Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: MARJORIE LINDSEY CHARITABLE TRUST UA

A Employer identification number: 26-6906657

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1501, NJ2-130-03-31 | Room/suite:

B Telephone number (see instructions): 609-274-6834

City or town, state or province, country, and ZIP or foreign postal code: PENNINGTON NJ 08534-1501

Foreign country name | Foreign province/state/county | Foreign postal code

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 30,954,278

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED MAY 18 2017

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	892,034	779,696		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	907,945			
b	Gross sales price for all assets on line 6a 10,272,217				
7	Capital gain net income (from Part IV, line 2)		907,945		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	1,799,979	1,687,641	0	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	209,866	83,946		125,919
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	18,940	11,364		7,576
17	Interest				
18	Taxes (attach schedule) (see instructions)	66,166	8,248		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	607	597		10
24	**Total operating and administrative expenses.** Add lines 13 through 23	295,579	104,155	0	133,505
25	Contributions, gifts, grants paid	3,939,600			3,939,600
26	**Total expenses and disbursements.** Add lines 24 and 25	4,235,179	104,155	0	4,073,105
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-2,435,200			
b	**Net investment income** (if negative, enter -0-)		1,583,486		
c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	-957,929	27,266	27,266
	2	Savings and temporary cash investments	3,109,189	1,489,334	1,489,334
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	3,468,912	5,710,249	5,746,840
	b	Investments—corporate stock (attach schedule)	8,300,150	6,930,400	9,606,618
	c	Investments—corporate bonds (attach schedule)	1,255,541	1,128,129	1,131,475
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	15,701,969	13,197,118	12,952,746
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	30,877,832	28,482,496	30,954,279
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	30,877,832	28,482,496	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	30,877,832	28,482,496	
	31	**Total liabilities and net assets/fund balances** (see instructions)	30,877,832	28,482,496	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,877,832
2	Enter amount from Part I, line 27a	2	-2,435,200
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	43,276
4	Add lines 1, 2, and 3	4	28,485,908
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	3,412
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	28,482,496

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	907,945
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,899,350	32,054,301	0 090451
2014	2,083,438	30,135,047	0 069137
2013	2,332,106	28,807,899	0 080954
2012	2,844,444	27,557,748	0 103218
2011	2,277,486	11,645,952	0 195560

2	**Total** of line 1, column (d)	2	0 539320
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 107864
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	30,697,186
5	Multiply line 4 by line 3	5	3,311,121
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	15,835
7	Add lines 5 and 6	7	3,326,956
8	Enter qualifying distributions from Part XII, line 4	8	4,073,105

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	15,835
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	15,835
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	15,835
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 32,989		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	32,989
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	17,154
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	17,154

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? *If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834

Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**	X (No)
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MLTC, A DIVISION OF BANK OF AMERICA, N A P O Box 1501, NJ2-130-03-31 Pennington, NJ 08534-	2 00	209,866		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	29,514,530
b	Average of monthly cash balances			1b	1,650,126
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	31,164,656
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	31,164,656
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)			4	467,470
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	30,697,186
6	**Minimum investment return.** Enter 5% of line 5			6	1,534,859

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	1,534,859
2a	Tax on investment income for 2016 from Part VI, line 5	2a	15,835		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	15,835
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,519,024
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,519,024
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,519,024

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,073,105
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,073,105
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	15,835
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,057,270

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				1,519,024
2	Undistributed income, if any, as of the end of 2016				
a	Enter amount for 2015 only			0	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2016				
a	From 2011 1,704,130				
b	From 2012 1,515,026				
c	From 2013 920,346				
d	From 2014 616,656				
e	From 2015 1,351,564				
f	**Total** of lines 3a through e	6,107,722			
4	Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 4,073,105				
a	Applied to 2015, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2016 distributable amount				1,519,024
e	Remaining amount distributed out of corpus	2,554,081			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,661,803			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	1,704,130			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	6,957,673			
10	Analysis of line 9				
a	Excess from 2012 1,515,026				
b	Excess from 2013 920,346				
c	Excess from 2014 616,656				
d	Excess from 2015 1,351,564				
e	Excess from 2016 2,554,081				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2016	Prior 3 years (b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attached Statement				
Total			▶ **3a**	3,939,600
b *Approved for future payment* NONE				
Total			▶ **3b**	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	892,034	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	907,945	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		1,799,979	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . 13 1,799,979

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/2/2017 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LAWRENCE F MCGUIRE	[signature]	5/2/2017		P01233953
Firm's name ▶ PRICEWATERHOUSECOOPERS, LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET, PITTSBURGH PA 15219			Phone no 412-355-6000	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name					
Bakersfield Christian High School					
Street					
12775 Stockdale Hwy					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93314-9640		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					50,000

Name					
Bakersfield College Foundation					
Street					
1801 Panorama Dr					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93305-1219		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					284,000

Name					
Bakersfield Symphony Orchestra Inc					
Street					
1328 34th St Ste A					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93301-2154		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					100,000

Name					
Cal State Bakersfield Foundation					
Street					
9001 Stockdale Highway					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93311-1022		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					100,000

Name					
Campus Crusade for Christ, Inc					
Street					
100 Lake Hart Drive					
City		**State**	**Zip Code**	**Foreign Country**	
Orlando		FL	32832-0100		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					41,200

Name					
First Presbyterian Church of Bakersfield A Corp					
Street					
1705 17th St					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93301-4302		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					300,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name					
Friends of Calvin Crest					
Street					
PO Box 26686					
City		**State**	**Zip Code**	**Foreign Country**	
Fresno		CA	93729-6686		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					100,000
Name					
Georgia Institute of Technology					
Street					
500 Tech Parkway					
City		**State**	**Zip Code**	**Foreign Country**	
Atlanta		GA	30332-0001		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					250,000
Name					
Haggai Institute For Advanced Leadership Training Inc					
Street					
4725 Ptree Corners Cr Ste 100					
City		**State**	**Zip Code**	**Foreign Country**	
Norcross		GA	30092-2571		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					1,500,000
Name					
Independence Through G-R-A-C-E Foundation					
Street					
8416 Iron Oak Ct					
City		**State**	**Zip Code**	**Foreign Country**	
Bakersfield		CA	93312-5049		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					350,000
Name					
International Teams					
Street					
411 West River Road					
City		**State**	**Zip Code**	**Foreign Country**	
Elgin		IL	60123-1570		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					100,000
Name					
Intervarsity Christian Fellowship					
Street					
PO Box 7895					
City		**State**	**Zip Code**	**Foreign Country**	
Madison		WI	49719-0425		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					14,400

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	Street	City	State	Zip Code	Foreign Country	Relationship	Foundation Status	Purpose of grant/contribution	Amount
Leading the Way with Dr Michael Youssef	PO Box 20100	Atlanta	GA	30325		NONE	PC	GENERAL OPERATING	250,000
Pure Hope	800 Compton Rd Ste 9224	Cincinnati	OH	45231-3846		NONE	PC	GENERAL OPERATING	100,000
Teen Challenge of Southern California Kern County	PO Box 1011	Bakersfield	CA	93302		NONE	PC	GENERAL OPERATING	100,000
Turning Point for Good Food	10007 Riverford Rd	Lakeside	CA	92040-2772		NONE	PC	GENERAL OPERATING	100,000
World Sports Inc	PO Box 2607	Bonita Springs	FL	34133		NONE	PC	GENERAL OPERATING	100,000
Youth for Christ USA Inc	PO Box 763	Bakersfield	CA	93302-0763		NONE	PC	GENERAL OPERATING	100,000

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	83,252
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	10,272,217	9,364,272	907,945
Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	SALE	PUTCALL	X				12/4/2015	2/22/2016	4,260	0				0	4,260
2	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	185	0				0	185
3	TEX FEB 00025	PUTCALL	X				5/9/2016	6/16/2016	2,600	380				0	2,220
4	IWM JUN 00144	PUTCALL	X				5/9/2016	6/20/2016	140	0				0	140
5	SDS JAN 00021	PUTCALL	X				5/10/2016	6/20/2016	2,200	0				0	2,200
6	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	2,775	0				0	2,775
7	MYL MAR 00045 500	PUTCALL	X				5/9/2016	6/28/2016	1,280	170				0	1,110
8	MYL MAR 00045 500	PUTCALL	X				5/9/2016	6/28/2016	1,280	170				0	1,110
9	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	2,775	0				0	2,775
10	RDSA JAN 00054	PUTCALL	X				6/16/2016	6/28/2016	470	115				0	355
11	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	9,435	0				0	9,435
12	SALE	PUTCALL	X				3/21/2016	5/23/2016	7,400	0				0	7,400
13	RDSA JAN 00054	PUTCALL	X				6/16/2016	6/28/2016	1,222	299				0	923
14	RDSA JAN 00054	PUTCALL	X				6/16/2016	6/28/2016	940	230				0	710
15	RDSA JAN 00054	PUTCALL	X				6/16/2016	6/28/2016	1,880	460				0	1,420
16	URI JAN 00099	PUTCALL	X				3/21/2016	6/28/2016	6,100	100				0	6,000
17	IAT FEB 00031	PUTCALL	X				5/9/2016	6/28/2016	1,300	200				0	1,100
18	RDSA JAN 00054	PUTCALL	X				6/16/2016	7/14/2016	188	670				0	-482
19	TGT JAN 00069 500	PUTCALL	X				5/9/2016	6/16/2016	4,200	800				0	3,400
20	KWEB AUG 00043	PUTCALL	X				5/10/2016	7/14/2016	2,400	9,520				0	-7,120
21	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	1,050	5,750				4,700	0
22	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	105	575				470	0
23	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	365	575				0	-210
24	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	525	2,875				2,350	0
25	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	3,650	5,750				9,400	7,300
26	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	1,825	2,875				0	-1,050
27	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	8,350	5,750				0	2,600
28	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	735	4,025				0	-3,290
29	NBL AUG 00035	PUTCALL	X				6/16/2016	7/14/2016	105	575				0	-470
30	IWM MAR 00136	PUTCALL	X				6/28/2016	7/22/2016	4,000	7,500				0	-3,500
31	REGN FEB 00332 500	PUTCALL	X				5/10/2016	8/15/2016	5,000	850				0	4,150
32	SALE	PUTCALL	X				7/29/2016	8/15/2016	1,050	170				0	880
33	LOW FEB 00068	PUTCALL	X				6/16/2016	8/15/2016	275	10				0	265
34	LOW FEB 00068	PUTCALL	X				6/16/2016	8/15/2016	165	6				0	159
35	LOW FEB 00068	PUTCALL	X				6/16/2016	8/15/2016	605	22				0	583
36	LOW FEB 00068	PUTCALL	X				6/16/2016	8/15/2016	1,100	40				0	1,060
37	LOW FEB 00068	PUTCALL	X				6/16/2016	8/15/2016	55	2				0	53
38	JNUG MAR 00005	PUTCALL	X				6/16/2016	8/19/2016	365	690				0	-325
39	JNUG MAR 00005	PUTCALL	X				6/16/2016	8/19/2016	365	685				0	-320
40	JNUG MAR 00005	PUTCALL	X				6/16/2016	8/19/2016	730	1,370				0	-640
41	CNNX SEP 00015	PUTCALL	X				6/16/2016	8/19/2016	2,060	1,640				0	420
42	CNNX SEP 00015	PUTCALL	X				6/16/2016	8/19/2016	5,150	4,055				0	1,095
43	CNNX SEP 00015	PUTCALL	X				6/16/2016	8/19/2016	3,090	2,445				0	645
44	FXI NOV 00035	PUTCALL	X				7/14/2016	8/19/2016	9,744	4,576				0	5,168
45	FXI NOV 00035	PUTCALL	X				7/14/2016	8/19/2016	4,249	2,002				0	2,247
46	FXI NOV 00035	PUTCALL	X				7/14/2016	8/19/2016	4,249	2,002				0	2,247
47	SALE	PUTCALL	X				7/29/2016	9/9/2016	630	765				0	-135
48	SALE	PUTCALL	X				7/29/2016	9/9/2016	210	255				0	-45
49	SALE	PUTCALL	X				7/29/2016	9/9/2016	350	425				0	-75
50	SALE	PUTCALL	X				7/29/2016	9/9/2016	560	680				0	-120
51	SALE	PUTCALL	X				7/29/2016	9/9/2016	280	340				0	-60
52	SALE	PUTCALL	X				7/29/2016	9/9/2016	700	850				0	-150
53	SALE	PUTCALL	X				7/29/2016	9/9/2016	280	340				0	-60
54	SALE	PUTCALL	X				7/29/2016	9/9/2016	490	595				0	-105
55	SALE	PUTCALL	X				8/5/2016	9/12/2016	3,240	180				0	3,060
56	SALE	PUTCALL	X				8/8/2016	9/12/2016	1,625	250				0	1,375
57	SALE	PUTCALL	X				6/16/2016	9/12/2016	3,200	80				0	3,120
58	SALE	PUTCALL	X				6/16/2016	9/12/2016	560	14				0	546
59	SALE	PUTCALL	X				8/15/2016	10/3/2016	1,505	50				0	1,455
60	SALE	PUTCALL	X				8/12/2016	10/20/2016	1,200	2,045				0	-845
61	SALE	PUTCALL	X				8/12/2016	10/20/2016	1,200	2,050				0	-850
62	SALE	PUTCALL	X				8/12/2016	10/20/2016	2,400	4,110				0	-1,710
63	SALE	PUTCALL	X				7/14/2016	10/20/2016	13,400	2,020				0	11,380
64	SALE	PUTCALL	X				9/20/2016	10/24/2016	1,000	0				0	1,000
65	SALE	PUTCALL	X				9/20/2016	10/24/2016	1,020	0				0	1,020
66	SALE	PUTCALL	X				8/12/2016	10/24/2016	292	0				0	292
67	SALE	PUTCALL	X				8/12/2016	10/24/2016	3,358	0				0	3,358
68	SALE	PUTCALL	X				9/20/2016	11/21/2016	750	0				0	750
69	SALE	PUTCALL	X				9/20/2016	11/21/2016	1,350	0				0	1,350
70	SALE	PUTCALL	X				9/20/2016	11/21/2016	1,750	0				0	1,750
71	SALE	PUTCALL	X				10/21/2016	12/19/2016	1,410	0				0	1,410
72	ALIBABA GROUP HOLDING L	01609W102	X				1/30/2015	8/22/2016	84,998	89,480				0	-4,482

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	83,252		Capital Gains/Losses	10,272,217	9,364,272	907,945
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73	AMER FUNDS AMERICAN HIC	026547828	X				11/12/2014	6/7/2016	449,584	507,094				0	-57,510
74	AMER FUNDS AMERICAN HIC	026547828	X				3/28/2016	6/7/2016	2	2				0	0
75	AMER FUNDS AMERICAN HIC	026547828	X				11/12/2014	9/13/2016	547,857	603,240				0	-55,383
76	AMER FUNDS AMERICAN HIC	026547828	X				3/28/2016	9/13/2016	3	3				0	0
77	AMERICAN INTERNATIONAL	026874784	X				3/14/2012	6/9/2016	93,498	48,174				0	45,324
78	AMERICAN INTERNATIONAL	026874784	X				3/14/2012	9/13/2016	54,999	28,337				0	26,662
79	CNNX SEP 00015	PUTCALL	X				6/16/2016	8/19/2016	5,150	4,055				0	1,095
80	AMERICAN TOWER REIT INC	03027X100	X				3/28/2014	7/18/2016	38,939	29,145				0	9,794
81	AMERICAN TOWER REIT INC	03027X100	X				11/12/2014	7/18/2016	71,058	64,400				0	6,658
82	BLACKROCK HIGH YIELD	09253C769	X				3/15/2016	11/16/2016	6	6				0	0
83	BLACKROCK HIGH YIELD	09253C769	X				3/15/2016	11/16/2016	236,789	242,614				0	-5,825
84	BLACKSTONE ALT MULTI	09257V201	X				7/20/2015	3/28/2016	287,405	308,857				0	-21,452
85	BLACKSTONE ALT MULTI	09257V201	X				7/20/2015	3/28/2016	2	3				0	-1
86	BOEING COMPANY	097023105	X				3/3/2015	11/21/2016	139,997	156,380				0	-16,383
87	C H ROBINSON WORLDWIDE	12541W209	X				11/12/2014	2/22/2016	2,600	2,900				0	-300
88	C H ROBINSON WORLDWIDE	12541W209	X				8/5/2014	2/22/2016	94,898	100,083				0	-5,185
89	CALIFORNIA RESOURCES	13057Q107	X				9/22/2015	4/4/2016	0	0				0	0
90	CALIFORNIA RESOURCES	13057Q107	X				9/22/2015	5/3/2016	357	215				0	142
91	CHIPOTLE MEXICAN GRILL	169656105	X				6/28/2016	7/22/2016	86,725	77,988				0	8,737
92	CISCO SYSTEMS INC COM	17275R102	X				5/12/2011	10/3/2016	57,999	33,976				0	24,023
93	CONCHO RESOURCES INC	20605P101	X				11/12/2014	6/20/2016	24,599	23,691				0	908
94	CONCHO RESOURCES INC	20605P101	X				6/25/2013	6/20/2016	35,399	25,051				0	10,348
95	DANAHER CORP DEL COM	235851102	X				11/12/2014	9/19/2016	25,349	21,254				0	4,095
96	DANAHER CORP DEL COM	235851102	X				8/6/2014	9/19/2016	49,649	37,332				0	12,317
97	DOUBLELINE TOTAL RETURN	258620103	X				2/14/2012	1/19/2016	4	4				0	0
98	DOUBLELINE TOTAL RETURN	258620103	X				2/14/2012	1/19/2016	2	2				0	0
99	DOUBLELINE TOTAL RETURN	258620103	X				2/14/2012	1/19/2016	199,996	205,879				0	-5,883
100	DOUBLELINE TOTAL RETURN	258620103	X				2/14/2012	1/19/2016	99,998	102,940				0	-2,942
101	FORTIVE CORP	34959J108	X				11/12/2014	9/19/2016	8,450	6,613				0	1,837
102	FORTIVE CORP	34959J108	X				8/6/2014	9/19/2016	16,550	11,615				0	4,935
103	GUGGENHEIM TOTAL RETUR	40168W525	X				7/24/2015	1/19/2016	99,984	101,971				0	-1,987
104	GUGGENHEIM TOTAL RETUR	40168W525	X				7/24/2015	1/19/2016	13	14				0	-1
105	GUGGENHEIM TOTAL RETUR	40168W525	X				7/24/2015	1/19/2016	3	3				0	0
106	HSBC FINANCE CORPORA C	40429C607	X				6/24/2014	6/30/2016	125,000	125,689				0	-689
107	ISHARES RUSSELL MIDCAP	464287499	X				2/11/2015	6/16/2016	222,759	230,139				0	-7,380
108	ISHARES RUSSELL 1000	464287614	X				2/11/2015	6/16/2016	489,855	483,538				0	6,317
109	AMGEN INC COM PV $0 0001	031162100	X				6/28/2016	8/15/2016	74,998	73,695				0	1,303
110	ISHARES TR RUSSELL 2000	464287655	X				2/11/2015	6/16/2016	53,066	55,975				0	-2,909
111	JPMORGAN CHASE & CO	46625H100	X				9/13/2004	8/22/2016	30,562	19,350				0	11,212
112	JPMORGAN CHASE & CO	46625H100	X				11/13/2003	8/22/2016	94,435	54,094				0	40,341
113	JOHNSON AND JOHNSON C	478160104	X				11/12/2014	7/18/2016	7,475	7,070				0	405
114	JOHNSON AND JOHNSON C	478160104	X				3/5/2013	7/18/2016	222,060	149,942				0	72,118
115	JOHNSON AND JOHNSON C	478160104	X				12/3/2013	7/18/2016	11,960	9,734				0	2,226
116	MADISON (THE) SQUARE	553573106	X				3/14/2012	7/21/2016	71,861	40,608				0	31,253
117	MADISON (THE) SQUARE	55825T103	X				3/14/2012	5/23/2016	241,710	104,312				0	137,398
118	MERCK AND CO INC SHS	58933Y105	X				7/14/2016	9/13/2016	19,020	18,925				0	95
119	MERCK AND CO INC SHS	58933Y105	X				2/27/2009	9/13/2016	81,893	37,253				0	44,640
120	MERCK AND CO INC SHS	58933Y105	X				10/26/2009	9/13/2016	58,979	31,511				0	27,468
121	MERCK AND CO INC SHS	58933Y105	X				7/14/2016	9/19/2016	12,000	11,940				0	60
122	NEUBERGER BERMAN STRA	64128K751	X				1/31/2014	6/7/2016	6	6				0	0
123	NEUBERGER BERMAN STRA	64128K751	X				1/31/2014	6/7/2016	121,926	125,257				0	-3,331
124	NEUBERGER BERMAN STRA	64128K751	X				1/31/2014	9/13/2016	3	3				0	0
125	NEUBERGER BERMAN STRA	64128K751	X				1/31/2014	9/13/2016	5	5				0	0
126	NEUBERGER BERMAN STRA	64128K751	X				1/31/2014	9/13/2016	630,267	635,571				0	-5,304
127	NUVEEN SHORT DURATION	67065Q699	X				3/15/2016	9/13/2016	1	1				0	0
128	NUVEEN SHORT DURATION	67065Q699	X				3/15/2016	9/13/2016	259,115	253,618				0	5,497
129	NUVEEN SHORT DURATION	67065Q699	X				3/15/2016	9/13/2016	5	4				0	1
130	MERCK AND CO INC SHS	58933Y105	X				10/26/2009	9/13/2016	28,104	16,381				0	11,723
131	NUVEEN ALL AMER	67065Q855	X				1/31/2014	11/16/2016	13,076	12,617				0	459
132	NUVEEN ALL AMER	67065Q855	X				6/27/2013	11/16/2016	220,202	213,249				0	6,953
133	NUVEEN ALL AMER	67065Q855	X				1/31/2014	11/16/2016	9	8				0	1
134	NUVEEN PREFERRED	670700400	X				2/14/2012	6/7/2016	17	15				0	2
135	NUVEEN PREFERRED	670700400	X				2/14/2012	6/7/2016	22,518	21,318				0	1,200
136	NUVEEN PREFERRED	670700400	X				1/6/2012	6/7/2016	9	8				0	1
137	NUVEEN PREFERRED	670700400	X				1/6/2012	6/7/2016	113,450	102,495				0	10,955
138	NUVEEN PREFERRED	670700400	X				2/14/2012	9/13/2016	408,652	381,000				0	27,652
139	NUVEEN PREFERRED	670700400	X				2/14/2012	9/13/2016	9	8				0	1
140	NUVEEN PREFERRED	670700400	X				1/6/2012	9/13/2016	1	1				0	0
141	PAYCHEX INC	704326107	X				4/5/2012	5/9/2016	130,172	78,521				0	51,651
142	PAYCHEX INC	704326107	X				12/3/2013	6/20/2016	11,655	9,714				0	1,941
143	PAYCHEX INC	704326107	X				6/29/2012	6/20/2016	36,014	21,559				0	14,455
144	PAYCHEX INC	704326107	X				11/12/2014	6/20/2016	20,685	18,694				0	1,991

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	83,252		Capital Gains/Losses	10,272,217	9,364,272	907,945
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145	PFIZER INC	717081103	X				12/28/2010	8/3/2016	178,671	90,002				0	88,669
146	PFIZER INC	717081103	X				4/14/2010	8/3/2016	89,038	43,376				0	45,662
147	PFIZER INC	717081103	X				4/26/2010	8/3/2016	40,424	19,496				0	20,928
148	PFIZER INC	717081103	X				4/26/2010	8/3/2016	41,859	20,208				0	21,651
149	QWEST CORP CLD	74913G204	X				6/17/2015	5/16/2016	27,164	27,608				0	-444
150	QWEST CORP CLD	74913G204	X				6/17/2015	5/17/2016	219,550	223,140				0	-3,590
151	QWEST CORPORATION	74913G402	X				6/17/2015	5/31/2016	169,542	170,314				0	-772
152	QWEST CORPORATION	74913G402	X				6/17/2015	6/1/2016	80,052	80,417				0	-365
153	SALESFORCE COM INC	79466L302	X				11/12/2014	4/18/2016	8,060	7,894				0	166
154	SALESFORCE COM INC	79466L302	X				1/4/2013	4/18/2016	24,439	15,983				0	8,456
155	TCW EMERGING	87234N765	X				7/20/2012	1/19/2016	1	2				0	-1
156	TCW EMERGING	87234N765	X				7/20/2012	1/19/2016	99,993	123,092				0	-23,099
157	TCW EMERGING	87234N765	X				7/20/2012	1/19/2016	5	7				0	-2
158	THORNBURG STRATEGIC	885215194	X				12/17/2013	6/7/2016	250,070	263,767				0	-13,697
159	THORNBURG STRATEGIC	885215194	X				12/17/2013	6/7/2016	0	0				0	0
160	THORNBURG STRATEGIC	885215194	X				12/17/2013	9/13/2016	4	4				0	0
161	THORNBURG STRATEGIC	885215194	X				12/17/2013	9/13/2016	372,910	387,179				0	-14,269
162	3M COMPANY	88579Y101	X				11/12/2014	8/17/2016	5,950	5,508				0	442
163	3M COMPANY	88579Y101	X				5/5/2014	8/17/2016	181,046	149,835				0	31,211
164	U S TREASURY NOTE	912828K74	X				10/19/2015	5/20/2016	253,623	249,161				0	4,462
165	U S TREASURY NOTE	912828K74	X				11/6/2015	7/21/2016	259,639	242,764				0	16,875
166	PAYCHEX INC	704326107	X				4/5/2012	6/20/2016	89,143	53,331				0	35,812
167	U S TREASURY NOTE	912828M80	X				12/11/2015	3/8/2016	314,337	308,299				0	6,038
168	WAL-MART STORES INC	931142103	X				12/3/2013	2/22/2016	7,200	9,725				0	-2,525
169	WAL-MART STORES INC	931142103	X				10/5/2012	2/22/2016	112,798	136,852				0	-24,054
170	WAL-MART STORES INC	931142103	X				10/14/2015	3/9/2016	118,747	117,914				0	833
171	WAL-MART STORES INC	931142103	X				12/14/2015	4/18/2016	129,997	119,165				0	10,832
172	WAL-MART STORES INC	931142103	X				10/14/2015	4/18/2016	6,250	6,206				0	44
173	WELLS FARGO & CO NEW DE	949746101	X				6/28/2016	9/9/2016	48,929	45,656				0	3,273
174	WELLS FARGO & CO NEW DE	949746101	X				9/24/2015	9/9/2016	97,857	100,120				0	-2,263
175	WELLS FARGO & CO NEW DE	949746101	X				9/22/2015	9/9/2016	97,857	102,040				0	-4,183
176	FSLR FEB 00037 500	PUTCALL	X				10/7/2015	1/19/2016	2,925	0				0	2,925
177	GREK FEB 00001 500	PUTCALL	X				12/14/2015	1/19/2016	315	0				0	315
178	GREK FEB 00001 500	PUTCALL	X				12/14/2015	1/19/2016	945	0				0	945
179	GREK FEB 00001 500	PUTCALL	X				12/14/2015	1/19/2016	4,650	0				0	4,650
180	FSLR FEB 00037 500	PUTCALL	X				10/7/2015	1/19/2016	5,625	0				0	5,625
181	GREK FEB 00001 500	PUTCALL	X				12/14/2015	1/19/2016	630	0				0	630
182	AKAM AUG 00075	PUTCALL	X				12/4/2015	1/19/2016	4,872	0				0	4,872
183	FSLR FEB 00037 500	PUTCALL	X				10/7/2015	1/19/2016	2,700	0				0	2,700
184	SALE	PUTCALL	X				12/4/2015	1/19/2016	1,050	0				0	1,050
185	SALE	PUTCALL	X				12/4/2015	2/22/2016	9,640	0				0	9,640
186	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	2,035	0				0	2,035
187	ASX MAR 00002 500	PUTCALL	X				12/7/2015	2/22/2016	2,500	0				0	2,500
188	SALE	PUTCALL	X				12/14/2015	2/22/2016	2,100	0				0	2,100
189	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	370	0				0	370
190	PEZ MAR 00043	PUTCALL	X				12/14/2015	2/22/2016	715	0				0	715
191	PEZ MAR 00043	PUTCALL	X				12/14/2015	2/22/2016	1,375	0				0	1,375
192	PSX MAR 00082	PUTCALL	X				12/14/2015	2/22/2016	9,240	0				0	9,240
193	PEZ MAR 00043	PUTCALL	X				12/14/2015	2/22/2016	660	0				0	660
194	SALE	PUTCALL	X				12/4/2015	2/22/2016	1,420	0				0	1,420
195	SALE	PUTCALL	X				11/20/2015	2/22/2016	735	0				0	735
196	SALE	PUTCALL	X				11/20/2015	2/22/2016	745	0				0	745
197	SALE	PUTCALL	X				11/20/2015	2/22/2016	1,500	0				0	1,500
198	SALE	PUTCALL	X				12/4/2015	2/22/2016	2,840	0				0	2,840
199	VRSN APR 00090	PUTCALL	X				12/4/2015	2/22/2016	2,150	0				0	2,150
200	AAPL MAR 00109	PUTCALL	X				12/4/2015	2/22/2016	925	0				0	925

Part I, Line 16c (990-PF) - Other Professional Fees

		18,940	11,364	0	7,576
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Professional Fees	18,940	11,364		7,576

Part I, Line 18 (990-PF) - Taxes

		66,166	8,248	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	8,248	8,248		
2	PY Excise Tax Due	24,929			
3	Estimated Excise Payments	32,989			

Part I, Line 23 (990-PF) - Other Expenses

		607	597	0	10
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Investing Fees	597	597		
2	State AG Fees	10	0		10

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

		Federal	3,468,912	5,710,249	0	5,746,840	
		State/Local	0	0	0	0	
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year	State/Local Obligation
1				0			
2	CALIFORNIA ST VAR PURP		0	551,609		557,300	
3	CALIFORNIA ST VAR PURP		0	551,795		557,210	
4	CALIFORNIA ST VAR PURP		0	548,381		562,030	
5	MIAMI-DADE CNTY FLA WTR		0	431,606		440,408	
6	U S TREASURY NOTE		0	1,002,285		1,001,060	
7	CALIFORNIA ST VAR PURP		0	551,609		557,300	
8	U S TREASURY BILL		0	456,975		457,263	
9	U S TREASURY NOTE		0	1,032,205		1,031,092	
10	U S TREASURY NOTE		0	343,719		343,336	
11	U S TREASURY NOTE		0	240,065		239,841	

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			8,300,150	6,930,400	0	9,606,618
1						
2	AT& T INC	0		164,600		212,650
3	ALIBABA GROUP HOLDING LT	0		168,994		175,620
4	AMERICAN INTERNATIONAL	0		67,594		71,841
5	AMGEN INC	0		87,250		73,105
6	APPLE COMPUTER INC COM	0		577,844		899,111
7	ASTRAZENECA PLC SPONS ADR	0		249,803		207,632
8	BERKSHIRE HATHAWAY INC CL A	0		855,953		1,464,726
9	BORG WARNER INC	0		78,061		78,880
10	CSX CORP COM	0		246,758		359,300
11	CISCO SYS INC	0		137,928		250,040
12	CITIGROUP INC COM NEW	0		346,805		594,300
13	EXXON MOBIL CORP	0		219,600		225,650
14	GENERAL ELECTRIC CO	0		286,904		410,800
15	GILEAD SCIENCES INC	0		166,367		143,220
16	ITT INC SHS	0		38,027		57,855
17	J P MORGAN CHASE & CO	0		661,306		951,434
18	ELI LILLY & CO COM	0		158,510		147,100
19	METLIFE INC	0		340,866		538,900
20	NORTHROP GRUMMAN CORP	0		242,641		348,870
21	OCCIDENTAL PETROLEUM CORPORATIO	0		204,364		213,690
22	PEPSICO INC	0		361,354		523,150
23	PROCTER & GAMBLE CO COM	0		195,193		210,200
24	QUALCOMM INC	0		108,836		130,400
25	RAYTHEON CO	0		203,150		355,000
26	SANOFI-SYNTHELABO	0		285,822		314,178
27	VERIZON COMMUNICATIONS INC	0		256,421		464,406
28	WHOLE FOODS MKT INC	0		219,449		184,560

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				1,255,541	1,128,129	0	1,131,475
1							
2	AXIS CAPITAL HLDGS LTD			0	255,644		242,016
3	AEGON NV			0	126,636		125,200
4	AEGON N V (AEG)			0	129,408		120,195
5	JP MORGAN CHASE & CO			0	116,441		125,214
6	GENERAL ELECTRIC CO			0	500,000		518,850

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			15,701,969	13,197,118	12,952,746
1				0	
2			0	-12,195	-20,400
3			0	-12,120	-20
4	AMG YACKTMAN FOCUSED		0	623,549	580,265
5	ALGER SPECTRA FUND		0	726,499	729,918
6	COHEN & STEERS		0	310,000	271,016
7	DEUTSCHE GLOBAL		0	74,347	69,209
8	FIRST TR EXCHANGE TRADED		0	83,092	69,705
9	GABELLI SMALL CAP GROWTH		0	215,896	246,394
10	GOLDMAN SACHS STRUCTURED		0	256,686	299,726
11	HARRIS ASSOC INVT TR OAKMARK INTL F		0	472,285	464,696
12	ISHARES TR RUSSELL MIDCAP INDEX FD		0	258,020	268,290
13	ISHARES TR		0	394,443	419,600
14	ISHARES TR		0	122,777	134,850
15	KBW REGIONAL BANKING		0	299,557	418,553
16	SECTOR SPDR TR		0	220,998	222,872
17	VAN ECK EMERGING MARKETS		0	294,324	259,296
18	WISDOMTREE JAPAN DIV FD		0	319,565	285,648
19	WISDOMTREE EUROPE HEDGED		0	295,388	284,819
20	PRIOR YEAR - OTHER INVESTMENTS		-88,342	0	0
21	AMER FUNDS AMERICAN HIGH		0	493,409	487,405
22	DEUTSCHE GLOBAL HIGH		0	236,779	239,261
23	DEUTSCHE GLOBAL REAL		0	236,779	212,259
24	DOUBLELINE TOTAL RETURN		0	965,799	920,654
25	GUGGENHEIM TOTAL RETURN		0	512,909	508,488
26	LORD ABBETT SHORT		0	693,413	651,476
27	NEUBERGER BERMAN STRATEG		0	908,248	884,325
28	NUVEEN SHORT DURATION HI		0	352,925	340,755
29	NUVEEN PREFERRED		0	467,553	470,598
30	PIMCO TOTAL RETURN FUND		0	819,212	794,461
31	PRINCIPAL GLOBAL DIVERSI		0	660,300	602,883
32	PUTNAM SHORT DURATION		0	332,036	332,036
33	TCW EMERGING		0	333,423	297,034
34	THORNBURG STRATEGIC		0	934,416	897,610
35	WESTERN ASSET CORE BOND		0	306,806	309,064

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Cost Basis Adjustment	1	32,639
2	Mutual Fund and CTF Income Addition	2	490
3	Purchase of Accrued Interest c/o from PY	3	10,147
4	Total	4	43,276

Line 5 - Decreases not included in Part III, Line 2

1	Bond Amortization	1	1,369
2	Purchase of Accrued Interest c/o to Next Year	2	2,043
3	Total	3	3,412

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	83,252
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						10,188 965	0	16,920	9,381,192	824,693	0	0	0	824,693
1	SALE	PUTCALL		12/4/2015	2/22/2016	4,260		0	0	4,260	0	0	0	4,260
2	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	185		0	0	185	0	0	0	185
3	TEX FEB 00025	PUTCALL		5/9/2016	6/16/2016	2,600		0	380	2,220	0	0	0	2,220
4	IWM JUN 00144	PUTCALL		5/9/2016	6/20/2016	140		0	0	140	0	0	0	140
5	SDS JAN 00021	PUTCALL		5/10/2016	6/20/2016	2,200		0	0	2,200	0	0	0	2,200
6	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	2,775		0	0	2,775	0	0	0	2,775
7	MYL MAR 00045 500	PUTCALL		5/9/2016	6/28/2016	1,280		0	170	1,110	0	0	0	1,110
8	MYL MAR 00045 500	PUTCALL		5/9/2016	6/28/2016	1,280		0	170	1,110	0	0	0	1,110
9	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	2,775		0	0	2,775	0	0	0	2,775
10	RDSA JAN 00054	PUTCALL		6/16/2016	6/28/2016	470		0	115	355	0	0	0	355
11	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	9,435		0	0	9,435	0	0	0	9,435
12	SALE	PUTCALL		3/21/2016	5/23/2016	7,400		0	0	7,400	0	0	0	7,400
13	RDSA JAN 00054	PUTCALL		6/16/2016	6/28/2016	1,222		0	299	923	0	0	0	923
14	RDSA JAN 00054	PUTCALL		6/16/2016	6/28/2016	940		0	230	710	0	0	0	710
15	RDSA JAN 00054	PUTCALL		6/16/2016	6/28/2016	1,880		0	460	1,420	0	0	0	1,420
16	URI JAN 00099	PUTCALL		3/21/2016	6/28/2016	6,100		0	100	6,000	0	0	0	6,000
17	IAT FEB 00031	PUTCALL		5/9/2016	6/28/2016	1,300		0	200	1,100	0	0	0	1,100
18	RDSA JAN 00054	PUTCALL		6/16/2016	7/14/2016	188		0	670	-482	0	0	0	-482
19	TGT JAN 00069 500	PUTCALL		5/9/2016	6/16/2016	4,200		0	800	3,400	0	0	0	3,400
20	KWEB AUG 00043	PUTCALL		5/10/2016	7/14/2016	2,400		0	9,520	-7,120	0	0	0	-7,120
21	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	1,050		4,700	5,750	0	0	0	0	0
22	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	105		470	575	0	0	0	0	0
23	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	365		0	575	-210	0	0	0	-210
24	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	525		2,350	2,875	0	0	0	0	0
25	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	3,650		9,400	5,750	7,300	0	0	0	7,300
26	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	1,825		0	2,875	-1,050	0	0	0	-1,050
27	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	8,350		0	5,750	2,600	0	0	0	2,600
28	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	735		0	4,025	-3,290	0	0	0	-3,290
29	NBL AUG 00035	PUTCALL		6/16/2016	7/14/2016	105		0	575	-470	0	0	0	-470
30	IWM MAR 00136	PUTCALL		6/28/2016	7/22/2016	4,000		0	7,500	-3,500	0	0	0	-3,500
31	REGN FEB 00332 500	PUTCALL		5/10/2016	8/15/2016	5,000		0	850	4,150	0	0	0	4,150
32	SALE	PUTCALL		7/29/2016	8/15/2016	1,050		0	170	880	0	0	0	880
33	LOW FEB 00068	PUTCALL		6/16/2016	8/15/2016	275		0	10	265	0	0	0	265
34	LOW FEB 00068	PUTCALL		6/16/2016	8/15/2016	165		0	6	159	0	0	0	159
35	LOW FEB 00068	PUTCALL		6/16/2016	8/15/2016	605		0	22	583	0	0	0	583
36	LOW FEB 00068	PUTCALL		6/16/2016	8/15/2016	1,100		0	40	1,060	0	0	0	1,060
37	LOW FEB 00068	PUTCALL		6/16/2016	8/15/2016	55		0	2	53	0	0	0	53
38	JNUG MAR 00005	PUTCALL		6/16/2016	8/19/2016	365		0	690	-325	0	0	0	-325
39	JNUG MAR 00005	PUTCALL		6/16/2016	8/19/2016	365		0	685	-320	0	0	0	-320
40	JNUG MAR 00005	PUTCALL		6/16/2016	8/19/2016	730		0	1,370	-640	0	0	0	-640
41	CNNX SEP 00015	PUTCALL		6/16/2016	8/19/2016	2,060		0	1,640	420	0	0	0	420
42	CNNX SEP 00015	PUTCALL		6/16/2016	8/19/2016	5,150		0	4,055	1,095	0	0	0	1,095
43	CNNX SEP 00015	PUTCALL		6/16/2016	8/19/2016	3,090		0	2,445	645	0	0	0	645
44	FXI NOV 00035	PUTCALL		7/14/2016	8/19/2016	9,744		0	4,576	5,168	0	0	0	5,168
45	FXI NOV 00035	PUTCALL		7/14/2016	8/19/2016	4,249		0	2,002	2,247	0	0	0	2,247
46	FXI NOV 00035	PUTCALL		7/14/2016	8/19/2016	4,249		0	2,002	2,247	0	0	0	2,247
47	SALE	PUTCALL		7/29/2016	9/9/2016	630		0	765	-135	0	0	0	-135
48	SALE	PUTCALL		7/29/2016	9/9/2016	210		0	255	-45	0	0	0	-45
49	SALE	PUTCALL		7/29/2016	9/9/2016	350		0	425	-75	0	0	0	-75
50	SALE	PUTCALL		7/29/2016	9/9/2016	560		0	680	-120	0	0	0	-120
51	SALE	PUTCALL		7/29/2016	9/9/2016	280		0	340	-60	0	0	0	-60
52	SALE	PUTCALL		7/29/2016	9/9/2016	700		0	850	-150	0	0	0	-150
53	SALE	PUTCALL		7/29/2016	9/9/2016	280		0	340	-60	0	0	0	-60
54	SALE	PUTCALL		7/29/2016	9/9/2016	490		0	595	-105	0	0	0	-105
55	SALE	PUTCALL		8/5/2016	9/12/2016	3,240		0	180	3,060	0	0	0	3,060
56	SALE	PUTCALL		8/8/2016	9/12/2016	1,625		0	250	1,375	0	0	0	1,375
57	SALE	PUTCALL		6/16/2016	9/12/2016	3,200		0	80	3,120	0	0	0	3,120
58	SALE	PUTCALL		6/16/2016	9/12/2016	560		0	14	546	0	0	0	546
59	SALE	PUTCALL		8/15/2016	10/3/2016	1,505		0	50	1,455	0	0	0	1,455
60	SALE	PUTCALL		8/12/2016	10/20/2016	1,200		0	2,045	-845	0	0	0	-845
61	SALE	PUTCALL		8/12/2016	10/20/2016	1,200		0	2,050	-850	0	0	0	-850
62	SALE	PUTCALL		8/12/2016	10/20/2016	2,400		0	4,110	-1,710	0	0	0	-1,710
63	SALE	PUTCALL		7/14/2016	10/20/2016	13,400		0	2,020	11,380	0	0	0	11,380
64	SALE	PUTCALL		9/20/2016	10/24/2016	1,000		0	0	1,000	0	0	0	1,000
65	SALE	PUTCALL		9/20/2016	10/24/2016	1,020		0	0	1,020	0	0	0	1,020
66	SALE	PUTCALL		8/12/2016	10/24/2016	292		0	0	292	0	0	0	292
67	SALE	PUTCALL		8/12/2016	10/24/2016	3,358		0	0	3,358	0	0	0	3,358
68	SALE	PUTCALL		9/20/2016	11/21/2016	750		0	0	750	0	0	0	750
69	SALE	PUTCALL		9/20/2016	11/21/2016	1,350		0	0	1,350	0	0	0	1,350

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	83,252
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						10,188,965	0	16,920	9,381,192	824,693	0	0	0	824,693
70	SALE	PUTCALL		9/20/2016	11/21/2016	1,750		0	0	1,750	0	0	0	1,750
71	SALE	PUTCALL		10/21/2016	12/19/2016	1,410		0	0	1,410	0	0	0	1,410
72	ALIBABA GROUP HOLDING L	01609W102		1/30/2015	8/22/2016	84,998		0	89,480	-4,482	0	0	0	-4,482
73	AMER FUNDS AMERICAN HIC	026547828		11/12/2014	6/7/2016	449,584		0	507,094	-57,510	0	0	0	-57,510
74	AMER FUNDS AMERICAN HIC	026547828		3/28/2016	6/7/2016	2		0	2	0	0	0	0	0
75	AMER FUNDS AMERICAN HIC	026547828		11/12/2014	9/13/2016	547,857		0	603,240	-55,383	0	0	0	-55,383
76	AMER FUNDS AMERICAN HIC	026547828		3/28/2016	9/13/2016	3		0	3	0	0	0	0	0
77	AMERICAN INTERNATIONAL	026874784		3/14/2012	6/9/2016	93,498		0	48,174	45,324	0	0	0	45,324
78	AMERICAN INTERNATIONAL	026874784		3/14/2012	9/13/2016	54,999		0	28,337	26,662	0	0	0	26,662
79	CNNX SEP 00015	PUTCALL		6/16/2016	8/19/2016	5,150		0	4,055	1,095	0	0	0	1,095
80	AMERICAN TOWER REIT INC	03027X100		3/28/2014	7/18/2016	38,939		0	29,145	9,794	0	0	0	9,794
81	AMERICAN TOWER REIT INC	03027X100		11/12/2014	7/18/2016	71,058		0	64,400	6,658	0	0	0	6,658
82	BLACKROCK HIGH YIELD	09253C769		3/15/2016	11/16/2016	6		0	6	0	0	0	0	0
83	BLACKROCK HIGH YIELD	09253C769		3/15/2016	11/16/2016	236,789		0	242,614	-5,825	0	0	0	-5,825
84	BLACKSTONE ALT MULTI	09257V201		7/20/2015	3/28/2016	287,405		0	308,857	-21,452	0	0	0	-21,452
85	BLACKSTONE ALT MULTI	09257V201		7/20/2015	3/28/2016	2		0	3	-1	0	0	0	-1
86	BOEING COMPANY	097023105		3/3/2015	11/21/2016	139,997		0	156,380	-16,383	0	0	0	-16,383
87	C H ROBINSON WORLDWIDE	12541W209		11/12/2014	2/22/2016	2,600		0	2,900	-300	0	0	0	-300
88	C H ROBINSON WORLDWIDE	12541W209		8/5/2014	2/22/2016	94,898		0	100,083	-5,185	0	0	0	-5,185
89	CALIFORNIA RESOURCES	13057Q107		9/22/2015	4/4/2016	0		0	0	0	0	0	0	0
90	CALIFORNIA RESOURCES	13057Q107		9/22/2015	5/3/2016	357		0	215	142	0	0	0	142
91	CHIPOTLE MEXICAN GRILL	169656105		6/28/2016	7/22/2016	86,725		0	77,988	8,737	0	0	0	8,737
92	CISCO SYSTEMS INC COM	17275R102		5/12/2011	10/3/2016	57,999		0	33,976	24,023	0	0	0	24,023
93	CONCHO RESOURCES INC	20605P101		11/12/2014	6/20/2016	24,599		0	23 691	908	0	0	0	908
94	CONCHO RESOURCES INC	20605P101		6/25/2013	6/20/2016	35,399		0	25,051	10,348	0	0	0	10,348
95	DANAHER CORP DEL COM	235851102		11/12/2014	9/19/2016	25,349		0	21,254	4,095	0	0	0	4,095
96	DANAHER CORP DEL COM	235851102		8/6/2014	9/19/2016	49,649		0	37,332	12,317	0	0	0	12,317
97	DOUBLELINE TOTAL RETURN	258620103		2/14/2012	1/19/2016	4		0	4	0	0	0	0	0
98	DOUBLELINE TOTAL RETURN	258620103		2/14/2012	1/19/2016	2		0	2	0	0	0	0	0
99	DOUBLELINE TOTAL RETURN	258620103		2/14/2012	1/19/2016	199,996		0	205,879	-5,883	0	0	0	-5,883
100	DOUBLELINE TOTAL RETURN	258620103		2/14/2012	1/19/2016	99,998		0	102,940	-2,942	0	0	0	-2,942
101	FORTIVE CORP	34959J108		11/12/2014	9/19/2016	8,450		0	6,613	1,837	0	0	0	1,837
102	FORTIVE CORP	34959J108		8/6/2014	9/19/2016	16,550		0	11,615	4,935	0	0	0	4,935
103	GUGGENHEIM TOTAL RETUR	40168W525		7/24/2015	1/19/2016	99,984		0	101,971	-1,987	0	0	0	-1,987
104	GUGGENHEIM TOTAL RETUR	40168W525		7/24/2015	1/19/2016	13		0	14	-1	0	0	0	-1
105	GUGGENHEIM TOTAL RETUR	40168W525		7/24/2015	1/19/2016	3		0	3	0	0	0	0	0
106	HSBC FINANCE CORPORA C	40429C607		6/24/2014	6/30/2016	125,000		0	125,689	-689	0	0	0	-689
107	ISHARES RUSSELL MIDCAP	464287499		2/11/2015	6/16/2016	222,759		0	230,139	-7,380	0	0	0	-7,380
108	ISHARES RUSSELL 1000	464287614		2/11/2015	6/16/2016	489,855		0	483,538	6,317	0	0	0	6,317
109	AMGEN INC COM PV $0 0001	031162100		6/28/2016	8/15/2016	74,998		0	73,695	1,303	0	0	0	1,303
110	ISHARES TR RUSSELL 2000	464287655		2/11/2015	6/16/2016	53,066		0	55,975	-2,909	0	0	0	-2,909
111	JPMORGAN CHASE & CO	46625H100		9/13/2004	8/22/2016	30,562		0	19,350	11,212	0	0	0	11,212
112	JPMORGAN CHASE & CO	46625H100		11/13/2003	8/22/2016	94,435		0	54,094	40,341	0	0	0	40,341
113	JOHNSON AND JOHNSON C	478160104		11/12/2014	7/18/2016	7,475		0	7,070	405	0	0	0	405
114	JOHNSON AND JOHNSON C	478160104		3/5/2013	7/18/2016	222,060		0	149,942	72,118	0	0	0	72,118
115	JOHNSON AND JOHNSON C	478160104		12/3/2013	7/18/2016	11,960		0	9,734	2,226	0	0	0	2,226
116	MADISON (THE) SQUARE	553573106		3/14/2012	7/21/2016	71,861		0	40,608	31,253	0	0	0	31,253
117	MADISON (THE) SQUARE	55825T103		3/14/2012	5/23/2016	241,710		0	104,312	137,398	0	0	0	137,398
118	MERCK AND CO INC SHS	58933Y105		7/14/2016	9/13/2016	19,020		0	18,925	95	0	0	0	95
119	MERCK AND CO INC SHS	58933Y105		2/27/2009	9/13/2016	81,893		0	37,253	44,640	0	0	0	44,640
120	MERCK AND CO INC SHS	58933Y105		10/26/2009	9/13/2016	58,979		0	31,511	27,468	0	0	0	27,468
121	MERCK AND CO INC SHS	58933Y105		7/14/2016	9/19/2016	12,000		0	11,940	60	0	0	0	60
122	NEUBERGER BERMAN STRA	64128K751		1/31/2014	6/7/2016	6		0	6	0	0	0	0	0
123	NEUBERGER BERMAN STRA	64128K751		1/31/2014	6/7/2016	121,926		0	125,257	-3,331	0	0	0	-3,331
124	NEUBERGER BERMAN STRA	64128K751		1/31/2014	9/13/2016	3		0	3	0	0	0	0	0
125	NEUBERGER BERMAN STRA	64128K751		1/31/2014	9/13/2016	5		0	5	0	0	0	0	0
126	NEUBERGER BERMAN STRA	64128K751		1/31/2014	9/13/2016	630,267		0	635,571	-5,304	0	0	0	-5,304
127	NUVEEN SHORT DURATION I	67065Q699		3/15/2016	9/13/2016	1		0	1	0	0	0	0	0
128	NUVEEN SHORT DURATION I	67065Q699		3/15/2016	9/13/2016	259,115		0	253,618	5,497	0	0	0	5,497
129	NUVEEN SHORT DURATION I	67065Q699		3/15/2016	9/13/2016	5		0	4	1	0	0	0	1
130	MERCK AND CO INC SHS	58933Y105		10/26/2009	9/13/2016	28,104		0	16,381	11,723	0	0	0	11,723
131	NUVEEN ALL AMER	67065Q855		1/31/2014	11/16/2016	13,076		0	12,617	459	0	0	0	459
132	NUVEEN ALL AMER	67065Q855		6/27/2013	11/16/2016	220,202		0	213,249	6,953	0	0	0	6,953
133	NUVEEN ALL AMER	67065Q855		1/31/2014	11/16/2016	9		0	8	1	0	0	0	1
134	NUVEEN PREFERRED	670700400		2/14/2012	6/7/2016	17		0	15	2	0	0	0	2
135	NUVEEN PREFERRED	670700400		2/14/2012	6/7/2016	22,518		0	21,318	1,200	0	0	0	1,200
136	NUVEEN PREFERRED	670700400		1/6/2012	6/7/2016	9		0	8	1	0	0	0	1
137	NUVEEN PREFERRED	670700400		1/6/2012	6/7/2016	113,450		0	102,495	10,955	0	0	0	10,955
138	NUVEEN PREFERRED	670700400		2/14/2012	9/13/2016	408,652		0	381,000	27,652	0	0	0	27,652

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	83,252
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						10,188,965	0	16,920	9,381,192	824,693	0	0	0	824,693
139	NUVEEN PREFERRED	670700400		2/14/2012	9/13/2016	9		0	8	1	0	0	0	1
140	NUVEEN PREFERRED	670700400		1/6/2012	9/13/2016	1		0	1	0	0	0	0	0
141	PAYCHEX INC	704326107		4/5/2012	5/9/2016	130,172		0	78,521	51,651	0	0	0	51,651
142	PAYCHEX INC	704326107		12/3/2013	6/20/2016	11,655		0	9,714	1,941	0	0	0	1,941
143	PAYCHEX INC	704326107		6/29/2012	6/20/2016	36,014		0	21,559	14,455	0	0	0	14,455
144	PAYCHEX INC	704326107		11/12/2014	6/20/2016	20,685		0	18,694	1,991	0	0	0	1,991
145	PFIZER INC	717081103		12/28/2010	8/3/2016	178,671		0	90,002	88,669	0	0	0	88,669
146	PFIZER INC	717081103		4/14/2010	8/3/2016	89,038		0	43,376	45,662	0	0	0	45,662
147	PFIZER INC	717081103		4/26/2010	8/3/2016	40,424		0	19,496	20,928	0	0	0	20,928
148	PFIZER INC	717081103		4/26/2010	8/3/2016	41,859		0	20,208	21,651	0	0	0	21,651
149	QWEST CORP CLD	74913G204		6/17/2015	5/16/2016	27,164		0	27,608	-444	0	0	0	-444
150	QWEST CORP CLD	74913G204		6/17/2015	5/17/2016	219,550		0	223,140	-3,590	0	0	0	-3,590
151	QWEST CORPORATION	74913G402		6/17/2015	5/31/2016	169,542		0	170,314	-772	0	0	0	-772
152	QWEST CORPORATION	74913G402		6/17/2015	6/1/2016	80,052		0	80,417	-365	0	0	0	-365
153	SALESFORCE COM INC	79466L302		11/12/2014	4/18/2016	8,060		0	7,894	166	0	0	0	166
154	SALESFORCE COM INC	79466L302		1/4/2013	4/18/2016	24,439		0	15,983	8,456	0	0	0	8,456
155	TCW EMERGING	87234N765		7/20/2012	1/19/2016	1		0	2	-1	0	0	0	-1
156	TCW EMERGING	87234N765		7/20/2012	1/19/2016	99,993		0	123,092	-23,099	0	0	0	-23,099
157	TCW EMERGING	87234N765		7/20/2012	1/19/2016	5		0	7	-2	0	0	0	-2
158	THORNBURG STRATEGIC	885215194		12/17/2013	6/7/2016	250,070		0	263,767	-13,697	0	0	0	-13,697
159	THORNBURG STRATEGIC	885215194		12/17/2013	6/7/2016	0		0	0	0	0	0	0	0
160	THORNBURG STRATEGIC	885215194		12/17/2013	9/13/2016	4		0	4	0	0	0	0	0
161	THORNBURG STRATEGIC	885215194		12/17/2013	9/13/2016	372,910		0	387,179	-14,269	0	0	0	-14,269
162	3M COMPANY	88579Y101		11/12/2014	8/17/2016	5,950		0	5,508	442	0	0	0	442
163	3M COMPANY	88579Y101		5/5/2014	8/17/2016	181,046		0	149,835	31,211	0	0	0	31,211
164	U S TREASURY NOTE	912828K74		10/19/2015	5/20/2016	253,623		0	249,161	4,462	0	0	0	4,462
165	U S TREASURY NOTE	912828K74		11/6/2015	7/21/2016	259,639		0	242,764	16,875	0	0	0	16,875
166	PAYCHEX INC	704326107		4/5/2012	6/20/2016	89,143		0	53,331	35,812	0	0	0	35,812
167	U S TREASURY NOTE	912828M80		12/11/2015	3/8/2016	314,337		0	308,299	6,038	0	0	0	6,038
168	WAL-MART STORES INC	931142103		12/3/2013	2/22/2016	7,200		0	9,725	-2,525	0	0	0	-2,525
169	WAL-MART STORES INC	931142103		10/5/2012	2/22/2016	112,798		0	136,852	-24,054	0	0	0	-24,054
170	WAL-MART STORES INC	931142103		10/14/2015	3/9/2016	118,747		0	117,914	833	0	0	0	833
171	WAL-MART STORES INC	931142103		12/14/2015	4/18/2016	129,997		0	119,165	10,832	0	0	0	10,832
172	WAL-MART STORES INC	931142103		10/14/2015	4/18/2016	6,250		0	6,206	44	0	0	0	44
173	WELLS FARGO & CO NEW DE	949746101		6/28/2016	9/9/2016	48,929		0	45,656	3,273	0	0	0	3,273
174	WELLS FARGO & CO NEW DE	949746101		9/24/2015	9/9/2016	97,857		0	100,120	-2,263	0	0	0	-2,263
175	WELLS FARGO & CO NEW DE	949746101		9/22/2015	9/9/2016	97,857		0	102,040	-4,183	0	0	0	-4,183
176	FSLR FEB 00037 500	PUTCALL		10/7/2015	1/19/2016	2,925		0	0	2,925	0	0	0	2,925
177	GREK FEB 00001 500	PUTCALL		12/14/2015	1/19/2016	315		0	0	315	0	0	0	315
178	GREK FEB 00001 500	PUTCALL		12/14/2015	1/19/2016	945		0	0	945	0	0	0	945
179	GREK FEB 00001 500	PUTCALL		12/14/2015	1/19/2016	4,650		0	0	4,650	0	0	0	4,650
180	FSLR FEB 00037 500	PUTCALL		10/7/2015	1/19/2016	5,625		0	0	5,625	0	0	0	5,625
181	GREK FEB 00001 500	PUTCALL		12/14/2015	1/19/2016	630		0	0	630	0	0	0	630
182	AKAM AUG 00075	PUTCALL		12/4/2015	1/19/2016	4,872		0	0	4,872	0	0	0	4,872
183	FSLR FEB 00037 500	PUTCALL		10/7/2015	1/19/2016	2,700		0	0	2,700	0	0	0	2,700
184	SALE	PUTCALL		12/4/2015	1/19/2016	1,050		0	0	1,050	0	0	0	1,050
185	SALE	PUTCALL		12/4/2015	2/22/2016	9,640		0	0	9,640	0	0	0	9,640
186	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	2,035		0	0	2,035	0	0	0	2,035
187	ASX MAR 00002 500	PUTCALL		12/7/2015	2/22/2016	2,500		0	0	2,500	0	0	0	2,500
188	SALE	PUTCALL		12/14/2015	2/22/2016	2,100		0	0	2,100	0	0	0	2,100
189	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	370		0	0	370	0	0	0	370
190	PEZ MAR 00043	PUTCALL		12/14/2015	2/22/2016	715		0	0	715	0	0	0	715
191	PEZ MAR 00043	PUTCALL		12/14/2015	2/22/2016	1,375		0	0	1,375	0	0	0	1,375
192	PSX MAR 00082	PUTCALL		12/14/2015	2/22/2016	9,240		0	0	9,240	0	0	0	9,240
193	PEZ MAR 00043	PUTCALL		12/14/2015	2/22/2016	660		0	0	660	0	0	0	660
194	SALE	PUTCALL		12/4/2015	2/22/2016	1,420		0	0	1,420	0	0	0	1,420
195	SALE	PUTCALL		11/20/2015	2/22/2016	735		0	0	735	0	0	0	735
196	SALE	PUTCALL		11/20/2015	2/22/2016	745		0	0	745	0	0	0	745
197	SALE	PUTCALL		11/20/2015	2/22/2016	1,500		0	0	1,500	0	0	0	1,500
198	SALE	PUTCALL		12/4/2015	2/22/2016	2,840		0	0	2,840	0	0	0	2,840
199	VRSN APR 00090	PUTCALL		12/4/2015	2/22/2016	2,150		0	0	2,150	0	0	0	2,150
200	AAPL MAR 00109	PUTCALL		12/4/2015	2/22/2016	925		0	0	925	0	0	0	925

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										209,866	0	0
1	MLTC, A DIVISION OF BANK OF A		P O Box 1501, NJ2-130-03-31	Pennington	NJ	08534-1501			2 00	209,866		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	0
2	First quarter estimated tax payment	5/13/2016	2	2,570
3	Second quarter estimated tax payment	6/14/2016	3	5,450
4	Third quarter estimated tax payment	9/14/2016	4	5,966
5	Fourth quarter estimated tax payment	12/14/2016	5	19,003
6	Other payments		6	0
7	Total		7	32,989

PRICEWATERHOUSECOOPERS

PricewaterhouseCoopers LLP
600 Grant Street
51ST Floor
15219-2777
Tel 412.355 6000
Fax 412 355 7576

5/11/17 11:33

To THE DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

ML 9402 8106 9994 5022 9929 43

Subject 2016 Transmittal of Form 990PF

We have enclosed tax returns listed on pages 1 through 1
Please sign and return this page as a receipt

Account ID	Account Name	Tax ID	Refund	Admin ID
20718081	HELEN BRAME IRR TRUST	61-6356960	152.00	RXH
7HR74008	MARJORIE LINDSEY CHARITABLE TRU	26-6906657	17,154.00	RXH
		Total Money:	17,306.00	

Instructions Please acknowledge the receipt of the enclosed return(s) and check(s), and return the copy in the envelope provided

Sincerely,

Acknowledgement

PRICEWATERHOUSECOOPERS

PricewaterhouseCoopers LLP
600 Grant Street
51ST Floor
15219-2777
Tel 412 355 6000
Fax 412 355 7576

5/11/17 11:32

To THE DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

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