EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
THE ROSE FLAUM FOUNDATION
C/O MECHELE FLAUM

A Employer identification number
27-0285998

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
630 PARK AVENUE - APT 9B

B Telephone number
917-597-0875

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10065

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 132,275.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5.	5.		STATEMENT 2
	4 Dividends and interest from securities	6,873.	6,873.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	46,175.			STATEMENT 1
	b Gross sales price for all assets on line 6a 132,364.				
	7 Capital gain net income (from Part IV, line 2)		48,729.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	53,053.	55,607.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	3,000.	300.		2,700.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	1,340.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	4,147.	3,433.		714.
	24 Total operating and administrative expenses Add lines 13 through 23	8,487.	3,733.		3,414.
	25 Contributions, gifts, grants paid	104,175.			104,175.
	26 Total expenses and disbursements. Add lines 24 and 25	112,662.	3,733.		107,589.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	<59,609.>			
	b Net investment income (if negative, enter -0-)		51,874.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	19,774.	26,021.	26,021.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	142,530.	76,735.	106,254.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	162,304.	102,756.	132,275.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	162,304.	102,756.	
	30 **Total net assets or fund balances**	162,304.	102,756.	
	31 **Total liabilities and net assets/fund balances**	162,304.	102,756.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	162,304.
2	Enter amount from Part I, line 27a	2	<59,609.>
3	Other increases not included in line 2 (itemize) ▶ COST ADJUSTMENT	3	61.
4	Add lines 1, 2, and 3	4	102,756.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	102,756.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	132,364.		83,635.	48,729.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				48,729.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 48,729.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	109,034.	296,235.	.368066
2014	96,986.	373,654.	.259561
2013	77,895.	390,421.	.199515
2012	77,043.	428,016.	.180000
2011	89,903.	463,543.	.193947

2	**Total** of line 1, column (d)	2	1.201089
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.240218
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	225,285.
5	Multiply line 4 by line 3	5	54,118.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	519.
7	Add lines 5 and 6	7	54,637.
8	Enter qualifying distributions from Part XII, line 4	8	107,589.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	519.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	519.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	519.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	680.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	500.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,180.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	661.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	661.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE FOUNDATION Telephone no. ▶ 917-597-0875

Located at ▶ 630 PARK AVENUE - APT 9B, NEW YORK, NY ZIP+4 ▶ 10065

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SANDER A FLAUM 630 PARK AVENUE - APT 9B NEW YORK, NY 10065	TRUSTEE 5.00	0.	0.	0.
MECHELE FLAUM 630 PARK AVENUE - APT 9B NEW YORK, NY 10065	TRUSTEE 2.00	0.	0.	0.
TERRY WACHALTER 630 PARK AVENUE - APT 9B NEW YORK, NY 10065	TRUSTEE 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	202,983.
b	Average of monthly cash balances	1b	25,733.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	228,716.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	228,716.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,431.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	225,285.
6	**Minimum investment return** Enter 5% of line 5	6	11,264.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,264.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 519.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	519.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,745.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	10,745.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,745.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,589.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,589.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	519.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	107,070.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				10,745.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 — 67,390.				
b From 2012 — 56,226.				
c From 2013 — 59,218.				
d From 2014 — 78,985.				
e From 2015 — 95,526.				
f **Total** of lines 3a through e	357,345.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 107,589.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				10,745.
e Remaining amount distributed out of corpus	96,844.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	454,189.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	67,390.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	386,799.			
10 Analysis of line 9				
a Excess from 2012 — 56,226.				
b Excess from 2013 — 59,218.				
c Excess from 2014 — 78,985.				
d Excess from 2015 — 95,526.				
e Excess from 2016 — 96,844.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SANDER A FLAUM

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LEUKEMIA & LYMPHOMA SOCIETY 3 INTERNATIONAL DRIVE, SUITE 200 RYE BROOK, NY 10573	NONE	PUBLIC CHARITY	SEE STATEMENT A	25.
SOUTHAMPTON TOWN POLICE 110 OLD RIVERHEAD ROAD NEW YORK, NY 11946	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
WORLD WILDLIFE FUND 1250 24TH STREET, N.W. WASHINGTON, DC 20037-1193	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
FRIENDS OF THE HIGH LINE 820 WASHINGTON STREET NEW YORK, NY 10014	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
PATROLMEN'S BENEVOLENT ASSOCIATION 125 BROAD STREET, 11TH FL NEW YORK, NY 10004-2400	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
Total SEE CONTINUATION SHEET(S)			▶ 3a	104,175.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5.	
4 Dividends and interest from securities			14	6,873.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	46,175.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		53,053.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 53,053.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kerry Wachalter 7/12/17 TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
TIMOTHY MULCAHY, CPA	Timothy W Mulcahy	5/24/17		P00027747

Firm's name ▶ BAKER TILLY VIRCHOW KRAUSE, LLP — Firm's EIN ▶ 39-0859910

Firm's address ▶ 125 BAYLIS ROAD SUITE 300 MELVILLE, NY 11747 — Phone no. 631.752.7400

THE ROSE FLAUM FOUNDATION
C/O MECHELE FLAUM

CONTINUATION FOR 990-PF, PART IV
27-0285998 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	P		
b	MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	P		
c	MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	P		
d	91 SHS OF ALTRIA GROUP	D		
e	115.08 SHS OF BP PLC ADS	D		
f	125 SHS OF INTEL CORP	D		
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,544.		10,500.	44.
b	27,469.		21,755.	5,714.
c	79,608.		43,674.	35,934.
d	6,012.		1,478.	4,534.
e	4,202.		4,337.	<135.>
f	4,529.		1,891.	2,638.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				44.
b				5,714.
c				35,934.
d				4,534.
e				<135.>
f				2,638.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	48,729.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S HEALTH FUND 215 WEST 125TH STREET SUITE 301 NEW YORK, NY 10027	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
PAWS OF WAR 127-19 SMITHTON BLVD. NESCONSET, NY 11767	NONE	PUBLIC CHARITY	SEE STATEMENT A	100.
GUIDING EYES FOR THE BLIND 611 GRANITE SPRINGS ROAD YORKTOWN HEIGHTS, NY 10598	NONE	PUBLIC CHARITY	SEE STATEMENT A	150.
SAMUEL FIELD Y 58-20 LITTLE NECK PKWY LITTLE NECK, NY 11362	NONE	PUBLIC CHARITY	SEE STATEMENT A	250.
WORLD JEWISH CONGRESS 501 MADISON AVENUE NEW YORK, NY 10022	NONE	PUBLIC CHARITY	SEE STATEMENT A	500.
CENTRAL SYNAGOGUE 123 EAST 55TH STREET NEW YORK, NY 10022-3566	NONE	PUBLIC CHARITY	SEE STATEMENT A	900.
LIME CONNECT 590 MADISON AVENUE, 21ST FLOOR NEW YORK, NY 10022	NONE	PUBLIC CHARITY	SEE STATEMENT A	1,000.
THE STUTTERING FOUNDATION P.O. BOX 11749 MEMPHIS, TN 38111-0749	NONE	PUBLIC CHARITY	SEE STATEMENT A	1,000.
HCRI 7851 ENON DRIVE ROANOKE, VA 24019	NONE	PUBLIC CHARITY	SEE STATEMENT A	1,000.
HUDSON INSTITUTE 1201 PENNSYLVANIA AVENUE, N.W., SUITE 400 WASHINGTON, DC 20004	NONE	PUBLIC CHARITY	SEE STATEMENT A	1,000.
Total from continuation sheets				103,750.

THE ROSE FLAUM FOUNDATION
C/O MECHELE FLAUM 27-0285998

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FORDHAM UNIVERSITY - EDGE 4 VETS ROSE HILL CAMPUS BRONX, NY 10023	NONE	PUBLIC CHARITY	SEE STATEMENT A	2,000.
NATIONAL STUTTERING ASSOCIATION 119 W. 40TH STREET, 14TH FL NEW YORK, NY 10017	NONE	PUBLIC CHARITY	SEE STATEMENT A	2,000.
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	SEE STATEMENT A	9,250.
THE OHIO STATE UNIVERSITY - JAMES CANCER HOSPITAL 460 W. 10TH AVE. COLUMBUS, OH 43210	NONE	PUBLIC CHARITY	SEE STATEMENT A	15,000.
FORDHAM UNIVERSITY - SANDER FLAUM SCHOLARSHIP FUND ROSE HILL CAMPUS BRONX, NY 10023	NONE	PUBLIC CHARITY	SEE STATEMENT A	15,000.
AMERICAN INSTITUTE FOR STUTTERING 27 WEST 20TH ST. SUITE 1203 NEW YORK, NY 10011	NONE	PUBLIC CHARITY	SEE STATEMENT A	19,000.
THE OHIO STATE UNIVERSITY ENARSON HALL 154 W 12 AVENUE COLUMBUS, OH 43210	NONE	PUBLIC CHARITY	SEE STATEMENT A	35,500.
Total from continuation sheets				

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
10,544.	10,500.	0.	0.	44.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
27,469.	21,755.	0.	0.	5,714.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MORGAN STANLEY SMITH BARNEY (SEE ATTACHED)	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
79,608.	43,674.	0.	0.	35,934.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
91 SHS OF ALTRIA GROUP	DONATED		

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,012.	1,684.	0.	0.	4,328.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
115.08 SHS OF BP PLC ADS	DONATED		

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,202.	6,121.	0.	0.	<1,919.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
125 SHS OF INTEL CORP	DONATED		

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,529.	2,455.	0.	0.	2,074.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	46,175.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY SMITH BARNEY	5.	5.	
TOTAL TO PART I, LINE 3	5.	5.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY SMITH BARNEY	6,873.	0.	6,873.	6,873.	
TO PART I, LINE 4	6,873.	0.	6,873.	6,873.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,000.	300.		2,700.
TO FORM 990-PF, PG 1, LN 16B	3,000.	300.		2,700.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UNITED STATES TREASURY	1,340.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,340.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	350.	0.		350.
INVESTMENT FEES	3,433.	3,433.		0.
NY LAW JOURNAL	50.	0.		50.
SUPPLIES	314.	0.		314.
TO FORM 990-PF, PG 1, LN 23	4,147.	3,433.		714.

	FOOTNOTES	STATEMENT 7

STATEMENT A
FORM 990-PF, PART XV,LINE 3,PURPOSE OF GRANT OR CONTRIBUTION
ALL CONTRIBUTIONS WERE MADE TO THE RESPECTIVE CHARITIES FOR CHARITABLE PROGRAMS. ALL CONTRIBUTIONS WERE MADE FOR PURPOSES ALLOWABLE UNDER SECTION 501(C)(3) AND WERE CONSISTENT WITH THE PURPOSES FOR WHICH EACH OF THE CHARITIES WAS GRANTED TAX-EXEMPT STATUS.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCKS	76,735.	106,254.
TOTAL TO FORM 990-PF, PART II, LINE 10B	76,735.	106,254.

Account Detail

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value
ABBVIE INC COM (ABBV)	6/21/10	15.000	$25 097	$62 620	$376 45	$939 30
	1/3/11	40 000	24 951	62 620	998 05	2,504.80
	Purchases	55.000			1,374 50	3,444 10
Long Term Reinvestments		10 000			567 27	626 20
Short Term Reinvestments		4 000			245 06	250 48
	Total	69.000			2,186.83	4,320.78

Next Dividend Payable 02/2017; Asset Class Equities

ALTRIA GROUP INC (MO)		—	0 000	67 620	0 00	0 00

Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value
Long Term Reinvestments		61 000			2,573 85	4,124.82
Short Term Reinvestments		4 000			254 44	270 48
	Total	65 000			2,828 29	4,395 30
Next Dividend Payable 01/10/17, Asset Class: Equities						
BP PLC ADS (BP)	10/10/08	62 000	37 690	37 380	2,336 80	2,317 56
	8/12/15	38 000	35 855	37.380	1,362 49	1,420 44
	9/18/15	4.000	31 325	37 380	125 30	149 52
	Purchases	104 000			3,824 59	3,887 52
Long Term Reinvestments		14 000			480 16	523.32
Short Term Reinvestments		18 000			563 40	672 84
	Total	136.000			4,868 15	5,083 68
Asset Class: Equities						
CHEVRON CORP (CVX)	12/4/14	33 000	111.827	117 700	3,690 30	3,884 10
	Purchases	33 000			3,690 30	3,884.10
Long Term Reinvestments		5.000			479 87	588.50
Short Term Reinvestments		3 000			297.39	353 10
	Total	41 000			4,467 56	4,825 70
Next Dividend Payable 03/2017; Asset Class Equities						
CHUBB LTD (CB)	1/19/16	36 000	111 020	132 120	3,996 72	4,756 32
Next Dividend Payable 01/20/17, Asset Class Equities						
CISCO SYS INC (CSCO)	11/19/13	97.000	21 380	30.220	2,073 86	2,931 34
	Purchases	97 000			2,073 86	2,931 34
Long Term Reinvestments		18 000			463.86	543 96
Short Term Reinvestments		9 000			255.77	271 98
	Total	124 000			2,793 49	3,747.28
Next Dividend Payable 01/2017, Asset Class Equities						
COCA COLA CO (KO)	6/21/10	4 000	26 209	41 460	104 83	165 84
	7/22/14	16.000	41.030	41.460	656.48	663.36
	7/23/14	47 000	40.788	41 460	1,917.04	1,948 62
	Purchases	67 000			2,678 35	2,777 82
Long Term Reinvestments		21 000			850 39	870 66
Short Term Reinvestments		4 000			175 87	165 84

Account Detail

Portfolio Management Active Assets Account
723-100680-222

THE ROSE FLAUM FOUNDATION
630 PARK AVENUE APT 9B

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value
	Total	92.000			3,704 61	3,814 32
Next Dividend Payable 03/2017, Asset Class Equities						
EMERSON ELECTRIC CO (EMR)	8/12/15	82 000	48 009	55 750	3,936 77	4,571 50
Next Dividend Payable 03/2017, Asset Class: Equities						
EXXON MOBIL CORP (XOM)	8/12/15	35 000	77.420	90 260	2,709 71	3,159 10
Next Dividend Payable 03/2017, Asset Class Equities						
GENERAL ELECTRIC CO (GE)	8/14/14	169 000	25 786	31 600	4,357 89	5,340.40
Next Dividend Payable 01/25/17, Asset Class: Equities						
GENERAL MILLS INC (GIS)	6/21/10	55 000	37 868	61 770	2,082 74	3,397 35
	Purchases	55 000			2,082 74	3,397 35
Long Term Reinvestments		9.000			479.48	555 93
Short Term Reinvestments		3 000			179 13	185 31
	Total	67 000			2,741 35	4,138 59
Next Dividend Payable 02/2017, Asset Class. Equities						
GENL DYNAMICS CORP (GD)	6/21/10	27 000	66 704	172 660	1,801 01	4,661 82
Next Dividend Payable 02/2017, Asset Class: Equities						
ILL TOOL WORKS INC (ITW)	6/21/10	33 000	45 989	122 460	1,517 62	4,041 18
	Purchases	33 000			1,517.62	4,041 18
Long Term Reinvestments		1 000			75.10	122 46
	Total	34 000			1,592 72	4,163 64
Next Dividend Payable 01/11/17; Asset Class Equities						
INTEL CORP (INTC)	4/15/09	82.000	15 130	36 270	1,240 66	2,974 14
	Purchases	82.000			1,240 66	2,974 14
Long Term Reinvestments		32 000			952 60	1,160 64
Short Term Reinvestments		5 000			161.85	181 35
	Total	119.000			2,355.11	4,316 13
Next Dividend Payable 03/2017; Asset Class Equities						
INTL BUSINESS MACHINES CORP (IBM)	6/21/10	18 000	130.660	165 990	2,351 88	2,987.82
	4/19/16	4 000	142.823	165 990	571.29	663 96
	Total	22 000			2,923 17	3,651.78
Next Dividend Payable 03/2017, Asset Class. Equities						

Account Detail

Portfolio Management Active Assets Account
723-100680-222

THE ROSE FLAUM FOUNDATION
630 PARK AVENUE APT 9B

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value
JOHNSON & JOHNSON (JNJ)	1/11/11	29 000	62 210	115 210	1,804 09	3,341 09
	Purchases	29 000			1,804 09	3,341 09
Long Term Reinvestments		8 000			788 85	921 68
	Total	37 000			2,592 94	4,262 77
Next Dividend Payable 03/2017; Asset Class. Equities						
KIMBERLY CLARK CORP (KMB)	6/21/10	25 000	59.999	114 120	1,499.97	2,853 00
	Purchases	25 000			1,499 97	2,853 00
Long Term Reinvestments		8 000			833 79	912 96
	Total	33 000			2,333 76	3,765 96
Next Dividend Payable 01/04/17, Asset Class: Equities						
LOCKHEED MARTIN CORP (LMT)	6/21/10	16 000	79.858	249 940	1,277 74	3,999.04
	Purchases	16.000			1,277 74	3,999 04
Long Term Reinvestments		2 000			276 20	499 88
	Total	18 000			1,553.94	4,498 92
Next Dividend Payable 03/2017, Asset Class Equities						
MC DONALDS CORP (MCD)	6/21/10	5 000	69 928	121 720	349 64	608 60
	7/22/14	7 000	96 490	121 720	675 43	852 04
	7/23/14	20 000	95 124	121 720	1,902 47	2,434 40
	Purchases	32 000			2,927.54	3,895.04
Long Term Reinvestments		6.000			567 90	730 32
	Total	38 000			3,495 44	4,625 36
Next Dividend Payable 03/2017, Asset Class: Equities						
PEPSICO INC NC (PEP)	6/21/10	41 000	63 876	104.630	2,618.92	4,289.83
Next Dividend Payable 01/06/17, Asset Class Equities						
PHILLIPS 66 COM (PSX)	4/12/13	49 000	60.736	86.410	2,976.08	4,234.09
Next Dividend Payable 03/2017, Asset Class Equities						
PROCTER & GAMBLE (PG)	6/21/10	38 000	60 966	84 080	2,316 72	3,195 04
Next Dividend Payable 02/2017; Asset Class Equities						
ROYAL DUTCH SHELL PLC (RDS'A)	12/4/14	73.000	62.668	54.380	4,574.73	3,969.74
	12/18/15	4 000	43 835	54.380	175.34	217 52
	3/29/16	3 000	47 550	54 380	142 65	163 14
	6/27/16	3.000	51.225	54 380	153 68	163 14
	9/16/16	4 000	35 790	54 380	143.16	217.52
	Purchases	87 000			5,189.56	4,731.06

Account Detail

Portfolio Management Active Assets Account 723-100680-222
THE ROSE FLAUM FOUNDATION
630 PARK AVENUE APT 9B

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value
Long Term Reinvestments		6 000			247.84	326 28
	Total	93.000			5,437 40	5,057 34
Asset Class Equities						
VALERO ENERGY CP DELA NEW (VLO)	4/19/16	45 000	60.110	68.320	2,704.95	3,074 40
Next Dividend Payable 03/2017, Asset Class Equities						
WALGREENS BOOTS ALLIANCE INC (WBA)	8/6/14	52 000	59 063	82 760	3,071 29	4,303 52
Next Dividend Payable 03/2017, Asset Class Equities						

	Percentage of Holdings	Total Cost	Market Value
STOCKS	87 43%	$76,734 64	$106,253 57