| 9                                               | Net income or (loss) from special events                 |                           |               |                                      |               |                                             |
| 10                                              | Gross profit or (loss) from sales of inventory           |                           |               |                                      |               |                                             |
| 11                                              | Other revenue:                                           |                           |               |                                      |               |                                             |
| a                                               | <b>GRANTS RESCINDED</b>                                  |                           |               | 01                                   | 54,640.       |                                             |
| b                                               | <b>RENTAL INCOME</b>                                     |                           |               | 16                                   | 174,372.      |                                             |
| c                                               | <b>MISCELLANEOUS</b>                                     |                           |               | 01                                   | 80.           |                                             |
| d                                               |                                                          |                           |               |                                      |               |                                             |
| e                                               |                                                          |                           |               |                                      |               |                                             |
| 12                                              | Subtotal. Add columns (b), (d); and (e)                  |                           | 0.            |                                      | 8,464,902.    | -6,060.                                     |
| 13                                              | Total. Add line 12, columns (b), (d), and (e)            |                           |               |                                      |               | 8,458,842.                                  |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |                                                                                                                                                                                                                                                                                                                                                                                              |     |    |
| a                                                                                                                                                                                                                                                      | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |     |    |
|                                                                                                                                                                                                                                                        | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|                                                                                                                                                                                                                                                        | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| b                                                                                                                                                                                                                                                      | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |     |    |
|                                                                                                                                                                                                                                                        | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|                                                                                                                                                                                                                                                        | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|                                                                                                                                                                                                                                                        | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|                                                                                                                                                                                                                                                        | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|                                                                                                                                                                                                                                                        | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|                                                                                                                                                                                                                                                        | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| c                                                                                                                                                                                                                                                      | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| d                                                                                                                                                                                                                                                      | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.





Signature of officer or trustee      Date      Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

|                                       |                                                                     |                                                                                                             |                        |                                                 |                          |
|---------------------------------------|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------------|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>THOMAS F. BLANEY,<br/>CPA, CFE</b> | Preparer's signature<br> | Date<br><b>6/21/17</b> | Check <input type="checkbox"/> if self-employed | PTIN<br><b>P00234022</b> |
|                                       | Firm's name ▶ <b>PKF O'CONNOR DAVIES, LLP</b>                       |                                                                                                             |                        | Firm's EIN ▶ <b>27-1728945</b>                  |                          |
|                                       | Firm's address ▶ <b>665 FIFTH AVENUE<br/>NEW YORK, NY 10022</b>     |                                                                                                             |                        | Phone no. <b>212-286-2600</b>                   |                          |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Name and address (home or business)                                                                             | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                        | Amount      |
|-----------------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------|-------------|
|                                                                                                                 |           |                                                                                                           |                                |                                                                                                         |             |
| AMERICAN CONGRESS OF OBSTETRICIANS AND GYNECOLOGISTS<br>100 GREAT OAKS BOULEVARD, SUITE 109<br>ALBANY, NY 12203 |           | N/A                                                                                                       | NC                             | WOMEN, PREGNANCY, & THE OPIOID EPIDEMIC IN UPSTATE NEW YORK: A HEALTHCARE PROVIDER EDUCATIONAL APPROACH | 179,745.    |
| AMERICAN DIABETES ASSOCIATION, INC.<br>160 ALLENS CREEK ROAD<br>ROCHESTER, NY 14618                             |           | N/A                                                                                                       | PC                             | DEMAND, REFER, AND REIMBURSE: INCREASING UPTAKE OF THE DIABETES PREVENTION PROGRAM IN NEW YORK STATE    | 35,000.     |
| ASIAN-AMERICAN COALITION FOR CHILDREN AND FAMILIES, INC.<br>50 BROAD ST., 18TH FLOOR<br>NEW YORK, NY 10004      |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                     | 2,000.      |
| BROOME COUNTY HEALTH DEPARTMENT<br>225 FRONT STREET<br>BINGHAMTON, NY 13905                                     |           | N/A                                                                                                       | GOV                            | BETTER BALANCE FOR BROOME FALL PREVENTION PROJECT                                                       | 10,000.     |
| CENTER FOR ACTIVE DESIGN, INC.<br>215 PARK AVENUE SOUTH 6TH FLOOR<br>NEW YORK, NY 10003                         |           | N/A                                                                                                       | PC                             | EMERGING INNOVATOR AWARD                                                                                | 25,000.     |
| CENTER FOR ACTIVE DESIGN, INC.<br>215 PARK AVENUE SOUTH 6TH FLOOR<br>NEW YORK, NY 10003                         |           | N/A                                                                                                       | PC                             | EVALUATING ACTIVE DESIGN IN AFFORDABLE HOUSING                                                          | 8,000.      |
| CENTER FOR ACTIVE DESIGN, INC.<br>215 PARK AVENUE SOUTH 6TH FLOOR<br>NEW YORK, NY 10003                         |           | N/A                                                                                                       | PC                             | EVALUATING ACTIVE DESIGN IN AFFORDABLE HOUSING                                                          | 2,000.      |
| <b>Total from continuation sheets</b>                                                                           |           |                                                                                                           |                                |                                                                                                         | 10,928,736. |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Name and address (home or business)                                                                             | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                 | Amount   |
|-----------------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------|----------|
|                                                                                                                 |           |                                                                                                           |                                |                                                                  |          |
| CENTER FOR EFFECTIVE PHILANTHROPY, INC.<br>675 MASSACHUSETTS AVENUE, 7TH FLOOR<br>CAMBRIDGE, MA 02139           |           | N/A                                                                                                       | PC                             | PHILANTHROPIC SUPPORT GRANT JULY 1, 2016 - JUNE 30, 2017         | 10,000.  |
| CENTER FOR EXCELLENCE IN HEALTH CARE JOURNALISM<br>10 NEFF HALL<br>COLUMBIA, MO 65211                           |           | N/A                                                                                                       | PC                             | 2017 NEW YORK STATE HEALTH JOURNALISM FELLOWSHIPS                | 15,360.  |
| CENTER FOR HEALTH CARE STRATEGIES, INC.<br>200 AMERICAN METRO BLVD., SUITE 119<br>HAMILTON, NJ 08619-2311       |           | N/A                                                                                                       | PC                             | NEW YORK STATE HEALTH HOMES LEARNING COLLABORATIVE, PHASE 2      | 99,738.  |
| CENTER FOR INDEPENDENCE OF THE DISABLED IN NEW YORK, INC.<br>841 BROADWAY, SUITE 301<br>NEW YORK, NY 10003-4704 |           | N/A                                                                                                       | PC                             | IMPROVING HEALTH PLAN SERVICES FOR PEOPLE WITH DISABILITIES      | 19,603.  |
| CENTER FOR LAW AND JUSTICE, INC.<br>PINE WEST PLAZA BUILDING 2, WASHINGTON AVE. EXTENSION ALBANY, NY 12205      |           | N/A                                                                                                       | PC                             | EMERGING INNOVATOR AWARD                                         | 25,000.  |
| CENTER FOR QUALITY SYSTEMS IMPROVEMENT<br>1688 ORVETTO DRIVE<br>ROSEVILLE, CA 95661                             |           | N/A                                                                                                       | PC                             | ENGAGING CONSUMERS ABOUT NETWORK ACCESSIBILITY AND PERFORMANCE   | 174,659. |
| CHAMPLAIN VALLEY PHYSICIANS HOSPITAL MEDICAL CENTER<br>75 BECKMAN STREET<br>PLATTSBURGH, NY 12901               |           | N/A                                                                                                       | PC                             | STRENGTHENING THE NORTH COUNTRY'S PRIMARY CARE PROVIDER PIPELINE | 12,000.  |

**Total from continuation sheets**



**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient                                                                                            |     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient                                                         | Purpose of grant or contribution | Amount   |
|------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|----------------------------------|----------|
| Name and address (home or business)                                                                  |     |                                                                                                           |                                                                                        |                                  |          |
| CHAMPLAIN VALLEY PHYSICIANS HOSPITAL<br>MEDICAL CENTER<br>75 BEEKMAN STREET<br>PLATTSBURGH, NY 12901 | N/A | PC                                                                                                        | STRENGTHENING THE NORTH COUNTRY'S PRIMARY CARE PROVIDER PIPELINE                       |                                  | 3,000.   |
| CHARLES B. WANG COMMUNITY HEALTH CENTER, INC.<br>268 CANAL STREET<br>NEW YORK, NY 10013              | N/A | PC                                                                                                        | BUILDING PRIMARY CARE CAPACITY IN QUEENS                                               |                                  | 60,000.  |
| CHARLES B. WANG COMMUNITY HEALTH CENTER, INC.<br>268 CANAL STREET<br>NEW YORK, NY 10013              | N/A | PC                                                                                                        | NEW YORK STATE OF HEALTH CONSUMER ASSISTANCE PROJECT - CHINESE OUTREACH AND ENROLLMENT |                                  | 37,500.  |
| CHAUTAUQUA COUNTY DEPARTMENT OF HEALTH AND HUMAN SERVICES<br>7 NORTH ERIE ST.<br>MAYVILLE, NY 14757  | N/A | GOV                                                                                                       | CREATING COMMUNITY SUPPORTS FOR BREASTFEEDING IN CHAUTAUQUA COUNTY                     |                                  | 7,000.   |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901                     | N/A | GOV                                                                                                       | LUMINARY AWARD                                                                         |                                  | 5,000.   |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901                     | N/A | GOV                                                                                                       | CLINTON COUNTY HEALTHY NEIGHBORHOODS INITIATIVE                                        |                                  | 50,000.  |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901                     | N/A | GOV                                                                                                       | IMPROVING THE NUTRITIONAL QUALITY OF FOOD PANTRY DONATIONS IN CLINTON COUNTY           |                                  | 140,000. |

Total from continuation sheets

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient<br>Name and address (home or business)                                        | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                            | Amount  |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------|---------|
|                                                                                         |                                                                                                                    |                                      |                                                                |         |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901        | N/A                                                                                                                | GOV                                  | CLINTON COUNTY HEALTHY NEIGHBORHOODS INITIATIVE                | 12,500. |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901        | N/A                                                                                                                | GOV                                  | CLINTON COUNTY HEALTHY NEIGHBORHOODS INITIATIVE                | 62,500. |
| COLUMBIA COUNTY DEPARTMENT OF HEALTH<br>325 COLUMBIA ST., SUITE 100<br>HUDSON, NY 12534 | N/A                                                                                                                | GOV                                  | COLUMBIA COUNTY OBESITY PREVENTION                             | 0.      |
| COMMON GROUND COMMUNITIES, INC.<br>505 8TH AVE., 5TH FLOOR<br>NEW YORK, NY 10018        | N/A                                                                                                                | PC                                   | BROWNSVILLE HEALTHY NEIGHBORHOODS INITIATIVE                   | 70,000. |
| COMMON GROUND COMMUNITIES, INC.<br>505 8TH AVE., 5TH FLOOR<br>NEW YORK, NY 10018        | N/A                                                                                                                | PC                                   | BROWNSVILLE HEALTHY NEIGHBORHOODS INITIATIVE                   | 17,500. |
| COMMON GROUND COMMUNITIES, INC.<br>505 8TH AVE., 5TH FLOOR<br>NEW YORK, NY 10018        | N/A                                                                                                                | PC                                   | BROWNSVILLE HEALTHY NEIGHBORHOODS INITIATIVE                   | 87,500. |
| COMMUNITY CATALYST, INC.<br>ONE FEDERAL STREET<br>BOSTON, MA 02110                      | N/A                                                                                                                | PC                                   | EMPOWERING NY CONSUMERS IN AN ERA OF HOSPITAL<br>CONSOLIDATION | 80,000. |

**Total from continuation sheets**

17

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Name and address (home or business)                                                                         | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                    | Amount   |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------|----------|
|                                                                                                             |                                                                                                           |                                |                                                                                                     |          |
| COMMUNITY FOOD ADVOCATES, INC.<br>110 WALL STREET<br>NEW YORK, NY 10005                                     | N/A                                                                                                       | PC                             | ADVOCACY FOR HEALTHY, HUNGER-FREE KIDS                                                              | 240,000. |
| COMMUNITY HEALTH CARE ASSOCIATION OF NEW YORK STATE, INC.<br>111 BROADWAY, SUITE 1402<br>NEW YORK, NY 10006 | N/A                                                                                                       | PC                             | BUILDING CAPACITY OF COMMUNITY HEALTH CENTERS TO PARTICIPATE IN SYSTEM EXPANSION AND TRANSFORMATION | 76,551.  |
| COMMUNITY HEALTH CARE ASSOCIATION OF NEW YORK STATE, INC.<br>111 BROADWAY, SUITE 1402<br>NEW YORK, NY 10006 | N/A                                                                                                       | PC                             | LUMINARY AWARD                                                                                      | 5,000.   |
| COMMUNITY HEALTH CARE ASSOCIATION OF NEW YORK STATE, INC.<br>111 BROADWAY, SUITE 1402<br>NEW YORK, NY 10006 | N/A                                                                                                       | PC                             | THE PRIMARY CARE WORKFORCE RECRUITMENT AND RETENTION INITIATIVE                                     | 87,500.  |
| COMMUNITY HEALTHCARE NETWORK, INC.<br>60 MADISON AVENUE<br>NEW YORK, NY 10010                               | N/A                                                                                                       | PC                             | ENHANCING THE USE, ROLE AND RETENTION OF NURSE PRACTITIONERS IN NEW YORK STATE                      | 141,736. |
| COMMUNITY HEALTH PROJECT, INC.<br>356 WEST 18TH STREET<br>NEW YORK, NY 10011                                | N/A                                                                                                       | PC                             | CALLEN-LORDE OUTREACH AND ENROLLMENT PROGRAM, PHASE 2                                               | 26,855.  |
| COMMUNITY HEALTH WORKER NETWORK OF NEW YORK CITY<br>454 51ST STREET<br>BROOKLYN, NY 11220                   | N/A                                                                                                       | PC                             | FINANCING COMMUNITY HEALTH WORKERS: AN ANALYSIS OF EMERGING OPPORTUNITIES IN NEW YORK STATE         | 31,075.  |
| <b>Total from continuation sheets</b>                                                                       |                                                                                                           |                                |                                                                                                     |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                         | Amount   |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------|----------|
|                                                                                     |                                                                                                                    |                                      |                                                                             |          |
| COMMUNITY SERVICE SOCIETY OF NEW YORK<br>105 EAST 22ND STREET<br>NEW YORK, NY 10010 | N/A                                                                                                                | PC                                   | LUMINARY AWARD                                                              | 5,000.   |
| COMMUNITY SERVICE SOCIETY OF NEW YORK<br>105 EAST 22ND STREET<br>NEW YORK, NY 10010 | N/A                                                                                                                | PC                                   | A COALITION TO EMPOWER HEALTH CARE CONSUMERS ACROSS<br>NEW YORK STATE       | 200,000. |
| COMMUNITY SERVICE SOCIETY OF NEW YORK<br>105 EAST 22ND STREET<br>NEW YORK, NY 10010 | N/A                                                                                                                | PC                                   | EXPLORING COVERAGE OPTIONS FOR UNDOCUMENTED NEW YORK<br>STATE RESIDENTS     | 30,000.  |
| CORNERSTONE FAMILY HEALTHCARE<br>2570 US HIGHWAY 9W, SUITE 10<br>CORNWALL, NY 12518 | N/A                                                                                                                | PC                                   | BUILDING ON SUCCESS: PLANNING FOR FURTHER EXPANSION<br>IN THE SOUTHERN TIER | 134,068. |
| CORO NEW YORK LEADERSHIP CENTER<br>42 BROADWAY, SUITE 1827<br>NEW YORK, NY 10004    | N/A                                                                                                                | PC                                   | COMMUNITY SCHOOL DIRECTOR TRAINING                                          | 12,000.  |
| CORO NEW YORK LEADERSHIP CENTER<br>42 BROADWAY, SUITE 1827<br>NEW YORK, NY 10004    | N/A                                                                                                                | PC                                   | COMMUNITY SCHOOL DIRECTOR TRAINING                                          | 3,000.   |
| COUNCIL ON THE ENVIRONMENT, INC.<br>100 GOLD ST. 3300<br>NEW YORK, NY 10038         | N/A                                                                                                                | PC                                   | GROWING A NEW YORK CITY FOOD HUB                                            | 239,200. |

**Total from continuation sheets**

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Grants and Contributions and During the Year                                                                      |                                     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient                                             | Purpose of grant or contribution | Amount |
|-------------------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|----------------------------------|--------|
| Recipient                                                                                                         | Name and address (home or business) |                                                                                                           |                                                                            |                                  |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | DESIGN AND IMPLEMENTATION OF THE NIAGARA FALLS RESIDENT LEADERSHIP PROGRAM | 23,760.                          |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | NIAGARA FALLS RESIDENT LEADERSHIP PROGRAM: URBAN ALCHEMY TRAINING          | 8,000.                           |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | DEVELOPMENT OF A STRATEGIC COMMUNICATIONS PLAN                             | 19,200.                          |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | NIAGARA FALLS HEALTHY NEIGHBORHOODS INITIATIVE                             | 50,000.                          |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | NIAGARA FALLS HEALTHY NEIGHBORHOODS INITIATIVE                             | 62,500.                          |        |
| CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                 | PC                                                                                                        | NIAGARA FALLS HEALTHY NEIGHBORHOODS INITIATIVE                             | 12,500.                          |        |
| DO CANTO GROUP<br>230 BLUE HILLS PARKWAY<br>MILTON, MA 02186                                                      | N/A                                 | NC                                                                                                        | ADVANCING THE CREATION OF A STATEWIDE COMMUNITY HEALTH WORKER ASSOCIATION  | 6,500.                           |        |

**Part XV.** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Name and address (home or business)                                                         | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                    | Amount   |
|---------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------|----------|
|                                                                                             |           |                                                                                                           |                                |                                                                                                     |          |
| DRUG POLICY ALLIANCE<br>131 WEST 33RD STREET, 15TH FLOOR<br>NEW YORK, NY 10001              |           | N/A                                                                                                       | PC                             | ADVANCING A COORDINATED MUNICIPAL-LEVEL PUBLIC HEALTH AND SAFETY APPROACH TO DRUG POLICY            | 27,726.  |
| EMPIRE JUSTICE CENTER, INC.<br>1 WEST MAIN STREET, 2ND FLOOR<br>ROCHESTER, NY 14614-1418    |           | N/A                                                                                                       | PC                             | AMBASSADORS FOR COVERAGE, PHASE 3                                                                   | 44,000.  |
| ENTERPRISE COMMUNITY PARTNERS, INC.<br>1 WHITEHALL STREET, 11TH FLOOR<br>NEW YORK, NY 10004 |           | N/A                                                                                                       | PC                             | DEVELOPMENT AND PILOT OF A HEALTHY HOUSING PROTOCOL                                                 | 120,000. |
| ESSEX COUNTY PUBLIC HEALTH<br>132 WATER STREET PO BOX 217<br>ELIZABETHTOWN, NY 12932        |           | N/A                                                                                                       | GOV                            | A COORDINATED RESPONSE TO THE OPIOID EPIDEMIC IN NORTH COUNTRY                                      | 96,000.  |
| ESSEX COUNTY PUBLIC HEALTH<br>132 WATER STREET PO BOX 217<br>ELIZABETHTOWN, NY 12932        |           | N/A                                                                                                       | GOV                            | EXPANDING THE ROLE OF SCHOOLS AND EMPLOYERS IN PROVIDING NUTRITIONALLY VALUABLE FOODS AND BEVERAGES | 5,060.   |
| FIELD & FORK NETWORK INC.<br>30C ESSEX STREET<br>BUFFALO, NY 14213                          |           | N/A                                                                                                       | PC                             | WHOLESOME FOODS POP-UP MARKET                                                                       | 3,000.   |
| FIELD & FORK NETWORK INC.<br>30C ESSEX STREET<br>BUFFALO, NY 14213                          |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                 | 953.     |
| <b>Total from continuation sheets</b>                                                       |           |                                                                                                           |                                |                                                                                                     |          |

**Part XV** Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year |                                                                                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                           | Amount   |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------|----------|
| Recipient                                        | Name and address (home or business)                                                                         |                                                                                                           |                                |                                                                                            |          |
|                                                  | FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | PROVIDING RETURN-ON-INVESTMENT FOR AN INTERVENTION FOR ASTHMATIC CHILDREN                  | 60,000.  |
|                                                  | FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | EAST HARLEM HEALTHY NEIGHBORHOODS INITIATIVE                                               | 120,000. |
|                                                  | FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | EAST HARLEM HEALTHY NEIGHBORHOODS INITIATIVE                                               | 30,000.  |
|                                                  | FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | EAST HARLEM HEALTHY NEIGHBORHOODS INITIATIVE                                               | 150,000. |
|                                                  | FUND FOR THE CITY OF NEW YORK, INC.<br>121 AVENUE OF THE AMERICAS, 6TH FLOOR<br>NEW YORK, NY 10013          | N/A                                                                                                       | PC                             | COLLABORATIVE CONNECTIONS TO CARE FUND FOR MENTAL HEALTH                                   | 240,000. |
|                                                  | GLOBAL STRATEGY GROUP, LLC<br>215 PARK AVENUE SOUTH, 15TH FLOOR<br>NEW YORK, NY 10003                       | N/A                                                                                                       | NC                             | UNIVERSAL SCHOOL LUNCH                                                                     | 56,000.  |
|                                                  | GORMAN ACTUARIAL, INC.<br>210 ROBERT RD<br>MARLBOROUGH, MA 01752                                            | N/A                                                                                                       | NC                             | PROJECT TRANSPARENCY: EXAMINING VARIATIONS IN NEW YORK HOSPITAL PRICES AND QUALITY OF CARE | 87,500.  |
| <b>Total from continuation sheets</b>            |                                                                                                             |                                                                                                           |                                |                                                                                            |          |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient<br>Name and address (home or business)                                                                          | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                                 | Amount   |
|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------|----------|
|                                                                                                                           |                                                                                                                    |                                      |                                                                                                     |          |
| GORMAN ACTUARIAL, INC.<br>210 ROBERT RD<br>MARLBOROUGH, MA 01752                                                          | N/A                                                                                                                | NC                                   | PROJECT TRANSPARENCY: EXAMINING VARIATIONS IN NEW YORK HOSPITAL PRICES AND QUALITY OF CARE          | 45,000.  |
| GRANTMAKERS IN HEALTH<br>1100 CONNECTICUT AVENUE, NW<br>WASHINGTON, DC 20036                                              | N/A                                                                                                                | PC                                   | PHILANTHROPIC SUPPORT GRANT JULY 1, 2016 - JUNE 30, 2017                                            | 11,500.  |
| GREATER NEW YORK HOSPITAL FOUNDATION, INC.<br>555 WEST 57TH STREET 15TH FLOOR<br>NEW YORK, NY 10019                       | N/A                                                                                                                | PC                                   | IMPROVING CARE DELIVERY THROUGH INTEGRATION OF PRIMARY CARE RESIDENTS WITH COMMUNITY-BASED SERVICES | 238,080. |
| GREATER WASHINGTON EDUCATIONAL<br>TELECOMMUNICATIONS ASSOCIATION, INC.<br>NHP 3939 CAMPBELL AVENUE<br>ARLINGTON, VA 22206 | N/A                                                                                                                | PC                                   | HEALTH COVERAGE ON THE PBS NEWSHOUR                                                                 | 80,000.  |
| HEALTH AND WELFARE COUNCIL OF LONG ISLAND, INC.<br>150 BROADHOLLOW ROAD, SUITE 118<br>MELVILLE, NY 11747                  | N/A                                                                                                                | PC                                   | NEW YORK STATE HEALTH INSURANCE MARKETPLACE ENROLLMENT ASSISTANCE                                   | 37,500.  |
| HEALTH CAREER CONNECTION, INC.<br>300 FRANK H. OGAWA PLAZA, SUITE 243<br>OAKLAND, CA 94612                                | N/A                                                                                                                | PC                                   | HEALTH CAREER CONNECTION INTERNSHIP PROGRAM, CLASS OF 2016                                          | 32,500.  |
| HEALTH RESEARCH, INC.<br>RIVERVIEW CENTER, 150 BROADWAY, SUITE 560,<br>MENANDS, NY 12204-2719                             | N/A                                                                                                                | PC                                   | AUTHORIZATION FOR NEW YORK STATE CONTINUING EDUCATION TRAINING FOR ASSISTORS                        | 16,157.  |
| <b>Total from continuation sheets</b>                                                                                     |                                                                                                                    |                                      |                                                                                                     |          |



**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Name and address (home or business)                                                                   | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                           | Amount   |
|-------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                       |           |                                                                                                           |                                |                                                                                                            |          |
| HENRY STREET SETTLEMENT<br>265 HENRY STREET<br>NEW YORK, NY 10002                                     |           | N/A                                                                                                       | PC                             | HEALTH INSURANCE ENROLLMENT NETWORK RENEWAL                                                                | 30,000.  |
| HERKIMER COUNTY RURAL HEALTH NETWORK, INC.<br>320 NORTH MAIN STREET, SUITE 3300<br>HERKIMER, NY 13350 |           | N/A                                                                                                       | PC                             | SCALING UP THE NATIONAL DIABETES PREVENTION PROGRAM                                                        | 8,406.   |
| HOUSING WORKS, INC.<br>57 WILLOUGHBY STREET, 2ND FLOOR<br>BROOKLYN, NY 11201                          |           | N/A                                                                                                       | PC                             | SCALING UP AN HIV VIRAL LOAD SUPPRESSION MODEL IN NEW YORK CITY                                            | 50,000.  |
| HUDSON HEADWATERS HEALTH NETWORK<br>9 CAREY ROAD<br>QUEENSBURY, NY 12804                              |           | N/A                                                                                                       | PC                             | A PARTNERSHIP TO EXPAND PRIMARY CARE CAPACITY IN THE NORTH COUNTRY                                         | 12,160.  |
| HUDSON RIVER HEALTHCARE, INC.<br>1037 MAIN STREET<br>PEEKSKILL, NY 10566-2913                         |           | N/A                                                                                                       | PC                             | CLINICAL STRATEGY DEVELOPMENT FOR NEW YORK STATE COMMUNITY HEALTH INDEPENDENT PRACTICE ASSOCIATION (CHIPA) | 86,673.  |
| HUNGER SOLUTIONS NEW YORK, INC.<br>14 COMPUTER DRIVE EAST<br>ALBANY, NY 12205                         |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                        | 1,500.   |
| IMPAQ INTERNATIONAL, LLC<br>10420 LITTLE PATUXENT PARKWAY, SUITE 300<br>COLUMBIA, MD 21044            |           | N/A                                                                                                       | NC                             | HEALTH INSURANCE MARKETPLACE TRANSPARENCY TOOL: OUT-OF-POCKET COSTS FOR SPECIALTY CONDITIONS               | 230,604. |
| <b>Total from continuation sheets</b>                                                                 |           |                                                                                                           |                                |                                                                                                            |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Grants and Contributions and During the Year                                                                    |                                     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient                                                           | Purpose of grant or contribution | Amount |
|-----------------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|----------------------------------|--------|
| Recipient                                                                                                       | Name and address (home or business) |                                                                                                           |                                                                                          |                                  |        |
| IN OUR BACKYARDS, INC.<br>540 PRESIDENT STREET, 3RD FLOOR<br>BROOKLYN, NY 11215                                 | N/A                                 | PC                                                                                                        | BRINGING NEIGHBORHOOD PROJECTS TO LIFE                                                   | 128,000.                         |        |
| INSTITUTE FOR HEALTHCARE IMPROVEMENT<br>20 UNIVERSITY ROAD, 7TH FLOOR<br>CAMBRIDGE, MA 02138                    | N/A                                 | PC                                                                                                        | COMMUNITY HEALTH FELLOWSHIP PROGRAM                                                      | 144,000.                         |        |
| IRAQ AND AFGHANISTAN VETERANS OF AMERICA, INC.<br>292 MADISON AVE, 10TH FLOOR<br>NEW YORK, NY 10017             | N/A                                 | PC                                                                                                        | CONNECTING NEW YORK STATE VETERANS AND THEIR FAMILIES TO HIGH QUALITY COMMUNITY SERVICES | 28,000.                          |        |
| JUSTLEADERSHIPUSA, INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035                                          | N/A                                 | PC                                                                                                        | REPLICATING THE LAW ENFORCEMENT ASSISTED DIVERSION (LEAD) MODEL IN STATEN ISLAND         | 49,831.                          |        |
| KOREAN COMMUNITY SERVICES OF METROPOLITAN NEW YORK, INC.<br>2 WEST 32ND STREET, SUITE 604<br>NEW YORK, NY 10001 | N/A                                 | PC                                                                                                        | STEP UP FOR PROTECTION, EMPOWERMENT, AND RENEWALS (SUPER) PROJECT                        | 30,000.                          |        |
| LAWYERS ALLIANCE FOR NEW YORK<br>171 MADISON AVENUE, 6TH FLOOR<br>NEW YORK, NY 10016                            | N/A                                 | PC                                                                                                        | SUPPORTING NEW YORK CITY NONPROFITS THROUGH MEDICAID REDESIGN                            | 40,000.                          |        |
| LENOX HILL NEIGHBORHOOD HOUSE, INC.<br>331 EAST 70TH STREET<br>NEW YORK, NY 10021                               | N/A                                 | PC                                                                                                        | EMERGING INNOVATOR AWARD                                                                 | 25,000.                          |        |
| Total from continuation sheets                                                                                  |                                     |                                                                                                           |                                                                                          |                                  |        |

| Part XV Supplementary Information (continued)                                                             |  |                                                                                                           |                                |                                                                                  |         |  |  |  |
|-----------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------|---------|--|--|--|
| 3a Grants and Contributions Paid During the Year                                                          |  |                                                                                                           |                                |                                                                                  |         |  |  |  |
| Recipient                                                                                                 |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                 | Amount  |  |  |  |
| Name and address (home or business)                                                                       |  |                                                                                                           |                                |                                                                                  |         |  |  |  |
| LENOX HILL NEIGHBORHOOD HOUSE, INC.<br>331 EAST 70TH STREET<br>NEW YORK, NY 10021                         |  | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                              | 16,000. |  |  |  |
| LENOX HILL NEIGHBORHOOD HOUSE, INC.<br>331 EAST 70TH STREET<br>NEW YORK, NY 10021                         |  | N/A                                                                                                       | PC                             | HEALTH INSURANCE ENROLLMENT PROGRAM RENEWAL                                      | 30,000. |  |  |  |
| LONG ISLAND GAY AND LESBIAN YOUTH, INC.<br>20 CROSSWAYS PARK DRIVE NORTH, SUITE 110<br>WOODBURY, NY 11797 |  | N/A                                                                                                       | PC                             | HEALTH ENROLLMENT NETWORK RENEWAL                                                | 30,000. |  |  |  |
| MAKE THE ROAD NEW YORK<br>92-10 ROOSEVELT AVENUE<br>JACKSON HEIGHTS, NY 11372                             |  | N/A                                                                                                       | PC                             | EXPANDING HEALTHCARE ACCESS FOR THE RETAIL WORKFORCE, PHASE 2                    | 37,500. |  |  |  |
| MAKE THE ROAD NEW YORK<br>92-10 ROOSEVELT AVENUE<br>JACKSON HEIGHTS, NY 11372                             |  | N/A                                                                                                       | PC                             | MRNY HEALTH INSURANCE ENROLLMENT PROJECT                                         | 37,500. |  |  |  |
| MANHATTAN INSTITUTE FOR POLICY RESEARCH, INC.<br>52 VANDERBILT AVENUE<br>NEW YORK, NY 10017-3808          |  | N/A                                                                                                       | PC                             | YELP FOR HEALTH: DOES THE WISDOM OF CROWDS MATCH UP WITH OBJECTIVE QUALITY DATA? | 93,262. |  |  |  |
| MANHATTAN INSTITUTE FOR POLICY RESEARCH, INC.<br>52 VANDERBILT AVENUE<br>NEW YORK, NY 10017-3808          |  | N/A                                                                                                       | PC                             | KEEPING SCORE: ENCOURAGING HEALTH CARE COMPETITION IN NEW YORK                   | 20,441. |  |  |  |
| Total from continuation sheets                                                                            |  |                                                                                                           |                                |                                                                                  |         |  |  |  |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient<br>Name and address (home or business)                                       | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                              | Amount   |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------------------------------------------------------|----------|
|                                                                                        |                                                                                                           |                                |                                                                                                                               |          |
| MAYOR'S FUND TO ADVANCE NEW YORK CITY<br>253 BROADWAY, 6TH FLOOR<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | CONNECTING UNDOCUMENTED NEW YORKERS TO COVERAGE:<br>MEDIA AND EDUCATION CAMPAIGN                                              | 68,749.  |
| MAYOR'S FUND TO ADVANCE NEW YORK CITY<br>253 BROADWAY, 6TH FLOOR<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | ACTIVATING PUBLIC SPACES: A PUBLIC-PRIVATE<br>PARTNERSHIP TO BUILD HEALTH COMMUNITIES IN NEW YORK<br>CITY                     | 125,000. |
| MILBANK MEMORIAL FUND<br>645 MADISON AVE. 15TH FLOOR<br>NEW YORK, NY 10022             | N/A                                                                                                       | PC                             | MEDICAID'S ROLE IN ADDRESSING SOCIAL DETERMINANTS OF<br>HEALTH: A ROAD MAP FOR STATES                                         | 5,000.   |
| MONTEFIORE MEDICAL CENTER<br>111 EAST 210TH STREET<br>BRONX, NY 10467                  | N/A                                                                                                       | PC                             | MONTEFIORE DIABETES PREVENTION PROGRAM                                                                                        | 4,000.   |
| MONTEFIORE MEDICAL CENTER<br>111 EAST 210TH STREET<br>BRONX, NY 10467                  | N/A                                                                                                       | PC                             | USING A CONTINUUM BASED FRAMEWORK TO IMPLEMENT<br>BEHAVIORAL HEALTH INTEGRATION IN PRIMARY CARE<br>SETTINGS IN NEW YORK STATE | 172,536. |
| MOUNT SINAI HOSPITAL<br>320 EAST 94TH STREET<br>NEW YORK, NY 10128                     | N/A                                                                                                       | PC                             | HEALTHY YOUTH, HEALTHY FUTURES: A BLUEPRINT FOR<br>INTEGRATED ADOLESCENT HEALTH SERVICES                                      | 4,250.   |
| MOUNT SINAI HOSPITAL<br>320 EAST 94TH STREET<br>NEW YORK, NY 10128                     | N/A                                                                                                       | PC                             | HEALTHY YOUTH, HEALTHY FUTURES: A BLUEPRINT FOR<br>INTEGRATED ADOLESCENT HEALTH SERVICES                                      | 17,000.  |
| <b>Total from continuation sheets</b>                                                  |                                                                                                           |                                |                                                                                                                               |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                                                 | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                       | Amount   |
|------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------|----------|
|                                                                                                                  |                                                                                                           |                                |                                                                        |          |
| MOUNT SINAI HOSPITAL - ADOLESCENT HEALTH CENTER<br>320 EAST 94TH STREET<br>NEW YORK, NY 10128                    | N/A                                                                                                       | PC                             | HEALTHY NEIGHBORHOOD COMMUNITY MAPPING PROJECT                         | 200,000. |
| NASSAU-SUFFOLK HOSPITAL COUNCIL, INC.<br>1383 VETERANS MEMORIAL HIGHWAY, SUITE 26<br>HAUPPAUGE, NY 11788         | N/A                                                                                                       | PC                             | WALK! LONG ISLAND                                                      | 4,814.   |
| NATIONAL ACADEMY OF SCIENCES<br>500 FIFTH STREET, N.W.<br>WASHINGTON, DC 20001                                   | N/A                                                                                                       | PC                             | ROUNDTABLE ON POPULATION HEALTH IMPROVEMENT, PHASE 2                   | 180,000. |
| NATIONAL ACADEMY OF SCIENCES<br>500 FIFTH STREET, N.W.<br>WASHINGTON, DC 20001                                   | N/A                                                                                                       | PC                             | ROUNDTABLE ON POPULATION HEALTH IMPROVEMENT                            | 45,000.  |
| NATIONAL COUNCIL ON THE AGING, INC.<br>251 18TH STREET SOUTH SUITE 500<br>ARLINGTON, VA 22202                    | N/A                                                                                                       | PC                             | REPLICATING AND SUSTAINING THE AGING MASTERY PROGRAM IN NEW YORK STATE | 87,500.  |
| NATIONAL PARTNERSHIP FOR WOMEN & FAMILIES, INC.<br>1875 CONNECTICUT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20009 | N/A                                                                                                       | PC                             | GETMYHEALTHDATA: CREATING DATA EXEMPLARS IN NEW YORK                   | 164,814. |
| NEAR WEST SIDE INITIATIVE, INC.<br>350 W. FAYETTE STREET SUITE 405<br>SYRACUSE, NY 13202                         | N/A                                                                                                       | PC                             | NEAR WESTSIDE COMMUNITY ENGAGEMENT                                     | 40,000.  |
| <b>Total from continuation sheets</b>                                                                            |                                                                                                           |                                |                                                                        |          |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient<br>Name and address (home or business)                                                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                                                           | Amount   |
|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                        |                                                                                                                    |                                      |                                                                                                                               |          |
| NEW SCHOOL<br>79 FIFTH AVENUE, 17TH FLOOR<br>NEW YORK, NY 10003                                        | N/A                                                                                                                | PC                                   | SCALING UP A PROMISING PRACTICE FOR PREVENTING CHILD<br>MALTREATMENT                                                          | 34,878.  |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A                                                                                                                | PC                                   | EXPLORING OPPORTUNITIES FOR A NEW YORK STATE<br>ROUNDTABLE ON HEALTH CARE AND POPULATION HEALTH                               | 5,916.   |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A                                                                                                                | PC                                   | CREATING A PROJECT ECHO EVALUATION GUIDE AND TOOLKIT                                                                          | 44,000.  |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A                                                                                                                | PC                                   | ADVANCING THE NYS PREVENTION AGENDA PHASE II:<br>TECHNICAL ASSISTANCE FOR LOCAL HEALTH DEPARTMENTS                            | 119,982. |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A                                                                                                                | PC                                   | ADVANCING THE NYS PREVENTION AGENDA: TECHNICAL<br>ASSISTANCE SUPPORT AND LEARNING COMMUNITIES FOR LOCAL<br>HEALTH DEPARTMENTS | 100,000. |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A                                                                                                                | PC                                   | UNDERSTANDING FACTORS RELATED TO DISCONTINUED<br>PARTICIPATION IN THE NDPP: A QUALITATIVE INQUIRY                             | 20,669.  |
| NEW YORK CITY HEALTH AND HOSPITALS<br>CORPORATION<br>125 WORTH STREET, SUITE 507<br>NEW YORK, NY 10013 | N/A                                                                                                                | GOV                                  | BRINGING OPEN NOTES TO NEW YORK                                                                                               | 211,340. |
| <b>Total from continuation sheets</b>                                                                  |                                                                                                                    |                                      |                                                                                                                               |          |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Name and address (home or business)                                                                                                 | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                    | Amount   |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                                                     |           |                                                                                                           |                                |                                                                                                                     |          |
| NEW YORK IMMIGRATION COALITION, INC.<br>131 W. 33RD STREET, SUITE 610<br>NEW YORK, NY 10001                                         |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                                 | 3,991.   |
| NEW YORK RESTORATION PROJECT<br>254 W 31ST STREET, 10TH FLOOR<br>NY, NY 10001                                                       |           | N/A                                                                                                       | PC                             | INCREASING PHYSICAL ACTIVITY IN EAST HARLEM AND THE SOUTH BRONX THROUGH IMPROVED ACCESS TO HIGH QUALITY GREEN SPACE | 96,000.  |
| NEW YORK UNIVERSITY - MCSILVER INSTITUTE<br>FOR POVERTY, POLICY, & RESEARCH<br>41 EAST 11TH STREET, 7TH FLOOR<br>NEW YORK, NY 10003 |           | N/A                                                                                                       | PC                             | INTRODUCING FAST-TRACK OUTCOME ASSESSMENTS TO NEW YORK'S MENTAL HEALTH CLINICS                                      | 63,522.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>ONE PARK AVENUE<br>NEW YORK, NY 10016                                                     |           | N/A                                                                                                       | PC                             | ENHANCING RURAL HEALTH SURVEILLANCE BY USING GEOSPATIAL ANALYSIS TO IDENTIFY LOCAL DISEASE HOTSPOTS                 | 240,000. |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016                                        |           | N/A                                                                                                       | PC                             | PLANNING THE BASELINE BEHAVIOR ASSESSMENT FOR HEALTHY NEIGHBORHOODS FUND                                            | 3,136.   |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016                                        |           | N/A                                                                                                       | PC                             | ESTABLISHING A BASELINE FOR POPULATION-LEVEL BEHAVIORS IN SELECTED NEIGHBORHOODS                                    | 120,000. |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016                                        |           | N/A                                                                                                       | PC                             | EVALUATION TECHNICAL ASSISTANCE FOR NYSHEALTH GRANTEES: PHASE 6                                                     | 1,298.   |
| <b>Total from continuation sheets</b>                                                                                               |           |                                                                                                           |                                |                                                                                                                     |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Name and address (home or business)                                                           | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                           | Amount   |
|-----------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------|----------|
|                                                                                               |           |                                                                                                           |                                |                                                                                                            |          |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016  |           | N/A                                                                                                       | PC                             | EVALUATION TECHNICAL ASSISTANCE FOR NYSHEALTH GRANTEES: PHASE 8                                            | 123,752. |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016  |           | N/A                                                                                                       | PC                             | ESTABLISHING A MEDICAID EVALUATION CONSORTIUM                                                              | 170,700. |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016  |           | N/A                                                                                                       | PC                             | EVALUATION OF THE NEW YORK STATE HEALTHY NEIGHBORHOODS FUND INITIATIVE                                     | 125,000. |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016  |           | N/A                                                                                                       | PC                             | THE IMPACT OF THE NEW YORK CITY SUGAR SWEETENED BEVERAGE POLICY ON CALORIES PURCHASED AND CONSUMED         | 47,964.  |
| NIAGARA COUNTY DEPARTMENT OF HEALTH<br>1001-11TH STREET<br>NIAGARA FALLS, NY 14304            |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                        | 3,337.   |
| NORTHERN OSWEGO COUNTY HEALTH SERVICES, INC.<br>819 SOUTH SALINA STREET<br>SYRACUSE, NY 13202 |           | N/A                                                                                                       | PC                             | ESTABLISHING THE COMMUNITY HEALTH COLLABORATIVE INDEPENDENT PROVIDER ASSOCIATION (IPA) IN CENTRAL NEW YORK | 120,000. |
| NYC BIKE SHARE LLC<br>5202 3RD AVENUE<br>BROOKLYN, NY 11220                                   |           | N/A                                                                                                       | NC                             | ENGAGING COMMUNITIES IN BIKE SHARE AS A PATHWAY TO BETTER HEALTH                                           | 11,300.  |
| <b>Total from continuation sheets</b>                                                         |           |                                                                                                           |                                |                                                                                                            |          |



**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                   | Amount   |
|---------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------------------------|----------|
|                                                                                                                     |                                                                                                                    |                                      |                                                                                       |          |
| OSWEGO COUNTY HEALTH DEPARTMENT<br>70 BUNNER STREET<br>OSWEGO, NY 13126                                             | N/A                                                                                                                | PC                                   | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                   | 1,000.   |
| P2 COLLABORATIVE OF WESTERN NEW YORK, INC.<br>355 HARLEM ROAD BUILDING C, 2ND FLOOR<br>BUFFALO, NY 14224-1825       | N/A                                                                                                                | PC                                   | COLLABORATING TO PROMOTE HEALTH IN WESTERN NEW YORK                                   | 10,000.  |
| PATTON VETERANS PROJECT, INC.<br>17 EAST 97TH ST. SUITE 4D<br>NEW YORK, NY 10029                                    | N/A                                                                                                                | PC                                   | EMERGING INNOVATOR AWARD                                                              | 25,000.  |
| PEER HEALTH EXCHANGE, INC.<br>55 EXCHANGE PLACE<br>NEW YORK, NY 10005                                               | N/A                                                                                                                | PC                                   | DEVELOPING A BLUEPRINT FOR HOSPITAL AND HEALTH PLAN<br>INVESTMENT IN HEALTH EDUCATION | 60,000.  |
| PHILANTHROPY NEW YORK<br>1500 BROADWAY, 7TH FLOOR<br>NEW YORK, NY 10036                                             | N/A                                                                                                                | PC                                   | PHILANTHROPIC SUPPORT GRANT JULY 1, 2016 - JUNE 30,<br>2017                           | 36,100.  |
| PROJECT HOPE-THE PEOPLE-TO-PEOPLE HEALTH<br>FOUNDATION<br>7500 OLD GEORGETOWN ROAD, SUITE 600<br>BETHESDA, MD 20814 | N/A                                                                                                                | PC                                   | HEALTH AFFAIRS THEME ISSUE ON HEALTH CARE DELIVERY<br>SYSTEM INNOVATIONS              | 80,000.  |
| PUBLIC AGENDA, INC.<br>195 MONTAGUE STREET, 14TH FLOOR<br>BROOKLYN, NY 11201                                        | N/A                                                                                                                | PC                                   | SURVEY OF HOW NEW YORKERS SEEK AND USE HEALTH CARE<br>PRICE INFORMATION               | 112,113. |
| <b>Total from continuation sheets</b>                                                                               |                                                                                                                    |                                      |                                                                                       |          |

**Part XV Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

| Recipient                                                                                                                         |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                     | Amount   |
|-----------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------------------------|----------|
| Name and address (home or business)                                                                                               |  |                                                                                                           |                                |                                                                                                                      |          |
| PUBLIC HEALTH SOLUTIONS<br>40 WORTH STREET 5TH FLOOR<br>NEW YORK, NY 10013                                                        |  | N/A                                                                                                       | PC                             | IMPROVING WOMEN'S HEALTH SERVICES AT COMMUNITY HEALTH CENTERS                                                        | 56,832.  |
| PUBLIC HEALTH SOLUTIONS<br>40 WORTH STREET, 5TH FLOOR<br>NEW YORK, NY 10013                                                       |  | N/A                                                                                                       | PC                             | A BLUEPRINT FOR MEETING NEW YORKERS' HEALTH NAVIGATION NEEDS                                                         | 120,000. |
| RAND CORPORATION<br>1776 MAIN STREET, P.O. BOX 2138<br>SANTA MONICA, CA 90407-2138                                                |  | N/A                                                                                                       | PC                             | EVALUATION OF NORTH-SHORE-LIJ/NORTHPORT VA UNIFIED BEHAVIORAL HEALTH CENTER FOR MILITARY VETERANS AND THEIR FAMILIES | 96,308.  |
| RAPID RESULTS INSTITUTE, INC.<br>707 SUMMER STREET, 5TH FLOOR<br>STAMFORD, CT 06901                                               |  | N/A                                                                                                       | PC                             | ACCELERATING CHANGE THROUGH 100-DAY CHALLENGES                                                                       | 14,702.  |
| RESEARCH FOUNDATION - CUNY ON BEHALF CUNY GRADUATE SCHOOL OF PUBLIC HEALTH<br>55 WEST 125 STREET, 7TH FLOOR<br>NEW YORK, NY 10027 |  | N/A                                                                                                       | PC                             | WELLNESS TRUST FOR BROOKLYN                                                                                          | 60,000.  |
| RESEARCH FOUNDATION - CUNY ON BEHALF CUNY GRADUATE SCHOOL OF PUBLIC HEALTH<br>55 WEST 125 STREET, 7TH FLOOR<br>NEW YORK, NY 10027 |  | N/A                                                                                                       | PC                             | EVALUATING THE COMMUNITY PARKS INITIATIVE                                                                            | 209,757. |
| RESEARCH FOUNDATION OF CITY UNIVERSITY OF NEW YORK (CUNY)<br>2180 THIRD AVENUE, 8TH FLOOR<br>NEW YORK, NY 10035                   |  | N/A                                                                                                       | PC                             | SHARING IS CARING: EXPANDING PATIENT AND CAREGIVER ACCESS TO HEALTH INFORMATION IN THE AGE OF HIPAA                  | 79,739.  |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Name and address (home or business)                                                    | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                  | Amount  |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                        |                                                                                                           |                                |                                                                                                                   |         |
| ROCHESTER GENERAL HOSPITAL<br>100 KINGS HIGHWAY SOUTH<br>ROCHESTER, NY 14617           | N/A                                                                                                       | PC                             | LUMINARY AWARD                                                                                                    | 5,000.  |
| SCHENECTADY COUNTY PUBLIC HEALTH SERVICES<br>107 NOTT TERRACE<br>SCHENECTADY, NY 12308 | N/A                                                                                                       | GOV                            | SCHENECTADY ASTHMA SUPPORT COLLABORATIVE: A COORDINATED COMMUNITY-BASED APPROACH TO REDUCING THE BURDEN OF ASTHMA | 5,000.  |
| SCHUYLER CENTER FOR ANALYSIS AND ADVOCACY, INC.<br>540 BROADWAY<br>ALBANY, NY 12207    | N/A                                                                                                       | PC                             | PRESERVING AND EXPANDING COMMUNITY WATER FLUORIDATION                                                             | 50,033. |
| SCHUYLER CENTER FOR ANALYSIS AND ADVOCACY, INC.<br>540 BROADWAY<br>ALBANY, NY 12207    | N/A                                                                                                       | PC                             | CONSUMER VOICES FOR HIGH QUALITY AND AFFORDABLE HEALTH CARE                                                       | 30,000. |
| SEXUAL HEALTH INNOVATIONS<br>222 BROADWAY, 20TH FL<br>NEW YORK, NY 10038               | N/A                                                                                                       | PC                             | CALLISTO: SUPPORTING CAMPUS RAPE SURVIVORS                                                                        | 54,984. |
| SOUL FIRE FARM INSTITUTE, INC.<br>1972 NY HWY 2<br>PETERSBURG, NY 12138                | N/A                                                                                                       | PC                             | EMERGING INNOVATOR AWARD                                                                                          | 25,000. |
| SOUTH ASIAN COUNCIL FOR SOCIAL SERVICES<br>143-06 45TH AVENUE<br>FLUSHING, NY 11355    | N/A                                                                                                       | PC                             | HEALTH ENROLLMENT PROJECT RENEWAL                                                                                 | 30,000. |
| <b>Total from continuation sheets</b>                                                  |                                                                                                           |                                |                                                                                                                   |         |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient                                                                                                                       |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                            | Amount   |
|---------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------|----------|
| Name and address (home or business)                                                                                             |  |                                                                                                           |                                |                                                                                             |          |
| SYRACUSE COMMUNITY HEALTH CENTER, INC.<br>819 SOUTH SALINA STREET<br>SYRACUSE, NY 13202                                         |  | N/A                                                                                                       | PC                             | SYRACUSE COMMUNITY HEALTH CENTER EXPANSION INITIATIVE                                       | 20,004.  |
| SYRACUSE UNIVERSITY<br>OFFICE OF SPONSORED PROGRAMS, 113 BOWNE<br>HALL SYRACUSE, NY 13244-1200                                  |  | N/A                                                                                                       | PC                             | NEAR WESTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                              | 49,894.  |
| SYRACUSE UNIVERSITY<br>OFFICE OF SPONSORED PROGRAMS, 113 BOWNE<br>HALL SYRACUSE, NY 13244-1200                                  |  | N/A                                                                                                       | PC                             | NEAR WESTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                              | 12,473.  |
| SYRACUSE UNIVERSITY<br>OFFICE OF SPONSORED PROGRAMS, 113 BOWNE<br>HALL SYRACUSE, NY 13244-1200                                  |  | N/A                                                                                                       | PC                             | NEAR WESTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                              | 62,368.  |
| SYRACUSE UNIVERSITY - INSTITUTE FOR<br>VETERANS AND MILITARY FAMILIES<br>700 UNIVERSITY AVENUE, SUITE 303<br>SYRACUSE, NY 13244 |  | N/A                                                                                                       | PC                             | THE BATTLE BACK HOME: ENSURING THE HEALTH AND WELL-BEING OF OUR VETERANS AND THEIR FAMILIES | 103,496. |
| SYRACUSE UNIVERSITY - INSTITUTE FOR<br>VETERANS AND MILITARY FAMILIES<br>700 UNIVERSITY AVENUE, SUITE 303<br>SYRACUSE, NY 13244 |  | N/A                                                                                                       | PC                             | THE BATTLE BACK HOME: ENSURING THE HEALTH AND WELL-BEING OF OUR VETERANS AND THEIR FAMILIES | 103,496. |
| TACONIC RESEARCH & EDUCATION FUND, INC.<br>68 RIVERVIEW RD<br>IRVINGTON, NY 10533                                               |  | N/A                                                                                                       | PC                             | A STRATEGIC ASSESSMENT OF NEW YORK'S POPULATION HEALTH INVESTMENTS                          | 39,966.  |
| Total from continuation sheets                                                                                                  |  |                                                                                                           |                                |                                                                                             |          |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient<br>Name and address (home or business)                                                                                                        | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                                            | Amount   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                                                                         |                                                                                                                    |                                      |                                                                                                                |          |
| TEACHERS COLLEGE, COLUMBIA UNIVERSITY<br>TEACHERS COLLEGE 525 WEST 120TH STREET,<br>BOX 137 NEW YORK, NY 10027                                          | N/A                                                                                                                | PC                                   | ASSESSMENT OF THE STATE OF NUTRITION EDUCATION AT THE<br>NEW YORK CITY AND STATE LEVELS                        | 208,554. |
| THE COMMUNICATIONS NETWORK<br>1717 NORTH NAPER BOULEVARD SUITE 102<br>NAPERVILLE, IL 60563                                                              | N/A                                                                                                                | PC                                   | PHILANTHROPIC SUPPORT GRANT JULY 1, 2016 - JUNE 30,<br>2017                                                    | 5,000.   |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111                                                                        | N/A                                                                                                                | PC                                   | ADDITIONAL SUPPORT FOR TECHNICAL ASSISTANCE AND<br>CAPACITY BUILDING FOR HEALTHY NEIGHBORHOOD FUND<br>GRANTEES | 10,000.  |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111                                                                        | N/A                                                                                                                | PC                                   | HEALTHY NEIGHBORHOODS INITIATIVE TECHNICAL<br>ASSISTANCE, PHASE 2                                              | 224,994. |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111                                                                        | N/A                                                                                                                | PC                                   | TECHNICAL ASSISTANCE AND CAPACITY BUILDING FOR<br>HEALTHY NEIGHBORHOOD FUND GRANTEES                           | 87,498.  |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111                                                                        | N/A                                                                                                                | PC                                   | TECHNICAL ASSISTANCE AND CAPACITY BUILDING FOR<br>HEALTHY NEIGHBORHOOD FUND GRANTEES                           | 87,498.  |
| TRUSTEES OF COLUMBIA UNIVERSITY IN THE<br>CITY OF NEW YORK<br>OFFICE OF RESEARCH ADMINISTRATION, 630<br>WEST 168TH STREET, BOX 49 NEW YORK, NY<br>10032 | N/A                                                                                                                | PC                                   | PRIMARY CARE IN THE DENTAL OFFICE: THE CASE FOR<br>DIABETES MELLITUS                                           | 7,357.   |
| <b>Total from continuation sheets</b>                                                                                                                   |                                                                                                                    |                                      |                                                                                                                |          |

**Part XV** Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year                                                       |                                                                                                           |                                |                                                                                  |          |  |
|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------|----------|--|
| Recipient                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                 | Amount   |  |
| Name and address (home or business)                                                                    |                                                                                                           |                                |                                                                                  |          |  |
| TWO BRIDGES NEIGHBORHOOD COUNCIL, INC.<br>275 CHERRY STREET, GROUND FLOOR OFFICE<br>NEW YORK, NY 10002 | N/A                                                                                                       | PC                             | LOWER EASTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                  | 60,000.  |  |
| TWO BRIDGES NEIGHBORHOOD COUNCIL, INC.<br>275 CHERRY STREET, GROUND FLOOR OFFICE<br>NEW YORK, NY 10002 | N/A                                                                                                       | PC                             | LOWER EASTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                  | 90,000.  |  |
| TWO BRIDGES NEIGHBORHOOD COUNCIL, INC.<br>275 CHERRY STREET, GROUND FLOOR OFFICE<br>NEW YORK, NY 10002 | N/A                                                                                                       | PC                             | LOWER EASTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                  | 15,000.  |  |
| TWO BRIDGES NEIGHBORHOOD COUNCIL, INC.<br>275 CHERRY STREET, GROUND FLOOR OFFICE<br>NEW YORK, NY 10002 | N/A                                                                                                       | PC                             | LOWER EASTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                  | 75,000.  |  |
| UNITED HOSPITAL FUND OF NEW YORK<br>1411 BROADWAY, 12 FLOOR<br>NEW YORK, NY 10018                      | N/A                                                                                                       | PC                             | EMPOWERING NEW YORKERS WITH MEASURES OF QUALITY THAT MATTER TO THEM: A BLUEPRINT | 161,033. |  |
| UNITED HOSPITAL FUND OF NEW YORK<br>1411 BROADWAY, 12 FLOOR<br>NEW YORK, NY 10018                      | N/A                                                                                                       | PC                             | SHAPING PAYMENT AND DELIVERY SYSTEM CHANGES IN NEW YORK                          | 59,877.  |  |
| UNITED STATES CONFERENCE OF CATHOLIC BISHOPS<br>992 NORTH VILLAGE AVENUE<br>ROCKVILLE CENTRE, NY 11570 | N/A                                                                                                       | PC                             | NIAGARA FALLS LOCAL FOOD ACTION PLAN                                             | 12,000.  |  |
|                                                                                                        |                                                                                                           |                                |                                                                                  |          |  |
| Total from continuation sheets                                                                         |                                                                                                           |                                |                                                                                  |          |  |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Name and address (home or business)                                                                                       | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                   | Amount  |
|---------------------------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                                           |           |                                                                                                           |                                |                                                                                                                    |         |
| UNIVERSITY AT ALBANY FOUNDATION - CENTER FOR EXCELLENCE IN AGING & COMMUNIT<br>1200 WASHINGTON AVENUE<br>ALBANY, NY 12222 |           | N/A                                                                                                       | PC                             | REPLICATING THE NATIONAL DIABETES PREVENTION PROGRAM AMONG PRIMARY CARE PROVIDERS                                  | 16,929. |
| UNIVERSITY OF CHICAGO<br>5841 S. MARYLAND AVE., MC2007<br>CHICAGO, IL 60637                                               |           | N/A                                                                                                       | PC                             | TECHNICAL ASSISTANCE AND CAPACITY BUILDING TO ADVANCE HEALTH AND VITALITY VIA COLLECTIVE SOCIAL IMPACT IN NEW YORK | 43,627. |
| UNIVERSITY OF NEW HAMPSHIRE<br>SPA, 51 COLLEGE RD.<br>DURHAM, NH 03824                                                    |           | N/A                                                                                                       | PC                             | ASSESSING BEST PRACTICES FOR AN ALL-PAYER DATABASE: KEY CHOICES FOR NEW YORK                                       | 18,817. |
| UNIVERSITY OF ROCHESTER<br>46 PRINCE STREET<br>ROCHESTER, NY 14607                                                        |           | N/A                                                                                                       | PC                             | MONROE COUNTY COMMUNITY HEALTH IMPROVEMENT                                                                         | 5,000.  |
| URBAN HEALTH PLAN, INC.<br>1065 SOUTHERN BLVD.<br>BRONX, NY 10459                                                         |           | N/A                                                                                                       | PC                             | LUMINARY AWARD                                                                                                     | 5,000.  |
| URBAN HEALTH PLAN, INC.<br>1065 SOUTHERN BLVD.<br>BRONX, NY 10459                                                         |           | N/A                                                                                                       | PC                             | BUILDING HEALTHY COMMUNITIES CONFERENCE SCHOLARSHIP                                                                | 4,000.  |
| URBAN HEALTH PLAN, INC.<br>1065 SOUTHERN BLVD.<br>BRONX, NY 10459                                                         |           | N/A                                                                                                       | PC                             | ENROLLMENT ASSISTANCE NETWORK RENEWAL                                                                              | 30,000. |
| <b>Total from continuation sheets</b>                                                                                     |           |                                                                                                           |                                |                                                                                                                    |         |

**Part XV** Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

| Recipient                                                                                |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                      | Amount  |
|------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------|---------|
| Name and address (home or business)                                                      |  |                                                                                                           |                                |                                                       |         |
| WELLNESS IN THE SCHOOLS, INC.<br>31 WEST 125TH STREET<br>NEW YORK, NY 10027              |  | N/A                                                                                                       | PC                             | WITS CULINARY BOOT CAMPS                              | 27,942. |
| WESTCHESTER COMMUNITY OPPORTUNITY PROGRAM, INC.<br>121 MAIN STREET<br>BREWSTER, NY 10509 |  | N/A                                                                                                       | PC                             | PUTNAM COMMUNITY ACTION PROGRAM HEALTHCARE ENROLLMENT | 19,994. |
| WHITNEY M. YOUNG, JR. HEALTH CENTER, INC.<br>920 LARK DRIVE<br>ALBANY, NY 12207          |  | N/A                                                                                                       | PC                             | BEHAVIORIAL HEALTH/PRIMARY CARE INTEGRATION PROJECT   | 20,000. |
|                                                                                          |  |                                                                                                           |                                |                                                       |         |
|                                                                                          |  |                                                                                                           |                                |                                                       |         |
|                                                                                          |  |                                                                                                           |                                |                                                       |         |
|                                                                                          |  |                                                                                                           |                                |                                                       |         |
|                                                                                          |  |                                                                                                           |                                |                                                       |         |
| Total from continuation sheets                                                           |  |                                                                                                           |                                |                                                       |         |



**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Recipient                                                                                                               |     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient                                                                              | Purpose of grant or contribution | Amount     |
|-------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|----------------------------------|------------|
| Name and address (home or business)                                                                                     |     |                                                                                                           |                                                                                                             |                                  |            |
| AMERICAN CONGRESS OF OBSTETRICIANS AND GYNECOLOGISTS<br>100 GREAT OAKS BOULEVARD, SUITE 109<br>ALBANY, NY 12203         | N/A | PC                                                                                                        | WOMEN, PREGNANCY, AND THE OPIOID EPIDEMIC IN UPSTATE NEW YORK: A HEALTHCARE PROVIDER EDUCATIONAL APPROACH   | 44,936.                          |            |
| BOSTON COLLEGE TRUSTEES<br>140 COMMONWEALTH AVENUE, HALEY HOUSE<br>CHESTNUT HILL, MA 02467                              | N/A | PC                                                                                                        | EVALUATING SELF-DIRECTED CARE FOR PEOPLE WITH SERIOUS MENTAL ILLNESS IN NEW YORK STATE                      | 62,208.                          |            |
| BOSTON COLLEGE TRUSTEES<br>140 COMMONWEALTH AVENUE, HALEY HOUSE<br>CHESTNUT HILL, MA 02467                              | N/A | PC                                                                                                        | EVALUATING SELF-DIRECTED CARE FOR PEOPLE WITH SERIOUS MENTAL ILLNESS IN NEW YORK STATE                      | 62,208.                          |            |
| CARE FOR THE HOMELESS<br>30 EAST 33RD STREET, 5TH FLOOR<br>NEW YORK, NY 10016-5337                                      | N/A | PC                                                                                                        | INCREASING ACCESS TO PRIMARY CARE FOR HOMELESS NEW YORKERS                                                  | 36,891.                          |            |
| CENTER FOR ALTERNATIVE SENTENCING AND EMPLOYMENT SERVICES, INC.<br>151 LAWRENCE STREET, 3RD FLOOR<br>BROOKLYN, NY 11201 | N/A | PC                                                                                                        | THE NATHANIEL CLINIC: INTEGRATING BEHAVIORAL HEALTH AND PRIMARY CARE FOR CRIMINAL JUSTICE-INVOLVED PATIENTS | 20,000.                          |            |
| CENTER FOR EXCELLENCE IN HEALTH CARE JOURNALISM<br>10 NEFF HALL<br>COLUMBIA, MO 65211                                   | N/A | PC                                                                                                        | 2017 NEW YORK STATE HEALTH JOURNALISM FELLOWSHIPS                                                           | 3,840.                           |            |
| CENTER FOR HEALTH CARE STRATEGIES, INC.<br>200 AMERICAN METRO BLVD., SUITE 119<br>HAMILTON, NJ 08619-2311               | N/A | PC                                                                                                        | ENSURING POLICY ALIGNMENT FOR CERTIFIED COMMUNITY BEHAVIORAL HEALTH CENTERS                                 | 15,095.                          |            |
| Total from continuation sheets                                                                                          |     |                                                                                                           |                                                                                                             |                                  | 5,127,019. |

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Name and address (home or business)                                                     | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                             | Amount  |
|-----------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------|---------|
|                                                                                         |           |                                                                                                           |                                |                                                                              |         |
| CENTER FOR QUALITY SYSTEMS IMPROVEMENT<br>1688 ORVIETTO DRIVE<br>ROSEVILLE, CA 95661    |           | N/A                                                                                                       | PC                             | ENGAGING CONSUMERS ABOUT NETWORK ACCESSIBILITY AND PERFORMANCE               | 87,329. |
| CENTER FOR QUALITY SYSTEMS IMPROVEMENT<br>1688 ORVIETTO DRIVE<br>ROSEVILLE, CA 95661    |           | N/A                                                                                                       | PC                             | ENGAGING CONSUMERS ABOUT NETWORK ACCESSIBILITY AND PERFORMANCE               | 87,329. |
| CHARLES B. WANG COMMUNITY HEALTH CENTER, INC.<br>268 CANAL STREET<br>NEW YORK, NY 10013 |           | N/A                                                                                                       | PC                             | BUILDING PRIMARY CARE CAPACITY IN QUEENS                                     | 15,000. |
| CLAYBRIDGE HEALTH EDUCATION FUND<br>2503 VELVET VALLEY WAY<br>OWINGS MILLS, MD 21117    |           | N/A                                                                                                       | PC                             | THE SKIN YOU ARE IN: USING FILM TO EMPOWER THE RESIDENTS OF BROWNSVILLE      | 15,012. |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901        |           | N/A                                                                                                       | GOV                            | OVERCOMING OBSTACLES TO FOOD ACCESS IN CLINTON COUNTY                        | 50,000. |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901        |           | N/A                                                                                                       | GOV                            | CLINTON COUNTY HEALTHY NEIGHBORHOODS INITIATIVE                              | 12,500. |
| CLINTON COUNTY HEALTH DEPARTMENT<br>133 MARGARET STREET<br>PLATTSBURGH, NY 12901        |           | N/A                                                                                                       | GOV                            | IMPROVING THE NUTRITIONAL QUALITY OF FOOD PANTRY DONATIONS IN CLINTON COUNTY | 35,000. |
| <b>Total from continuation sheets</b>                                                   |           |                                                                                                           |                                |                                                                              |         |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                         | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                               | Amount  |
|-------------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------|---------|
|                                                                                                             |           |                                                                                                           |                                |                                                                                |         |
| COMMON GROUND COMMUNITIES, INC.<br>505 8TH AVE., 5TH FLOOR<br>NEW YORK, NY 10018                            |           | N/A                                                                                                       | PC                             | BROWNSVILLE HEALTHY NEIGHBORHOODS INITIATIVE                                   | 17,500. |
| COMMUNITY CATALYST, INC.<br>ONE FEDERAL STREET<br>BOSTON, MA 02110                                          |           | N/A                                                                                                       | PC                             | EMPOWERING NY CONSUMERS IN AN ERA OF HOSPITAL CONSOLIDATION                    | 20,000. |
| COMMUNITY FOOD ADVOCATES, INC.<br>110 WALL STREET<br>NEW YORK, NY 10005                                     |           | N/A                                                                                                       | PC                             | ADVOCACY FOR HEALTHY, HUNGER-FREE KIDS                                         | 60,000. |
| COMMUNITY HEALTH CARE ASSOCIATION OF NEW YORK STATE, INC.<br>111 BROADWAY, SUITE 1402<br>NEW YORK, NY 10006 |           | N/A                                                                                                       | PC                             | THE PRIMARY CARE WORKFORCE RECRUITMENT AND RETENTION INITIATIVE                | 87,500. |
| COMMUNITY HEALTHCARE NETWORK, INC.<br>60 MADISON AVENUE<br>NEW YORK, NY 10010                               |           | N/A                                                                                                       | PC                             | ENHANCING THE USE, ROLE AND RETENTION OF NURSE PRACTITIONERS IN NEW YORK STATE | 35,433. |
| COMMUNITY SERVICE SOCIETY OF NEW YORK<br>105 EAST 22ND STREET<br>NEW YORK, NY 10010                         |           | N/A                                                                                                       | PC                             | A COALITION TO EMPOWER HEALTH CARE CONSUMERS ACROSS NEW YORK STATE             | 50,000. |
| CONSUMERS UNION OF UNITED STATES, INC.<br>101 TRUMAN AVENUE<br>YONKERS, NY 10703-1057                       |           | N/A                                                                                                       | PC                             | WIKIPEDIA MEDICAL SCHOOL OUTREACH PROJECT                                      | 35,334. |
| <b>Total from continuation sheets</b>                                                                       |           |                                                                                                           |                                |                                                                                |         |

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Grants and Contributions Approved for Future Payment |                                                                                                                   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                           | Amount  |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------|---------|
| Recipient                                            | Name and address (home or business)                                                                               |                                                                                                           |                                |                                                                            |         |
|                                                      | CONSUMERS UNION OF UNITED STATES, INC.<br>101 TRUMAN AVENUE<br>YONKERS, NY 10703-1057                             | N/A                                                                                                       | PC                             | ASSESSING AND IMPROVING COST ESTIMATOR TOOLS FOR CONSUMERS                 | 28,000. |
|                                                      | CORNERSTONE FAMILY HEALTHCARE<br>2570 US HIGHWAY 9W, SUITE 10<br>CORNWALL, NY 12518                               | N/A                                                                                                       | PC                             | BUILDING ON SUCCESS: PLANNING FOR FURTHER EXPANSION IN THE SOUTHERN TIER   | 33,517. |
|                                                      | COUNCIL ON THE ENVIRONMENT, INC.<br>100 GOLD ST. SUITE 3300<br>NEW YORK, NY 10038                                 | N/A                                                                                                       | PC                             | GROWING A NEW YORK CITY FOOD HUB                                           | 59,800. |
|                                                      | CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                                                                                       | PC                             | DESIGN AND IMPLEMENTATION OF THE NIAGARA FALLS RESIDENT LEADERSHIP PROGRAM | 5,940.  |
|                                                      | CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                                                                                       | PC                             | NIAGARA FALLS RESIDENT LEADERSHIP PROGRAM: URBAN ALCHEMY TRAINING          | 2,000.  |
|                                                      | CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                                                                                       | PC                             | DEVELOPMENT OF A STRATEGIC COMMUNICATIONS PLAN                             | 4,800.  |
|                                                      | CREATE A HEALTHIER NIAGARA FALLS COLLABORATIVE, INC.<br>6225 SHERIDAN DRIVE, SUITE 206<br>WILLIAMSVILLE, NY 14221 | N/A                                                                                                       | PC                             | NIAGARA FALLS HEALTHY NEIGHBORHOODS INITIATIVE                             | 12,500. |
| Total from continuation sheets                       |                                                                                                                   |                                                                                                           |                                |                                                                            |         |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                         | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                 | Amount   |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                             |                                                                                                           |                                |                                                                                                                  |          |
| CVPH MEDICAL CENTER FOUNDATION, INC.<br>75 BECKMAN STREET<br>PLATTSBURGH, NY 12901                          | N/A                                                                                                       | PC                             | SHAPE UP CLINTON COUNTY: ACTIVATING PUBLIC SPACES AND CREATING OPPORTUNITIES FOR HEALTHY LIVING                  | 40,000.  |
| ENTERPRISE COMMUNITY PARTNERS, INC.<br>1 WHITEHALL STREET, 11TH FLOOR<br>NEW YORK, NY 10004                 | N/A                                                                                                       | PC                             | DEVELOPMENT AND PILOT OF A HEALTHY HOUSING PROTOCOL                                                              | 30,000.  |
| ESSEX COUNTY PUBLIC HEALTH<br>132 WATER STREET, PO BOX 217<br>ELIZABETHTOWN, NY 12932                       | N/A                                                                                                       | GOV                            | A COORDINATED RESPONSE TO THE OPIOID EPIDEMIC IN NORTH COUNTRY                                                   | 24,000.  |
| FAIR HEALTH, INC.<br>575 FIFTH AVENUE, 22ND FLOOR<br>NEW YORK, NY 10017                                     | N/A                                                                                                       | PC                             | DEVELOPING NY HOST: NEW YORK HEALTHCARE ONLINE SHOPPING TOOL                                                     | 400,000. |
| FAIR HEALTH, INC.<br>575 FIFTH AVENUE, 22ND FLOOR<br>NEW YORK, NY 10017                                     | N/A                                                                                                       | PC                             | DEVELOPING NY HOST: NEW YORK HEALTHCARE ONLINE SHOPPING TOOL                                                     | 102,808. |
| FIELD & AMP; FORK NETWORK INC.<br>30C ESSEX STREET<br>BUFFALO, NY 14213                                     | N/A                                                                                                       | PC                             | SETTING THE STAGE TO SCALE AND SUSTAIN THE DOUBLE UP FOOD BUCKS PROGRAM IN WESTERN NEW YORK AND THE FINGER LAKES | 26,600.  |
| FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | TESTING NEW MODELS TO INCREASE VISION SCREENING IN NEW YORK CITY MIDDLE AND HIGH SCHOOLS                         | 82,957.  |
| <b>Total from continuation sheets</b>                                                                       |                                                                                                           |                                |                                                                                                                  |          |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                         | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                           | Amount  |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------|---------|
|                                                                                                             |                                                                                                           |                                |                                                                                            |         |
| FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | TESTING NEW MODELS TO INCREASE VISION SCREENING IN NEW YORK CITY MIDDLE AND HIGH SCHOOLS   | 82,957. |
| FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | EAST HARLEM HEALTHY NEIGHBORHOODS INITIATIVE                                               | 30,000. |
| FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | SCALING UP THE NATIONAL DIABETES PREVENTION PROGRAM AMONG NYC WORKFORCE                    | 87,500. |
| FUND FOR PUBLIC HEALTH IN NEW YORK, INC.<br>22 CORTLANDT STREET, 8TH FLOOR, SUITE 802<br>NEW YORK, NY 10007 | N/A                                                                                                       | PC                             | SCALING UP THE NATIONAL DIABETES PREVENTION PROGRAM AMONG NYC WORKFORCE                    | 87,500. |
| FUND FOR THE CITY OF NEW YORK, INC.<br>121 AVENUE OF THE AMERICAS, 6TH FLOOR<br>NEW YORK, NY 10013          | N/A                                                                                                       | PC                             | COLLABORATIVE CONNECTIONS TO CARE FUND FOR MENTAL HEALTH                                   | 60,000. |
| GLOBAL STRATEGY GROUP, LLC<br>215 PARK AVENUE SOUTH, 15TH FLOOR<br>NEW YORK, NY 10003                       | N/A                                                                                                       | NC                             | UNIVERSAL SCHOOL LUNCH                                                                     | 14,000. |
| GORMAN ACTUARIAL, INC.<br>210 ROBERT RD<br>MARLBOROUGH, MA 01752                                            | N/A                                                                                                       | NC                             | PROJECT TRANSPARENCY: EXAMINING VARIATIONS IN NEW YORK HOSPITAL PRICES AND QUALITY OF CARE | 52,500. |

**Total from continuation sheets**

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                                   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                           | Amount  |
|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                                       |                                                                                                           |                                |                                                                                                            |         |
| GREATER NEW YORK HOSPITAL FOUNDATION, INC.<br>555 WEST 57TH STREET, SUITE 1500<br>NEW YORK, NY 10019                  | N/A                                                                                                       | PC                             | IMPROVING CARE DELIVERY THROUGH INTEGRATION OF PRIMARY CARE RESIDENTS WITH COMMUNITY-BASED SERVICES        | 59,520. |
| GREATER WASHINGTON EDUCATIONAL<br>TELECOMMUNICATIONS ASSOCIATION, INC.<br>3939 CAMPBELL AVENUE<br>ARLINGTON, VA 22206 | N/A                                                                                                       | PC                             | HEALTH COVERAGE ON THE PBS NEWSHOUR                                                                        | 20,000. |
| HEALTH AND WELFARE COUNCIL OF LONG ISLAND, INC.<br>150 BROADHOLLOW ROAD, SUITE 118<br>MELVILLE, NY 11747              | N/A                                                                                                       | PC                             | PREPARING COMMUNITY-BASED ORGANIZATIONS FOR DSRIP PARTICIPATION                                            | 40,425. |
| HEALTHCARE INDUSTRY GRANT CORPORATION<br>330 WEST 42ND STREET, SUITE 1303<br>NEW YORK, NY 10036                       | N/A                                                                                                       | PC                             | CARING FOR OUR HEALERS: 1199 SEIU DIABETES PREVENTION PROGRAM                                              | 6,000.  |
| HEALTH LEADS, INC.<br>506 LENOX AVENUE, MLK 17-101<br>NEW YORK, NY 10037                                              | N/A                                                                                                       | PC                             | EXPANDING THE FOOTPRINT OF HEALTH LEADS: BUILDING THE CASE THROUGH THE HHC DEMONSTRATION SITE              | 45,000. |
| HOSPITAL EDUCATIONAL AND RESEARCH FUND, INC.<br>ONE EMPIRE DRIVE<br>RENSSELAER, NY 12144                              | N/A                                                                                                       | PC                             | NEW YORK STATE PAYMENT INNOVATION SYMPOSIUM                                                                | 10,612. |
| HUDSON RIVER HEALTHCARE, INC.<br>1037 MAIN STREET<br>PEEKSKILL, NY 10566-2913                                         | N/A                                                                                                       | PC                             | CLINICAL STRATEGY DEVELOPMENT FOR NEW YORK STATE COMMUNITY HEALTH INDEPENDENT PRACTICE ASSOCIATION (CHIPA) | 43,335. |
| <b>Total from continuation sheets</b>                                                                                 |                                                                                                           |                                |                                                                                                            |         |

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| 30 Grants and Contributions Approved for Future Payment                                      |                                                                                                           |                                |                                                                                                                  |         | Amount |
|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------------|---------|--------|
| Recipient                                                                                    | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                 |         |        |
| Name and address (home or business)                                                          |                                                                                                           |                                |                                                                                                                  |         |        |
| HUDSON RIVER HEALTHCARE, INC.<br>1037 MAIN STREET<br>PEEKSKILL, NY 10566-2913                | N/A                                                                                                       | PC                             | CLINICAL STRATEGY DEVELOPMENT FOR NEW YORK STATE<br>COMMUNITY HEALTH INDEPENDENT PRACTICE ASSOCIATION<br>(CHIPA) | 43,335. |        |
| IMPAQ INTERNATIONAL, LLC<br>10420 LITTLE PATUXENT PARKWAY, SUITE 300<br>COLUMBIA, MD 21044   | N/A                                                                                                       | NC                             | HEALTH INSURANCE MARKETPLACE TRANSPARENCY TOOL:<br>OUT-OF-POCKET COSTS FOR SPECIALTY CONDITIONS                  | 57,650. |        |
| INDEPENDENCE CARE SYSTEM, INC.<br>25 ELM PLACE, 5TH FLOOR<br>BROOKLYN, NY 11201-5826         | N/A                                                                                                       | PC                             | IMPROVING ACCESS TO PRIMARY CARE FOR PEOPLE WITH<br>PHYSICAL DISABILITIES: A BLUEPRINT FOR ACTION                | 15,000. |        |
| IN OUR BACKYARDS, INC.<br>540 PRESIDENT STREET, 3RD FLOOR<br>BROOKLYN, NY 11215              | N/A                                                                                                       | PC                             | BRINGING NEIGHBORHOOD PROJECTS TO LIFE                                                                           | 32,000. |        |
| INSTITUTE FOR HEALTHCARE IMPROVEMENT<br>20 UNIVERSITY ROAD, 7TH FLOOR<br>CAMBRIDGE, MA 02138 | N/A                                                                                                       | PC                             | COMMUNITY HEALTH FELLOWSHIP PROGRAM                                                                              | 36,000. |        |
| JUSTLEADERSHIPUSA, INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035                       | N/A                                                                                                       | PC                             | REPLICATING THE LAW ENFORCEMENT ASSISTED DIVERSION<br>(LEAD) MODEL IN STATEN ISLAND                              | 49,829. |        |
| JUSTLEADERSHIPUSA, INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035                       | N/A                                                                                                       | PC                             | REPLICATING THE LAW ENFORCEMENT ASSISTED DIVERSION<br>(LEAD) MODEL IN STATEN ISLAND                              | 49,829. |        |



**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Recipient                                                                                        |     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient                                                                       | Purpose of grant or contribution | Amount |
|--------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|----------------------------------|--------|
| Name and address (home or business)                                                              |     |                                                                                                           |                                                                                                      |                                  |        |
| JUSTLEADERSHIPUSA, INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035                           | N/A | PC                                                                                                        | REPLICATING THE LAW ENFORCEMENT ASSISTED DIVERSION (LEAD) MODEL IN STATEN ISLAND                     | 49,829.                          |        |
| LAWYERS ALLIANCE FOR NEW YORK<br>171 MADISON AVENUE, 6TH FLOOR<br>NEW YORK, NY 10016             | N/A | PC                                                                                                        | SUPPORTING NEW YORK CITY NONPROFITS THROUGH MEDICAID REDESIGN                                        | 10,000.                          |        |
| MAIMONIDES MEDICAL CENTER<br>4802 10TH AVENUE<br>BROOKLYN, NY 11219                              | N/A | PC                                                                                                        | ACHIEVING THE GREATEST IMPACT ON HEALTH AND COST OUTCOMES: A FOCUS ON REINVESTING IN SOCIAL SERVICES | 58,190.                          |        |
| MANHATTAN INSTITUTE FOR POLICY RESEARCH, INC.<br>52 VANDERBILT AVENUE<br>NEW YORK, NY 10017-3808 | N/A | PC                                                                                                        | HELP FOR HEALTH: DOES THE WISDOM OF CROWDS MATCH UP WITH OBJECTIVE QUALITY DATA?                     | 23,315.                          |        |
| MAYOR'S FUND TO ADVANCE NEW YORK CITY<br>253 BROADWAY, 6TH FLOOR<br>NEW YORK, NY 10007           | N/A | PC                                                                                                        | CONNECTING UNDOCUMENTED NEW YORKERS TO COVERAGE: MEDIA AND EDUCATION CAMPAIGN                        | 68,749.                          |        |
| MAYOR'S FUND TO ADVANCE NEW YORK CITY<br>253 BROADWAY, 6TH FLOOR<br>NEW YORK, NY 10007           | N/A | PC                                                                                                        | ACTIVATING PUBLIC SPACES: A PUBLIC-PRIVATE PARTNERSHIP TO BUILD HEALTH COMMUNITIES IN NEW YORK CITY  | 125,000.                         |        |
| MEDICARE RIGHTS CENTER, INC.<br>520 8TH AVENUE, NORTH WING, 3RD FLOOR<br>NEW YORK, NY 10018      | N/A | PC                                                                                                        | IMPROVING MEDICARE SAVINGS PROGRAM EDUCATION AND ENROLLMENT PROCEDURES                               | 30,110.                          |        |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                                | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                        | Amount  |
|--------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                                    |                                                                                                           |                                |                                                                                                                         |         |
| MENTAL HEALTH ASSOCIATION OF NEW YORK CITY, INC.<br>50 BROADWAY, 19TH FLOOR<br>NEW YORK, NY 10004                  | N/A                                                                                                       | PC                             | DISSEMINATING BEST PRACTICES TO HELP EXPAND COMMUNITY BASED MENTAL HEALTH SERVICES FOR VETERANS                         | 6,000.  |
| MONTEFIORE MEDICAL CENTER<br>111 EAST 210TH STREET<br>BRONX, NY 10467                                              | N/A                                                                                                       | PC                             | USING A CONTINUUM BASED FRAMEWORK TO IMPLEMENT BEHAVIORAL HEALTH INTEGRATION IN PRIMARY CARE SETTINGS IN NEW YORK STATE | 43,134. |
| MOUNT SINAI HOSPITAL - ADOLESCENT HEALTH CENTER<br>320 EAST 94TH STREET<br>NEW YORK, NY 10128                      | N/A                                                                                                       | PC                             | HEALTHY NEIGHBORHOOD COMMUNITY MAPPING PROJECT                                                                          | 50,000. |
| NATIONAL ACADEMY OF SCIENCES<br>500 FIFTH STREET, N.W.<br>WASHINGTON, DC 20001                                     | N/A                                                                                                       | PC                             | ROUNDTABLE ON POPULATION HEALTH IMPROVEMENT, PHASE 2                                                                    | 45,000. |
| NATIONAL COUNCIL ON THE AGING, INC.<br>251 18TH STREET SOUTH, SUITE 500<br>ARLINGTON, VA 22202                     | N/A                                                                                                       | PC                             | REPLICATING AND SUSTAINING THE AGING MASTERY PROGRAM IN NEW YORK STATE                                                  | 87,500. |
| NATIONAL PARTNERSHIP FOR WOMEN AND FAMILIES, INC.<br>1875 CONNECTICUT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20009 | N/A                                                                                                       | PC                             | GETMYHEALTHDATA: CREATING DATA EXEMPLARS IN NEW YORK                                                                    | 82,407. |
| NATIONAL PARTNERSHIP FOR WOMEN AND FAMILIES, INC.<br>1875 CONNECTICUT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20009 | N/A                                                                                                       | PC                             | GETMYHEALTHDATA: CREATING DATA EXEMPLARS IN NEW YORK                                                                    | 82,407. |

**Total from continuation sheets**

49

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Name and address (home or business)                                                                    | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                          | Amount  |
|--------------------------------------------------------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                        |           |                                                                                                           |                                |                                                                                                                           |         |
| NEAR WEST SIDE INITIATIVE, INC.<br>350 W. FAYETTE STREET, SUITE 405<br>SYRACUSE, NY 13202              |           | N/A                                                                                                       | PC                             | NEAR WESTSIDE COMMUNITY ENGAGEMENT                                                                                        | 10,000. |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                |           | N/A                                                                                                       | PC                             | CREATING A PROJECT ECHO EVALUATION GUIDE AND TOOLKIT                                                                      | 11,000. |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                |           | N/A                                                                                                       | PC                             | ADVANCING THE NYS PREVENTION AGENDA PHASE II:<br>TECHNICAL ASSISTANCE FOR LOCAL HEALTH DEPARTMENTS                        | 29,995. |
| NEW YORK ACADEMY OF MEDICINE<br>1216 FIFTH AVENUE<br>NEW YORK, NY 10029                                |           | N/A                                                                                                       | PC                             | UNDERSTANDING FACTORS RELATED TO DISCONTINUED<br>PARTICIPATION IN THE NDPP: A QUALITATIVE INQUIRY                         | 5,167.  |
| NEW YORK CITY HEALTH AND HOSPITALS<br>CORPORATION<br>125 WORTH STREET, SUITE 507<br>NEW YORK, NY 10013 |           | N/A                                                                                                       | GOV                            | BRINGING OPEN NOTES TO NEW YORK                                                                                           | 52,835. |
| NEW YORK RESTORATION PROJECT<br>254 W 31ST STREET, 10TH FLOOR<br>NY, NY 10001                          |           | N/A                                                                                                       | PC                             | INCREASING PHYSICAL ACTIVITY IN EAST HARLEM AND THE<br>SOUTH BRONX THROUGH IMPROVED ACCESS TO HIGH QUALITY<br>GREEN SPACE | 24,000. |
| NEW YORK UNIVERSITY<br>665 BROADWAY, SUITE 801<br>NEW YORK, NY 10012                                   |           | N/A                                                                                                       | PC                             | INCREASING IMMUNIZATION RATES ON NEW YORK STATE<br>COLLEGE CAMPUSES USING THE BREAKTHROUGH SERIES MODEL                   | 77,478. |

**Total from continuation sheets**

50

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                          | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                     | Amount   |
|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------|----------|
|                                                                                              |                                                                                                           |                                |                                                                                                      |          |
| NEW YORK UNIVERSITY<br>665 BROADWAY, SUITE 801<br>NEW YORK, NY 10012                         | N/A                                                                                                       | PC                             | INCREASING IMMUNIZATION RATES ON NEW YORK STATE COLLEGE CAMPUSES USING THE BREAKTHROUGH SERIES MODEL | 77,478.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>ONE PARK AVENUE<br>NEW YORK, NY 10016              | N/A                                                                                                       | PC                             | ENHANCING RURAL HEALTH SURVEILLANCE BY USING GEOSPATIAL ANALYSIS TO IDENTIFY LOCAL DISEASE HOTSPOTS  | 60,000.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016 | N/A                                                                                                       | PC                             | ESTABLISHING A BASELINE FOR POPULATION-LEVEL BEHAVIORS IN SELECTED NEIGHBORHOODS                     | 30,000.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016 | N/A                                                                                                       | PC                             | EVALUATION TECHNICAL ASSISTANCE FOR NYSHEALTH GRANTEES: PHASE 7                                      | 30,315.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016 | N/A                                                                                                       | PC                             | CREATING A STATEWIDE COMMUNITY HEALTH MONITORING SYSTEM FOR NEW YORK STATE                           | 20,098.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016 | N/A                                                                                                       | PC                             | EVALUATION TECHNICAL ASSISTANCE FOR NYSHEALTH GRANTEES: PHASE 8                                      | 30,938.  |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016 | N/A                                                                                                       | PC                             | ESTABLISHING A MEDICAID EVALUATION CONSORTIUM                                                        | 170,703. |
| <b>Total from continuation sheets</b>                                                        |                                                                                                           |                                |                                                                                                      |          |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                               | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                           | Amount   |
|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------|----------|
|                                                                                                                   |                                                                                                           |                                |                                                                                                            |          |
| NEW YORK UNIVERSITY SCHOOL OF MEDICINE<br>550 FIRST AVENUE, VZ30 #627B<br>NEW YORK, NY 10016                      | N/A                                                                                                       | PC                             | EVALUATION OF THE NEW YORK STATE HEALTHY NEIGHBORHOODS FUND INITIATIVE                                     | 124,999. |
| NORTHERN OSWEGO COUNTY HEALTH SERVICES, INC.<br>819 SOUTH SALINA STREET<br>SYRACUSE, NY 13202                     | N/A                                                                                                       | PC                             | ESTABLISHING THE COMMUNITY HEALTH COLLABORATIVE INDEPENDENT PROVIDER ASSOCIATION (IPA) IN CENTRAL NEW YORK | 30,000.  |
| NYC BIKE SHARE LLC<br>5202 3RD AVENUE<br>BROOKLYN, NY 11220                                                       | N/A                                                                                                       | NC                             | ENGAGING COMMUNITIES IN BIKE SHARE AS A PATHWAY TO BETTER HEALTH                                           | 2,825.   |
| PEER HEALTH EXCHANGE, INC.<br>55 EXCHANGE PLACE<br>NEW YORK, NY 10005                                             | N/A                                                                                                       | PC                             | DEVELOPING A BLUEPRINT FOR HOSPITAL AND HEALTH PLAN INVESTMENT IN HEALTH EDUCATION                         | 15,000.  |
| PROJECT HOPE- THE PEOPLE-TO-PEOPLE HEALTH FOUNDATION<br>7500 OLD GEORGETOWN ROAD, SUITE 600<br>BETHESDA, MD 20814 | N/A                                                                                                       | PC                             | HEALTH AFFAIRS THEME ISSUE ON HEALTH CARE DELIVERY SYSTEM INNOVATIONS                                      | 20,000.  |
| PUBLIC AGENDA, INC.<br>195 MONTAGUE STREET, 14TH FLOOR<br>BROOKLYN, NY 11201                                      | N/A                                                                                                       | PC                             | SURVEY OF HOW NEW YORKERS SEEK AND USE HEALTH CARE PRICE INFORMATION                                       | 28,028.  |
| PUBLIC HEALTH SOLUTIONS<br>40 WORTH STREET, 5TH FLOOR<br>NEW YORK, NY 10013                                       | N/A                                                                                                       | PC                             | A BLUEPRINT FOR MEETING NEW YORKERS' HEALTH NAVIGATION NEEDS                                               | 30,000.  |
| <b>Total from continuation sheets</b>                                                                             |                                                                                                           |                                |                                                                                                            |          |

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Name and address (home or business)                                                                                                | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                     | Amount  |
|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                                                    |                                                                                                           |                                |                                                                                                                      |         |
| RAND CORPORATION<br>1776 MAIN STREET, P.O. BOX 2138<br>SANTA MONICA, CA 90407-2138                                                 | N/A                                                                                                       | PC                             | EVALUATION OF NORTH-SHORE-LIJ/NORTHPORT VA UNIFIED BEHAVIORAL HEALTH CENTER FOR MILITARY VETERANS AND THEIR FAMILIES | 96,308. |
| RESEARCH FOUNDATION - CUNY ON BEHALF CUNY GRADUATE SCHOOL OF PUBLIC HEALTH<br>55 WEST 125 STREET, 7TH FLOOR<br>NEW YORK, NY 10027  | N/A                                                                                                       | GOV                            | WELLNESS TRUST FOR BROOKLYN                                                                                          | 15,000. |
| RESEARCH FOUNDATIO - CUNY ON BEHALF CUNY GRADUATE SCHOOL OF PUBLIC HEALTH A<br>55 WEST 125 STREET, 7TH FLOOR<br>NEW YORK, NY 10027 | N/A                                                                                                       | GOV                            | EVALUATING THE COMMUNITY PARKS INITIATIVE                                                                            | 52,439. |
| RESEARCH FOUNDATION OF CITY UNIVERSITY OF NEW YORK (CUNY)<br>2180 THIRD AVENUE, 8TH FLOOR<br>NEW YORK, NY 10035                    | N/A                                                                                                       | GOV                            | SHARING IS CARING: EXPANDING PATIENT AND CAREGIVER ACCESS TO HEALTH INFORMATION IN THE AGE OF HIPAA                  | 19,934. |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK (CUNY)<br>230 WEST 41ST STREET<br>NEW YORK, NY 10036                        | N/A                                                                                                       | PC                             | SUPPLEMENTAL PAYMENTS FOR MEDICAID AND UNINSURED SERVICES IN RELATION TO CARE PROVISION AT NEW YORK CITY HOSPITALS   | 4,400.  |
| SCHUYLER CENTER FOR ANALYSIS AND ADVOCACY, INC.<br>540 BROADWAY<br>ALBANY, NY 12207                                                | N/A                                                                                                       | PC                             | PREPARING COMMUNITY-BASED ORGANIZATIONS FOR DSRIP PARTICIPATION                                                      | 42,991. |
| SEXUAL HEALTH INNOVATIONS<br>222 BROADWAY, 20TH FL<br>NEW YORK, NY 10038                                                           | N/A                                                                                                       | PC                             | CALLISTO: SUPPORTING CAMPUS RAPE SURVIVORS                                                                           | 54,984. |

Total from continuation sheets

53

**Part XV** Supplementary Information (continued)**3b** Grants and Contributions Approved for Future Payment

| Recipient                                                                                      |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                        | Amount   |
|------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------|
| Name and address (home or business)                                                            |  |                                                                                                           |                                |                                                                                         |          |
| SEXUAL HEALTH INNOVATIONS<br>222 BROADWAY, 20TH FL<br>NEW YORK, NY 10038                       |  | N/A                                                                                                       | PC                             | CALLISTO: SUPPORTING CAMPUS RAPE SURVIVORS                                              | 54,984.  |
| SEXUAL HEALTH INNOVATIONS<br>222 BROADWAY, 20TH FL<br>NEW YORK, NY 10038                       |  | N/A                                                                                                       | PC                             | CALLISTO: SUPPORTING CAMPUS RAPE SURVIVORS                                              | 54,985.  |
| SYRACUSE UNIVERSITY<br>OFFICE OF SPONSORED PROGRAMS, 113 BOWNE<br>HALL SYRACUSE, NY 13244-1200 |  | N/A                                                                                                       | PC                             | NEAR WESTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                          | 12,473.  |
| TACONIC RESEARCH & EDUCATION FUND, INC.<br>68 RIVERVIEW RD<br>IRVINGTON, NY 10533              |  | N/A                                                                                                       | PC                             | A STRATEGIC ASSESSMENT OF NEW YORK'S POPULATION<br>HEALTH INVESTMENTS                   | 9,991.   |
| TEACHERS COLLEGE, COLUMBIA UNIVERSITY<br>525 WEST 120TH STREET, BOX 137<br>NEW YORK, NY 10027  |  | N/A                                                                                                       | PC                             | ASSESSMENT OF THE STATE OF NUTRITION EDUCATION AT THE<br>NEW YORK CITY AND STATE LEVELS | 52,138.  |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111               |  | N/A                                                                                                       | PC                             | HEALTHY NEIGHBORHOODS INITIATIVE TECHNICAL<br>ASSISTANCE, PHASE 2                       | 112,496. |
| THIRD SECTOR NEW ENGLAND, INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111               |  | N/A                                                                                                       | PC                             | HEALTHY NEIGHBORHOODS INITIATIVE TECHNICAL<br>ASSISTANCE, PHASE 2                       | 112,496. |
| Total from continuation sheets                                                                 |  |                                                                                                           |                                |                                                                                         |          |

**Part XV Supplementary Information** (continued)**3b Grants and Contributions Approved for Future Payment**

| Recipient                                                                                              |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                         | Amount  |
|--------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------|---------|
| Name and address (home or business)                                                                    |  |                                                                                                           |                                |                                                                                          |         |
| TRILLIUM HEALTH, INC.<br>259 MONROE AVE<br>ROCHESTER, NY 14607                                         |  | N/A                                                                                                       | PC                             | BRINGING HEALTH INSURANCE ENROLLMENT TO ROCHESTER'S LGBT AND OTHER HIGH-NEED COMMUNITIES | 28,622. |
| TWO BRIDGES NEIGHBORHOOD COUNCIL, INC.<br>275 CHERRY STREET, GROUND FLOOR OFFICE<br>NEW YORK, NY 10002 |  | N/A                                                                                                       | PC                             | LOWER EASTSIDE HEALTHY NEIGHBORHOODS INITIATIVE                                          | 15,000. |
| UNITED HOSPITAL FUND OF NEW YORK<br>1411 BROADWAY, 12 FLOOR<br>NEW YORK, NY 10018                      |  | N/A                                                                                                       | PC                             | EMPOWERING NEW YORKERS WITH MEASURES OF QUALITY THAT MATTER TO THEM: A BLUEPRINT         | 40,258. |
| UNITED STATES CONFERENCE OF CATHOLIC BISHOPS<br>992 NORTH VILLAGE AVENUE<br>ROCKVILLE CENTRE, NY 11570 |  | N/A                                                                                                       | PC                             | NIAGARA FALLS LOCAL FOOD ACTION PLAN                                                     | 3,000.  |
| UNIVERSITY OF ROCHESTER<br>300 EAST RIVER ROAD, BOX 278703<br>ROCHESTER, NY 14627-8703                 |  | N/A                                                                                                       | PC                             | PROJECT ECHO - ENHANCING PRIMARY CARE SERVICES IN RURAL NEW YORK                         | 86,121. |
| URBAN HEALTH PLAN, INC.<br>1065 SOUTHERN BLVD.<br>BRONX, NY 10459                                      |  | N/A                                                                                                       | PC                             | INTEGRATING THE NDPP INTO UHP PATIENT SELF-MANAGEMENT PROGRAMS                           | 4,000.  |
| VERA INSTITUTE OF JUSTICE, INC.<br>233 BROADWAY, 12TH FLOOR<br>NEW YORK, NY 10279                      |  | N/A                                                                                                       | PC                             | PLANNING MEDICAL PAROLE TO REDUCE HEALTH COSTS FOR AGING AND INFIRM INMATES              | 49,836. |



**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Recipient                                                                    |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business)                                          |  |                                                                                                           |                                |                                  |          |
| WELLNESS IN THE SCHOOLS , INC.<br>31 WEST 125TH STREET<br>NEW YORK, NY 10027 |  | N/A                                                                                                       | PC                             | WITS CULINARY BOOT CAMPS         | 6,985.   |
| GRANT DISCOUNT                                                               |  |                                                                                                           |                                |                                  | -73,951. |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
|                                                                              |  |                                                                                                           |                                |                                  |          |
| Total from continuation sheets                                               |  |                                                                                                           |                                |                                  |          |

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|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|----------------------------------------|-----------|---|

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| SOURCE                      | GROSS<br>AMOUNT  | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-----------------------------|------------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| BLACKROCK K-1S<br>DIVIDENDS | 0.<br>2,740,433. | 0.<br>0.                      | 0.<br>2,740,433.            | 3,539,938.<br>2,740,433.          |                               |
| TO PART I, LINE 4           | 2,740,433.       | 0.                            | 2,740,433.                  | 6,280,371.                        |                               |

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|             |              |           |   |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|-------------|--------------|-----------|---|

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| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| OTHER K-1 INCOME                      | 0.                          | 9,381.                            |                               |
| PRI INTEREST                          | -6,060.                     | -6,060.                           |                               |
| GRANTS RESCINDED                      | 54,640.                     | 0.                                |                               |
| RENTAL INCOME                         | 174,372.                    | 174,372.                          |                               |
| MISCELLANEOUS                         | 80.                         | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 223,032.                    | 177,693.                          |                               |

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|             |            |           |   |
|-------------|------------|-----------|---|
| FORM 990-PF | LEGAL FEES | STATEMENT | 3 |
|-------------|------------|-----------|---|

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| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES                 | 51,773.                      | 0.                                |                               | 48,498.                       |
| TO FM 990-PF, PG 1, LN 16A | 51,773.                      | 0.                                |                               | 48,498.                       |

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| FORM 990-PF                  | ACCOUNTING FEES              |                                   |                               | STATEMENT                     | 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ACCOUNTING FEES              | 33,973.                      | 0.                                |                               | 33,723.                       |   |
| TO FORM 990-PF, PG 1, LN 16B | 33,973.                      | 0.                                |                               | 33,723.                       |   |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT                     | 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| CONSULTING FEES              | 93,234.                      | 50,000.                           |                               | 87,215.                       |   |
| INVESTMENT MANAGEMENT        | 219,341.                     | 219,341.                          |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 16C | 312,575.                     | 269,341.                          |                               | 87,215.                       |   |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 6 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| FEDERAL EXCISE TAX          | 106,000.                     | 0.                                |                               | 0.                            |   |
| FOREIGN TAXES PAID          | 0.                           | 157,016.                          |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 18 | 106,000.                     | 157,016.                          |                               | 0.                            |   |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 7 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| OFFICE EXPENSES & IT        | 132,331.                     | 11,962.                           |                               | 120,985.                      |   |
| INSURANCE                   | 50,589.                      | 0.                                |                               | 49,762.                       |   |
| OUTREACH AND PUBLIC EVENTS  | 252,804.                     | 1,090.                            |                               | 245,795.                      |   |
| MISCELLANEOUS               | 6,666.                       | 0.                                |                               | 6,666.                        |   |
| OTHER EXPENSES THROUGH K-1  | 0.                           | 96,613.                           |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 23 | 442,390.                     | 109,665.                          |                               | 423,208.                      |   |

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**FORM 990-PF      DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT      STATEMENT      8**


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| DESCRIPTION                          | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------|------------------------|-----------------------------|------------|----------------------|
| LEASEHOLD IMPROVEMENTS               | 141,602.               | 57,187.                     | 84,415.    | 84,415.              |
| FURNITURE, FIXTURES AND<br>EQUIPMENT | 500,494.               | 476,545.                    | 23,949.    | 23,949.              |
| TO 990-PF, PART II, LN 14            | 642,096.               | 533,732.                    | 108,364.   | 108,364.             |

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**FORM 990-PF      OTHER INVESTMENTS      STATEMENT      9**


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| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE   | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|--------------|----------------------|
| SEE ATTACHMENT E                       | FMV                 | 275,309,068. | 275,309,068.         |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 275,309,068. | 275,309,068.         |

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**FORM 990-PF      OTHER ASSETS      STATEMENT      10**


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| DESCRIPTION                      | BEGINNING OF<br>YR BOOK VALUE | END OF YEAR<br>BOOK VALUE | FAIR MARKET<br>VALUE |
|----------------------------------|-------------------------------|---------------------------|----------------------|
| ACCRUED INVESTMENT INCOME        | 35,637.                       | 6.                        | 6.                   |
| PREPAID FEDERAL EXCISE TAX       | 120,000.                      | 164,000.                  | 164,000.             |
| PROGRAM RELATED INVESTMENTS      | 402,611.                      | 0.                        | 0.                   |
| TO FORM 990-PF, PART II, LINE 15 | 558,248.                      | 164,006.                  | 164,006.             |

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**FORM 990-PF      OTHER LIABILITIES      STATEMENT      11**


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| DESCRIPTION                            | BOY AMOUNT | EOY AMOUNT |
|----------------------------------------|------------|------------|
| DEFERRED FEDERAL EXCISE TAX            | 904,000.   | 1,145,505. |
| DEFERRED RENT                          | 656,296.   | 627,042.   |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 1,560,296. | 1,772,547. |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

| NAME AND ADDRESS                                                                            | TITLE AND<br>AVRG HRS/WK       | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|---------------------------------------------------------------------------------------------|--------------------------------|-------------------|------------------------------|--------------------|
| JAMES R. KNICKMAN - TO 2/29/16<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018           | PRESIDENT & CEO<br>40.00       | 188,218.          | 23,527.                      | 0.                 |
| DAVID SANDMAN - TERM ENDED<br>02/29/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NE 10018 | SENIOR VICE PRESIDENT<br>40.00 | 60,366.           | 6,803.                       | 0.                 |
| DAVID SANDMAN - START 03/01/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018         | PRESIDENT & CEO<br>40.00       | 343,269.          | 37,565.                      | 0.                 |
| PAUL BADER - TERM ENDED 6/2/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018         | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| ROBERT G. SMITH<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                          | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| LARAY BROWN - TERM ENDED 6/2/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018        | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| JOHN T. LANE<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                             | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| COURTNEY BURKE<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                           | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| MARGARET I. CUOMO<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                        | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |
| MARC N GOUREVITCH<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                        | BOARD MEMBER<br>3.00           | 0.                | 0.                           | 0.                 |

## NEW YORK STATE HEALTH FOUNDATION

30-0127892

|                                                                                            |                      |          |         |    |
|--------------------------------------------------------------------------------------------|----------------------|----------|---------|----|
| ELLEN RAUTENBERG<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018                        | BOARD MEMBER<br>3.00 | 0.       | 0.      | 0. |
| MARY E. CRAIG - STARTED 4/5/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018        | BOARD MEMBER<br>3.00 | 0.       | 0.      | 0. |
| KATHLEEN PRESTON - STARTED<br>3/5/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018  | BOARD MEMBER<br>3.00 | 0.       | 0.      | 0. |
| MICHELLE A. KOURY - STARTED<br>6/2/2016<br>1385 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10018 | BOARD MEMBER<br>3.00 | 0.       | 0.      | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                                               |                      | 591,853. | 67,895. | 0. |

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 13

GRANTEE'S NAME

SEE ATTACHMENT D

GRANTEE'S ADDRESSGRANT AMOUNTDATE OF GRANTAMOUNT EXPENDEDPURPOSE OF GRANT

**New York State Health Foundation**  
**EIN 30-0127892**  
**Form 990-PF: Part IV Capital Gains and Losses**  
**December 31, 2016**

| <b>Name of Security</b>         | <b>Date Sold</b> | <b>No of Shares</b> | <b>Adjusted Cost</b> | <b>Sales Proceeds</b> | <b>Realized Gains (Losses)</b> |
|---------------------------------|------------------|---------------------|----------------------|-----------------------|--------------------------------|
| Aggregate Bond Index            | 1/5/2016         | 8,600               | 950,327 66           | 929,471 89            | (20,855 77)                    |
| Aggregate Bond Index            | 1/5/2016         | 700                 | 77,829 57            | 75,654 61             | (2,174 96)                     |
| Aggregate Bond Index            | 2/1/2016         | 18,000              | 2,001,331 80         | 1,960,336 72          | (40,995 08)                    |
|                                 |                  | 27,300              | 3,029,489            | 2,965,463             | (64,026)                       |
| BGI Russell 1000 Index Fund     | 3/8/2016         | 734 99              | 18,374 67            | 18,526 38             | 151 71                         |
| BGI Russell 1000 Index Fund     | 3/29/2016        | 65,981 99           | 1,649,542 52         | 1,700,000 00          | 50,457 48                      |
| BGI Russell 1000 Index Fund     | 6/7/2016         | 55,899 22           | 1,397,474 37         | 1,500,000 00          | 102,525 63                     |
| BGI Russell 1000 Index Fund     | 7/12/2016        | 658 27              | 16,456 68            | 17,621 74             | 1,165 06                       |
| BGI Russell 1000 Index Fund     | 7/28/2016        | 90,216 66           | 2,255,406 61         | 2,500,000 00          | 244,593 39                     |
| BGI Russell 1000 Index Fund     | 9/9/2016         | 657 01              | 16,425 18            | 18,419 10             | 1,993 92                       |
| BGI Russell 1000 Index Fund     | 10/18/2016       | 73,042              | 1,826,042 25         | 2,000,000             | 173,957 75                     |
|                                 |                  | 287,190 15          | 7,179,722 28         | 7,754,567 22          | 574,844 94                     |
| Blackrock ACWI ex-US Index Fund | 3/9/2016         | 892 36              | 26,680 15            | 20,342 37             | (6,337 78)                     |
| Blackrock ACWI ex-US Index Fund | 7/13/2016        | 818 48              | 24,471 25            | 18,933 64             | (5,537 61)                     |
| Blackrock ACWI ex-US Index Fund | 9/12/2016        | 789                 | 23,603 60            | 20,048 65             | (3,554 95)                     |
|                                 |                  | 2,500 30            | 74,755 00            | 59,324 66             | (15,430 34)                    |
| Gold ETF                        | 11/3/2016        | 65,000 00           | 712,851 75           | 811,169 28            | 98,317 53                      |
| iShares Russell 1000 ETF        | 1/5/2016         | 7,200 00            | 489,442 32           | 801,350 29            | 311,907 97                     |
| iShares Russell 1000 ETF        | 12/1/2016        | 8,200               | 557,420 42           | 1,004,369 04          | 446,948 62                     |
|                                 |                  | 80,400 00           | 1,759,714 49         | 2,616,888 61          | 857,174 12                     |
| <b>TOTAL</b>                    |                  |                     | <b>12,043,681</b>    | <b>13,396,244</b>     | <b>1,352,563</b>               |



**NEW YORK STATE HEALTH FOUNDATION**  
**EIN #30-0127892**  
**December 31, 2016**  
**Form 990-PF**

**PART IX-A Summary of Direct Charitable Activities**

**1) Learning and evaluation:** Activities to learn from and communicate grantmaking results.

One of the Foundation's guiding principles is to "be a learning organization interested in measuring outcomes, communicating results, and diffusing successful ideas for implementation throughout New York State and beyond." These learning and evaluation activities increase the impact of the Foundation's grantmaking. Foundation staff develop grant outcome reports to capture and share the lessons of nearly every grant awarded and maintain a vibrant website to disseminate these lessons. Staff also spend time developing and shaping policy analyses and reports on key topics affecting New York's health system.

**\$562,254**

**2) Leverage:** Activities to raise funds and create partnerships with other organizations to increase the ability of grantees to improve the health of New Yorkers.

A key strategy for the Foundation is to attract and leverage public and private funds to complement and amplify its own grant dollars. In 2016, NYSEHealth leveraged an estimated \$320 million in external funding through 17 of its own grants. As one example, NYSEHealth had for several years supported a statewide learning collaborative among New York's health home providers. After the Foundation's initial grants closed, the New York State Department of Health took over the funding for the program, but in 2016 recognized that additional funds would be needed to cover travel expenses and ensure widespread participation. NYSEHealth worked with the Health Foundation for Western and Central New York (HFWCNY) and the Greater Rochester Health Foundation to bridge that funding gap. NYSEHealth again worked with HFWCNY and the John R. Oishei Foundation to co-fund efforts to establish a statewide association of community health workers. In the area of consumer empowerment, NYSEHealth leveraged co-funding from the Robert Wood Johnson Foundation and Atlantic Philanthropies to support a statewide coalition of consumer advocates, Health Care for All New York. In New York City, the Foundation is contributing to the evaluation of the Community Parks Initiative (a \$285 million renovation and revitalization effort spearheaded by the NYC Department of Parks and Recreation), supplementing \$3 million in funding from the National Cancer Institute. NYSEHealth is also working with numerous partners to strengthen and spread Project ECHO, a tele-training model that connects medical specialists located at academic medical centers to rural primary care providers. The Foundation worked with the GE Foundation in 2016 to support a Project ECHO evaluation guide and toolkit, and the GE Foundation is now working to establish ECHO sites nationwide. In addition, NYSEHealth is supporting the expansion of the program at the University of Rochester Medical Center (URMC), with co-funding from URMC itself and from HFWCNY.

**\$160,600**

**ATTACHMENT B**

**3) Outreach and education:** Activities to convene key stakeholders and educate the media, policymakers, and other audiences about important health care trends and issues affecting New Yorkers and the New York health system.

Foundation staff regularly reach out to the media and respond to requests from reporters to inform their stories on pressing health issues; write blog posts, journal articles, and opinion pieces; share news and information via e-mail blasts and social media; and deliver speeches and presentations at meetings and conferences. The Foundation also serves as a neutral convener of key players in New York's health system. In 2016, the Foundation hosted dozens of convenings, from small, invitation-only discussions, to its "A Conversation With..." series (which brings together leaders in the field of health care to discuss issues pertinent to the Foundation's work), to large conferences attracting hundreds of attendees. The two largest convenings were: (1) a summit on population health (attended by approximately 400 people), co-hosted with the New York Academy of Medicine and New York University, focused on working across sectors to address social determinants of health and (2) a 10th-anniversary event (attended by nearly 300 grantees, partners, and other public health and health care leaders) focused on the opportunities, challenges, and vision for the next 10 years in the areas of building healthy communities and empowering health care consumers.

**\$747,589**

**New York State Health Foundation**  
**EIN 30-0127892**  
**Form 990-PF: Part II - Balance Sheet**  
**Schedule of Portfolio Holdings**  
**December 31, 2016**

| Type and Name of Security           | Balance at December 31, 2016 |                       |                       |
|-------------------------------------|------------------------------|-----------------------|-----------------------|
|                                     | No. of Shares                | Cost                  | Market Value          |
| <b>Equities</b>                     |                              |                       |                       |
| iShares Russell 1000 ETF            | 346,400                      | 23,658,250            | 43,112,944            |
| Blackrock Russell 1000 Index Fund   | 3,265,093                    | 64,166,142            | 94,373,952            |
| Blackrock ACWI ex-US Index Fund     | 1,878,658                    | 37,838,845            | 46,252,534            |
| <b>Equity Funds Subtotal</b>        |                              | <u>125,663,237</u>    | <u>183,739,430</u>    |
| <b>Hard Assets</b>                  |                              |                       |                       |
| iShares COMEX Gold ETF              | 1,122,500                    | 12,708,661            | 12,437,300            |
| <b>Subtotal Hard Assets</b>         |                              | <u>12,708,661</u>     | <u>12,437,300</u>     |
| <b>Fixed Income Funds</b>           |                              |                       |                       |
| iShares Aggregate Bond Index        | 732,300                      | 79,661,914            | 79,132,338            |
| <b>Subtotal: Fixed Income Funds</b> |                              | <u>79,661,914</u>     | <u>79,132,338</u>     |
| <b>TOTAL Investment HOLDINGS</b>    |                              | <u>\$ 218,033,812</u> | <u>\$ 275,309,068</u> |

**Expenditure Responsibility Report FYE 2016**

| Organization Name                                    | Project Title                                                                                          | Awarded Amount | 2016 Payment Amount | 2016 Grantee Cumulative Expenditures | Report Received Date | Address                                  | City        | State | Zip Code |
|------------------------------------------------------|--------------------------------------------------------------------------------------------------------|----------------|---------------------|--------------------------------------|----------------------|------------------------------------------|-------------|-------|----------|
| American Congress of Obstetricians and Gynecologists | Women, Pregnancy, & the Opioid Epidemic in Upstate New York A Healthcare Provider Educational Approach | \$224,681 00   | \$179,745 00        | \$6,476 24                           | 1/31/2017            | 100 Great Oaks Boulevard, Suite 109      | Albany      | NY    | 12203    |
| Do Canto Group                                       | Advancing the Creation of a Statewide Community Health Worker Association                              | \$6,500 00     | \$6,500 00          | \$6,500 00                           | 2/1/2017             | 230 Blue Hills Parkway                   | Milton      | MA    | 02186    |
| Global Strategy Group, LLC                           | Universal School Lunch                                                                                 | \$70,000 00    | \$56,000 00         | \$69,100 00                          | 2/7/2017             | 215 Park Avenue South, 15th Floor        | New York    | NY    | 10003    |
| Gorman Actuarial, Inc                                | Project Transparency Examining Variations in New York Hospital Prices and Quality of Care              | \$360,000 00   | \$132,500 00        | \$360,000 00                         | 1/17/2017            | 210 Robert Rd                            | Marlborough | MA    | 01752    |
| IMPAQ International, LLC                             | Health Insurance Marketplace Transparency Tool Out-of-Pocket Costs for Specialty Conditions            | \$288,254 00   | \$230,604 00        | \$20,217 37                          | 1/31/2017            | 10420 Little Patuxent Parkway, Suite 300 | Columbia    | MD    | 21044    |
| NYC Bike Share LLC                                   | Engaging Communities in Bike Share as a Pathway to Better Health                                       | \$14,125 00    | \$11,300 00         | \$10,052 85                          | 2/7/2017             | 5202 3rd Avenue                          | Brooklyn    | NY    | 11220    |
|                                                      |                                                                                                        |                | <b>\$616,649.00</b> |                                      |                      |                                          |             |       |          |