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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THOMAS W HAAS FOUNDATION		A Employer identification number 26-4036968	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 21948		B Telephone number (see instructions) (603) 766-3260	
City or town, state or province, country, and ZIP or foreign postal code PORTSMOUTH, NH 03802		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 72,507,309		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	15,798,760			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	995	995		
	4 Dividends and interest from securities . . .	955,277	928,591		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-1,424,505			
	b Gross sales price for all assets on line 6a 834,218				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	45,658	45,658		
	12 Total. Add lines 1 through 11	15,376,185	975,244		
	13 Compensation of officers, directors, trustees, etc	277,019	0		277,019
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	8,931	0		8,931
	16a Legal fees (attach schedule)	42,086	0		42,086
	b Accounting fees (attach schedule)	19,950	9,975		9,975
	c Other professional fees (attach schedule)	779,596	518,428		261,168
	17 Interest	34,050	34,050		0
	18 Taxes (attach schedule) (see instructions) . . .	29,457	68		11,399
	19 Depreciation (attach schedule) and depletion . . .	12,577	0		
	20 Occupancy	42,869	21,434		21,435
	21 Travel, conferences, and meetings	26,071	0		26,071
	22 Printing and publications				
	23 Other expenses (attach schedule)	112,660	68,474		43,160
	24 Total operating and administrative expenses. Add lines 13 through 23	1,385,266	652,429		701,244
	25 Contributions, gifts, grants paid	4,495,000			4,495,000
	26 Total expenses and disbursements. Add lines 24 and 25	5,880,266	652,429		5,196,244
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	9,495,919			
	b Net investment income (if negative, enter -0-)		322,815		
c Adjusted net income(if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	208,695	132,275	132,275		
	2	Savings and temporary cash investments	7,574,890	10,967,051	10,967,051		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	53,343,074	59,202,620	61,188,795		
	14	Land, buildings, and equipment basis ▶ 233,164 Less accumulated depreciation (attach schedule) ▶ 13,976	110,560	219,188	219,188		
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	61,237,219	70,521,134	72,507,309			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)	727,250	515,246			
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	727,250	515,246			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	60,509,969	70,005,888			
	30	Total net assets or fund balances (see instructions)	60,509,969	70,005,888			
31	Total liabilities and net assets/fund balances (see instructions) .	61,237,219	70,521,134				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	60,509,969
2	Enter amount from Part I, line 27a	2	9,495,919
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	70,005,888
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	70,005,888

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-1,424,505
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	5,826,467	53,920,342	0 108057
2014	8,080,312	43,982,474	0 183717
2013	4,794,666	36,147,051	0 132643
2012	7,230,133	31,190,306	0 231807
2011	4,250,865	23,459,259	0 181202
2 Total of line 1, column (d)			2 0 837426
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 167485
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 62,486,646
5 Multiply line 4 by line 3			5 10,465,576
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,228
7 Add lines 5 and 6			7 10,468,804
8 Enter qualifying distributions from Part XII, line 4			8 5,196,244

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	6,456
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	6,456
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,456
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	36,376
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	36,376
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,920
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 29,920 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0 (2) On foundation managers ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>DANIELLE KRAVETZ SMIDA</u> Telephone no ▶ <u>(603) 570-2751</u>			

Located at ▶ PO BOX 21948 PORTSMOUTH NH ZIP+4 ▶ 03802

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THOMAS W HAAS PO BOX 21948 PORTSMOUTH, NH 03802	PRESIDENT & TREASURER 0 25	0	0	0
BENJAMIN F GAYMAN PO BOX 808 MANCHESTER, NH 03105	SECRETARY 0 00	0	0	0
CELINA ADAMS 19 MENDUM AVE KITTERY, ME 03904	CHIEF PHILANTHROPIC OFFICE 40 00	277,019	8,931	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KITTYHAWK ADVISORS LLC PO BOX 21948 PORTSMOUTH, NH 03802	CONSULTING & INVESTMENT MANAGEMENT	506,846
FIDUCIARY TRUST 600 FIFTH AVENUE NEW YORK, NY 10020	INVESTMENT MANAGEMENT	257,259
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	58,056,245
b	Average of monthly cash balances.	1b	5,381,974
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	63,438,219
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	63,438,219
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	951,573
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	62,486,646
6	Minimum investment return. Enter 5% of line 5.	6	3,124,332

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,124,332
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	6,456
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,456
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,117,876
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	3,117,876
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,117,876

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,196,244
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	5,196,244
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,196,244

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				3,117,876
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	3,077,902			
b From 2012.	5,675,973			
c From 2013.	3,898,175			
d From 2014.	5,917,978			
e From 2015.	3,159,380			
f Total of lines 3a through e.	21,729,408			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>5,196,244</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				3,117,876
e Remaining amount distributed out of corpus	2,078,368			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,807,776			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	3,077,902			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	20,729,874			
10 Analysis of line 9				
a Excess from 2012.	5,675,973			
b Excess from 2013.	3,898,175			
c Excess from 2014.	5,917,978			
d Excess from 2015.	3,159,380			
e Excess from 2016.	2,078,368			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

THOMAS W HAAS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	4,495,000
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	995	
4 Dividends and interest from securities. . . .			14	955,277	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	45,658	
8 Gain or (loss) from sales of assets other than inventory			18	-1,424,505	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		-422,575	0
13 Total. Add line 12, columns (b), (d), and (e).			13		-422,575

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name DAVID DESMARAIS	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00435727
Firm's name ► KAHN LITWIN RENZA & CO LTD				Firm's EIN ► 05-0409384
Firm's address ► 99 SUMMER STREET SUITE 520 BOSTON, MA 02110				Phone no (617) 236-8098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDUCIARY TRUST #1401 - DETAIL AVAILABLE UPON REQUEST	P		
DFS CAPITAL PARTNERS	P		
IRONSIDES PARTNERSHIP FUND	P		
HEALTHPOINT CAPITAL PARTNERS	P		
SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND, LP	P		
SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND, II LP	P		
GREENSPRING GLOBAL PARTNERS	P		
REALTY ASSOCIATES FUND X UTP, LP	P		
ACCOLADE PARTNERS V, LP	P		
ACCOLADE PARTNERS IV, LP	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		2,258,648	-2,258,648
20,457			20,457
33,684			33,684
48,641			48,641
12,132			12,132
1,646			1,646
15,415			15,415
29,426			29,426
		75	-75
23,917			23,917

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,258,648
			20,457
			33,684
			48,641
			12,132
			1,646
			15,415
			29,426
			-75
			23,917

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SIGULER GUFF SMALL BUYOUT OPPORTUNITIES FUND II	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
18,956			18,956
629,944			629,944

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18,956
			629,944

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE SUITE 500 ARLINGTON, NH 22202	NONE	PUBLIC CHARITY	CHARITABLE	100,000
CREATIVE HAVERHILL 21 WINGATE ST HAVERHILL, NH 01832	NONE	PUBLIC CHARITY	CHARITABLE	30,000
END 68 HOURS OF HUNGER NEWMARKET PO BOX 452 NEWMARKET, NH 03857	NONE	PUBLIC CHARITY	CHARITABLE	2,500
ESSEX COUNTY GREENBELT ASSOCIATION 82 EASTERN AVE ESSEX, MD 01929	NONE	PUBLIC CHARITY	CHARITABLE	15,000
EXETER AREA CHAMBER CHILDRENS FOUNDATION 24 FRONT STREET UNIT 101 EXETER, NH 03833	NONE	PUBLIC CHARITY	CHARITABLE	10,000
Total ▶ 3a				4,495,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FARMSTADS OF NEW ENGLAND 213 CENTER ROAD HILLSBOROUGH, CT 03244	NONE	PUBLIC CHARITY	CHARITABLE	7,000
FRIENDS OF MEAD BASE CONSERVATION CENTER PO BOX 11 CENTER SANDWICH, RI 03227	NONE	PUBLIC CHARITY	CHARITABLE	3,500
FRIENDS OF STRATHAM NH BASEBALL 10 BUNKER HILL AVENUE STRATHAM, NH 03885	NONE	PUBLIC CHARITY	CHARITABLE	3,000
GUNSTOCK MOUNTAIN HISTORIC PRESERVATION SOCIETY PO BOX 1307 LACONIA, NH 03247	NONE	PUBLIC CHARITY	CHARITABLE	4,000
JOHN F KENNEDY CENTER FOR THE PERFORMING ARTS 2700 F ST NW WASHINGTON, ME 20566	NONE	PUBLIC CHARITY	CHARITABLE	200,000
Total 3a				4,495,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KITTERY COMMUNITY MARKET 10 SHAPLEIGH RD KITTERY, ME 03904	NONE	PUBLIC CHARITY	CHARITABLE	12,500
KITTERY LAND TRUST PO BOX 467 KITTERY, CA 03904	NONE	PUBLIC CHARITY	CHARITABLE	2,500
MAHOOSUC LAND TRUST INC PO BOX 981 BETHEL, MA 04217	NONE	PUBLIC CHARITY	CHARITABLE	2,500
MAINE ADAPTIVE SPORTS & RECREATION 8 SUNDANCE LANE NEWRY, MA 04261	NONE	PUBLIC CHARITY	CHARITABLE	5,000
MAINE COMMUNITY FOUNDATION 245 MAIN STREET ELLSWORTH, OH 04605	NONE	PUBLIC CHARITY	CHARITABLE	2,500
Total ▶ 3a				4,495,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAINE FARMLAND TRUST 97 MAIN ST BELFAST, ME 04915	NONE	PUBLIC CHARITY	CHARITABLE	4,000
MOOSE MOUNTAINS REGIONAL GREENWAYS PO BOX 191 UNION, VA 03887	NONE	PUBLIC CHARITY	CHARITABLE	3,500
NAMI - CAPE ANN 43 GLOUCESTER AVENUE ROOM 2A GLOUCESTER, NH 01931	NONE	PUBLIC CHARITY	CHARITABLE	2,500
NATIONAL PHILANTHROPIC TRUST 165 TOWNSHIP LINE RD SUITE 1200 JENKINTON, PA 19046	NONE	PUBLIC CHARITY	CHARITABLE	1,000,000
NATURAL RESOURCE COUNCIL OF MAINE 3 WADE STREET AUGUST, NH 04330	NONE	PUBLIC CHARITY	CHARITABLE	2,500
Total 				4,495,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW GENERATION INC PO BOX 676 GREENLAND, MA 03840	NONE	PUBLIC CHARITY	CHARITABLE	2,500
NEW HAMPSHIRE FILM EXPO 155 FLEET STREET PORTSMOUTH, MA 03801	NONE	PUBLIC CHARITY	CHARITABLE	5,000
NHCF 37 PLEASANT STREET CONCORD, ME 03301	NONE	PUBLIC CHARITY	CHARITABLE	3,000,000
NORTHERN FOREST CANOE TRAIL INC PO BOX 565 WAITSFIELD, MA 05673	NONE	PUBLIC CHARITY	CHARITABLE	5,000
PLANNED PARENTHOOD OF NORTHERN NE 128 LAKESIDE AVE STE 301 BURLINGTON, RI 05401	NONE	PUBLIC CHARITY	CHARITABLE	2,500
Total ▶ 3a				4,495,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST JOHN ANIMAL CARE CENTER PO BOX 429 ST JOHN, DC 00831	NONE	PUBLIC CHARITY	CHARITABLE	2,500
ST PATRICK SCHOOL 125 AUSTIN ST PORTSMOUTH, NH 03801	NONE	PUBLIC CHARITY	CHARITABLE	10,000
STRATHAM MEMORIAL SCHOOL 39 GIFFORD FARM RD STRATHAM, NH 03885	NONE	PUBLIC CHARITY	CHARITABLE	10,000
STRATHAM VOLUNTEER FIRE DEPT 2 WINNICUTT RD STRATHAM, NH 03885	NONE	PUBLIC CHARITY	CHARITABLE	2,500
SUPPORTIVE LIVING INC 400 WEST CUMMINGS PARK SUITE 6100 WOBURN, MA 01801	NONE	PUBLIC CHARITY	CHARITABLE	2,500
Total ▶ 3a				4,495,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE DANCE HALL 7 WALKER ST PO BOX 691 KITTERY, MA 03904	NONE	PUBLIC CHARITY	CHARITABLE	2,500
THE FRIENDS OF NUTE HIGH SCHOOL & LIBRARY 18 COMMERCE WAY MILTON, NH 03851	NONE	PUBLIC CHARITY	CHARITABLE	5,000
THE WOOD ISLAND LIFE SAVING STATION ASSOCIATION PO BOX 11 KITTERY POINT, ME 03905	NONE	PUBLIC CHARITY	CHARITABLE	12,500
USA MAVERICKS 117 GOSLING ROAD NEWINGTON, NH 03801	NONE	PUBLIC CHARITY	CHARITABLE	5,000
VETERANS RESORT-CHAPEL 101 STEPPING STONE ROAD LEE, DC 03824	NONE	PUBLIC CHARITY	CHARITABLE	2,500
Total 				4,495,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WIND SPORTS FOR WOUNDED WARRIORS PO BOX 758517 TOPEKA, KS 66675	NONE	PUBLIC CHARITY	CHARITABLE	2,500
WONALANCET OUT DOOR CLUB HCR 64 BOX 248 WONALANCET, NH 03897	NONE	PUBLIC CHARITY	CHARITABLE	10,000
Total  3a				4,495,000

TY 2016 Accounting Fees Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KAHN, LITWIN, RENZA & CO LTD	19,950	9,975		9,975

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THOMAS W HAAS FOUNDATION

EIN: 26-4036968

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LEASEHOLD IMPROVEMENTS	2015-12-29	111,959	1,399	150DB	15 0000000000000	11,062	0		
LEASEHOLD IMPROVEMENTS	2016-12-21	121,205		150DB	15 0000000000000	1,515	0		

TY 2016 Investments - Other Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIDUCIARY TRUST INVESTMENTS	AT COST	53,094,067	54,501,288
DSF CAPITAL PARTNERS IV, LP	AT COST	138,781	196,479
HEALTHPOINTCAPITAL PARTNERS III, LP	AT COST	78,299	127,284
IRONSIDES PARTNERSHIP FUND II, LP	AT COST	112,259	139,027
SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND LP	AT COST	118,105	158,709
SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND II LP	AT COST	210,371	230,652
GREENSPRING GLOBAL PARTNERS	AT COST	230,392	286,415
REALTY ASSOCIATES FUND I UTP, LP	AT COST	267,264	373,653
ACCOLADE PARTNERS V, LP	AT COST	70,492	74,342
BOREALIS GRANITE FUND, LP	AT COST	456,885	526,809
ACCOLADE PARTNERS IV, LP	AT COST	296,586	370,148
SIGULER GUFF SMALL BUYOUT OPPORTUNITIES FUND II(T), LP	AT COST	256,508	332,326
SIGULER GUFF DREOF II CO-INVESTMENT FUND, LP	AT COST	47,195	46,247
IMPACT PROJECTS LLC LOAN	AT COST	1,628,610	1,628,610
319 VAUGHAN STREET LLC	AT COST	2,196,806	2,196,806

**TY 2016 Land, Etc.
Schedule****Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LEASEHOLD IMPROVEMENTS	111,959	12,461	99,498	99,498
LEASEHOLD IMPROVEMENTS	121,205	1,515	119,690	119,690

TY 2016 Legal Fees Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	42,086	0		42,086

TY 2016 Mortgages and Notes Payable Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968**Total Mortgage Amount:**

Item No.	1
Lender's Name	NORTH MILL REALTY TRUST
Lender's Title	
Relationship to Insider	NONE
Original Amount of Loan	1,075,000
Balance Due	515,246
Date of Note	2014-04
Maturity Date	2019-01
Repayment Terms	5 YRS
Interest Rate	4.750000000000
Security Provided by Borrower	CASH
Purpose of Loan	319 VAUGHAN CENTER LLC PROPERTY
Description of Lender Consideration	
Consideration FMV	

TY 2016 Other Expenses Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TECHNOLOGY	374	0		374
BOOKS, SUBSCRIPTIONS, REFERENCES	7,774	0		7,774
STATE FILING FEE	75	0		75
PARTNERSHIP EXPENSES	68,474	68,474		0
OFFICE EXPENSE	7,417	0		7,417
PAYROLL SERVICE FEES	4,429	0		4,429
MEMBERSHIPS & DUES	51	0		51
BANK CHARGES	120	0		120
PROFESSIONAL DEVELOPMENT	5,092	0		5,092
OTHER NON-DEDUCTIBLE EXP	1,026	0		0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RENTAL EXPENSES - 319 VAUGHAN STREET CENTER LLC	17,828	0		17,828

TY 2016 Other Income Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INTEREST INCOME ON LOANS	39,243	39,243	39,243
INCOME FROM PARTNERSHIPS	6,415	6,415	6,415

TY 2016 Other Professional Fees Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING & INVESTMENT MANAGEMENT	522,337	261,169		261,168
FIDUCIARY TRUST INVESTMENT MANAGEMENT	257,259	257,259		0

TY 2016 Taxes Schedule**Name:** THOMAS W HAAS FOUNDATION**EIN:** 26-4036968

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	68	68		0
FEDERAL TAXES PAID	17,990	0		0
PAYROLL TAXES	11,399	0		11,399

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization THOMAS W HAAS FOUNDATION	Employer identification number 26-4036968

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THOMAS W HAAS FOUNDATION	Employer identification number 26-4036968
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	1955 TWH TRUST	\$ 2,783,924	Person ☒
	1717 ARCH STREET 14TH FLOOR		Payroll ☐
	PHILADELPHIA, PA19103		Noncash ☐ (Complete Part II for noncash contributions)
2	1956 TWH TRUST	\$ 10,385,581	Person ☒
	1717 ARCH STREET 14TH FLOOR		Payroll ☐
	PHILADELPHIA, PA19103		Noncash ☐ (Complete Part II for noncash contributions)
3	1961 TWH TRUST	\$ 2,621,637	Person ☒
	1717 ARCH STREET 14TH FLOOR		Payroll ☐
	PHILADELPHIA, PA19103		Noncash ☐ (Complete Part II for noncash contributions)
4	THOMAS W HAAS	\$ 7,618	Person ☒
	583 BAY ROAD		Payroll ☐
	DURHAM, NH03824		Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

26-4036968

Part II	Noncash Property
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Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization THOMAS W HAAS FOUNDATION	Employer identification number 26-4036968
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	