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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052
2016
Open to Public Inspection

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 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation ALLEN SHAFFER CHARITABLE TRUST		A Employer identification number 27-6622707	
Number and street (or P O box number if mail is not delivered to street address) Room/suite PO BOX 2907 - TRUST TAX DEPT		B Telephone number (see instructions) (276) 666-3264	
City or town, state or province, country, and ZIP or foreign postal code WILSON, NC 278942907		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 682,515		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,009	3,009		
	4 Dividends and interest from securities	12,986	12,986		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	46,937			
	b Gross sales price for all assets on line 6a 540,234				
	7 Capital gain net income (from Part IV, line 2)		46,937		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	62,932	62,932			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,505	8,505		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	42	6		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	9	9		
	24 Total operating and administrative expenses. Add lines 13 through 23	8,556	8,520	0	0
	25 Contributions, gifts, grants paid	36,626			36,626
26 Total expenses and disbursements. Add lines 24 and 25	45,182	8,520	0	36,626	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	17,750				
b Net investment income (if negative, enter -0-)		54,412			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	15,217		
	2 Savings and temporary cash investments		1,327	1,327
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	274,163		
	b Investments—corporate stock (attach schedule)	339,164	372,978	414,938
	c Investments—corporate bonds (attach schedule)		267,582	266,250
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	628,544	641,887	682,515	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	628,544	641,887	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	628,544	641,887		
31 Total liabilities and net assets/fund balances (see instructions) .	628,544	641,887		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	628,544
2 Enter amount from Part I, line 27a	2	17,750
3 Other increases not included in line 2 (itemize) ▶ _____	3	439
4 Add lines 1, 2, and 3	4	646,733
5 Decreases not included in line 2 (itemize) ▶ _____	5	4,846
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	641,887

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))				
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				
				2 46,937
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				
				3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	36,500	692,783	0 052686
2014	35,844	719,980	0 049785
2013	34,353	701,067	0 049001
2012	34,554	692,077	0 049928
2011	20,526	443,864	0 046244
2 Total of line 1, column (d)			2 0 247644
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 049529
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 667,261
5 Multiply line 4 by line 3			5 33,049
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 544
7 Add lines 5 and 6			7 33,593
8 Enter qualifying distributions from Part XII, line 4			8 36,626

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax due'. Total amount owed is 416.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes a small table for state reporting (PA).

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address 13 Yes
14 The books are in care of BRANCH BANKING AND TRUST COMPANY Telephone no (276) 666-3264
Located at PO BOX 2907 - TRUST TAX DEPT L709 WILSON NC ZIP+4 278942907
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	
	6b	No
	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRANCH BANKING AND TRUST COMPANY PO BOX 2907 - TRUST TAX DEPT L709 WILSON, NC 278942907	TRUSTEE / PART-TIME 1	8,505		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	659,731
b	Average of monthly cash balances.	1b	17,691
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	677,422
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	677,422
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,161
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	667,261
6	Minimum investment return. Enter 5% of line 5.	6	33,363

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	33,363
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	544
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	544
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	32,819
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	32,819
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	32,819

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	36,626
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	36,626
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	544
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	36,082

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				32,819
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	1,209			
f Total of lines 3a through e.	1,209			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>36,626</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				32,819
e Remaining amount distributed out of corpus	3,807			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,016			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	5,016			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	1,209			
e Excess from 2016.	3,807			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> ST PAULS EVANGELICAL LUTHERAN CHURCH 324 NORTH ST MILLERSBURG, PA 17061	NONE	N/A	SUPPORT OPERATIONS OF	36,626
Total			▶ 3a	36,626
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
275 MORGAN STANLEY DEAN WITTER DIS CO		2015-02-20	2016-01-08
100 ABBOTT LABORATORIES		2013-06-18	2016-01-14
25 AT&T INC		2013-09-17	2016-03-08
75 ABBOTT LABORATORIES		2011-03-23	2016-03-08
10 APPLE COMPUTER CORPORATION COMMON		2014-03-13	2016-03-08
100 BOEING COMPANY		2014-11-19	2016-03-08
50 CME GROUP INC		2015-06-19	2016-03-08
325 CSX CORPORATION		2014-03-13	2016-03-08
20 CISCO SYSTEMS		2014-02-14	2016-03-08
150 EMC CORPORATION COMMON		2013-10-18	2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,982		10,108	-2,126
4,091		3,711	380
950		869	81
2,928		2,138	790
1,007		766	241
12,157		13,128	-971
4,659		4,733	-74
8,117		9,531	-1,414
534		453	81
3,926		3,781	145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,126
			380
			81
			790
			241
			-971
			-74
			-1,414
			81
			145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
20 EXXON MOBIL CORPORATION		2013-04-24	2016-03-08
15 FIFTH THIRD BANCORP		2015-07-22	2016-03-08
150 GENERAL ELECTRIC COMPANY		2011-03-23	2016-03-08
55 INTEL CORPORATION		2011-11-17	2016-03-08
175 INTERNATIONAL PAPER COMPANY		2014-04-03	2016-03-08
40 ISHARES INC MSCI JAPAN		2011-03-23	2016-03-08
150 ISHARES MSCI EMERGING MKTS INDEX FUND		2015-12-23	2016-03-08
75 I SHARES EAFE INDEX FUND		2011-08-09	2016-03-08
20 JOHNSON & JOHNSON		2011-03-23	2016-03-08
650 KEYCORP NEW COM		2015-07-22	2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,664		1,795	-131
249		325	-76
4,493		2,802	1,691
1,674		1,368	306
6,615		8,013	-1,398
450		422	28
4,814		4,951	-137
4,176		3,918	258
2,123		1,174	949
7,199		9,922	-2,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-131
			-76
			1,691
			306
			-1,398
			28
			-137
			258
			949
			-2,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
50 KIMBERLY CLARK CORPORATION		2015-01-27	2016-03-08
20 MERCK & CO INC		2014-12-17	2016-03-08
5 ORACLE SYSTEM CORPORATION		2014-06-05	2016-03-08
175 PAYPAL HOLDINGS INC		2014-09-19	2016-03-08
110 PFIZER INCORPORATED		2011-03-23	2016-03-08
591 144 TEMPLETON GLOBAL BOND FUND CLASS A		2012-08-27	2016-03-08
20 THOMSON REUTERS CORP		2012-03-20	2016-03-08
5 THE TRAVELERS COMPANIES INC		2011-08-10	2016-03-08
40 VODAFONE GROUP PLC SPON ADR		2014-09-29	2016-03-08
100 WAL MART STORES INCORPORATED		2013-01-28	2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,638		5,513	1,125
1,045		1,144	-99
190		211	-21
6,695		5,756	939
3,244		2,184	1,060
6,686		7,791	-1,105
741		583	158
551		248	303
1,234		1,345	-111
6,752		7,257	-505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,125
			-99
			-21
			939
			1,060
			-1,105
			158
			303
			-111
			-505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
25 WAL MART STORES INCORPORATED		2015-06-19	2016-03-08
5 WASTE MANAGEMENT INC NEW		2011-03-23	2016-03-08
10 WELLS FARGO & CO NEW		2014-12-02	2016-03-08
50 CORE LABORATORIES N V		2015-08-12	2016-03-08
25000 FED HOME LOAN BKS 2 820% 06/17/16		2011-03-29	2016-06-17
83 AT&T INC		2012-12-04	2016-08-12
75 ALLSTATE CORP		2016-01-22	2016-08-12
5 ALLSTATE CORP		2016-03-08	2016-08-12
10 ALPHABET INC CL C		2014-12-05	2016-08-12
230 ARCHER DANIELS MIDLAND COMPANY		2016-03-08	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,688		1,827	-139
285		184	101
490		542	-52
5,697		5,804	-107
25,000		25,000	
3,594		2,839	755
5,187		4,383	804
346		323	23
7,816		5,285	2,531
10,139		8,205	1,934

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-139
			101
			-52
			-107
			755
			804
			23
			2,531
			1,934

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
105 BANK OF AMER CORP COMMON		2016-03-08	2016-08-12
475 BANK OF AMER CORP COMMON		2013-12-19	2016-08-12
125 BAXTER INTERNATIONAL INCORPORATED		2016-03-08	2016-08-12
33 CISCO SYSTEMS		2014-02-14	2016-08-12
110 COCA COLA COMPANY		2016-03-08	2016-08-12
15 COSTCO WHOLESALE CORP		2016-03-08	2016-08-12
25 COSTCO WHOLESALE CORP		2015-07-01	2016-08-12
27 EXXON MOBIL CORPORATION		2013-04-24	2016-08-12
125 FASTENAL CO		2015-07-31	2016-08-12
435 FIFTH THIRD BANCORP		2015-07-22	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,551		1,382	169
7,018		7,531	-513
6,059		4,933	1,126
1,018		748	270
4,829		4,839	-10
2,532		2,230	302
4,221		3,399	822
2,374		2,328	46
5,430		5,225	205
8,258		9,428	-1,170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			169
			-513
			1,126
			270
			-10
			302
			822
			46
			205
			-1,170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
175 GENERAL ELECTRIC COMPANY		2015-09-01	2016-08-12
150 GENERAL ELECTRIC COMPANY		2011-10-27	2016-08-12
65 GENERAL MILLS, INCORPORATED		2016-03-08	2016-08-12
75 GENERAL MILLS, INCORPORATED		2016-01-14	2016-08-12
20 INFOSYS TECHNOLOGIES SP ADR ISIN #US4567881085		2016-03-08	2016-08-12
250 INFOSYS TECHNOLOGIES SP ADR ISIN #US4567881085		2015-10-16	2016-08-12
345 INTEL CORPORATION		2011-03-23	2016-08-12
25 INTERNATIONAL BUSINESS MACHINES CORP		2015-12-29	2016-08-12
10 INTERNATIONAL BUSINESS MACHINES CORP		2016-03-08	2016-08-12
360 ISHARES INC MSCI JAPAN		2011-03-23	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,457		4,202	1,255
4,677		2,373	2,304
4,628		3,866	762
5,340		4,157	1,183
323		358	-35
4,035		4,448	-413
11,894		7,092	4,802
4,046		3,491	555
1,618		1,379	239
4,444		3,443	1,001

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,255
			2,304
			762
			1,183
			-35
			-413
			4,802
			555
			239
			1,001

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 JOHNSON & JOHNSON		2011-03-23	2016-08-12
5 MACYS INC		2016-03-08	2016-08-12
200 MACYS INC		2015-11-12	2016-08-12
55 MCCORMICK & CO INC COM		2016-03-08	2016-08-12
155 MERCK & CO INC		2011-03-23	2016-08-12
49 MICROSOFT CORPORATION		2014-06-05	2016-08-12
410 NEW YORK COMMUNITY BANCORP INC		2016-03-08	2016-08-12
245 ORACLE SYSTEM CORPORATION		2014-01-15	2016-08-12
5 PAYCHEX INC		2016-03-08	2016-08-12
150 PAYCHEX INC		2011-08-10	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,353		646	707
197		221	-24
7,891		8,088	-197
5,589		5,111	478
9,773		6,401	3,372
2,829		2,007	822
5,802		6,458	-656
10,063		9,640	423
300		261	39
8,988		4,440	4,548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			707
			-24
			-197
			478
			3,372
			822
			-656
			423
			39
			4,548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
25 PEPSICO INCORPORATED		2011-11-03	2016-08-12
80 PEPSICO INCORPORATED		2016-03-08	2016-08-12
67 PFIZER INCORPORATED		2011-03-23	2016-08-12
50 PROCTOR AND GAMBLE COMPANY		2015-10-22	2016-08-12
55 PROCTOR AND GAMBLE COMPANY		2016-03-08	2016-08-12
90 QUALCOMM INC COMMON		2016-03-08	2016-08-12
15 SCHLUMBERGER LTD		2016-03-08	2016-08-12
45 SPECTRA ENERGY CORPORATION		2016-03-08	2016-08-12
125 SYSCO CORPORATION		2011-11-28	2016-08-12
65 SYSCO CORPORATION		2016-03-08	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,713		1,561	1,152
8,681		7,940	741
2,332		1,331	1,001
4,347		3,775	572
4,782		4,569	213
5,552		4,682	870
1,219		1,104	115
1,634		1,355	279
6,487		3,593	2,894
3,373		2,932	441

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,152
			741
			1,001
			572
			213
			870
			115
			279
			2,894
			441

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
75 TARGET CORP COM		2015-09-01	2016-08-12
10 TARGET CORP COM		2016-03-08	2016-08-12
90 TEXAS INSTRUMENTS INCORPORATED		2016-03-08	2016-08-12
180 THOMSON REUTERS CORP		2012-03-20	2016-08-12
30 3M COMPANY COMMON		2016-03-08	2016-08-12
45 THE TRAVELERS COMPANIES INC		2011-08-10	2016-08-12
115 UNILEVER N V NY SHS NEW F		2016-03-08	2016-08-12
50 UNITED PARCEL SERVICES B		2014-02-06	2016-08-12
10 UNITED PARCEL SERVICES B		2016-03-08	2016-08-12
25 UNITED PARCEL SERVICES B		2015-09-01	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,673		5,552	121
756		808	-52
6,286		4,906	1,380
7,530		5,232	2,298
5,401		4,796	605
5,334		2,232	3,102
5,336		5,022	314
5,492		4,712	780
1,098		1,011	87
2,746		2,403	343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			121
			-52
			1,380
			2,298
			605
			3,102
			314
			780
			87
			343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
85 UNITED TECHNOLOGIES CORPORATION		2016-03-08	2016-08-12
75 V F CORP COMMON		2015-06-25	2016-08-12
25 V F CORP COMMON		2016-03-08	2016-08-12
45 VANECK VECTORS BIOTECH ETF		2016-03-08	2016-08-12
25 VANECK VECTORS BIOTECH ETF		2015-08-11	2016-08-12
120 VERIZON COMMUNICATIONS INC		2011-11-17	2016-08-12
185 VODAFONE GROUP PLC SPON ADR		2014-09-29	2016-08-12
145 WASTE MANAGEMENT INC NEW		2011-03-23	2016-08-12
65 YUM! BRANDS, INC COMMON		2016-03-08	2016-08-12
25000 BERKSHIRE HATHAWAY INC 2 20% 8/15/16		2011-11-22	2016-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,238		8,229	1,009
4,772		5,281	-509
1,591		1,628	-37
5,197		4,660	537
2,887		3,395	-508
6,424		4,630	1,794
5,773		6,222	-449
9,655		4,676	4,979
5,851		5,003	848
25,000		25,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,009
			-509
			-37
			537
			-508
			1,794
			-449
			4,979
			848

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8 ADVANSIX INC COMMON		2016-08-12	2016-10-17
34 ACTIVISION BLIZZARD INC		2016-08-12	2016-11-03
2 ADVANSIX INC COMMON		2016-08-12	2016-11-03
7 APPLE COMPUTER CORPORATION COMMON		2014-03-13	2016-11-03
124 BRISTOL MYERS SQUIBB COMPANY		2016-08-12	2016-11-03
13 BROADRIDGE FINANCIAL SOLUTIONS INC		2016-08-12	2016-11-03
21 CDW CORP OF DELAWARE COMMON		2016-08-12	2016-11-03
55 CVS CORP COMMON		2016-03-08	2016-11-03
16 CVS CORP COMMON		2016-08-12	2016-11-03
120 CAMPBELL SOUP COMPANY		2016-08-12	2016-11-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		12	
1,471		1,398	73
30		31	-1
770		536	234
6,284		7,528	-1,244
834		891	-57
924		948	-24
4,524		5,441	-917
1,316		1,554	-238
6,331		7,319	-988

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			73
			-1
			234
			-1,244
			-57
			-24
			-917
			-238
			-988

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
27 CISCO SYSTEMS		2011-09-12	2016-11-03
14 DISCOVER FINL SVCS		2016-08-12	2016-11-03
76 DOLLAR GENERAL CORP COMMON		2016-08-12	2016-11-03
17 J P MORGAN CHASE & CO		2016-08-12	2016-11-03
53 LENNOX INTERNATIONAL INC		2016-08-12	2016-11-03
16 MICROSOFT CORPORATION		2011-12-05	2016-11-03
14 NASDAQ OMX GROUP INC		2016-08-12	2016-11-03
69 PROLOGIS INC COMMON		2016-08-12	2016-11-03
120 SEALED AIR CORP NEW COM		2016-08-12	2016-11-03
51 SPECTRA ENERGY CORPORATION		2016-03-08	2016-11-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
817		577	240
784		813	-29
5,235		7,038	-1,803
1,163		1,106	57
7,529		8,373	-844
946		428	518
897		979	-82
3,402		3,745	-343
5,448		5,600	-152
2,035		1,535	500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			240
			-29
			-1,803
			57
			-844
			518
			-82
			-343
			-152
			500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
12 STANLEY BLACK & DECKER INC		2016-08-12	2016-11-03
21 SUNTRUST BANKS, INC COMMON		2016-08-12	2016-11-03
8 WELLS FARGO & CO NEW		2016-08-12	2016-11-03
140 WELLS FARGO & CO NEW		2012-08-06	2016-11-03
5 BROADCOM LTD		2016-08-12	2016-11-03
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,367		1,477	-110
941		872	69
363		383	-20
6,353		5,158	1,195
864		858	6
			71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-110
			69
			-20
			1,195
			6

TY 2016 Other Decreases Schedule**Name:** ALLEN SHAFFER CHARITABLE TRUST**EIN:** 27-6622707

Description	Amount
PRIOR YEAR ADJUSTMENTS	4,846

TY 2016 Other Increases Schedule**Name:** ALLEN SHAFFER CHARITABLE TRUST**EIN:** 27-6622707

Description	Amount
MUTUAL FUND FACTOR ADJUSTMENT	439