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EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation ANTHONY J BRILL FOUNDATION, INC.		A Employer identification number 27-4012533
Number and street (or P O box number if mail is not delivered to street address) 1719 RAMSEY STREET	Room/suite	B Telephone number 910-483-3843
City or town, state or province, country, and ZIP or foreign postal code FAYETTEVILLE, NC 28302-1598		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,701,785.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	60,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	75,289.	75,289.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	61,213.			STATEMENT 1
	b Gross sales price for all assets on line 6a	569,108.			
	7 Capital gain net income (from Part IV, line 2)		49,604.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	<421.>	<487.>		STATEMENT 2	
12 Total. Add lines 1 through 11	196,081.	124,406.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	48,000.	12,000.		36,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3 2,400.	0		2,400.
	c Other professional fees	STMT 4 12,000.	12,000.		0.
	17 Interest				
	18 Taxes	STMT 5 3,668.	568.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 6 27,009.	26,963.		46.
	24 Total operating and administrative expenses Add lines 13 through 23	93,077.	51,531.		38,446.
	25 Contributions, gifts, grants paid	144,734.			144,734.
26 Total expenses and disbursements. Add lines 24 and 25	237,811.	51,531.		183,180.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<41,730.>				
b Net investment income (if negative enter -0-)		72,875.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		223,182.	217,696.	217,696.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	2,402,753.	2,470,064.	2,470,064.
	c	Investments - corporate bonds	STMT 8	13,500.	14,025.	14,025.
	11	Investments - land, buildings, and equipment, basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment, basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,639,435.	2,701,785.	2,701,785.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		2,639,435.	2,701,785.		
30	Total net assets or fund balances		2,639,435.	2,701,785.		
31	Total liabilities and net assets/fund balances		2,639,435.	2,701,785.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,639,435.
2	Enter amount from Part I, line 27a	2	<41,730.>
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	104,080.
4	Add lines 1, 2, and 3	4	2,701,785.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,701,785.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P		
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 548,319.		507,895.	40,424.
b 9,180.			9,180.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			40,424.
b			9,180.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	49,604.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	230,887.	2,734,261.	.084442
2014	175,853.	2,888,358.	.060883
2013	144,063.	2,797,463.	.051498
2012	132,327.	2,475,328.	.053458
2011	54,933.	73,247.	.749969

2 Total of line 1, column (d)	2	1.000250
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.200050
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,612,595.
5 Multiply line 4 by line 3	5	522,650.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	729.
7 Add lines 5 and 6	7	523,379.
8 Enter qualifying distributions from Part XII, line 4	8	183,180.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,458.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,458.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,458.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,104.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	5,104.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,646.
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>NC</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JAMES M. COOPER, ESQ. Telephone no. ► 910-483-3843 Located at ► 1719 RAMSEY STREET, FAYETTEVILLE, NC ZIP+4 ► 28302		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES M. COOPER, ESQUIRE 1719 RAMSEY STREET FAYETTEVILLE, NC 28302	PRESIDENT, TREASURER	20.00	24,000.	0.
ROBERT L. COOPER, ESQUIRE 1719 RAMSEY STREET FAYETTEVILLE, NC 28302	SECRETARY	20.00	24,000.	0.
GARY R. FAIRCLOTH 1719 RAMSEY STREET FAYETTEVILLE, NC 28302	VICE PRESIDENT	2.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,448,725.
b	Average of monthly cash balances	1b	203,656.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,652,381.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,652,381.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,786.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,612,595.
6	Minimum investment return. Enter 5% of line 5	6	130,630.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	130,630.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,458.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	869.
c	Add lines 2a and 2b	2c	2,327.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	128,303.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	128,303.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	128,303.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	183,180.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	183,180.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	183,180.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				128,303.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	51,271.			
b From 2012	15,371.			
c From 2013	6,708.			
d From 2014	33,969.			
e From 2015	94,730.			
f Total of lines 3a through e	202,049.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 183,180.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				128,303.
e Remaining amount distributed out of corpus	54,877.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	256,926.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	51,271.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	205,655.			
10 Analysis of line 9:				
a Excess from 2012	15,371.			
b Excess from 2013	6,708.			
c Excess from 2014	33,969.			
d Excess from 2015	94,730.			
e Excess from 2016	54,877.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOYS & GIRLS HOMES OF NC, INC. P.O. BOX 127 LAKE WACCAMAW, NC 28450	NONE	PC	PRESENTED TO PRESENT OR FORMER RESIDENTS TO COVER THEIR EDUCATIONAL EXPENSES.	67,234.
SETH REVIS	NONE	I	FOR EDUCATIONAL EXPENSES	5,000.
COURTNEY ELIZABETH BAIN 348 COURTYARD LANE FAYETTEVILLE, NC 28303	NONE	I	SCHOLARSHIP	5,000.
ANGELA MAHASI 2026 AMBROSE PARK LANE CARY, NC 27618	NONE	I	SCHOLARSHIP	2,500.
SAMANTHA MCLEAN 599D WINDING CREEK RD FAYETTEVILLE, NC 28305	NONE	I	SCHOLARSHIP	5,000.
Total	SEE CONTINUATION SHEET(S)			144,734.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIFFANY HAMMOND 2853 CHICKEN FOOT RD HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
AMBER SUTTON 2905 GAMEWELL CIRCLE EASTOVER, NC 28312	NONE	I	SCHOLARSHIP	5,000.
KANDANCE ELDER 3924 FOX MEADOW DRIVE HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
KEISHA MCCORMICK 31 CREEKSVILLE RD SPRING LAKE, NC 28390	NONE	I	SCHOLARSHIP	5,000.
JAALIN STACKHOUSE 1405 THREE CHIMNEYS DRIVE HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
SETH REEVES 5950 LILYTROTTER DR HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
GARRETT JAYNE 1329 SAWYER COURT HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
MORGAN SUITT 2841 HOWARD CLARK RD FAYETTEVILLE, NC 28306	NONE	I	SCHOLARSHIP	5,000.
CHRISTIAN WILLIAMS 2780 STATENBURG RD GREENVILLE, NC 27834	NONE	I	SCHOLARSHIP	5,000.
BRYANNA COVEY 4406 ROSIE MEADOW DR HOPE MILLS, NC 28348	NONE	I	SCHOLARSHIP	5,000.
Total from continuation sheets				60,000.

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3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

ANTHONY J BRILL FOUNDATION, INC.

27-4012533

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

ANTHONY J BRILL FOUNDATION, INC.**27-4012533****Part I****Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANTHONY J. BRILL TRUST 1719 RAMSEY STREET FAYETTEVILLE, NC 28301	\$ 60,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

27-4012533

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

ANTHONY J BRILL FOUNDATION, INC.**27-4012533**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
PUBLICLY TRADED SECURITIES					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
548,319.	507,895.	0.	0.	40,424.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
SECTION 751 GAIN - UBI	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
11,609.	0.	0.	0.	11,609.	

NET GAIN OR LOSS FROM SALE OF ASSETS	52,033.
CAPITAL GAINS DIVIDENDS FROM PART IV	9,180.
TOTAL TO FORM 990-PF, PART I, LINE 6A	61,213.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM PASSTHROUGH ENERGY TRANSFER PARTNERS	64.	0.	
FROM PASSTHROUGH ENERGY TRANSFER PARTNERS	2.	0.	
FROM PASSTHROUGH ENERGY TRANSFER PARTNERS	<487.>	<487.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<421.>	<487.>	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,400.	0.		2,400.
TO FORM 990-PF, PG 1, LN 16B	2,400.	0.		2,400.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE FEES	12,000.	12,000.		0.
TO FORM 990-PF, PG 1, LN 16C	12,000.	12,000.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	3,100.	0.		0.
FOREIGN TAXES	568.	568.		0.
TO FORM 990-PF, PG 1, LN 18	3,668.	568.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	26,963.	26,963.		0.
BANK FEES	46.	0.		46.
TO FORM 990-PF, PG 1, LN 23	27,009.	26,963.		46.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AT&T	40,659.	40,659.
APPLE INC	40,537.	40,537.
AUTOMATIC DATA PROC	41,215.	41,215.
BAXTER INTERNTL INC	38,842.	38,842.
BLACKSTONE MORTGAGE TRUS INC	37,708.	37,708.
COCA COLA COM	33,748.	33,748.
DISNEY (WALT) CO COM STK	38,978.	38,978.
DU PONT E I DE NEMOURS	38,755.	38,755.
EXXON MOBIL CORP	39,444.	39,444.
GENL DYNAMICS CORP COM	37,295.	37,295.
GENERAL ELECTRIC	38,173.	38,173.
GOLDCORP INC	30,396.	30,396.
HONEYWELL INTL INC DEL	39,041.	39,041.
HOME DEPOT	38,481.	38,481.
HUNTINGTN BANCSHS INC MD	38,219.	38,219.
INTEL CORP	40,187.	40,187.
JOHNSON AND JOHNSON COM	39,287.	39,287.
KIMBERLY CLARK	31,611.	31,611.
MERCK AND CO INC SHS	37,500.	37,500.
MEDTRONIC PLC SHS	33,834.	33,834.
MCDONALDS CORP COM	36,759.	36,759.
PHILIP MORRIS INTL INC	34,858.	34,858.
PFIZER INC	39,236.	39,236.
PROCTOR & GAMBLE	37,668.	37,668.
TIFFANCY & CO VEW	35,695.	35,695.
UNITED PARCEL SVS CL B	37,831.	37,831.
VENTAS INC REIT	38,825.	38,825.
VERIZON COMMUNICATIONS COM	37,206.	37,206.
WALMART STORES INC	37,463.	37,463.
SPSIOS STEPUP ISSUER CS STEP 30% SC 8,139.71 DUE 04/28/17 BUF 5% CALL	20,325.	20,325.
SP500 ARN ISSUER BARC CAP 11.85% SV 2,151.13 DUE 11/22/17	29,880.	29,880.
BLACKSTONE GSO STRATEGIC CR FD	18,408.	18,408.
WESTERN ASSET MIDDLE MKT DEBT FD INC	19,538.	19,538.
FIRST TR NORTH AMERN ENERGY INGRASTRUCTURE ETF	39,400.	39,400.
FIRST TR SR FL II SR FLOAT RATE INC FD II	38,724.	38,724.
NUVEEN MTG OPPORT TERM FD	46,320.	46,320.
NUVEEN SHORT DURATION CREDIT OPPORTUNITIES FUND	26,235.	26,235.
CBRE CLARION GLOBAL REAL ESTATE INCOME FD	32,120.	32,120.
THE OAKMARK INTL FUND .8040 FRACTIONAL SHARE	79,945.	79,945.
FIRST EAGLE OVERSEAS CL I .7390 FRACTIONAL SHARE	167,919.	167,919.
TCW TOTAL RETURN BOND FD CL I .6140 FRACTIONAL SHARE	78,187.	78,187.
SMEAD VALUE FUND II CLASS .7040 FRACTIONAL SHARE	40,730.	40,730.
EATON VANCE ATLANATA CAPITAL SMID CAP FD CL I .0350 FRACTIONAL SHARE	35,092.	35,092.
COHEN & STEERS PREFERRED SEC & INCOME FD CL I .2140 FRACTIONAL SHARE	83,250.	83,250.
ALTEGRIS MANAGED FUTURES STRATEGY FUND CL I .7670 FRACTIONAL SHARE	22,269.	22,269.

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PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CL P .0560 FRACTIONAL SHARE	47,229.	47,229.
PRINCIPAL HIGH YIELD FUND CL P .4120 FRACTIONAL SHARE	57,487.	57,487.
ALGER SPECTRA FUND CLASS Z .3000 FRACTIONAL SHARE	40,597.	40,597.
WESTERN ASSET CORE PLUS BOND FD CL I .6570 FRACTIONAL SHARE	78,737.	78,737.
PRUDENTIAL ABSOLUTE RETURN BOND FUND CL Z .5870 FRACTIONAL SHARE	39,028.	39,028.
HENDERSON STRATEGIC INCOME FUND CL I .5920 FRACTIONAL SHARE	51,564.	51,564.
ALLIANZGI SHRT DURATION HIGH INCOME FUND CL P .2450 FRACTIONAL SHARE	48,601.	48,601.
NATIXIS GATEWAY FUND CL Y .8400 FRACTIONAL SHARE	33,569.	33,569.
BLACKSTONE ALT MULTI STRATEGY FUND CLASS I .0690 FRACTIONAL SHARE	31,754.	31,754.
ISHARES NASDAQ BIOTECH ETF	2,123.	2,123.
VANGUARD INFORMATION TECH ETF	4,253.	4,253.
FIRST TR EXCHANGE TRADED FD DOW JONES INC	2,076.	2,076.
FIRST TR CONSUMER DISCRT ALPHADEX FUND	24,318.	24,318.
FIRST TR ENERGY ALPHADEX FUND	10,798.	10,798.
FIRST TR HEALTH CARE ALPHADEX FUND	19,597.	19,597.
FIRST TR TECHONOLGY ALPHADEX FUND	36,005.	36,005.
FIRST TR STAPLES ALPHADEX FUND	22,463.	22,463.
FIRST TR MATERIALS ALPHADEX FUND	3,153.	3,153.
REAL ESTATE SELECT SECTOR SPDR FD SHS	1,015.	1,015.
FIRST TRUST CLOUD COMPUTING ETF	2,172.	2,172.
HEALTH CARE SELECT SPDR	17,924.	17,924.
CONSUMER DISCRETIONARY SPDR	5,047.	5,047.
SPDR US FINANCIAL SECTOR ETF	5,650.	5,650.
SECTOR SPDR INDUSTRIAL	17,111.	17,111.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,470,064.	2,470,064.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BCOM MITTS ISSUER BAC CAP 76% SC 134.61 DUE 04/30/20 PROT 100%	14,025.	14,025.
TOTAL TO FORM 990-PF, PART II, LINE 10C	14,025.	14,025.