Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: HOPPER-DEAN FOUNDATION
C/O CTC MYCFO, LLC

A Employer identification number: 27-3116560

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 10195, DEPT. 656 — Room/suite:

B Telephone number (see instructions): (650) 210-5000

City or town, state or province, country, and ZIP or foreign postal code: PALO ALTO, CA 94303-0995

C If exemption application is pending check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 21,380,903.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts grants etc, received (attach schedule)	6,509,220			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	294,906.	294,906.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	70,149			
	b Gross sales price for all assets on line 6a 6,823,932.				
	7 Capital gain net income (from Part IV, line 2)		3,078,239.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 2	14,248.			
	12 **Total.** Add lines 1 through 11	6,888,523.	3,373,145.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	6,000.			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [4]	118,358.	13,358.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	109,038.	108,858.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	233,396.	122,216.		
	25 Contributions, gifts, grants paid	2,113,333.			2,113,333.
	26 **Total expenses and disbursements** Add lines 24 and 25	2,346,729.	122,216.	0.	2,113,333.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,541,794.			
	b **Net investment income** (if negative, enter -0-)		3,250,929.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	10,100.	8,671.	8,671.
	2 Savings and temporary cash investments	64,137.	594,711.	594,711.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 6	9,657,564.	13,355,976.	13,472,408.
	c Investments - corporate bonds (attach schedule) ATCH 7	5,575,139.	5,689,376.	6,016,870.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 8	1,000,000.	1,200,000.	1,288,243.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	16,306,940.	20,848,734.	21,380,903.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	16,306,940.	20,848,734.	
	30 **Total net assets or fund balances** (see instructions)	16,306,940.	20,848,734.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	16,306,940.	20,848,734.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,306,940.
2 Enter amount from Part I, line 27a	2	4,541,794.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	20,848,734.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,848,734.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	70,149.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	284,437.	14,958,689.	0.019015
2014	649,719.	12,283,363.	0.052894
2013	695,037.	9,654,069.	0.071994
2012	682,011.	3,481,593.	0.195891
2011	510,422.	2,614,638.	0.195217

2	**Total** of line 1, column (d)	2	0.535011
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.107002
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	17,960,593.
5	Multiply line 4 by line 3	5	1,921,819.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	32,509.
7	Add lines 5 and 6	7	1,954,328.
8	Enter qualifying distributions from Part XII, line 4	8	2,113,333.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	32,509.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	32,509.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	32,509.
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 79,997.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	79,997.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	47,488.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 47,488. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ C/O CTC MYCFO, LLC Telephone no ▶ 650-210-5000

Located at ▶ P.O. BOX 10195, DEPT. 656 PALO ALTO, CA ZIP+4 ▶ 94303

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d). N/A		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	16,926,790.
b	Average of monthly cash balances	1b	19,072.
c	Fair market value of all other assets (see instructions)	1c	1,288,243.
d	**Total** (add lines 1a, b, and c)	1d	18,234,105.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	18,234,105.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	273,512.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,960,593.
6	**Minimum investment return.** Enter 5% of line 5	6	898,030.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	898,030.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	32,509.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	32,509.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	865,521.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	865,521.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	865,521.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,113,333.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,113,333.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	32,509.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,080,824.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					865,521.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only					
b Total for prior years 20 14 ,20 13 ,20 12					
3 Excess distributions carryover, if any, to 2016					
a From 2011	62,851.				
b From 2012	619,427.				
c From 2013	253,254.				
d From 2014	87,969.				
e From 2015					
f Total of lines 3a through e		1,023,501.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 2,113,333.					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2016 distributable amount					865,521.
e Remaining amount distributed out of corpus		1,247,812.			
5 Excess distributions carryover applied to 2016 (*If an amount appears in column (d), the same amount must be shown in column (a)*)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,271,313.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount - see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		62,851.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		2,208,462.			
10 Analysis of line 9					
a Excess from 2012	619,427.				
b Excess from 2013	253,254.				
c Excess from 2014	87,969.				
d Excess from 2015					
e Excess from 2016	1,247,812.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JEFF DEAN & HEIDI HOPPER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 10				
Total . . . . ▶ **3a**				2,113,333.
b *Approved for future payment*				
ATCH 11				
Total . . . . ▶ **3b**				2,356,667.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	294,906.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	32,652.	
8 Gain or (loss) from sales of assets other than inventory			18	37,497.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 12				14,248.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				379,303.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 379,303.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 7/27/17 | Title Officer

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAULA Y LO		7/13/17		P00184636

Firm's name ▶ CTC MYCFO, LLC — Firm's EIN ▶ 77-0522698

Firm's address ▶ 2200 GENG ROAD, SUITE 100 PALO ALTO, CA 94303 — Phone no 650 210-5000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www.irs.gov/form990***

OMB No 1545-0047

2016

Name of the organization
HOPPER-DEAN FOUNDATION
C/O CTC MYCFO, LLC

Employer identification number
27-3116560

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization HOPPER-DEAN FOUNDATION
C/O CTC MYCFO, LLC

Employer identification number

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEFF DEAN & HEIDI HOPPER P.O. BOX 10195, DEPT. 656 PALO ALTO, CA 94303-0995	$ 6,509,220.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization HOPPER-DEAN FOUNDATION
C/O CTC MYCFO, LLC

Employer identification number

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	8,400 SHRS OF ALPHABET INC. (PREVIOUSLY GOOGLE STOCKS)	$ 6,509,220.	VAR

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization HOPPER-DEAN FOUNDATION
C/O CTC MYCFO, LLC

Employer identification number

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year (Enter this information once. See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					32,652.	
		SSB MY4101 LT - SEE STMT A PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
3,004,783.		3,008,690.					-3,907.	
		CHS X8692 ST - SEE STMT B PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
301,821.		306,318.					-3,066.	
		CHS X8692 LT - SEE STMT B PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
294,157.		309,435.					-15,129.	
		CHS X5279 ST - SEE STMT C PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,004,355.		1,896,939.					107,416.	
		CHS X5279 LT - SEE STMT C PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,186,164.		1,233,981.					-47,817.	
TOTAL GAIN(LOSS)							70,149.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CHARLES SCHWAB # 1319	18,147.	18,147.
CHARLES SCHWAB # 6776	59,347.	59,347.
CHARLES SCHWAB # 7085	2,393.	2,393.
CHARLES SCHWAB # 6021	105,772.	105,772.
CHARLES SCHWAB # 8692	5,183.	5,183.
CHARLES SCHWAB # 5279	104,040.	104,040.
SSB MY4100	24.	24.
TOTAL	294,906.	294,906.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
NON-TAXABLE DIVIDENDS		14,248.
	TOTALS	14,248.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	6,000.			
TOTALS	6,000.			

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAXES	105,000.	
FOREIGN TAXES	13,358.	13,358.
TOTALS	118,358.	13,358.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MANAGEMENT FEE	108,609.	108,609.
FILING FEE	180.	
BANK FEES	249.	249.
TOTALS	109,038.	108,858.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 1319	2,336,425.	2,336,425.	2,458,221.
CHARLES SCHWAB # 6776	3,986,442.	4,302,357.	4,337,807.
CHARLES SCHWAB # 7085	912,834.	912,860.	922,443.
CHARLES SCHWAB # 6021	1,646,337.	1,909,664.	1,963,789.
CHARLES SCHWAB # 8692	509,852.	137,767.	137,767.
CHARLES SCHWAB # 5279	265,674.	256,373.	256,373.
SS MY4101		3,500,530.	3,396,008.
TOTALS	9,657,564.	13,355,976.	13,472,408.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 5279	5,575,139.	5,689,376.	6,016,870.
TOTALS	5,575,139.	5,689,376.	6,016,870.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
KABOUTER INTERNATIONAL OFFSHORE FUND II LTD.	1,000,000.	1,200,000.	1,288,243.
TOTALS	1,000,000.	1,200,000.	1,288,243.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HEIDI HOPPER P.O. BOX 10195, DEPT. 656 PALO ALTO, CA 94303-0995	CEO/SECRETARY 2.00	0.	0.	0.
JEFF DEAN P.O. BOX 10195, DEPT. 656 PALO ALTO, CA 94303-0995	CFO 2.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON FOUNDATION BOX 359505 SEATTLE, WA 98195	NONE PC	CHARITABLE	433,333.
BUILD 2385 BAY ROAD REDWOOD CITY, CA 94063	NONE PC	CHARITABLE	50,000.
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE, SUITE 500 MINNEAPOLIS, MN 55455	NONE PC	CHARITABLE	125,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE, BUILDING 4-204 CAMBRIDGE, MA 02139-4307	NONE PC	CHARITABLE	500,000.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE PC	CHARITABLE	500,000.
THE PENINSULA COLLEGE FUND 330 TWIN DOLPHIN DRIVE, SUITE 131 REDWOOD CITY, CA 94065	NONE PC	CHARITABLE	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY 231 CORY HALL #1770 BERKELEY, CA 94720	NONE PC	CHARITABLE	500,000.
		TOTAL CONTRIBUTIONS PAID	2,113,333.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE, SUITE 500 MINNEAPOLIS, MN 55455	NONE PC	CHARITABLE	125,000
BUILD 2385 BAY ROAD REDWOOD CITY, CA 94063	NONE PC	CHARITABLE	50,000
UNIVERSITY OF CALIFORNIA, BERKELEY 231 CORY HALL #1770 BERKELEY, CA 94720	NONE PC	CHARITABLE	500,000
THE PENINSULA COLLEGE FUND 330 TWIN DOLPHIN DRIVE, SUITE 131 REDWOOD CITY, CA 94065	NONE PC	CHARITABLE	15,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE, BUILDING 4-204 CAMBRIDGE, MA 02139-4307	NONE PC	CHARITABLE	500,000
UNIVERSITY OF WASHINGTON FOUNDATION BOX 359505 SEATTLE, WA 98195	NONE PC	CHARITABLE	666,667.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE PC	CHARITABLE	500,000
		TOTAL CONTRIBUTIONS APPROVED	2,356,667

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
NON-DIVIDEND DISTRIBUTIONS			01	14,248.	
TOTALS				14,248.	

charles SCHWAB

Schwab One® Account of
HOPPER-DEAN FOUNDATION
PIMCO US TAXABLE

Account Number
8470-8692

TAX YEAR 2016
YEAR-END SUMMARY

Date Prepared: February 24, 2017

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.
The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	70.68	04/01/15	03/30/16 $	682.69 $	726.76 $	44.07 $	0.00
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	71.93	06/30/15	04/27/16 $	698.37 $	724.39	-- $	(26.02)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	75.31	07/31/15	04/27/16 $	731.21 $	757.70	-- $	(26.49)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	70.99	08/31/15	04/27/16 $	689.27 $	710.00	-- $	(20.73)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	8,252.57	10/30/15	04/27/16 $	80,124.45 $	82,213.30	-- $	(2,088.85)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	5,085.06	10/30/15	05/24/16 $	49,249.64 $	50,636.17 $	1,386.53 $	0.00
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	72.71	10/30/15	10/27/16 $	713.27 $	722.33	-- $	(9.06)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	6,720.03	10/30/15	10/27/16 $	65,913.95 $	66,760.33	-- $	(846.38)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	5,085.06	11/13/15	10/27/16 $	49,877.19 $	50,612.29	-- $	(735.10)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	109.85	01/29/16	10/27/16 $	1,077.49 $	1,060.43	-- $	17.06
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	5,173.08	06/07/16	10/27/16 $	50,740.53 $	50,077.81	-- $	662.72
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	37.32	06/30/16	10/27/16 $	366.12 $	362.14	-- $	3.98

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
PIMCO US TAXABLE

Account Number
8470-8692

TAX YEAR 2016 YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 24, 2017**

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	47.02	07/29/16	10/27/16 $	461.27 $	459.74	-- $	1.53
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	50.56	08/31/16	10/27/16 $	495.92 $	494.53	-- $	1.39
Security Subtotal					**$**	**301,821.37 $**	**306,317.92 $**	**1,430.60 $**	**(3,065.95)**
Total Short-Term *(Cost basis is reported to the IRS)*					**$**	**301,821.37 $**	**306,317.92 $**	**1,430.60 $**	**(3,065.95)**
Total Short-Term					**$**	**301,821.37 $**	**306,317.92 $**	**1,430.60 $**	**(3,065.95)**

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	32.95	02/24/15	03/30/16 $	318.24 $	338.31 $	20.07 $	0.00
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	12,830.88	02/24/15	03/30/16 $	123,921.53 $	130,808.17 $	80.04 $	(6,806.60)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	8.02	02/28/15	03/30/16 $	77.54 $	82.57 $	5.03 $	0.00
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	17.52	02/24/15	04/27/16 $	170.10 $	179.10	-- $	(9.00)

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 24, 2017

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	16,403.08	02/24/15	04/27/16 $	159,258.06 $	167,171.50	-- $	(7,913.44)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	11.67	02/25/15	04/27/16 $	113.39 $	118.98	-- $	(5.59)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	15.43	02/25/15	04/27/16 $	149.82 $	158.41	-- $	(8.59)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	71.64	02/25/15	04/27/16 $	695.63 $	730.35	-- $	(34.72)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	469.85	02/25/15	04/27/16 $	4,561.86 $	4,747.40	-- $	(185.54)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	8.02	02/28/15	04/27/16 $	77.95 $	82.22	-- $	(4.27)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	123.34	03/05/15	04/27/16 $	1,197.53 $	1,245.01	-- $	(47.48)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	84.56	03/26/15	04/27/16 $	821.07 $	858.92	-- $	(37.85)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	70.68	04/01/15	04/27/16 $	686.29 $	723.65	-- $	(37.36)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	77.47	03/26/15	05/24/16 $	750.36 $	794.39 $	44.03 $	0.00
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	28.92	04/02/15	10/27/16 $	283.67 $	296.05	-- $	(12.38)
BLACKROCK STRAT INCM PORT INSTL	OPPTY	09256H286	48.55	04/09/15	10/27/16 $	476.25 $	497.61	-- $	(21.36)

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
PIMCO US TAXABLE

Account Number
8470-8692

TAX YEAR 2016
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 24, 2017

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
BLACKROCK STRAT INCM OPPTY PORT INSTL	09256H286	60.91	09/30/15	10/27/16	$ 597.46	$ 602.64	--	$ (5.18)
Security Subtotal					**$ 294,156.75**	**$ 309,435.28**	**$ 149.17**	**$ (15,129.36)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 294,156.75**	**$ 309,435.28**	**$ 149.17**	**$ (15,129.36)**
Total Long-Term					**$ 294,156.75**	**$ 309,435.28**	**$ 149.17**	**$ (15,129.36)**

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
MGR: VULCAN VALUE

Account Number
3720-5279

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method. High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ABERDEEN ASSET MGM ORDF: ABDNF	3,911.0000	07/31/15	03/31/16	$15,678.52	$22,413.52	($6,735.00)
ABERDEEN ASSET MGM ORDF: ABDNF	50.0000	11/09/15	03/31/16	$200.44	$272.02	($71.58)
ABERDEEN ASSET MGM ORDF: ABDNF	146.0000	11/09/15	03/31/16	$585.29	$794 10	($208.81)
ABERDEEN ASSET MGM ORDF· ABDNF	200 0000	11/09/15	03/31/16	$801.77	$1,087.51	($285.74)
ABERDEEN ASSET MGM ORDF· ABDNF	200.0000	11/09/15	03/31/16	$801 77	$1,087.79	($286.02)
ABERDEEN ASSET MGM ORDF ABDNF	200.0000	11/09/15	03/31/16	$801.77	$1,088.09	($286 32)
ABERDEEN ASSET MGM ORDF: ABDNF	264 0000	11/09/15	03/31/16	$1,058.33	$1,435 90	($377 57)
ABERDEEN ASSET MGM ORDF· ABDNF	283.0000	11/09/15	03/31/16	$1,134.50	$1,539 22	($404 72)
ABERDEEN ASSET MGM ORDF ABDNF	407.0000	11/09/15	03/31/16	$1,631.59	$2,213.08	($581.49)
ABERDEEN ASSET MGM ORDF· ABDNF	526 0000	11/09/15	03/31/16	$2,108.64	$2,860 88	($752 24)
ABERDEEN ASSET MGM ORDF ABDNF	592.0000	11/09/15	03/31/16	$2,373 23	$3,219 88	($846.65)
ABERDEEN ASSET MGM ORDF. ABDNF	600.0000	11/09/15	03/31/16	$2,405 30	$3,264 09	($858 79)
ABERDEEN ASSET MGM ORDF ABDNF	600 0000	11/09/15	03/31/16	$2,405 30	$3,264 27	($858.97)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ABERDEEN ASSET MGM ORDF: ABDNF	620.0000	11/09/15	03/31/16	$2,485.47	$3,370.41	($884.94)
ABERDEEN ASSET MGM ORDF: ABDNF	650.0000	11/09/15	03/31/16	$2,605.74	$3,536.29	($930.55)
ABERDEEN ASSET MGM ORDF: ABDNF	750 0000	11/09/15	03/31/16	$3,006.62	$4,077 11	($1,070 49)
ABERDEEN ASSET MGM ORDF: ABDNF	777.0000	11/09/15	03/31/16	$3,114 86	$4,226 06	($1,111 20)
ABERDEEN ASSET MGM ORDF: ABDNF	950 0000	11/09/15	03/31/16	$3,808 38	$5,168 42	($1,360 04)
ABERDEEN ASSET MGM ORDF· ABDNF	1,031.0000	11/09/15	03/31/16	$4,133.07	$5,604.66	($1,471 59)
ABERDEEN ASSET MGM ORDF. ABDNF	1,040.0000	11/09/15	03/31/16	$4,169 18	$5,655.05	($1,485.87)
ABERDEEN ASSET MGM ORDF· ABDNF	1,040.0000	11/09/15	03/31/16	$4,169.18	$5,656.50	($1,487 32)
ABERDEEN ASSET MGM ORDF: ABDNF	1,092.0000	11/09/15	03/31/16	$4,377.64	$5,940 96	($1,563.32)
ABERDEEN ASSET MGM ORDF. ABDNF	1,505.0000	11/09/15	03/31/16	$6,033 28	$8,185 61	($2,152.33)
ABERDEEN ASSET MGM ORDF. ABDNF	1,527.0000	11/09/15	03/31/16	$6,121 48	$8,305.27	($2,183 79)
ABERDEEN ASSET MGM ORDF: ABDNF	2,700.0000	11/09/15	03/31/16	$10,823.83	$14,685.42	($3,861 59)
Security Subtotal				**$86,835.18**	**$118,952.11**	**($32,116.93)**

STATEMENT C

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
MGR: VULCAN VALUE

Account Number
3720-5279

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AETNA INC AET	509.0000	11/06/15	01/19/16	$53,992.24	$54,710 37	($718.13)
Security Subtotal				**$53,992.24**	**$54,710.37**	**($718.13)**
AXIS CAPITAL HLDG LT F: AXS	449 0000	11/06/15	01/29/16	$24,099.80	$24,763 25	($663.45)
Security Subtotal				**$24,099.80**	**$24,763.25**	**($663.45)**
BOEING CO BA	320.0000	11/06/15	04/26/16	$41,958 51	$46,795 58	($4,837.07)
BOEING CO· BA	229.0000	01/19/16	11/18/16	$33,520.56	$28,774 76	$4,745 80
Security Subtotal				**$75,479.07**	**$75,570.34**	**($91.27)**
CISCO SYSTEMS INC: CSCO	18.0000	07/08/15	06/01/16	$520 64	$487.13	$33 51
CISCO SYSTEMS INC CSCO	2,017.0000	11/06/15	06/01/16	$58,340.71	$56,907.64	$1,433 07
CISCO SYSTEMS INC· CSCO	671.0000	07/08/15	06/02/16	$19,450.95	$18,159 07	$1,291 88
CISCO SYSTEMS INC: CSCO	198 0000	01/19/16	08/22/16	$6,044 30	$4,695.72	$1,348 58
CISCO SYSTEMS INC· CSCO	423.0000	01/19/16	08/23/16	$13,026 52	$10,031.77	$2,994.75
CISCO SYSTEMS INC CSCO	3,836 0000	01/19/16	11/04/16	$115,970.82	$90,973 68	$24,997 14
Security Subtotal				**$213,353.94**	**$181,255.01**	**$32,098.93**

STATEMENT C

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DISCOVERY COMM INC. DISCK	27.0000	05/28/15	04/21/16	$750.77	$837 79	($87.02)
Security Subtotal				**$750.77**	**$837.79**	**($87.02)**
DOVER CORPORATION DOV	328.0000	11/06/15	06/14/16	$22,813.77	$20,802.75	$2,011 02
DOVER CORPORATION DOV	373.0000	11/06/15	06/15/16	$26,096.00	$23,656.78	$2,439 22
DOVER CORPORATION· DOV	341.0000	11/06/15	06/17/16	$23,957.58	$21,627 24	$2,330 34
Security Subtotal				**$72,867.35**	**$66,086.77**	**$6,780.58**
ENERSYS ENS	104.0000	11/06/15	08/18/16	$7,312.52	$6,626 05	$686 47
ENERSYS· ENS	212.0000	11/06/15	08/19/16	$14,881.93	$13,506 94	$1,374.99
Security Subtotal				**$22,194.45**	**$20,132.99**	**$2,061.46**
EVEREST RE GROUP LTD F· RE	112.0000	11/06/15	01/22/16	$19,284 70	$20,128.63	($843 93)
EVEREST RE GROUP LTD F. RE	42 0000	11/06/15	02/11/16	$7,683.97	$7,548.23	$135 74
EVEREST RE GROUP LTD F RE	176.0000	11/06/15	02/11/16	$32,199 47	$31,616.83	$582 64
Security Subtotal				**$59,168.14**	**$59,293.69**	**($125.55)**

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
HILTON WORLDWIDE HLD. HLT	957 0000	06/01/16	07/26/16	$22,844.84	$19,938.26	$2,906 58
Security Subtotal				**$22,844.84**	**$19,938.26**	**$2,906.58**
LA QUINTA HOLDINGS I LQ	925.0000	08/19/16	12/06/16	$12,185.85	$10,860 36	$1,325.49
LA QUINTA HOLDINGS I. LQ	2,948.0000	08/19/16	12/07/16	$39,536 86	$34,612 27	$4,924.59
LA QUINTA HOLDINGS I LQ	1,726.0000	08/19/16	12/08/16	$23,694 20	$20,264.85	$3,429.35
LA QUINTA HOLDINGS I. LQ	884.0000	08/19/16	12/09/16	$12,037.80	$10,378 99	$1,658 81
LA QUINTA HOLDINGS I. LQ	236 0000	08/19/16	12/12/16	$3,215.42	$2,770.86	$444.56
LA QUINTA HOLDINGS I. LQ	1,354.0000	08/31/16	12/12/16	$18,447.80	$15,691 63	$2,756 17
LA QUINTA HOLDINGS I. LQ	327.0000	02/17/16	12/16/16	$4,521.37	$3,416 23	$1,105.14
LA QUINTA HOLDINGS I· LQ	956 0000	08/31/16	12/16/16	$13,218.42	$11,079 18	$2,139 24
LA QUINTA HOLDINGS I LQ	1,664 0000	09/01/16	12/16/16	$23,007 80	$19,191 10	$3,816 70
LA QUINTA HOLDINGS I. LQ	2,135 0000	02/17/16	12/19/16	$29,794 63	$22,304.76	$7,489 87

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
MGR: VULCAN VALUE

Account Number
3720-5279

Report Perlod
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LA QUINTA HOLDINGS I LQ	2,462.0000	02/25/16	12/19/16	$34,358.02	$25,553.57	$8,804 45
Security Subtotal				**$214,018.17**	**$176,123.80**	**$37,894.37**
LINDSAY CORPORATION· LNN	58 0000	11/06/15	10/25/16	$4,547 77	$3,988.60	$559.17
LINDSAY CORPORATION. LNN	65 0000	11/06/15	10/26/16	$5,099 71	$4,467.45	$632 26
LINDSAY CORPORATION. LNN	142 0000	11/06/15	10/26/16	$11,140 91	$9,765 20	$1,375 71
LINDSAY CORPORATION: LNN	148.0000	11/06/15	10/26/16	$11,611 65	$10,173.52	$1,438.13
Security Subtotal				**$32,400.04**	**$28,394.77**	**$4,005.27**
MICROSOFT CORP: MSFT	708.0000	05/27/15	01/19/16	$36,216 32	$33,190.54	$3,025.78
MICROSOFT CORP. MSFT	142.0000	05/28/15	01/19/16	$7,263.73	$6,741 59	$522.14
Security Subtotal				**$43,480.05**	**$39,932.13**	**$3,547.92**
MSC INDL DIRECT INC CLASS A: MSM	278.0000	03/17/15	02/04/16	$18,344 56	$20,401 51	($2,056.95)
MSC INDL DIRECT INC CLASS A MSM	148 0000	03/18/15	02/04/16	$9,766.17	$10,889 96	($1,123.79)

STATEMENT C

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NU SKIN ENTERPRISES CLASS A NUS	292.0000	11/06/15	04/19/16	$11,766.68	$9,821.42	$1,945.26
NU SKIN ENTERPRISES CLASS A: NUS	977.0000	11/06/15	04/27/16	$38,067 03	$32,861 39	$5,205 64
NU SKIN ENTERPRISES CLASS A: NUS	872 0000	11/06/15	06/28/16	$37,702.70	$29,329.72	$8,372.98
NU SKIN ENTERPRISES CLASS A. NUS	82.0000	11/06/15	06/29/16	$3,602 82	$2,751 10	$851 72
NU SKIN ENTERPRISES CLASS A· NUS	100.0000	11/06/15	06/29/16	$4,393.74	$3,355 00	$1,038.74
NU SKIN ENTERPRISES CLASS A: NUS	100.0000	11/06/15	06/29/16	$4,393.74	$3,355.00	$1,038 74
NU SKIN ENTERPRISES CLASS A· NUS	100 0000	11/06/15	06/29/16	$4,393 74	$3,356.00	$1,037 74
NU SKIN ENTERPRISES CLASS A: NUS	100.0000	11/06/15	06/29/16	$4,393 74	$3,357 00	$1,036 74
NU SKIN ENTERPRISES CLASS A NUS	100.0000	11/06/15	06/29/16	$4,393 74	$3,357.00	$1,036.74

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Schwab One® Account of
HOPPER-DEAN FOUNDATION
MGR: VULCAN VALUE

Account Number
3720-5279

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NU SKIN ENTERPRISES CLASS A NUS	100.0000	11/06/15	06/29/16	$4,393 74	$3,357 00	$1,036.74
NU SKIN ENTERPRISES CLASS A. NUS	100.0000	11/06/15	06/29/16	$4,393.74	$3,358 00	$1,035.74
NU SKIN ENTERPRISES CLASS A NUS	100.0000	11/06/15	06/29/16	$4,393 74	$3,360.00	$1,033 74
NU SKIN ENTERPRISES CLASS A. NUS	100 0000	11/06/15	06/29/16	$4,393 74	$3,360.00	$1,033 74
NU SKIN ENTERPRISES CLASS A: NUS	100.0000	11/06/15	06/29/16	$4,393.74	$3,361 00	$1,032 74
NU SKIN ENTERPRISES CLASS A. NUS	100 0000	11/06/15	06/29/16	$4,393.74	$3,361.00	$1,032 74
NU SKIN ENTERPRISES CLASS A: NUS	100.0000	11/06/15	06/29/16	$4,393.74	$3,362.00	$1,031.74
NU SKIN ENTERPRISES CLASS A: NUS	200.0000	11/06/15	06/29/16	$8,787 47	$6,712.00	$2,075.47
NU SKIN ENTERPRISES CLASS A· NUS	1,114.0000	11/06/15	06/29/16	$48,946.22	$37,469.39	$11,476 83

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NU SKIN ENTERPRISES CLASS A· NUS	18 0000	11/06/15	06/30/16	$814.19	$603 90	$210.29
NU SKIN ENTERPRISES CLASS A: NUS	100.0000	11/06/15	06/30/16	$4,523.28	$3,355 00	$1,168.28
NU SKIN ENTERPRISES CLASS A. NUS	200.0000	11/06/15	06/30/16	$9,046.57	$6,710.00	$2,336 57
Security Subtotal				**$260,652.89**	**$216,800.81**	**$43,852.08**
PARKER-HANNIFIN CORP PH	54 0000	11/06/15	08/05/16	$6,513.88	$5,593.29	$920 59
Security Subtotal				**$6,513.88**	**$5,593.29**	**$920.59**
QUALCOMM INC· QCOM	381.0000	08/17/15	07/26/16	$23,306 88	$23,537.04	($230 16)
QUALCOMM INC QCOM	352.0000	11/06/15	08/18/16	$22,128.14	$18,461.73	$3,666 41
QUALCOMM INC. QCOM	20.0000	11/06/15	08/24/16	$1,249.96	$1,048.96	$201 00
QUALCOMM INC: QCOM	434.0000	11/06/15	08/24/16	$27,124.04	$22,762.48	$4,361.56
QUALCOMM INC· QCOM	500.0000	11/06/15	08/24/16	$31,248.90	$26,222.50	$5,026 40
Security Subtotal				**$105,057.92**	**$92,032.71**	**$13,025.21**

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SELECT COMFORT CORP: SCSS	153.0000	05/05/16	08/18/16	$4,134 83	$3,756.50	$378.33
SELECT COMFORT CORP: SCSS	162.0000	05/05/16	09/01/16	$4,250 33	$3,977 47	$272.86
SELECT COMFORT CORP: SCSS	267.0000	05/05/16	09/06/16	$7,023.98	$6,555.45	$468 53
SELECT COMFORT CORP. SCSS	212.0000	05/06/16	09/06/16	$5,577.10	$5,185.76	$391 34
SELECT COMFORT CORP SCSS	733 0000	05/09/16	09/06/16	$19,283.06	$17,990.14	$1,292.92
Security Subtotal				**$40,269.30**	**$37,465.32**	**$2,803.98**
STATE STREET CORP STT	88 0000	11/06/15	08/26/16	$6,086 06	$6,470 55	($384.49)
Security Subtotal				**$6,086.06**	**$6,470.55**	**($384.49)**
T ROWE PRICE GROUP. TROW	370 0000	05/27/15	02/09/16	$24,414 06	$30,011.48	($5,597.42)
T ROWE PRICE GROUP TROW	368.0000	05/28/15	02/09/16	$24,282.09	$29,815 43	($5,533.34)
T ROWE PRICE GROUP. TROW	788 0000	11/06/15	02/09/16	$51,995.36	$60,240.24	($8,244 88)
Security Subtotal				**$100,691.51**	**$120,067.15**	**($19,375.64)**
TUPPERWARE BRANDS CO: TUP	157.0000	03/17/15	02/17/16	$7,760.43	$10,527 75	($2,767 32)
TUPPERWARE BRANDS CO· TUP	42.0000	04/17/15	02/17/16	$2,076.04	$2,840.17	($764 13)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TUPPERWARE BRANDS CO: TUP	178 0000	04/22/15	02/17/16	$8,798.45	$11,925 67	($3,127.22)
TUPPERWARE BRANDS CO: TUP	98 0000	11/06/15	02/17/16	$4,844.08	$5,734 96	($890.88)
TUPPERWARE BRANDS CO. TUP	100 0000	11/06/15	02/17/16	$4,942 95	$5,852 00	($909 05)
TUPPERWARE BRANDS CO. TUP	100.0000	11/06/15	02/17/16	$4,942.95	$5,852.00	($909 05)
TUPPERWARE BRANDS CO TUP	2 0000	11/06/15	02/18/16	$99.02	$117.04	($18 02)
TUPPERWARE BRANDS CO: TUP	42.0000	11/06/15	02/18/16	$2,079 50	$2,456.58	($377.08)
TUPPERWARE BRANDS CO TUP	57.0000	11/06/15	02/18/16	$2,822 18	$3,335.64	($513 46)
TUPPERWARE BRANDS CO· TUP	526.0000	11/06/15	02/18/16	$26,043.26	$30,778.89	($4,735 63)
TUPPERWARE BRANDS CO· TUP	58.0000	11/06/15	02/19/16	$2,872.72	$3,392.42	($519 70)
TUPPERWARE BRANDS CO: TUP	100.0000	11/06/15	02/19/16	$4,952.96	$5,847 99	($895.03)
TUPPERWARE BRANDS CO: TUP	199.0000	11/06/15	02/19/16	$9,856.38	$11,635.51	($1,779 13)
TUPPERWARE BRANDS CO: TUP	347.0000	08/19/15	02/22/16	$17,741.60	$19,073 65	($1,332.05)
TUPPERWARE BRANDS CO· TUP	228.0000	08/21/15	02/22/16	$11,657.31	$11,925.95	($268 64)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TUPPERWARE BRANDS CO: TUP	201.0000	11/06/15	02/22/16	$10,276 84	$11,752.45	($1,475 61)
Security Subtotal				**$121,766.67**	**$143,048.67**	**($21,282.00)**
UNITED TECHNOLOGIES. UTX	566.0000	12/16/15	04/27/16	$59,909.22	$53,021 05	$6,888 17
UNITED TECHNOLOGIES: UTX	607.0000	12/16/15	11/02/16	$61,695 28	$56,861 81	$4,833.47
UNITED TECHNOLOGIES: UTX	219.0000	12/16/15	11/03/16	$22,117 53	$20,515.21	$1,602 32
Security Subtotal				**$143,722.03**	**$130,398.07**	**$13,323.96**
WOODWARD INC. WWD	301.0000	05/03/16	08/04/16	$17,607 44	$16,177 08	$1,430 36
WOODWARD INC. WWD	202.0000	05/09/16	08/04/16	$11,816 29	$10,919 77	$896.52
WOODWARD INC WWD	3.0000	05/03/16	08/18/16	$183 45	$161.23	$22 22
WOODWARD INC WWD	517.0000	05/04/16	08/18/16	$31,614 61	$27,587.43	$4,027 18
WOODWARD INC: WWD	1,132 0000	01/19/16	11/03/16	$64,851 94	$50,958 51	$13,893 43
WOODWARD INC. WWD	17 0000	05/04/16	11/03/16	$973.93	$907.13	$66 80

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Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WOODWARD INC: WWD	316.0000	05/05/16	11/03/16	$18,103.55	$16,859 85	$1,243.70
Security Subtotal				**$145,151.21**	**$123,571.00**	**$21,580.21**
Total Short-Term				**$2,004,354.80**	**$1,896,938.84**	**$107,415.96**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ABERDEEN ASSET MGM ORDF· ABDNF	3,876 0000	03/19/14	03/31/16	$15,538.21	$24,531 87	($8,993.66)
Security Subtotal				**$15,538.21**	**$24,531.87**	**($8,993.66)**
AETNA INC AET	585.0000	12/18/14	01/19/16	$62,053 96	$51,968.14	$10,085 82
Security Subtotal				**$62,053.96**	**$51,968.14**	**$10,085.82**
BANK OF NY MELLON CO BK	164.0000	11/06/15	11/16/16	$7,705 47	$7,209 28	$496.19
BANK OF NY MELLON CO BK	532 0000	11/06/15	11/17/16	$25,265 67	$23,386 19	$1,879.48
BANK OF NY MELLON CO· BK	206.0000	11/06/15	11/18/16	$9,762 67	$9,055.55	$707 12
Security Subtotal				**$42,733.81**	**$39,651.02**	**$3,082.79**
BOEING CO· BA	197.0000	02/25/15	04/26/16	$25,830 70	$30,066.84	($4,236.14)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOEING CO. BA	83 0000	11/06/15	11/16/16	$12,149.15	$12,137.61	$11.54
BOEING CO BA	104.0000	09/18/14	11/17/16	$15,116.73	$13,373.36	$1,743 37
BOEING CO BA	2.0000	11/06/15	11/17/16	$290.71	$292.47	($1 76)
BOEING CO: BA	93.0000	09/18/14	11/18/16	$13,613.16	$11,958.87	$1,654.29
Security Subtotal				**$67,000.45**	**$67,829.15**	**($828.70)**
CISCO SYSTEMS INC CSCO	1,454.0000	07/08/15	08/22/16	$44,385 89	$39,349 17	$5,036.72
Security Subtotal				**$44,385.89**	**$39,349.17**	**$5,036.72**
DISCOVERY COMM INC: DISCK	897.0000	11/04/14	04/21/16	$24,942.30	$29,549.58	($4,607 28)
DISCOVERY COMM INC DISCK	461 0000	11/06/14	04/21/16	$12,818 73	$15,207.65	($2,388.92)
Security Subtotal				**$37,761.03**	**$44,757.23**	**($6,996.20)**
DOVER CORPORATION· DOV	26.0000	02/25/15	05/17/16	$1,734.53	$1,906.43	($171.90)
DOVER CORPORATION: DOV	42.0000	02/25/15	05/17/16	$2,801.92	$3,080.04	($278.12)
DOVER CORPORATION· DOV	100.0000	02/25/15	05/17/16	$6,671 25	$7,333.41	($662 16)
DOVER CORPORATION. DOV	369.0000	02/25/15	05/17/16	$24,616.91	$27,063 62	($2,446 71)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DOVER CORPORATION: DOV	74 0000	02/25/15	06/14/16	$5,147.01	$5,425.98	($278 97)
DOVER CORPORATION: DOV	100.0000	02/25/15	06/14/16	$6,955.42	$7,332 41	($376 99)
DOVER CORPORATION. DOV	85.0000	12/14/12	06/17/16	$5,971.83	$4,564 82	$1,407.01
Security Subtotal				**$53,898.87**	**$56,706.71**	**($2,807.84)**
ENERSYS. ENS	239.0000	04/24/14	08/18/16	$16,804.74	$16,027 26	$777 48
ENERSYS: ENS	208 0000	11/06/15	11/07/16	$13,660 51	$13,252 10	$408 41
ENERSYS· ENS	225.0000	11/06/15	11/08/16	$14,873.93	$14,335 20	$538 73
ENERSYS. ENS	611.0000	12/22/14	11/09/16	$41,244 70	$36,935.69	$4,309 01
ENERSYS ENS	231.0000	11/06/15	11/09/16	$15,593.33	$14,717 47	$875.86
Security Subtotal				**$102,177.21**	**$95,267.72**	**$6,909.49**
FRANKLIN RESOURCES BEN	251 0000	10/29/14	11/07/16	$8,638.94	$13,667 63	($5,028 69)
FRANKLIN RESOURCES BEN	81 0000	02/25/15	11/07/16	$2,787 87	$4,384 77	($1,596 90)
FRANKLIN RESOURCES: BEN	99 0000	02/25/15	11/07/16	$3,407.39	$5,359.17	($1,951.78)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FRANKLIN RESOURCES BEN	100.0000	02/25/15	11/07/16	$3,441.81	$5,413.31	($1,971.50)
FRANKLIN RESOURCES. BEN	128.0000	02/24/14	11/08/16	$4,372 96	$6,793 52	($2,420.56)
FRANKLIN RESOURCES· BEN	19.0000	02/25/15	11/08/16	$649.11	$1,028.53	($379 42)
FRANKLIN RESOURCES. BEN	100.0000	02/25/15	11/08/16	$3,416.37	$5,412.90	($1,996 53)
FRANKLIN RESOURCES BEN	100 0000	02/25/15	11/08/16	$3,416 37	$5,413 30	($1,996.93)
FRANKLIN RESOURCES: BEN	220.0000	12/14/12	11/09/16	$8,123 91	$9,313 23	($1,189.32)
FRANKLIN RESOURCES· BEN	36.0000	04/17/13	11/09/16	$1,329 37	$1,774 05	($444 68)
FRANKLIN RESOURCES BEN	332.0000	02/24/14	11/09/16	$12,259.72	$17,620 68	($5,360 96)
FRANKLIN RESOURCES. BEN	300 0000	12/14/12	11/10/16	$11,599 00	$12,698 06	($1,099 06)
FRANKLIN RESOURCES BEN	530.0000	12/14/12	11/10/16	$20,491 57	$22,436 41	($1,944 84)
FRANKLIN RESOURCES· BEN	462.0000	11/06/15	11/10/16	$17,862 46	$18,995 68	($1,133 22)
FRANKLIN RESOURCES. BEN	661.0000	11/06/15	11/11/16	$24,603 47	$27,177 81	($2,574 34)

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Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FRANKLIN RESOURCES· BEN	447.0000	11/06/15	11/14/16	$16,808.24	$18,378.94	($1,570.70)
Security Subtotal				**$143,208.56**	**$175,867.99**	**($32,659.43)**
LINDSAY CORPORATION: LNN	86 0000	12/11/13	10/20/16	$6,629.43	$6,610 08	$19.35
LINDSAY CORPORATION: LNN	50.0000	09/22/14	10/20/16	$3,854.32	$3,684.21	$170.11
LINDSAY CORPORATION. LNN	324 0000	09/22/14	10/24/16	$25,418 93	$23,873.67	$1,545 26
LINDSAY CORPORATION: LNN	99.0000	09/22/14	10/25/16	$7,762 57	$7,294 73	$467 84
LINDSAY CORPORATION LNN	215.0000	11/06/15	11/09/16	$16,851.96	$14,776 95	$2,075.01
LINDSAY CORPORATION LNN	520.0000	11/06/15	11/10/16	$40,327.49	$35,739.60	$4,587.89
Security Subtotal				**$100,844.70**	**$91,979.24**	**$8,865.46**
NU SKIN ENTERPRISES CLASS A: NUS	273.0000	08/06/14	03/16/16	$10,037 53	$12,429.79	($2,392 26)
NU SKIN ENTERPRISES CLASS A: NUS	318.0000	08/29/14	03/17/16	$11,798.02	$14,232.57	($2,434.55)
NU SKIN ENTERPRISES CLASS A· NUS	150 0000	11/06/14	03/17/16	$5,565.11	$6,420 06	($854.95)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NU SKIN ENTERPRISES CLASS A: NUS	336.0000	11/05/14	03/18/16	$12,352.05	$14,286.05	($1,934 00)
NU SKIN ENTERPRISES CLASS A: NUS	163.0000	11/06/14	03/18/16	$5,992 22	$6,976.46	($984.24)
NU SKIN ENTERPRISES CLASS A: NUS	359 0000	11/06/14	03/18/16	$13,197.58	$14,427.83	($1,230 25)
NU SKIN ENTERPRISES CLASS A: NUS	254.0000	12/16/14	03/18/16	$9,337 56	$9,949.41	($611 85)
NU SKIN ENTERPRISES CLASS A· NUS	78.0000	12/16/14	03/21/16	$2,924 63	$3,055 33	($130 70)
Security Subtotal				**$71,204.70**	**$81,777.50**	**($10,572.80)**
PARKER-HANNIFIN CORP PH	307.0000	01/09/15	02/11/16	$29,421.37	$38,703.56	($9,282 19)
PARKER-HANNIFIN CORP PH	40.0000	01/12/15	02/11/16	$3,833.40	$5,040.37	($1,206 97)
PARKER-HANNIFIN CORP: PH	243.0000	01/12/15	04/13/16	$27,293 58	$30,620.24	($3,326 66)
PARKER-HANNIFIN CORP PH	11.0000	02/25/15	04/13/16	$1,235 51	$1,358 88	($123 37)
PARKER-HANNIFIN CORP· PH	313.0000	01/27/15	04/14/16	$35,116 13	$37,668 84	($2,552 71)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PARKER-HANNIFIN CORP: PH	250.0000	02/25/15	04/14/16	$28,048 03	$30,883.71	($2,835.68)
PARKER-HANNIFIN CORP: PH	327.0000	09/08/14	08/03/16	$37,096.84	$38,478 18	($1,381 34)
PARKER-HANNIFIN CORP: PH	46.0000	01/27/15	08/03/16	$5,218 52	$5,536.00	($317.48)
PARKER-HANNIFIN CORP PH	73 0000	09/08/14	08/04/16	$8,679.05	$8,589.93	$89.12
PARKER-HANNIFIN CORP: PH	10.0000	09/08/14	08/05/16	$1,206 27	$1,176.70	$29.57
PARKER-HANNIFIN CORP. PH	237.0000	09/18/14	08/05/16	$28,588.70	$27,781.14	$807 56
PARKER-HANNIFIN CORP· PH	647.0000	11/06/15	11/10/16	$88,424.80	$67,015 87	$21,408 93
PARKER-HANNIFIN CORP· PH	176.0000	11/06/15	11/23/16	$24,329.18	$18,229.97	$6,099 21
Security Subtotal				**$318,491.38**	**$311,083.39**	**$7,407.99**
QUALCOMM INC. QCOM	432 0000	03/16/15	07/26/16	$26,426.70	$30,200.59	($3,773 89)
QUALCOMM INC. QCOM	110.0000	08/17/15	08/18/16	$6,915.05	$6,795 47	$119 58
Security Subtotal				**$33,341.75**	**$36,996.06**	**($3,654.31)**
STATE STREET CORP STT	128 0000	05/28/15	08/18/16	$8,823.43	$10,023.35	($1,199 92)

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Realized Gain or (Loss) (continued)

Accounting Method High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STATE STREET CORP STT	3 0000	05/29/15	08/18/16	$206.80	$234.54	($27 74)
STATE STREET CORP: STT	310.0000	05/29/15	08/23/16	$21,484.30	$24,236 20	($2,751 90)
STATE STREET CORP STT	125.0000	05/29/15	08/24/16	$8,623 63	$9,772 67	($1,149 04)
STATE STREET CORP. STT	164.0000	05/29/15	08/25/16	$11,302 65	$12,821 73	($1,519.08)
STATE STREET CORP· STT	192.0000	05/27/15	08/26/16	$13,278 67	$15,002 75	($1,724.08)
STATE STREET CORP: STT	29.0000	05/29/15	08/26/16	$2,005 63	$2,267 26	($261.63)
Security Subtotal				**$65,725.11**	**$74,358.50**	**($8,633.39)**
TUPPERWARE BRANDS CO. TUP	101.0000	08/01/14	02/16/16	$4,897.94	$7,408 89	($2,510 95)
TUPPERWARE BRANDS CO: TUP	460.0000	08/04/14	02/16/16	$22,307.47	$33,572 67	($11,265.20)

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Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TUPPERWARE BRANDS CO: TUP	12.0000	08/04/14	02/17/16	$593 15	$875.81	($282.66)
Security Subtotal				**$27,798.56**	**$41,857.37**	**($14,058.81)**
Total Long-Term				**$1,186,164.19**	**$1,233,981.06**	**($47,816.87)**
Total Realized Gain or (Loss)				**$3,190,518.99**	**$3,130,919.90**	**$59,599.09**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options