Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: M & J Kaiser Foundation   MARV

A Employer identification number: 27-4134794

Number and street (or P O box number if mail is not delivered to street address): 6565 W Almosta Ranch Road   Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code: Prescott, AZ 86305

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☑ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,364,937 00

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	28,058	28,058		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	28,058	28,058		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	3,596	3,596		3,596
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	501	501		501
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,107	4,107		4,107
	25	Contributions, gifts, grants paid	61,350			61,350
	26	**Total expenses and disbursements.** Add lines 24 and 25	65,457	4,107		65,457
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-37,399			
	b	**Net investment income** (if negative, enter -0-)		23,951		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,029	248,847	248,847
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less. allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	1,314,384	948,727	1,116,090
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,320,413	1,197,574	1,364,939
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,320,413	1,197,574	
	31	**Total liabilities and net assets/fund balances** (see instructions)			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,110,173
2	Enter amount from Part I, line 27a	2	(37,399)
3	Other increases not included in line 2 (itemize) ▶ Unrealized gains	3	124,100
4	Add lines 1, 2, and 3	4	1,197,574
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,197,574

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2015	64,203	1,366,069	.04699
2014	69,608	1,391,151	.05004
2013	78,111	1,419,450	.05503
2012	68,300	1,419,450	04812
2011	5,000	1,416,236	00342

2	**Total** of line 1, column (d) . . .	2	.20360
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	.04072
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . .	4	1,322,532
5	Multiply line 4 by line 3 . . .	5	53,854
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	240
7	Add lines 5 and 6 . . .	7	54,094
8	Enter qualifying distributions from Part XII, line 4 . . .	8	65,457

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary—see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	240
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	240
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	240
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	240
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✔
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✔
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✔
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✔
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✔
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✔
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✔
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✔
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✔	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✔	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Attorney General Minnesota			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✔	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✔
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✔

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✔
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✔
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		

Website address ▶ N/A

14 The books are in care of ▶ Marvin L Kaiser Telephone no. ▶ 928.858.4374

Located at ▶ 6565 W Almosta Ranch Road Prescott AZ ZIP+4 ▶ 86305

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✔
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		✔
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☐ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✔
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✔
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		✔

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Marvin L Kaiser 6565 W Almosta Ranch Road Prescott AZ 86305	President	-0-	-0-	-0-
Jane Kaiser 6565 W Almosta Ranch Road Prescott AZ 86305	Vice-President	-0-	-0-	-0-
Shannon Street 409 Morning Cloud Austin TX 78734	Secretary	-0-	-0-	-0-
Susie Posey 1602 Bayhill Drive Austin TX 78746		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,215,237
b	Average of monthly cash balances	1b	127,435
c	Fair market value of all other assets (see instructions)	1c	-0-
d	**Total** (add lines 1a, b, and c)	1d	1,342,672
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	-0-
3	Subtract line 2 from line 1d	3	1,342,672
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	20,140
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,322,532
6	**Minimum investment return.** Enter 5% of line 5	6	66,127

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	66,127
2a	Tax on investment income for 2016 from Part VI, line 5	2a	240		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	240		
c	Add lines 2a and 2b			2c	240
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	65,887
4	Recoveries of amounts treated as qualifying distributions			4	-0-
5	Add lines 3 and 4			5	65,887
6	Deduction from distributable amount (see instructions)			6	-0-
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	65,887

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	65,457
b	Program-related investments—total from Part IX-B	1b	-0-
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	-0-
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	-0-
b	Cash distribution test (attach the required schedule)	3b	-0-
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	65,457
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	240
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	65,217

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7					65,887
2	Undistributed income, if any, as of the end of 2016:					
a	Enter amount for 2015 only					
b	Total for prior years: 20___,20___,20___					
3	Excess distributions carryover, if any, to 2016:					
a	From 2011					
b	From 2012					
c	From 2013	7,487				
d	From 2014	1,202				
e	From 2015	3,114				
f	**Total** of lines 3a through e					
4	Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 65,457					
a	Applied to 2015, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2016 distributable amount					-0-
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5					
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see instructions					
e	Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions					
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a					
10	Analysis of line 9:					
a	Excess from 2012					
b	Excess from 2013	7,487				
c	Excess from 2014	1,202				
d	Excess from 2015	3,114				
e	Excess from 2016	430				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Marvin L Kaiser 6565 W Almosta Ranch Road Prescott, AZ 86305

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Marvin L Kaiser
6565 W Almosta Ranch Road Prescott, AZ 86305 928 858.4374

b The form in which applications should be submitted and information and materials they should include:

Written letter or document, include purpose and 501 (c) (3) letter

c Any submission deadlines:

October 1st, each year

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Must be 501 (c) (3)

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached schedule				61,350
Total . . . . ▶ 3a				61,350
b *Approved for future payment*				
Total . . . . ▶ 3b				-0-

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	28,058	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				28,058	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 28,058

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✔
	(2) Other assets	1a(2)		✔
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✔
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✔
	(3) Rental of facilities, equipment, or other assets	1b(3)		✔
	(4) Reimbursement arrangements	1b(4)		✔
	(5) Loans or loan guarantees	1b(5)		✔
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | 4/29/17 Date | Manager Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

	A	B	C	D	E	F	G
1		**Charity Requests 2016**	**2016 est**	**Date Sent**	**Notes**	**Address**	**501(c)3**
2		Abercrombie & Kent Philanthropy	$ 1,000.00		Att Katie Freeman kfreeman@akphilanthropy Jorie Butler Kent, Vice Chair	1411 Opus Place Executive Towers West II, Suite 300 Downer's Grove, IL 60515	501(c)3
3		USAFA Endowment Memo National Character & Leadership Symposium	$ 1,000.00		Att. Jennifer Bateman	3116 Academy Drive,Suite 200 USAF Academy, CO 80840	501(c)3
4		Austin Christian Fellowship Memo Denise Henley Eagle's Nest	$ 1,000.00		Eagles Nest - Denise Henley	6401 River Place Blvd Austin, TX 78730	501(c)3
5	Possible IRA 100,000	Basis Schools			Att. Antonella DeMari	1901 Prescott Lakes Parkway, Prescott, Az 86301	501(c)3
6		Basis Schools Schools Inc-Prescott Memo. Annual Teachers Fund	$ 5,000.00		Att Antonella DeMari	1901 Prescott Lakes Parkway, Prescott, Az 86301	501(c)3
7		Basis Schools Schools Inc-Prescott Memo. Basis Prescott Golf Team	$ 1,000.00		Att Antonella DeMari	1901 Prescott Lakes Parkway, Prescott, Az 86301	501(c)3
8		Basis Schools Schools Inc-Prescott Memo. Basis Prescott Mountain Biking Team	$ 1,000.00		Att: Antonella DeMari	1901 Prescott Lakes Parkway, Prescott, Az 86301	501(c)3
9	IRA 100,000	Buffalo Bill Historical Center	IRA		Att: Bruce B. Elderidge	720 SheridanAvenue Cody, Wyoming 82414	501(c)3
10		Fargo Public Schools Development Foundation memorial Robert Velline	$ 1,000.00		Att: Becky Bakke, Ex. Dir. fpsfound@fargo.k12.nd.us	1305 9th Avenue South Fargo, ND 58103	501(c)3
11		Fort Abraham Lincoln Foundation	$ 250.00		Att: Alan Barth, Exec Dir aaron@fortlincoln.org	401 West Main Street Mandan, ND 58554	501(c)3
12		Frazer Ltd	$ 1,000.00		Att: Sandra Leyland fraser@fraserltd.org	2902 S. University Dr. Fargo, ND 58103	501(c)3
13		Friends of Public Radio Arizona KJAZZ	$ 100.00		Att: Dr. Jim Paluzzi	2323 W 14th Street Tempe, Az 85281	501(c)3
14		James Memorial Library	$ 250.00		Att: Deana Novak	PO Box 1714 Williston , ND 58802	501(c)3
15		Lakeway Police Memorial & Benevolent Foundation	$ 1,000.00		Att: Chief Todd Radford	PO Box 341496 Lakeway, TX 78734-1496	501(c)3
16		Lewis & Clark Fort Mandan Foundation	$ 500.00		Att: Dave Borlaug	PO Box 1197 Bismark, ND 58502	501(c)3
17		Mayo Clinic Initiative Fund	$ 5,000.00		Department of Development (Rochester, MN) Michael Camilleri, M D Ex, Dean for Dev,	200 First Street, SW Rochester, MN 55905	501(c)3
18		Mt Vernon Church of Christ	$ 2,000.00		Att: Elders mtvernonchurch@ ______	120 N Mt Vernon Avenue Prescott, Az 86301	501(c)3
19		Museum of the Fur Trade	$ 100.00		Att. Gail DeBuse	6321 Hwy 20 Chadron, NE 69337	501(c)3

	A	B	C	D	E	F	G
20		Smithsonian National Museum of the American Indian	$ 100 00		Att. Melissa Slaughter/Kevin Grover	PO Box 23473 Washington DC 20026-3473	IRS Pub 78
21		ND Cowboy Hall of Fame In Honor of Ed Sundby	$ 1,000.00		Att: Kevin Holten, James Chamley	PO Box 1211 Dickinson, ND 58602	501(c)3
22		ND State Historical Society In Memory of Chet Nelson	$ 1,000.00		Att. Virginia Nelson, Exec, Director, Foundation	SHSND Foundation PO Box 1976 Bismarck, ND 58502-1976	501(c)3
23		Phippen Museum	$ 100.00		Att: Kim Villapando	4701 Highway 89 North Prescott, AZ 86301	501(c)3
24		Prescott Pops Symphony	$ 2,500.00		Att: John Agan, Treasurer	P. O. Box 10231 Prescott, AZ 86304	501(c)3
25		Preservation North Dakota	$ 250.00		Att: Brent Larson	911 N. 9th Street, PO Box 3096 Bismark, ND 58502	501(c)3
26		Project Aware	$ 100.00		Att: Alfred Falk	PO Box 2710 Prescott, AZ 86302	501(c)3
27		San Diego Church of Christ	$ 1,500.00		Ray Schalk Dir. Of Admin	13223-1 Black Mountain Road, #433, San Diego, CA 92129	Church
28		San Diego Humane Society & SPCA	$ 500.00		Gary Weitzman, DVM, MPH, CAWA Pres. & CEO, Becky Dhooge Admin	5500 Gaines Street San Diego, CA 92110-2570	501(c)3
29		Sharlot Hall Historical Society- Membership	?		Att Nancy Hans	417 W Gurley Street Prescott, AZ 86301	501(c)3
30	[redacted]						
31		Sharlot Hall Museum Memo. Education Center Project	$ 5,000.00		Att: Fred W. Veil	417 W. Gurley Street Prescott, AZ 86301	501(c)3
32		Sharlot Hall Museum Memo Education Center Project	$ 5,000.00		Att: Fred W. Veil	417 W. Gurley Street Prescott, AZ 86301	501(c)3
33		Southern Poverty Law Center	$ 100.00		Att: Sheila A Cunningham, Sr. Dev. Officer	400 Washington Avenue PO Box 548 Montgomery, AL 36177-9621	501 (c)3
34		Special Olympics Arizona Inc	$ 1,000.00		Att: Douglas Taylor/Tim Martin	2100 South 75th Avenue Phoenix, AZ 85043	501 (c)3
35		Stepping Stones/Be Better	$ 2,500 00		Att: Robin or Cori Burke	6719 E 2nd Street Prescott Valley, AZ 86314	501(c)3
36		10·10 Ministries	$ 3,000.00		Att: Joey Coffman	1955 E Commerce Center Circle, Suite A Prescott, AZ 86301	501 (c)3
37		TAP - Theatre Arts Program Lake Travis High School	$ 2,500.00		Att: Tammy Carville	LT Theatre Arts Parents Booster P.O Box 341341 Austin, TX 78734	501(c)3

	A	B	C	D	E	F	G
38		TexARTS	$ 4,000.00		Att. Sandy Cox	P O. Box 342263 Austin, TX 78734	501(c)3
39		Theodore Roosevelt Medora Foundation	$ 500.00		Att: Randy Hartzenbuhler	P O Box 1696 Bismark, ND 58502-9965	501(c)3
40		The Salvation Army - Prescott Corps	$ 1,000.00		Att: Lieutenant Elaine Mansoor	PO Box 2017 Prescott, AZ 86303	501(c)3
41		The Village Family Service Center	$ 1,000.00		Gary J. Wolksy, President&CEO	1201 25th St S Fargo, ND 58103	501(c)3
42	[redacted]						
43		United Way of Yavapai County	$ 1,000.00		Att: Yvonne Bartlett, Annette Olson	PO Box 12935 Prescott, AZ 86304	501(c)3
44		WHS Coyote Foundation	$ 1,000 00		Att: Codi Austreim codi austreim@willistonschools org 701-572-8797	Po Box 1407 Williston, ND 58802-1407	
45		Yavapai Humane Society Memo Restricted Spay&Neuter program	$ 500.00		Att: Carrie (Restricted Spay&Neuter program)	Development Office 1625 Sundog Ranch Road Prescott, AZ 86301	501(c)3
46		Zachary Scott Theater Center	$ 5,000.00		Att: Charlie Frasier or Kim Theodore Sidey	1510 Toomey Road Austin, TX 78704	501(c)3
47		**Total Estimated Gifts**	**$ 61,350.00**				
48							
49		Estimated Foundation Budget	$ 69,156.00				
50		Estimated Foundation Expenses 2016	$ (4,623.50)				
51		carryover excess	$ (3,114 00)				
52		To allocate	$ 61,418 50				
53		available	**$ 68.50**				

5/1/2017

Portfolio Holdings

Weight	Symbol	Description	Quantity	Cost Basis	Value	Current Yield	Annual Income
U.S. Equities							
Large Cap Blend							
2.58%	MO	Altria Group, Inc.	520	$18,740	$35,185	3.61%	$1,270
1.86%	DD	E. I. du Pont de Nemours & Company	346	$17,210	$25,405	2.07%	$526
1.66%	LLY	Eli Lilly & Company	309	$17,246	$22,721	2.83%	$643
1.73%	MRK	Merck & Company, Inc.	401	$17,530	$23,625	3.19%	$754
2.72%	MSFT	Microsoft Corporation	597	$17,729	$37,103	2.51%	$931
1.37%	PG	Procter & Gamble Company	222	$17,019	$18,634	3.19%	$594
11.92%		Large Cap Blend Total		$105,474	$162,674	2.90%	$4,718
Large Cap Growth							
1.91%	BMY	Bristol-Myers Squibb Company	447	$17,353	$26,110	2.67%	$697
1.91%		Large Cap Growth Total		$17,353	$26,110	2.67%	$697
Large Cap Value							
1.59%	T	AT&T, Inc.	509	$18,223	$21,636	4.61%	$997
1.53%	CAT	Caterpillar, Inc.	225	$20,807	$20,848	3.32%	$692
1.29%	CVX	Chevron Corporation	149	$17,192	$17,546	3.67%	$644
1.09%	COP	ConocoPhillips Company	297	$17,459	$14,913	2.11%	$315
1.76%	D	Dominion Resources, Inc.	313	$17,864	$23,986	3.94%	$946
2.91%	DUK	Duke Energy Corporation	513	$35,782	$39,788	4.41%	$1,753
2.13%	INTC	Intel Corporation	800	$17,785	$29,026	2.87%	$832
1.88%	JNJ	Johnson & Johnson	222	$17,358	$25,611	2.78%	$711
1.47%	PFE	Pfizer, Inc.	618	$17,348	$20,081	3.94%	$791

Summary By Account

Account Description	Account Type	Inception Date	Weight	Current Value
Marvin & Joyce Kaiser Foundation (xxxx4038)	MCF Advisors - Foundation	12/30/2012	100.00%	$1,364,939
Total	MCF Advisors - Foundation	12/30/2012	100.00%	$1,364,939

Portfolio Holdings

Weight	Symbol	Description	Quantity	Cost Basis	Value	Current Yield	Annual Income
International Equities							
International Developed							
9.85%	EFA	iShares MSCI EAFE ETF	2,329	$138,664	$134,432	3.07%	$4,124
9.85%		International Developed Total		$138,664	$134,432	3.07%	$4,124
Emerging Markets							
4.92%	VWO	Vanguard FTSE Emerging Markets ETF	1,877	$79,048	$67,173	2.52%	$1,690
4.92%		Emerging Markets Total		$79,048	$67,173	2.52%	$1,690
14.77%		International Equities Total		$217,712	$201,605	2.88%	$5,814
Real Assets							
Master Limited Partnership							
3.56%	EMLP	First Trust North American Energy Infrastructure ETF	1,927	$38,772	$48,638	3.76%	$1,829
3.35%	GMLPX	Goldman Sachs MLP Energy Infrastructure	5,459	$39,506	$45,743	5.65%	$2,585
6.91%		Master Limited Partnership Total		$78,278	$94,381	4.68%	$4,415
Physical Metals							
2.99%	CEF	Central Fund of Canada	3,610	$72,235	$40,757	0.09%	$36
2.99%		Physical Metals Total		$72,235	$40,757	0.09%	$36
9.90%		Real Assets Total		$150,513	$135,138	3.29%	$4,451

Portfolio Holdings

Weight	Symbol	Description	Quantity	Cost Basis	Value	Current Yield	Annual Income
U.S. Equities							
Large Cap Value							
1.32%	PM	Philip Morris International, Inc.	197	$17,657	$18,021	4.55%	$819
16.96%		Large Cap Value Total		$197,476	$231,455	3.67%	$8,502
Mid Cap Growth							
8.17%	IWP	iShares Russell Mid-Cap Growth	1,145	$77,657	$111,464	1.16%	$1,297
8.17%		Mid Cap Growth Total		$77,657	$111,464	1.16%	$1,297
Mid Cap Value							
5.50%	IWS	iShares Russell Midcap Value	934	$52,034	$75,123	2.10%	$1,577
5.50%		Mid Cap Value Total		$52,034	$75,123	2.10%	$1,577
Small Cap Growth							
4.80%	IJT	iShares S&P Small-Cap 600 Growth	437	$39,809	$65,531	1.03%	$675
4.80%		Small Cap Growth Total		$39,809	$65,531	1.03%	$675
Small Cap Value							
0.11%	CC	CIRCUIT CITY STORES	66	$0	$1,458	0.54%	$8
3.01%	IJS	iShares S&P Small-Cap 600 Value	293	$25,700	$41,027	1.22%	$499
3.11%		Small Cap Value Total		$25,700	$42,485	1.19%	$507
52.37%		U.S. Equities Total		$515,502	$714,842	2.51%	$17,973

Portfolio Holdings

Weight	Symbol	Description	Quantity	Cost Basis	Value	Current Yield	Annual Income
Diversifying Strategies							
Specialty							
4.73%	SRRIX	Stone Ridge Reinsurance Risk Premium	6,361	$65,000	$64,505	6.16%	$3,973
4.73%		Specialty Total		$65,000	$64,505	6.16%	$3,973
4.73%		Diversifying Strategies Total		$65,000	$64,505	6.16%	$3,973
Cash							
Cash							
22.58%	SWZXX	Schwab Advisor Cash Reserves Premier Sw		$308,242	$308,242	0.00%	$13
(4.35%)	SWGXX	Schwab Government Money		($59,393)	($59,393)	0.00%	$0
18.23%		Cash Total		$248,849	$248,849	0.01%	$13
18.23%		Cash Total		$248,849	$248,849	0.01%	$13
100.00%		Total		$1,197,576	$1,364,939	2.36%	$32,223