



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year **2016** or tax year beginning , **2016**, and ending , **20**

Name of foundation RICHARD W AND IRENE ROOKER FAMILY FOUNDATION		A Employer identification number 26-6443697
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 574-269-5010
City or town, state or province, country, and ZIP or foreign postal code WARSAW, IN 46580		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/>	D 1 Foreign organizations, check here . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here . . . <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,452,433.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments.				
4 Dividends and interest from securities	37,704.	37,704.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-33,214.			
b Gross sales price for all assets on line 6a 531,120.				
Capital gain net income (from Part IV, line 2)				
Net short-term capital gain				
Income modifications				
9a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
Other income (attach schedule)	1,783.			
12 Total. Add lines 1 through 11	6,273.	37,704.		STMT 2
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 3	1,600.	NONE	NONE	1,600.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	869.	489.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 5	15,375.	15,375.		
24 Total operating and administrative expenses. Add lines 13 through 23	17,844.	15,864.	NONE	1,600.
25 Contributions, gifts, grants paid	77,340.			77,340.
26 Total expenses and disbursements Add lines 24 and 25	95,184.	15,864.	NONE	78,940.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-88,911.			
b Net investment income (if negative, enter -0-)		21,840.		
c Adjusted net income (if negative, enter -0-)				

RECEIVED
AUG 04 2017
OGDEN, UT

9-30

12

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	NONE		
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
Liabilities		Less: accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	1,418,657.	1,329,746.	1,452,433.
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶ (attach schedule)			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,418,657.	1,329,746.	1,452,433.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			NONE
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds	1,418,657.	1,329,746.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,418,657.	1,329,746.	
	31	Total liabilities and net assets/fund balances (see instructions)	1,418,657.	1,329,746.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,418,657.
2	Enter amount from Part I, line 27a	2	-88,911.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,329,746.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,329,746.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 531,120.		564,334.	-33,214.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			-33,214.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-33,214.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	80,433.	1,481,253.	0.054301
2014	88,557.	1,572,989.	0.056299
2013	79,900.	1,508,155.	0.052979
2012	72,307.	1,410,980.	0.051246
2011	56,950.	1,294,400.	0.043997
2 Total of line 1, column (d)			2 0.258822
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.051764
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,406,543.
5 Multiply line 4 by line 3.			5 72,808.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 218.
7 Add lines 5 and 6.			7 73,026.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 78,940.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	218.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	218.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	218.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	380.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	380.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	162.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11	162.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► SEE STATEMENT 6 Telephone no. ► Located at ► ZIP+4 ►		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD A ROOKER	CO-TRUSTEE			
2228 S STONEBRIDGE RD, WARSAW, IN 46580-7	1	-0-	-0-	-0-
MARCIA LEE	CO-TRUSTEE			
1133 DAVIS ROAD, HAMILTON, OH 45013	1	-0-	-0-	-0-
GRETCHEN PARENTEAU	CO-TRUSTEE			
518 KINROSS COURT, SUNNYVALE, CA 94087	1	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

Form 990-PF (2016)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	NONE
---	-------------

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Part IX-B Summary of Program-Related Investments (see instructions)

Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,427,962.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,427,962.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,427,962.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	21,419.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,406,543.
6	Minimum investment return. Enter 5% of line 5	6	70,327.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	70,327.
2a	Tax on investment income for 2016 from Part VI, line 5 2a	218.	
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	218.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	70,109.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4.	5	70,109.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	70,109.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	78,940.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	78,940.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	218.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	78,722.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				70,109.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			NONE	
b Total for prior years 20 <u>14</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011				NONE
b From 2012				2,472.
c From 2013				6,212.
d From 2014				14,224.
e From 2015				7,124.
f Total of lines 3a through e	30,032.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>78,940.</u>				
a Applied to 2015, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount.				70,109.
e Remaining amount distributed out of corpus.	8,831.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	38,863.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	38,863.			
10 Analysis of line 9				
a Excess from 2012				2,472.
b Excess from 2013				6,212.
c Excess from 2014				14,224.
d Excess from 2015				7,124.
e Excess from 2016				8,831.

Part XIV Private, Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

GRETCHEN ROOKER-PARENTEAU

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 12				77,340.
Total			3a	77,340.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

NOT APPLICABLE

PRIVATE FOUNDATIONS
MONTHLY SUMMARY

RUN DATE 2017-01-19

BUS DATE 2017-01-18

FISCAL YEAR 2016 - 1231

BK-RG-OFF-ACCOUNT
101-200-10216-1591260

ACCOUNT NAME
ROOKER R & I FAM FDN TRUST AGTR
TAX ANALYST/NAME
Lisa Simmons

MONTH	CASH	SECURITIES	MARKET VALUE
JANUARY	24,515 67	1,342,138 13	1,366,653 80
FEBRUARY	67,803 17	1,296,389.24	1,364,192.41
MARCH	4,283.54	1,396,997.60	1,401,281 14
APRIL	81,309 52	1,328,794.02	1,410,103 54
MAY	66,961 53	1,343,432 78	1,410,394 31
JUNE	67,849 71	1,356,859 19	1,424,708.90
JULY	70,920.04	1,397,175 99	1,468,096.03
AUGUST	64,553.84	1,405,595.44	1,470,149.28
SEPTEMBER	58,656 32	1,418,036 00	1,476,692 32
OCTOBER	61,992.28	1,386,166 77	1,448,159 05
NOVEMBER	38,933 02	1,403,746 78	1,442,679.80
DECEMBER	37,018.63	1,415,414.76	1,452,433 39
MONTHLY AVG	53,733 11	1,374,228 89	1,427,962 00

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIV AND INT	37,704.	37,704.
	-----	-----
TOTAL	37,704.	37,704.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
TAX REFUND	1,783.
TOTALS	----- 1,783. =====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,600.			1,600.
TOTALS	1,600.	NONE	NONE	1,600.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL ESTIMATES - PRINCIPAL	380.	
FOREIGN TAXES ON QUALIFIED FOR	452.	452.
FOREIGN TAXES ON NONQUALIFIED	37.	37.
	-----	-----
TOTALS	869.	489.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT MGMT FEES-SUBJECT T	15,375.	15,375.
TOTALS	----- 15,375. =====	----- 15,375. =====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: KEYBANK NATIONAL ASSOCIATION

ADDRESS: 202 S. MICHIGAN ST. - TRUST TAX DEPT.
SOUTH BEND, IN 46601

TELEPHONE NUMBER: (574)282-8839

RECIPIENT NAME:

GROTON BIBLE CHAPEL

ADDRESS:

66 TOLL GATE ROAD

GROTON, CT 06340

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

RIVERDALE CEMETERY ASSOC

NIAGRA FALLS, NY

ADDRESS:

5605 OLD LEWISTON RD

LEWISTON, NY 14092

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

OLD FORT NIAGARA ASSOC., INC

ADDRESS:

PO BOX 169

YOUNGSTOWN, NY 14174

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

501 (C) (3)

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
MOUNT SAINT MARY'S
FOUNDATION INC.
ADDRESS:
5300 MILITARY ROAD
LEWISTON, NY 14092
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GIFT TO PROVIDE REHAB SERVICES
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)
AMOUNT OF GRANT PAID 5,353.

RECIPIENT NAME:
ACCESS TO ACHIEVEMENT EDUCATION
FOUNDATION
ADDRESS:
520 VIEW STREET
MOUNTAIN VIEW, CA 94041
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
503 (C) (3)
AMOUNT OF GRANT PAID 6,350.

RECIPIENT NAME:
NIAGARA FALLS MEMORIAL MEDICAL
CENTER FOUNDATION, INC.
ADDRESS:
621 TENTH STREET
NIAGARA FALLS, NY 14302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)
AMOUNT OF GRANT PAID 7,038.

RECIPIENT NAME:
NEW ENGLAND SCIENCE & SAILING FOUNDATION
ADDRESS:
PO BOX 733
STONINGTON, CT 06378-1423
AMOUNT OF GRANT PAID 1,799.

RECIPIENT NAME:
NATIONAL HISTORY DAY
UNIVERSITY OF MARYLAND
ADDRESS:
0119 CECIL HALL
COLLEGE PARK, MD 20742
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
IN SUPPORT OF PROJECT #22309
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)

RECIPIENT NAME:
INDIANA HISTORICAL SOCIETY
ADDRESS:
450 W. OHIO ST.
INDIANAPOLIS, IN 46202
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
KOSCIUSKO CARES YOUTH SERVICES BUREAU
ADDRESS:
1016 E. CENTER ST.
WARSAW, IN 46580-3419
AMOUNT OF GRANT PAID 5,800.

RECIPIENT NAME:
GRACE VILLAGE RETIREMENT CENTER
ADDRESS:
337 GRACE VILLAGE DRIVE
WINONA LAKE, IN 46590
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC

RECIPIENT NAME:
COMMITTEE TO COMMEMORATE
DR. MARTIN LUTHER KING JR., INC.
ADDRESS:
C/O LAKE CITY BANK
WARSAW, IN 46580
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL DONATION
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)

RECIPIENT NAME:
WARSAW AREA CAREER CENTER
ADDRESS:
1 TIGER LANE
WARSAW, IN 46580
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FOR WARSAW ROBOTICS CLUB&FREEDOM RIDERS BOOKS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC

RECIPIENT NAME:
LIFESOURCE
ADDRESS:
2550 UNIVERSITY AVE. WEST
ST. PAUL, MN 55114
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FOR TERRI OPP MEMORIAL GOLF TOURNAMENT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
FAITH IN ACTION SILICON VALLEY
ROTATING SHELTER
ADDRESS:
1669-2 HOLLENBECK AVE. #220
SUNNYVALE, CA 94087
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
UNITED STATES HOLOCAUST
MEMORIAL MUSEUM
ADDRESS:
P O BOX 1852
HIGHLAND PARK, IL 60035
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
RAILS TO TRAILS
ADDRESS:
2121 WARD COURT NW
WASHINGTON, DC 20037
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
NATURE CONSERVANCY
ADDRESS:
PO O BOX 6016
ALBERT LEA, MN 56007
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
HELP PROTECT SPECIAL PLACES AROUND THE WORLD
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
FREMONT HIGH SCHOOL
ADDRESS:
1279 SUNNYVALE-SARATOGA ROAD
SUNNYVALE, CA 94087
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
501 (C) (3)
AMOUNT OF GRANT PAID 5,000.

TOTAL GRANTS PAID: 77,340.
=====

FEDERAL CAPITAL GAIN DISTRIBUTIONS

=====

LONG-TERM CAPITAL GAIN DISTRIBUTIONS

15% RATE CAPITAL GAIN DISTRIBUTIONS

PRINCIPAL MIDCAP FUND \$0.050	1,385.00
TIAA-CREF LARGE-CAP VALUE INDEX \$0.327	520.00
WELLTOWER INC	162.00

TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS	2,067.00
---	----------

TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS	2,067.00
--	----------

=====

CHARITABLE TRUSTS HOLDINGS REPORT

BK-RG-OFF-ACCOUNT
101-200-10216-1591260
FISCAL YEAR CODE 1239

ACCOUNT NAME
ROOKER R & I FAM FDN TRUST AGTR
AS OF 12-31-2016

TAX ANALYST/NAME
Lisa Simmons

RUN DATE 2017-01-19
BUS DATE 2017-01-18
PAGE 1,095

CUSIP	ASSET NAME	PRINCIPAL MV	INCOME MV	TAX COST	UNITS
00206R102	AT&T INC COM	17,012 00	0.00	13,682 00	400.000
00817Y108	AETNA INC COM	18,601 50	0.00	15,177.00	150.000
02079K305	ALPHABET INC COM CL A	31,698.00	0 00	23,161.60	40 000
023135106	AMAZON COM INC COM	22,496.10	0.00	24,277 80	30.000
023608102	AMEREN CORP COM	20,984 00	0.00	16,704 00	400.000
031162100	AMGEN INC COM	21,931 50	0 00	26,293 50	150 000
037833100	APPLE INC COM	17,373.00	0 00	19,372 50	150 000
062540109	BANK OF HAWAII CORP COM	26,607 00	0.00	20,552 01	300.000
151020104	CELGENE CORP COM	23,150 00	0 00	27,416 00	200.000
171798101	CIMAREX ENERGY CO COM	13,590.00	0 00	10,915.00	100 000
172062101	CINCINNATI FINANCIAL CORP COM	18,937 50	0.00	15,332 50	250.000
194162103	COLGATE PALMOLIVE CO COM	11,779 20	0 00	8,372 25	180.000
25278X109	DIAMONDBACK ENERGY INC COM	15,159.00	0 00	10,877 17	150.000
258620103	DOUBLELINE TOTAL RETURN BOND FD OPEN-END FUND CL I	97,826 11	0 00	100,036 87	9,211 498
30303M102	FACEBOOK INC COM CL A	17,257 50	0 00	15,793 50	150.000
314074594	FEDERATED GOVT OBLIGATIONS FUND (PRM) PREMIER SHARES	20,634 22	16,384 41	37,018 63	37,018.630
40168W525	GUGGENHEIM TOTAL RETURN BOND FUND OPEN-END FUND INSTL CL	48,704 16	0.00	50,188.68	1,841 367
40434L105	HP INC COM	14,840.00	0 00	13,550 00	1,000.000
459200GM7	INTERNATIONAL BUSINESS MACHS NOTE DTD 10/15/08 7 625% DUE 10/15/18	55,202 00	0 00	50,160 00	50,000.000
464287200	ISHARES CORE S&P 500 ETF CLOSED-END FUND	134,994 00	0 00	97,144 99	600 000
464287242	ISHARES IBOX \$INVESTMENT BD FD CLOSED-END FUND	93,744 00	0 00	96,419 76	800.000
464287804	ISHARES CORE S&P SMALL-CAP ETF CLOSED-END FUND	55,008 00	0.00	30,535.88	400 000
464288687	ISHARES S&P US PREFERRED STOCK CLOSED-END FUND	37,210.00	0 00	22,495 20	1,000 000
46432F842	ISHARES CORE MSCI EAFE ETF CLOSED-END FUND	107,260 00	0 00	106,850 00	2,000.000
46625H100	JP MORGAN CHASE & CO COM	25,887 00	0 00	20,859 00	300 000
49456B101	KINDER MORGAN INC COM	31,065.00	0 00	23,520 00	1,500 000
52106N889	LAZARD EMERGING MARKETS EQUITY OPEN-END FUND INSTL CL	71,248 87	0 00	83,931 78	4,464.215
57636Q104	MASTERCARD INC COM CL A	15,487 50	0.00	13,750.50	150.000
580135101	MCDONALDS CORP COM	18,258 00	0 00	14,987 00	150 000
651229106	NEWELL BRANDS INC	13,395.00	0 00	12,642 00	300 000
65473P105	NISOURCE INC COM	15,498 00	0 00	9,690.49	700.000
74253Q747	PRINCIPAL MIDCAP FUND OPEN- END FUND INSTL CL	68,102 98	0.00	70,059.33	3,028.145
74460D109	PUBLIC STORAGE REIT	22,350 00	0.00	22,260 50	100 000
747525103	QUALCOMM INC COM	26,080 00	0 00	25,432 00	400.000
760759100	REPUBLIC SERVICES INC COM CL A	22,820 00	0 00	19,236 00	400 000
87244W664	TIAA-CREF LARGE-CAP VALUE INDEX OPEN-END FUND INSTL CL	57,274 07	0.00	52,759.38	3,178.361
912828XL9	UNITED STATES TREAS NTS INFL IDX DTD 7/15/2015 0 37500% 7/15/2025	50,685 77	0 00	51,043 60	50,000.000
*** TOTAL ***		1,436,048 98	16,384.41	1,329,746.21	172,192 216

CHARITABLE TRUSTS HOLDINGS REPORT

BK-RG-OFF-ACCOUNT
101-200-10216-1591260
FISCAL YEAR CODE 1232

ACCOUNT NAME TAX ANALYST/NAME
' ROOKER R & I FAM FDN TRUST AGTR Lisa Simmons
AS OF 12-31-2016

RUN DATE 2017-01-19
BUS DATE 2017-01-18
PAGE 1,096

CUSIP	ASSET NAME	PRINCIPAL MV	INCOME MV	TAX COST	UNITS
92343V104	VERIZON COMMUNICATIONS INC COM	16,014 00	0 00	14,742 00	300 000
95040Q104	WELLTOWER INC COM	13,386.00	0.00	10,411 79	200 000
969457100	WILLIAMS COS INC DEL COM	9,342 00	0 00	14,667 00	300 000
N53745100	LYONDELLBASELL INDUSTRIES N V FGN COM CL A	17,156 00	0 00	17,427 00	200.000
*** TOTAL ***		1,436,048.98	16,384.41	1,329,746.21	172,192 216