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For calendar year 2016 or tax year beginning

, and ending

Name of foundation TAUCK FAMILY FOUNDATION, INC.		A Employer identification number 27-0729341
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number 203 899-6824
City or town, state or province, country, and ZIP or foreign postal code WILTON, CT 06897		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 21,711,545. (Part I, column (d) must be on cash basis)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		56,559.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		165,833.	165,833.		STATEMENT 1
4 Dividends and interest from securities		198,002.	198,002.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		319,737.			
b Gross sales price for all assets on line 6a	4,346,454.				
7 Capital gain net income (from Part IV, line 2)			319,737.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3.	3.		STATEMENT 3
12 Total. Add lines 1 through 11		740,134.	683,575.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages		202,607.	0.		202,607.
15 Pension plans, employee benefits		20,776.	0.		20,776.
16a Legal fees STMT 4		1,150.	0.		1,150.
b Accounting fees STMT 5		8,000.	0.		8,000.
c Other professional fees STMT 6		134,368.	104,542.		29,826.
17 Interest					
18 Taxes STMT 7		21,837.	2,705.		19,132.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		11,005.	0.		11,005.
22 Printing and publications		3,092.	0.		3,092.
23 Other expenses STMT 8		46,076.	0.		46,076.
24 Total operating and administrative expenses. Add lines 13 through 23		448,911.	107,247.		341,664.
25 Contributions, gifts, grants paid		1,274,337.			1,274,337.
26 Total expenses and disbursements. Add lines 24 and 25		1,723,248.	107,247.		1,616,001.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<983,114.>			
b Net investment income (if negative, enter 0)			576,328.		
c Adjusted net income (if negative, enter 0)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			16,494.	36,478.	36,478.
	2 Savings and temporary cash investments			3,138,160.	1,855,699.	1,855,699.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 9			19,591,643.	19,871,006.	19,819,368.
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other					
	14 Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			22,746,297.	21,763,183.	21,711,545.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			22,746,297.	21,763,183.	
	30 Total net assets or fund balances			22,746,297.	21,763,183.	
	31 Total liabilities and net assets/fund balances			22,746,297.	21,763,183.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,746,297.
2 Enter amount from Part I, line 27a	2	<983,114.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	21,763,183.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,763,183.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,346,454.		4,026,717.	319,737.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			319,737.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	319,737.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,066,487.	21,679,568.	.049193
2014	1,066,343.	21,283,124.	.050103
2013	803,149.	20,217,823.	.039725
2012	885,841.	18,448,592.	.048017
2011	913,248.	17,903,756.	.051009

2 Total of line 1, column (d)	2 .238047
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .047609
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4 21,438,947.
5 Multiply line 4 by line 3	5 1,020,687.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 5,763.
7 Add lines 5 and 6	7 1,026,450.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8 1,616,001.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	5,763.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	5,763.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	5,763.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	16,533.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,533.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,770.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 10,770. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

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14 The books are in care of **THE ORGANIZATION** Telephone no. **203 899-6824**
 Located at **10 WESTPORT ROAD, P.O. BOX 650, WILTON, CT** ZIP+4 **06897**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** N/A

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MIRELLISE VAZQUEZ - 10 WESTPORT ROAD, P.O. BOX 650, WILTON, CT 06897	EXECUTIVE DIRECTOR 40.00	123,896.	9,583.	0.

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,091,848.
b	Average of monthly cash balances	1b	2,673,580.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	21,765,428.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,765,428.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	326,481.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,438,947.
6	Minimum investment return. Enter 5% of line 5	6	1,071,947.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,071,947.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	5,763.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,763.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,066,184.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,066,184.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,066,184.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,616,001.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,616,001.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,763.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,610,238.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,066,184.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			112,021.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 1,616,001.				
a Applied to 2015, but not more than line 2a			112,021.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,066,184.
e Remaining amount distributed out of corpus	437,796.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	437,796.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	437,796.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	437,796.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT 13		501(C)(3)	CHARITABLE	1,274,337.
Total			3a	1,274,337.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

[illegible]

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

ELIZABETH SOLECKI

ELIZABETH SOLECKI

05/11/17

P00235171

Firm's name ► BLUM, SHAPIRO & COMPANY, P.C., CPA'S

Firm's EIN ▶ 06-1009205

Firm's address ▶ 29 S. MAIN STREET, P.O. BOX 272000
WEST HARTFORD, CT 06127-2000

Phone no. 860 561-4000

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PERSHING ADVISORS #53C-023529 SHORT-TERM SALES (S	P	VARIOUS	VARIOUS
b	PERSHING ADVISORS #53C-023529 LONG-TERM SALES (SE	P	VARIOUS	VARIOUS
c	PERSHING ADVISORS #53C-024840 SHORT-TERM SALES (S	P	VARIOUS	VARIOUS
d	PERSHING ADVISORS #53C-024840 LONG-TERM SALES (SE	P	VARIOUS	VARIOUS
e	PERSHING ADVISORS #53C-024840 LONG-TERM NONCOVERE	P	VARIOUS	VARIOUS
f	PERSHING ADVISORS #53C-023511 SHORT-TERM SALES (S	P	VARIOUS	VARIOUS
g	PERSHING ADVISORS #53C-023511 LONG-TERM SALES (SE	P	VARIOUS	VARIOUS
h	PERSHING ADVISORS #53C-023511 LONG-TERM NONCOVERE	P	VARIOUS	VARIOUS
i	PERSHING ADVISORS #53C-025094 SHORT-TERM SALES (S	P	VARIOUS	VARIOUS
j	MORGAN STANLEY #723-100997-888 LONG TERM SALES (S	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 132,542.		167,683.	<35,141.>
b 228,746.		314,035.	<85,289.>
c 297,863.		285,051.	12,812.
d 1,882,571.		1,731,434.	151,137.
e 279,801.		161,571.	118,230.
f 130,873.		128,055.	2,818.
g 791,882.		787,351.	4,531.
h 185,473.		25,713.	159,760.
i 414,578.		425,824.	<11,246.>
j 2,086.			2,086.
k 39.			39.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<35,141.>
b			<85,289.>
c			12,812.
d			151,137.
e			118,230.
f			2,818.
g			4,531.
h			159,760.
i			<11,246.>
j			2,086.
k			39.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	319,737.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

TAUCK FAMILY FOUNDATION, INC.

27-0729341

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

TAUCK FAMILY FOUNDATION, INC.**27-0729341****Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>ARTHUR C. TAUCK, JR.</u> <u>10 WESTPORT ROAD, P.O. BOX 650</u> <u>WILTON, CT 06897</u>	\$ <u>56,559.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
<u>2</u>		\$	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

TAUCK FAMILY FOUNDATION, INC.**27-0729341****Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	355 SHARES OF UNITED HEALTHCARE STOCK VALUED AT \$159.32 EACH	\$ 56,559.	12/08/16
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

TAUCK FAMILY FOUNDATION, INC.**27-0729341**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	111.	111.	
PERSHING ADVISORS	243,033.	243,033.	
PERSHING: LESS ACCRUED INTEREST	<7,546.>	<7,546.>	
PERSHING: LESS BOND AMORTIZATION	<69,765.>	<69,765.>	
TOTAL TO PART I, LINE 3	165,833.	165,833.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PERSHING ADVISORS	198,041.	39.	198,002.	198,002.	
TO PART I, LINE 4	198,041.	39.	198,002.	198,002.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	3.	3.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3.	3.	

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	1,150.	0.		1,150.	
TO FM 990-PF, PG 1, LN 16A	1,150.	0.		1,150.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	8,000.	0.		8,000.	
TO FORM 990-PF, PG 1, LN 16B	8,000.	0.		8,000.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	60.	60.		0.	
INVESTMENT MANAGEMENT FEES	104,482.	104,482.		0.	
CONSULTING FEES	29,826.	0.		29,826.	
TO FORM 990-PF, PG 1, LN 16C	134,368.	104,542.		29,826.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES ON DIVIDENDS	2,705.	2,705.		0.	
PAYROLL TAXES	19,128.	0.		19,128.	
MISC. TAXES	4.	0.		4.	
TO FORM 990-PF, PG 1, LN 18	21,837.	2,705.		19,132.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ASSOCIATION EXPENSE	1,350.	0.		1,350.	
INSURANCE	6,794.	0.		6,794.	
OFFICE EXPENSE	1,406.	0.		1,406.	
WEBSITE, INTERNET, FAX	1,958.	0.		1,958.	
OTHER EXPENSES & FEES	3,003.	0.		3,003.	
PAYROLL SERVICE	5,149.	0.		5,149.	
KNOWLEDGE SHARING RESEARCH	18,916.	0.		18,916.	
CAPACITY BUILDING SUPPORT	7,500.	0.		7,500.	
TO FORM 990-PF, PG 1, LN 23	46,076.	0.		46,076.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SECURITIES	19,871,006.		19,819,368.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	19,871,006.		19,819,368.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ARTHUR C. TAUCK, JR 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	CHAIRMAN 1.00	0.	0.	0.
ELIZABETH T. WALTERS 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	PRESIDENT 10.00	0.	0.	0.
CHRISTOPHER DUERRMEIER 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	DIRECTOR 1.00	0.	0.	0.
ARTHUR C. TAUCK, III 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	SECRETARY 1.00	0.	0.	0.
PETER F. TAUCK 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	TREASURER 1.00	0.	0.	0.
ROBLEY TAUCK 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	ASSISTANT SECRETARY 1.00	0.	0.	0.
COLLEEN R. LETH 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	DIRECTOR 1.00	0.	0.	0.
KIRSTEN T. MAHAR 10 WESTPORT ROAD, P.O. BOX 650 WILTON, CT 06897	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	11
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ACTIVITY ONE

CAPACITY BUILDING SUPPORT - INVESTING IN BUILDING THE ORGANIZATIONAL CAPACITY OF GRANTEEES TO DRIVE TOWARDS BETTER OUTCOMES FOR THE CHILDREN THEY SERVE IN THE CITY OF BRIDGEPORT.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

7,500.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	12
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ACTIVITY TWO

KNOWLEDGE BUILDING AND SHARING - BUILDING AND SHARING KNOWLEDGE ABOUT HOW ESSENTIAL SOCIAL AND EMOTIONAL SKILLS - SELF-CONTROL, PERSISTENCE, MASTERY ORIENTATION, SOCIAL COMPETENCE, AND ACADEMIC SELF-EFFICACY - ARE BEST CULTIVATED AND MEASURED.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

18,916.

STATEMENT 13

EIN 27-0729341

2016 Grants Worksheet
Tauck Family Foundation

Date	Transaction Type	Check Number	Name	Address	Internal Account Classification	Amount
01/06/2016	Check	2960	Housatonic Community College / Malayya Parham	900 Lafayette Blvd, Bridgeport, CT 06604	8600 Grants Sparks Scholarships	\$1,000 00
01/11/2016	Check	2964	GrantMakers for Effective Organizations	1725 DeSales St NW Suite 404, Washington, DC 20036	8900 Grants Other Grants	\$970 00
02/09/2016	Check	2974	Connecticut Council for Philanthropy	221 Main St # 3-3, Hartford, CT 06106	8900 Grants Other Grants	\$2,900 00
02/10/2016	Check	2978	New Beginnings Family Academy	184 Garden St, Bridgeport, CT 06605	7300 20 Social Investing Capacity Building	\$100,000 00
02/25/2016	Check	2979	Bridgeport Public Education Fund	446 University Ave, Bridgeport, CT 06604	Support Program Effectiveness & Evaluation	
					7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$300 00
03/08/2016	Check	2985	Bridgeport Child Advocacy Coalition	2470 Fairfield Ave, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$1,500 00
03/08/2016	Check	2986	Fairfield County's Community Foundation	383 Main Ave, Norwalk, CT 06851	8900 Other Grants	\$205 00
03/08/2016	Check	2988	Grantmakers for Education	851 SW 6th Avenue, Suite 350, Portland, OR 97204	8900 Other Grants	\$250 00
03/09/2016	Check	2990	Yale University	389 Whitney Ave, New Haven, CT 06511	7300 20 Social Investing Capacity Building	\$4,830 00
03/15/2016	Check	3002	Adam J Lewis Preschool	246 Lenox Ave, Bridgeport, CT 06605	Support Program Effectiveness & Evaluation	\$8,000 00
03/15/2016	Check	2993	Center for Contemporary Printmaking	Norwalk Public Schools, 299 West Ave, Norwalk, CT 06850	8700 Grants Family Giving Program	\$1,000 00
03/15/2016	Check	2994	Connecticut Food Bank	74 Linwood Ave, Fairfield, CT 06824	8700 Grants Family Giving Program	\$5,000 00
03/15/2016	Check	3003	Elon University	100 Campus Drive, Elon, NC 27244	8700 Grants Family Giving Program	\$5,000 00
03/15/2016	Check	2996	KEYS	PO Box 532, New Canaan, Connecticut 06840	8700 Grants Family Giving Program	\$1,000 00
03/15/2016	Check	2997	Lake Placid Land Conservancy	6176 Sentinel Rd, Lake Placid, NY 12946	8700 Grants Family Giving Program	\$1,000 00
03/15/2016	Check	3001	Paul Smith's College	7777 NY-30, Paul Smiths, NY 12970	8700 Grants Family Giving Program	\$1,000 00
03/21/2016	Check	2999	Bainbridge Island Land Trust	147 Finch Pl SW #3, Bainbridge Island, WA 98110	8700 Grants Family Giving Program	\$25,000 00
03/21/2016	Check	3000	Bainbridge Schools Foundation	8489 Madison Ave NE, Bainbridge Island, WA 98110	8700 Grants Family Giving Program	\$10,000 00
03/23/2016	Check	3004	Ithaca League of Women Rollers	PO Box 301, Ithaca, NY 14851	8700 Grants Family Giving Program	\$22,810 00
04/05/2016	Check	3007	Greens Farms Academy	35 Beachside Ave, Westport, CT 06880	8700 Grants Family Giving Program	\$92,000 00
05/02/2016	Check	3009	Franklin & Marshall College	637 College Ave, Lancaster, PA 17603	8400 Grants Discretionary Matching Gifts	\$250 00
05/02/2016	Check	3013	Neighbors Link Stamford	75 Selleck Street, Stamford CT 06902	8400 Grants Discretionary Matching Gifts	\$1,500 00
05/03/2016	Check	3015	Bridgeport Public Schools	45 Lyon Terrace, Bridgeport, CT 06604	7300 20 Social Investing Capacity Building	\$7,350 00
05/05/2016	Check	3017	Salisbury School	251 Canaan Rd, Salisbury, CT 06068	Support Program Effectiveness & Evaluation	\$2,500 00
05/10/2016	Check	3030	Action for Bridgeport Community Development	500 Palsade Ave, Bridgeport, CT 06610	8700 Grants Family Giving Program	\$250 00
05/10/2016	Check	3025	All Our Kin	1550 State St, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/10/2016	Check	3028	Great Oaks Charter School – Bridgeport	510 Barnum Ave, Bridgeport, CT 06608	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/10/2016	Check	3029	Regional Youth Adult Social Action Partnership	2470 Fairfield Avenue, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/12/2016	Check	3027	Bridgeport Public Education Fund	446 University Ave, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/17/2016	Check	3037	Adam J Lewis Preschool	246 Lenox Ave, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00

• 05/17/2016	Check	3033	Bridge Academy	160 Pulaski St, Bridgeport, CT 06608	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
• 05/17/2016	Check	3031	Horizons at Sacred Heart University	5151 Park Avenue, Fairfield, CT 06825	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/17/2016	Check	3032	Lighthouse After School Program	45 Lyon Terrace, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/23/2016	Check	3041	First Tee of Metropolitan NY	3545 Jerome Avenue, Bronx, NY 10467	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/23/2016	Check	3040	St Andrew Academy	395 Anton St, Bridgeport, CT 06606	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/26/2016	Check	3045	Achievement First Bridgeport Academy Middle School	529 Noble Ave, Bridgeport, CT 06608	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/26/2016	Check	3044	Bridgeport Child Advocacy Coalition	2470 Fairfield Ave, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
05/26/2016	Check	3050	Capital Preparatory Harbor School	777 Main Street, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
• 05/26/2016	Check	3049	Child Trends, Inc	7315 Wisconsin Avenue Suite 1200, Bethesda, MD 20814	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$1,418 56
• 05/26/2016	Check	3047	Junior Achievement of Western CT	835 Main St, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
06/08/2016	Check	3051	Child First	35 Nutmeg Dr #385, Trumbull, CT 06611	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
06/08/2016	Check	3052	Ithaca Youth Bureau	1 James L Gibbs Dr, Ithaca, NY 14850	8700 Grants Family Giving Program	\$28,000 00
06/09/2016	Check	3055	Boston University / Lethland Kilburn	881 Commonwealth Avenue, Boston, MA 02215	8600 Grants Sparks Scholarships	\$1,000 00
06/09/2016	Check	3054	University of the Arts / Nastasia A. Haughton	320 S Broad St, Philadelphia, PA 19102	8600 Grants Sparks Scholarships	\$1,000 00
06/09/2016	Check	3056	Western Connecticut State University / Danny Mien	181 White St, Danbury, CT 06810	8600 Grants Sparks Scholarships	\$1,000 00
06/14/2016	Check	3058	Wheeling Jesuit University / Jessica Villegas	316 Washington Ave, Wheeling, WV 26003	8600 Grants Sparks Scholarships	\$1,000 00
06/14/2016	Check	3059	Wilton Education Foundation	PO Box 7090, Wilton, CT 06897	8400 Grants Discretionary Matching Gifts	\$100 00
06/30/2016	Check	3061	Child Trends, Inc	7315 Wisconsin Avenue Suite 1200, Bethesda, MD 20814	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$2,231 40
06/30/2016	Check	3064	FaithActs for Education	285 Fairfield Avenue, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
06/30/2016	Check	3065	Luis Munoz Marin School	479 Helen St, Bridgeport, CT 06610	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$500 00
06/30/2016	Check	3066	Norwalk Community College / Isis Boyd	188 Richards Ave, Norwalk, CT 06854	8600 Grants Sparks Scholarships	\$1,000 00
• 07/21/2016	Check	3062	Bainbridge Island Museum of Art	550 Winslow Way E, Bainbridge Island, WA 98110	8700 Grants Family Giving Program	\$5,500 00
07/27/2016	Check	3067	Fairfield University / Valerie Bien-Aime	1073 N Benson Rd, Fairfield, CT 06824	8600 Grants Sparks Scholarships	\$1,000 00

•	07/27/2016	Check	3068	New Reach	153 East St, New Haven, CT 06511	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
•	07/27/2016	Check	3069	Southern Connecticut State University / Kristen Gayle	501 Crescent St, New Haven, CT 06515	8600 Grants Sparks Scholarships	\$1,000 00
	08/04/2016	Check	3070	Metta Forest Monastery	13560 Muutama Ln, Valley Center, CA 92082	8900 Grants Other Grants	\$250 00
	08/04/2016	Check	3071	Temple University / Renee Cunningham	1801 N Broad St, Philadelphia, PA 19122	8600 Grants Sparks Scholarships	\$1,000 00
	08/23/2016	Check	3081	New Beginnings Family Academy	184 Garden St, Bridgeport, CT 06605	7100 Social Investing General Operating Support	\$100,000 00
	08/23/2016	Check	3080	Norwalk Hospital Foundation	34 Maple Street, Norwalk, CT 06856	8500 Grants Founder's Grant	\$20,000 00
	08/24/2016	Check	3083	Child Trends, Inc	7315 Wisconsin Avenue Suite 1200, Bethesda, MD 20814	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$683 73
	08/24/2016	Check	3085	President and Fellows of Harvard College	Harvard University, Cambridge, MA 02138	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$55,315 00
	08/24/2016	Check	3082	Yale University	389 Whitney Ave, New Haven, CT 06511	7100 Social Investing General Operating Support	\$100,000 00
	08/30/2016	Check	3088	Bridgeport YMCA	850 Park Ave, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
	08/30/2016	Check	3089 (split)	Yale Center for Emotional Intelligence	340 Edwards St, New Haven, CT 06511	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$10,840 00
	08/30/2016	Check	3089 (split)	Yale Center for Emotional Intelligence	340 Edwards St, New Haven, CT 06511	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$4,550 00
•	08/31/2016	Check	3086	Achievement First Bridgeport Academy Elementary	655 Stillman St, Bridgeport, CT 06608	7100 Social Investing General Operating Support	\$100,000 00
	08/31/2016	Check	3087	Achievement First Bridgeport Academy Elementary	655 Stillman St, Bridgeport, CT 06608	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$6,550 00
•	09/01/2016	Check	3090	Council of Churches of Greater Bridgeport	1718 Capitol Ave, Bridgeport, CT 06604	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$250 00
	09/12/2016	Check	3096	National Center for Family Philanthropy	1667 K St NW #550, Washington, DC 20006	8900 Grants Other Grants	\$900 00
	09/12/2016	Check	3092	Quinnipiac University / Talia Williams	275 Mt Carmel Ave, Hamden, CT 06518	8600 Grants Sparks Scholarships	\$1,000 00
	09/12/2016	Check	3091	Western Connecticut State University / Emma Chapman	181 White St, Danbury, CT 06810	8600 Grants Sparks Scholarships	\$1,000 00
	09/14/2016	Check	3097	Bridgeport Child Advocacy Coalition	2470 Fairfield Ave, Bridgeport, CT 06605	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$10,500 00
	09/14/2016	Check	3098	Bridgeport Public Schools	45 Lyon Terrace, Bridgeport, CT 06604	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$5,000 00
	09/15/2016	Check	3101	Western Connecticut State University / Brandon Wolfe	181 White St, Danbury, CT 06810	8600 Grants Sparks Scholarships	\$1,000 00
	09/21/2016	Check	3102	Innovative Charitable Initiatives, Inc	Ithaca Community Radio, 103 West Seneca Street, Ste 305, Ithaca, NY 14580	8700 Grants Family Giving Program	\$5,190 00
	09/29/2016	Check	3103	Gateway Community College / Steffan Harding	20 Church St, New Haven, CT 06510	8600 Grants Sparks Scholarships	\$1,000 00
	10/03/2016	Check	3105	Yale University	389 Whitney Ave, New Haven, CT 06511	8700 Grants Family Giving Program	\$25,000 00
	10/24/2016	Check	3110	Neighbors Link Stamford	75 Selleck Street, Stamford CT 06902	8400 Grants Discretionary Matching Gifts	\$2,500 00
	10/24/2016	Check	3109	The Food Bank of Lower Fairfield	461 Glenbrook Rd, Stamford, CT 06906	8400 Grants Discretionary Matching Gifts	\$500 00
	10/24/2016	Check	3111	University of Pittsburgh	4200 Fifth Ave, Pittsburgh, PA 15260	8400 Grants Discretionary Matching Gifts	\$250 00
	10/27/2016	Check	3113	Horizons at Greens Farms Academy	35 Beachside Ave, Westport, CT 06880	7100 Social Investing General Operating Support	\$50,000 00
•	11/09/2016	Check	3120	Long Now Foundation	2 Marina Blvd, San Francisco, CA 94123	8700 Grants Family Giving Program	\$10,000 00
	11/09/2016	Check	3118	YHB Charitable Endowment	29 South Main Street, Suite 306, West Hartford, CT 06107	8700 Grants Family Giving Program	\$23,500 00

•	11/09/2016	Check	3119	YHB Charitable Endowment	29 South Main Street, Suite 306, West Hartford, CT 06107	8700 Grants Family Giving Program	\$196,000.00
•	11/17/2016	Check	3116	Monroe Community College / Mary Thomas	1000 E Henrietta Rd, Rochester, NY 14623	8600 Grants Sparks Scholarships	\$1,000.00
•	11/17/2016	Check	3117	Sacred Heart University / Natalie Barahona	5151 Park Avenue, Fairfield, CT 06825	8600 Grants Sparks Scholarships	\$1,000.00
•	12/01/2016	Check	3122	American Civil Liberties Union Foundation	125 Broad Street, 18th Floor, New York NY 10004	8700 Grants Family Giving Program	\$18,000.00
	12/01/2016	Check	3129	American Civil Liberties Union Foundation	125 Broad Street, 18th Floor, New York NY 10004	8700 Grants Family Giving Program	\$5,000.00
	12/01/2016	Check	3131	Anderson Ranch Arts Center	5263 Owl Creek Rd, Snowmass Village, CO 81615	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3130	Arrowmont School of Arts & Crafts	556 Parkway, Gatlinburg, TN 37738	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3124	Center for Furniture Craftsmanship	25 Mill St, Rockport, ME 04856	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3133	Columbia University	116th St & Broadway, New York, NY 10027	8400 Grants Discretionary Matching Gifts	\$1,000.00
	12/01/2016	Check	3127	Earthjustice	50 California Street, Suite 500, San Francisco, CA 94111	8700 Grants Family Giving Program	\$5,000.00
	12/01/2016	Check	3121	Florida Holistic Living School	1109 E Concord St, Orlando, FL 32803	8700 Grants Family Giving Program	\$28,000.00
	12/01/2016	Check	3125	North Bennet Street School	150 North St, Boston, MA 02109	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3123	Penland School of Crafts	67 Doras Trl, Bakersville, NC 28705	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3126	Planned Parenthood Federation of America	123 William St, New York, NY 10038	8700 Grants Family Giving Program	\$4,000.00
	12/01/2016	Check	3128	The Eliot School	24 Eliot St, Jamaica Plain, MA 02130	8700 Grants Family Giving Program	\$2,000.00
	12/01/2016	Check	3132	Yestermorrow Design/Build School	7865 Main St, Waitsfield, VT 05673	8700 Grants Family Giving Program	\$2,000.00
	12/08/2016	Check	3137	Bridgeport Public Schools	45 Lyon Terrace, Bridgeport, CT 06604	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$2,800.00
	12/08/2016	Check	3139	Child Trends, Inc	7315 Wisconsin Avenue Suite 1200, Bethesda, MD 20814	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$15,000.00
	12/08/2016	Check	3140	Circle of Care	PO Box 32, Wilton, CT 06897	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$250.00
•	12/08/2016	Check	3142	President and Fellows of Harvard College	Harvard University, Cambridge, MA 02138	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$55,314.00
•	12/08/2016	Check	3136	Yale University	389 Whitney Ave, New Haven, CT 06511	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$24,180.00
	12/13/2016	Check	3145	Bridgeport Public Schools Parent Center	45 Lyon Terrace, Bridgeport, CT 06604	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$500.00
	12/13/2016	Check	3150	CASEL	815 W Van Buren St Ste 210, Chicago, IL 60607-3567	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$1,000.00
	12/13/2016	Check	3146	SSU Foundation/CCS	352 Lafayette Street, Salem, MA 01970	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$1,000.00
	12/14/2016	Check	3155	Bridgeport Child Advocacy Coalition	2470 Fairfield Ave, Bridgeport, CT 06605	7300 20 Social Investing Capacity Building Support Program Effectiveness & Evaluation	\$10,000.00
	12/14/2016	Check	3152	enGender	2041 Bancroft Way Suite 307, Berkley, CA 94704	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$250.00
	12/14/2016	Check	3156	Fairfield County's Community Foundation	383 Main Ave, Norwalk, CT 06851	8900 Grants Other Grants	\$2,500.00
	12/14/2016	Check	3154	New Beginnings Family Academy	184 Garden St, Bridgeport, CT 06605	7500 30 Social Investing Knowledge Building & Sharing Cohort Learning Implementation Expenses	\$500.00
	12/14/2016	Check	3159	Regional Youth Adult Social Action Partnership	2470 Fairfield Avenue, Bridgeport, CT 06605	8900 Grants Other Grants	\$250.00
	12/14/2016	Check	3158	The Gift of Hearing Foundation	770 Massachusetts Ave, Cambridge, MA 02139	8900 Grants Other Grants	\$100.00
	12/14/2016	Check	3157	Women's Center of Greater Danbury	2 West St, Danbury, CT 06810	8900 Grants Other Grants	\$500.00
	12/15/2016	Check	3161	KQED	2601 Mariposa Street, San Francisco, CA 94110	8400 Grants Discretionary Matching Gifts	\$500.00
	12/15/2016	Check	3160	Planned Parenthood Federation of America	123 William St, New York, NY 10038	8400 Grants Discretionary Matching Gifts	\$4,500.00
	12/20/2016	Check	3164	Child Trends, Inc	7315 Wisconsin Avenue Suite 1200, Bethesda, MD 20814	7500 20 Social Investing Knowledge Building & Sharing Training, Outreach, Research, and Development	\$549.03
•				Total			\$1,274,336.72

Corporate Tax Statement
Tax Year 2016

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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor
New York, NY 10004

THE TAUCK FOUNDATION INC
6 BLUFF PT
WESTPORT CT 06880-6902

Identification Number 26-4310632

Taxpayer ID Number XX-XXX9341
Account Number 723 100997 888

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions
Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ESCROW TRIBUNE CO 4875 10AU15	100,000 000	06/17/16	06/17/16	\$2,086.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short Term Covered Securities				\$2,086.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Covered and Noncovered Securities				\$2,086.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Form 1099-B Total Reportable Amounts - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities

Total IRS Reportable Proceeds (Box 1d)	\$2,086.02
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)	\$0.00
Total IRS Reportable Accrued Market Discount (Box 1f)	\$0.00
Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS