Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: THE CORY FOUNDATION INC.

A Employer identification number: 27-3360171

Number and street (or P O box number if mail is not delivered to street address): PO BOX 99006 | Room/suite:

B Telephone number: 502-681-0519

City or town, state or province, country, and ZIP or foreign postal code: LOUISVILLE, KY 40269-0006

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,055,057.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	41,277.	41,277.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,579.			
	b Gross sales price for all assets on line 6a 634,893.				
	7 Capital gain net income (from Part IV, line 2)		22,579.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	63,856.	63,856.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,060.	0.		2,060.
	c Other professional fees STMT 3	15,386.	15,386.		0.
	17 Interest				
	18 Taxes STMT 4	4,919.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	16.	0.		16.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	22,381.	15,386.		2,076.
	25 Contributions, gifts, grants paid	105,000.			105,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	127,381.	15,386.		107,076.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<63,525.>			
	b **Net investment income** (if negative, enter -0-)		48,470.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

623501 11-23-16 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

14270511 757979 153010 2016.03040 THE CORY FOUNDATION INC. 153010_1

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	21,486.	21,992.	21,992.
	2 Savings and temporary cash investments	64,370.	66,614.	66,614.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	536,160.	459,518.	497,072.
	c Investments - corporate bonds STMT 8	198,272.	221,192.	216,901.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	1,187,020.	1,176,763.	1,252,478.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,007,308.	1,946,079.	2,055,057.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,007,308.	1,946,079.	
	30 **Total net assets or fund balances**	2,007,308.	1,946,079.	
	31 **Total liabilities and net assets/fund balances**	2,007,308.	1,946,079.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,007,308.
2 Enter amount from Part I, line 27a	2	<63,525.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	2,500.
4 Add lines 1, 2, and 3	4	1,946,283.
5 Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENTS	5	204.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,946,079.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LONG TERM MERRILL LYNCH - SEE ATTACHED	P		
b	SHORT TERM MERRILL LYNCH - SEE ATTACHED	P		
c	LONG TERM FIFTH THIRD - SEE ATTACHED	P		
d	SHORT TERM FIFTH THIRD - SEE ATTACHED	P		
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	251,384.		247,321.	4,063.
b	11,316.		11,708.	<392.>
c	259,150.		258,150.	1,000.
d	73,462.		95,135.	<21,673.>
e	39,581.			39,581.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				4,063.
b				<392.>
c				1,000.
d				<21,673.>
e				39,581.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 22,579.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	102,091.	2,163,427.	.047189
2014	125,342.	2,248,899.	.055735
2013	106,296.	2,153,304.	.049364
2012	102,847.	2,019,247.	.050933
2011	94,897.	2,009,790.	.047217

2	**Total** of line 1, column (d)	2	.250438
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050088
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,022,077.
5	Multiply line 4 by line 3	5	101,282.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	485.
7	Add lines 5 and 6	7	101,767.
8	Enter qualifying distributions from Part XII, line 4	8	107,076.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	485.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	485.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	485.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,320.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	3,320.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,835.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	2,835.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT STAUBLE Telephone no. ▶ 502-681-0519

Located at ▶ PO BOX 99006, LOUISVILLE, KY ZIP+4 ▶ 40269-0006

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	PRESIDENT 1.00	0.	0.	0.
JESSICA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	VICE PRESIDENT 1.00	0.	0.	0.
MADELINE STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	SECRETARY 1.00	0.	0.	0.
KARLA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,950,642.
b	Average of monthly cash balances	1b	102,228.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,052,870.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,052,870.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,793.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,022,077.
6	**Minimum investment return.** Enter 5% of line 5	6	101,104.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,104.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 485.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	485.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	100,619.
4	Recoveries of amounts treated as qualifying distributions	4	2,500.
5	Add lines 3 and 4	5	103,119.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	103,119.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,076.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,076.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	485.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	106,591.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				103,119.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			83,766.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 107,076.				
a Applied to 2015, but not more than line 2a			83,766.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				23,310.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				79,809.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUBURN UNIVERSITY 317 S COLLEGE ST AUBURN, AL 36849	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
BOYS AND GIRLS CLUB OF KENTUCKIANA 3200 GREENWOOD AVE LOUISVILLE, KY 40211	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
BROWN UNIVERSITY BROWN UNIVERSITY CAMPUS PROVIDENCE, RI 02912	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
CABBAGE PATCH SETTLEMENT HOUSE 1413 SOUTH 6TH STREET LOUISVILLE, KY 40208	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVENUE CLEVELAND, OH 44115	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	105,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2016)

623611 11-23-16

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	41,277.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	22,579.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		63,856.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 63,856.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Line	Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

▶ [signature] 15-12-17 ▶ PRESIDENT

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTINE N KOENIG	Christine N Koenig	5 11 17		P01022180
Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC			Firm's EIN ▶ 61-1064249	
Firm's address ▶ 9300 SHELBYVILLE RD STE 1100 LOUISVILLE, KY 40222-5187			Phone no. (502)426-9660	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGETOWN COLLEGE 400 E COLLEGE ST GEORGETOWN, KY 40324	N/A	COLLEGE	SCHOLARSHIPS	5,000.
GEORGETOWN UNIVERSITY 3700 O ST NW WASHINGTON, DC 20057	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
HARVEY MUDD COLLEGE 301 PLATT BLVD CLAREMONT, CA 91711	N/A	COLLEGE	SCHOLARSHIPS	5,000.
JEFFERSON COMMUNITY & TECHNICAL COLLEGE 200 W BROADWAY LOUISVILLE, KY 40202	N/A	COLLEGE	SCHOLARSHIPS	5,000.
ST JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE, KY 40206	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
UNIVERSITY OF KENTUCKY MAIN BUILDING LEXINGTON, KY 40506	N/A	UNIVERSITY	SCHOLARSHIPS	10,000.
UNIVERSITY OF LOUISVILLE 2323 SOUTH BROOK STREET LOUISVILLE, KY 40292	N/A	UNIVERSITY	GRANT - ATHLETICS	10,000.
WESTERN KENTUCKY UNIVERSITY 1906 COLLEGE HEIGHTS BOULEVARD BOWLING GREEN, KY 42101	N/A	UNIVERSITY	SCHOLARSHIPS	10,000.
UNIVERSITY OF NORTH CAROLINA 450 RIDGE ROAD, CB #1400 CHAPEL HILL, NC 27599	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
PRINCETON UNIVERSITY 701 CARNEGIE CENTER PRINCETON, NJ 08540	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
Total from continuation sheets				70,000.

623631
04-01-16

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT INCOME	80,858.	39,581.	41,277.	41,277.	
TO PART I, LINE 4	80,858.	39,581.	41,277.	41,277.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	2,060.	0.		2,060.
TO FORM 990-PF, PG 1, LN 16B	2,060.	0.		2,060.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	15,386.	15,386.		0.
TO FORM 990-PF, PG 1, LN 16C	15,386.	15,386.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL PAYMENT - 2015	1,599.	0.		0.
ESTIMATED TAXES - 2016	3,320.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,919.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	16.	0.		16.
TO FORM 990-PF, PG 1, LN 23	16.	0.		16.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
RECOVERIES OF PRIOR YEAR GRANT DISTRIBUTIONS	2,500.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,500.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD EQUITIES - SEE ATTACHED	459,518.	497,072.
TOTAL TO FORM 990-PF, PART II, LINE 10B	459,518.	497,072.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD FIXED - SEE ATTACHED	221,192.	216,901.
TOTAL TO FORM 990-PF, PART II, LINE 10C	221,192.	216,901.

FORM 990-PF | OTHER INVESTMENTS | STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD - ALTERNATIVE AND REAL ESTATE FUNDS - SEE ATTACHED	COST	110,599.	121,419.
MERRILL LYNCH - MUTUAL FUNDS - SEE ATTACHED	COST	1,066,164.	1,131,059.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,176,763.	1,252,478.

FORM 990-PF | PART XV - LINE 1A LIST OF FOUNDATION MANAGERS | STATEMENT 10

NAME OF MANAGER

ROBERT STAUBLE
JESSICA STAUBLE

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 11
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT STAUBLE
C/O THE CORY FOUNDATION, 4020 SWEENEY LN
LOUISVILLE, KY 40299

TELEPHONE NUMBER

502-681-0519

FORM AND CONTENT OF APPLICATIONS

FOR SCHOLARSHIPS, AN APPLICATION IS REQUIRED WITH SUPPORTING DOCUMENTATION AND MUST BE RECEIVED BY SPECIFIED DEADLINES. APPLICATION WAS ATTACHED TO 2011 RETURN.

ANY SUBMISSION DEADLINES

SCHOLARSHIP APPLICATIONS MUST BE RECEIVED BY MAY 1.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS ARE AWARDED TO NON-PROFIT ORGANIZATIONS WITH 501(C)(3) STATUS. SCHOLARSHIPS ARE AWARDED TO STUDENTS OF BROWN SCHOOL AND DUPONT MANUAL HIGH SCHOOL IN LOUISVILLE, KENTUCKY WHO ATTEND ACCREDITED UNDER-GRADUATE INSTITUTIONS AND HAVE A MINIMUM 2.5 GPA.

PORTFOLIO POSITIONS

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Cash & Equivalents									
4.3600		CASH	$1.000	$4.36	0.0%	$4.36			
30,041.0000		FIFTH THIRD BANKSAFE TRUST (INCOME INVESTMENT) CUSIP - 316775907	$1.000	$30,041.00	3.4%	$30,041.00		$96.43	0.3%
12,659.0000		FIFTH THIRD BANKSAFE TRUST CUSIP - 316775907	$1.000	$12,659.00	1.4%	$12,659.00		$40.63	0.3%
		Cash & Equivalents - Total		***$42,704.36***	***4.9%***	***$42,704.36***		***$137.06***	***0.3%***
Fixed Income									
4,053.0000	DODIX	DODGE & COX INCOME FD CUSIP - 256210105	$13.590	$55,080.27	6.3%	$55,043.33	$36.94	$1,775.21	3.2%
1,096.4550	DFLEX	DOUBLELINE FLEXIBLE INCOME FD I CUSIP - 258620798	$9.750	$10,690.44	1.2%	$10,580.78	$109.66	$408.98	3.8%
25,000.0000		EBAY INC 07/24/12 1.350 07/15/17 CUSIP - 278642AG8	$100.042	$25,010.50	2.8%	$25,076.27	$(65.77)	$337.50	1.3%
226.0000	AGG	ISHARES CORE U.S. AGGREGATE CUSIP - 464287226	$108.060	$24,421.56	2.8%	$25,013.66	$(592.10)	$584.44	2.4%
237.0000	CSJ	ISHARES BARCLAYS 1-3 YEAR CREDIT BD FD CUSIP - 464288646	$104.940	$24,870.78	2.8%	$25,005.61	$(134.83)	$361.66	1.5%
3,713.9410	PRHYX	T ROWE PRICE HIGH YIELD FD-INV CUSIP - 741481105	$6.650	$24,697.71	2.8%	$25,656.64	$(958.93)	$1,418.73	5.7%
50,000.0000		WACHOVIA CORP 01/31/08 5.750 02/01/18 CUSIP - 92976WBH8	$104.260	$52,130.00	5.9%	$54,816.00	$(2,686.00)	$2,875.00	5.5%
		Fixed Income - Total		***$216,901.26***	***24.7%***	***$221,192.29***	***$(4,291.03)***	***$7,761.52***	***3.6%***

FIFTH THIRD
PRIVATE BANK

[redacted]
IMA/THE CORY FDN CONSOLIDATED

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities									
66.0000	ALLE	ALLEGION PUB LTD CO ORD SHS CUSIP - G0176J109	$64.000	$4,224.00	0.5%	$4,308.05	$(84.05)	$31.68	0.8%
32.0000	AGN	ALLERGAN PLC SHS ISIN# IE00BY9D5467 SEDOL# BY9D546 CUSIP - G0177J108	$210.010	$6,720.32	0.8%	$8,419.50	$(1,699.18)	$89.60	1.3%
129.0000	ESNT	ESSENT GROUP LTD COM CUSIP - G3198U102	$32.370	$4,175.73	0.5%	$2,804.41	$1,371.32		
70.0000	MDT	MEDTRONIC PLC SEDOL BTN1Y11 ISIN IE00BTN1Y115 CUSIP - G5960L103	$71.230	$4,986.10	0.6%	$5,428.80	$(442.70)	$120.40	2.4%
45.0000	CB	CHUBB LIMITED ISIN# CH0044328745 SEDOL# 2DH98C7 CUSIP - H1467J104	$132.120	$5,945.40	0.7%	$5,614.31	$331.09	$124.20	2.1%
185.0000	T	AT&T INC CUSIP - 00206R102	$42.530	$7,868.05	0.9%	$6,958.48	$909.57	$362.60	4.6%
14.0000	GOOGL	ALPHABET INC CAP STK CL A CUSIP - 02079K305	$792.450	$11,094.30	1.3%	$8,574.85	$2,519.45		
12.0000	AMZN	AMAZON.COM INC CUSIP - 023135106	$749.870	$8,998.44	1.0%	$7,075.60	$1,922.84		
14.0000	BUD	ANHEUSER BUSCH INBEV SA/NV ADR CUSIP - 03524A108	$105.440	$1,476.16	0.2%	$1,719.28	$(243.12)	$44.74	3.0%
101.0000	AAPL	APPLE INC CUSIP - 037833100	$115.820	$11,697.82	1.3%	$5,748.97	$5,948.85	$230.28	2.0%
62.0000	OZRK	BANK OF THE OZARKS INC	$52.590	$3,260.58	0.4%	$2,829.92	$430.66	$40.92	1.3%

TRA001 / FTBW / 20161230 / ANN1 / 586 / 111004012462

FIFTH THIRD PRIVATE BANK

[redacted]

IMA/THE CORY FDN CONSOLIDATED

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		CUSIP - 063904106							
36.0000	BERY	BERRY PLASTICS GROUP INC CUSIP - 08579W103	$48.730	$1,754.28	0.2%	$1,461.24	$293.04		
31.0000	BIG	BIG LOTS INC CUSIP - 089302103	$50.210	$1,556.51	0.2%	$1,230.98	$325.53	$26.04	1.7%
15.0000	BIIB	BIOGEN INC CUSIP - 09062X103	$283.580	$4,253.70	0.5%	$4,716.00	$(462.30)		
40.0000	CME	CME GROUP INC CUSIP - 12572Q105	$115.350	$4,614.00	0.5%	$4,309.37	$304.63	$96.00	2.1%
105.0000	CMS	CMS ENERGY CORP CUSIP - 125896100	$41.620	$4,370.10	0.5%	$4,243.10	$127.00	$130.20	3.0%
27.0000	CRI	CARTER'S INC CUSIP - 146229109	$86.390	$2,332.53	0.3%	$2,950.02	$(617.49)	$35.64	1.5%
20.0000	CELG	CELGENE CORP CUSIP - 151020104	$115.750	$2,315.00	0.3%	$2,610.39	$(295.39)		
125.0000	C	CITIGROUP INC CUSIP - 172967424	$59.430	$7,428.75	0.8%	$6,757.17	$671.58	$80.00	1.1%
36.0000	KO	COCA COLA CO CUSIP - 191216100	$41.460	$1,492.56	0.2%	$1,595.15	$(102.59)	$50.40	3.4%
75.0000	CMCSA	COMCAST CORP CL A CUSIP - 20030N101	$69.050	$5,178.75	0.6%	$3,976.40	$1,202.35	$82.50	1.6%
50.0000	DHR	DANAHER CORP CUSIP - 235851102	$77.840	$3,892.00	0.4%	$3,279.91	$612.09	$25.00	0.6%
77.0000	DFS	DISCOVER FINANCIAL SERVICES CUSIP - 254709108	$72.090	$5,550.93	0.6%	$4,284.59	$1,266.34	$92.40	1.7%
60.0000	DY	DYCOM INDS INC CUSIP - 267475101	$80.290	$4,817.40	0.5%	$4,665.59	$151.81		
15.0000	EOG	EOG RES INC	$101.100	$1,516.50	0.2%	$1,141.65	$374.85	$10.05	0.7%

FIFTH THIRD PRIVATE BANK

[redacted]

IMA/THE CORY FDN CONSOLIDATED

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		CUSIP - 26875P101							
112.0000	ETFC	E TRADE FINANCIAL CORP CUSIP - 269246401	$34.650	$3,880.80	0.4%	$2,874.80	$1,006.00		
2,960.8590	AEPFX	EUROPACIFIC GROWTH FD CL F-2 CUSIP - 29875E100	$44.970	$133,149.83	15.2%	$123,593.94	$9,555.89	$2,051.88	1.5%
77.0000	EVR	EVERCORE PARTNERS INC-CL A CUSIP - 29977A105	$68.700	$5,289.90	0.6%	$4,292.37	$997.53	$104.72	2.0%
97.0000	EXC	EXELON CORP CUSIP - 30161N101	$35.490	$3,442.53	0.4%	$3,156.38	$286.15	$123.38	3.6%
76.0000	XOM	EXXON MOBIL CORP CUSIP - 30231G102	$90.260	$6,859.76	0.8%	$6,342.70	$517.06	$228.00	3.3%
96.0000	FB	FACEBOOK INC CUSIP - 30303M102	$115.050	$11,044.80	1.3%	$8,928.95	$2,115.85		
20.0000	GD	GENERAL DYNAMICS CORP CUSIP - 369550108	$172.660	$3,453.20	0.4%	$3,069.98	$383.22	$60.80	1.8%
334.0000	GE	GENERAL ELECTRIC CO CUSIP - 369604103	$31.600	$10,554.40	1.2%	$10,197.12	$357.28	$320.64	3.0%
71.0000	GILD	GILEAD SCIENCES INC CUSIP - 375558103	$71.610	$5,084.31	0.6%	$1,483.94	$3,600.37	$133.48	2.6%
45.0000	HDS	HD SUPPLY HLDGS INC CUSIP - 40416M105	$42.510	$1,912.95	0.2%	$1,513.54	$399.41		
30.0000	HSY	THE HERSHEY COMPANY CUSIP - 427866108	$103.430	$3,102.90	0.4%	$3,004.20	$98.70	$74.16	2.4%
35.0000	HD	HOME DEPOT INC CUSIP - 437076102	$134.080	$4,692.80	0.5%	$3,623.91	$1,068.89	$96.60	2.1%
26.0000	JNJ	JOHNSON & JOHNSON CUSIP - 478160104	$115.210	$2,995.46	0.3%	$2,999.62	$(4.16)	$83.20	2.8%
67.0000	KAR	KAR AUCTION SVCS INC	$42.620	$2,855.54	0.3%	$2,916.29	$(60.75)	$85.76	3.0%

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PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		CUSIP - 48238T109							
68.0000	KHC	KRAFT HEINZ CO CUSIP - 500754106	$87.320	$5,937.76	0.7%	$5,541.67	$396.09	$163.20	2.7%
64.0000	MPC	MARATHON PETROLEUM CORP CUSIP - 56585A102	$50.350	$3,222.40	0.4%	$2,989.09	$233.31	$92.16	2.9%
83.0000	MA	MASTERCARD INC CUSIP - 57636Q104	$103.250	$8,569.75	1.0%	$8,164.33	$405.42	$73.04	0.9%
33.0000	MCK	MCKESSON CORPORATION CUSIP - 58155Q103	$140.450	$4,634.85	0.5%	$6,229.73	$(1,594.88)	$36.96	0.8%
170.0000	MSFT	MICROSOFT CORP CUSIP - 594918104	$62.140	$10,563.80	1.2%	$8,064.80	$2,499.00	$265.20	2.5%
60.0000	MNST	MONSTER BEVERAGE CORP NEW CUSIP - 61174X109	$44.340	$2,660.40	0.3%	$2,915.40	$(255.00)		
46.0000	NEE	NEXTERA ENERGY INC CUSIP - 65339F101	$119.460	$5,495.16	0.6%	$4,897.89	$597.27	$160.08	2.9%
24.0000	OXY	OCCIDENTAL PETE CORP CUSIP - 674599105	$71.230	$1,709.52	0.2%	$1,692.79	$16.73	$72.96	4.3%
16.0000	PXD	PIONEER NATURAL RESOURCES CO CUSIP - 723787107	$180.070	$2,881.12	0.3%	$2,967.68	$(86.56)	$1.28	
53.0000	PG	PROCTER & GAMBLE CO CUSIP - 742718109	$84.080	$4,456.24	0.5%	$4,615.77	$(159.53)	$141.93	3.2%
60.0000	RYAAY	RYANAIR HLDGS PLC SPONSORED ADR NEW CUSIP - 783513203	$83.260	$4,995.60	0.6%	$4,903.73	$91.87	$129 36	2.6%
15.0000	SBAC	SBA COMMUNICATIONS CORP CUSIP - 78388J106	$103.260	$1,548.90	0.2%	$1,579.20	$(30.30)		
22.0000	SPY	SPDR S&P 500 ETF TRUST CUSIP - 78462F103	$223.530	$4,917.66	0.6%	$4,384.38	$533.28	$99.86	2.0%

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IMA/THE CORY FDN CONSOLIDATED

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PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
27.0000	SLB	SCHLUMBERGER LTD CUSIP - 806857108	$83.950	$2,266.65	0.3%	$2,197.13	$69.52	$54.00	2.4%
12.0000	SHW	SHERWIN WILLIAMS CO CUSIP - 824348106	$268.740	$3,224.88	0.4%	$2,933.48	$291.40	$40.32	1.3%
10.0000	SHPG	SHIRE PLC ADR CUSIP - 82481R106	$170.380	$1,703.80	0.2%	$2,511.50	$(807.70)	$8.04	0.5%
34.0000	TJX	TJX COS INC CUSIP - 872540109	$75.130	$2,554.42	0.3%	$2,631.01	$(76.59)	$35.36	1.4%
57.0000	TWX	TIME WARNER INC CUSIP - 887317303	$96.530	$5,502.21	0.6%	$4,692.81	$809.40	$91.77	1.7%
2,412.0000	TSFYX	TOUCHSTONE SMALL CAP FUND CLASS Y CUSIP - 89155H249	$17.480	$42,161.76	4.8%	$42,376.31	$(214.55)	$115.78	0.3%
32.0000	UNP	UNION PAC CORP CUSIP - 907818108	$103.680	$3,317.76	0.4%	$2,952.64	$365.12	$77.44	2.3%
20.0000	UNH	UNITEDHEALTH GROUP INC CUSIP - 91324P102	$160.040	$3,200.80	0.4%	$2,838.91	$361.89	$50.00	1.6%
104.0000	URBN	URBAN OUTFITTERS INC CUSIP - 917047102	$28.480	$2,961.92	0.3%	$3,674 07	$(712.15)		
1,080.0000	VWO	VANGUARD FTSE EMERGING MARKETS ETF CUSIP - 922042858	$35.780	$38,642.40	4.4%	$38,404.69	$237.71	$972.00	2.5%
27.0000	WBC	WABCO HLDGS INC CUSIP - 92927K102	$106.150	$2,866.05	0.3%	$3,381.95	$(515.90)		
64.0000	WMT	WAL-MART STORES INC	$69.120	$4,423.68	0.5%	$4,373.98	$49.70	$128.00	2.9%

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PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								**(continued)**	
		CUSIP - 931142103							
34.0000	WBA	WALGREENS BOOTS ALLIANCE INC CUSIP - 931427108	$82.760	$2,813.84	0.3%	$2,901.77	$(87.93)	$51.00	1.8%
		Equities - Total		***$497,072.42***	***56.6%***	***$459,518.18***	***$37,554.24***	***$7,895.01***	***1.6%***
Alternative Strategies									
641.0980	QMNIX	AQR EQUITY MARKET NEUTRAL I CUSIP - 00191K799	$11.970	$7,673.94	0.9%	$7,426.65	$247.29	$114.76	1.5%
143.2180	QMHIX	AQR MANAGED FUTURES STRATEGY HV I CUSIP - 00203H461	$9.300	$1,331.93	0.2%	$1,502.69	$(170.76)	$0.14	
2,180.0000	BSIIX	BLACKROCK FDS II STRG OPP INSTL CUSIP - 09256H286	$9.830	$21,429.40	2.4%	$21,930.80	$(501.40)	$614.76	2.9%
1,127.5190	GSAWX	GOLDMAN SACHS L/S CREDITSTRATEGY CUSIP - 38145L257	$9.410	$10,609.95	1.2%	$10,767.81	$(157.86)	$385.61	3.6%
144.8930	ASFYX	ASG MANAGED FUTURES STRAG FD CUSIP - 63872T729	$9.830	$1,424.30	0.2%	$1,527.80	$(103.50)	$18.69	1.3%
739.0890	BEQIX	BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS CUSIP - 66538F645	$10.780	$7,967.38	0.9%	$7,505.73	$461.65		
173.1720	BGMIX	NORTHERN LTS FD TR II BALTER CUSIP - 66538F660	$9.880	$1,710.94	0.2%	$1,707.48	$3.46	$11.60	0.7%
506.9320	BPIRX	BOSTON PARTNERS LONG/SHORT RESEARCH INSTL CUSIP - 74925K581	$15.450	$7,832.10	0.9%	$7,789.33	$42.77		
125.0000	CWB	SPDR BLOOMBERG BARCLAYS CONVERTIBLE SECURITIES ETF	$45.650	$5,706.25	0.6%	$5,628.56	$77.69	$262.63	4.6%

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PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Alternative Strategies								(continued)	
		CUSIP - 78464A359							
148.6240	WMCIX	WILLIAM BLAIR FDS ALLOC I CUSIP - 969251784	$11.380	$1,691.34	0.2%	$1,869.69	$(178.35)	$38 20	2.3%
		Alternative Strategies - Total		***$67,377.53***	***7.7%***	***$67,656.54***	***$(279.01)***	***$1,446.39***	***2.1%***
Real Estate Investments									
123.0000	DRE	DUKE REALTY CORPORATION CUSIP - 264411505	$26.560	$3,266.88	0.4%	$2,885.34	$381.54	$93.48	2.9%
69.0000	NNN	NATIONAL RETAIL PPTYS INC CUSIP - 637417106	$44.200	$3,049.80	0.3%	$2,908.88	$140.92	$125.58	4.1%
41.0000	SPG	SIMON PPTY GROUP INC CUSIP - 828806109	$177.670	$7,284.47	0.8%	$8,462.94	$(1,178.47)	$266.50	3.7%
490.0000	VNQ	VANGUARD REIT ETF CUSIP - 922908553	$82.530	$40,439.70	4.6%	$28,684.36	$11,755.34	$1,948.24	4.8%
		Real Estate Investments - Total		***$54,040.85***	***6.2%***	***$42,941.52***	***$11,099.33***	***$2,433.80***	***4.5%***
Total Portfolio Positions				**$878,096.42**	**100.0%**	**$834,012.89**	**$44,083.53**	**$19,673.78**	**2.2%**

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YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2016- December 30, 2016

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	22,601	22,719	.02	0.37	**23,252**
TOTAL ML Bank Deposit Program	22,601			0.37	**23,252**

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	657.57	657.57		**657.57**		
+ML BANK DEPOSIT PROGRAM +FDIC INSURED NOT SIPC COVERED	23,252.00	23,252.00	1.0000	**23,252.00**	**5**	.02
TOTAL		23,909.57		**23,909.57**	**5**	.02

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
AMERICAN CENTURY SMALL *CAP VAL FD INVESTOR CL* *SYMBOL: ASVIX Initial Purchase:03/14/12* *Equity 100%*	4,497	38,479.40✦	9.0700	**40,787.79**	2,308.39	19,310	**21,477**	**248**	.60
.8870 Fractional Share		7.96	9.0700	**8.05**	.09			**1**	.60
AMERICAN EURO PACIFIC *GROWTH FUND CL F2* *SYMBOL: AEPFX Initial Purchase:04/22/09* *Equity 100%*	2,826	116,405.62	44.9700	**127,085.22**	10,679.60	105,000	**22,084**	**1,955**	1.53
.5310 Fractional Share		23.83	44.9700	**23.88**	.05			**1**	1.53
BARON SMALL CAP FD CL	2,257	60,910.66	26.0800	**58,862.56**	(2,048.10)	36,659	**22,202**		

THE CORY FOUNDATION INC [redacted]

YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
INST SYMBOL: BSFIX Initial Purchase:03/14/12 Equity 100%									
.7650 Fractional Share		20.63	26.0800	**19.95**	(0.68)				
BLACKROCK HI YLD BD PORTFOLIO INST CL SYMBOL: BHYIX Initial Purchase:01/11/16 Fixed Income 100%	17,940	127,194.60	7.6400	**137,061.60**	9,867.00	127,194	**9,867**	**7,589**	5.53
CALVERT SHORT DURATION INCOME FUND CL Y SYMBOL: CSDYX Initial Purchase:04/22/09 Fixed Income 100%	4,827	78,111.60	16.1300	**77,859.51**	(252.09)	62,749	**15,109**	**1,830**	2.34
.7530 Fractional Share		12.11	16.1300	**12.15**	.04			**1**	2.34
COHEN & STEERS REALTY SHARES FUND CL N SYMBOL: CSRSX Initial Purchase:04/22/09 Equity 100%	964	60,238.25	65.6300	**63,267.32**	3,029.07	28,892	**34,374**	**1,958**	3.09
.5160 Fractional Share		33.39	65.6300	**33.87**	.48			**2**	3.09
CULLEN HIGH DIVIDEND EQUITY FUND CL I SYMBOL: CHDVX Initial Purchase:03/14/12 Equity 100%	3,177	49,703.68	17.3500	**55,120.95**	5,417.27	35,695	**19,425**	**1,547**	2.80
.6530 Fractional Share		11.31	17.3500	**11.33**	.02			**1**	2.80
DELAWARE EMERGING MARKETS FUND INSTL CL SYMBOL: DEMIX Initial Purchase:05/10/13	4,951	72,030.18✦	14.5500	**72,037.05**	6.87	70,594	**1,442**	**678**	.94

YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Equity 100% .1090 Fractional Share		1.57	14.5500	**1.59**	.02			**1**	.94
MAINSTAY LARGE CAP GROWTH FUND CL I SYMBOL: MLAIX Initial Purchase:12/29/10 Equity 100%	15,569	129,822.96	8.5400	**132,959.26**	3,136.30	70,624	**62,335**		
.9470 Fractional Share		8.12	8.5400	**8.09**	(0.03)				
METROPOLITAN WEST TOTAL RETURN BOND FD CL I SYMBOL: MWTIX Initial Purchase:01/05/15 Fixed Income 100%	12,306	133,713.70✦	10.5300	**129,582.18**	(4,131.52)	126,364	**3,217**	**2,486**	1.91
.4200 Fractional Share		4.53	10.5300	**4.42**	(0.11)			**1**	1.91
MFS VALUE FD CL I SYMBOL: MEIIX Initial Purchase:03/14/12 Equity 100%	3,882	110,352.53	36.2400	**140,683.68**	30,331.15	79,228	**61,455**	**2,825**	2.00
.7960 Fractional Share		28.93	36.2400	**28.85**	(0.08)			**1**	2.00
PIMCO REAL RETURN FUND CL P SYMBOL: PRLPX Initial Purchase:03/14/12 Fixed Income 100%	4,971	57,719.62✦	10.9200	**54,283.32**	(3,436.30)	48,445	**5,837**	**487**	.89
.8260 Fractional Share		9.20	10.9200	**9.02**	(0.18)			**1**	.89
VANGUARD FINANCIALS ETF SYMBOL: VFH Initial Purchase:01/11/16 Equity 100%	696	31,319.93	59.3500	**41,307.60**	9,987.67	31,319	**9,987**	**675**	1.63
Subtotal (Fixed Income)				398,812.20					

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THE CORY FOUNDATION INC [redacted]

YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Subtotal (Equities)				732,247.04					
TOTAL		1,066,164.31		**1,131,059.24**	64,894.93		**288,811**	**22,288**	1.97

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,090,073.88	**1,154,968.81**	64,894.93		**22,292**	1.93

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Notes
✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/30	⌑ Bank Interest		BANK DEPOSIT INTEREST		.37	
	Subtotal (Taxable Interest)				.37	4.14
12/01	* Lg Tm Cap Gain		» BARON SMALL CAP FD CL		10,545.38	

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ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Purchases				
01/29/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT ACCOUNT 14-14-000-9386491	$70.00	$(70 00)	
01/29/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT ACCOUNT 14-14-000-9550617	$3,056 00		$(3,056 00)
01/29/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT ACCOUNT 14-14-000-9550617	$101 00	$(101.00)	
	Total Cash Equivalents Purchases	*$3,227.00*	*$(171.00)*	*$(3,056.00)*

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
01/06/16	LAZARD LTD	108.0000	$45.14	$4,874.37	$5,613.39	$(739 02)	
01/06/16	RLJ LODGING TR	114.0000	$21.76	$2,479.37	$3,262.68	$(783.31)	
01/13/16	MOODYS CORP	51.0000	$90.00	$4,587.89	$5,151.83	$(563.94)	
	Net Gain/(Loss) on Securities Sold			**$11,941.63**	**$14,027.90**	**$(2,086.27)**	

*** * * * End of statement for Investment Account [redacted] * * * ***

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FIFTH THIRD PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

02/01/2016 - 02/29/2016

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Adjustments				(continued)
	ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL ACCOUNT 14-14-000-9550617			
	Total Adjustments	*$(384.30)*		

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
02/04/16	FACEBOOK INC	14.0000	$115.20	$1,612.19	$1,318 52	$293.67	
02/04/16	MAGNA INTL INC	40.0000	$35.14	$1,404.01	$2,203.20	$(799 19)	
02/04/16	PENSKE AUTO GROUP INC	74.0000	$31 92	$2,359 43	$3,628 68	$(1,269.25)	
02/08/16	ALEXION PHARMACEUTICALS INC	11.0000	$145.10	$1,595.68	$2,092 75	$(497.07)	
02/08/16	DISCOVER FINANCIAL SERVICES	29.0000	$45.18	$1,308.91	$1,611.24	$(302.33)	
02/08/16	FMC TECHNOLOGIES INC	41.0000	$23.80	$974.31	$1,369.40	$(395.09)	
02/08/16	FEDEX CORPORATION	13.0000	$130.50	$1,695 94	$2,204.54	$(508.60)	
02/08/16	MGIC INVT CORP WIS	223 0000	$6.48	$1,436 29	$2,432.93	$(996.64)	
02/08/16	WABCO HLDGS INC	18.0000	$88.94	$1,600 21	$2,254 64	$(654.43)	
02/16/16	NORWEGIAN CRUISE LINE HLDGS LT	18.0000	$40.10	$721.05	$1,109.16	$(388 11)	
02/16/16	SIGNET JEWELERS	13.0000	$97.68	$1,269.31	$1,575 08	$(305 77)	
02/16/16	CITIGROUP INC	41.0000	$38.15	$1,562.44	$2,398 09	$(835.65)	
02/16/16	SVB FINL GROUP	8.0000	$85.55	$684.05	$1,041.59	$(357 54)	
02/16/16	SHIRE PLC ADR	7.0000	$153.75	$1,075.95	$1,758.05	$(682.10)	
02/16/16	SHIRE PLC ADR	4.0000	$156 03	$623.94	$1,004.60	$(380 66)	
02/17/16	NORWEGIAN CRUISE LINE HLDGS LT	20.0000	$38.74	$773.97	$1,232.40	$(458.43)	
02/17/16	SIGNET JEWELERS	17.0000	$95.29	$1,619.20	$2,059 72	$(440.52)	
02/17/16	E TRADE FINANCIAL CORP	43.0000	$20.27	$869.97	$1,145.51	$(275 54)	
02/17/16	SBA COMMUNICATIONS CORP	29.0000	$85.15	$2,468.10	$3,567.58	$(1,099.48)	
02/17/16	SVB FINL GROUP	10.0000	$79.12	$790.82	$1,301.99	$(511.17)	
02/22/16	BIG LOTS INC	2.0000	$37.77	$75.46	$85.54	$(10.08)	
02/23/16	BIG LOTS INC	72.0000	$38.03	$2,735.01	$3,079.44	$(344 43)	
02/23/16	BIG LOTS INC	3.0000	$37.99	$113.84	$128.31	$(14 47)	
	Net Gain/(Loss) on Securities Sold			**$29,370.08**	**$40,602.96**	**$(11,232.88)**	

*** * * * End of statement for Investment Account** [redacted] *** * * ***

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ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Corporate Actions				(continued)
	PAID @ 1.00			
	AUTOMATED TRANSFER PROCESS			
	ACCOUNT 14-14-000-9550617			
	Total Corporate Actions			

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
03/03/16	BIOGEN INC	6.0000	$260.29	$1,561.46	$1,886.40	$(324.94)	
03/03/16	CELGENE CORP	14.0000	$101.14	$1,415.38	$1,827.27	$(411.89)	
03/30/16	CALIFORNIA RES CORP	0.2468	$1.12	$0.28	$0.31	$(0 03)	
	Net Gain/(Loss) on Securities Sold			**$2,977.12**	**$3,713.98**	**$(736.86)**	

*** * * * End of statement for Investment Account [redacted] * * * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Purchases (continued)				
	ACCOUNT 14-14-000-9550617			
04/29/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT ACCOUNT 14-14-000-9550617	$151.00	$(151 00)	
	Total Cash Equivalents Purchases	***$11,417.00***	***$(365.00)***	***$(11,052.00)***
Corporate Actions				
04/26/16	CALIFORNIA RES CORP CARRYING VALUE ADJUSTMENT TO PROPERLY REFLECT ACCOUNT HOLDINGS ACCOUNT 14-14-000-9550617			
04/26/16	OCCIDENTAL PETE CORP COST BASIS ADJUSTMENT ACCOUNT 14-14-000-9550617			
	Total Corporate Actions			
Adjustments				
04/26/16	CALIFORNIA RES CORP COST BASIS ADJUSTMENT ACCOUNT 14-14-000-9550617	$0.02		
	Total Adjustments	***$0.02***		

GAIN/(LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
04/11/16	BOEING CO	29.0000	$127.62	$3,699.86	$4,184.09	$(204.66)	$(279.57)
04/15/16	WAL MART STORES INC NOTE	25,000.0000	$100.00	$25,000.00	$26,656.50		$(1,656 50)
04/27/16	SVB FINL GROUP	21.0000	$106.00	$2,225.21	$2,601.15	$(375.94)	
	Net Gain/(Loss) on Securities Sold			**$30,925.07**	**$33,441.74**	**$(580.60)**	**$(1,936.07)**

*** * * * End of statement for Investment Account [redacted] * * ***

FIFTH THIRD
PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

05/01/2016 - 05/31/2016

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
05/10/16	AMERICAN INTL GROUP INC	95.0000	$54.75	$5,200.83	$5,646.95	$(517.12)	$71.00
05/11/16	BOSTON PARTNERS LONG/SHORT	1,286.5040	$14.89	$19,156.04	$19,799 30	$(643.26)	
05/11/16	WILLIAM BLAIR FDS ALLOC I	2,124.6130	$11.45	$24,326.82	$26,727.63	$(2,400.81)	
05/13/16	CALIFORNIA RES CORP	2.0000	$1.64	$3.20	$2 50	$0 70	
05/16/16	JOHNSON & JOHNSON	25,000.0000	$100.00	$25,000.00	$26,035.33		$(1,035.33)
05/31/16	ALLERGAN PLC SHS	6 0000	$227.94	$1,367.37	$1,733 15		$(365 78)
05/31/16	CELGENE CORP	7.0000	$104.77	$733.09	$913.64	$(180.55)	
05/31/16	COLONY CAPITAL INC	164.0000	$17.76	$2,905.64	$3,600.46	$(694 82)	
05/31/16	RYANAIR HLDGS PLC	40.0000	$85.51	$3,418.56	$3,156 25	$262.31	
05/31/16	SBA COMMUNICATIONS CORP	17.0000	$98.67	$1,676.74	$2,038.12	$(361.38)	
05/31/16	SHIRE PLC ADR	8.0000	$190.83	$1,526.29	$2,009 20	$(482.91)	
	Net Gain/(Loss) on Securities Sold			**$85,314.58**	**$91,662.53**	**$(5,017.84)**	**$(1,330.11)**

*** * * * End of statement for Investment Account [redacted] * * * ***

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ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Sales				
06/30/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL ACCOUNT 14-14-000-9550617	$(2,375.00)		$2,375.00
	Total Cash Equivalents Sales	***$(2,375.00)***		***$2,375.00***
Corporate Actions				
06/23/16	OCCIDENTAL PETE CORP COST BASIS ADJUSTMENT ACCOUNT 14-14-000-9550617	$(0.02)		
	Total Corporate Actions	***$(0.02)***		

GAIN/(LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
06/02/16	BIG LOTS INC	29.0000	$50.74	$1,470.16	$1,240.33	$229.83	
06/13/16	AMAZON.COM INC	2.0000	$727.46	$1,454.80	$1,050.08	$404.72	
06/17/16	SIGNET JEWELERS	28.0000	$83.27	$2,330.43	$3,542.57	$(1,212.14)	
06/17/16	BIG LOTS INC	32.0000	$50.60	$1,617.93	$1,298.24	$319.69	
06/17/16	CVS HEALTH CORPORATION	31.0000	$96.28	$2,983.37	$2,405.57		$577.80
06/17/16	FEDEX CORPORATION	18.0000	$159.75	$2,874.72	$3,052.44	$(177.72)	
06/20/16	APPLE INC	12.0000	$97.97	$1,175.37	$543.57		$631 80
06/20/16	BANK AMER CORP	363.0000	$13.55	$4,912.19	$6,231.61	$(374.14)	$(945 28)
06/20/16	MGIC INVT CORP WIS	423.0000	$6.03	$2,543.74	$4,283.02	$(1,739.28)	
06/20/16	MICROSOFT CORP	47.0000	$49.93	$2,345.86	$2,229.68	$116.18	
06/27/16	BALTER L/S SMALL CAP EQUITY FUND	585.0500	$10.18	$5,955.81	$5,827.10	$128.71	
06/29/16	RYANAIR HLDGS PLC	17.0000	$76.84	$1,305.63	$1,341.39	$(35.76)	
	Net Gain/(Loss) on Securities Sold			**$30,970.01**	**$33,045.60**	**$(2,339.91)**	**$264.32**

*** * * * End of statement for Investment Accoun[redacted]* * ***

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ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Corporate Actions				
07/06/16	DANAHER CORP COST ADJUSTMENT DUE TO SPIN-OFF PAID @ 1.00 AUTOMATED TRANSFER PROCESS ACCOUNT 14-14-000-9550617	$(1,182.78)		
07/06/16	FORTIVE CORP COM RECEIVED 28 SHARES FROM SPIN-OFF PAID @ .50 ACCOUNT 14-14-000-9550617	$1,182.78		
	Total Corporate Actions			

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
07/06/16	AMC ENTERTAINMENT HOLDINGS	18.0000	$27 58	$495.68	$535.32	$(39 64)	
07/06/16	VELOCITYSHARES DAILY INVERSE VIX	60.0000	$24.92	$1,493.76	$1,370.56	$123.20	
07/06/16	AMC ENTERTAINMENT HOLDINGS	32.0000	$27.56	$880 48	$951.68	$(71.20)	
07/06/16	AMC ENTERTAINMENT HOLDINGS	39.0000	$27.58	$1,073.88	$1,159.86	$(85.98)	
07/07/16	AQR MANAGED FUTURES STRATEGY	66.6540	$11.24	$749.19	$730.81	$18.38	
07/07/16	ASG MANAGED FUTURES STRAG FD	58.3760	$11.15	$650.89	$639.09	$11.80	
07/07/16	NORTHERN LTS FD TR II BALTER	109.2150	$9.77	$1,056.36	$1,076 86	$(20.50)	
07/07/16	BOSTON PARTNERS LONG/SHORT	180.6390	$14.70	$2,655.40	$2,780.03	$(124.63)	
07/07/16	WILLIAM BLAIR FDS ALLOC I	101.7630	$11.35	$1,155.01	$1,280.18	$(125.17)	
07/12/16	FORTIVE CORP COM	28.0000	$47.61	$1,331 80	$1,182.78	$149 02	
07/12/16	EUROPACIFIC GROWTH FD CL F-2	220.0000	$44.69	$9,831.80	$11,052 79		$(1,220 99)
07/14/16	ALLEGION PUB LTD CO	7.0000	$70 14	$490.69	$447.28	$43 41	
07/14/16	ALLERGAN PLC SHS	4.0000	$240.25	$960.82	$1,155.43		$(194.61)
07/14/16	ESSENT GROUP LTD COM	18.0000	$23.17	$416.33	$391.31	$25 02	
07/14/16	SPDR S&P 500 ETF TRUST	54.0000	$213.92	$11,549.27	$10,761 65	$787.62	
07/14/16	MEDTRONIC PLC	9.0000	$88.68	$797.74	$700.74	$97.00	
07/14/16	CHUBB LIMITED	6.0000	$130.03	$779.92	$748.58	$31.34	
07/14/16	BROADCOM LTD SHS	3.0000	$157.25	$471.62	$491.53	$(19.91)	
07/14/16	AT&T INC	30.0000	$42.55	$1,275.27	$1,091.69	$183.58	
07/14/16	ALPHABET INC	2.0000	$727.07	$1,454.03	$917.37		$536 66

FIFTH THIRD
PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

GAIN / (LOSS) ON SALE OF SECURITIES (continued)

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Long-Term
07/14/16	AMAZON.COM INC	1.0000	$754.78	$754 72	$525.04	$229.68	
07/14/16	ANHEUSER BUSCH INBEV SA/NV	6.0000	$128.03	$767.92	$641.15	$126.77	
07/14/16	APPLE INC	16.0000	$97.16	$1,553.89	$724 75		$829 14
07/14/16	BIOGEN INC	2.0000	$254.35	$508.61	$628.80	$(120.19)	
07/14/16	BRISTOL MYERS SQUIBB CO	10.0000	$75.73	$756.88	$641.40	$115.48	
07/14/16	CMS ENERGY CORP	7.0000	$45.45	$317.86	$275.54	$42.32	
07/14/16	CVS HEALTH CORPORATION	6.0000	$96.97	$581.57	$465.60		$115.97
07/14/16	CELGENE CORP	3.0000	$104.42	$313.13	$391.56	$(78.43)	
07/14/16	CERNER CORP	6.0000	$60.29	$361.49	$372.12	$(10.63)	
07/14/16	CISCO SYSTEMS INC	24.0000	$29.47	$706.30	$690.95	$15.35	
07/14/16	CITIGROUP INC	8.0000	$42.45	$339.27	$467.92	$(128.65)	
07/14/16	COMCAST CORP CL A	9.0000	$67.16	$604.07	$468.34		$135.73
07/14/16	DANAHER CORP	6.0000	$80.22	$481.07	$389.15	$91.92	
07/14/16	DISCOVER FINANCIAL SERVICES	11.0000	$55.70	$612.20	$611.16	$1 04	
07/14/16	DUKE REALTY CORPORATION	18.0000	$27.09	$486.80	$422.25	$64 55	
07/14/16	EVERCORE PARTNERS INC-CL A	6.0000	$46.25	$277.25	$303.49	$(26.24)	
07/14/16	EXELON CORP	13.0000	$36.31	$471 50	$423.02	$48.48	
07/14/16	EXXON MOBIL CORP	9 0000	$94.18	$847.24	$646.74		$200 50
07/14/16	FACEBOOK INC	11.0000	$118.05	$1,298.08	$1,035 98	$262 10	
07/14/16	GENERAL ELECTRIC CO	42.0000	$32.40	$1,359.09	$1,278.06	$81.03	
07/14/16	GILEAD SCIENCES INC	8.0000	$87.08	$696.30	$167.21		$529.09
07/14/16	HD SUPPLY HLDGS INC	10 0000	$36.01	$359.69	$336.34	$23.35	
07/14/16	HANESBRANDS INC.	25.0000	$26.29	$656.24	$736.23	$(79.99)	
07/14/16	HOME DEPOT INC	8.0000	$135.36	$1,082.54	$192.02		$890.52
07/14/16	KRAFT HEINZ CO	8.0000	$89.90	$718.86	$617.46	$101 40	
07/14/16	LAUDER ESTEE COS INC	4.0000	$93.21	$372.67	$295.84		$76 83
07/14/16	MARATHON PETROLEUM CORP	8.0000	$36.39	$290.79	$418.32	$(127.53)	
07/14/16	MASTERCARD INC	9.0000	$88.65	$797.47	$885.78	$(88.31)	
07/14/16	MCKESSON CORPORATION	3.0000	$193.36	$579.95	$580 80		$(0.85)
07/14/16	MICROSOFT CORP	26.0000	$52.71	$1,369.39	$1,233 44	$135.95	
07/14/16	MONSTER BEVERAGE CORP NEW	2.0000	$161.49	$322.89	$291.54	$31.35	
07/14/16	NEXTERA ENERGY INC	5.0000	$129.68	$648.19	$508.82	$139.37	
07/14/16	REYNOLDS AMERICAN INC	22.0000	$53.30	$1,171.58	$947.98	$223.60	
07/14/16	SBA COMMUNICATIONS CORP	2.0000	$113.26	$226.43	$210.56	$15.87	
07/14/16	SCHLUMBERGER LTD	4.0000	$78.47	$313.71	$325.03		$(11.32)
07/14/16	SHERWIN WILLIAMS CO	2.0000	$307.08	$614.07	$508.39	$105 68	
07/14/16	SIMON PPTY GROUP INC	5.0000	$221.54	$1,107.48	$1,041.38	$66.10	
07/14/16	TIME WARNER INC	7.0000	$78.40	$548.51	$576.31	$(27.80)	

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IMA/THE CORY FDN CONSOLIDATED

07/01/2016 - 07/31/2016

GAIN / (LOSS) ON SALE OF SECURITIES (continued)

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
07/14/16	TYSON FOODS INC	5.0000	$68.32	$341.39	$211.50	$129.89	
07/14/16	WABCO HLDGS INC	3.0000	$91.61	$274.70	$375 77	$(101.07)	
07/14/16	WELLS FARGO & COMPANY	14.0000	$48.19	$674.02	$706.87	$(32.85)	
07/15/16	ECOLAB INC	14.0000	$120.28	$1,683.32	$1,611.23		$72.09
07/15/16	HANESBRANDS INC.	80.0000	$26.60	$2,124.75	$2,364.80	$(240.05)	
	Net Gain/(Loss) on Securities Sold			**$69,943.82**	**$66,012.18**	**$1,972.88**	**$1,958.76**

*** * * * End of statement for Investment Account [redacted] * * * ***

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PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

08/01/2016 - 08/31/2016

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
08/11/16	BRISTOL MYERS SQUIBB CO	75.0000	$60.39	$4,526.34	$4,810.50	$(284.16)	
08/18/16	NORWEGIAN CRUISE LINE HLDGS LT	60.0000	$38.37	$2,299.92	$3,586.40	$(494 69)	$(791.79)
08/18/16	HOME DEPOT INC	17.0000	$137.21	$2,331.85	$408.05		$1,923.80
08/18/16	LAUDER ESTEE COS INC	37.0000	$93.19	$3,446.47	$2,736.50		$709.97
08/18/16	TYSON FOODS INC	51.0000	$75.07	$3,826.29	$2,475.80	$532.36	$818.13
	Net Gain/(Loss) on Securities Sold			**$16,430.87**	**$14,017.25**	**$(246.49)**	**$2,660.11**

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PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

09/01/2016 - 09/30/2016

GAIN / (LOSS) ON SALE OF SECURITIES

						Realized Gain/(Loss)	
Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Short-Term	Long-Term
09/06/16	AQR EQUITY MARKET NEUTRAL I	126.1400	$11.52	$1,453.13	$1,464.49	$(11.36)	
09/06/16	DOUBLELINE FLEXIBLE INCOME FD I	76.6680	$9.80	$751.35	$739.85	$11.50	
09/06/16	GOLDMAN SACHS L/S CREDITSTRATEGY	74.7170	$9.72	$726.25	$713.55	$12.70	
09/08/16	WELLS FARGO & COMPANY	119.0000	$50.54	$6,009.43	$6,030.88	$(21.45)	
09/20/16	CVS HEALTH CORPORATION	50.0000	$89.96	$4,495.89	$4,487.63	$(238.12)	$246.38
	Net Gain/(Loss) on Securities Sold			**$13,436.05**	**$13,436.40**	**$(246.73)**	**$246.38**

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PRIVATE BANK

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IMA/THE CORY FDN CONSOLIDATED

10/01/2016 - 10/31/2016

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
10/20/16	HD SUPPLY HLDGS INC	39.0000	$31.95	$1,245.27	$1,311.73	$(66.46)	
10/20/16	HOME DEPOT INC	10.0000	$125.10	$1,250.81	$486.67		$764.14
10/24/16	REYNOLDS AMERICAN INC	140.0000	$46.05	$6,441.34	$6,210.08	$(72.38)	$303.64
	Net Gain/(Loss) on Securities Sold			**$8,937.42**	**$8,008.48**	**$(138.84)**	**$1,067.78**

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IMA/THE CORY FDN CONSOLIDATED

11/01/2016 - 11/30/2016

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Corporate Actions				
11/10/16	MONSTER BEVERAGE CORP NEW RECEIVED 11/10/16 40 SHARES AS 200.00% STOCK SPLIT ACCOUNT 14-14-000-9550617			
	Total Corporate Actions			

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
11/04/16	AQR EQUITY MARKET NEUTRAL I	52.5010	$11.93	$626.34	$609.54	$16.80	
11/04/16	DOUBLELINE FLEXIBLE INCOME FD I	27.4560	$9.80	$269.07	$264.95	$4.12	
11/04/16	GOLDMAN SACHS L/S CREDITSTRATEGY	5.9710	$9.54	$56.96	$57.02	$(0.06)	
11/04/16	BALTER L/S SMALL CAP EQUITY FUND	33.9670	$10.18	$345.78	$363.11	$(17.33)	
11/04/16	BOSTON PARTNERS LONG/SHORT	35.6790	$14.97	$534.11	$549.10		$(14.99)
11/09/16	AQR EQUITY MARKET NEUTRAL I	69.5540	$11.92	$829.08	$807.52	$21.56	
11/09/16	AQR MANAGED FUTURES STRATEGY	28.4220	$9.86	$280.24	$310.65	$(30.41)	
11/09/16	BARCLAYS BK PLC	40.0000	$36.86	$1,473.92	$1,436.00	$37.92	
11/09/16	DOUBLELINE FLEXIBLE INCOME FD I	113.6080	$9.80	$1,113.36	$1,096.32	$17.04	
11/09/16	GOLDMAN SACHS L/S CREDITSTRATEGY	114.2140	$9.53	$1,088.46	$1,090.74	$(2.28)	
11/09/16	ASG MANAGED FUTURES STRAG FD	30.5510	$9.77	$298.48	$331.17	$(32.69)	
11/09/16	BALTER L/S SMALL CAP EQUITY FUND	29.6220	$10.33	$306.00	$316.66	$(10.66)	
11/09/16	BOSTON PARTNERS LONG/SHORT	19.2970	$15.04	$290.22	$296.98		$(6.76)
11/15/16	CERNER CORP	47.0000	$50.83	$2,387.11	$2,933.87		$(546.76)
11/15/16	MAGNA INTL INC	52.0000	$38.03	$1,976.67	$2,591.41	$(188.06)	$(426.68)
11/22/16	CISCO SYSTEMS INC	148.0000	$30.00	$4,433.76	$4,260.83	$172.93	
	Net Gain/(Loss) on Securities Sold			**$16,309.56**	**$17,315.87**	**$(11.12)**	**$(995.19)**

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FIFTH THIRD
PRIVATE BANK

Investment Account 14-14-000-9550344
IMA/THE CORY FDN CONSOLIDATED

12/01/2016 - 12/31/2016

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Purchases				**(continued)**
12/30/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT ACCOUNT 14-14-000-9386491	$74.00		$(74.00)
	Total Cash Equivalents Purchases	***$4,439.00***	***$(4,317.00)***	***$(122.00)***
Cash Equivalents Sales				
12/30/16	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL ACCOUNT 14-14-000-9550617	$(3,596.00)		$3,596.00
	Total Cash Equivalents Sales	***$(3,596.00)***		***$3,596.00***

GAIN / (LOSS) ON SALE OF SECURITIES

						Realized Gain/(Loss)	
Date	**Description**	**Quantity**	**Sale Price**	**Proceeds**	**Cost Basis**	**Short-Term**	**Long-Term**
12/08/16	HANESBRANDS INC.	126.0000	$22.95	$2,887.91	$3,512.27	$(624.36)	
12/12/16	BROADCOM LTD SHS	34.0000	$166.95	$5,674.97	$5,645.66	$29.31	
12/15/16	ALEXION PHARMACEUTICALS INC	10.0000	$110.25	$1,102.17	$1,902.50		$(800.33)
12/20/16	ANHEUSER BUSCH INBEV SA/NV	36.0000	$101.00	$3,634 48	$3,970.49	$(200.30)	$(135.71)
12/20/16	COCA COLA CO	67.0000	$41.17	$2,755.65	$2,968.76	$(213.11)	
	Net Gain/(Loss) on Securities Sold			**$16,055.18**	**$17,999.68**	**$(1,008.46)**	**$(936.04)**

*** * * * End of statement for Investment Account [redacted] * * * ***

TRA001 / FTBW / 20161230 / ANN1 / 586 / 111004012462

[redacted]

EMA Fiscal Statement

BEA ROSENBERG

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
07/06/16	Journal Entry		INV. ADVISORY FEE JUL		726.40	
07/13/16	Journal Entry		TRANSFR FEE P46195024184		30.00	
08/02/16	Journal Entry		INV. ADVISORY FEE AUG		715.65	
09/02/16	Journal Entry		INV. ADVISORY FEE SEP		722.42	
10/04/16	Journal Entry		INV. ADVISORY FEE OCT		722.70	
11/02/16	Journal Entry		INV. ADVISORY FEE NOV		711.75	
12/05/16	Journal Entry		INV. ADVISORY FEE DEC		707.90	
			Net Total		**8,367.06**	**7.20**

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,097.0000	1919 FINANCIAL SERVICE I	04/22/09	01/11/16	20,009.27	9,391.58	10,617.69 LT
197.0000	1919 FINANCIAL SERVICE I	06/22/11	01/11/16	3,593.28	2,249.74	1,343.54 LT
1.0000	1919 FINANCIAL SERVICE I	12/13/11	01/11/16	18.24	8.77	9.47 LT
43.0000	1919 FINANCIAL SERVICE I	12/13/11	01/11/16	784.32	459.23	325.09 LT
22.0000	1919 FINANCIAL SERVICE I	12/13/12	01/11/16	401.28	288.42	112.86 LT
1.0000	1919 FINANCIAL SERVICE I	09/05/13	01/11/16	18.24	9.23	9.01 LT
49.0000	1919 FINANCIAL SERVICE I	09/05/13	01/11/16	893.76	804.58	89.18 LT
1.0000	1919 FINANCIAL SERVICE I	12/12/13	01/11/16	18.24	17.46	0.78 LT
12.0000	1919 FINANCIAL SERVICE I	12/12/13	01/11/16	218.88	216.49	2.39 LT
131.0000	1919 FINANCIAL SERVICE I	05/28/14	01/11/16	2,389.44	2,378.96	10.48 LT
4.0000	1919 FINANCIAL SERVICE I	06/18/14	01/11/16	72.96	74.83	(1.87) LT
13.0000	1919 FINANCIAL SERVICE I	12/29/14	01/11/16	237.12	255.70	(18.58) LT
65.0000	1919 FINANCIAL SERVICE I	12/29/14	01/11/16	1,185.60	1,278.54	(92.94) LT
11.0000	1919 FINANCIAL SERVICE I	12/28/15	01/11/16	200.64	215.39	(14.75) ST
1.0000	1919 FINANCIAL SERVICE I	12/28/15	01/11/16	18.24	12.51	5.73 ST
109.0000	1919 FINANCIAL SERVICE I	12/28/15	01/11/16	1,988.17	2,134.22	(146 05) ST
0.2350	1919 FINANCIAL SERVICE I	12/28/15	01/22/16	4.11	4.59	(0.48) ST
1.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	10.66	11.04	N/C
10.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	106.60	109.30	N/C
1.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	10.66	10.93	N/C

BEA ROSENBERG

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
50.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	533.00	546.50	N/C
80.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	852.80	874.40	N/C
21.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	223.86	229.53	N/C
236.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	2,515.76	2,579.48	(63.72) LT
464.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	4,946 24	5,080.80	(134.56) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	5.26	6.55	(1 29) LT
43.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	226.61	278.26	(51.65) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	5.26	6.57	(1.31) LT
76.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	400.52	494.80	(94.28) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	5.27	6.33	(1.06) LT
15.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	79.05	95.45	(16.40) LT
906.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	4,774.62	5,852.78	(1,078.16) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	5.27	6.47	(1.20) LT
140.0000	MAINSTAY HI YLD CRP BD I	03/03/05	01/11/16	737.79	910.04	(172.25) LT
53.0000	MAINSTAY HI YLD CRP BD I	03/07/05	01/11/16	279.30	340.31	(61.01) LT
39.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	205.52	246.71	(41.19) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	5.26	6.47	(1.21) LT
1 0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	5.27	6.63	(1.36) LT
45.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	237 15	291.86	(54.71) LT
11.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	57.96	70.72	(12.76) LT
27.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	142.28	174.33	(32.05) LT
10.0000	MAINSTAY HI YLD CRP BD I	03/10/05	01/11/16	52.69	64.49	(11.80) LT
62.0000	MAINSTAY HI YLD CRP BD I	03/18/05	01/11/16	326.74	396.83	(70.09) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/23/05	01/11/16	5.26	6.77	(1.51) LT
139.0000	MAINSTAY HI YLD CRP BD I	03/23/05	01/11/16	732.53	888.26	(155.73) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/25/05	01/11/16	5.27	6.51	(1.24) LT
55.0000	MAINSTAY HI YLD CRP BD I	03/25/05	01/11/16	289.84	356.44	(66 60) LT
51.0000	MAINSTAY HI YLD CRP BD I	03/26/05	01/11/16	268.77	329.50	(60.73) LT
124.0000	MAINSTAY HI YLD CRP BD I	04/05/05	01/11/16	653.48	780.00	(126.52) LT
128.0000	MAINSTAY HI YLD CRP BD I	05/04/05	01/11/16	674.56	787.24	(112.68) LT
128.0000	MAINSTAY HI YLD CRP BD I	06/03/05	01/11/16	674.56	793.63	(119.07) LT
127.0000	MAINSTAY HI YLD CRP BD I	06/30/05	01/11/16	669.29	795.07	(125.78) LT
127.0000	MAINSTAY HI YLD CRP BD I	07/29/05	01/11/16	669.29	805.23	(135.94) LT
128.0000	MAINSTAY HI YLD CRP BD I	08/31/05	01/11/16	674.56	809.00	(134.44) LT
130.0000	MAINSTAY HI YLD CRP BD I	09/30/05	01/11/16	685.10	811.24	(126.14) LT
133.0000	MAINSTAY HI YLD CRP BD I	10/31/05	01/11/16	700.91	819.33	(118.42) LT
133.0000	MAINSTAY HI YLD CRP BD I	11/30/05	01/11/16	700.91	824.65	(123.74) LT
133.0000	MAINSTAY HI YLD CRP BD I	12/28/05	01/11/16	700.91	824.65	(123.74) LT
134.0000	MAINSTAY HI YLD CRP BD I	01/31/06	01/11/16	706 18	833.52	(127.34) LT
135.0000	MAINSTAY HI YLD CRP BD I	02/28/06	01/11/16	711.45	839.75	(128.30) LT

BEA ROSENBERG

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
135.0000	MAINSTAY HI YLD CRP BD I	03/28/06	01/11/16	711 45	841.10	(129.65) LT
134.0000	MAINSTAY HI YLD CRP BD I	04/28/06	01/11/16	706.18	833.52	(127.34) LT
135.0000	MAINSTAY HI YLD CRP BD I	05/31/06	01/11/16	711.45	838.40	(126.95) LT
138.0000	MAINSTAY HI YLD CRP BD I	06/27/06	01/11/16	727.26	847.36	(120.10) LT
136.0000	MAINSTAY HI YLD CRP BD I	07/31/06	01/11/16	716.72	837.80	(121.08) LT
136.0000	MAINSTAY HI YLD CRP BD I	08/31/06	01/11/16	716.72	841.88	(125.16) LT
136.0000	MAINSTAY HI YLD CRP BD I	09/26/06	01/11/16	716.72	847.32	(130.60) LT
135.0000	MAINSTAY HI YLD CRP BD I	10/31/06	01/11/16	711.45	847.85	(136.40) LT
126.0000	MAINSTAY HI YLD CRP BD I	11/30/06	01/11/16	664.02	806.44	(142.42) LT
126.0000	MAINSTAY HI YLD CRP BD I	12/22/06	01/11/16	664.02	811.48	(147.46) LT
126.0000	MAINSTAY HI YLD CRP BD I	01/31/07	01/11/16	664.02	814.00	(149.98) LT
126.0000	MAINSTAY HI YLD CRP BD I	02/28/07	01/11/16	664.02	817.78	(153.76) LT
127.0000	MAINSTAY HI YLD CRP BD I	03/28/07	01/11/16	669.29	821.74	(152.45) LT
126 0000	MAINSTAY HI YLD CRP BD I	04/30/07	01/11/16	664.02	819.04	(155.02) LT
127.0000	MAINSTAY HI YLD CRP BD I	05/31/07	01/11/16	669.29	825.55	(156.26) LT
130.0000	MAINSTAY HI YLD CRP BD I	06/27/07	01/11/16	685.10	829.44	(144.34) LT
135.0000	MAINSTAY HI YLD CRP BD I	07/31/07	01/11/16	711.45	834.35	(122.90) LT
136.0000	MAINSTAY HI YLD CRP BD I	08/31/07	01/11/16	716.72	839 16	(122.44) LT
135.0000	MAINSTAY HI YLD CRP BD I	09/26/07	01/11/16	711.45	843 80	(132.35) LT
135.0000	MAINSTAY HI YLD CRP BD I	10/31/07	01/11/16	711.45	850.55	(139.10) LT
137.0000	MAINSTAY HI YLD CRP BD I	11/30/07	01/11/16	721.99	843.97	(121.98) LT
138.0000	MAINSTAY HI YLD CRP BD I	12/10/07	01/11/16	727.26	848.74	(121.48) LT
143.0000	MAINSTAY HI YLD CRP BD I	01/31/08	01/11/16	753.61	855.19	(101.58) LT
146.0000	MAINSTAY HI YLD CRP BD I	02/29/08	01/11/16	769 42	857.06	(87.64) LT
149.0000	MAINSTAY HI YLD CRP BD I	03/26/08	01/11/16	785.23	862.76	(77.53) LT
83.0000	MAINSTAY HI YLD CRP BD I	04/30/08	01/11/16	437.41	493.07	(55.66) LT
8.0000	MAINSTAY HI YLD CRP BD I	05/31/11	01/11/16	42.16	47.64	(5.48) LT
1.0000	MAINSTAY HI YLD CRP BD I	08/31/11	01/11/16	5.26	6.19	(0.93) LT
55.0000	MAINSTAY HI YLD CRP BD I	08/31/11	01/11/16	289.85	314.10	(24.25) LT
1.0000	MAINSTAY HI YLD CRP BD I	10/05/11	01/11/16	5.27	5.66	(0.39) LT
56.0000	MAINSTAY HI YLD CRP BD I	10/05/11	01/11/16	295.11	313.64	(18.53) LT
55.0000	MAINSTAY HI YLD CRP BD I	10/31/11	01/11/16	289.84	318.50	(28.66) LT
51.0000	MAINSTAY HI YLD CRP BD I	01/31/12	01/11/16	268.77	298.40	(29.63) LT
1.0000	MAINSTAY HI YLD CRP BD I	02/29/12	01/11/16	5.27	6.19	(0 92) LT
51.0000	MAINSTAY HI YLD CRP BD I	02/29/12	01/11/16	268.77	301.97	(33.20) LT
435.0000	MAINSTAY HI YLD CRP BD I	03/14/12	01/11/16	2,292.45	2,570.87	(278.42) LT
54.0000	MAINSTAY HI YLD CRP BD I	03/30/12	01/11/16	284.58	317.55	(32.97) LT
1.0000	MAINSTAY HI YLD CRP BD I	04/30/12	01/11/16	5.27	5.94	(0.67) LT
54.0000	MAINSTAY HI YLD CRP BD I	04/30/12	01/11/16	284.58	318.64	(34.06) LT
1.0000	MAINSTAY HI YLD CRP BD I	05/31/12	01/11/16	5.26	5.89	(0.63) LT

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EMA Fiscal Statement

Merrill Lynch
Bank of America Corporation

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
55.0000	MAINSTAY HI YLD CRP BD I	05/31/12	01/11/16	289.85	320.15	(30.30) LT
55.0000	MAINSTAY HI YLD CRP BD I	06/28/12	01/11/16	289.85	322.34	(32.49) LT
1.0000	MAINSTAY HI YLD CRP BD I	09/11/12	01/11/16	5.27	6.13	(0.86) LT
40.0000	MAINSTAY HI YLD CRP BD I	09/11/12	01/11/16	210.80	241.24	(30.44) LT
51.0000	MAINSTAY HI YLD CRP BD I	09/27/12	01/11/16	268.77	306.56	(37.79) LT
1.0000	MAINSTAY HI YLD CRP BD I	10/31/12	01/11/16	5.26	6.06	(0.80) LT
51.0000	MAINSTAY HI YLD CRP BD I	10/31/12	01/11/16	268.77	307.58	(38.81) LT
1.0000	MAINSTAY HI YLD CRP BD I	11/30/12	01/11/16	5.26	6.08	(0 82) LT
52.0000	MAINSTAY HI YLD CRP BD I	11/30/12	01/11/16	274.04	313.60	(39.56) LT
52.0000	MAINSTAY HI YLD CRP BD I	12/04/12	01/11/16	274.04	312.04	(38.00) LT
1.0000	MAINSTAY HI YLD CRP BD I	01/31/13	01/11/16	5.27	6.07	(0.80) LT
52.0000	MAINSTAY HI YLD CRP BD I	01/31/13	01/11/16	274.04	317.23	(43 19) LT
52.0000	MAINSTAY HI YLD CRP BD I	02/28/13	01/11/16	274.04	317.23	(43.19) LT
99.0000	MAINSTAY HI YLD CRP BD I	03/11/13	01/11/16	521.73	605.93	(84.20) LT
1 0000	MAINSTAY HI YLD CRP BD I	03/28/13	01/11/16	5.26	6.15	(0 89) LT
53.0000	MAINSTAY HI YLD CRP BD I	03/28/13	01/11/16	279.31	323.88	(44 57) LT
53.0000	MAINSTAY HI YLD CRP BD I	04/30/13	01/11/16	279.31	327.05	(47.74) LT
1.0000	MAINSTAY HI YLD CRP BD I	05/10/13	01/11/16	5.27	6.25	(0.98) LT
57.0000	MAINSTAY HI YLD CRP BD I	05/10/13	01/11/16	300.39	354.02	(53.63) LT
54.0000	MAINSTAY HI YLD CRP BD I	05/31/13	01/11/16	284 58	329.98	(45.40) LT
56.0000	MAINSTAY HI YLD CRP BD I	06/27/13	01/11/16	295.12	331.56	(36.44) LT
56.0000	MAINSTAY HI YLD CRP BD I	07/31/13	01/11/16	295.12	335.48	(40.36) LT
55.0000	MAINSTAY HI YLD CRP BD I	10/31/13	01/11/16	289.85	331.70	(41.85) LT
1.0000	MAINSTAY HI YLD CRP BD I	11/26/13	01/11/16	5.27	6.04	(0.77) LT
9,766.0000	MAINSTAY HI YLD CRP BD I	11/26/13	01/11/16	51,466.92	59,084.01	(7,617.09) LT
1.0000	MAINSTAY HI YLD CRP BD I	11/29/13	01/11/16	5.27	6.09	(0.82) LT
114.0000	MAINSTAY HI YLD CRP BD I	11/29/13	01/11/16	600.78	685.18	(84.40) LT
115.0000	MAINSTAY HI YLD CRP BD I	12/16/13	01/11/16	606.05	688.90	(82.85) LT
1.0000	MAINSTAY HI YLD CRP BD I	01/31/14	01/11/16	5.27	6.05	(0 78) LT
108.0000	MAINSTAY HI YLD CRP BD I	01/31/14	01/11/16	569.16	650.20	(81.04) LT
108.0000	MAINSTAY HI YLD CRP BD I	02/28/14	01/11/16	569.16	656.68	(87.52) LT
109.0000	MAINSTAY HI YLD CRP BD I	03/28/14	01/11/16	574.43	660.59	(86.16) LT
1.0000	MAINSTAY HI YLD CRP BD I	04/30/14	01/11/16	5.26	6.10	(0.84) LT
109.0000	MAINSTAY HI YLD CRP BD I	04/30/14	01/11/16	574.43	661.68	(87.25) LT
3,996.0000	MAINSTAY HI YLD CRP BD I	05/28/14	01/11/16	21,058.97	24,415.47	(3,356.50) LT
1.0000	MAINSTAY HI YLD CRP BD I	05/30/14	01/11/16	5 27	6.14	(0.87) LT
132.0000	MAINSTAY HI YLD CRP BD I	05/30/14	01/11/16	695.64	802 60	(106.96) LT
56.0000	MAINSTAY HI YLD CRP BD I	06/27/14	01/11/16	295.12	341.08	(45.96) LT
1.0000	MAINSTAY HI YLD CRP BD I	07/31/14	01/11/16	5.27	6.46	(1.19) LT
135.0000	MAINSTAY HI YLD CRP BD I	07/31/14	01/11/16	711.45	808.70	(97.25) LT

BEA ROSENBERG

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
135.0000	MAINSTAY HI YLD CRP BD I	08/29/14	01/11/16	711.45	812.75	(101.30) LT
1.0000	MAINSTAY HI YLD CRP BD I	09/29/14	01/11/16	5.26	6.00	(0.74) LT
139.0000	MAINSTAY HI YLD CRP BD I	09/29/14	01/11/16	732.53	814.59	(82.06) LT
79.0000	MAINSTAY HI YLD CRP BD I	11/28/14	01/11/16	416.33	459.83	(43.50) LT
148.0000	MAINSTAY HI YLD CRP BD I	12/16/14	01/11/16	779.96	822.92	(42.96) LT
1.0000	MAINSTAY HI YLD CRP BD I	01/05/15	01/11/16	5.27	5.70	(0.43) LT
267.0000	MAINSTAY HI YLD CRP BD I	01/05/15	01/11/16	1,407.09	1,519.28	(112.19) LT
133.0000	MAINSTAY HI YLD CRP BD I	01/30/15	01/11/16	700.91	757.48	(56.57) ST
1.0000	MAINSTAY HI YLD CRP BD I	02/27/15	01/11/16	5.27	5.75	(0.48) ST
132.0000	MAINSTAY HI YLD CRP BD I	02/27/15	01/11/16	695.64	765.62	(69.98) ST
134 0000	MAINSTAY HI YLD CRP BD I	03/31/15	01/11/16	706.18	771.18	(65.00) ST
1.0000	MAINSTAY HI YLD CRP BD I	04/30/15	01/11/16	5.27	5.84	(0.57) ST
133.0000	MAINSTAY HI YLD CRP BD I	04/30/15	01/11/16	700.91	772.76	(71.85) ST
134.0000	MAINSTAY HI YLD CRP BD I	05/29/15	01/11/16	706.19	779.21	(73.02) ST
138.0000	MAINSTAY HI YLD CRP BD I	08/31/15	01/11/16	727.27	772 80	(45.53) ST
1.0000	MAINSTAY HI YLD CRP BD I	09/30/15	01/11/16	5.27	5.76	(0.49) ST
141.0000	MAINSTAY HI YLD CRP BD I	09/30/15	01/11/16	743.08	773.39	(30.31) ST
0.6030	MAINSTAY HI YLD CRP BD I	12/16/15	01/22/16	3.09	3.25	(0.16) ST
145.0000	MAINSTAY HI YLD CRP BD I	12/16/15	01/11/16	764.17	768.50	(4.33) ST
57.0000	CULLEN HIGH DIV EQTY I	03/14/12	01/11/16	898.32	763.23	135.09 LT
297.0000	CALVERT SHORT DURATION	04/22/09	01/11/16	4,749.03	4,613.81	135.22 LT
1.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	10.49	12 06	N/C
4.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	41.95	47.45	N/C
4.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	41.96	47.61	N/C
386.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	4,049.15	4,593.95	(544.80) LT
78.0000	C&S REALTY SHARES N	04/22/09	01/11/16	5,364.06	2,364.18	2,999.88 LT
236.0000	METRO W TTL RTRN BD CL I CXL	01/05/15	01/11/16	2,515.76	2,579.48	63.72 LT
1.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	10.66	10.93	N/C
17.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	181.22	185.81	N/C
218.0000	METRO W TTL RTRN BD CL I	01/05/15	01/11/16	2,323.88	2,382.74	(58.86) LT
386.0000	PIMCO REAL RETURN FUND CXL	03/14/12	01/11/16	4,049.15	4,593.95	544.80 LT
3.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	31.47	35.70	N/C
383.0000	PIMCO REAL RETURN FUND	03/14/12	01/11/16	4,017.68	4,558.25	(540.57) LT
22.0000	VANGUARD FINANCIALS ETF	01/11/16	07/11/16	1,055.84	990.00	65.84 ST
101.0000	AM CENT SM CAP VAL INVSTR	12/19/11	07/11/16	794.86	752.45	42.41 LT
1.0000	AM CENT SM CAP VAL INVSTR	12/27/11	07/11/16	7.87	7.76	0.11 LT
16.0000	AM CENT SM CAP VAL INVSTR	12/27/11	07/11/16	125.92	125.28	0.64 LT
1.0000	AM CENT SM CAP VAL INVSTR	03/14/12	07/11/16	7.87	8.51	N/C
25.0000	AM CENT SM CAP VAL INVSTR	03/14/12	07/11/16	196.75	214.00	N/C
199.0000	AM CENT SM CAP VAL INVSTR	03/14/12	07/11/16	1,566.14	1,703.44	(137.30) LT

BEA ROSENBERG

EMA Fiscal Statement

Merrill Lynch
Bank of America Corporation

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
167.0000	BARON SMALL CAP FUND INS	03/14/12	07/11/16	5,026.70	4,331.98	694.72 LT
1.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	13.81	15 83	(2 02) LT
1.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	13.80	15.83	(2.03) LT
1.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	13.81	16.21	(2.40) LT
24.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	331.44	379.83	(48.39) LT
66.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	911.46	1,044.52	(133.06) LT
14.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	193.34	221.56	(28.22) LT
613.0000	DELAWARE EM MKT INST	05/10/13	07/11/16	8,465.54	9,345.77	(880.23) LT
427.0000	MFS VALUE FD CL I	03/14/12	07/11/16	15,132.88	10,662.48	4,470.40 LT
200.0000	METRO W TTL RTRN BD CL I	01/05/15	07/11/16	2,210.00	2,190.00	20.00 LT
296.0000	MAINSTAY LG CP GRTH CL I	12/29/10	07/11/16	2,859.36	2,151.92	707.44 LT
339.0000	CULLEN HIGH DIV EQTY I	03/14/12	07/11/16	6,013.86	4,539 21	1,474.65 LT
5.0000	CALVERT SHORT DURATION	04/22/09	07/11/16	81.40	77.67	3.73 LT
1.0000	PIMCO REAL RETURN FUND	03/14/12	07/11/16	11.18	11.90	N/C
3.0000	PIMCO REAL RETURN FUND	03/14/12	07/11/16	33.54	35.70	N/C
171 0000	PIMCO REAL RETURN FUND	03/14/12	07/11/16	1,911.78	2,035.15	(123.37) LT
6.0000	AMERICAN EURO PACIFIC	04/22/09	07/11/16	268.14	163.59	104.55 LT
101.0000	C&S REALTY SHARES N	04/22/09	07/11/16	7,706.30	3,061.32	4,644.98 LT
306.0000	BLACKROCK HIGH YLD INST	01/11/16	07/11/16	2,285.82	2,169.54	116.28 ST
171.0000	PIMCO REAL RETURN FUND CXL	03/14/12	07/11/16	1,911.78	2,035.15	123.37 LT
3.0000	PIMCO REAL RETURN FUND	03/14/12	07/11/16	33.54	35.70	N/C
168.0000	PIMCO REAL RETURN FUND	03/14/12	07/11/16	1,878.24	1,999.45	(121.21) LT