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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation NORMAN R. HEINTZ CHARITABLE TRUST THRIVENT TRUST COMPANY, TRUSTEE		A Employer identification number 27-6684864
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 2817	Room/suite	B Telephone number 877.225.8345
City or town, state or province, country, and ZIP or foreign postal code APPLETON, WI 54912-2817		C If exemption application is pending, check here ☐
G Check all that apply. ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 754,967.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		18,101.	18,101.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		11,496.			
b Gross sales price for all assets on line 6a 235,724.					
7 Capital gain net income (from Part IV, line 2)			11,496.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		29,597.	29,597.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,270.	1,270.		0.
c Other professional fees STMT 3		9,408.	9,408.		0.
17 Interest					
18 Taxes STMT 4		158.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses Add lines 13 through 23		10,836.	10,678.		0.
25 Contributions, gifts, grants, etc.		47,950.			47,950.
26 Total expenses and disbursements. Add lines 24 and 25		58,786.	10,678.		47,950.
27 Subtract line 26 from line 12		-29,189.			
a Excess of revenue over expenses and disbursements			18,919.		
b Net investment income (if negative, enter -0-)				N/A	
c Adjusted net income (if negative, enter -0-)					

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Part I Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	28,722.	14,217.	14,217.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	684,767.	670,083.	740,750.
	c Investments - corporate bonds			
	11 Investments - land, buildings and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	713,489.	684,300.	754,967.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	713,489.	684,300.	
	30 Total net assets or fund balances	713,489.	684,300.	
31 Total liabilities and net assets/fund balances	713,489.	684,300.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	713,489.
2 Enter amount from Part I, line 27a	2	-29,189.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	684,300.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	684,300.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 234,456.		224,228.	10,228.
b 1,268.			1,268.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,228.
b			1,268.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	11,496.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	49,445.	787,180.	.062813
2014	47,542.	814,333.	.058382
2013	48,930.	787,037.	.062170
2012	47,316.	804,750.	.058796
2011	24,629.	779,643.	.031590

2 Total of line 1, column (d)	2	.273751
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054750
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	739,291.
5 Multiply line 4 by line 3	5	40,476.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	189.
7 Add lines 5 and 6	7	40,665.
8 Enter qualifying distributions from Part XII, line 4	8	47,950.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	189.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	189.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	189.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		189.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1b		
c Did the foundation file Form 1120-POL for this year?		X
1c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
2		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
3		
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4a		
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
5		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
6		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
7		
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI, AR</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
8b		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
9		
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
10		

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► THRIVENT TRUST COMPANY, TRUSTEE Telephone no. ► 877.225.8345 Located at ► 4321 N. BALLARD ROAD, APPLETON, WI ZIP+4 ► 54919-0001		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a 4b	X X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THRIVENT TRUST COMPANY	TRUSTEE			
4321 N. BALLARD ROAD				
APPLETON, WI 54919-0001	5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

(continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
	All other program-related investments. See instructions.	
3		

Total. Add lines 1 through 3

0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	727,534.
b	Average of monthly cash balances	1b	23,015.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	750,549.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	750,549.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	11,258.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	739,291.
6	Minimum investment return. Enter 5% of line 5	6	36,965.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	36,965.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	189.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	189.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	36,776.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	36,776.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	36,776.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	47,950.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	189.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	47,761.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				36,776.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015	3,775.			
f Total of lines 3a through e	3,775.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	47,950.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				36,776.
e Remaining amount distributed out of corpus	11,174.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	14,949.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	14,949.			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015	3,775.			
e Excess from 2016	11,174.			

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THRIVENT TRUST COMPANY, TRUSTEE

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Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

Part XV. **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NORMAN R. HEINTZ CHARITABLE TRUST
THRIVENT TRUST COMPANY, TRUSTEE

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BETHESDA LUTHERAN COMMUNITIES, INC. (FEIN: 39-0806446) 600 HOFFMANN DRIVE WATERTOWN, WI 53094	NOT APPLICABLE	PC	RELIGIOUS SUPPORT	7,991.
GIDEONS INTERNATIONAL (FEIN. 36-2270051) PO BOX 140800 NASHVILLE, TN 37214	NOT APPLICABLE	PC	RELIGIOUS SUPPORT	7,991.
JONI AND FRIENDS (FEIN: 95-3402002) 30009 LADYFACE CT AGOURA HILLS, CA 91301	NOT APPLICABLE	PC	RELIGIOUS SUPPORT	7,992.
LUTHERAN BRAILLE WORKERS, INC. (FEIN: 95-6048152) PO BOX 5000 YUCAIPA, CA 92399	NOT APPLICABLE	PC	RELIGIOUS SUPPORT	7,992.
LUTHERAN HOUR MINISTRIES (LUTHERAN LAYMENS LEAGUE FEIN: 43-0653365) 660 MASON RIDGE CENTER DRIVE ST LOUIS, MO 63141	NOT APPLICABLE	PC	RELIGIOUS SUPPORT	7,992.
Total	SEE CONTINUATION SHEET(S)			47,950.
b Approved for future payment				
NONE				
Total				0.

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Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	18,101.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	11,496.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		29,597.		0.
13 Total. Add line 12, columns (b), (d), and (e)						29,597.

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|-----|--|-------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes |
| | | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | |
| | | |
| (1) | Cash | 1a(1) |
| | | |
| (2) | Other assets | 1a(2) |
| | | |
| b | Other transactions: | |
| | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) |
| | | |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) |
| | | |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) |
| | | |
| (4) | Reimbursement arrangements | 1b(4) |
| | | |
| (5) | Loans or loan guarantees | 1b(5) |
| | | |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) |
| | | |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Thrivent Trust Company, Trustee

By: James M. O'Neil 3/23/2017
Signature of officer or trustee Date

3/13/17

manor of Person
Trust & Estate
Title Settlement

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

JASON A.
SEDERQUIST, CPA

Preparer's signature _____

Preparer's signature
JASON A. SEDERQUI

Date _____

03/13/17

Check ☐ self-employed

PTIN

P00229806

Firm's name ▶ BAKER TILLY VIRCHOW KRAUSE, LLP

Firm's EIN ► 39-0859910

Firm's address ► PO BOX 7398

MADISON, WI 53707-7398

Phone no. 608.249.6622

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3 Grants and Contributions Paid During the Year (Continuation)

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THRIVENT TRUST - RETURN OF CAPITAL THRIVENT TRUST-DIVIDENDS & INTEREST	46.	0.	46.	46.	
THRIVENT TRUST-LT CGD	18,055.	0.	18,055.	18,055.	
	1,268.	1,268.	0.	0.	
TO PART I, LINE 4	19,369.	1,268.	18,101.	18,101.	

FORM 990-PF		ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BAKER TILLY VIRCHOW KRAUSE LLP - 2015 FORM 990PF	1,270.	1,270.		0.	
TO FORM 990-PF, PG 1, LN 16B	1,270.	1,270.		0.	

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
THRIVENT TRUST COMPANY - INVESTMENT MANAGEMENT FEES	9,408.	9,408.		0.	
TO FORM 990-PF, PG 1, LN 16C	9,408.	9,408.		0.	

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IRS FORM 990PF 2015 EXCISE TAX	158.	0.		0.
TO FORM 990-PF, PG 1, LN 18	158.	0.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THRIVENT TRUST COMPANY - INVESTMENTS (STOCK & BOND FUNDS)	670,083.	740,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	670,083.	740,750.

HOLDINGS on December 31, 2016

	Number of shares	Share price	Market value	Cost basis	Unrealized gain or loss
67A100014 Norman & Mary Heintz Endowment Tr					
Domestic Equities					
<i>All Cap Equity</i>					
iShares Core S&P Total US Stock Market ETF	390.00	51.29	20,003.10	19,191.06	812.04
CUSIP: 464287150					
Total All Cap Equity			\$20,003.10	\$19,191.06	\$812.04
<i>Large Cap</i>					
iShares Global Energy ETF	516.00	34.80	17,956.80	15,351.39	2,605.41
CUSIP: 464287341					
John Hancock Funds III - Disciplined Value Fund	2,434.846	19.37	47,162.97	44,124.32	3,038.65
CUSIP: 47803U640					
SPDR S&P 500 ETF Trust	824.00	223.53	184,188.72	123,180.72	61,008.00
CUSIP: 78462F103					
SPDR S&P 500 Growth ETF	140.00	105.33	14,746.20	14,155.85	590.35
CUSIP: 78464A409					
SPDR S&P 500 Value ETF	137.00	109.47	14,997.39	14,022.00	975.39
CUSIP: 78464A508					
Vanguard Growth ETF	223.00	111.48	24,860.04	24,556.43	303.61
CUSIP: 922908736					
Total Large Cap			\$303,912.12	\$235,390.71	\$68,521.41
<i>Mid Cap</i>					
Janus Enterprise Fund	82.796	95.22	7,883.84	7,700.00	183.84
CUSIP: 47103C795					
Wells Fargo Special Mid Cap Value Fund	477.086	36.01	17,179.87	14,923.26	2,256.61
CUSIP: 949915482					
Total Mid Cap			\$25,063.71	\$22,623.26	\$2,440.45

HOLDINGS on December 31, 2016 (continued)

	Number of shares	Share price	Market value	Cost basis	Unrealized gain or loss
67A100014 Norman & Mary Heintz Endowment Tr (continued)					
Domestic Equities (continued)					
<i>Small Cap</i>					
JPMorgan Small Cap Equity Fund CUSIP: 4812A1373	812,369	52.21	42,413.79	39,264.86	3,148.93
Total Small Cap			\$42,413.79	\$39,264.86	\$3,148.93
Total Domestic Equities			\$391,392.72	\$316,469.89	\$74,922.83
International Equities					
<i>International Equity</i>					
Vanguard FTSE Developed Markets ETF CUSIP: 921943858	1,873.00	36.54	68,439.42	69,146.37	-706.95
Total International Equity			\$68,439.42	\$69,146.37	-\$706.95
<i>Emerging Market Equity</i>					
T Rowe Price Emerging Markets Stock Fund CUSIP: 77956H864	659,448	31.68	20,891.31	19,152.77	1,738.54
Total Emerging Market Equity			\$20,891.31	\$19,152.77	\$1,738.54
Total International Equities			\$89,330.73	\$88,299.14	\$1,031.59
Domestic Fixed Income					
<i>Taxable Bonds</i>					
DoubleLine Total Return Bond Fund CUSIP: 258620103	6,361.52	10.62	67,559.34	70,874.77	-3,315.43
Federated Total Return Bond Fund CUSIP: 31428Q101	2,897.136	10.78	31,231.13	32,139.61	-908.48

HOLDINGS on December 31, 2016 (continued)

	Number of shares	Share price	Market value	Cost basis	Unrealized gain or loss
67A100014 Norman & Mary Heintz Endowment Tr (continued)					
Domestic Fixed Income (continued)					
<i>Taxable Bonds (continued)</i>					
First Trust Exchange-Traded Fund IV	327.00	48.23	15,771.21	15,352.64	418.57
First Trust Tactical High Yield ETF CUSIP: 33738D408					
iShares 20+ Year Treasury Bond ETF CUSIP: 464287432	100.00	119.13	11,913.00	12,690.98	-777.98
iShares TIPS Bond ETF CUSIP: 464287176	104.00	113.17	11,769.68	11,968.20	-198.52
Nuveen Preferred Securities Fund CUSIP: 670700400	544.379	16.82	9,156.45	9,200.00	-43.55
Oppenheimer Senior Floating Rate Fund CUSIP: 68381K408	2,952.503	8.13	24,003.85	23,000.00	1,003.85
Vanguard Short-Term Investment Grade Fund CUSIP: 922031836	6,910.049	10.63	73,453.82	74,687.77	-1,233.95
Total Taxable Bonds			\$244,858.48	\$249,913.97	-\$5,055.49
Total Domestic Fixed Income			\$244,858.48	\$249,913.97	-\$5,055.49

HOLDINGS on December 31, 2016 (continued)

	Number of shares	Share price	Market value	Cost basis	Unrealized gain or loss
67A100014 Norman & Mary Heintz Endowment Tr (continued)					
International Fixed Income					
<i>Emerging Market Debt</i>					
Goldman Sachs Emerging Markets Debt Fund	1,223 193	12 40	15,167.59	15,400 00	-232 41
CUSIP: 38143H886					
Total Emerging Market Debt			\$15,167.59	\$15,400.00	-\$232.41
Total International Fixed Income			\$15,167.59	\$15,400.00	-\$232.41
Cash & Equivalents					
<i>Capital Portfolio</i>					
Fidelity Investments Money Market Funds - Government Portfolio	5,992 74	1 00	5,992 74	5,992 74	0 00
CUSIP: 31607A703					
Total Capital Portfolio			\$5,992.74	\$5,992.74	\$0.00
<i>Income Portfolio</i>					
Fidelity Investments Money Market Funds - Government Portfolio	8,004 48	1 00	8,004 48	8,004 48	0 00
CUSIP: 31607A703					
US Dollar	219.99	1 00	219 99	219.99	0.00
Currency USD					
Total Income Portfolio			\$8,224.47	\$8,224.47	\$0.00
Total Cash & Equivalents			\$14,217.21	\$14,217.21	\$0.00
Total for 67A100014 Norman & Mary Heintz Endowment Tr			\$754,966.73	\$684,300.21	\$70,666.52