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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation KLEIN FAMILY FOUNDATION INC		A Employer identification number 26-3134339	
Number and street (or P O box number if mail is not delivered to street address) 28 REDCOAT LANE		Room/suite	B Telephone number (see instructions) (203) 767-2046
City or town, state or province, country, and ZIP or foreign postal code TRUMBULL, CT 06611		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,765,181	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	145,959	133,516		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	43,346			
	b Gross sales price for all assets on line 6a 2,293,016				
	7 Capital gain net income (from Part IV, line 2)		43,346		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,431	2,431		
	12 Total. Add lines 1 through 11	191,736	179,293		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,500	0		5,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,930	1,930		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	38,865	37,665		1,200
	24 Total operating and administrative expenses. Add lines 13 through 23	54,295	39,595		6,700
	25 Contributions, gifts, grants paid	298,000			298,000
	26 Total expenses and disbursements. Add lines 24 and 25	352,295	39,595		304,700
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-160,559			
	b Net investment income (if negative, enter -0-)		139,698		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	572,767	401,031	401,031
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	290,671	264,110	263,143
	b	Investments—corporate stock (attach schedule)	1,500,934	2,414,713	3,228,915
	c	Investments—corporate bonds (attach schedule)	1,065,383	1,209,634	1,195,406
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,653,439	635,422	671,203
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	7,758	5,483	5,483	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,090,952	4,930,393	5,765,181	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	5,090,952	4,930,393	
	30	Total net assets or fund balances (see instructions)	5,090,952	4,930,393	
31	Total liabilities and net assets/fund balances (see instructions) .	5,090,952	4,930,393		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,090,952
2	Enter amount from Part I, line 27a	2	-160,559
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	4,930,393
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,930,393

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	43,346
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	250,500	5,938,068	0 042185
2014	341,779	6,109,855	0 055939
2013	360,630	5,974,972	0 060357
2012	249,113	5,733,312	0 043450
2011	270,425	5,711,512	0 047347
2 Total of line 1, column (d)			2 0 249278
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 049856
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 5,629,921
5 Multiply line 4 by line 3			5 280,685
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,397
7 Add lines 5 and 6			7 282,082
8 Enter qualifying distributions from Part XII, line 4			8 304,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,397
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,397
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,397
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	6,019
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	6,019
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,622
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 4,622 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ MRS CARLA KLEIN Telephone no ▶ (203) 767-2046			

Located at ▶ 28 REDCOAT LANE TRUMBULL CT ZIP+4 ▶ 06611

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CARLA S KLEIN 28 REDCOAT LANE TRUMBULL, CT 06611	PRESIDENT 0 00	0	0	0
KRISTEN CHIDO 4 COOK CIRCLE TRUMBULL, CT 06611	SECRETARY 0 00	0	0	0
ERIC KLEIN 734 RIVENOAK STREET BIRMINGHAM, MI 48009	TREASURER 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	5,079,875
b	Average of monthly cash balances.	1b	630,297
c	Fair market value of all other assets (see instructions).	1c	5,484
d	Total (add lines 1a, b, and c).	1d	5,715,656
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,715,656
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	85,735
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,629,921
6	Minimum investment return. Enter 5% of line 5.	6	281,496

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	281,496
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,397
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,397
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	280,099
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	280,099
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	280,099

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	304,700
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	304,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,397
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	303,303

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				280,099
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				9,352
d From 2014.				42,828
e From 2015.				
f Total of lines 3a through e.	52,180			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 304,700				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				280,099
e Remaining amount distributed out of corpus	24,601			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	76,781			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	76,781			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				9,352
c Excess from 2014.				42,828
d Excess from 2015.				
e Excess from 2016.				24,601

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CARLA S KLEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	298,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	145,959	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	2,431	
8 Gain or (loss) from sales of assets other than inventory			18	43,346	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).		0		191,736	0
13 Total. Add line 12, columns (b), (d), and (e).			13		191,736

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	which preparer has any knowledge	2017-05-11	May the IRS discuss this return with the preparer?
------------------	----------------------------------	------------	--

Signature of officer or trustee _____ Date _____ Title _____

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN P01236646
	DAVID ZIEFF		2017-05-11		
	Firm's name ► CIRONEFRIEDBERG LLP				Firm's EIN ► 06-1533315
	Firm's address ► 855 MAIN STREET 6TH FLR BRIDGEPORT, CT 06604				Phone no (203) 366-5876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
WELLS FARGO #7315 - SEE STATEMENT	P		
WELLS FARGO #8946 - SEE STATEMENT	P		
WELLS FARGO #8946 - SEE STATEMENT	P		
WELLS FARGO #8946 - SEE STATEMENT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
127,812		111,652	16,160
188,564		187,202	1,362
233		241	-8
15,000		20,755	-5,755
29,414		28,080	1,334
10,437		4,827	5,610
184,685		182,928	1,757
440,033		459,072	-19,039
876,704		889,211	-12,507
132,070		80,313	51,757

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,160
			1,362
			-8
			-5,755
			1,334
			5,610
			1,757
			-19,039
			-12,507
			51,757

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WELLS FARGO #8245 - SEE STATEMENT	P		
WELLS FARGO #8245 - SEE STATEMENT	P		
WELLS FARGO #8245 - SEE STATEMENT	P		
BASIS ADJUSTMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,750		20,349	-1,599
244,253		249,127	-4,874
2,003		2,003	0
		1,840	-1,840
10,392		12,070	-1,678
12,666			12,666

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,599
			-4,874
			0
			-1,840
			-1,678
			12,666

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIRIDA FOUNDATION INTERNATIONAL PO BOX 800715 CHARLOTTESVILLE, VA 22908	N/A	501(C)(3)	UNRESTRICTED	5,000
BRIDGEPORT HOSPITAL FOUNDATION 267 GRANT STREET BRIDGEPORT, CT 06610	N/A	501(C)(3)	PARK AVENUE MEDICAL CENTER EXPANSION	50,000
BRIDGEPORT PUBLIC EDUCATION FUND INC (BPEC) 446 UNIVERSITY AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	COLLEGE ACCESS PROGRAMS	10,000
BRIDGEPORT RESCUE MISSION PO BOX 9057 BRIDGEPORT, CT 06601	N/A	501(C)(3)	UNRESTRICTED	5,000
BRIDGEPORT YMCA 850 PARK AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	PRE SCHOOL PROGRAMS	15,000
CARDINAL SHEHAN CENTER 1494 MAIN STREET BRIDGEPORT, CT 06604	N/A	501(C)(3)	EDUCATIONAL PROGRAMS	10,000
CONNECTICUT FOOD BANK 2 RESEARCH PARKWAY WALLINGFORD, CT 06492	N/A	501(C)(3)	UNRESTRICTED	10,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A	501(C)(3)	UNRESTRICTED	5,500
EVERY MOTHER COUNTS 180 VARICK STREET NEW YORK, NY 10014	N/A	501(C)(3)	UNRESTRICTED	2,000
FIDELCO GUIDE DOG FOUNDATION 103 VISION WAY BLOOMFIELD, CT 06002	N/A	501(C)(3)	UNRESTRICTED	5,000
FREEDOM HOUSE 2630 WEST LAFAYETTE DETROIT, MI 48216	N/A	501(C)(3)	UNRESTRICTED	8,000
GLEANER'S COMMUNITY FOOD BANK (GCFB) 2131 BEAUFAIT DETROIT, MI 48207	N/A	501(C)(3)	UNRESTRICTED & ANNUAL THANKSGIVING CAMPAIGN	6,500
HAITIAN HEALTH FOUNDATION 97 SHERMAN STREET NORWICH, CT 06360	N/A	501(C)(3)	PROVIDE ASSISTANCE TO THE POOR IN JEREMIE, HAITI	20,000
HOMES FOR THE BRAVE 655 PARK AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	UNRESTRICTED	10,000
HOUSATONIC COMMUNITY FOUNDATION 900 LAFAYETTE BLVD BRIDGEPORT, CT 06604	N/A	501(C)(3)	ADVANCED MANUFACTURING AND ENGINEERING PROGRAM	20,000
Total ► 3a				298,000

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
INSPIRICA INC 141 FRANKLIN STREET STAMFORD, CT 06901	N/A	501(C)(3)	UNRESTRICTED	2,500
MAKE A WISH FOUNDATION OF CT 126 MONROE TURNPIKE TRUMBULL, CT 06611	N/A	501(C)(3)	UNRESTRICTED	1,000
RONALD MCDONALD HOUSE OF CT (RHMC) 501 GEORGE STREET NEW HAVEN, CT 06511	N/A	501(C)(3)	UNRESTRICTED	2,000
SAVE THE CHILDREN 501 KINGS HIGHWAY EAST SUITE 400 FAIRFIELD, CT 06825	N/A	501(C)(3)	UNRESTRICTED	3,500
SCHOOL VOLUNTEER ASSOCIATION 900 BOSTON AVENUE BRIDGEPORT, CT 06610	N/A	501(C)(3)	UNRESTRICTED	5,000
SOUTHWEST SOLUTIONS 5716 MICHIGAN AVENUE DETROIT, MI 48210	N/A	501(C)(3)	UNRESTRICTED	8,000
ST JUDES CHILDRENS RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	N/A	501(C)(3)	UNRESTRICTED	10,000
THE CENTER FOR FAMILY JUSTICE 753 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	N/A	501(C)(3)	2016 ANNUAL APPEAL - HANDS ARE NOT HURTING	5,000
THE PAT DYER MEMORIAL FUND 11143 PARKVIEW PLAZA DRIVE FORT WAYNE, IN 46845	N/A	501(C)(3)	UNRESTRICTED	1,000
UNITED WAY FOR SOUTHWEST MICHIGAN 2015 LAKEVIEW AVENUE SAINT JOSEPH, MI 49085	N/A	501(C)(3)	UNRESTRICTED	3,000
UNITED WAY OF COASTAL FAIRFIELD COUNTY 855 MAIN STREET 10TH FLOOR BRIDGEPORT, CT 06604	N/A	501(C)(3)	EARLY CHILDHOOD PROGRAM	20,000
UNIVERSITY OF CONNECTICUT FOUNDATION INC 2390 ALUMNI DRIVE STORRS, CT 06269	N/A	501(C)(3)	ENDOWMENT FOR GRADUATE ASSISTANTS IN TEACHER EDUCATION	30,000
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD JACKSONVILLE, FL 32256	N/A	501(C)(3)	UNRESTRICTED	5,000
YALE-NEW HAVEN HOSPITAL 2 HOWE STREET NEW HAVEN, CT 06511	N/A	501(C)(3)	PALLIATIVE CARE PROGRAMSIMILOW CANCER HOSPITAL AT YALE-NEW HAVEN	20,000
Total ►				298,000
3a				

TY 2016 Accounting Fees Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	5,500	0		5,500

TY 2016 Investments Corporate Bonds Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE FIXED INCOME	1,172,734	1,158,634
FOREIGN BONDS	36,900	36,772

TY 2016 Investments Corporate Stock Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS AND ETFS	2,414,713	3,228,915

TY 2016 Investments Government Obligations Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339**US Government Securities - End
of Year Book Value:**

45,355

**US Government Securities - End
of Year Fair Market Value:**

43,974

**State & Local Government
Securities - End of Year Book
Value:**

218,755

**State & Local Government
Securities - End of Year Fair
Market Value:**

219,169

TY 2016 Investments - Other Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	AT COST	635,422	671,203
ETF AND CLOSED END FUNDS	AT COST	0	0

TY 2016 Other Assets Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	3,907	5,189	5,189
PREPAID INTEREST AND AMORTIZATION	3,851	294	294

TY 2016 Other Expenses Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	38,865	37,665		1,200

TY 2016 Other Income Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MORGAN STANLEY SALES CHARGE REFUND - OTHER INCOME	2,406	2,406	2,406
MORGAN STANLEY #019928 - OTHER INCOME	25	25	25

TY 2016 Taxes Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	8,000	0		0
FOREIGN TAX WITHHELD	1,930	1,930		0

Klein Family Foundation Inc.
Gain (Loss) on Sale of Securities
12/31/16

	<u>Sales Price</u>	<u>Cost</u>	<u>Gain (Loss)</u>
<u>Morgan Stanley #019928</u>			
Short Term - Covered	3,050.93	3,324.36	(273.43)
Short Term - Noncovered	124,761.07	108,327.38	16,433.69
Long Term - Covered	10,392.14	12,070.17	(1,678.03)
Long Term - Noncovered	188,563.98	187,201.98	1,362.00
Long Term - Noncovered	232.66	241.06	(8.40)
Total	327,000.78	311,164.95	15,835.83
Short Term	127,812.00	111,651.74	16,160.26
Long Term	199,188.78	199,513.21	(324.43)
	327,000.78	311,164.95	15,835.83
<u>Morgan Stanley #019935</u>			
Short Term - Covered	15,000.19	20,754.84	(5,754.65)
Long Term - Covered	29,413.83	28,080.48	1,333.35
Long Term - Noncovered	10,436.81	4,827.33	5,609.48
Basis adjustment		(790.10)	790.10
Total	54,850.83	52,872.55	1,978.28
Short Term	15,000.19	20,754.84	(5,754.65)
Long Term	39,850.64	32,117.71	7,732.93
	54,850.83	52,872.55	1,978.28
<u>Wells Fargo #7315</u>			
Short Term - Covered	184,685.13	182,927.76	1,757.37
<u>Wells Fargo #8946</u>			
Short Term - Covered	440,033.18	459,071.74	(19,038.56)
Long Term - Covered	876,704.16	889,211.14	(12,506.98)
Long Term - Noncovered	132,069.45	80,312.90	51,756.55
Basis adjustment		2,629.90	(2,629.90)
Total	1,448,806.79	1,431,225.68	17,581.11
Short Term	440,033.18	459,071.74	(19,038.56)
Long Term	1,008,773.61	972,153.94	36,619.67
Total	1,448,806.79	1,431,225.68	17,581.11
<u>Wells Fargo #8245</u>			
Long Term - Covered	18,750.00	20,349.45	(1,599.45)
Long Term - Noncovered	244,253.35	249,126.74	(4,873.39)
Long Term - Noncovered	2,003.40	2,003.40	-
Total	265,006.75	271,479.59	(6,472.84)

Klein Family Foundation Inc.			
Gain (Loss) on Sale of Securities			
12/31/16			
	<u>Sales Price</u>	<u>Cost</u>	<u>Gain (Loss)</u>
Summary:			
Morgan Stanley #019928	327,000.78	311,164.95	15,835.83
Morgan Stanley #019935	54,850.83	52,872.55	1,978.28
Wells Fargo #7315	184,685.13	182,927.76	1,757.37
Wells Fargo #8946	1,448,806.79	1,431,225.68	17,581.11
Wells Fargo #8245	265,006.75	271,479.59	(6,472.84)
Total Gain (Loss)	2,280,350.28	2,249,670.53	30,679.75

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3975-7315

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CVS CAREMARK CORP SR UNSECURED CPN: 4.7500% DUE: 05/18/2020 CUSIP: 126650BU3	50000.00000	11/16/2015	07/27/2016	56,625.13	54,458.26	0.00	0.00	2,166.87	Original Basis 55,350.50 Box 6: Gross Proceeds Redemption
CONOCOPHILLIPS CO SR UNSECURED CPN: 3.3500% DUE 11/15/2024 CUSIP: 20826FAD8	50000.00000	04/22/2016	09/16/2016	50,483.00	50,269.92	0.00	0.00	213.08	Original Basis 50,282.00 Box 6: Gross Proceeds
PHILLIPS 66 PARTNERS LP SR UNSECURED NOTE CPN: 3.6050% DUE 02/15/2025 CUSIP: 718549AB4	25000.00000	04/22/2016	09/16/2016	24,495.50	24,223.50	26.34	0.00	245.66	Box 6: Gross Proceeds
WELLS FARGO & CO SUBORDINATED CPN: 4.1250% DUE: 08/15/2023 CUSIP: 94974BFN5	50000.00000	04/08/2016	09/16/2016	53,081.50	53,949.74	0.00	0.00	-868.24	Original Basis 54,174.50 Box 6: Gross Proceeds
Totals				\$184,685.13	\$182,901.42	\$26.34	\$0.00	\$1,757.37	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

This Information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ABB LTD-SPONS ADR CUSIP: 000375204	16.00000	10/20/2015	03/01/2016	290.81	287.35	0.00	0.00	3.46	Box 6: Gross Proceeds
	11.00000	10/20/2015	03/01/2016	199.92	197.55	0.00	0.00	2.37	Box 6: Gross Proceeds
	4.00000	10/20/2015	03/01/2016	72.70	71.84	0.00	0.00	0.86	Box 6: Gross Proceeds
	1.00000	10/20/2015	03/01/2016	18.17	17.96	0.00	0.00	0.21	Box 6: Gross Proceeds
Subtotals	32.00000			\$581.60	\$574.70	\$0.00	\$0.00	\$6.90	
AFLAC INC CUSIP: 001055102	3.00000	08/17/2015	04/22/2016	203.67	193.59	0.00	0.00	10.08	Box 6: Gross Proceeds
	15.00000	08/17/2015	08/01/2016	1,077.84	967.94	0.00	0.00	109.90	Box 6: Gross Proceeds
Subtotals	18.00000			\$1,281.51	\$1,161.53	\$0.00	\$0.00	\$119.98	
AIA GROUP LTD SPONSORED ADR CUSIP: 001317205	9.00000	03/01/2016	11/04/2016	221.31	190.40	0.00	0.00	30.91	Box 6: Gross Proceeds
ABERDEEN ASSET MGMT ADR PLC CUSIP: 00300A104	56.00000	03/11/2015	03/01/2016	387.24	753.86	0.00	0.00	-366.62	Box 6: Gross Proceeds
ACUTY BRANDS INC CUSIP: 00508Y102	2.00000	05/20/2015	03/01/2016	429.79	364.26	0.00	0.00	65.53	Box 6: Gross Proceeds
AEGON N.V. ORD AMER REG CUSIP: 007924103	320.00000	05/21/2015	03/01/2016	1,668.73	2,576.61	0.00	0.00	-907.88	Box 6: Gross Proceeds
	160.00000	10/20/2015	03/01/2016	834.37	959.33	0.00	0.00	-124.96	Box 6: Gross Proceeds
Subtotals	480.00000			\$2,503.10	\$3,536.94	\$0.00	\$0.00	(\$1,032.84)	

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AKAMAI TECH INC CUSIP: 00971T101	82.00000	05/14/2015	03/01/2016	4,490.46	6,367.38	0.00	0.00	-1,876.92	Box 6: Gross Proceeds
	48.00000	09/17/2015	03/01/2016	2,628.57	3,602.76	0.00	0.00	-974.19	Box 6: Gross Proceeds
Subtotals	130.00000			\$7,119.03	\$9,970.14	\$0.00	\$0.00	(\$2,851.11)	
ALCOA INC XXX CUSIP: 013817507	0.66666	10/06/2016	10/06/2016	21.04	0.00	0.00	0.00	21.04	Box 6: Gross Proceeds Cash in Lieu
ALCOA CORP CUSIP: 013872106	0.66700	11/01/2016	11/01/2016	15.34	0.00	0.00	0.00	0.00	Box 6: Gross Proceeds Cash in Lieu
	22.00000	11/01/2016	11/02/2016	505.93	0.00	0.00	0.00	0.00	Box 6: Gross Proceeds
Subtotals	22.66700			\$521.27	\$0.00	\$0.00	\$0.00	\$0.00	
ALIBABA GRP HOLDING ADR LTD SPON CUSIP: 01609W102	2.00000	03/01/2016	10/17/2016	205.11	142.90	0.00	0.00	63.21	Box 6: Gross Proceeds
	8.00000	03/01/2016	12/16/2016	718.31	571.60	0.00	0.00	146.71	Box 6: Gross Proceeds
Subtotals	10.00000			\$924.42	\$714.50	\$0.00	\$0.00	\$209.92	
ALLIANZ SE-ADR CUSIP: 018805101	38.00000	03/11/2015	03/01/2016	576.82	616.08	0.00	0.00	-39.26	Box 6: Gross Proceeds
	40.00000	10/20/2015	03/01/2016	607.19	678.61	0.00	0.00	-71.42	Box 6: Gross Proceeds
	32.00000	10/29/2015	03/01/2016	485.76	558.47	0.00	0.00	-72.71	Box 6: Gross Proceeds
Subtotals	110.00000			\$1,669.77	\$1,853.16	\$0.00	\$0.00	(\$183.39)	
ALLY FINANCIAL INC CUSIP: 02005N100	56.00000	12/08/2015	11/10/2016	1,018.91	1,113.49	0.00	0.00	-94.58	Box 6: Gross Proceeds
	78.00000	12/08/2015	11/17/2016	1,484.86	1,550.93	0.00	0.00	-66.07	Box 6: Gross Proceeds
	7.00000	03/01/2016	11/17/2016	133.25	124.18	0.00	0.00	9.07	Box 6: Gross Proceeds
	5.00000	04/21/2016	11/17/2016	95.18	92.23	0.00	0.00	2.95	Box 6: Gross Proceeds
	7.00000	08/11/2016	11/17/2016	133.27	132.20	0.00	0.00	1.07	Box 6: Gross Proceeds
Subtotals	153.00000			\$2,865.47	\$3,013.03	\$0.00	\$0.00	(\$147.56)	



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALPHABET INC NON VOTING CAP STK CLC CUSIP: 02079K107	1.00000	03/01/2016	06/06/2016	718.92	713.96	0.00	0.00	4.96	Box 6: Gross Proceeds
	1.00000	03/01/2016	06/20/2016	701.05	713.96	0.00	0.00	-12.91	Box 6: Gross Proceeds
	1.00000	03/01/2016	06/29/2016	685.25	713.96	0.00	0.00	-28.71	Box 6: Gross Proceeds
	1.00000	03/01/2016	07/25/2016	739.04	713.96	0.00	0.00	25.08	Box 6: Gross Proceeds
Subtotals	4.00000			\$2,844.26	\$2,855.84	\$0.00	\$0.00	(\$11.58)	
AMAZON COM INC CUSIP: 023135106	3.00000	03/01/2016	06/24/2016	2,105.96	1,713.72	0.00	0.00	392.24	Box 6: Gross Proceeds
	1.00000	03/01/2016	10/04/2016	834.16	571.24	0.00	0.00	262.92	Box 6: Gross Proceeds
Subtotals	4.00000			\$2,940.12	\$2,284.96	\$0.00	\$0.00	\$655.16	
AMCOR LTD ADR NEW CUSIP: 02341R302	24.00000	12/03/2015	03/01/2016	976.55	943.51	0.00	0.00	33.04	Box 6: Gross Proceeds
AMERICAN FINL GROUP INC OHIO CUSIP: 025932104	4.00000	11/24/2015	03/01/2016	273.23	295.20	0.00	0.00	-21.97	Box 6: Gross Proceeds
AMERICAN TOWER CORP REIT CUSIP: 03027X100	7.00000	03/01/2016	07/25/2016	814.22	659.47	0.00	0.00	154.75	Box 6: Gross Proceeds
	3.00000	03/01/2016	09/20/2016	326.63	282.63	0.00	0.00	44.00	Box 6: Gross Proceeds
	7.00000	03/01/2016	11/10/2016	740.33	659.47	0.00	0.00	80.86	Box 6: Gross Proceeds
	6.00000	03/01/2016	11/10/2016	625.20	565.26	0.00	0.00	59.94	Box 6: Gross Proceeds
	3.00000	03/01/2016	11/28/2016	319.78	282.63	0.00	0.00	37.15	Box 6: Gross Proceeds
	3.00000	03/01/2016	12/01/2016	304.92	282.63	0.00	0.00	22.29	Box 6: Gross Proceeds
	4.00000	03/01/2016	12/06/2016	408.00	376.84	0.00	0.00	31.16	Box 6: Gross Proceeds
	3.00000	03/01/2016	12/08/2016	309.29	282.63	0.00	0.00	26.66	Box 6: Gross Proceeds
	3.00000	03/01/2016	12/09/2016	308.31	282.63	0.00	0.00	25.68	Box 6: Gross Proceeds
	4.00000	06/23/2016	12/12/2016	416.22	443.24	0.00	0.00	-27.02	Box 6: Gross Proceeds
Subtotals	43.00000			\$4,572.90	\$4,117.43	\$0.00	\$0.00	\$455.47	

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
APACHE CORP COMMON CUSIP: 037411105	5.00000	01/22/2016	03/01/2016	191.56	190.93	0.00	0.00	0.63	Box 6: Gross Proceeds
ARM HOLDINGS PLC CHG	8.00000	03/01/2016	03/10/2016	336.04	340.08	0.00	0.00	-4.04	Box 6: Gross Proceeds
SPONSORED ADR	12.00000	03/01/2016	03/11/2016	509.79	510.12	0.00	0.00	-0.33	Box 6: Gross Proceeds
CUSIP: 042068106	12.00000	03/01/2016	03/16/2016	504.75	510.12	0.00	0.00	-5.37	Box 6: Gross Proceeds
Subtotals	32.00000			\$1,350.58	\$1,360.32	\$0.00	\$0.00	(\$9.74)	
ASSA ABLLOY AB - ADR CUSIP: 045387107	305.00000	03/01/2016	03/02/2016	2,883.84	2,952.27	0.00	0.00	-78.43	Box 6: Gross Proceeds
BAE SYSTEMS PLACE CUSIP: 05523R107	83.00000	09/16/2015	03/01/2016	2,375.41	2,324.38	0.00	0.00	51.03	Box 6: Gross Proceeds
BP PLC SPONS ADR CUSIP: 055622104	41.00000	12/14/2015	03/01/2016	1,212.97	1,237.34	0.00	0.00	-24.37	Box 6: Gross Proceeds
BOFI HOLDING INC CUSIP: 05566U108	13.00000	10/15/2015	03/01/2016	238.41	379.96	0.00	0.00	-141.55	Box 6: Gross Proceeds
	59.00000	10/15/2015	08/03/2016	994.71	1,724.38	0.00	0.00	-729.67	Box 6: Gross Proceeds
	6.00000	11/09/2015	08/03/2016	101.16	146.62	0.00	0.00	-45.46	Box 6: Gross Proceeds
	2.00000	11/09/2015	08/03/2016	33.71	48.87	0.00	0.00	-15.16	Box 6: Gross Proceeds
	16.00000	11/09/2015	08/03/2016	269.76	390.99	0.00	0.00	-121.23	Box 6: Gross Proceeds
	17.00000	04/21/2016	08/03/2016	286.62	329.06	0.00	0.00	-42.44	Box 6: Gross Proceeds
Subtotals	113.00000			\$1,924.37	\$3,019.88	\$0.00	\$0.00	(\$1,095.51)	
BAXTER INTERNATIONAL INC CUSIP: 071813109	18.00000	11/23/2015	03/01/2016	716.20	685.60	0.00	0.00	30.60	Box 6: Gross Proceeds
BEMIS CO INC CUSIP: 081437105	43.00000	03/21/2016	11/15/2016	2,086.60	2,256.51	0.00	0.00	-169.91	Box 6: Gross Proceeds
	3.00000	04/21/2016	11/15/2016	145.57	156.01	0.00	0.00	-10.44	Box 6: Gross Proceeds
	3.00000	08/11/2016	11/15/2016	145.59	150.81	0.00	0.00	-5.22	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals	49.00000			\$2,377.76	\$2,563.33	\$0.00	\$0.00	(\$185.57)	
BEMIS CO INC CUSIP: 081437105	1.00000	03/01/2016	06/07/2016	254.70	266.23	0.00	0.00	-11.53	Box 6: Gross Proceeds
BIOGEN INC CUSIP: 09062X103	4.00000	03/01/2016	06/09/2016	1,010.73	1,064.92	0.00	0.00	-54.19	Box 6: Gross Proceeds
	1.00000	03/01/2016	06/27/2016	223.93	266.23	0.00	0.00	-42.30	Box 6: Gross Proceeds
	3.00000	03/01/2016	06/28/2016	685.89	798.69	0.00	0.00	-112.80	Box 6: Gross Proceeds
	3.00000	03/01/2016	12/06/2016	873.42	798.69	0.00	0.00	74.73	Box 6: Gross Proceeds
	2.00000	03/01/2016	12/08/2016	561.09	532.46	0.00	0.00	28.63	Box 6: Gross Proceeds
	5.00000	03/01/2016	12/09/2016	1,504.06	1,331.15	0.00	0.00	172.91	Box 6: Gross Proceeds
	3.00000	07/21/2016	12/09/2016	866.24	844.94	0.00	0.00	21.30	Box 6: Gross Proceeds
	1.00000	08/02/2016	12/09/2016	288.75	300.00	0.00	0.00	-11.25	Box 6: Gross Proceeds
	1.00000	08/02/2016	12/12/2016	284.41	300.00	0.00	0.00	-15.59	Box 6: Gross Proceeds
	1.00000	09/29/2016	12/12/2016	284.41	309.29	0.00	0.00	-24.88	Box 6: Gross Proceeds
	1.00000	09/29/2016	12/13/2016	285.94	309.28	0.00	0.00	-23.34	Box 6: Gross Proceeds
	1.00000	09/29/2016	12/19/2016	288.24	309.28	0.00	0.00	-21.04	Box 6: Gross Proceeds
	2.00000	10/04/2016	12/19/2016	576.49	621.91	0.00	0.00	-45.42	Box 6: Gross Proceeds
Subtotals	29.00000			\$7,988.30	\$8,053.07	\$0.00	\$0.00	(\$64.77)	
BRF S.A. CUSIP: 10552T107	46.00000	10/20/2015	03/01/2016	621.22	790.59	0.00	0.00	-169.37	Box 6: Gross Proceeds
BRISTOL MYERS SQUIBB CO CUSIP: 110122108	21.00000	03/01/2016	08/08/2016	1,263.66	1,324.38	0.00	0.00	-60.72	Box 6: Gross Proceeds
	19.00000	03/01/2016	08/08/2016	1,151.03	1,198.25	0.00	0.00	-47.22	Box 6: Gross Proceeds
	19.00000	03/01/2016	08/09/2016	1,165.27	1,198.25	0.00	0.00	-32.98	Box 6: Gross Proceeds
	11.00000	03/01/2016	10/17/2016	541.69	693.72	0.00	0.00	-152.03	Box 6: Gross Proceeds
Subtotals	70.00000			\$4,121.55	\$4,414.60	\$0.00	\$0.00	(\$292.95)	

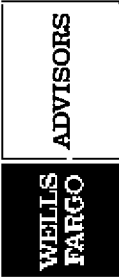
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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

001 : PCCTK / BHP8



2016 Year-End Account Summary

As of Date: 02/21/17

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CALIFORNIA RES CORP CHG CUSIP: 13057Q107	2.36364	04/10/2015	04/01/2016	2.26	3.28	0.00	0.00	-1.02	Box 6: Gross Proceeds
	0.54545	06/25/2015	04/01/2016	0.52	0.76	0.00	0.00	-0.24	Box 6: Gross Proceeds
	0.54545	06/25/2015	04/01/2016	0.52	0.76	0.00	0.00	-0.24	Box 6: Gross Proceeds
	0.36364	06/25/2015	04/01/2016	0.34	0.50	0.00	0.00	-0.16	Box 6: Gross Proceeds
	0.90909	09/09/2015	04/01/2016	0.88	1.10	0.00	0.00	-0.22	Box 6: Gross Proceeds
	0.36363	11/24/2015	04/01/2016	0.36	0.49	0.00	0.00	-0.13	Box 6: Gross Proceeds
Subtotals	5.24254			\$5.06	\$7.09	\$0.00	\$0.00	(\$2.03)	
CANON INC ADR REP 5SHS CUSIP: 138006309	39.00000	12/14/2015	03/01/2016	1,120.11	1,171.95	0.00	0.00	-51.84	Box 6: Gross Proceeds
CARTER HOLDINGS INC CUSIP: 146229109	8.00000	07/07/2015	03/01/2016	822.32	854.12	0.00	0.00	-31.80	Box 6: Gross Proceeds
	6.00000	11/02/2015	10/13/2016	523.26	536.66	0.00	0.00	-13.40	Box 6: Gross Proceeds
	4.00000	04/21/2016	10/13/2016	348.84	421.67	0.00	0.00	-72.83	Box 6: Gross Proceeds
	1.00000	08/11/2016	10/13/2016	87.22	102.52	0.00	0.00	-15.30	Box 6: Gross Proceeds
Subtotals	19.00000			\$1,781.64	\$1,914.97	\$0.00	\$0.00	(\$133.33)	
CENOVUS ENERGY INC CUSIP: 15135U109	72.00000	09/01/2015	03/01/2016	849.17	982.99	0.00	0.00	-133.82	Box 6: Gross Proceeds
CERNER CORP CUSIP: 156782104	2.00000	04/21/2016	07/08/2016	120.36	116.48	0.00	0.00	3.88	Box 6: Gross Proceeds
CHEVRON CORPORATION CUSIP: 166764100	11.00000	12/11/2015	03/01/2016	944.22	955.51	0.00	0.00	-11.29	Box 6: Gross Proceeds
	12.00000	12/11/2015	04/28/2016	1,243.49	1,042.37	0.00	0.00	201.12	Box 6: Gross Proceeds
	13.00000	12/11/2015	11/16/2016	1,404.78	1,129.22	0.00	0.00	275.56	Box 6: Gross Proceeds
Subtotals	36.00000			\$3,592.49	\$3,127.10	\$0.00	\$0.00	\$465.39	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CHINA LIFE INSURANCE CO ADR CUSIP: 16939P106	24.00000	10/20/2015	03/01/2016	267.22	465.27	0.00	0.00	-198.05	Box 6: Gross Proceeds
CHINA MOBILE LTD SPONS ADR CUSIP: 16941M109	31.00000	10/20/2015	03/01/2016	1,697.86	1,912.99	0.00	0.00	-215.13	Box 6: Gross Proceeds
CIELO SA SPONSORED ADR CUSIP: 171778202	70.00000 70.00000	03/04/2015 10/21/2015	03/01/2016 03/01/2016	571.18 571.20	856.22 679.22	0.00 0.00	0.00 0.00	-285.04 -108.02	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	140.00000			\$1,142.38	\$1,535.44	\$0.00	\$0.00	(\$393.06)	
CLOROX COMPANY CUSIP: 189054109	22.00000 45.00000	09/14/2015 09/14/2015	03/01/2016 03/01/2016	2,749.72 5,624.45	2,413.71 4,937.14	0.00 0.00	0.00 0.00	336.01 687.31	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	67.00000			\$8,374.17	\$7,350.85	\$0.00	\$0.00	\$1,023.32	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A CUSIP: 192446102	3.00000 2.00000 3.00000	11/02/2015 04/21/2016 08/11/2016	10/13/2016 10/13/2016 10/13/2016	152.41 101.60 152.42	204.69 120.21 172.62	0.00 0.00 0.00	0.00 0.00 0.00	-52.28 -18.61 -20.20	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	8.00000			\$406.43	\$497.52	\$0.00	\$0.00	(\$91.09)	
COMPAGNIE FINANCIERE RICHEMONT SA-UNSPON ADR CUSIP: 204319107	34.00000	11/12/2015	11/03/2016	218.73	269.74	0.00	0.00	-51.01	Box 6: Gross Proceeds
CORRECTIONS CORP AMERXXX NEW CUSIP: 22025Y407	19.00000 4.00000	09/09/2015 09/09/2015	03/01/2016 08/25/2016	562.58 71.26	557.95 117.03	0.00 0.00	0.00 0.00	4.63 -45.77	Box 6: Gross Proceeds Original Basis: 117.47 Box 6: Gross Proceeds
Subtotals	23.00000			\$633.84	\$674.98	\$0.00	\$0.00	(\$41.14)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CRACKER BARREL OLD COUNTRY CUSIP: 22410J106	2 00000	09/22/2015	03/01/2016	296.81	296.20	0.00	0.00	0.61	Box 6: Gross Proceeds
	2 00000	11/02/2015	10/13/2016	265.30	278.00	0.00	0.00	-12.70	Box 6: Gross Proceeds
	1 00000	08/11/2016	10/13/2016	132.65	158.04	0.00	0.00	-25.39	Box 6: Gross Proceeds
Subtotals	5.00000			\$694.76	\$732.24	\$0.00	\$0.00	(\$37.48)	
CREDIT AGRICOLE SA -UNSP ADR CUSIP: 225313105	92.00000	04/01/2015	03/01/2016	482.53	695.45	0.00	0.00	-212.92	Box 6: Gross Proceeds
	143.00000	10/20/2015	03/01/2016	750.03	893.51	0.00	0.00	-143.48	Box 6: Gross Proceeds
Subtotals	235.00000			\$1,232.56	\$1,588.96	\$0.00	\$0.00	(\$356.40)	
CREDIT SUISSE GROUP SPON ADR CUSIP: 225401108	5 00000	03/20/2015	03/01/2016	70.60	131.65	0.00	0.00	-61.05	Box 6: Gross Proceeds
	4 00000	03/20/2015	03/01/2016	56.48	105.32	0.00	0.00	-48.84	Box 6: Gross Proceeds
Subtotals	9.00000			\$127.08	\$236.97	\$0.00	\$0.00	(\$109.89)	
DANONE SPONSORED ADR CUSIP: 23636T100	135.00000	03/01/2016	08/30/2016	2 078.95	1 899.88	0.00	0.00	179.07	Box 6: Gross Proceeds
DENSO CORPORATION ADR CUSIP: 24872B100	68 00000	03/01/2016	04/04/2016	1,253.74	1,271.94	0.00	0.00	-18.20	Box 6: Gross Proceeds
DEUTSCHE BOERSE AG-UNSP ADR CUSIP: 251542106	62.00000	10/29/2015	03/01/2016	516.46	572.38	0.00	0.00	-55.92	Box 6: Gross Proceeds
	30 00000	10/29/2015	03/01/2016	249.90	276.96	0.00	0.00	-27.06	Box 6: Gross Proceeds
Subtotals	92.00000			\$766.36	\$849.34	\$0.00	\$0.00	(\$82.98)	

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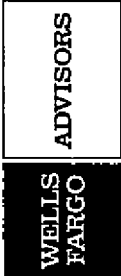
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
DEUTSCHE POST AG SPONSORED ADR CUSIP: 25157Y202	30.00000	09/16/2015	03/01/2016	726.30	837.60	0.00	0.00	-111.30	Box 6: Gross Proceeds
DEVON ENERGY CORP CUSIP: 25179M103	26.00000	03/05/2015	03/01/2016	505.17	1,585.49	0.00	0.00	-1,080.32	Box 6: Gross Proceeds
	2.00000	03/05/2015	03/01/2016	38.85	121.96	0.00	0.00	-83.11	Box 6: Gross Proceeds
	8.00000	06/25/2015	03/01/2016	155.44	486.24	0.00	0.00	-330.80	Box 6: Gross Proceeds
	15.00000	06/25/2015	03/01/2016	281.45	911.71	0.00	0.00	-630.26	Box 6: Gross Proceeds
	2.00000	01/22/2016	03/01/2016	38.86	50.41	0.00	0.00	-11.55	Box 6: Gross Proceeds
	2.00000	01/22/2016	03/01/2016	38.86	50.40	0.00	0.00	-11.54	Box 6: Gross Proceeds
	12.00000	01/22/2016	03/11/2016	297.09	302.41	0.00	0.00	-5.32	Box 6: Gross Proceeds
	22.00000	01/22/2016	03/11/2016	544.67	554.42	0.00	0.00	-9.75	Box 6: Gross Proceeds
	5.00000	01/22/2016	03/11/2016	123.78	126.00	0.00	0.00	-2.22	Box 6: Gross Proceeds
Subtotals	94.00000			\$2,034.17	\$4,189.04	\$0.00	\$0.00	(\$2,154.87)	
DISCOVERY COMMUNICATIONS INC A CUSIP: 25470F104	3.00000	04/21/2016	11/10/2016	78.60	85.60	0.00	0.00	-7.00	Box 6: Gross Proceeds
	3.00000	08/11/2016	11/10/2016	78.62	77.99	0.00	0.00	0.63	Box 6: Gross Proceeds
Subtotals	6.00000			\$157.22	\$163.59	\$0.00	\$0.00	(\$6.37)	
DOLLAR GENERAL CORP CUSIP: 256677105	8.00000	09/29/2015	03/01/2016	603.91	559.10	0.00	0.00	44.81	Box 6: Gross Proceeds
	6.00000	11/02/2015	10/13/2016	414.62	403.28	0.00	0.00	11.34	Box 6: Gross Proceeds
	2.00000	04/21/2016	10/13/2016	138.21	166.72	0.00	0.00	-28.51	Box 6: Gross Proceeds
	4.00000	08/11/2016	10/13/2016	276.42	370.33	0.00	0.00	-93.91	Box 6: Gross Proceeds
Subtotals	20.00000			\$1,433.16	\$1,499.43	\$0.00	\$0.00	(\$66.27)	



ADVISORS

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Proceeds from Broker and Barter Exchange Transactions, continued

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EOG RESOURCES INC CUSIP: 26875P101	2.00000	10/12/2015	03/01/2016	129.13	170.52	0.00	0.00	-41.39	Box 6: Gross Proceeds
	4.00000	10/12/2015	03/01/2016	258.26	341.04	0.00	0.00	-82.78	Box 6: Gross Proceeds
Subtotals	6.00000			\$387.39	\$511.56	\$0.00	\$0.00	(\$124.17)	
EBAY INC CUSIP: 278642103	74.00000	06/15/2016	07/20/2016	1,972.67	1,775.72	0.00	0.00	196.95	Box 6: Gross Proceeds
	29.00000	06/15/2016	08/18/2016	864.74	695.89	0.00	0.00	188.85	Box 6: Gross Proceeds
	64.00000	06/15/2016	11/16/2016	1,817.07	1,535.75	0.00	0.00	281.32	Box 6: Gross Proceeds
Subtotals	167.00000			\$4,674.48	\$4,007.36	\$0.00	\$0.00	\$667.12	
ERICSSON (LM) TEL-SP ADR NEW CUSIP: 294821608	11.00000	03/16/2015	03/01/2016	103.00	142.04	0.00	0.00	-39.04	Box 6: Gross Proceeds
	78.00000	03/16/2015	03/01/2016	730.36	1,007.18	0.00	0.00	-276.82	Box 6: Gross Proceeds
	5.00000	03/16/2015	03/01/2016	46.81	64.56	0.00	0.00	-17.75	Box 6: Gross Proceeds
	66.00000	04/29/2015	03/01/2016	618.00	746.90	0.00	0.00	-128.90	Box 6: Gross Proceeds
	64.00000	05/07/2015	03/01/2016	599.29	704.63	0.00	0.00	-105.34	Box 6: Gross Proceeds
Subtotals	224.00000			\$2,097.46	\$2,665.31	\$0.00	\$0.00	(\$567.85)	
EXXON MOBIL CORP CUSIP: 30231G102	2.00000	01/20/2016	03/01/2016	162.74	147.56	0.00	0.00	15.18	Box 6: Gross Proceeds
	22.00000	01/20/2016	06/21/2016	2,014.60	1,623.20	0.00	0.00	391.40	Box 6: Gross Proceeds
Subtotals	24.00000			\$2,177.34	\$1,770.76	\$0.00	\$0.00	\$406.58	
FACEBOOK INC CLASS A CUSIP: 30303M102	7.00000	03/01/2016	04/11/2016	765.83	765.10	0.00	0.00	0.73	Box 6: Gross Proceeds
	19.00000	03/01/2016	04/11/2016	2,089.25	2,076.70	0.00	0.00	12.55	Box 6: Gross Proceeds
	6.00000	03/01/2016	04/25/2016	660.11	655.80	0.00	0.00	4.31	Box 6: Gross Proceeds
	1.00000	03/01/2016	10/17/2016	127.64	109.30	0.00	0.00	18.34	Box 6: Gross Proceeds
Subtotals	33.00000			\$3,642.83	\$3,606.90	\$0.00	\$0.00	\$35.93	

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Proceeds from Broker and Barter Exchange Transactions, continued

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FANUC CORP UNSPONSORED ADR CUSIP: 307305102	0.33400	11/09/2016	11/09/2016	6.06	0.00	0.00	0.00	6.06	Box 6: Gross Proceeds Cash in Lieu
FASTENAL CO CUSIP: 311900104	8.00000	07/08/2015	03/01/2016	373.91	335.74	0.00	0.00	38.17	Box 6: Gross Proceeds
FEDERAL RLTY INVT TR SBI REIT CUSIP: 313747206	7.00000 6.00000 1.00000 6.00000	10/15/2015 10/15/2015 11/05/2015 11/05/2015	03/01/2016 10/11/2016 10/11/2016 10/31/2016	1,051.17 863.22 143.88 866.58	989.47 848.10 146.51 879.01	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	61.70 15.12 -2.63 -12.43	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	20.00000			\$2,924.85	\$2,863.09	\$0.00	\$0.00	\$61.76	
FIDELITY NATIONAL INFORMATION SVCS CUSIP: 31620M106	4.00000	09/08/2015	03/01/2016	238.63	274.15	0.00	0.00	-35.52	Box 6: Gross Proceeds
FIRST REPUBLIC BANK SAN FRANCISCO CALIF CUSIP: 33616C100	10.00000 14.00000 12.00000	10/11/2016 10/11/2016 10/31/2016	11/16/2016 11/30/2016 11/30/2016	815.80 1,148.59 984.51	775.90 1,086.25 896.96	0.00 0.00 0.00	0.00 0.00 0.00	39.90 62.34 87.55	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	36.00000			\$2,948.90	\$2,759.11	\$0.00	\$0.00	\$189.79	
FOMENTO ECONOMICO MEXICANO S.A.B DE C V CUSIP: 344419106	9.00000	01/27/2016	03/01/2016	857.33	804.22	0.00	0.00	53.11	Box 6: Gross Proceeds
FORTUNE BRANDS HOME & SECURITY INC CUSIP: 34964C106	2.00000 4.00000	04/21/2016 08/11/2016	11/17/2016 11/17/2016	114.04 228.08	115.94 256.45	0.00 0.00	0.00 0.00	-1.90 -28.37	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	6.00000			\$342.12	\$372.39	\$0.00	\$0.00	(\$30.27)	



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

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GEA GROUP AG - SPON ADR CUSIP: 361592108	24.00000	09/16/2015	03/01/2016	1,076.74	927.85	0.00	0.00	148.89	Box 6: Gross Proceeds
GENERAL ELECTRIC COMPANY CUSIP: 369604103	5.00000	03/18/2015	03/01/2016	149.45	126.56	0.00	0.00	22.89	Box 6: Gross Proceeds
GETINGE AB ADR CUSIP: 37427X104	34.00000	06/22/2015	03/01/2016	759.89	867.25	0.00	0.00	-107.36	Box 6: Gross Proceeds
	2.00000	07/10/2015	03/01/2016	44.69	50.13	0.00	0.00	-5.44	Box 6: Gross Proceeds
	72.00000	07/10/2015	03/01/2016	1,603.18	1,804.55	0.00	0.00	-195.37	Box 6: Gross Proceeds
	24.00000	08/06/2015	03/01/2016	536.40	587.50	0.00	0.00	-51.10	Box 6: Gross Proceeds
	36.00000	10/21/2015	03/01/2016	804.60	898.83	0.00	0.00	-94.23	Box 6: Gross Proceeds
	12.00000	10/29/2015	03/01/2016	268.20	299.47	0.00	0.00	-31.27	Box 6: Gross Proceeds
Subtotals	180.00000			\$4,022.96	\$4,507.73	\$0.00	\$0.00	(\$484.77)	
GLAXOSMITHKLINE PLC-ADR CUSIP: 37733W105	26.00000	06/23/2015	03/01/2016	1,028.17	1,127.61	0.00	0.00	-99.44	Box 6: Gross Proceeds
GLENCORE PLC UNSPONSORED ADR CUSIP: 37827X100	390.00000	11/04/2015	03/01/2016	1,417.62	1,508.33	0.00	0.00	90.71	Box 6: Gross Proceeds
	235.00000	12/15/2015	03/01/2016	854.21	576.76	0.00	0.00	277.45	Box 6: Gross Proceeds
Subtotals	625.00000			\$2,271.83	\$2,085.09	\$0.00	\$0.00	\$186.74	
GOODYEAR TIRE & RUBBER CUSIP: 382550101	55.00000	03/01/2016	11/23/2016	1,698.12	1,715.44	0.00	0.00	-17.32	Box 6: Gross Proceeds
	17.00000	03/11/2016	11/23/2016	524.88	558.70	0.00	0.00	-33.82	Box 6: Gross Proceeds
Subtotals	72.00000			\$2,223.00	\$2,274.14	\$0.00	\$0.00	(\$51.14)	
GREAT WALL MTR CO LTD UNSPONSORED ADR CUSIP: 39137B109	48.00000	06/11/2015	03/01/2016	355.91	972.85	0.00	0.00	-616.94	Box 6: Gross Proceeds
	66.00000	10/20/2015	03/01/2016	489.38	819.53	0.00	0.00	-330.15	Box 6: Gross Proceeds
	22.00000	10/28/2015	03/01/2016	163.13	270.97	0.00	0.00	-107.84	Box 6: Gross Proceeds
Subtotals	136.00000			\$1,008.42	\$2,063.35	\$0.00	\$0.00	(\$1,054.93)	

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Proceeds from Broker and Barter Exchange Transactions, continued

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GROUPE CGI INC CUSIP: 39945C109	30.00000	08/08/2015	03/01/2016	1,286.03	1,143.91	0.00	0.00	142.12	Box 6: Gross Proceeds
GRUPO TELEVISA S A DE CV GLOBAL DEP RCPT CUSIP: 40049J206	18.00000 20.00000	11/10/2015 11/13/2015	03/01/2016 03/01/2016	477.17 530.20	517.27 559.89	0.00 0.00	0.00 0.00	-40.10 -29.69	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	38.00000			\$1,007.37	\$1,077.16	\$0.00	\$0.00	(\$69.79)	
HCP INC CUSIP: 40414L109	51.00000	06/17/2015	05/05/2016	1,741.64	1,892.72	0.00	0.00	-151.08	Original Basis: 1,916.53 Box 6: Gross Proceeds
HSBC HOLDINGS PLC-SPON ADR CUSIP: 404280406	11.00000	10/20/2015	03/01/2016	355.74	437.74	0.00	0.00	-82.00	Box 6: Gross Proceeds
HAIN CELESTIAL GROUP INC CUSIP: 405217100	1.00000 4.00000	11/02/2015 08/11/2016	10/13/2016 10/13/2016	35.50 142.03	48.76 209.23	0.00 0.00	0.00 0.00	-13.26 -67.20	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	5.00000			\$177.53	\$257.99	\$0.00	\$0.00	(\$80.46)	
HALLIBURTON COMPANY CUSIP: 406216101	2.00000	06/06/2016	09/01/2016	86.10	89.81	0.00	0.00	-3.71	Box 6: Gross Proceeds
HERSHEY COMPANY CUSIP: 427866108	6.00000	11/25/2015	03/01/2016	551.21	521.87	0.00	0.00	29.34	Box 6: Gross Proceeds
HESS CORPORATION CUSIP: 42809H107	8.00000	01/28/2016	03/01/2016	354.71	323.61	0.00	0.00	31.10	Box 6: Gross Proceeds
HORMEL FOODS CORPORATION CUSIP: 440452100	5.00000 8.00000 5.00000	05/20/2015 11/02/2015 04/21/2016	03/01/2016 06/01/2016 06/01/2016	214.65 273.79 171.12	146.82 267.27 189.08	0.00 0.00 0.00	0.00 0.00 0.00	67.83 6.52 -17.96	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
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HORMEL FOODS CORPORATION									
CUSIP: 440452100	18.00000			\$659.56	\$603.17	\$0.00	\$0.00	\$56.39	
Subtotals									
HOST HOTELS & RESORTS	16.00000	01/29/2016	03/01/2016	252.47	220.85	0.00	0.00	31.62	Box 6: Gross Proceeds
CUSIP: 44107P104	122.00000	01/29/2016	06/06/2016	1,914.48	1,683.91	0.00	0.00	230.57	Box 6: Gross Proceeds
Subtotals									
	138.00000			\$2,166.95	\$1,904.76	\$0.00	\$0.00	\$262.19	
HUBBELL INC									
CUSIP: 443510607	1.00000	04/08/2015	03/01/2016	100.23	109.56	0.00	0.00	-9.33	Box 6: Gross Proceeds
	1.00000	04/08/2015	03/01/2016	100.23	109.56	0.00	0.00	-9.33	Box 6: Gross Proceeds
	4.00000	04/08/2015	03/01/2016	400.92	438.24	0.00	0.00	-37.32	Box 6: Gross Proceeds
Subtotals									
	6.00000			\$601.38	\$657.36	\$0.00	\$0.00	(\$55.98)	
HUMANA INC									
CUSIP: 444859102	12.00000	07/20/2016	10/31/2016	2,055.39	1,842.10	0.00	0.00	213.29	Box 6: Gross Proceeds
IPG PHOTONICS CORP									
CUSIP: 44980X109	3.00000	07/10/2015	03/01/2016	252.23	249.76	0.00	0.00	2.47	Box 6: Gross Proceeds
ILLUMINA INC									
CUSIP: 452327109	4.00000	03/01/2016	04/19/2016	535.84	626.72	0.00	0.00	-90.88	Box 6: Gross Proceeds
	4.00000	03/01/2016	04/20/2016	556.42	626.72	0.00	0.00	-70.30	Box 6: Gross Proceeds
	7.00000	03/01/2016	10/14/2016	1,622.64	1,096.76	0.00	0.00	-134.12	Box 6: Gross Proceeds
Subtotals									
	15.00000			\$2,054.90	\$2,350.20	\$0.00	\$0.00	(\$295.30)	
INFINEON TECHNOLOGIES									
AG ADR	75.00000	08/25/2015	03/01/2016	947.62	811.63	0.00	0.00	135.99	Box 6: Gross Proceeds
CUSIP: 45662N103									

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INTEL CORP CUSIP 458140100	23.00000	04/16/2015	03/01/2016	697.80	755.57	0.00	0.00	-57.77	Box 6: Gross Proceeds
	31.00000	04/16/2015	03/18/2016	1,005.89	1,018.36	0.00	0.00	-12.47	Box 6: Gross Proceeds
	21.00000	05/05/2015	03/18/2016	681.41	684.95	0.00	0.00	-3.54	Box 6: Gross Proceeds
Subtotals	75.00000			\$2,385.10	\$2,458.88	\$0.00	\$0.00	(\$73.78)	
INTERNATIONAL PAPER CO CUSIP: 460146103	35.00000	05/24/2016	12/21/2016	1,896.19	1,448.25	0.00	0.00	447.94	Box 6: Gross Proceeds
ITC HOLDINGS CORP CHG CUSIP: 465685105	6.00000	11/02/2015	03/01/2016	248.21	195.18	0.00	0.00	53.03	Box 6: Gross Proceeds
	23.00000	11/17/2015	03/01/2016	951.51	721.14	0.00	0.00	230.37	Box 6: Gross Proceeds
	3.00000	11/17/2015	03/01/2016	124.10	94.06	0.00	0.00	30.04	Box 6: Gross Proceeds
	52.00000	11/17/2015	10/13/2016	2,373.26	1,630.38	0.00	0.00	742.88	Box 6: Gross Proceeds
	3.00000	04/21/2016	10/13/2016	136.92	128.24	0.00	0.00	8.68	Box 6: Gross Proceeds
	3.00000	08/11/2016	10/13/2016	136.92	139.52	0.00	0.00	-2.60	Box 6: Gross Proceeds
Subtotals	90.00000			\$3,970.92	\$2,908.52	\$0.00	\$0.00	\$1,062.40	
JAPAN TOBACCO INC ADR UNSPON CUSIP 471105205	37.00000	03/04/2015	03/01/2016	768.28	589.78	0.00	0.00	178.50	Box 6: Gross Proceeds
	35.00000	10/29/2015	03/01/2016	726.77	600.34	0.00	0.00	126.43	Box 6: Gross Proceeds
Subtotals	72.00000			\$1,495.05	\$1,190.12	\$0.00	\$0.00	\$304.93	
JD COM INC SPONSORED ADR REPSTG COM CL A CUSIP 47215P106	29.00000	03/01/2016	04/26/2016	728.10	772.27	0.00	0.00	-44.17	Box 6: Gross Proceeds
	43.00000	03/01/2016	05/13/2016	966.63	1,145.09	0.00	0.00	-178.46	Box 6: Gross Proceeds
	15.00000	03/01/2016	05/26/2016	344.83	399.45	0.00	0.00	-54.56	Box 6: Gross Proceeds
	15.00000	03/01/2016	05/26/2016	345.68	399.45	0.00	0.00	-53.77	Box 6: Gross Proceeds
	16.00000	03/01/2016	05/31/2016	387.90	426.08	0.00	0.00	-38.18	Box 6: Gross Proceeds
	14.00000	03/01/2016	06/03/2016	321.44	372.81	0.00	0.00	-51.37	Box 6: Gross Proceeds
Subtotals	132.00000			\$3,094.64	\$3,515.15	\$0.00	\$0.00	(\$420.51)	



Annual Statement Information

Account Number: 3317-8245
Command Account Number: 1400082630
KLEIN FAMILY FOUNDATION INC
For Client Service call: 1-800-266-6263

Activity detail by type *Continued*

Electronic funds transfers		TRANSACTION	DESCRIPTION	AMOUNT
DATE	ACCOUNT TYPE			
5/16	Cash	AUTO ACTIVITY	IRS USATAXP 220653761025	-8,000.00
Total Electronic funds transfers:				-8,000.00

Est Payment 2015

Withdrawals by check

Entries that display a blank in the expense code field are checks generated by the firm based upon standing or specific instructions that you have provided.

DATE	CHECK NUMBER	DESCRIPTION	EXPENSE CODE	AMOUNT
5/04	0001001	YALE NEW HAVEN HOSPITAL	Unspecified	-20,000.00
5/05	0001002	GLEANERS FOOD BANK	Unspecified	-2,000.00
5/05	0001005	FREEDOM HOUSE	Unspecified	-4,000.00
5/06	0001008	ST JUDE	Unspecified	-5,000.00
5/09	0001003	UNITED WAY OF SOUTHWEST MI	Unspecified	-3,000.00
5/09	0001009	WOUNDED WARRIORS	Unspecified	-5,000.00
5/10	0001007	CT FOOD BANK	Unspecified	-5,000.00
5/11	0001006	EVERY MOTHER COUNTS	Unspecified	-2,000.00
5/13	0001004	SOUTHWEST SOLUTIONS	Unspecified	-4,000.00
5/18	0001012	ANIRIDIA FDN INTL	Unspecified	-5,000.00
5/23	0001011	PAT DYER MEMORIAL FUND	Unspecified	-1,000.00
5/24	0001010	RMHC OF CONN & WESTERN MASS	Unspecified	-1,000.00
5/26	0001013	BRIDGEPORT HOSP FDN	Unspecified	-50,000.00
6/08	0001014	YMCA	Unspecified	-15,000.00
6/10	0001015	HAITIAN HEALTH FDN	Unspecified	-20,000.00
6/13	0001016	CARDINAL SHEHAN CENTER	Unspecified	-10,000.00
6/14	0001017	MAKE A WISH	Unspecified	-1,000.00
7/26	0001018	HOMES FOR THE BRAVE	Unspecified	-10,000.00

Annual Statement Information

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Account Number: 3317-8245
 Command Account Number: 1400082630
 KLEIN FAMILY FOUNDATION INC
 For Client Service call: 1-800-266-6263

Activity detail by type *Continued*

Withdrawals by check *Continued*

DATE	CHECK NUMBER	DESCRIPTION	EXPENSE CODE	AMOUNT
8/30	0001020	SCH VOLUNTEER ASSN	Unspecified	-5,000.00
9/07	0001021	FRIEDBURG SMITH & CO	Unspecified	-5,500.00
9/16	0001022	BPFF	Unspecified	-10,000.00
11/03	0001023	INSPIRICA	Unspecified	-2,500.00
11/04	0001024	THE CTR FOR FAMILY JUSTICE	Unspecified	-5,000.00
11/08	0001025	DOCTORS WITHOUT BORDERS	Unspecified	-2,000.00
11/08	0001028	HCC	Unspecified	-20,000.00
11/08	0001029	UNITED WAY OF COS FAIRF CTY	Unspecified	-20,000.00
11/09	0001026	GLEANERS FOOD BANK	Unspecified	-2,000.00
11/15	0001027	RONALD MCDONALD HOUSE	Unspecified	-1,000.00
12/20	0001030	UCONN FDN	Unspecified	-30,000.00
12/21	0001031	FIDELCO GUIDE DOG FDN	Unspecified	-5,000.00
12/22	0001033	CT FOOD BANK	Unspecified	-5,000.00
12/22	0001034	BRIDGEPORT RESCUE MISSION	Unspecified	-5,000.00
12/23	0001032	ST JUDE CHILDRENS RESEARCH HOS	Unspecified	-5,000.00
12/23	0001037	FREEDOM HOUSE	Unspecified	-4,000.00
12/23	0001039	GLEANERS	Unspecified	-2,500.00
12/27	0001036	DOCTORS WITHOUT BORDERS	Unspecified	-3,500.00
12/28	0001035	SAVE THE CHILDREN	Unspecified	-3,500.00
12/28	0001040	SOUTHWEST SOLUTIONS	Unspecified	-4,000.00

Total Withdrawals by check

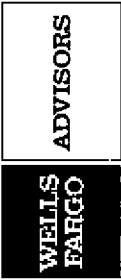
-303,500.00

Less: Fst+co.

< 5500.00

Grants paid

298,000.00



ADVISORS

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
JOHNSON & JOHNSON CUSIP: 478160104	10.00000	09/15/2015	03/01/2016	1,070.18	946.25	0.00	0.00	123.93	Box 6: Gross Proceeds
	16.00000	09/15/2015	04/01/2016	1,725.66	1,513.99	0.00	0.00	211.67	Box 6: Gross Proceeds
	10.00000	09/15/2015	07/20/2016	1,254.58	946.23	0.00	0.00	308.35	Box 6: Gross Proceeds
	5.00000	09/28/2015	07/20/2016	627.30	455.27	0.00	0.00	172.03	Box 6: Gross Proceeds
Subtotals	41.00000			\$4,677.72	\$3,851.74	\$0.00	\$0.00	\$815.98	
J2 GLOBAL INC CUSIP: 48123V102	5.00000	01/11/2016	03/01/2016	374.14	384.77	0.00	0.00	-10.63	Box 6: Gross Proceeds
JULIUS BAER GROUP LTD SPONSORED ADR CUSIP: 48137C108	115.00000	01/21/2016	03/01/2016	957.37	935.69	0.00	0.00	21.68	Box 6: Gross Proceeds
KASIKORNBANK PUB CO LTD UNSPONSORED ADR CUSIP: 485785109	34.00000	03/06/2015	03/01/2016	673.02	984.84	0.00	0.00	-291.82	Box 6: Gross Proceeds
	18.00000	05/15/2015	03/01/2016	356.31	444.78	0.00	0.00	-88.47	Box 6: Gross Proceeds
Subtotals	52.00000			\$1,029.33	\$1,409.62	\$0.00	\$0.00	(\$380.29)	
KAWASAKI HEAVY INDS LTD SPON ADR CUSIP: 486359201	195.00000	03/01/2016	05/12/2016	2,241.94	2,148.90	0.00	0.00	93.04	Box 6: Gross Proceeds
	170.00000	03/01/2016	10/03/2016	1,854.49	1,873.40	0.00	0.00	-18.91	Box 6: Gross Proceeds
Subtotals	365.00000			\$4,096.43	\$4,022.30	\$0.00	\$0.00	\$74.13	
KELLOGG COMPANY CUSIP: 487836108	10.00000	04/05/2016	07/20/2016	839.55	776.90	0.00	0.00	62.65	Box 6: Gross Proceeds
	14.00000	04/05/2016	10/11/2016	1,065.12	1,087.65	0.00	0.00	-22.53	Box 6: Gross Proceeds
	9.00000	05/18/2016	10/11/2016	684.73	671.98	0.00	0.00	12.75	Box 6: Gross Proceeds
Subtotals	33.00000			\$2,589.40	\$2,536.53	\$0.00	\$0.00	\$52.87	

2016 Year-End Account Summary

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Account Number: 3693-8946

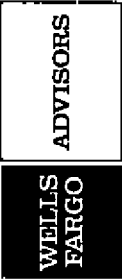
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KEYCORP NEW CUSIP: 493267108	90.00000	09/01/2015	03/11/2016	1,004.82	1,210.84	0.00	0.00	-206.02	Box 6: Gross Proceeds
KIMCO REALTY CORP REIT CUSIP: 49446R109	8.00000	01/22/2016	03/01/2016	217.44	212.24	0.00	0.00	5.20	Box 6: Gross Proceeds
KINGFISHER PLC-SPONS ADR (NEW) CUSIP: 495724403	55.00000 19.00000	11/12/2015 11/12/2015	03/01/2016 03/01/2016	530.75 183.35	591.33 204.28	0.00 0.00	0.00 0.00	-60.58 -20.93	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	74.00000			\$714.10	\$795.61	\$0.00	\$0.00	(\$81.51)	
KONICA MINOLTA INC UNSPONSORED ADR CUSIP: 50046B104	28.00000 44.00000	06/23/2015 12/15/2015	03/01/2016 03/01/2016	462.27 726.44	700.56 874.92	0.00 0.00	0.00 0.00	-238.29 -148.48	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	72.00000			\$1,188.71	\$1,575.48	\$0.00	\$0.00	(\$386.77)	
KROGER COMPANY COMMON CUSIP: 501044101	5.00000 15.00000 18.00000 16.00000 2.00000 26.00000 5.00000 11.00000 28.00000 18.00000 65.00000 8.00000	08/27/2015 08/27/2015 08/27/2015 08/27/2015 09/03/2015 09/03/2015 09/15/2015 09/15/2015 09/15/2015 11/18/2015 03/01/2016 03/01/2016	07/29/2016 08/01/2016 08/02/2016 08/03/2016 08/03/2016 08/04/2016 08/04/2016 08/08/2016 08/09/2016 09/19/2016 09/19/2016 11/16/2016	171.16 511.64 588.36 531.99 66.50 841.15 161.77 356.02 904.93 554.79 2,003.41 270.03	177.15 531.43 637.71 566.85 69.87 908.28 186.01 409.21 1,041.61 671.33 2,639.65 324.87	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	5.99 -19.79 -49.35 -34.86 -3.37 -67.13 -24.24 -53.19 -136.68 -116.54 -636.24 -54.84	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds



2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
KROGER COMPANY COMMON CUSIP: 501044101	48.00000	03/01/2016	11/16/2016	1,620.24	1,931.52	0.00	0.00	-311.28	Box 6: Gross Proceeds
Subtotals	255.00000			\$8,581.99	\$10,095.49	\$0.00	\$0.00	(\$1,513.50)	
L BRANDS INC CUSIP: 501797104	2.00000	03/01/2016	05/17/2016	131.75	172.82	0.00	0.00	-41.07	Box 6: Gross Proceeds
	7.00000	03/01/2016	05/17/2016	456.29	604.31	0.00	0.00	-148.02	Box 6: Gross Proceeds
	4.00000	03/01/2016	05/17/2016	263.50	345.32	0.00	0.00	-81.82	Box 6: Gross Proceeds
	5.00000	03/01/2016	05/18/2016	318.51	432.05	0.00	0.00	-113.54	Box 6: Gross Proceeds
	2.00000	03/01/2016	05/19/2016	123.33	172.82	0.00	0.00	-49.49	Box 6: Gross Proceeds
	4.00000	03/03/2016	05/19/2016	246.68	346.04	0.00	0.00	-99.36	Box 6: Gross Proceeds
	2.00000	03/17/2016	05/19/2016	122.25	173.29	0.00	0.00	-51.04	Box 6: Gross Proceeds
	4.00000	03/17/2016	05/19/2016	244.50	347.79	0.00	0.00	-103.29	Box 6: Gross Proceeds
	2.00000	03/17/2016	05/19/2016	123.34	173.30	0.00	0.00	-49.96	Box 6: Gross Proceeds
	2.00000	04/21/2016	05/19/2016	122.25	154.41	0.00	0.00	-32.16	Box 6: Gross Proceeds
Subtotals	34.00000			\$2,152.40	\$2,922.15	\$0.00	\$0.00	(\$769.75)	
L'OREAL CO ADR CUSIP: 502117203	57.00000	03/01/2016	11/29/2016	1,949.40	1,974.44	0.00	0.00	-25.04	Box 6: Gross Proceeds
LIBERTY MEDIA GRP CLASS C CUSIP: 531229854	4.50000	06/29/2015	06/14/2016	81.82	95.33	0.00	0.00	-13.51	Box 6: Gross Proceeds
	4.00000	06/29/2015	06/14/2016	72.74	84.74	0.00	0.00	-12.00	Box 6: Gross Proceeds
Subtotals	8.50000			\$154.56	\$180.07	\$0.00	\$0.00	(\$25.51)	
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP: 531229888	0.06923	06/29/2015	04/18/2016	1.37	1.46	0.00	0.00	-0.09	Box 6: Gross Proceeds
	0.07694	06/29/2015	04/18/2016	1.53	1.62	0.00	0.00	-0.09	Box 6: Gross Proceeds
	4.00000	06/08/2016	08/12/2016	64.18	59.07	0.00	0.00	5.11	Box 6: Gross Proceeds

2016 Year-End Account Summary

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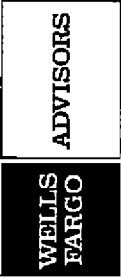
Account Number: 3693-8946
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP 531229888	4 00000	06/16/2016	08/12/2016	64 18	51.20	0.00	0.00	12.98	Box 6: Gross Proceeds
	1 00000	06/16/2016	10/03/2016	17 31	12.80	0.00	0.00	4.51	Box 6: Gross Proceeds
Subtotals	9.14617			\$148.57	\$126.15	\$0.00	\$0.00	\$22.42	
ELI LILLY & CO CUSIP 532457108	3 00000	10/15/2015	03/01/2016	217 95	239.40	0.00	0.00	-21.45	Box 6: Gross Proceeds
	13 00000	10/15/2015	08/05/2016	1 059 15	1 037 38	0.00	0.00	21.77	Box 6: Gross Proceeds
	17 00000	10/15/2015	09/01/2016	1 310 42	1 356 57	0.00	0.00	-46.15	Box 6: Gross Proceeds
	12 00000	03/24/2016	09/01/2016	925 00	856.23	0.00	0.00	68.77	Box 6: Gross Proceeds
	7 00000	04/28/2016	09/01/2016	539 59	535.71	0.00	0.00	3.88	Box 6: Gross Proceeds
	12 00000	04/28/2016	11/11/2016	931 51	918.36	0.00	0.00	13.15	Box 6: Gross Proceeds
Subtotals	64.00000			\$4,983.62	\$4,943.65	\$0.00	\$0.00	\$39.97	
LINKEDIN CORP CLASS ACHG CUSIP 53578A108	4 00000	03/01/2016	03/07/2016	471 03	460.16	0.00	0.00	10.87	Box 6: Gross Proceeds
	4 00000	03/01/2016	03/07/2016	457 33	460.16	0.00	0.00	-2.83	Box 6: Gross Proceeds
	2 00000	03/01/2016	03/16/2016	218.28	230.08	0.00	0.00	-11.80	Box 6: Gross Proceeds
	4 00000	03/01/2016	03/18/2016	442.66	460.16	0.00	0.00	-17.50	Box 6: Gross Proceeds
	5 00000	03/01/2016	03/24/2016	553 32	575.20	0.00	0.00	-21.88	Box 6: Gross Proceeds
	3 00000	03/01/2016	03/30/2016	342 62	345.12	0.00	0.00	-2.50	Box 6: Gross Proceeds
Subtotals	22.00000			\$2,485.24	\$2,530.88	\$0.00	\$0.00	(\$45.64)	
LULULEMON ATHLETICA INC CUSIP 550021109	5 00000	04/14/2016	10/04/2016	298.00	320.87	0.00	0.00	-22.87	Box 6: Gross Proceeds
	5 00000	04/20/2016	10/04/2016	298.00	336.75	0.00	0.00	-38.75	Box 6: Gross Proceeds
	6 00000	04/22/2016	10/04/2016	357.61	386.44	0.00	0.00	-38.83	Box 6: Gross Proceeds
	2 00000	04/29/2016	10/04/2016	119.21	130.83	0.00	0.00	-11.62	Box 6: Gross Proceeds
	3 00000	04/29/2016	10/20/2016	174.48	186.24	0.00	0.00	-21.76	Box 6: Gross Proceeds
	6 00000	05/05/2016	10/20/2016	348.97	378.78	0.00	0.00	-29.81	Box 6: Gross Proceeds
	3 00000	05/31/2016	10/20/2016	174.50	194.40	0.00	0.00	-19.90	Box 6: Gross Proceeds



2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LULULEMON ATHLETICA INC CUSIP: 550021109	2.00000	05/31/2016	10/24/2016	113.27	129.59	0.00	0.00	-16.32	Box 6: Gross Proceeds
	4.00000	06/03/2016	10/24/2016	226.54	268.99	0.00	0.00	-42.45	Box 6: Gross Proceeds
Subtotals	36.00000			\$2,110.58	\$2,352.89	\$0.00	\$0.00	(\$242.31)	
MASCO CORP CUSIP: 574599106	36.00000	03/01/2016	08/01/2016	1,307.55	1,045.44	0.00	0.00	262.11	Box 6: Gross Proceeds
	58.00000	03/01/2016	10/04/2016	1,987.87	1,684.32	0.00	0.00	303.55	Box 6: Gross Proceeds
Subtotals	94.00000			\$3,295.42	\$2,729.76	\$0.00	\$0.00	\$565.66	
MASTERCARD INC CL A CUSIP: 57636Q104	2.00000	03/01/2016	06/20/2016	189.81	178.84	0.00	0.00	10.97	Box 6: Gross Proceeds
	7.00000	03/01/2016	07/11/2016	622.39	625.94	0.00	0.00	-3.55	Box 6: Gross Proceeds
	3.00000	03/01/2016	07/12/2016	267.24	268.26	0.00	0.00	-1.02	Box 6: Gross Proceeds
Subtotals	12.00000			\$1,079.44	\$1,073.04	\$0.00	\$0.00	\$6.40	
MCDONALDS CORP CUSIP: 580135101	30.00000	01/29/2016	03/01/2016	3,570.82	3,721.32	0.00	0.00	-150.50	Box 6: Gross Proceeds
	3.00000	01/29/2016	06/27/2016	349.59	372.14	0.00	0.00	-22.55	Box 6: Gross Proceeds
	3.00000	01/29/2016	06/27/2016	348.88	372.14	0.00	0.00	-23.26	Box 6: Gross Proceeds
	2.00000	01/29/2016	10/14/2016	229.42	248.09	0.00	0.00	-18.67	Box 6: Gross Proceeds
	6.00000	01/29/2016	10/14/2016	686.73	744.26	0.00	0.00	-57.53	Box 6: Gross Proceeds
	3.00000	01/29/2016	10/18/2016	335.85	372.13	0.00	0.00	-36.28	Box 6: Gross Proceeds
	6.00000	01/29/2016	10/19/2016	667.68	744.26	0.00	0.00	-76.58	Box 6: Gross Proceeds
	8.00000	01/29/2016	10/20/2016	885.16	992.34	0.00	0.00	-107.18	Box 6: Gross Proceeds
	8.00000	01/29/2016	10/21/2016	906.27	992.34	0.00	0.00	-86.07	Box 6: Gross Proceeds
Subtotals	69.00000			\$7,980.40	\$8,559.02	\$0.00	\$0.00	(\$578.62)	
MENTOR GRAPHICS CORP CUSIP: 587200106	6.00000	11/02/2015	03/16/2016	117.86	163.93	0.00	0.00	-46.07	Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MERCK & CO INC NEW CUSIP: 58933Y105	179.00000	08/12/2015	03/01/2016	9,264.85	10,377.79	0.00	0.00	-1,112.94	Box 6: Gross Proceeds
METLIFE INC CUSIP: 59156R108	11.00000	01/20/2016	03/01/2016	458.25	454.12	0.00	0.00	4.13	Box 6: Gross Proceeds
	32.00000	01/20/2016	06/30/2016	1,270.16	1,321.05	0.00	0.00	-50.89	Box 6: Gross Proceeds
	43.00000	01/25/2016	06/30/2016	1,706.78	1,866.29	0.00	0.00	-159.51	Box 6: Gross Proceeds
	21.00000	03/11/2016	06/30/2016	833.55	925.26	0.00	0.00	-91.71	Box 6: Gross Proceeds
Subtotals	107.00000			\$4,268.74	\$4,566.72	\$0.00	\$0.00	(\$297.98)	
MOLSON COORS BREWING CO CL-B CUSIP: 60871R209	5.00000	07/08/2015	03/01/2016	433.14	351.59	0.00	0.00	81.55	Box 6: Gross Proceeds
	29.00000	07/08/2015	06/15/2016	2,912.47	2,039.17	0.00	0.00	873.30	Box 6: Gross Proceeds
	10.00000	11/05/2015	06/15/2016	1,004.30	869.50	0.00	0.00	134.80	Box 6: Gross Proceeds
	12.00000	05/18/2016	06/15/2016	1,205.17	1,164.86	0.00	0.00	40.31	Box 6: Gross Proceeds
Subtotals	56.00000			\$5,555.08	\$4,425.12	\$0.00	\$0.00	\$1,129.96	
MONSANTO CO NEW CUSIP: 61166W101	3.00000	03/01/2016	04/18/2016	266.40	279.00	0.00	0.00	-12.60	Box 6: Gross Proceeds
	6.00000	03/01/2016	05/02/2016	572.92	558.00	0.00	0.00	14.92	Box 6: Gross Proceeds
	4.00000	03/01/2016	05/02/2016	376.81	372.00	0.00	0.00	4.81	Box 6: Gross Proceeds
	8.00000	03/01/2016	06/27/2016	820.26	744.00	0.00	0.00	76.26	Box 6: Gross Proceeds
	6.00000	03/01/2016	06/29/2016	621.42	558.00	0.00	0.00	63.42	Box 6: Gross Proceeds
Subtotals	27.00000			\$2,557.81	\$2,511.00	\$0.00	\$0.00	\$46.81	
MORGAN STANLEY & CO CUSIP: 617446448	32.00000	03/01/2016	08/04/2016	899.24	832.64	0.00	0.00	66.60	Box 6: Gross Proceeds
	20.00000	03/01/2016	08/05/2016	580.05	520.40	0.00	0.00	59.65	Box 6: Gross Proceeds
	12.00000	03/01/2016	08/09/2016	352.51	312.23	0.00	0.00	40.28	Box 6: Gross Proceeds
Subtotals	64.00000			\$1,831.80	\$1,665.27	\$0.00	\$0.00	\$166.53	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MORPHOSYS AG SPON ADR CUSIP: 617760103	29.00000	01/21/2016	03/01/2016	601.45	667.00	0.00	0.00	-65.55	Box 6: Gross Proceeds
NATIONAL GRID PLC SPON ADR NEW CUSIP: 636274300	43.00000	03/01/2016	06/14/2016	2,961.03	2,925.74	0.00	0.00	35.29	Box 6: Gross Proceeds
NETFLIX.COM INC CUSIP: 64110L106	5.00000	03/01/2016	03/17/2016	500.71	483.45	0.00	0.00	17.26	Box 6: Gross Proceeds
	3.00000	03/01/2016	03/17/2016	299.99	290.07	0.00	0.00	9.92	Box 6: Gross Proceeds
	7.00000	03/01/2016	06/27/2016	597.10	676.83	0.00	0.00	-79.73	Box 6: Gross Proceeds
	5.00000	03/01/2016	06/27/2016	428.90	483.45	0.00	0.00	-54.55	Box 6: Gross Proceeds
	8.00000	03/01/2016	07/21/2016	690.28	773.52	0.00	0.00	-83.24	Box 6: Gross Proceeds
	7.00000	03/01/2016	07/21/2016	607.52	676.83	0.00	0.00	-69.31	Box 6: Gross Proceeds
	2.00000	03/01/2016	10/07/2016	209.73	193.38	0.00	0.00	16.35	Box 6: Gross Proceeds
	3.00000	03/01/2016	10/10/2016	312.55	290.07	0.00	0.00	22.48	Box 6: Gross Proceeds
Subtotals	40.00000			\$3,646.78	\$3,857.60	\$0.00	\$0.00	(\$220.82)	
NIKE INC CLASS B CUSIP: 654106103	14.00000	03/01/2016	06/02/2016	763.11	872.70	0.00	0.00	-109.59	Box 6: Gross Proceeds
	4.00000	03/01/2016	06/03/2016	215.54	249.35	0.00	0.00	-33.81	Box 6: Gross Proceeds
	14.00000	03/01/2016	06/06/2016	762.40	872.69	0.00	0.00	-110.29	Box 6: Gross Proceeds
	10.00000	03/01/2016	06/24/2016	527.93	623.35	0.00	0.00	-95.42	Box 6: Gross Proceeds
	7.00000	03/01/2016	06/24/2016	372.82	436.35	0.00	0.00	-63.53	Box 6: Gross Proceeds
	11.00000	03/01/2016	10/04/2016	579.33	685.69	0.00	0.00	-106.36	Box 6: Gross Proceeds
	9.00000	03/01/2016	10/06/2016	469.57	561.02	0.00	0.00	-91.45	Box 6: Gross Proceeds
	11.00000	03/01/2016	10/24/2016	571.94	685.69	0.00	0.00	-113.75	Box 6: Gross Proceeds
	12.00000	03/01/2016	10/25/2016	611.84	748.02	0.00	0.00	-136.18	Box 6: Gross Proceeds
	13.00000	03/01/2016	10/26/2016	675.32	810.36	0.00	0.00	-135.04	Box 6: Gross Proceeds
	10.00000	03/01/2016	11/14/2016	504.44	623.35	0.00	0.00	-118.91	Box 6: Gross Proceeds
	9.00000	03/01/2016	11/15/2016	451.17	561.02	0.00	0.00	-109.85	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP Box 1a	Quantity Sold Box 1b	Date Acquired Box 1c	Date Sold or Disposed Box 1d	Proceeds Box 2d	Cost or Other Basis Box 1e	Accrued Market Discount Box 1f	Wash Sale Loss Disallowed Box 1g	Gain/Loss Amount	Additional Information
NIKE INC CLASS B									
CUSIP: 654106103	124.00000			\$6,505.41	\$7,729.59	\$0.00	\$0.00	(\$1,224.18)	
Subtotals									
NORTHERN TRUST CORP	58.00000	06/24/2015	03/01/2016	3,622.62	4,530.93	0.00	0.00	-908.31	Box 6: Gross Proceeds
CUSIP: 665859104	3.00000	06/24/2015	03/01/2016	187.37	234.36	0.00	0.00	-46.99	Box 6: Gross Proceeds
	39.00000	06/24/2015	03/01/2016	2,435.89	3,046.66	0.00	0.00	-610.77	Box 6: Gross Proceeds
Subtotals	100.00000			\$6,245.88	\$7,811.95	\$0.00	\$0.00	(\$1,566.07)	
NOVARTIS AG									
SPON ADR	13.00000	03/01/2016	04/01/2016	923.11	945.88	0.00	0.00	-22.77	Box 6: Gross Proceeds
CUSIP: 66987V109	40.00000	03/01/2016	07/11/2016	3,301.52	2,910.40	0.00	0.00	391.12	Box 6: Gross Proceeds
Subtotals	53.00000			\$4,224.63	\$3,856.28	\$0.00	\$0.00	\$368.35	
NOVO NORDISK A S ADR									
CUSIP: 670100205	13.00000	03/01/2016	08/15/2016	606.49	689.66	0.00	0.00	-83.17	Box 6: Gross Proceeds
	8.00000	03/01/2016	08/23/2016	379.16	424.41	0.00	0.00	-45.25	Box 6: Gross Proceeds
	11.00000	03/01/2016	09/30/2016	458.26	583.56	0.00	0.00	-125.30	Box 6: Gross Proceeds
	9.00000	03/01/2016	10/05/2016	374.36	477.46	0.00	0.00	-103.10	Box 6: Gross Proceeds
	12.00000	03/01/2016	10/12/2016	472.94	636.61	0.00	0.00	-163.67	Box 6: Gross Proceeds
	14.00000	03/01/2016	10/14/2016	556.15	742.70	0.00	0.00	-186.55	Box 6: Gross Proceeds
Subtotals	67.00000			\$2,847.36	\$3,554.40	\$0.00	\$0.00	(\$707.04)	
O'REILLY AUTOMOTIVE INC									
CUSIP: 67103H107	1.00000	03/01/2016	05/13/2016	263.83	268.26	0.00	0.00	-4.43	Box 6: Gross Proceeds
	3.00000	03/01/2016	09/16/2016	821.29	804.78	0.00	0.00	16.51	Box 6: Gross Proceeds
Subtotals	4.00000			\$1,085.12	\$1,073.04	\$0.00	\$0.00	\$12.08	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
OCEANEERING INTL INC CUSIP: 675232102	2.00000	08/11/2016	11/15/2016	50.64	57.25	0.00	0.00	-6.61	Box 6: Gross Proceeds
ON SEMI CONDUCTOR CORP CUSIP: 682189105	20.00000	11/02/2015	06/17/2016	188.42	223.42	0.00	0.00	-35.00	Box 6: Gross Proceeds
	18.00000	04/21/2016	06/17/2016	169.59	178.15	0.00	0.00	-8.56	Box 6: Gross Proceeds
Subtotals	38.00000			\$358.01	\$401.57	\$0.00	\$0.00	(\$43.56)	
ORACLE CORPORATION CUSIP: 68389X105	12.00000	03/16/2015	03/01/2016	454.56	518.58	0.00	0.00	-64.02	Box 6: Gross Proceeds
	66.00000	06/22/2015	06/06/2016	2,607.81	2,765.39	0.00	0.00	157.58	Box 6: Gross Proceeds
	55.00000	06/25/2015	06/06/2016	2,173.18	2,266.36	0.00	0.00	-93.18	Box 6: Gross Proceeds
	16.00000	11/18/2015	06/06/2016	632.20	613.95	0.00	0.00	18.25	Box 6: Gross Proceeds
	29.00000	12/22/2015	06/06/2016	1,145.87	1,059.04	0.00	0.00	86.83	Box 6: Gross Proceeds
Subtotals	178.00000			\$7,013.62	\$7,223.32	\$0.00	\$0.00	(\$209.70)	
ORIX CORP SPONSORED ADR CUSIP: 686330101	31.00000	07/11/2016	10/10/2016	2,193.53	2,045.58	0.00	0.00	147.95	Box 6: Gross Proceeds
PG&E CORPORATION CUSIP: 69331C108	4.00000	11/05/2015	03/01/2016	224.88	216.99	0.00	0.00	7.89	Box 6: Gross Proceeds
	19.00000	11/05/2015	10/11/2016	1,121.00	1,030.68	0.00	0.00	90.32	Box 6: Gross Proceeds
Subtotals	23.00000			\$1,345.88	\$1,247.67	\$0.00	\$0.00	\$98.21	
PNC FINANCIAL SERVICES GROUP CUSIP: 693475105	22.00000	04/28/2016	11/16/2016	2,366.62	1,950.81	0.00	0.00	415.81	Box 6: Gross Proceeds
	10.00000	10/31/2016	11/16/2016	1,075.75	955.95	0.00	0.00	119.80	Box 6: Gross Proceeds
Subtotals	32.00000			\$3,442.37	\$2,906.76	\$0.00	\$0.00	\$535.61	

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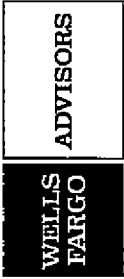
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
P P G INDUSTRIES INC CUSIP 693506107	2 00000	03/02/2015	03/01/2016	199.41	237.09	0.00	0.00	-37.68	Box 6: Gross Proceeds
	32.00000	03/02/2015	03/01/2016	3,190.55	3,793.46	0.00	0.00	-602.81	Box 6: Gross Proceeds
	14 00000	07/30/2015	03/01/2016	1,395.90	1,510.56	0.00	0.00	-114.66	Box 6: Gross Proceeds
	7 00000	08/06/2015	03/01/2016	697.95	735.56	0.00	0.00	-37.61	Box 6: Gross Proceeds
	24 00000	09/09/2015	03/01/2016	2,392.99	2,319.53	0.00	0.00	73.46	Box 6: Gross Proceeds
	2.00000	09/09/2015	03/01/2016	199.41	193.29	0.00	0.00	6.12	Box 6: Gross Proceeds
	18 00000	11/13/2015	03/01/2016	1,794.76	1,817.88	0.00	0.00	-23.12	Box 6: Gross Proceeds
	16 00000	11/13/2015	04/05/2016	1,785.38	1,615.89	0.00	0.00	169.49	Box 6: Gross Proceeds
Subtotals	115.00000			\$11,656.45	\$12,223.26	\$0.00	\$0.00	(\$566.81)	
PT BANK MANDIRI PERSERO TBK-UNSPONSORED ADR CUSIP 69367U105	57 00000	06/17/2015	03/01/2016	411.82	416.99	0.00	0.00	-5.17	Box 6: Gross Proceeds
	73 00000	06/18/2015	03/01/2016	527.42	541.95	0.00	0.00	-14.53	Box 6: Gross Proceeds
Subtotals	130.00000			\$939.24	\$958.94	\$0.00	\$0.00	(\$19.70)	
PALO ALTO NETWORKS CUSIP 697435105	1 00000	03/01/2016	11/28/2016	135.80	145.46	0.00	0.00	-9.66	Box 6: Gross Proceeds
PENSKE AUTO GROUP INC CUSIP 70959W103	4 00000	11/02/2015	08/04/2016	157.48	195.45	0.00	0.00	-37.97	Box 6: Gross Proceeds
	5 00000	04/21/2016	08/04/2016	196.87	178.78	0.00	0.00	18.09	Box 6: Gross Proceeds
Subtotals	9.00000			\$354.35	\$374.23	\$0.00	\$0.00	(\$19.88)	
PEPSICO INCORPORATED CUSIP 713448108	96 00000	10/23/2015	03/01/2016	9,485.56	9,815.53	0.00	0.00	-329.97	Box 6: Gross Proceeds
	8 00000	10/11/2016	11/16/2016	812.04	842.82	0.00	0.00	-30.78	Box 6: Gross Proceeds
	15 00000	10/11/2016	12/21/2016	1,575.41	1,580.26	0.00	0.00	-4.85	Box 6: Gross Proceeds
	8 00000	10/31/2016	12/21/2016	840.23	859.15	0.00	0.00	-18.92	Box 6: Gross Proceeds
Subtotals	127.00000			\$12,713.24	\$13,097.76	\$0.00	\$0.00	(\$384.52)	



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KLEIN FAMILY FOUNDATION INC
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Pfizer Incorporated CUSIP: 717081103	26.00000	05/24/2016	09/01/2016	902.81	884.64	0.00	0.00	18.17	Box 6: Gross Proceeds
	51.00000	05/24/2016	12/21/2016	1,649.99	1,735.24	0.00	0.00	-85.25	Box 6: Gross Proceeds
Subtotals	77.00000			\$2,552.80	\$2,619.88	\$0.00	\$0.00	(\$67.08)	
Post Properties Inc CHG REIT CUSIP: 737464107	1.00000	07/20/2015	03/01/2016	56.64	57.72	0.00	0.00	-1.08	Box 6: Gross Proceeds
	20.00000	07/20/2015	03/24/2016	1,159.43	1,154.31	0.00	0.00	5.12	Box 6: Gross Proceeds
	13.00000	11/18/2015	03/24/2016	753.64	757.38	0.00	0.00	-3.74	Box 6: Gross Proceeds
Subtotals	34.00000			\$1,969.71	\$1,969.41	\$0.00	\$0.00	\$0.30	
Precision Drilling Corp CUSIP: 74022D308	246.00000	04/01/2015	03/01/2016	841.35	1,595.38	0.00	0.00	-754.03	Box 6: Gross Proceeds
ProSieben Sat 1 MediaSe UnspOn ADR CUSIP: 743476202	58.00000	01/21/2016	03/01/2016	735.17	683.07	0.00	0.00	83.10	Box 6: Gross Proceeds
Public Storage Inc REIT CUSIP: 74460D109	10.00000	03/24/2016	04/18/2016	2,685.01	2,662.30	0.00	0.00	23.71	Box 6: Gross Proceeds
Qualcomm Inc CUSIP: 747525103	38.00000	06/25/2015	03/01/2016	1,973.33	2,478.27	0.00	0.00	-504.94	Box 6: Gross Proceeds
	23.00000	06/25/2015	03/01/2016	1,194.37	1,500.00	0.00	0.00	-305.63	Box 6: Gross Proceeds
Subtotals	61.00000			\$3,167.70	\$3,978.27	\$0.00	\$0.00	(\$810.57)	
Relx Plc SPON CUSIP: 759530108	38.00000	07/15/2015	03/01/2016	680.65	656.64	0.00	0.00	24.01	Box 6: Gross Proceeds

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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REYNOLDS AMERICAN INC CUSIP: 761713106	56.00000	06/15/2016	10/31/2016	3,077.42	2,804.54	0.00	0.00	272.88	Box 6: Gross Proceeds
	18.00000	09/19/2016	10/31/2016	989.18	856.84	0.00	0.00	132.34	Box 6: Gross Proceeds
Subtotals	74.00000			\$4,066.60	\$3,661.38	\$0.00	\$0.00	\$405.22	
RIO TINTO PLC SPONSORED ADR CUSIP: 767204100	91.00000	03/01/2016	11/29/2016	3,520.14	2,453.35	0.00	0.00	1,066.79	Box 6: Gross Proceeds
ROCKWELL AUTOMATION INC CUSIP: 773903109	7.00000	12/22/2015	04/18/2016	817.82	717.90	0.00	0.00	99.92	Box 6: Gross Proceeds
	7.00000	12/22/2015	11/11/2016	912.72	717.89	0.00	0.00	194.83	Box 6: Gross Proceeds
	5.00000	12/22/2015	11/16/2016	665.98	512.77	0.00	0.00	153.21	Box 6: Gross Proceeds
	9.00000	01/25/2016	11/16/2016	1,198.77	819.68	0.00	0.00	379.09	Box 6: Gross Proceeds
	1.00000	01/25/2016	11/23/2016	131.67	91.07	0.00	0.00	40.60	Box 6: Gross Proceeds
	5.00000	03/01/2016	11/23/2016	658.38	534.25	0.00	0.00	124.13	Box 6: Gross Proceeds
	8.00000	07/20/2016	11/23/2016	1,053.42	956.80	0.00	0.00	96.62	Box 6: Gross Proceeds
	7.00000	10/31/2016	11/23/2016	921.75	839.56	0.00	0.00	82.19	Box 6: Gross Proceeds
Subtotals	49.00000			\$6,360.51	\$5,189.92	\$0.00	\$0.00	\$1,170.59	
ROYAL DUTCH SHELL PLC ADR B CUSIP: 780259107	7.00000	05/11/2015	03/01/2016	324.90	454.63	0.00	0.00	-129.73	Box 6: Gross Proceeds
	2.00000	10/20/2015	03/01/2016	92.82	111.40	0.00	0.00	-18.58	Box 6: Gross Proceeds
	3.00000	10/20/2015	03/01/2016	139.24	167.11	0.00	0.00	-27.87	Box 6: Gross Proceeds
	8.00000	10/20/2015	03/01/2016	371.32	440.94	0.00	0.00	-69.62	Box 6: Gross Proceeds
	10.00000	10/20/2015	03/01/2016	464.16	557.05	0.00	0.00	-92.89	Box 6: Gross Proceeds
	12.00000	10/20/2015	03/01/2016	556.98	661.42	0.00	0.00	-104.44	Box 6: Gross Proceeds
	1.00000	10/20/2015	03/01/2016	46.41	55.70	0.00	0.00	-9.29	Box 6: Gross Proceeds
	19.00000	10/20/2015	04/28/2016	1,025.64	1,058.40	0.00	0.00	-32.76	Box 6: Gross Proceeds
	3.00000	10/20/2015	05/09/2016	150.73	167.11	0.00	0.00	-16.38	Box 6: Gross Proceeds
	20.00000	11/05/2015	05/09/2016	1,004.93	1,053.25	0.00	0.00	-48.32	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1c)	Date Acquired (Box 1d)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1f)	Cost or Other Basis (Box 1g)	Accrued Market Discount (Box 1h)	Wash Sale Loss Disallowed (Box 1i)	Gain/Loss Amount	Additional Information
ROYAL DUTCH SHELL PLC ADR B CUSIP: 780259107	4.00000 1.00000 12.00000 13.00000 22.00000 137.00000	11/05/2015 12/11/2015 12/11/2015 12/11/2015 12/14/2015 137.00000	05/09/2016 05/09/2016 05/09/2016 09/01/2016 09/01/2016 05/11/2016	200.98 50.24 602.98 665.49 1,126.22 \$6,823.04	210.65 44.91 538.95 583.85 969.94 \$7,075.31	0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 \$0.00	-9.67 5.33 64.03 81.64 156.28 (\$252.27)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ST JUDE MEDICAL INC CUSIP: 790849103	6.00000	03/01/2016	05/11/2016	453.53	323.22	0.00	0.00	130.31	Box 6: Gross Proceeds
SALESFORCE.COM CUSIP: 79466L302	8.00000 16.00000	03/01/2016 03/01/2016	10/05/2016 12/09/2016	540.49 570.26 \$1,110.75	552.33 552.33 \$1,104.66	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-11.84 17.93 \$6.09	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
SAMPO QYJ-A SHS UNSP ADR CUSIP: 79588J102	106.00000	03/01/2016	10/03/2016	2,361.93	2,439.59	0.00	0.00	77.66	Box 6: Gross Proceeds
SAP SE-SPONSORED ADR CUSIP: 803054204	1.00000 1.00000 2.00000	03/31/2015 03/31/2015 03/31/2015	03/01/2016 03/01/2016 03/01/2016	77.72 77.72 \$155.44	72.51 72.51 \$145.02	0.00 0.00 \$0.00	0.00 0.00 \$0.00	5.21 5.21 \$10.42	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
SCHLUMBERGER LTD CUSIP: 806857108	10.00000 12.00000 22.00000	07/30/2015 07/30/2015 07/30/2015	03/11/2016 03/18/2016 03/18/2016	747.25 885.43 \$1,632.68	835.05 1,002.06 \$1,837.11	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-87.80 -116.63 (\$204.43)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
SCHNEIDER ELECTRIC SE- ADR CUSIP: 80687P106	33.00000 75.00000 30.00000 138.00000	07/01/2015 10/01/2015 11/12/2015 138.00000	03/01/2016 03/01/2016 03/01/2016 03/01/2016	392.86 892.87 357.15 \$1,642.88	462.72 839.11 360.69 \$1,662.52	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	-69.86 53.76 -3.54 (\$19.64)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1)	Quantity Sold (Box 1)	Date Acquired (Box 1)	Date Sold or Disposed (Box 1)	Proceeds (Box 1)	Cost or Other Basis (Box 1)	Accrued Market Discount (Box 1)	Wash Sale Loss Disallowed (Box 1)	Gain/Loss Amount	Additional Information
SCHWAB CHARLES CORP NEW CUSIP: 808513105	98.00000	03/01/2016	08/18/2016	2,955.38	2,570.54	0.00	0.00	384.84	Box 6: Gross Proceeds
	13.00000	03/11/2016	08/18/2016	392.05	363.33	0.00	0.00	28.72	Box 6: Gross Proceeds
	22.00000	03/11/2016	11/11/2016	784.36	614.84	0.00	0.00	169.52	Box 6: Gross Proceeds
	31.00000	05/24/2016	11/11/2016	1,105.25	930.62	0.00	0.00	174.63	Box 6: Gross Proceeds
	4.00000	06/30/2016	11/11/2016	142.62	101.74	0.00	0.00	40.88	Box 6: Gross Proceeds
Subtotals	168.00000			\$5,379.66	\$4,581.07	\$0.00	\$0.00	\$798.59	
SIEMENS A G - ADR CUSIP: 826197501	2.00000	06/22/2015	03/01/2016	189.86	218.00	0.00	0.00	-28.14	Box 6: Gross Proceeds
SIMON PROPERTY GROUP REIT INC NEW CUSIP: 828806109	5.00000	06/21/2016	10/11/2016	980.23	1,043.41	0.00	0.00	-63.18	Box 6: Gross Proceeds
SMITH & NEPHEW PLC ADR CUSIP: 83175M205	29.00000	08/17/2015	03/01/2016	964.88	1,081.66	0.00	0.00	-116.78	Box 6: Gross Proceeds
A O SMITH CUSIP: 831865209	7.00000	09/10/2015	03/01/2016	508.13	477.24	0.00	0.00	30.89	Box 6: Gross Proceeds
STEEL DYNAMICS INC CUSIP: 858119100	27.00000	10/31/2016	11/16/2016	901.04	741.09	0.00	0.00	159.95	Box 6: Gross Proceeds
	82.00000	10/31/2016	11/30/2016	2,921.47	2,250.69	0.00	0.00	670.78	Box 6: Gross Proceeds
Subtotals	109.00000			\$3,822.51	\$2,991.78	\$0.00	\$0.00	\$830.73	
STERICYCLE INC CUSIP: 8568912108	1.00000	08/11/2016	08/12/2016	87.00	86.98	0.00	0.00	0.02	Box 6: Gross Proceeds
SUBSEA 7 INC ADR SPONSORED ADR CUSIP: 864323100	140.00000	07/08/2015	03/01/2016	935.18	1,254.67	0.00	0.00	-319.49	Box 6: Gross Proceeds
	42.00000	08/13/2015	03/01/2016	280.55	357.17	0.00	0.00	-76.62	Box 6: Gross Proceeds
	108.00000	10/20/2015	03/01/2016	721.43	888.05	0.00	0.00	-166.62	Box 6: Gross Proceeds
Subtotals	290.00000			\$1,937.16	\$2,459.89	\$0.00	\$0.00	(\$562.73)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SUNCOR ENERGY INC NEW CUSIP: 867224107	38.00000	04/01/2015	03/01/2016	954.18	1,120.35	0.00	0.00	-166.17	Box 6: Gross Proceeds
SWATCH GRP AG UNSPON CUSIP: 870123106	84.00000 32.00000	03/16/2015 04/02/2015	03/01/2016 03/01/2016	1,488.45 567.03	1,826.41 685.87	0.00 0.00	0.00 0.00	-337.96 -118.84	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	116.00000			\$2,055.48	\$2,512.28	\$0.00	\$0.00	(\$456.80)	
SYNGENTA AG-ADR CUSIP: 87160A100	14.00000 42.00000 2.00000	11/12/2015 11/12/2015 11/12/2015	03/01/2016 03/01/2016 03/01/2016	1,138.93 3,416.80 162.70	968.78 2,906.32 138.40	0.00 0.00 0.00	0.00 0.00 0.00	170.15 510.48 24.30	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	70.00000			\$5,694.67	\$4,924.99	\$0.00	\$0.00	\$769.68	Box 6: Gross Proceeds
SYNCHRONY FINANCIAL CUSIP: 87165B103	9.00000 6.00000 28.00000 36.00000	11/18/2015 11/30/2015 11/30/2015 11/30/2015	03/18/2016 03/18/2016 03/18/2016 11/16/2016	255.06 170.05 793.53 1,179.67	283.53 190.16 887.38 1,140.92	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	-28.47 -20.11 -93.85 38.75	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	79.00000			\$2,398.31	\$2,501.99	\$0.00	\$0.00	(\$103.68)	
TARGET CORP CUSIP: 87612E106	8.00000 40.00000 11.00000	08/27/2015 08/27/2015 08/03/2015	03/01/2016 05/18/2016 05/18/2016	643.99 2,586.94 738.91	619.17 3,095.84 860.82	0.00 0.00 0.00	0.00 0.00 0.00	24.82 -408.90 -121.91	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	59.00000			\$4,069.84	\$4,575.83	\$0.00	\$0.00	(\$505.99)	
TECHNIP-SA-ADR CUSIP: 878646209	18.00000	07/08/2015	03/01/2016	224.73	250.52	0.00	0.00	-25.79	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 4)	Quantity Sold (Box 1)	Date Acquired (Box 2)	Date Sold or Disposed (Box 3)	Proceeds (Box 4)	Cost or Other Basis (Box 5)	Accrued Market Discount (Box 6)	Wash Sale Loss Disallowed (Box 7)	Gain/Loss Amount	Additional Information
TELEOR ASA-ADS CUSIP: 87944W105	15.00000	12/18/2015	03/01/2016	682.88	749.85	0.00	0.00	-66.97	Box 6: Gross Proceeds
TESCO PLC SPON ADR CUSIP: 881575302	172.00000	10/20/2015	03/01/2016	1,337.30	1,513.53	0.00	0.00	-176.23	Box 6: Gross Proceeds
TESLA MOTORS INC CUSIP: 88160R101	3.00000	03/01/2016	09/12/2016	584.99	575.55	0.00	0.00	9.44	Box 6: Gross Proceeds
TEVA PHARMACEUTICAL ADR INDS LTD CUSIP: 881624209	2.00000 13.00000 5.00000 13.00000 2.00000 16.00000 19.00000 20.00000	11/12/2015 11/12/2015 11/12/2015 12/11/2015 12/11/2015 05/18/2016 06/15/2016 10/31/2016	08/18/2016 08/18/2016 09/19/2016 09/19/2016 11/11/2016 11/11/2016 11/11/2016 11/11/2016	105.94 688.61 253.58 659.31 81.39 651.18 773.28 813.99	116.84 759.48 292.10 843.19 129.72 832.30 1,009.70 842.42	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	-10.90 -70.87 -38.52 -183.88 -48.33 -181.12 -236.42 -28.43	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	90.00000			\$4,027.28	\$4,825.75	\$0.00	\$0.00	(\$798.47)	
THERMO FISHER SCIENTIFIC INC CUSIP: 883556102	37.00000 6.00000 7.00000	11/25/2015 11/25/2015 11/25/2015	03/01/2016 04/05/2016 05/18/2016	4,998.98 853.21 1,048.26	5,126.59 831.34 969.90	0.00 0.00 0.00	0.00 0.00 0.00	-127.61 21.87 78.36	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	50.00000			\$6,900.45	\$6,927.83	\$0.00	\$0.00	(\$27.38)	
TIME WARNER INC NEW CUSIP: 887317303	9.00000	03/01/2016	09/15/2016	679.76	598.95	0.00	0.00	80.81	Box 6: Gross Proceeds
TOLL BROTHERS CUSIP: 889478103	3.00000 6.00000	11/02/2015 04/21/2016	07/09/2016 07/08/2016	84.88 169.78	108.80 176.62	0.00 0.00	0.00 0.00	-23.92 -6.84	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	9.00000			\$254.66	\$285.42	\$0.00	\$0.00	(\$30.76)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TOSHIBA CORP-UNSPON ADR CUSIP: 891493306	132.00000	07/27/2015	03/01/2016	1,229.55	2,484.11	0.00	0.00	-1,254.56	Box 6: Gross Proceeds
TREND MICRO INC SPON ADR CUSIP: 89486M206	2.00000 94.00000	03/01/2016 03/01/2016	11/03/2016 11/04/2016	69.61 3,184.80	75.41 3,544.08	0.00 0.00	0.00 0.00	-5.80 -359.28	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	96.00000			\$3,254.41	\$3,619.49	\$0.00	\$0.00	(\$365.08)	
TURKCELL ILETISIM HIZMETLERI CUSIP: 900111204	99.00000	08/23/2015	03/01/2016	925.13	1,165.31	0.00	0.00	-240.18	Box 6: Gross Proceeds
US BANCORP NEW CUSIP: 902973304	48.00000 22.00000 14.00000	01/12/2016 01/12/2016 01/12/2016	04/28/2016 10/31/2016 11/11/2016	2,081.23 987.52 668.85	1,923.08 881.41 560.90	0.00 0.00 0.00	0.00 0.00 0.00	158.15 106.11 107.95	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	84.00000			\$3,737.60	\$3,365.39	\$0.00	\$0.00	\$372.21	
UNDER ARMOUR INC CLASS A CUSIP: 904311107	5.00000	09/17/2015	06/06/2016	184.02	266.58	0.00	0.00	-82.56	Box 6: Gross Proceeds
UNDER ARMOUR INC CLASS C CUSIP: 904311206	5.00000	09/17/2015	06/02/2016	170.43	259.74	0.00	0.00	-89.31	Box 6: Gross Proceeds
VARIAN MEDICAL SYSTEMS INC CUSIP: 92220P105	4.00000	12/17/2015	03/01/2016	315.63	315.01	0.00	0.00	0.62	Box 6: Gross Proceeds
VERISK ANALYTICS INC CUSIP: 92345Y106	12.00000	03/16/2015	03/01/2016	891.70	861.72	0.00	0.00	29.98	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
VERTEX PHARMACEUTICALS INC CUSIP: 92532F100	3.00000	06/08/2016	11/16/2016	274.13	285.93	0.00	0.00	-11.80	Box 6: Gross Proceeds
	1.00000	06/08/2016	11/23/2016	85.47	95.30	0.00	0.00	-9.83	Box 6: Gross Proceeds
	5.00000	06/14/2016	11/23/2016	427.40	450.31	0.00	0.00	-22.91	Box 6: Gross Proceeds
	2.00000	06/14/2016	12/01/2016	160.19	180.12	0.00	0.00	-19.93	Box 6: Gross Proceeds
	4.00000	06/16/2016	12/01/2016	320.39	356.52	0.00	0.00	-36.13	Box 6: Gross Proceeds
	2.00000	08/09/2016	12/01/2016	160.20	206.52	0.00	0.00	-46.32	Box 6: Gross Proceeds
	4.00000	08/09/2016	12/02/2016	305.97	413.02	0.00	0.00	-107.05	Box 6: Gross Proceeds
	5.00000	08/24/2016	12/02/2016	382.47	483.38	0.00	0.00	-100.91	Box 6: Gross Proceeds
Subtotals	26.00000			\$2,116.22	\$2,471.10	\$0.00	\$0.00	(\$354.88)	
WH GRP LTD UNSPON ADR 1 RCPT= 20 ORD SHRS CUSIP: 92890T106	144.00000	05/28/2015	03/01/2016	1,685.82	2,181.50	0.00	0.00	-495.68	Box 6: Gross Proceeds
	50.00000	07/08/2015	03/01/2016	585.35	585.45	0.00	0.00	19.90	Box 6: Gross Proceeds
	86.00000	07/15/2015	03/01/2016	1,006.81	1,150.01	0.00	0.00	-143.20	Box 6: Gross Proceeds
	55.00000	08/10/2015	03/01/2016	643.89	694.68	0.00	0.00	-50.79	Box 6: Gross Proceeds
	80.00000	10/29/2015	03/01/2016	936.58	873.42	0.00	0.00	63.16	Box 6: Gross Proceeds
Subtotals	415.00000			\$4,856.45	\$5,465.06	\$0.00	\$0.00	(\$606.61)	
WHITEWAVE FOODS CO CLASS A CUSIP: 966244105	3.00000	09/29/2015	03/01/2016	119.28	119.72	0.00	0.00	-0.44	Box 6: Gross Proceeds
	5.00000	08/11/2016	11/10/2016	270.04	276.53	0.00	0.00	-6.49	Box 6: Gross Proceeds
Subtotals	8.00000			\$389.32	\$396.25	\$0.00	\$0.00	(\$6.93)	
WILLIAMS SONOMA INC CUSIP: 968904101	118.00000	08/10/2015	03/01/2016	6,393.10	10,215.60	0.00	0.00	-3,822.50	Box 6: Gross Proceeds
YAHOO JAPAN CORPORATION ADR CUSIP: 98433V102	127.00000	10/05/2015	03/01/2016	1,014.72	953.80	0.00	0.00	60.92	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALLERGAN PLC CUSIP: G0177J108	9 00000	11/12/2015	09/01/2016	2,119.66	2,722.02	0.00	0.00	-602.36	Box 6: Gross Proceeds
	7 00000	03/01/2016	12/09/2016	1,344.59	2,054.29	0.00	0.00	-709.70	Box 6: Gross Proceeds
Subtotals	16.00000			\$3,464.25	\$4,776.31	\$0.00	\$0.00	(\$1,312.06)	
ACCENTURE PLC IRELAND SHARES CLASS A CUSIP: G1151C101	87.00000	12/11/2015	03/01/2016	8,995.60	9,250.87	0.00	0.00	-255.27	Box 6: Gross Proceeds
LIBERTY GLOBAL PLC SHS CL A CUSIP: G5480U104	74.00000	03/01/2016	11/29/2016	2,388.56	2,444.46	0.00	0.00	-55.90	Box 6: Gross Proceeds
LIBERTY GLOBAL PLC LILAC SHS CL A USD CUSIP: G5480U138	0.77559	03/01/2016	07/01/2016	25.53	29.82	0.00	0.00	-4.29	Box 6: Gross Proceeds
	0.16541	06/14/2016	07/01/2016	5.45	5.79	0.00	0.00	-0.34	Cash in Lieu Box 6: Gross Proceeds
	25.55078	03/01/2016	07/07/2016	875.59	982.31	0.00	0.00	-106.72	Cash in Lieu Box 6: Gross Proceeds
	5.44922	06/14/2016	07/07/2016	186.74	190.62	0.00	0.00	-3.88	Box 6: Gross Proceeds
Subtotals	31.94100			\$1,093.31	\$1,208.54	\$0.00	\$0.00	(\$115.23)	
MEDTRONIC PLC CUSIP: G5960L103	7.00000	07/08/2015	06/21/2016	532.27	508.70	0.00	0.00	83.57	Original Basis 510.64 Box 6: Gross Proceeds
MICHAEL KORS HOLDINGS LTD CUSIP: G60754101	41.00000	09/01/2015	03/01/2016	2,355.40	1,750.57	0.00	0.00	595.83	Box 6: Gross Proceeds
	6.00000	09/01/2015	03/11/2016	349.87	257.49	0.00	0.00	92.38	Box 6: Gross Proceeds
	30.00000	11/05/2015	03/11/2016	1,749.37	1,297.77	0.00	0.00	451.60	Box 6: Gross Proceeds
Subtotals	77.00000			\$4,454.64	\$3,314.83	\$0.00	\$0.00	\$1,139.81	

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
XL GROUP PLC SHS CHG CUSIP: G98290102	48.00000	12/11/2015	04/28/2016	1,582.27	1,803.09	0.00	0.00	-220.82	Box 6: Gross Proceeds
	30.00000	12/22/2015	04/28/2016	988.92	1,174.28	0.00	0.00	-185.36	Box 6: Gross Proceeds
	28.00000	04/18/2016	04/28/2016	923.00	1,016.73	0.00	0.00	-93.73	Box 6: Gross Proceeds
Subtotals	106.00000			\$3,494.19	\$3,994.10	\$0.00	\$0.00	(\$499.91)	
KEYENCE CORP CUSIP: J32491102	5.00000	03/01/2016	08/31/2016	3,497.12	2,589.53	0.00	0.00	907.59	Box 6: Gross Proceeds
CHECK POINT SOFTWARE TECH LTD CUSIP: M22465104	3.00000	11/02/2015	10/13/2016	227.45	253.68	0.00	0.00	-26.23	Box 6: Gross Proceeds
	4.00000	04/21/2016	10/13/2016	303.28	340.13	0.00	0.00	-36.85	Box 6: Gross Proceeds
	1.00000	08/11/2016	10/13/2016	75.82	75.10	0.00	0.00	0.72	Box 6: Gross Proceeds
Subtotals	8.00000			\$606.55	\$668.91	\$0.00	\$0.00	(\$62.36)	
ASML HOLDING NV NY REGISTRY SHS NEW 2012 CUSIP: N07059210	24.00000	03/01/2016	11/29/2016	2,484.30	2,244.21	0.00	0.00	240.09	Box 6: Gross Proceeds
NXP SEMICONDUCTORS NV CUSIP: N6596X109	3.00000	10/29/2015	10/04/2016	311.40	223.20	0.00	0.00	88.20	Box 6: Gross Proceeds
	6.00000	03/01/2016	12/01/2016	594.39	447.78	0.00	0.00	146.61	Box 6: Gross Proceeds
Subtotals	9.00000			\$905.79	\$670.98	\$0.00	\$0.00	\$234.81	
QIAGEN N V CUSIP: N72482107	28.00000	08/22/2015	03/01/2016	607.73	701.29	0.00	0.00	-93.56	Box 6: Gross Proceeds
ROYAL CARIBBEAN CRUISES LTD CUSIP: V7780T103	3.00000	01/14/2016	03/01/2016	229.85	261.59	0.00	0.00	-31.74	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Informa- tion
AIR LIQUIDE ADR RTS	91.00000	10/25/2016	10/31/2016	50.22	0.00	0.00	0.00	50.22	Box 6: Gross Proceeds
CUSIP N/A									Tender

Totals

\$440,033.18 \$459,071.74 \$0.00 (\$19,559.83)

Gain on Alcolac

Adjusted Gain (Loss)

521.27

<19038.56>

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ABB LTD -SPONS ADR CUSIP: 000375204	103.00000	07/07/2014	03/01/2016	1,871.98	2,357.43	0.00	0.00	-485.45	Box 6: Gross Proceeds
	27.00000	09/02/2014	03/01/2016	490.71	619.03	0.00	0.00	-128.32	Box 6: Gross Proceeds
Subtotals	130.00000			\$2,362.69	\$2,976.46	\$0.00	\$0.00	(\$613.77)	
AFLAC INC CUSIP: 001055102	4.00000	12/17/2014	03/01/2016	243.79	234.23	0.00	0.00	9.56	Box 6: Gross Proceeds
	7.00000	12/23/2014	03/01/2016	426.65	433.51	0.00	0.00	-6.86	Box 6: Gross Proceeds
	6.00000	12/23/2014	04/22/2016	407.34	371.57	0.00	0.00	35.77	Box 6: Gross Proceeds
	7.00000	01/26/2015	04/22/2016	475.23	413.64	0.00	0.00	61.59	Box 6: Gross Proceeds
	39.00000	08/17/2015	08/25/2016	2,837.02	2,516.61	0.00	0.00	320.41	Box 6: Gross Proceeds
Subtotals	63.00000			\$4,390.03	\$3,959.56	\$0.00	\$0.00	\$420.47	
AIA GROUP LTD SPONSORED ADR CUSIP: 0013117205	6.00000	10/10/2011	11/03/2016	148.82	71.03	0.00	0.00	77.79	Box 6: Gross Proceeds
	43.00000	10/10/2011	11/04/2016	1,057.31	509.03	0.00	0.00	548.28	Box 6: Gross Proceeds
	3.00000	11/16/2011	11/04/2016	73.76	36.69	0.00	0.00	37.07	Box 6: Gross Proceeds
	13.00000	01/31/2012	11/04/2016	319.66	173.42	0.00	0.00	146.24	Box 6: Gross Proceeds
Subtotals	65.00000			\$1,599.55	\$790.17	\$0.00	\$0.00	\$809.38	
ABBOTT LABORATORIES CUSIP: 002824100	84.00000	02/27/2015	03/01/2016	3,296.93	4,010.59	0.00	0.00	-713.66	Box 6: Gross Proceeds
	7.00000	02/27/2015	04/28/2016	286.29	334.22	0.00	0.00	-47.93	Box 6: Gross Proceeds
	23.00000	02/27/2015	04/28/2016	949.16	1,098.14	0.00	0.00	-148.98	Box 6: Gross Proceeds
	15.00000	02/27/2015	06/02/2016	592.50	716.18	0.00	0.00	-123.68	Box 6: Gross Proceeds
	13.00000	02/27/2015	06/03/2016	507.58	620.69	0.00	0.00	-113.11	Box 6: Gross Proceeds
	4.00000	02/27/2015	06/07/2016	156.33	180.98	0.00	0.00	-34.65	Box 6: Gross Proceeds
	9.00000	02/27/2015	06/08/2016	350.50	429.71	0.00	0.00	-79.21	Box 6: Gross Proceeds
	18.00000	02/27/2015	06/09/2016	701.74	859.41	0.00	0.00	-157.67	Box 6: Gross Proceeds
	18.00000	02/27/2015	06/10/2016	690.05	859.41	0.00	0.00	-169.36	Box 6: Gross Proceeds
	13.00000	02/27/2015	06/15/2016	488.44	620.68	0.00	0.00	-132.24	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ABBOTT LABORATORIES CUSIP: 002824100	14.00000	02/27/2015	06/16/2016	522.92	668.42	0.00	0.00	-145.50	Box 6: Gross Proceeds
Subtotals	218.00000			\$8,542.44	\$10,408.43	\$0.00	\$0.00	(\$1,865.99)	
ABERDEEN ASSET MGMT ADR PLC CUSIP: 00300A104	165.00000	08/28/2014	03/01/2016	1,140.95	2,387.02	0.00	0.00	-1,246.07	Box 6: Gross Proceeds
	51.00000	09/23/2014	03/01/2016	352.65	691.66	0.00	0.00	-339.01	Box 6: Gross Proceeds
Subtotals	216.00000			\$1,493.60	\$3,078.68	\$0.00	\$0.00	(\$1,585.08)	
ADOBE SYSTEMS INC CUSIP: 00724F101	72.00000	12/21/2012	03/01/2016	6,232.90	2,711.75	0.00	0.00	3,521.15	Box 6: Gross Proceeds
	5.00000	12/21/2012	10/17/2016	538.06	188.32	0.00	0.00	349.74	Box 6: Gross Proceeds
	6.00000	12/21/2012	12/13/2016	642.71	225.98	0.00	0.00	416.73	Box 6: Gross Proceeds
Subtotals	83.00000			\$7,413.67	\$3,126.05	\$0.00	\$0.00	\$4,287.62	
ADVANCE AUTO PARTS CUSIP: 00751Y106	3.00000	03/26/2014	03/01/2016	457.73	570.52	0.00	0.00	-112.79	Box 6: Gross Proceeds
AFFILIATED MANAGERS GROUP CUSIP: 008252108	3.00000	10/01/2014	03/01/2016	427.13	585.00	0.00	0.00	-157.87	Box 6: Gross Proceeds
AKZO NOBEL N V ADR CUSIP: 010199305	33.00000	08/15/2012	03/01/2016	662.63	599.58	0.00	0.00	63.05	Box 6: Gross Proceeds
	38.00000	06/26/2013	03/01/2016	763.03	703.88	0.00	0.00	59.15	Box 6: Gross Proceeds
Subtotals	71.00000			\$1,425.66	\$1,303.46	\$0.00	\$0.00	\$122.20	
ALCOA INC CUSIP: 013817101	90.00000	09/18/2013	03/01/2016	818.08	749.10	0.00	0.00	68.98	Box 6: Gross Proceeds
	114.00000	09/18/2013	08/10/2016	1,189.06	948.86	0.00	0.00	240.20	Box 6: Gross Proceeds
Subtotals	204.00000			\$2,007.14	\$1,697.96	\$0.00	\$0.00	\$309.18	

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALLIANZ SE-ADR CUSIP: 018805101	84 00000	02/28/2013	03/01/2016	1,275.09	1 153.35	0.00	0.00	121.74	Box 6: Gross Proceeds
	66 00000	09/23/2013	03/01/2016	1 001.85	1 042.46	0.00	0.00	-40.61	Box 6: Gross Proceeds
Subtotals	150.00000			\$2,276.94	\$2,195.81	\$0.00	\$0.00	\$81.13	
ALPHABET INC VOTING CAP STK CLA CUSIP: 02079K305	4 00000	02/02/2011	03/01/2016	2 941.86	1,226.38	0.00	0.00	1,715.48	Box 6: Gross Proceeds
	1 00000	05/24/2012	06/06/2016	732.40	301.06	0.00	0.00	431.34	Box 6: Gross Proceeds
	1 00000	05/24/2012	06/20/2016	714.02	301.07	0.00	0.00	412.95	Box 6: Gross Proceeds
	1 00000	05/24/2012	06/29/2016	695.94	301.06	0.00	0.00	394.88	Box 6: Gross Proceeds
	1 00000	05/24/2012	07/25/2016	756.28	301.06	0.00	0.00	455.22	Box 6: Gross Proceeds
Subtotals	8.00000			\$5,840.50	\$2,430.63	\$0.00	\$0.00	\$3,409.87	
ALTRIA GROUP INC CUSIP: 02209S103	2 00000	07/23/2014	03/01/2016	123.36	83.44	0.00	0.00	39.92	Box 6: Gross Proceeds
	14.00000	07/23/2014	08/18/2016	927.60	584.05	0.00	0.00	343.55	Box 6: Gross Proceeds
Subtotals	16.00000			\$1,050.96	\$667.49	\$0.00	\$0.00	\$383.47	
AMCOR LTD ADR NEW CUSIP: 02341R302	28.00000	12/03/2013	03/01/2016	1,139.29	1 116.11	0.00	0.00	23.18	Box 6: Gross Proceeds
	14.00000	12/09/2013	03/01/2016	569.64	563.44	0.00	0.00	6.20	Box 6: Gross Proceeds
	37.00000	01/29/2014	03/01/2016	1,505.50	1,367.57	0.00	0.00	137.93	Box 6: Gross Proceeds
	8.00000	03/18/2014	03/01/2016	325.51	303.66	0.00	0.00	21.85	Box 6: Gross Proceeds
	7.00000	03/19/2014	03/01/2016	284.82	266.87	0.00	0.00	17.95	Box 6: Gross Proceeds
	12.00000	09/25/2014	03/01/2016	488.27	476.58	0.00	0.00	11.69	Box 6: Gross Proceeds
Subtotals	106.00000			\$4,313.03	\$4,094.23	\$0.00	\$0.00	\$218.80	
AMERISOURCEBERGEN CORP CUSIP: 03073E105	149.00000	05/28/2013	03/01/2016	13,035.54	8,104.69	0.00	0.00	4 930.85	Box 6: Gross Proceeds
	1.00000	05/28/2013	03/01/2016	87.48	54.39	0.00	0.00	33.09	Box 6: Gross Proceeds
Subtotals	150.00000			\$13,123.02	\$8,159.08	\$0.00	\$0.00	\$4,963.94	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AMETEK INC NEW CUSIP: 031100100	8.00000	10/01/2012	03/01/2016	382.15	275.44	0.00	0.00	106.71	Box 6: Gross Proceeds
AMPHENOL CORP NEW CL A CUSIP: 032095101	27.00000 126.00000 7.00000 4.00000 3.00000 167.00000	08/19/2014 08/19/2014 08/19/2014 08/19/2014 08/19/2014 08/19/2014	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	1,478.06 6,897.66 383.20 218.97 164.22 \$9,142.11	1,378.40 6,432.55 357.36 204.21 153.16 \$8,525.69	0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 \$0.00	99.66 465.10 25.84 14.76 11.06 \$616.42	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ANALOG DEVICES INC CUSIP: 032654105	43.00000 23.00000 34.00000 43.00000 143.00000	07/22/2013 07/22/2013 07/22/2013 07/22/2013 07/22/2013	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	2,341.31 1,252.32 1,851.27 2,341.31 \$7,786.21	2,063.76 1,103.67 1,631.82 2,063.77 \$6,863.22	0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 \$0.00	277.55 148.45 219.45 277.54 \$922.99	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
APPLE INC CUSIP: 037833100	6.00000 6.00000 17.00000 29.00000	06/16/2011 06/16/2011 06/16/2011 06/16/2011	07/18/2016 07/18/2016 07/18/2016 07/18/2016	597.16 598.46 1,691.10 \$2,886.72	277.89 277.89 787.33 \$1,343.11	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	319.27 320.57 903.77 \$1,543.61	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
AUTOMATIC DATA PROCESSING CUSIP: 053015103	120.00000	10/31/2014	03/01/2016	10,380.25	9,728.68	0.00	0.00	651.57	Box 6: Gross Proceeds
Subtotals									
EVERETT DENNISON CORP CUSIP: 053611109	7.00000 2.00000 9.00000	03/07/2011 07/29/2011 07/29/2011	03/01/2016 03/01/2016 03/01/2016	469.76 134.22 \$603.98	287.41 63.44 \$350.85	0.00 0.00 \$0.00	0.00 0.00 \$0.00	182.35 70.78 \$253.13	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									

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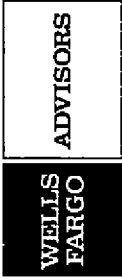
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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AVIVA PLC SPONSORED ADR CUSIP: 05382A104	14.00000	01/25/2011	03/01/2016	177.15	195.84	0.00	0.00	-19.69	Box 6: Gross Proceeds
	19.00000	11/16/2011	03/01/2016	240.42	185.63	0.00	0.00	54.79	Box 6: Gross Proceeds
	64.00000	10/18/2013	03/01/2016	809.85	913.24	0.00	0.00	-103.39	Box 6: Gross Proceeds
	30.00000	04/09/2014	03/01/2016	379.62	504.30	0.00	0.00	-124.67	Box 6: Gross Proceeds
Subtotals	127.00000			\$1,607.05	\$1,800.01	\$0.00	\$0.00	(\$192.96)	
AXA-ADR CUSIP: 054536107	11.00000	01/25/2011	03/01/2016	249.03	228.03	0.00	0.00	21.00	Box 6: Gross Proceeds
	7.00000	11/16/2011	03/01/2016	158.48	152.95	0.00	0.00	65.52	Box 6: Gross Proceeds
	33.00000	01/11/2013	03/01/2016	747.12	605.12	0.00	0.00	142.00	Box 6: Gross Proceeds
Subtotals	51.00000			\$1,154.63	\$926.11	\$0.00	\$0.00	\$228.52	
BP PLC SPONS ADR CUSIP: 055622104	5.00000	11/16/2011	03/01/2016	147.92	220.35	0.00	0.00	-72.43	Box 6: Gross Proceeds
	11.00000	08/29/2012	03/01/2016	325.42	463.67	0.00	0.00	-138.25	Box 6: Gross Proceeds
	35.00000	02/02/2015	03/01/2016	1,035.45	1,381.70	0.00	0.00	-346.25	Box 6: Gross Proceeds
Subtotals	51.00000			\$1,508.79	\$2,065.72	\$0.00	\$0.00	(\$556.93)	
BAIDU INC ADR CUSIP: 056752108	3.00000	10/10/2012	03/01/2016	541.21	325.33	0.00	0.00	215.88	Box 6: Gross Proceeds
	4.00000	11/13/2012	03/01/2016	721.62	394.82	0.00	0.00	326.80	Box 6: Gross Proceeds
	7.00000	12/06/2012	03/01/2016	1,262.85	622.85	0.00	0.00	640.00	Box 6: Gross Proceeds
Subtotals	14.00000			\$2,525.68	\$1,343.00	\$0.00	\$0.00	\$1,182.68	
BARD C R INC CUSIP: 067383109	2.00000	11/25/2013	03/01/2016	387.71	279.41	0.00	0.00	108.30	Box 6: Gross Proceeds
BARCLAYS PLC ADR CUSIP: 06738E204	150.00000	06/19/2014	03/01/2016	1,335.70	2,440.97	0.00	0.00	-1,105.27	Box 6: Gross Proceeds
	46.00000	10/06/2014	03/01/2016	409.62	687.98	0.00	0.00	-278.36	Box 6: Gross Proceeds
	48.00000	12/15/2014	03/01/2016	427.43	693.66	0.00	0.00	-266.23	Box 6: Gross Proceeds
Subtotals	244.00000			\$2,172.75	\$3,822.61	\$0.00	\$0.00	(\$1,649.86)	



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BAYER AG SPONSORED ADR CUSIP: 072730302	4 00000	10/12/2011	03/01/2016	428.39	248.24	0.00	0.00	180.15	Box 6: Gross Proceeds
	2 00000	11/16/2011	03/01/2016	214.19	128.30	0.00	0.00	85.89	Box 6: Gross Proceeds
	6 00000	01/25/2012	03/01/2016	642.58	409.38	0.00	0.00	233.20	Box 6: Gross Proceeds
	13 00000	06/01/2012	03/01/2016	1,392.27	795.93	0.00	0.00	596.34	Box 6: Gross Proceeds
	5 00000	08/29/2012	03/01/2016	535.50	388.30	0.00	0.00	147.20	Box 6: Gross Proceeds
Subtotals	30.00000			\$3,212.93	\$1,970.15	\$0.00	\$0.00	\$1,242.78	
BLACK HILLS CORPORATION CUSIP: 092113109	5 00000	01/31/2013	03/01/2016	276.99	202.74	0.00	0.00	74.25	Box 6: Gross Proceeds
BOSTON SCIENTIFIC CORP CUSIP: 101137107	11 00000	03/07/2011	03/01/2016	188.86	82.21	0.00	0.00	106.65	Box 6: Gross Proceeds
	79 00000	03/11/2011	03/01/2016	1,356.41	591.56	0.00	0.00	764.85	Box 6: Gross Proceeds
Subtotals	90.00000			\$1,545.27	\$673.77	\$0.00	\$0.00	\$871.50	
BRAMBLES LTD SPON ADR 1 RCPT= 2 ORD SHRS CUSIP: 105105209	28 00000	06/15/2011	03/01/2016	513.08	436.83	0.00	0.00	76.25	Box 6: Gross Proceeds
	41 00000	08/12/2011	03/01/2016	751.31	570.72	0.00	0.00	180.59	Box 6: Gross Proceeds
	61 00000	08/20/2012	03/01/2016	1,117.81	853.66	0.00	0.00	264.15	Box 6: Gross Proceeds
Subtotals	130.00000			\$2,382.20	\$1,861.21	\$0.00	\$0.00	\$520.99	
BRF S.A. CUSIP: 10552T107	52.00000	04/16/2014	03/01/2016	702.23	1,137.26	0.00	0.00	-435.03	Box 6: Gross Proceeds
	24 00000	07/02/2014	03/01/2016	324.11	575.48	0.00	0.00	-251.37	Box 6: Gross Proceeds
Subtotals	76.00000			\$1,026.34	\$1,712.74	\$0.00	\$0.00	(\$686.40)	
BROWN-FORMAN CORP CL B CUSIP: 115637209	28 00000	03/17/2014	03/01/2016	2,771.38	2,464.94	0.00	0.00	306.44	Box 6: Gross Proceeds
	48 00000	03/18/2014	03/01/2016	4,750.94	4,250.25	0.00	0.00	500.69	Box 6: Gross Proceeds
	32 00000	03/19/2014	03/01/2016	3,167.30	2,863.77	0.00	0.00	303.53	Box 6: Gross Proceeds
Subtotals	108.00000			\$10,689.62	\$9,578.96	\$0.00	\$0.00	\$1,110.66	

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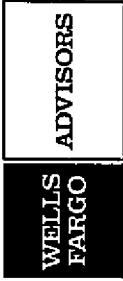
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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C H ROBINSON WORLDWIDE INC CUSIP: 12541W209	5.00000	06/12/2014	03/01/2016	353.34	309.48	0.00	0.00	43.86	Box 6: Gross Proceeds
	13.00000	06/19/2014	03/01/2016	918.69	822.15	0.00	0.00	96.54	Box 6: Gross Proceeds
	10.00000	08/19/2014	03/01/2016	706.69	685.97	0.00	0.00	20.72	Box 6: Gross Proceeds
	12.00000	08/19/2014	03/11/2016	867.86	823.17	0.00	0.00	44.69	Box 6: Gross Proceeds
	11.00000	08/19/2014	06/15/2016	803.62	754.56	0.00	0.00	49.06	Box 6: Gross Proceeds
Subtotals	51.00000			\$3,650.20	\$3,395.33	\$0.00	\$0.00	\$254.87	
CK HUTCHISON ADR HOLDINGS LTD UNSPON CUSIP: 12562Y100	4.00000	11/16/2011	03/01/2016	49.03	33.35	0.00	0.00	15.68	Box 6: Gross Proceeds
	29.00000	11/16/2011	03/01/2016	355.54	209.71	0.00	0.00	145.83	Box 6: Gross Proceeds
	5.00000	11/16/2011	03/01/2016	61.29	44.39	0.00	0.00	16.90	Box 6: Gross Proceeds
	18.00000	11/16/2011	03/01/2016	220.67	130.28	0.00	0.00	90.39	Box 6: Gross Proceeds
	44.00000	08/08/2013	03/01/2016	539.44	469.33	0.00	0.00	70.11	Box 6: Gross Proceeds
	74.00000	09/03/2014	03/01/2016	907.24	864.42	0.00	0.00	42.82	Box 6: Gross Proceeds
	4.00000	09/24/2014	03/01/2016	49.04	47.52	0.00	0.00	1.52	Box 6: Gross Proceeds
	31.00000	09/24/2014	03/01/2016	380.06	364.35	0.00	0.00	15.71	Box 6: Gross Proceeds
	37.00000	12/12/2014	03/01/2016	453.62	385.48	0.00	0.00	68.14	Box 6: Gross Proceeds
	15.00000	12/12/2014	03/01/2016	183.90	157.05	0.00	0.00	26.85	Box 6: Gross Proceeds
	1.00000	12/30/2014	03/01/2016	12.26	10.63	0.00	0.00	1.63	Box 6: Gross Proceeds
	5.00000	12/30/2014	03/01/2016	61.30	57.29	0.00	0.00	4.01	Box 6: Gross Proceeds
	30.00000	12/30/2014	03/01/2016	367.80	318.78	0.00	0.00	49.02	Box 6: Gross Proceeds
Subtotals	297.00000			\$3,641.19	\$3,092.58	\$0.00	\$0.00	\$548.61	
CRH PLC ADR CUSIP: 12626K203	11.00000	07/29/2011	03/01/2016	289.70	217.58	0.00	0.00	72.12	Box 6: Gross Proceeds
	3.00000	11/16/2011	03/01/2016	79.00	54.09	0.00	0.00	24.91	Box 6: Gross Proceeds
	27.00000	08/15/2012	03/01/2016	711.08	480.61	0.00	0.00	230.47	Box 6: Gross Proceeds
	22.00000	05/22/2013	03/01/2016	579.40	472.65	0.00	0.00	106.75	Box 6: Gross Proceeds
	46.00000	11/19/2014	03/01/2016	1,211.50	1,024.71	0.00	0.00	186.79	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
Subtotals									
CRH PLC ADR CUSIP: 12626K203	109.00000			\$2,870.68	\$2,249.64	\$0.00	\$0.00	\$621.04	
CALIFORNIA RES CORP CHG CUSIP: 13057Q107	0.02166	08/29/2012	03/24/2016	0.03	0.03	0.00	0.00	0.00	Box 6: Gross Proceeds Cash in Lieu
	0.07040	05/15/2013	03/24/2016	0.08	0.11	0.00	0.00	-0.03	Box 6: Gross Proceeds Cash in Lieu
	0.03520	06/12/2014	03/24/2016	0.04	0.06	0.00	0.00	-0.02	Box 6: Gross Proceeds Cash in Lieu
	0.05957	08/14/2014	03/24/2016	0.07	0.10	0.00	0.00	-0.03	Box 6: Gross Proceeds Cash in Lieu
	0.04874	10/01/2014	03/24/2016	0.06	0.08	0.00	0.00	-0.02	Box 6: Gross Proceeds Cash in Lieu
	0.02979	01/13/2015	03/24/2016	0.04	0.04	0.00	0.00	0.00	Box 6: Gross Proceeds Cash in Lieu
	0.72728	08/29/2012	04/01/2016	0.69	1.08	0.00	0.00	-0.39	Box 6: Gross Proceeds Cash in Lieu
	2.36364	05/15/2013	04/01/2016	2.25	3.66	0.00	0.00	-1.41	Box 6: Gross Proceeds Cash in Lieu
	1.18182	06/12/2014	04/01/2016	1.12	2.05	0.00	0.00	-0.93	Box 6: Gross Proceeds Cash in Lieu
	2.00000	08/14/2014	04/01/2016	1.91	3.42	0.00	0.00	-1.51	Box 6: Gross Proceeds Cash in Lieu
	1.63636	10/01/2014	04/01/2016	1.56	2.66	0.00	0.00	-1.10	Box 6: Gross Proceeds Cash in Lieu
	1.00000	01/13/2015	04/01/2016	0.95	1.32	0.00	0.00	-0.37	Box 6: Gross Proceeds Cash in Lieu
Subtotals									
	9.17446			\$8.80	\$14.61	\$0.00	\$0.00	(\$5.81)	
CAMECO CORP CUSIP: 13321L108	20.00000	07/17/2014	03/01/2016	245.99	405.41	0.00	0.00	-159.42	Box 6: Gross Proceeds Cash in Lieu
	58.00000	07/17/2014	07/08/2016	617.16	1,175.66	0.00	0.00	-558.50	Box 6: Gross Proceeds Cash in Lieu
	6.00000	07/17/2014	07/28/2016	57.50	121.62	0.00	0.00	-64.12	Box 6: Gross Proceeds Cash in Lieu
	94.00000	07/18/2014	07/28/2016	900.94	1,912.28	0.00	0.00	-1,011.34	Box 6: Gross Proceeds Cash in Lieu

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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CAMECO CORP CUSIP: 133211L108									
Subtotals	178.00000			\$1,821.59	\$3,614.97	\$0.00	\$0.00	(\$1,793.38)	
CANON INC ADR REP 5SHS CUSIP: 138006309	56.00000	09/02/2014	03/01/2016	1,608.34	1,839.28	0.00	0.00	-230.94	Box 6: Gross Proceeds
CARLISLE COMPANIES INC CUSIP: 142339T00	4.00000	01/20/2015	03/01/2016	367.79	349.80	0.00	0.00	17.99	Box 6: Gross Proceeds
CARTER HOLDINGS INC CUSIP: 146229T09	13.00000	07/07/2015	07/18/2016	1,418.26	1,387.95	0.00	0.00	30.31	Box 6: Gross Proceeds
	13.00000	07/07/2015	10/13/2016	1,133.73	1,387.94	0.00	0.00	-254.21	Box 6: Gross Proceeds
Subtotals	26.00000			\$2,551.99	\$2,775.89	\$0.00	\$0.00	(\$223.90)	
CELGENE CORP CUSIP: 151020T04	59.00000	05/31/2013	03/01/2016	6,091.04	3,722.36	0.00	0.00	2,368.68	Box 6: Gross Proceeds
	2.00000	05/31/2013	10/17/2016	199.59	126.19	0.00	0.00	73.40	Box 6: Gross Proceeds
Subtotals	61.00000			\$6,290.63	\$3,848.55	\$0.00	\$0.00	\$2,442.08	
CENTENE CORP CUSIP: 15135B101	2.00000	10/02/2014	03/01/2016	116.50	81.18	0.00	0.00	35.32	Box 6: Gross Proceeds
CENOVUS ENERGY INC CUSIP: 15135U109	3.00000	01/31/2012	03/01/2016	35.38	108.24	0.00	0.00	-72.86	Box 6: Gross Proceeds
	24.00000	02/22/2013	03/01/2016	283.05	766.20	0.00	0.00	-483.15	Box 6: Gross Proceeds
Subtotals	27.00000			\$318.43	\$874.44	\$0.00	\$0.00	(\$556.01)	
CERNER CORP CUSIP: 156782T04	1.00000	06/04/2012	03/01/2016	51.30	38.39	0.00	0.00	12.91	Box 6: Gross Proceeds
	2.00000	10/01/2014	03/01/2016	102.62	117.19	0.00	0.00	-14.57	Box 6: Gross Proceeds
	2.00000	10/01/2014	03/01/2016	102.62	117.20	0.00	0.00	-14.58	Box 6: Gross Proceeds
	42.00000	10/01/2014	07/08/2016	2,527.38	2,461.02	0.00	0.00	66.36	Box 6: Gross Proceeds
Subtotals	47.00000			\$2,783.92	\$2,733.80	\$0.00	\$0.00	\$50.12	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CHEVRON CORPORATION CUSIP: 168764100	7.00000	12/11/2015	12/21/2016	830.39	608.05	0.00	0.00	222.34	Box 6: Gross Proceeds
CHINA LIFE INSURANCE CO ADR CUSIP: 16939P106	27.00000 9.00000 57.00000 69.00000 162.00000	10/03/2011 11/16/2011 03/26/2014 09/02/2014 08/12/2011	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	300.61 100.20 634.63 768.23 \$1,803.67	311.21 121.80 780.10 908.83 \$2,209.94	0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00	-10.60 -21.60 -145.47 -228.60 (\$406.27)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
CHINA MOBILE LTD SPONS ADR CUSIP: 16941M109	1.00000 3.00000 22.00000 1.00000 27.00000	08/12/2011 10/06/2011 10/06/2011 11/16/2011 11/16/2011	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	54.76 164.30 1,204.92 54.76 \$1,478.74	48.16 147.11 1,078.79 49.51 \$1,323.57	0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00	6.60 17.19 126.13 5.25 \$155.17	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
CHINA TELECOM CORP LTD ADR CUSIP: 169426103	1.00000 5.00000 22.00000 5.00000 13.00000 46.00000	11/16/2011 02/06/2012 07/31/2012 08/12/2013 10/22/2013 10/22/2013	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	49.88 249.44 1,097.57 249.45 648.57 \$2,294.91	61.24 277.45 1,147.27 255.68 711.22 \$2,452.86	0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 0.00	-11.36 -28.01 -49.70 -6.23 -62.65 (\$157.95)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
CIELO SA SPONSORED ADR CUSIP: 17178202	102.00000	09/27/2011	03/01/2016	832.30	704.61	0.00	0.00	127.69	Box 6: Gross Proceeds

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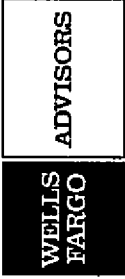
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CINEMARK HOLDINGS INC CUSIP: 17243V102	5.00000	05/13/2011	03/01/2016	168.04	103.45	0.00	0.00	64.59	Box 6: Gross Proceeds
	26.00000	03/15/2012	03/01/2016	873.85	560.97	0.00	0.00	312.88	Box 6: Gross Proceeds
Subtotals	31.00000			\$1,041.89	\$664.42	\$0.00	\$0.00	\$377.47	
CLOROX COMPANY CUSIP: 189054109	4.00000	11/16/2011	03/01/2016	499.94	261.24	0.00	0.00	238.70	Box 6: Gross Proceeds
Coca Cola Amatil Ltd SPONSORED ADR CUSIP: 191085208	46.00000	08/22/2011	03/01/2016	274.62	562.53	0.00	0.00	-287.91	Box 6: Gross Proceeds
	14.00000	11/16/2011	03/01/2016	83.58	176.68	0.00	0.00	-93.10	Box 6: Gross Proceeds
Subtotals	60.00000			\$358.20	\$739.21	\$0.00	\$0.00	(\$381.01)	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A CUSIP: 192446102	10.00000	01/10/2014	03/01/2016	576.79	502.24	0.00	0.00	74.55	Box 6: Gross Proceeds
	47.00000	01/10/2014	10/13/2016	2,387.71	2,380.51	0.00	0.00	72.20	Box 6: Gross Proceeds
Subtotals	57.00000			\$2,964.50	\$2,862.75	\$0.00	\$0.00	\$101.75	
COMPAGNIE DE ST-GOBAIN- UNSPON ADR CUSIP: 204280309	332.00000	02/25/2015	03/01/2016	2,622.74	3,116.73	0.00	0.00	-493.99	Box 6: Gross Proceeds
COMPAGNIE FINANCIERE RICHEMONT SA-UNSPON ADR CUSIP: 204319107	315.00000	09/08/2015	11/03/2016	2,026.44	2,303.56	0.00	0.00	-277.12	Box 6: Gross Proceeds
COMPASS GRP PLC SPON ADR 1 RCPT= 1 ORD SHRS CUSIP: 20449X302	19.00000	11/16/2011	03/01/2016	342.57	170.62	0.00	0.00	171.95	Box 6: Gross Proceeds



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42 TUCKAHOE RD

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CONCHO RESOURCES INC CUSIP: 20605P101	3.00000	06/18/2012	07/26/2016	352.99	265.17	0.00	0.00	87.82	Box 6: Gross Proceeds
	4.00000	06/18/2012	07/26/2016	481.43	353.57	0.00	0.00	127.86	Box 6: Gross Proceeds
	4.00000	06/18/2012	11/10/2016	530.06	353.56	0.00	0.00	176.50	Box 6: Gross Proceeds
Subtotals	11.00000			\$1,364.48	\$972.30	\$0.00	\$0.00	\$392.18	
COSTCO WHSL CORP NEW COM CUSIP: 22160K105	38.00000	05/12/2014	03/01/2016	5,703.30	4,430.06	0.00	0.00	1,273.24	Box 6: Gross Proceeds
	2.00000	05/12/2014	03/07/2016	296.31	233.16	0.00	0.00	63.15	Box 6: Gross Proceeds
	3.00000	05/12/2014	03/07/2016	449.73	349.75	0.00	0.00	99.98	Box 6: Gross Proceeds
	2.00000	05/12/2014	05/05/2016	293.90	233.16	0.00	0.00	60.74	Box 6: Gross Proceeds
	4.00000	05/12/2014	05/09/2016	593.54	466.32	0.00	0.00	127.22	Box 6: Gross Proceeds
	1.00000	05/12/2014	07/05/2016	154.83	116.58	0.00	0.00	38.25	Box 6: Gross Proceeds
Subtotals	50.00000			\$7,491.61	\$5,829.03	\$0.00	\$0.00	\$1,662.58	
CRACKER BARREL OLD COUNTRY CUSIP: 22410J106	13.00000	09/22/2015	10/13/2016	1,724.45	1,925.25	0.00	0.00	-200.80	Box 6: Gross Proceeds
CREDIT AGRICOLE SA -UNSP ADR CUSIP: 225313105	17.00000	06/28/2011	03/01/2016	89.16	121.55	0.00	0.00	-32.39	Box 6: Gross Proceeds
	119.00000	09/06/2011	03/01/2016	624.14	472.38	0.00	0.00	151.76	Box 6: Gross Proceeds
	105.00000	09/29/2011	03/01/2016	550.71	384.30	0.00	0.00	166.41	Box 6: Gross Proceeds
Subtotals	241.00000			\$1,264.01	\$978.23	\$0.00	\$0.00	\$285.78	
CREDIT SUISSE GROUP SPON ADR CUSIP: 225401108	12.00000	09/30/2011	03/01/2016	169.31	317.89	0.00	0.00	-148.58	Box 6: Gross Proceeds
	11.00000	11/16/2011	03/01/2016	155.20	256.74	0.00	0.00	-101.54	Box 6: Gross Proceeds
	15.00000	03/28/2012	03/01/2016	211.65	439.17	0.00	0.00	-227.52	Box 6: Gross Proceeds
	28.00000	05/16/2012	03/01/2016	395.08	564.07	0.00	0.00	-168.99	Box 6: Gross Proceeds
	24.00000	06/01/2012	03/01/2016	338.87	446.71	0.00	0.00	-107.84	Box 6: Gross Proceeds
	33.00000	06/01/2012	03/01/2016	465.63	614.25	0.00	0.00	-148.62	Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CREDIT SUISSE GROUP	17.00000	08/29/2012	03/01/2016	240.04	265.54	0.00	0.00	-25.50	Box 6: Gross Proceeds
SPON ADR	47.00000	03/20/2015	04/01/2016	659.23	1,237.50	0.00	0.00	-578.27	Box 6: Gross Proceeds
CUSIP: 225401108	28.00000	03/20/2015	04/01/2016	392.73	737.23	0.00	0.00	-344.50	Box 6: Gross Proceeds
	5.00000	03/20/2015	04/01/2016	70.13	131.65	0.00	0.00	-61.52	Box 6: Gross Proceeds
	71.00000	03/20/2015	08/30/2016	901.83	1,860.39	0.00	0.00	-967.56	Box 6: Gross Proceeds
	108.00000	04/06/2015	08/30/2016	1,371.81	2,996.52	0.00	0.00	-1,624.71	Box 6: Gross Proceeds
	2.00000	04/06/2015	08/30/2016	25.40	55.49	0.00	0.00	-30.09	Box 6: Gross Proceeds
	3.00000	07/15/2015	08/30/2016	38.11	87.73	0.00	0.00	-49.62	Box 6: Gross Proceeds
	37.00000	07/15/2015	11/11/2016	524.15	1,081.95	0.00	0.00	-557.80	Box 6: Gross Proceeds
	99.00000	10/22/2015	11/11/2016	1,402.48	2,418.93	0.00	0.00	-1,016.45	Box 6: Gross Proceeds
	36.00000	10/22/2015	12/30/2016	516.79	879.61	0.00	0.00	-362.82	Box 6: Gross Proceeds
	37.00000	12/11/2015	12/30/2016	531.16	760.98	0.00	0.00	-229.82	Box 6: Gross Proceeds
	9.00000	12/11/2015	12/30/2016	129.19	185.10	0.00	0.00	-55.91	Box 6: Gross Proceeds
Subtotals	622.00000			\$8,538.79	\$15,346.45	\$0.00	\$0.00	(\$6,807.66)	
DBS GROUP HOLDINGS	6.00000	11/16/2011	04/04/2016	269.42	238.74	0.00	0.00	30.68	Box 6: Gross Proceeds
SPON ADR									
CUSIP: 23304Y100									
DENSO CORPORATION	12.00000	03/16/2011	04/04/2016	221.24	189.69	0.00	0.00	31.55	Box 6: Gross Proceeds
ADR	43.00000	06/02/2011	04/04/2016	792.79	758.73	0.00	0.00	34.06	Box 6: Gross Proceeds
CUSIP: 24872B100	3.00000	11/16/2011	04/04/2016	55.31	42.72	0.00	0.00	12.59	Box 6: Gross Proceeds
Subtotals	58.00000			\$1,069.34	\$991.14	\$0.00	\$0.00	\$78.20	
DEUTSCHE BOERSE AG-UNSPO	2.00000	11/21/2012	03/01/2016	16.65	10.64	0.00	0.00	6.01	Box 6: Gross Proceeds
ADR	2.00000	11/21/2012	03/01/2016	16.65	10.64	0.00	0.00	6.01	Box 6: Gross Proceeds
CUSIP: 251542106	242.00000	11/21/2012	03/01/2016	2,015.82	1,287.54	0.00	0.00	728.28	Box 6: Gross Proceeds
	226.00000	05/07/2014	03/01/2016	1,882.55	1,676.83	0.00	0.00	205.72	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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DEUTSCHE BOERSE AG-UNSPO ADR CUSIP: 251542106	6 00000	05/07/2014	03/01/2016	49.97	44.52	0.00	0.00	5.45	Box 6: Gross Proceeds
	5 00000	05/07/2014	03/01/2016	41.64	37.10	0.00	0.00	4.54	Box 6: Gross Proceeds
	22 00000	05/07/2014	03/01/2016	183.25	163.23	0.00	0.00	20.02	Box 6: Gross Proceeds
	75 00000	05/13/2014	03/01/2016	624.74	566.97	0.00	0.00	57.77	Box 6: Gross Proceeds
	70 00000	06/27/2014	03/01/2016	583.09	531.56	0.00	0.00	51.53	Box 6: Gross Proceeds
	67 00000	07/07/2014	03/01/2016	558.11	500.32	0.00	0.00	57.79	Box 6: Gross Proceeds
	23 00000	07/07/2014	03/01/2016	191.58	171.75	0.00	0.00	19.83	Box 6: Gross Proceeds
Subtotals	740.00000			\$6,184.05	\$5,001.10	\$0.00	\$0.00	\$1,162.95	
DEUTSCHE LUFTHANSA A G SPONSORED ADR CUSIP: 251561304	25 00000	10/27/2011	03/01/2016	383.49	377.18	0.00	0.00	6.31	Box 6: Gross Proceeds
	6 00000	11/16/2011	03/01/2016	92.03	76.26	0.00	0.00	15.77	Box 6: Gross Proceeds
	28 00000	11/30/2011	03/01/2016	429.51	362.77	0.00	0.00	66.74	Box 6: Gross Proceeds
	11 00000	02/06/2012	03/01/2016	168.73	161.48	0.00	0.00	7.25	Box 6: Gross Proceeds
	26 00000	03/30/2012	03/01/2016	398.83	367.15	0.00	0.00	31.68	Box 6: Gross Proceeds
	36 00000	09/04/2013	03/01/2016	552.23	605.64	0.00	0.00	-53.41	Box 6: Gross Proceeds
	18 00000	10/22/2013	03/01/2016	276.12	358.61	0.00	0.00	-82.49	Box 6: Gross Proceeds
	39 00000	08/29/2014	03/01/2016	598.26	675.99	0.00	0.00	-77.73	Box 6: Gross Proceeds
Subtotals	189.00000			\$2,899.20	\$2,985.08	\$0.00	\$0.00	(\$85.88)	
DEUTSCHE POST AG SPONSORED ADR CUSIP: 25157Y202	21 00000	03/21/2013	03/01/2016	508.40	497.23	0.00	0.00	11.17	Box 6: Gross Proceeds
	18 00000	03/21/2013	03/01/2016	435.77	426.20	0.00	0.00	9.57	Box 6: Gross Proceeds
	19 00000	03/21/2013	03/01/2016	459.98	449.88	0.00	0.00	10.10	Box 6: Gross Proceeds
	4 00000	03/21/2013	03/01/2016	96.83	94.71	0.00	0.00	2.12	Box 6: Gross Proceeds
	2 00000	06/03/2013	03/01/2016	48.41	50.58	0.00	0.00	-2.17	Box 6: Gross Proceeds
Subtotals	64.00000			\$1,549.39	\$1,518.60	\$0.00	\$0.00	\$30.79	

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
DIAMONDBACK ENERGY INC CUSIP: 25278X109	5.00000	02/24/2015	03/01/2016	358.79	368.41	0.00	0.00	-9.62	Box 6: Gross Proceeds
	7.00000	02/24/2015	11/10/2016	681.49	515.76	0.00	0.00	165.73	Box 6: Gross Proceeds
Subtotals	12.00000			\$1,040.28	\$884.17	\$0.00	\$0.00	\$156.11	
DISNEY WALT COMPANY CUSIP: 254687106	32.00000	05/14/2013	03/01/2016	3,102.98	2,157.02	0.00	0.00	945.96	Box 6: Gross Proceeds
	1.00000	05/14/2013	03/01/2016	96.96	67.41	0.00	0.00	29.55	Box 6: Gross Proceeds
	4.00000	05/14/2013	06/07/2016	395.30	269.63	0.00	0.00	125.67	Box 6: Gross Proceeds
	4.00000	05/14/2013	06/08/2016	392.90	269.63	0.00	0.00	123.27	Box 6: Gross Proceeds
	5.00000	05/14/2013	07/28/2016	479.97	337.04	0.00	0.00	142.93	Box 6: Gross Proceeds
	3.00000	05/14/2013	07/29/2016	287.87	202.22	0.00	0.00	85.65	Box 6: Gross Proceeds
	7.00000	05/14/2013	08/04/2016	664.24	471.85	0.00	0.00	192.39	Box 6: Gross Proceeds
	14.00000	05/14/2013	09/27/2016	1,282.29	943.69	0.00	0.00	338.60	Box 6: Gross Proceeds
	6.00000	05/14/2013	09/28/2016	552.63	404.43	0.00	0.00	148.20	Box 6: Gross Proceeds
	12.00000	05/14/2013	09/28/2016	1,099.89	808.88	0.00	0.00	291.01	Box 6: Gross Proceeds
	6.00000	05/14/2013	09/29/2016	557.18	404.43	0.00	0.00	152.75	Box 6: Gross Proceeds
Subtotals	94.00000			\$8,912.21	\$6,336.23	\$0.00	\$0.00	\$2,575.98	
DISCOVERY COMMUNICATIONS INC A CUSIP: 25470F104	9.00000	04/17/2012	03/01/2016	238.76	238.82	0.00	0.00	-0.06	Box 6: Gross Proceeds
	32.00000	04/17/2012	11/10/2016	838.46	849.12	0.00	0.00	-10.66	Box 6: Gross Proceeds
Subtotals	41.00000			\$1,077.22	\$1,087.94	\$0.00	\$0.00	(\$10.72)	
DISCOVERY COMMUNICATIONS INC SERIES C CUSIP: 25470F302	2.00000	04/17/2012	03/01/2016	51.76	51.69	0.00	0.00	0.07	Box 6: Gross Proceeds
	8.00000	04/17/2012	03/01/2016	202.72	206.75	0.00	0.00	-4.03	Box 6: Gross Proceeds
	2.00000	04/17/2012	03/01/2016	50.68	51.69	0.00	0.00	-1.01	Box 6: Gross Proceeds
	2.00000	04/17/2012	03/01/2016	50.67	51.69	0.00	0.00	-1.02	Box 6: Gross Proceeds
	27.00000	04/17/2012	11/10/2016	686.63	697.77	0.00	0.00	-11.14	Box 6: Gross Proceeds
	11.00000	06/17/2015	11/10/2016	279.74	341.49	0.00	0.00	-61.75	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

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DISCOVERY COMMUNICATIONS									
INC SERIES C CUSIP: 25470F302	52.00000			\$1,322.20	\$1,401.08	\$0.00	\$0.00	(\$78.88)	
Subtotals									
DOLLAR GENERAL CORP CUSIP: 256677105	35.00000	09/29/2015	10/13/2016	2,418.62	2,446.05	0.00	0.00	-27.43	Box 6: Gross Proceeds
Subtotals									
ENI S P A ADR SPON ADR CUSIP: 26874R108	16.00000	08/04/2011	03/01/2016	466.55	628.63	0.00	0.00	-162.08	Box 6: Gross Proceeds
	17.00000	08/03/2012	03/01/2016	495.71	724.56	0.00	0.00	-228.85	Box 6: Gross Proceeds
	19.00000	05/23/2014	03/01/2016	554.03	966.20	0.00	0.00	-412.17	Box 6: Gross Proceeds
Subtotals									
	52.00000			\$1,516.29	\$2,319.39	\$0.00	\$0.00	(\$803.10)	
EOG RESOURCES INC									
CUSIP: 26875P101	2.00000	04/19/2013	03/01/2016	129.12	113.50	0.00	0.00	15.62	Box 6: Gross Proceeds
	2.00000	04/19/2013	03/01/2016	129.12	113.49	0.00	0.00	15.63	Box 6: Gross Proceeds
	2.00000	04/19/2013	03/01/2016	129.12	113.49	0.00	0.00	15.63	Box 6: Gross Proceeds
	3.00000	08/12/2013	03/01/2016	193.69	238.76	0.00	0.00	-45.07	Box 6: Gross Proceeds
	28.00000	08/12/2013	03/01/2016	1,807.77	2,228.47	0.00	0.00	-420.70	Box 6: Gross Proceeds
	52.00000	08/12/2013	03/01/2016	3,357.30	4,138.60	0.00	0.00	-781.30	Box 6: Gross Proceeds
	18.00000	08/12/2013	03/01/2016	1,162.14	1,432.59	0.00	0.00	-270.45	Box 6: Gross Proceeds
	7.00000	08/12/2013	03/01/2016	451.94	557.12	0.00	0.00	-105.18	Box 6: Gross Proceeds
	16.00000	10/12/2015	10/31/2016	1,449.69	1,364.13	0.00	0.00	85.56	Box 6: Gross Proceeds
	12.00000	10/16/2015	10/31/2016	1,087.28	1,051.73	0.00	0.00	35.55	Box 6: Gross Proceeds
	6.00000	10/16/2015	12/21/2016	616.96	525.86	0.00	0.00	91.10	Box 6: Gross Proceeds
	4.00000	10/29/2015	12/21/2016	411.31	341.23	0.00	0.00	70.08	Box 6: Gross Proceeds
	14.00000	10/29/2015	12/21/2016	1,439.59	1,194.31	0.00	0.00	245.28	Box 6: Gross Proceeds
	1.00000	11/05/2015	12/21/2016	102.83	85.57	0.00	0.00	17.26	Box 6: Gross Proceeds
	5.00000	11/05/2015	12/21/2016	514.15	427.85	0.00	0.00	86.30	Box 6: Gross Proceeds
Subtotals									
	172.00000			\$12,982.01	\$13,926.70	\$0.00	\$0.00	(\$944.69)	

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KLEIN FAMILY FOUNDATION INC
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EAGLE MATERIALS INC CUSIP: 28969P108	3.00000	10/02/2014	03/01/2016	185.01	298.81	0.00	0.00	-103.80	Box 6: Gross Proceeds
ECOLAB INC CUSIP: 278865100	10.00000	06/16/2011	03/01/2016	1,057.37	542.36	0.00	0.00	515.01	Box 6: Gross Proceeds
	79.00000	04/08/2014	03/01/2016	8,353.29	8,317.19	0.00	0.00	36.10	Box 6: Gross Proceeds
Subtotals	89.00000			\$9,410.66	\$8,859.55	\$0.00	\$0.00	\$551.11	
EQUITY RESIDENTIAL CUSIP: 29476L107	5.00000	09/22/2014	03/01/2016	341.09	310.50	0.00	0.00	30.59	Box 6: Gross Proceeds
	7.00000	10/09/2014	03/01/2016	477.53	448.25	0.00	0.00	29.28	Box 6: Gross Proceeds
	21.00000	10/09/2014	07/11/2016	1,473.44	1,344.74	0.00	0.00	128.70	Box 6: Gross Proceeds
	1.00000	02/17/2015	07/11/2016	70.17	79.07	0.00	0.00	-8.90	Box 6: Gross Proceeds
	15.00000	02/17/2015	08/01/2016	1,025.22	1,186.05	0.00	0.00	-160.83	Box 6: Gross Proceeds
	24.00000	02/17/2015	10/04/2016	1,497.64	1,897.68	0.00	0.00	-400.04	Box 6: Gross Proceeds
	4.00000	07/08/2015	10/04/2016	249.61	296.71	0.00	0.00	-47.10	Box 6: Gross Proceeds
Subtotals	77.00000			\$5,134.70	\$5,563.00	\$0.00	\$0.00	(\$428.30)	
ERICSSON (LM) TEL-SP ADR NEW CUSIP: 294821608	116.00000	06/18/2014	03/01/2016	1,086.17	1,408.20	0.00	0.00	-322.03	Box 6: Gross Proceeds
	16.00000	12/08/2014	03/01/2016	149.81	198.48	0.00	0.00	-48.67	Box 6: Gross Proceeds
	74.00000	12/08/2014	03/01/2016	692.90	917.99	0.00	0.00	-225.09	Box 6: Gross Proceeds
Subtotals	206.00000			\$1,928.88	\$2,524.67	\$0.00	\$0.00	(\$595.79)	
EXPEDITORS INTL WASH INC CUSIP: 302130109	12.00000	01/24/2014	03/01/2016	556.07	510.00	0.00	0.00	46.07	Box 6: Gross Proceeds
FIRST AMERICAN FINL CORP CUSIP: 31847R102	20.00000	02/23/2011	03/01/2016	758.58	319.43	0.00	0.00	439.15	Box 6: Gross Proceeds
	42.00000	03/18/2011	03/01/2016	1,593.03	703.31	0.00	0.00	889.72	Box 6: Gross Proceeds
Subtotals	62.00000			\$2,351.61	\$1,022.74	\$0.00	\$0.00	\$1,328.87	



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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FOMENTO ECONOMICO MEXICANO S A B DE CV CUSIP: 344419106	6.00000	09/02/2011	03/01/2016	571.54	413.99	0.00	0.00	157.55	Box 6: Gross Proceeds
	5.00000	10/12/2011	03/01/2016	476.29	342.73	0.00	0.00	133.56	Box 6: Gross Proceeds
	3.00000	11/16/2011	03/01/2016	285.77	200.04	0.00	0.00	85.73	Box 6: Gross Proceeds
Subtotals	14.00000			\$1,333.60	\$956.76	\$0.00	\$0.00	\$376.84	
FORTUNE BRANDS HOME & SECURITY INC CUSIP: 34964C106	11.00000	04/30/2012	03/01/2016	565.28	248.66	0.00	0.00	316.62	Box 6: Gross Proceeds
	10.00000	04/30/2012	11/17/2016	570.19	226.05	0.00	0.00	344.14	Box 6: Gross Proceeds
	24.00000	05/24/2012	11/17/2016	1,368.46	535.41	0.00	0.00	833.05	Box 6: Gross Proceeds
	19.00000	07/06/2012	11/17/2016	1,083.37	437.80	0.00	0.00	645.57	Box 6: Gross Proceeds
Subtotals	64.00000			\$3,587.30	\$1,447.92	\$0.00	\$0.00	\$2,139.38	
GEA GROUP AG - SPON ADR CUSIP: 361592108	23.00000	08/15/2012	03/01/2016	1,031.87	627.04	0.00	0.00	404.83	Box 6: Gross Proceeds
	44.00000	08/29/2014	03/01/2016	1,974.02	1,994.02	0.00	0.00	-20.00	Box 6: Gross Proceeds
Subtotals	67.00000			\$3,005.89	\$2,621.06	\$0.00	\$0.00	\$384.83	
GCP APPLIED TECHNOLOGIES CUSIP: 36164Y101	6.00000	12/09/2011	03/01/2016	108.18	51.10	0.00	0.00	57.08	Box 6: Gross Proceeds
GENERAL ELECTRIC COMPANY CUSIP: 369604103	8.00000	12/23/2014	03/01/2016	239.11	207.15	0.00	0.00	31.96	Box 6: Gross Proceeds
	59.00000	03/18/2015	06/30/2016	1,846.09	1,493.39	0.00	0.00	352.70	Box 6: Gross Proceeds
	56.00000	03/18/2015	08/01/2016	1,741.17	1,417.45	0.00	0.00	323.72	Box 6: Gross Proceeds
	12.00000	03/18/2015	08/23/2016	375.62	303.73	0.00	0.00	71.89	Box 6: Gross Proceeds
	21.00000	03/31/2015	08/23/2016	657.35	522.61	0.00	0.00	134.74	Box 6: Gross Proceeds
	18.00000	03/31/2015	09/14/2016	536.64	447.96	0.00	0.00	88.68	Box 6: Gross Proceeds
	17.00000	03/31/2015	09/14/2016	508.29	423.07	0.00	0.00	85.22	Box 6: Gross Proceeds
Subtotals	191.00000			\$5,904.27	\$4,815.36	\$0.00	\$0.00	\$1,088.91	

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP	Quantity Sold	Date Acquired	Date Sold or Disposed	Proceeds (Box 5)	Cost or Other Basis (Box 1)	Accrued Market Discount (Box 10)	Wash Sale Loss Disallowed (Box 11)	Gain/Loss Amount	Additional Information
GENERAL MILLS INC CUSIP: 370334104	8.00000	01/26/2015	03/01/2016	473.67	439.29	0.00	0.00	34.38	Box 6: Gross Proceeds
	4.00000	01/26/2015	05/18/2016	249.78	219.64	0.00	0.00	30.14	Box 6: Gross Proceeds
	27.00000	03/31/2015	05/18/2016	1,686.05	1,534.97	0.00	0.00	151.08	Box 6: Gross Proceeds
	13.00000	03/31/2015	07/20/2016	937.29	739.05	0.00	0.00	198.24	Box 6: Gross Proceeds
	2.00000	03/31/2015	10/11/2016	123.81	113.70	0.00	0.00	10.11	Box 6: Gross Proceeds
	13.00000	08/04/2015	10/11/2016	804.78	766.86	0.00	0.00	37.92	Box 6: Gross Proceeds
Subtotals	67.00000			\$4,275.38	\$3,813.51	\$0.00	\$0.00	\$461.87	
GETINGE AB ADR CUSIP: 37427X104	30.00000	04/17/2013	03/01/2016	670.48	875.87	0.00	0.00	-205.39	Box 6: Gross Proceeds
	2.00000	04/18/2013	03/01/2016	44.69	58.66	0.00	0.00	-13.97	Box 6: Gross Proceeds
	3.00000	06/07/2013	03/01/2016	67.04	92.96	0.00	0.00	-25.92	Box 6: Gross Proceeds
	10.00000	06/07/2013	03/01/2016	223.49	309.85	0.00	0.00	-86.36	Box 6: Gross Proceeds
	1.00000	06/07/2013	03/01/2016	22.34	30.99	0.00	0.00	-8.65	Box 6: Gross Proceeds
	10.00000	06/07/2013	03/01/2016	223.49	309.85	0.00	0.00	-86.36	Box 6: Gross Proceeds
	16.00000	07/10/2013	03/01/2016	357.59	512.43	0.00	0.00	-154.84	Box 6: Gross Proceeds
	8.00000	09/11/2013	03/01/2016	178.79	286.16	0.00	0.00	-107.37	Box 6: Gross Proceeds
Subtotals	80.00000			\$1,787.91	\$2,476.77	\$0.00	\$0.00	(\$688.86)	
GLAXOSMITHKLINE PLC-ADR CUSIP: 37733W105	17.00000	11/01/2011	03/01/2016	672.25	751.40	0.00	0.00	-79.15	Box 6: Gross Proceeds
	1.00000	11/01/2011	03/01/2016	39.54	44.20	0.00	0.00	-4.66	Box 6: Gross Proceeds
	4.00000	11/28/2011	03/01/2016	158.17	169.29	0.00	0.00	-11.12	Box 6: Gross Proceeds
	9.00000	11/28/2011	03/01/2016	355.89	380.90	0.00	0.00	-25.01	Box 6: Gross Proceeds
	1.00000	06/01/2012	03/01/2016	39.54	43.78	0.00	0.00	-4.24	Box 6: Gross Proceeds
	15.00000	06/01/2012	03/01/2016	593.16	656.68	0.00	0.00	-63.52	Box 6: Gross Proceeds
	14.00000	06/20/2012	03/01/2016	553.62	646.24	0.00	0.00	-92.62	Box 6: Gross Proceeds
	4.00000	06/20/2012	03/01/2016	158.17	184.64	0.00	0.00	-26.47	Box 6: Gross Proceeds
	6.00000	09/11/2013	03/01/2016	237.26	305.24	0.00	0.00	-67.98	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
GLAXOSMITHKLINE PLC-ADR CUSIP: 37733W105	10.00000	10/04/2013	03/01/2016	395.45	501.25	0.00	0.00	-105.80	Box 6: Gross Proceeds
Subtotals	81.00000			\$3,203.05	\$3,683.52	\$0.00	\$0.00	(\$480.57)	
GOLDMAN SACHS GROUP INC CUSIP: 38141G104	4.00000	03/26/2015	07/20/2016	647.31	749.52	0.00	0.00	-102.21	Box 6: Gross Proceeds
	6.00000	04/16/2015	07/20/2016	970.97	1,204.08	0.00	0.00	-233.11	Box 6: Gross Proceeds
	5.00000	04/16/2015	10/31/2016	889.61	1,003.40	0.00	0.00	-113.79	Box 6: Gross Proceeds
	4.00000	04/16/2015	11/11/2016	813.33	802.72	0.00	0.00	10.61	Box 6: Gross Proceeds
	1.00000	04/29/2015	11/11/2016	203.34	197.79	0.00	0.00	5.55	Box 6: Gross Proceeds
	3.00000	04/29/2015	12/16/2016	724.83	593.37	0.00	0.00	131.46	Box 6: Gross Proceeds
	4.00000	04/29/2015	12/21/2016	961.90	791.15	0.00	0.00	170.75	Box 6: Gross Proceeds
	4.00000	04/29/2015	12/30/2016	957.85	791.14	0.00	0.00	166.71	Box 6: Gross Proceeds
	1.00000	12/11/2015	12/30/2016	239.47	176.97	0.00	0.00	62.50	Box 6: Gross Proceeds
Subtotals	32.00000			\$6,408.61	\$6,310.14	\$0.00	\$0.00	\$98.47	
GRACE W R & CO DEL NEW CUSIP: 38388F108	9.00000	12/09/2011	03/01/2016	625.85	384.80	0.00	0.00	241.05	Box 6: Gross Proceeds
GREAT WALL MTR CO LTD UNSPONSORED ADR CUSIP: 39137B109	81.00000	06/12/2014	03/01/2016	600.60	1,077.80	0.00	0.00	-477.20	Box 6: Gross Proceeds
	63.00000	09/19/2014	03/01/2016	467.13	869.22	0.00	0.00	-402.09	Box 6: Gross Proceeds
Subtotals	144.00000			\$1,067.73	\$1,947.02	\$0.00	\$0.00	(\$879.29)	
GROUPE CGI INC CL A SUB VTG CUSIP: 39945C109	26.00000	04/29/2011	03/01/2016	1,114.55	570.01	0.00	0.00	544.54	Box 6: Gross Proceeds
	38.00000	05/03/2012	03/01/2016	1,628.96	838.44	0.00	0.00	790.52	Box 6: Gross Proceeds
	16.00000	02/19/2013	03/01/2016	685.87	438.80	0.00	0.00	247.07	Box 6: Gross Proceeds
	8.00000	05/06/2013	03/01/2016	342.94	250.22	0.00	0.00	92.72	Box 6: Gross Proceeds
	20.00000	06/30/2014	03/01/2016	857.35	719.66	0.00	0.00	137.69	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
Subtotals	108.00000			\$4,629.67	\$2,817.13	\$0.00	\$0.00	\$1,812.54	
GRUPE CGI INC									
CL A SUB VTG									
CUSIP: 39945C109									
Subtotals	19.00000	09/27/2011	03/01/2016	503.68	368.00	0.00	0.00	135.68	Box 6: Gross Proceeds
GRUPO TELEVISA S A									
DE CV GLOBAL DEP RCPT									
CUSIP: 40049J206									
	2.00000	11/16/2011	03/01/2016	53.01	41.71	0.00	0.00	11.30	Box 6: Gross Proceeds
	23.00000	05/04/2012	03/01/2016	609.72	492.77	0.00	0.00	116.95	Box 6: Gross Proceeds
	25.00000	07/13/2012	03/01/2016	662.74	545.15	0.00	0.00	117.59	Box 6: Gross Proceeds
Subtotals	69.00000			\$1,829.15	\$1,447.63	\$0.00	\$0.00	\$381.52	
GULFPORT ENERGY CORP									
COM									
CUSIP: 402635304	7.00000	02/26/2013	03/01/2016	170.17	252.45	0.00	0.00	-82.28	Box 6: Gross Proceeds
HCP INC									
CUSIP: 40414L109	4.00000	10/21/2011	03/01/2016	121.92	145.73	0.00	0.00	23.81	Box 6: Gross Proceeds
	13.00000	10/21/2011	05/05/2016	443.94	467.55	0.00	0.00	-23.61	Original Basis: 473.61
Subtotals	17.00000			\$565.86	\$613.28	\$0.00	\$0.00	(\$47.42)	Box 6: Gross Proceeds
HSBC HOLDINGS PLC-SPON									
ADR									
CUSIP: 404280406	21.00000	03/14/2011	03/01/2016	679.12	1,108.36	0.00	0.00	-429.24	Box 6: Gross Proceeds
	12.00000	04/04/2011	03/01/2016	388.07	628.01	0.00	0.00	-239.94	Box 6: Gross Proceeds
	3.00000	11/16/2011	03/01/2016	97.01	116.88	0.00	0.00	-19.87	Box 6: Gross Proceeds
	7.00000	04/20/2012	03/01/2016	226.37	313.53	0.00	0.00	-87.16	Box 6: Gross Proceeds
	25.00000	09/02/2014	03/01/2016	808.49	1,338.21	0.00	0.00	-529.72	Box 6: Gross Proceeds
	9.00000	02/02/2015	03/01/2016	291.06	411.95	0.00	0.00	-120.89	Box 6: Gross Proceeds
Subtotals	77.00000			\$2,490.12	\$3,916.94	\$0.00	\$0.00	(\$1,426.82)	



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
HAEMONETICS CORP MASS CUSIP: 405024100	33.00000	03/10/2011	10/10/2016	1,218.73	1,050.08	0.00	0.00	168.65	Box 6: Gross Proceeds
HAIN CELESTIAL GROUP INC CUSIP: 405217100	6.00000	02/10/2014	03/01/2016	223.08	259.63	0.00	0.00	-36.55	Box 6: Gross Proceeds
	26.00000	02/10/2014	10/13/2016	923.11	1,125.04	0.00	0.00	-201.93	Box 6: Gross Proceeds
	16.00000	03/11/2014	10/13/2016	568.07	746.95	0.00	0.00	-178.88	Box 6: Gross Proceeds
Subtotals	48.00000			\$1,714.26	\$2,131.62	\$0.00	\$0.00	(\$417.36)	
HARTFORD FINL SVCS GROUP INC CUSIP: 416515104	31.00000	01/28/2014	03/01/2016	1,348.59	1,034.72	0.00	0.00	313.87	Box 6: Gross Proceeds
HEALTHSOUTH CORP CUSIP: 421924309	11.00000	05/31/2011	03/01/2016	390.60	308.76	0.00	0.00	81.84	Box 6: Gross Proceeds
HEIDELBERGCEMENT AG UNSPONSORED ADR CUSIP: 42281P205	91.00000	05/31/2012	03/01/2016	1,369.19	799.16	0.00	0.00	570.03	Box 6: Gross Proceeds
	45.00000	06/20/2012	03/01/2016	677.07	426.51	0.00	0.00	250.56	Box 6: Gross Proceeds
	54.00000	10/24/2012	03/01/2016	812.48	568.14	0.00	0.00	244.34	Box 6: Gross Proceeds
	30.00000	11/20/2013	03/01/2016	451.39	457.81	0.00	0.00	-6.42	Box 6: Gross Proceeds
Subtotals	220.00000			\$3,310.13	\$2,251.62	\$0.00	\$0.00	\$1,058.51	
HOME DEPOT INC CUSIP: 437076102	2.00000	05/21/2013	03/01/2016	250.95	157.76	0.00	0.00	93.19	Box 6: Gross Proceeds
	1.00000	05/21/2013	03/01/2016	125.47	78.88	0.00	0.00	46.59	Box 6: Gross Proceeds
	4.00000	05/21/2013	03/01/2016	501.91	315.53	0.00	0.00	186.38	Box 6: Gross Proceeds
	22.00000	05/21/2013	03/01/2016	2,760.50	1,735.40	0.00	0.00	1,025.10	Box 6: Gross Proceeds
	2.00000	06/12/2014	03/01/2016	250.95	157.98	0.00	0.00	92.97	Box 6: Gross Proceeds
	10.00000	06/12/2014	03/01/2016	1,252.87	789.88	0.00	0.00	462.99	Box 6: Gross Proceeds
	7.00000	06/12/2014	03/01/2016	877.02	552.92	0.00	0.00	324.10	Box 6: Gross Proceeds
	5.00000	06/12/2014	03/01/2016	627.40	394.94	0.00	0.00	232.46	Box 6: Gross Proceeds
	3.00000	06/12/2014	03/01/2016	375.86	236.96	0.00	0.00	138.90	Box 6: Gross Proceeds

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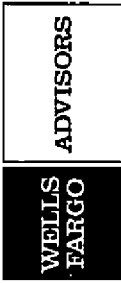
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
HOME DEPOT INC CUSIP: 437076102	4.00000	06/12/2014	09/15/2016	506.08	315.95	0.00	0.00	190.13	Box 6: Gross Proceeds
	2.00000	06/12/2014	09/20/2016	253.05	157.98	0.00	0.00	95.07	Box 6: Gross Proceeds
	1.00000	06/12/2014	10/14/2016	126.79	78.99	0.00	0.00	47.80	Box 6: Gross Proceeds
	5.00000	06/12/2014	10/14/2016	633.91	394.93	0.00	0.00	238.98	Box 6: Gross Proceeds
	7.00000	06/12/2014	11/14/2016	908.45	552.92	0.00	0.00	355.53	Box 6: Gross Proceeds
Subtotals	75.00000			\$9,451.21	\$5,921.02	\$0.00	\$0.00	\$3,530.19	
HORMEL FOODS CORPORATION CUSIP: 440452100	51.00000	05/20/2015	06/01/2016	1,745.40	1,497.47	0.00	0.00	247.93	Box 6: Gross Proceeds
HUBBELL INC CUSIP: 443510607	2.00000	04/18/2013	03/01/2016	200.46	184.59	0.00	0.00	15.87	Box 6: Gross Proceeds
	2.00000	04/18/2013	03/01/2016	200.45	184.60	0.00	0.00	15.85	Box 6: Gross Proceeds
	1.00000	04/18/2013	03/01/2016	100.22	92.30	0.00	0.00	7.92	Box 6: Gross Proceeds
	8.00000	04/25/2013	03/01/2016	801.84	754.47	0.00	0.00	47.37	Box 6: Gross Proceeds
	7.00000	05/28/2013	03/01/2016	701.61	693.92	0.00	0.00	7.69	Box 6: Gross Proceeds
Subtotals	20.00000			\$2,004.58	\$1,909.88	\$0.00	\$0.00	\$94.70	
J B HUNT TRANSPORT SERVICE INC CUSIP: 445658107	76.00000	10/31/2014	03/01/2016	5,905.64	6,053.53	0.00	0.00	-147.89	Box 6: Gross Proceeds
	48.00000	11/03/2014	03/01/2016	3,729.89	3,829.89	0.00	0.00	-100.00	Box 6: Gross Proceeds
Subtotals	124.00000			\$9,635.53	\$9,883.42	\$0.00	\$0.00	(\$247.89)	
IPG PHOTONICS CORP CUSIP: 44980X109	13.00000	07/10/2015	07/18/2016	1,060.27	1,082.27	0.00	0.00	-22.00	Box 6: Gross Proceeds
ILLINOIS TOOL WORKS INC CUSIP: 452308109	52.00000	09/23/2013	03/01/2016	5,036.61	3,962.73	0.00	0.00	1,073.88	Box 6: Gross Proceeds
	64.00000	09/24/2013	03/01/2016	6,199.91	4,620.31	0.00	0.00	1,278.60	Box 6: Gross Proceeds
Subtotals	116.00000			\$11,235.52	\$8,883.04	\$0.00	\$0.00	\$2,352.48	



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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
IND & COMM BK OF UNS ADR CUSIP: 455807107	43.00000	08/11/2011	03/01/2016	437.09	557.36	0.00	0.00	-120.27	Box 6: Gross Proceeds
	30.00000	09/01/2011	03/01/2016	304.94	399.30	0.00	0.00	-94.36	Box 6: Gross Proceeds
	19.00000	11/16/2011	03/01/2016	193.14	226.10	0.00	0.00	-32.96	Box 6: Gross Proceeds
Subtotals	92.00000			\$935.17	\$1,182.76	\$0.00	\$0.00	(\$247.59)	
INFINEON TECHNOLOGIES AG ADR CUSIP: 45662N103	29.00000	08/30/2012	03/01/2016	366.40	200.73	0.00	0.00	165.67	Box 6: Gross Proceeds
	131.00000	09/07/2012	03/01/2016	1,655.15	939.48	0.00	0.00	715.67	Box 6: Gross Proceeds
Subtotals	160.00000			\$2,021.55	\$1,140.21	\$0.00	\$0.00	\$881.34	
ING GROEP N V SPON ADR CUSIP: 456837103	90.00000	04/20/2012	03/01/2016	1,098.41	655.25	0.00	0.00	443.16	Box 6: Gross Proceeds
	58.00000	08/29/2012	03/01/2016	707.87	417.01	0.00	0.00	290.86	Box 6: Gross Proceeds
	16.00000	09/07/2012	03/01/2016	195.28	137.04	0.00	0.00	58.24	Box 6: Gross Proceeds
Subtotals	164.00000			\$2,001.56	\$1,209.30	\$0.00	\$0.00	\$792.26	
INTERCONTINENTAL EXCH EXCHANGE CUSIP: 45866F104	47.00000	07/11/2013	03/01/2016	10,772.17	8,372.22	0.00	0.00	2,399.95	Box 6: Gross Proceeds
ISHARES MSCI ETF SOUTH KOREA CAPED CUSIP: 464286772	160.00000	10/31/2014	03/01/2016	7,674.08	9,375.66	0.00	0.00	-1,701.58	Box 6: Gross Proceeds
	31.00000	02/02/2015	03/01/2016	1,486.86	1,744.10	0.00	0.00	-257.24	Box 6: Gross Proceeds
Subtotals	191.00000			\$9,160.94	\$11,119.76	\$0.00	\$0.00	(\$1,958.82)	
ITC HOLDINGS CORP CHG CUSIP: 465685105	9.00000	02/06/2012	03/01/2016	372.32	225.03	0.00	0.00	147.29	Box 6: Gross Proceeds
	69.00000	12/17/2012	03/01/2016	2,854.48	1,791.35	0.00	0.00	1,063.13	Box 6: Gross Proceeds
Subtotals	78.00000			\$3,226.80	\$2,016.38	\$0.00	\$0.00	\$1,210.42	

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP Box 1a	Quantity Sold Box 1b	Date Acquired Box 1c	Date Sold or Disposed Box 1d	Proceeds Box 2	Cost or Other Basis Box 3e	Accrued Market Discount Box 1f	Wash Sale Loss Disallowed Box 1g	Gain/Loss Amount	Additional Information
JPMORGAN CHASE & CO CUSIP 46625H100	8.00000	07/29/2011	03/01/2016	469.91	323.17	0.00	0.00	146.74	Box 6: Gross Proceeds
	14.00000	07/29/2011	05/31/2016	911.95	565.53	0.00	0.00	346.42	Box 6: Gross Proceeds
	4.00000	07/29/2011	05/31/2016	260.55	161.58	0.00	0.00	98.97	Box 6: Gross Proceeds
	10.00000	07/29/2011	11/11/2016	763.26	403.94	0.00	0.00	359.32	Box 6: Gross Proceeds
Subtotals	36.00000			\$2,405.67	\$1,454.22	\$0.00	\$0.00	\$951.45	
JANUS CAPITAL GROUP INC CUSIP 47102X105	28.00000	07/25/2013	03/01/2016	377.43	261.07	0.00	0.00	116.36	Box 6: Gross Proceeds
	55.00000	07/25/2013	10/26/2016	717.41	512.81	0.00	0.00	204.60	Box 6: Gross Proceeds
Subtotals	83.00000			\$1,094.84	\$773.88	\$0.00	\$0.00	\$320.96	
JAPAN TOBACCO INC ADR UNSPON CUSIP 471105205	140.00000	02/12/2015	03/01/2016	2,907.03	2,095.79	0.00	0.00	811.24	Box 6: Gross Proceeds
JULIUS BAER GROUP LTD SPONSORED ADR CUSIP 48137C108	30.00000	11/16/2011	03/01/2016	249.74	211.80	0.00	0.00	37.94	Box 6: Gross Proceeds
	88.00000	04/05/2013	03/01/2016	732.50	686.07	0.00	0.00	46.51	Box 6: Gross Proceeds
Subtotals	118.00000			\$982.32	\$897.87	\$0.00	\$0.00	\$84.45	
KB FINANCIAL GROUP INC ADR CUSIP 48241A105	14.00000	07/12/2013	03/01/2016	346.35	441.15	0.00	0.00	-94.80	Box 6: Gross Proceeds
	30.00000	12/04/2013	03/01/2016	742.19	1,118.65	0.00	0.00	-376.46	Box 6: Gross Proceeds
Subtotals	44.00000			\$1,088.54	\$1,559.80	\$0.00	\$0.00	(\$471.26)	
KASIKORNBANK PUB CO LTD UNSPONSORED ADR CUSIP 485785109	16.00000	01/31/2014	03/01/2016	316.71	334.39	0.00	0.00	-17.68	Box 6: Gross Proceeds
	53.00000	02/03/2014	03/01/2016	1,049.11	1,108.51	0.00	0.00	-59.40	Box 6: Gross Proceeds
	25.00000	02/07/2014	03/01/2016	494.86	531.00	0.00	0.00	-36.14	Box 6: Gross Proceeds
	24.00000	01/21/2015	03/01/2016	475.07	630.19	0.00	0.00	-185.12	Box 6: Gross Proceeds
Subtotals	118.00000			\$2,335.75	\$2,634.09	\$0.00	\$0.00	(\$298.34)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KEYCORP NEW CUSIP: 493267108	27.00000	10/09/2014	03/01/2016	293.75	357.75	0.00	0.00	-64.00	Box 6: Gross Proceeds
	33.00000	10/09/2014	03/11/2016	368.43	437.23	0.00	0.00	-68.80	Box 6: Gross Proceeds
	70.00000	12/17/2014	03/11/2016	781.51	931.38	0.00	0.00	-149.87	Box 6: Gross Proceeds
Subtotals	130.00000			\$1,443.69	\$1,726.36	\$0.00	\$0.00	(\$282.67)	
KILROY RLTY CORP REIT CUSIP: 49427F108	6.00000	12/17/2012	03/01/2016	330.89	271.42	0.00	0.00	59.47	Box 6: Gross Proceeds
KINGFISHER PLC-SPONS ADR (NEW) CUSIP: 495724403	46.00000	07/16/2012	03/01/2016	443.89	391.42	0.00	0.00	52.47	Box 6: Gross Proceeds
	49.00000	07/16/2012	03/01/2016	472.84	416.95	0.00	0.00	55.89	Box 6: Gross Proceeds
	59.00000	08/29/2014	03/01/2016	569.35	601.51	0.00	0.00	-32.16	Box 6: Gross Proceeds
	3.00000	08/29/2014	03/01/2016	28.95	30.59	0.00	0.00	-1.64	Box 6: Gross Proceeds
	56.00000	09/17/2014	03/01/2016	540.40	577.90	0.00	0.00	-37.50	Box 6: Gross Proceeds
	69.00000	10/21/2014	03/01/2016	665.85	660.98	0.00	0.00	4.87	Box 6: Gross Proceeds
	9.00000	10/21/2014	03/01/2016	86.85	86.21	0.00	0.00	0.64	Box 6: Gross Proceeds
Subtotals	291.00000			\$2,808.13	\$2,755.56	\$0.00	\$0.00	\$42.57	
KOHL'S CORP CUSIP: 500235104	11.00000	02/06/2013	03/01/2016	508.52	503.82	0.00	0.00	4.70	Box 6: Gross Proceeds
	19.00000	02/06/2013	05/12/2016	668.69	870.22	0.00	0.00	-201.53	Box 6: Gross Proceeds
	2.00000	02/06/2013	08/18/2016	90.63	91.60	0.00	0.00	-0.97	Box 6: Gross Proceeds
	21.00000	02/13/2013	08/18/2016	951.64	976.10	0.00	0.00	-24.46	Box 6: Gross Proceeds
	10.00000	09/27/2013	08/18/2016	453.17	519.18	0.00	0.00	-66.01	Box 6: Gross Proceeds
	11.00000	09/27/2013	08/18/2016	498.49	571.10	0.00	0.00	-72.61	Box 6: Gross Proceeds
Subtotals	74.00000			\$3,171.14	\$3,532.02	\$0.00	\$0.00	(\$360.88)	

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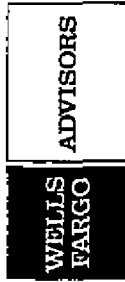
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KOMATSU LTD SPON ADR NEW CUSIP: 500456401	2 00000	11/16/2011	03/01/2016	30 80	50.92	0.00	0.00	-20.12	Box 6: Gross Proceeds
	33 00000	08/01/2013	03/01/2016	508 36	747.45	0.00	0.00	-239.09	Box 6: Gross Proceeds
	26 00000	04/14/2014	03/01/2016	400 54	544.07	0.00	0.00	-143 53	Box 6: Gross Proceeds
Subtotals	61.00000			\$939.70	\$1,342.44	\$0.00	\$0.00	(\$402.74)	
KONICA MINOLTA INC UNSPONSORED ADR CUSIP: 500485104	77.00000	06/20/2014	03/01/2016	1,271 24	1,478 74	0.00	0.00	-207.50	Box 6: Gross Proceeds
KROGER COMPANY COMMON CUSIP: 501044101	12 00000	09/22/2014	05/18/2016	408 96	312 60	0.00	0.00	96.36	Box 6: Gross Proceeds
	24 00000	11/05/2014	05/18/2016	817 92	688.42	0.00	0.00	129 50	Box 6: Gross Proceeds
	15 00000	11/05/2014	07/28/2016	517 39	430.27	0.00	0.00	87 12	Box 6: Gross Proceeds
	9 00000	11/05/2014	07/29/2016	308 08	258 15	0.00	0.00	49 93	Box 6: Gross Proceeds
	2 00000	09/15/2015	09/19/2016	61 64	74 40	0.00	0.00	-12 76	Box 6: Gross Proceeds
Subtotals	62.00000			\$2,113.99	\$1,763.84	\$0.00	\$0.00	\$350.15	
KUNLUN ENERGY CO LTD CUSIP: 50126A101	71.00000	04/10/2014	03/01/2016	533.19	1,164 21	0.00	0.00	-631.02	Box 6: Gross Proceeds
	75.00000	09/03/2014	03/01/2016	563.24	1,267 38	0.00	0.00	-704 14	Box 6: Gross Proceeds
	36.00000	10/31/2014	03/01/2016	270 36	498 06	0.00	0.00	-227 70	Box 6: Gross Proceeds
Subtotals	182.00000			\$1,366.79	\$2,929.65	\$0.00	\$0.00	(\$1,562.86)	
L BRANDS INC CUSIP: 501797104	8 00000	08/30/2011	05/09/2016	562.86	304.59	0.00	0.00	258.27	Box 6: Gross Proceeds
	12.00000	08/30/2011	05/17/2016	782.19	456.87	0.00	0.00	325.32	Box 6: Gross Proceeds
	5.00000	11/16/2011	05/17/2016	325 91	216.88	0.00	0.00	109.03	Box 6: Gross Proceeds
	10 00000	12/06/2011	05/17/2016	651 84	432.35	0.00	0.00	219 49	Box 6: Gross Proceeds
Subtotals	35.00000			\$2,322.80	\$1,410.69	\$0.00	\$0.00	\$912.11	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
LAUDER ESTEE COS INC CL A CUSIP: 518439104	106.00000	09/21/2012	03/01/2016	9,822.82	6,585.57	0.00	0.00	3,237.25	Box 6: Gross Proceeds
LIBERTY MEDIA GRP CLASS C CUSIP: 531229854	18.00000	09/19/2014	05/27/2016	342.72	387.82	0.00	0.00	-45.10	Box 6: Gross Proceeds
	1.25000	12/03/2014	05/27/2016	23.81	26.58	0.00	0.00	-2.77	Box 6: Gross Proceeds
	1.75000	12/03/2014	05/27/2016	33.32	37.21	0.00	0.00	-3.89	Box 6: Gross Proceeds
	2.50000	12/03/2014	06/14/2016	45.45	53.15	0.00	0.00	-7.70	Box 6: Gross Proceeds
	1.00000	06/29/2015	10/03/2016	28.24	21.18	0.00	0.00	7.06	Box 6: Gross Proceeds
Subtotals	24.50000			\$473.54	\$525.94	\$0.00	\$0.00	(\$52.40)	
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP: 531229888	0.27692	09/19/2014	04/18/2016	5.47	5.93	0.00	0.00	-0.46	Box 6: Gross Proceeds
	0.02692	12/03/2014	04/18/2016	0.53	0.57	0.00	0.00	-0.04	Cash in Lieu Box 6: Gross Proceeds
	0.05769	12/03/2014	04/18/2016	1.14	1.22	0.00	0.00	-0.08	Cash in Lieu Box 6: Gross Proceeds
	1.69230	09/19/2014	04/21/2016	29.38	36.23	0.00	0.00	-6.85	Cash in Lieu Box 6: Gross Proceeds
	5.23078	09/19/2014	08/12/2016	83.90	111.97	0.00	0.00	-28.07	Box 6: Gross Proceeds
	1.44231	12/03/2014	08/12/2016	23.13	30.47	0.00	0.00	-7.34	Box 6: Gross Proceeds
	0.67308	12/03/2014	08/12/2016	10.79	14.22	0.00	0.00	-3.43	Box 6: Gross Proceeds
	1.92306	06/29/2015	08/12/2016	30.85	40.48	0.00	0.00	-9.63	Box 6: Gross Proceeds
	1.73077	06/29/2015	08/12/2016	27.76	36.43	0.00	0.00	-8.67	Box 6: Gross Proceeds
Subtotals	13.05383			\$212.95	\$277.52	\$0.00	\$0.00	(\$64.57)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
M & T BANK CORP CUSIP: 55261F104	1.00000	10/12/2011	03/01/2016	104.74	76.00	0.00	0.00	28.74	Box 6: Gross Proceeds
MARKS & SPENCER PLC-ADR CUSIP: 570912105	15.00000	07/29/2011	03/01/2016	179.69	170.25	0.00	0.00	9.44	Box 6: Gross Proceeds
	5.00000	11/16/2011	03/01/2016	59.89	52.00	0.00	0.00	7.89	Box 6: Gross Proceeds
	74.00000	08/09/2013	03/01/2016	886.51	1 100.61	0.00	0.00	-214.10	Box 6: Gross Proceeds
	38.00000	04/09/2014	03/01/2016	455.24	585.13	0.00	0.00	-129.89	Box 6: Gross Proceeds
Subtotals	132.00000			\$1,581.33	\$1,907.99	\$0.00	\$0.00	(\$326.66)	
MARriott INTL INC NEW CL A CUSIP: 571903202	39.00000	07/19/2011	03/23/2016	2,721.24	1,269.12	0.00	0.00	1,452.12	Box 6: Gross Proceeds
MCGRAW-HILL FINANCIALXXX INC CUSIP: 580645109	50.00000	02/17/2015	03/01/2016	4,590.90	5,153.88	0.00	0.00	-562.98	Box 6: Gross Proceeds
	31.00000	02/18/2015	03/01/2016	2,846.36	3,229.14	0.00	0.00	-382.78	Box 6: Gross Proceeds
Subtotals	81.00000			\$7,437.26	\$8,383.02	\$0.00	\$0.00	(\$945.76)	
MCKESSON CORPORATION CUSIP: 58155Q103	3.00000	07/29/2011	10/31/2016	382.05	243.99	0.00	0.00	138.06	Box 6: Gross Proceeds
	3.00000	07/29/2011	10/31/2016	382.05	243.99	0.00	0.00	138.06	Box 6: Gross Proceeds
	5.00000	05/12/2015	10/31/2016	636.75	1 134.39	0.00	0.00	-497.64	Box 6: Gross Proceeds
	2.00000	05/12/2015	11/02/2016	268.09	453.75	0.00	0.00	-185.66	Box 6: Gross Proceeds
	1.00000	08/04/2015	11/02/2016	134.04	223.20	0.00	0.00	-89.16	Box 6: Gross Proceeds
	5.00000	08/04/2015	11/02/2016	670.24	1,115.99	0.00	0.00	-445.75	Box 6: Gross Proceeds
Subtotals	19.00000			\$2,473.22	\$3,415.31	\$0.00	\$0.00	(\$942.09)	
MENTOR GRAPHICS CORP CUSIP: 587200106	15.00000	05/29/2012	03/01/2016	294.44	213.35	0.00	0.00	81.09	Box 6: Gross Proceeds
	101.00000	05/29/2012	03/16/2016	1,983.89	1 436.51	0.00	0.00	547.38	Box 6: Gross Proceeds
Subtotals	116.00000			\$2,278.33	\$1,649.86	\$0.00	\$0.00	\$628.47	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MERCK KGAA ADR CUSIP: 5893339100	16.00000	11/16/2011	03/01/2016	467.12	259.28	0.00	0.00	207.84	Box 6: Gross Proceeds
MERCK & CO INC NEW CUSIP: 589333Y105	4.00000	03/20/2012	03/01/2016	207.03	151.53	0.00	0.00	55.50	Box 6: Gross Proceeds
	11.00000	04/18/2012	03/01/2016	569.34	423.50	0.00	0.00	145.84	Box 6: Gross Proceeds
Subtotals	15.00000			\$776.37	\$575.03	\$0.00	\$0.00	\$201.34	
METRO AG UNSPONSORED ADR CUSIP: 59160R102	112.00000	03/06/2014	03/01/2016	564.46	902.54	0.00	0.00	-338.08	Box 6: Gross Proceeds
	115.00000	05/23/2014	03/01/2016	579.58	943.26	0.00	0.00	-363.68	Box 6: Gross Proceeds
	228.00000	08/29/2014	03/01/2016	1,149.11	1,591.03	0.00	0.00	-441.92	Box 6: Gross Proceeds
Subtotals	455.00000			\$2,293.15	\$3,436.83	\$0.00	\$0.00	(\$1,143.68)	
MICHELIN (CGDE) UNSP ADR CUSIP: 59410T106	9.00000	02/25/2011	03/01/2016	166.63	145.26	0.00	0.00	21.37	Box 6: Gross Proceeds
	18.00000	11/16/2011	03/01/2016	333.26	226.62	0.00	0.00	106.64	Box 6: Gross Proceeds
	16.00000	12/21/2011	03/01/2016	215.23	187.36	0.00	0.00	108.87	Box 6: Gross Proceeds
	20.00000	02/08/2012	03/01/2016	370.29	293.20	0.00	0.00	77.09	Box 6: Gross Proceeds
	19.00000	03/30/2012	03/01/2016	351.79	282.24	0.00	0.00	69.55	Box 6: Gross Proceeds
Subtotals	82.00000			\$1,518.20	\$1,134.68	\$0.00	\$0.00	\$383.52	
MICROSOFT CORP CUSIP: 594918104	31.00000	10/01/2014	03/01/2016	1,620.64	1,423.64	0.00	0.00	197.00	Box 6: Gross Proceeds
	14.00000	10/01/2014	03/01/2016	732.38	642.92	0.00	0.00	89.46	Box 6: Gross Proceeds
	13.00000	10/24/2014	03/01/2016	680.07	594.75	0.00	0.00	85.32	Box 6: Gross Proceeds
	32.00000	10/24/2014	06/15/2016	1,602.17	1,464.00	0.00	0.00	138.17	Box 6: Gross Proceeds
	25.00000	12/17/2014	06/15/2016	1,251.69	1,132.20	0.00	0.00	119.49	Box 6: Gross Proceeds
	23.00000	03/16/2015	06/15/2016	1,151.57	952.20	0.00	0.00	199.37	Box 6: Gross Proceeds
	1.00000	03/16/2015	06/20/2016	50.48	41.40	0.00	0.00	9.08	Box 6: Gross Proceeds
	11.00000	03/16/2015	06/20/2016	556.37	455.40	0.00	0.00	100.97	Box 6: Gross Proceeds
	1.00000	05/14/2015	06/20/2016	50.48	48.62	0.00	0.00	1.86	Box 6: Gross Proceeds
	2.00000	05/14/2015	06/20/2016	100.96	97.25	0.00	0.00	3.71	Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MICROSOFT CORP CUSIP: 594918104	6.00000	05/14/2015	06/20/2016	302.91	291.74	0.00	0.00	11.17	Box 6: Gross Proceeds
	14.00000	05/14/2015	06/24/2016	698.35	680.72	0.00	0.00	17.63	Box 6: Gross Proceeds
	2.00000	05/14/2015	06/24/2016	99.76	97.24	0.00	0.00	2.52	Box 6: Gross Proceeds
	54.00000	05/14/2015	06/24/2016	2,693.66	2,625.64	0.00	0.00	68.02	Box 6: Gross Proceeds
Subtotals	229.00000			\$11,591.49	\$10,547.72	\$0.00	\$0.00	\$1,043.77	
MONSTER BEVERAGE CORP CUSIP: 61174X109	6.00000	06/01/2011	10/13/2016	866.80	216.55	0.00	0.00	650.25	Box 6: Gross Proceeds
MORPHOSYS AG SPON ADR CUSIP: 617760103	27.00000	02/02/2015	03/01/2016	559.96	1,145.74	0.00	0.00	-585.78	Box 6: Gross Proceeds
NATIONAL FUEL GAS CO CUSIP: 636180101	1.00000	12/13/2012	03/01/2016	45.60	53.05	0.00	0.00	-7.45	Box 6: Gross Proceeds
NEXTERA ENERGY INC CUSIP: 65339F101	15.00000	04/18/2012	03/01/2016	1,684.31	944.58	0.00	0.00	739.73	Box 6: Gross Proceeds
NISSAN MTR LTD SPONS-ADR CUSIP: 654744408	41.00000	01/20/2011	03/01/2016	753.35	827.38	0.00	0.00	-74.03	Box 6: Gross Proceeds
	20.00000	06/29/2011	03/01/2016	367.49	421.80	0.00	0.00	-54.31	Box 6: Gross Proceeds
	6.00000	11/16/2011	03/01/2016	110.24	108.12	0.00	0.00	2.12	Box 6: Gross Proceeds
	23.00000	08/03/2012	03/01/2016	422.63	440.86	0.00	0.00	-18.23	Box 6: Gross Proceeds
	18.00000	09/28/2012	08/30/2016	352.79	306.90	0.00	0.00	45.89	Box 6: Gross Proceeds
	10.00000	09/28/2012	08/31/2016	196.52	170.50	0.00	0.00	26.02	Box 6: Gross Proceeds
	26.00000	01/11/2013	08/31/2016	510.97	514.51	0.00	0.00	-3.54	Box 6: Gross Proceeds
	38.00000	06/14/2013	08/31/2016	746.81	786.59	0.00	0.00	-39.78	Box 6: Gross Proceeds
	20.00000	09/09/2013	08/31/2016	393.06	417.71	0.00	0.00	-24.65	Box 6: Gross Proceeds
	46.00000	03/05/2014	08/31/2016	904.04	814.16	0.00	0.00	89.88	Box 6: Gross Proceeds
Subtotals	248.00000			\$4,757.90	\$4,808.53	\$0.00	\$0.00	(\$50.63)	



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Proceeds from Broker and Barter Exchange Transactions, continued

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NORTHERN TRUST CORP CUSIP: 665859104	3 00000	05/24/2012	03/01/2016	187.37	129.76	0.00	0.00	57.61	Box 6: Gross Proceeds
	3 00000	05/24/2012	03/01/2016	187.37	129.75	0.00	0.00	57.62	Box 6: Gross Proceeds
	36 00000	05/24/2012	03/01/2016	2,248.51	1,557.06	0.00	0.00	691.45	Box 6: Gross Proceeds
Subtotals	42.00000			\$2,623.25	\$1,816.57	\$0.00	\$0.00	\$806.68	
NOVARTIS AG SPON ADR CUSIP: 66987V109	2.00000	11/16/2011	04/01/2016	142.01	110.66	0.00	0.00	31.35	Box 6: Gross Proceeds
OCCIDENTAL PETE CORP CUSIP: 674599105	8 00000	08/29/2012	03/01/2016	561.18	668.14	0.00	0.00	-106.96	Box 6: Gross Proceeds
	4 00000	05/15/2013	03/01/2016	280.60	349.50	0.00	0.00	-68.90	Box 6: Gross Proceeds
	22 00000	05/15/2013	05/24/2016	1,673.40	1,922.23	0.00	0.00	-248.83	Box 6: Gross Proceeds
	12 00000	06/12/2014	05/24/2016	912.77	1,174.20	0.00	0.00	-261.43	Box 6: Gross Proceeds
	1 00000	06/12/2014	10/31/2016	72.96	97.84	0.00	0.00	-24.88	Box 6: Gross Proceeds
	22 00000	08/14/2014	10/31/2016	1,605.24	2,121.88	0.00	0.00	-516.64	Box 6: Gross Proceeds
	9.00000	10/01/2014	10/31/2016	656.69	826.11	0.00	0.00	169.42	Box 6: Gross Proceeds
Subtotals	78.00000			\$5,762.84	\$7,159.90	\$0.00	\$0.00	(\$1,397.06)	
OCEANEERING INTL INC CUSIP: 675232102	4 00000	05/15/2012	03/01/2016	110.36	193.20	0.00	0.00	-82.84	Box 6: Gross Proceeds
	23 00000	05/15/2012	11/15/2016	582.30	1,110.84	0.00	0.00	-528.54	Box 6: Gross Proceeds
	1 00000	11/02/2015	11/15/2016	25.32	42.93	0.00	0.00	-17.61	Box 6: Gross Proceeds
Subtotals	28.00000			\$717.98	\$1,346.97	\$0.00	\$0.00	(\$628.99)	
OLD REPUBLIC INTL CORP CUSIP: 680223104	11 00000	11/16/2011	03/01/2016	197.88	88.22	0.00	0.00	109.66	Box 6: Gross Proceeds
	88 00000	11/14/2012	03/01/2016	1,583.09	860.39	0.00	0.00	722.70	Box 6: Gross Proceeds
	35 00000	05/06/2013	03/01/2016	629.64	481.82	0.00	0.00	147.82	Box 6: Gross Proceeds
Subtotals	134.00000			\$2,410.61	\$1,430.43	\$0.00	\$0.00	\$980.18	

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42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

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OMNICOM GROUP CUSIP: 681919106	12.00000	08/25/2011	03/01/2016	948.23	465.94	0.00	0.00	482.29	Box 6: Gross Proceeds
ON SEMI CONDUCTOR CORP CUSIP: 682189105	39.00000	06/25/2013	03/01/2016	336.95	304.62	0.00	0.00	32.33	Box 6: Gross Proceeds
	211.00000	06/25/2013	06/17/2016	1,987.86	1,648.03	0.00	0.00	339.83	Box 6: Gross Proceeds
Subtotals	250.00000			\$2,324.81	\$1,952.65	\$0.00	\$0.00	\$372.16	
ORACLE CORPORATION CUSIP: 68389X105	13.00000	12/17/2014	03/01/2016	492.42	532.89	0.00	0.00	-40.47	Box 6: Gross Proceeds
	27.00000	03/16/2015	05/09/2016	1,063.80	1,166.81	0.00	0.00	-103.01	Box 6: Gross Proceeds
	11.00000	03/16/2015	06/06/2016	434.63	475.36	0.00	0.00	-40.73	Box 6: Gross Proceeds
Subtotals	51.00000			\$1,990.85	\$2,175.06	\$0.00	\$0.00	(\$184.21)	
PNC FINANCIAL SERVICES GROUP CUSIP: 693475105	1.00000	02/18/2014	03/01/2016	84.05	81.59	0.00	0.00	2.46	Box 6: Gross Proceeds
	6.00000	02/18/2014	03/01/2016	504.30	489.51	0.00	0.00	14.79	Box 6: Gross Proceeds
	16.00000	02/18/2014	03/01/2016	1,344.82	1,305.37	0.00	0.00	39.45	Box 6: Gross Proceeds
	66.00000	02/18/2014	03/01/2016	5,547.39	5,384.68	0.00	0.00	162.71	Box 6: Gross Proceeds
	8.00000	02/18/2014	03/01/2016	672.41	652.69	0.00	0.00	19.72	Box 6: Gross Proceeds
	9.00000	02/18/2014	03/01/2016	756.46	734.27	0.00	0.00	22.19	Box 6: Gross Proceeds
	11.00000	02/18/2014	08/18/2016	939.20	897.44	0.00	0.00	41.76	Box 6: Gross Proceeds
	17.00000	03/31/2014	11/11/2016	1,800.97	1,480.58	0.00	0.00	320.39	Box 6: Gross Proceeds
	3.00000	03/31/2014	11/16/2016	322.72	261.27	0.00	0.00	61.45	Box 6: Gross Proceeds
	7.00000	10/24/2014	11/16/2016	753.01	576.03	0.00	0.00	176.98	Box 6: Gross Proceeds
	13.00000	10/24/2014	11/16/2016	1,398.46	1,069.77	0.00	0.00	328.69	Box 6: Gross Proceeds
Subtotals	157.00000			\$14,123.79	\$12,933.20	\$0.00	\$0.00	\$1,190.59	
P P G INDUSTRIES INC CUSIP: 693506107	10.00000	11/13/2015	12/21/2016	959.52	1,009.93	0.00	0.00	-50.41	Box 6: Gross Proceeds



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Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
PT BANK MANDIRI PERSERO CUSIP: 709367U105	80.00000	08/29/2013	03/01/2016	577.98	494.85	0.00	0.00	83.13	Box 6: Gross Proceeds
	90.00000	11/21/2013	03/01/2016	650.23	584.65	0.00	0.00	65.58	Box 6: Gross Proceeds
Subtotals	170.00000			\$1,228.21	\$1,079.50	\$0.00	\$0.00	\$148.71	
PTC INC CUSIP: 69370C100	5.00000	03/17/2014	03/01/2016	158.45	189.28	0.00	0.00	-30.83	Box 6: Gross Proceeds
	8.00000	03/17/2014	04/21/2016	295.20	302.84	0.00	0.00	-7.64	Box 6: Gross Proceeds
Subtotals	13.00000			\$453.65	\$492.12	\$0.00	\$0.00	(\$38.47)	
PENSKE AUTO GROUP INC CUSIP: 70959W103	10.00000	01/14/2014	03/01/2016	381.99	447.54	0.00	0.00	-65.55	Box 6: Gross Proceeds
	31.00000	01/14/2014	07/13/2016	1,028.32	1,387.35	0.00	0.00	-359.03	Box 6: Gross Proceeds
	16.00000	01/14/2014	08/04/2016	629.93	716.04	0.00	0.00	-86.11	Box 6: Gross Proceeds
Subtotals	57.00000			\$2,040.24	\$2,550.93	\$0.00	\$0.00	(\$510.69)	
PERKINELMER INC CUSIP: 714046109	9.00000	03/06/2014	03/01/2016	430.37	414.87	0.00	0.00	15.50	Box 6: Gross Proceeds
PETROFAC LTD UNSPONSORED ADR CUSIP: 716473103	150.00000	10/08/2014	03/01/2016	947.97	1,167.65	0.00	0.00	-219.68	Box 6: Gross Proceeds
	187.00000	02/02/2015	03/01/2016	1,181.82	1,012.17	0.00	0.00	169.65	Box 6: Gross Proceeds
Subtotals	337.00000			\$2,129.79	\$2,179.82	\$0.00	\$0.00	(\$50.03)	
POLYONE CORPORATION CUSIP: 73179P106	6.00000	03/17/2014	03/01/2016	163.68	221.69	0.00	0.00	-58.01	Box 6: Gross Proceeds
	56.00000	03/17/2014	03/17/2016	1,667.65	2,069.07	0.00	0.00	-401.42	Box 6: Gross Proceeds
Subtotals	62.00000			\$1,831.33	\$2,290.76	\$0.00	\$0.00	(\$459.43)	
PRADA SPA UNSPON ADR CUSIP: 73942H100	89.00000	02/14/2014	03/01/2016	520.19	1,428.30	0.00	0.00	-908.11	Box 6: Gross Proceeds
	49.00000	03/17/2014	03/01/2016	286.40	719.28	0.00	0.00	-432.88	Box 6: Gross Proceeds
	34.00000	06/11/2014	03/01/2016	193.73	475.89	0.00	0.00	-277.16	Box 6: Gross Proceeds
Subtotals	172.00000			\$1,005.32	\$2,623.47	\$0.00	\$0.00	(\$1,618.15)	

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PROSIBEN SAT 1 MEDIA SE UNSPON ADR CUSIP: 7443476202	164.00000	08/05/2014	03/01/2016	2,166.39	1,682.46	0.00	0.00	483.93	Box 6: Gross Proceeds
	68.00000	10/21/2014	03/01/2016	898.26	670.92	0.00	0.00	227.34	Box 6: Gross Proceeds
Subtotals	232.00000			\$3,064.65	\$2,353.38	\$0.00	\$0.00	\$711.27	
PRUDENTIAL PLC SPON ADR CUSIP: 74435K204	14.00000	01/26/2015	07/11/2016	452.47	693.68	0.00	0.00	-241.21	Box 6: Gross Proceeds
	38.00000	03/11/2015	07/11/2016	1,228.14	1,870.51	0.00	0.00	-642.37	Box 6: Gross Proceeds
Subtotals	52.00000			\$1,680.61	\$2,564.19	\$0.00	\$0.00	(\$883.58)	
PRUDENTIAL JENNISON NATURAL RESOURCES FD CUSIP: 74441K503	5844.25400	01/23/2015	02/29/2016	161,067.64	229,912.97	0.00	0.00	-68,845.33	Box 6: Gross Proceeds
PUBLICIS GROUP - S A NEW SPONS ADR CUSIP: 74463M106	10.00000	02/06/2012	03/01/2016	158.24	130.85	0.00	0.00	27.39	Box 6: Gross Proceeds
	32.00000	03/06/2012	03/01/2016	506.39	425.59	0.00	0.00	80.80	Box 6: Gross Proceeds
	48.00000	04/11/2012	03/01/2016	759.53	635.52	0.00	0.00	124.07	Box 6: Gross Proceeds
	24.00000	01/30/2013	03/01/2016	379.79	398.47	0.00	0.00	-18.68	Box 6: Gross Proceeds
	56.00000	10/21/2014	03/01/2016	886.20	941.42	0.00	0.00	-55.22	Box 6: Gross Proceeds
Subtotals	170.00000			\$2,690.21	\$2,531.85	\$0.00	\$0.00	\$158.36	
QUALCOMM INC CUSIP: 747525103	1.00000	06/03/2013	03/01/2016	51.92	62.90	0.00	0.00	-10.98	Box 6: Gross Proceeds
	18.00000	10/16/2013	03/01/2016	934.72	1,239.36	0.00	0.00	-304.64	Box 6: Gross Proceeds
	1.00000	10/16/2013	03/01/2016	51.92	68.85	0.00	0.00	-16.93	Box 6: Gross Proceeds
	19.00000	10/16/2013	03/01/2016	986.65	1,308.21	0.00	0.00	-321.56	Box 6: Gross Proceeds
	12.00000	03/13/2014	03/01/2016	623.14	919.48	0.00	0.00	-296.34	Box 6: Gross Proceeds
	22.00000	11/11/2014	03/01/2016	1,142.44	1,524.27	0.00	0.00	-381.83	Box 6: Gross Proceeds
	16.00000	11/11/2014	03/01/2016	830.86	1,108.56	0.00	0.00	-277.70	Box 6: Gross Proceeds
	16.00000	12/08/2014	03/01/2016	830.86	1,163.71	0.00	0.00	-332.85	Box 6: Gross Proceeds



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QUALCOMM INC CUSIP: 747525103	10.00000	12/17/2014	03/01/2016	519.29	714.79	0.00	0.00	-195.50	Box 6: Gross Proceeds
Subtotals	115.00000			\$5,971.80	\$8,110.13	\$0.00	\$0.00	(\$2,138.33)	
QUEST DIAGNOSTICS INC CUSIP: 74834L100	7.00000	02/25/2014	03/01/2016	477.88	372.44	0.00	0.00	105.44	Box 6: Gross Proceeds
	16.00000	02/25/2014	06/16/2016	1,229.60	851.28	0.00	0.00	378.32	Box 6: Gross Proceeds
	12.00000	04/02/2014	06/16/2016	922.20	731.95	0.00	0.00	190.25	Box 6: Gross Proceeds
Subtotals	35.00000			\$2,629.68	\$1,955.67	\$0.00	\$0.00	\$674.01	
RPM INTERNATIONAL INC CUSIP: 749685103	7.00000	10/22/2013	03/01/2016	293.43	267.74	0.00	0.00	25.69	Box 6: Gross Proceeds
RADIAN GROUP INC CUSIP: 750238101	35.00000	04/30/2013	03/01/2016	397.94	421.32	0.00	0.00	-23.38	Box 6: Gross Proceeds
	47.00000	04/30/2013	07/06/2016	509.15	565.76	0.00	0.00	-56.61	Box 6: Gross Proceeds
	51.00000	12/09/2013	07/06/2016	552.50	728.77	0.00	0.00	-176.27	Box 6: Gross Proceeds
Subtotals	133.00000			\$1,459.59	\$1,715.85	\$0.00	\$0.00	(\$256.26)	
RED HAT INC CUSIP: 756577102	5.00000	08/12/2011	07/22/2016	363.53	188.01	0.00	0.00	175.52	Box 6: Gross Proceeds
	6.00000	08/12/2011	07/25/2016	441.23	225.61	0.00	0.00	215.62	Box 6: Gross Proceeds
Subtotals	11.00000			\$804.76	\$413.62	\$0.00	\$0.00	\$391.14	
REGAL ENTERTAINMENT GRP A CUSIP: 758766109	43.00000	12/17/2012	03/01/2016	853.96	562.94	0.00	0.00	291.02	Box 6: Gross Proceeds
REINSURANCE GROUP OF AMERICA INC CUSIP: 759351604	6.00000	01/05/2011	03/01/2016	554.51	335.01	0.00	0.00	219.50	Box 6: Gross Proceeds

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RELX PLC ADR SPON CUSIP: 759530108	88.00000	11/16/2011	03/01/2016	1 576.22	729.52	0.00	0.00	846.70	Box 6: Gross Proceeds
	38.00000	02/21/2012	03/01/2016	644.82	313.74	0.00	0.00	331.08	Box 6: Gross Proceeds
	56.00000	07/31/2012	03/01/2016	1 003.05	473.76	0.00	0.00	529.29	Box 6: Gross Proceeds
Subtotals	180.00000			\$3,224.09	\$1,517.02	\$0.00	\$0.00	\$1,707.07	
REYNOLDS AMERICAN INC CUSIP: 761773106	16.00000	08/29/2014	03/01/2016	805.26	467.06	0.00	0.00	338.20	Box 6: Gross Proceeds
	20.00000	08/29/2014	10/11/2016	926.13	583.82	0.00	0.00	342.28	Box 6: Gross Proceeds
	2.00000	08/29/2014	10/31/2016	109.90	58.38	0.00	0.00	51.52	Box 6: Gross Proceeds
	60.00000	03/02/2015	10/31/2016	3,237.23	2,264.03	0.00	0.00	1,033.20	Box 6: Gross Proceeds
	64.00000	06/04/2015	10/31/2016	3,517.05	2,375.93	0.00	0.00	1,141.12	Box 6: Gross Proceeds
Subtotals	162.00000			\$8,655.54	\$5,749.22	\$0.00	\$0.00	\$2,906.32	
ROCHE HOLDINGS LTD ADR CUSIP: 771195104	9.00000	01/28/2013	03/01/2016	293.03	245.89	0.00	0.00	47.14	Box 6: Gross Proceeds
	5.00000	01/28/2013	03/01/2016	162.79	136.61	0.00	0.00	26.18	Box 6: Gross Proceeds
	23.00000	01/28/2013	03/01/2016	748.87	628.39	0.00	0.00	120.48	Box 6: Gross Proceeds
	3.00000	01/28/2013	03/01/2016	97.67	81.96	0.00	0.00	15.71	Box 6: Gross Proceeds
	1.00000	04/19/2013	03/01/2016	32.56	30.64	0.00	0.00	1.92	Box 6: Gross Proceeds
	3.00000	04/19/2013	03/01/2016	97.68	91.91	0.00	0.00	5.77	Box 6: Gross Proceeds
	4.00000	04/19/2013	03/01/2016	130.24	122.55	0.00	0.00	7.69	Box 6: Gross Proceeds
	4.00000	04/19/2013	03/01/2016	130.24	122.55	0.00	0.00	7.69	Box 6: Gross Proceeds
Subtotals	52.00000			\$1,693.08	\$1,460.50	\$0.00	\$0.00	\$232.58	
ROCKWELL COLLINS CUSIP: 774341101	8.00000	01/18/2011	03/01/2016	711.98	503.81	0.00	0.00	208.17	Box 6: Gross Proceeds
ROSS STORES INC (CALIF) CUSIP: 778296103	13.00000	05/01/2012	03/01/2016	726.16	408.22	0.00	0.00	317.94	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ROYAL DUTCH SHELL PLC ADR B CUSIP: 780259107	5.00000	06/14/2011	03/01/2016	232.07	354.80	0.00	0.00	-122.73	Box 6: Gross Proceeds
	4.00000	11/16/2011	03/01/2016	185.65	292.28	0.00	0.00	-106.63	Box 6: Gross Proceeds
	2.00000	11/16/2011	03/01/2016	92.82	146.16	0.00	0.00	-53.34	Box 6: Gross Proceeds
	5.00000	05/17/2013	03/01/2016	232.07	350.59	0.00	0.00	-118.52	Box 6: Gross Proceeds
	2.00000	05/17/2013	03/01/2016	92.82	140.24	0.00	0.00	-47.42	Box 6: Gross Proceeds
	14.00000	02/02/2015	03/01/2016	649.80	918.31	0.00	0.00	-268.51	Box 6: Gross Proceeds
	5.00000	02/02/2015	03/01/2016	232.07	327.97	0.00	0.00	-95.90	Box 6: Gross Proceeds
Subtotals	37.00000			\$1,717.30	\$2,530.35	\$0.00	\$0.00	(\$813.05)	
SBA COMMUNICATIONS CORP CUSIP: 78388J106	4.00000	05/23/2011	03/01/2016	384.79	157.44	0.00	0.00	227.35	Box 6: Gross Proceeds
S&P GLOBAL INC CUSIP: 78409V104	5.00000	02/18/2015	08/19/2016	600.00	520.83	0.00	0.00	79.17	Box 6: Gross Proceeds
SLM CORP CUSIP: 78442P106	52.00000	12/24/2014	03/01/2016	317.72	535.98	0.00	0.00	-218.26	Box 6: Gross Proceeds
ST JUDE MEDICAL INC CUSIP: 790849103	29.00000	05/18/2011	05/11/2016	2,192.01	1,525.21	0.00	0.00	666.80	Box 6: Gross Proceeds
	23.00000	07/29/2011	05/11/2016	1,738.49	1,078.75	0.00	0.00	659.74	Box 6: Gross Proceeds
	24.00000	11/16/2011	05/11/2016	1,814.09	949.59	0.00	0.00	864.50	Box 6: Gross Proceeds
	8.00000	11/16/2011	05/11/2016	604.69	316.48	0.00	0.00	288.21	Box 6: Gross Proceeds
Subtotals	84.00000			\$6,349.28	\$3,870.03	\$0.00	\$0.00	\$2,479.25	
SANOFLADR CUSIP: 80105N105	21.00000	03/25/2013	03/01/2016	841.45	1,052.30	0.00	0.00	-210.85	Box 6: Gross Proceeds
	54.00000	03/25/2013	03/01/2016	2,163.73	2,705.92	0.00	0.00	-542.19	Box 6: Gross Proceeds
	10.00000	04/19/2013	03/01/2016	400.70	530.26	0.00	0.00	-129.56	Box 6: Gross Proceeds
Subtotals	85.00000			\$3,405.88	\$4,288.48	\$0.00	\$0.00	(\$882.60)	

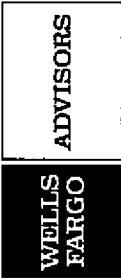
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Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
SCHNEIDER ELECTRIC SE- ADR CUSIP: 80687P106	64.00000 38.00000 232.00000	10/31/2013 05/08/2014	03/01/2016 03/01/2016	761.90 452.38 \$2,761.87	1,081.86 721.70 \$3,352.91	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-319.96 -269.32 (\$591.04)	Box 6: Gross Proceeds Box 6: Gross Proceeds (Box 6: Gross Proceeds)
SEMPRA ENERGY CUSIP: 816851109	3.00000	07/16/2014	10/11/2016	308.43	305.99	0.00	0.00	2.44	Box 6: Gross Proceeds
SHINHAN FINL GRP ADR CUSIP: 824596100	18.00000 2.00000 12.00000 32.00000	08/04/2011 01/31/2012 10/24/2013	03/01/2016 03/01/2016 03/01/2016	571.66 63.52 381.12 \$1,016.30	833.16 79.28 526.96 \$1,439.40	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	261.50 -15.76 -145.84 (\$423.10)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds (Box 6: Gross Proceeds)
SIEMENS A G - ADR CUSIP: 826197501	5.00000 2.00000 4.00000 8.00000 19.00000	09/27/2011 11/16/2011 03/30/2012 08/03/2012	03/01/2016 03/01/2016 03/01/2016 03/01/2016	474.64 189.85 379.71 759.43 \$1,803.63	455.95 191.40 388.62 679.41 \$1,715.38	0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 \$0.00	18.69 -1.55 -8.91 80.02 \$88.25	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds (Box 6: Gross Proceeds)
SKY PLC SPONSORED CUSIP: 83084V106	17.00000 9.00000 9.00000 8.00000 8.00000 4.00000 21.00000 12.00000 4.00000 16.00000	08/02/2013 09/18/2013 09/18/2013 04/16/2014 04/16/2014 08/29/2014 08/29/2014 08/29/2014 08/29/2014 09/10/2014	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	1,006.97 533.10 533.10 473.87 473.87 236.93 1,243.91 710.80 236.93 947.74	872.98 497.01 497.01 485.44 485.44 234.69 1,232.11 704.06 234.69 919.92	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	133.99 36.09 36.09 -11.57 -11.57 2.24 11.80 6.74 2.24 27.82	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SKY PLC ADR SPONSORED CUSIP: 83084V106	1.00000	09/10/2014	03/01/2016	59.23	57.50	0.00	0.00	1.73	Box 6: Gross Proceeds
	1.00000	09/10/2014	03/01/2016	59.23	57.50	0.00	0.00	1.73	Box 6: Gross Proceeds
	16.00000	09/10/2014	03/01/2016	947.74	919.92	0.00	0.00	27.82	Box 6: Gross Proceeds
	14.00000	09/25/2014	03/01/2016	829.28	806.89	0.00	0.00	22.39	Box 6: Gross Proceeds
	14.00000	09/25/2014	03/01/2016	829.27	806.89	0.00	0.00	22.38	Box 6: Gross Proceeds
	10.00000	10/13/2014	03/01/2016	592.34	571.70	0.00	0.00	20.64	Box 6: Gross Proceeds
	2.00000	10/13/2014	03/01/2016	118.46	114.34	0.00	0.00	4.12	Box 6: Gross Proceeds
	10.00000	10/13/2014	03/01/2016	592.35	571.70	0.00	0.00	20.65	Box 6: Gross Proceeds
	2.00000	10/13/2014	03/01/2016	118.46	114.34	0.00	0.00	4.12	Box 6: Gross Proceeds
	3.00000	02/05/2015	03/01/2016	177.70	177.54	0.00	0.00	0.16	Box 6: Gross Proceeds
	16.00000	02/05/2015	03/01/2016	947.77	946.87	0.00	0.00	0.90	Box 6: Gross Proceeds
Subtotals	197.00000			\$11,669.05	\$11,308.54	\$0.00	\$0.00	\$360.51	
SMITH & NEPHEW PLC ADR CUSIP: 83175M205	27.00000	05/05/2011	03/01/2016	898.32	617.09	0.00	0.00	281.23	Box 6: Gross Proceeds
	2.00000	11/16/2011	03/01/2016	66.54	46.11	0.00	0.00	20.43	Box 6: Gross Proceeds
	25.00000	09/21/2012	03/01/2016	831.78	557.64	0.00	0.00	274.14	Box 6: Gross Proceeds
	31.00000	12/05/2013	03/01/2016	1,031.41	825.03	0.00	0.00	206.38	Box 6: Gross Proceeds
Subtotals	85.00000			\$2,828.05	\$2,045.87	\$0.00	\$0.00	\$782.18	
SOFTBANK GROUP CORP UNSPON ADR CUSIP: 83404D109	85.00000	04/01/2015	12/20/2016	2,847.53	2,462.73	0.00	0.00	384.80	Box 6: Gross Proceeds
	4.00000	06/22/2015	12/20/2016	134.01	119.62	0.00	0.00	14.39	Box 6: Gross Proceeds
Subtotals	89.00000			\$2,981.54	\$2,582.35	\$0.00	\$0.00	\$399.19	
SONOCO PRODUCTS CO CUSIP: 835495102	19.00000	08/25/2011	03/01/2016	835.98	548.79	0.00	0.00	287.19	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
STARBUCKS CORP CUSIP: 855244109	127.00000	09/05/2014	03/01/2016	7,593.68	4,936.61	0.00	0.00	2,657.07	Box 6: Gross Proceeds
	20.00000	09/05/2014	03/18/2016	1,199.59	777.42	0.00	0.00	422.17	Box 6: Gross Proceeds
	8.00000	09/05/2014	05/17/2016	442.51	310.97	0.00	0.00	131.54	Box 6: Gross Proceeds
	10.00000	09/05/2014	06/01/2016	549.74	388.71	0.00	0.00	161.03	Box 6: Gross Proceeds
	6.00000	09/05/2014	06/03/2016	327.48	233.23	0.00	0.00	94.25	Box 6: Gross Proceeds
	10.00000	09/05/2014	10/27/2016	535.87	388.71	0.00	0.00	147.16	Box 6: Gross Proceeds
Subtotals	181.00000			\$10,648.87	\$7,035.65	\$0.00	\$0.00	\$3,613.22	
SUN COMMUNITIES INC REIT CUSIP: 866674104	40.00000	01/11/2012	03/01/2016	2,735.54	1,295.49	0.00	0.00	1,440.05	Box 6: Gross Proceeds
SUNCOR ENERGY INC NEW CUSIP: 867224107	28.00000	07/08/2011	03/01/2016	703.06	1,135.86	0.00	0.00	-432.80	Box 6: Gross Proceeds
	4.00000	07/29/2011	03/01/2016	100.43	153.71	0.00	0.00	-53.28	Box 6: Gross Proceeds
	4.00000	07/29/2011	03/01/2016	100.43	153.72	0.00	0.00	-53.29	Box 6: Gross Proceeds
	15.00000	07/29/2011	03/01/2016	376.64	576.43	0.00	0.00	-199.79	Box 6: Gross Proceeds
	20.00000	10/11/2011	03/01/2016	502.19	561.70	0.00	0.00	-59.51	Box 6: Gross Proceeds
	9.00000	05/23/2012	03/01/2016	225.98	245.16	0.00	0.00	-19.18	Box 6: Gross Proceeds
	2.00000	08/06/2012	03/01/2016	50.21	63.86	0.00	0.00	-13.65	Box 6: Gross Proceeds
	1.00000	08/06/2012	03/01/2016	25.10	31.93	0.00	0.00	-6.83	Box 6: Gross Proceeds
	19.00000	08/06/2012	03/01/2016	477.08	606.67	0.00	0.00	-129.59	Box 6: Gross Proceeds
	2.00000	08/30/2012	03/01/2016	50.21	62.51	0.00	0.00	-12.30	Box 6: Gross Proceeds
	14.00000	08/30/2012	03/01/2016	351.53	437.56	0.00	0.00	-86.03	Box 6: Gross Proceeds
	20.00000	05/01/2013	03/01/2016	502.19	610.60	0.00	0.00	-108.41	Box 6: Gross Proceeds
	2.00000	04/30/2014	03/01/2016	50.22	76.79	0.00	0.00	-26.57	Box 6: Gross Proceeds
	17.00000	04/30/2014	03/01/2016	426.87	652.75	0.00	0.00	-225.88	Box 6: Gross Proceeds
	1.00000	04/30/2014	03/01/2016	25.10	38.40	0.00	0.00	-13.30	Box 6: Gross Proceeds
	14.00000	10/10/2014	03/01/2016	351.54	485.22	0.00	0.00	-133.68	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SUNCOR ENERGY INC NEW CUSIP: 867224107	42.00000	02/02/2015	03/01/2016	1,054.62	1,283.23	0.00	0.00	-228.61	Box 6: Gross Proceeds
	4.00000	02/02/2015	03/01/2016	100.44	122.21	0.00	0.00	-21.77	Box 6: Gross Proceeds
Subtotals	218.00000			\$5,473.84	\$7,278.31	\$0.00	\$0.00	(\$1,804.47)	
SUNTRUST BANKS INC CUSIP: 867914103	1.00000	03/30/2012	03/01/2016	34.77	24.20	0.00	0.00	10.57	Box 6: Gross Proceeds
	4.00000	03/30/2012	03/01/2016	134.12	96.79	0.00	0.00	42.33	Box 6: Gross Proceeds
	10.00000	06/03/2013	03/01/2016	347.80	321.93	0.00	0.00	25.87	Box 6: Gross Proceeds
	5.00000	06/03/2013	03/01/2016	173.80	160.97	0.00	0.00	12.83	Box 6: Gross Proceeds
Subtotals	20.00000			\$695.49	\$603.89	\$0.00	\$0.00	\$91.60	
SUNTORY BEVERAGE & ADS FOOD LTD CUSIP: 86803T104	50.00000	12/09/2013	03/01/2016	1,072.97	801.00	0.00	0.00	271.97	Box 6: Gross Proceeds
	40.00000	06/27/2014	03/01/2016	858.39	771.47	0.00	0.00	86.92	Box 6: Gross Proceeds
Subtotals	90.00000			\$1,931.36	\$1,572.47	\$0.00	\$0.00	\$358.89	
SWISS RE LTD SPONSORED ADR CUSIP: 870886108	80.00000	06/06/2011	03/01/2016	1,801.56	1,190.00	0.00	0.00	611.56	Box 6: Gross Proceeds
	12.00000	11/16/2011	03/01/2016	270.23	157.50	0.00	0.00	112.73	Box 6: Gross Proceeds
	40.00000	08/01/2012	03/01/2016	900.79	630.84	0.00	0.00	269.95	Box 6: Gross Proceeds
Subtotals	132.00000			\$2,972.58	\$1,978.34	\$0.00	\$0.00	\$994.24	
SYNGENTA AG-ADR CUSIP: 87160A100	1.00000	07/09/2014	03/01/2016	81.35	74.50	0.00	0.00	6.85	Box 6: Gross Proceeds
	23.00000	07/09/2014	03/01/2016	1,871.10	1,713.55	0.00	0.00	157.55	Box 6: Gross Proceeds
	1.00000	08/29/2014	03/01/2016	81.35	72.13	0.00	0.00	9.22	Box 6: Gross Proceeds
	29.00000	08/29/2014	03/01/2016	2,359.22	2,091.88	0.00	0.00	267.34	Box 6: Gross Proceeds
Subtotals	54.00000			\$4,393.02	\$3,952.06	\$0.00	\$0.00	\$440.96	



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SYNCHRONY FINANCIAL CUSIP: 871658103	11 00000	09/08/2014	03/01/2016	300.95	280.17	0.00	0.00	20.78	Box 6: Gross Proceeds
	18 00000	09/08/2014	03/01/2016	492.83	458.46	0.00	0.00	34.37	Box 6: Gross Proceeds
	31 00000	09/08/2014	03/11/2016	885.26	789.57	0.00	0.00	95.69	Box 6: Gross Proceeds
	35 00000	09/08/2014	03/18/2016	991.91	891.45	0.00	0.00	100.46	Box 6: Gross Proceeds
Subtotals	95.00000			\$2,670.95	\$2,419.65	\$0.00	\$0.00	\$251.30	
SYSCO CORPORATION CUSIP: 871829107	26 00000	09/28/2012	03/01/2016	1,155.41	810.46	0.00	0.00	344.95	Box 6: Gross Proceeds
TJX COS INC NEW CUSIP: 872540109	12 00000	10/21/2011	03/01/2016	899.14	358.38	0.00	0.00	540.76	Box 6: Gross Proceeds
	4 00000	05/15/2014	03/01/2016	299.71	233.34	0.00	0.00	66.37	Box 6: Gross Proceeds
	26 00000	05/15/2014	03/01/2016	1,948.15	1,516.74	0.00	0.00	431.41	Box 6: Gross Proceeds
	3 00000	05/15/2014	09/12/2016	225.12	175.00	0.00	0.00	50.12	Box 6: Gross Proceeds
	4 00000	05/15/2014	09/12/2016	300.17	233.35	0.00	0.00	66.82	Box 6: Gross Proceeds
	5 00000	05/15/2014	09/12/2016	377.86	291.68	0.00	0.00	86.18	Box 6: Gross Proceeds
Subtotals	\$4.00000			\$4,050.15	\$2,808.49	\$0.00	\$0.00	\$1,241.66	
TECHNIP-SA-ADR CUSIP: 878546209	69 00000	03/21/2014	03/01/2016	861.45	1,744.13	0.00	0.00	-882.68	Box 6: Gross Proceeds
TELEFONICA S A SPON ADR CUSIP: 878382208	28 00000	10/12/2011	03/01/2016	289.54	591.28	0.00	0.00	-301.74	Box 6: Gross Proceeds
	13 00000	11/16/2011	03/01/2016	134.43	243.49	0.00	0.00	-109.06	Box 6: Gross Proceeds
	42 00000	06/17/2013	03/01/2016	434.31	576.40	0.00	0.00	-142.09	Box 6: Gross Proceeds
	48 00000	09/11/2013	03/01/2016	496.37	700.54	0.00	0.00	-204.17	Box 6: Gross Proceeds
Subtotals	131.00000			\$1,354.65	\$2,111.71	\$0.00	\$0.00	(\$757.06)	
TELENOR ASA-ADS CUSIP: 87944W105	6 00000	11/16/2011	03/01/2016	273.14	312.48	0.00	0.00	-39.34	Box 6: Gross Proceeds
	15 00000	05/31/2012	03/01/2016	682.86	661.56	0.00	0.00	21.30	Box 6: Gross Proceeds
Subtotals	21.00000			\$955.00	\$974.04	\$0.00	\$0.00	(\$18.04)	

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TESCO PLC SPON ADR CUSIP: 881575302	37.00000	04/20/2012	03/01/2016	287.67	580.02	0.00	0.00	-292.35	Box 6: Gross Proceeds
	40.00000	06/07/2013	03/01/2016	310.99	643.18	0.00	0.00	-332.19	Box 6: Gross Proceeds
	44.00000	07/10/2013	03/01/2016	342.09	686.85	0.00	0.00	-344.76	Box 6: Gross Proceeds
	14.00000	10/22/2013	03/01/2016	108.84	254.83	0.00	0.00	-145.99	Box 6: Gross Proceeds
Subtotals	135.00000			\$1,049.59	\$2,164.88	\$0.00	\$0.00	(\$1,115.29)	
TEVA PHARMACEUTICAL ADR INDS LTD CUSIP: 881624209	14.00000	10/06/2011	03/01/2016	780.07	530.57	0.00	0.00	249.50	Box 6: Gross Proceeds
	2.00000	11/16/2011	03/01/2016	111.43	81.04	0.00	0.00	30.39	Box 6: Gross Proceeds
	7.00000	08/06/2012	03/01/2016	390.03	282.81	0.00	0.00	107.22	Box 6: Gross Proceeds
	3.00000	10/31/2013	03/01/2016	167.16	111.94	0.00	0.00	55.22	Box 6: Gross Proceeds
	8.00000	10/31/2013	03/01/2016	445.76	298.52	0.00	0.00	147.24	Box 6: Gross Proceeds
	33.00000	10/31/2013	03/01/2016	1,838.76	1,231.40	0.00	0.00	607.36	Box 6: Gross Proceeds
	4.00000	11/20/2013	03/01/2016	222.88	160.00	0.00	0.00	62.88	Box 6: Gross Proceeds
	14.00000	11/20/2013	03/01/2016	780.08	560.00	0.00	0.00	220.08	Box 6: Gross Proceeds
	10.00000	05/20/2014	03/01/2016	557.20	496.91	0.00	0.00	60.29	Box 6: Gross Proceeds
	2.00000	05/20/2014	03/01/2016	111.44	99.38	0.00	0.00	12.06	Box 6: Gross Proceeds
	3.00000	06/26/2014	03/01/2016	167.16	157.24	0.00	0.00	9.92	Box 6: Gross Proceeds
	17.00000	06/26/2014	03/01/2016	947.24	891.05	0.00	0.00	56.19	Box 6: Gross Proceeds
	6.00000	07/07/2014	03/01/2016	334.32	326.04	0.00	0.00	8.28	Box 6: Gross Proceeds
	9.00000	11/05/2014	03/01/2016	501.48	520.06	0.00	0.00	18.58	Box 6: Gross Proceeds
	15.00000	11/05/2014	03/01/2016	835.80	866.78	0.00	0.00	-30.98	Box 6: Gross Proceeds
	8.00000	11/05/2014	03/01/2016	445.76	462.28	0.00	0.00	-16.52	Box 6: Gross Proceeds
	2.00000	11/05/2014	03/01/2016	111.44	115.57	0.00	0.00	-4.13	Box 6: Gross Proceeds
	3.00000	11/05/2014	03/01/2016	167.16	173.36	0.00	0.00	-6.20	Box 6: Gross Proceeds
	13.00000	11/05/2014	03/01/2016	724.35	751.21	0.00	0.00	-26.85	Box 6: Gross Proceeds
	1.00000	11/05/2014	03/01/2016	55.72	57.79	0.00	0.00	-2.07	Box 6: Gross Proceeds
	4.00000	11/05/2014	03/01/2016	222.88	231.14	0.00	0.00	-8.26	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1c)	Date Acquired (Box 1d)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1f)	Cost or Other Basis (Box 1g)	Accrued Market Discount (Box 1h)	Wash Sale Loss Disallowed (Box 1i)	Gain/Loss Amount	Additional Information
TEVA PHARMACEUTICAL ADR INDS LTD CUSIP: 881624209	2.00000	12/17/2014	03/01/2016	111.44	110.57	0.00	0.00	0.87	Box 6: Gross Proceeds
	9.00000	12/17/2014	03/01/2016	501.48	497.58	0.00	0.00	3.90	Box 6: Gross Proceeds
	2.00000	12/17/2014	03/01/2016	111.44	110.57	0.00	0.00	0.87	Box 6: Gross Proceeds
	19.00000	12/17/2014	08/05/2016	1,027.68	1,050.44	0.00	0.00	-22.76	Box 6: Gross Proceeds
	8.00000	12/17/2014	08/18/2016	423.75	442.29	0.00	0.00	-18.54	Box 6: Gross Proceeds
	11.00000	03/06/2015	08/18/2016	582.67	619.85	0.00	0.00	-37.18	Box 6: Gross Proceeds
	14.00000	03/26/2015	08/18/2016	741.58	879.28	0.00	0.00	-137.70	Box 6: Gross Proceeds
Subtotals	243.00000			\$13,418.17	\$12,115.67	\$0.00	\$0.00	\$1,302.50	
THERMO FISHER SCIENTIFIC INC CUSIP: 883556102	4.00000	11/16/2011	03/01/2016	540.42	195.64	0.00	0.00	344.78	Box 6: Gross Proceeds
	14.00000	01/28/2013	03/01/2016	1,891.50	991.85	0.00	0.00	899.65	Box 6: Gross Proceeds
	15.00000	05/09/2014	03/01/2016	2,026.60	1,750.95	0.00	0.00	275.65	Box 6: Gross Proceeds
Subtotals	33.00000			\$4,458.52	\$2,938.44	\$0.00	\$0.00	\$1,520.08	
THOR INDUSTRIES CUSIP: 885160101	7.00000	05/09/2014	03/01/2016	389.40	425.51	0.00	0.00	-37.11	Box 6: Gross Proceeds
TOLL BROTHERS CUSIP: 889478103	16.00000	10/10/2012	03/01/2016	452.15	549.73	0.00	0.00	-97.58	Box 6: Gross Proceeds
	9.00000	10/10/2012	07/08/2016	254.65	309.21	0.00	0.00	-54.56	Box 6: Gross Proceeds
	36.00000	01/29/2014	07/08/2016	1,018.62	1,309.31	0.00	0.00	-290.69	Box 6: Gross Proceeds
	27.00000	04/29/2015	07/08/2016	763.97	968.22	0.00	0.00	-204.25	Box 6: Gross Proceeds
Subtotals	88.00000			\$2,489.39	\$3,136.47	\$0.00	\$0.00	(\$647.08)	
TOYOTA MTR CORP ADR NEW 3/82 CUSIP: 892331307	2.00000	03/15/2011	03/01/2016	213.09	157.83	0.00	0.00	55.26	Box 6: Gross Proceeds
	2.00000	03/15/2011	03/01/2016	213.09	157.83	0.00	0.00	55.26	Box 6: Gross Proceeds
	2.00000	11/16/2011	03/01/2016	213.09	128.10	0.00	0.00	84.99	Box 6: Gross Proceeds
	8.00000	05/09/2013	03/01/2016	852.39	948.20	0.00	0.00	-95.81	Box 6: Gross Proceeds
	6.00000	01/09/2014	03/01/2016	639.30	721.58	0.00	0.00	-82.28	Box 6: Gross Proceeds

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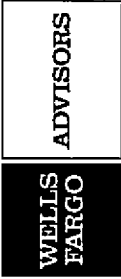
KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

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Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TOYOTA MTR CORP ADR NEW 3/82 CUSIP: 892331307	7.00000 1.00000	09/02/2014 09/02/2014	03/01/2016 03/01/2016	745.85 106.55	812.50 116.07	0.00 0.00	0.00 0.00	-66.65 -9.52	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	28.00000			\$2,983.36	\$3,042.11	\$0.00	\$0.00	(\$58.75)	
UNDER ARMOUR INC CLASS A CUSIP: 904311107	20.00000 13.00000 4.00000 9.00000 8.00000 9.00000 19.00000 5.00000 87.00000	06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014	03/01/2016 05/16/2016 05/17/2016 05/27/2016 06/02/2016 06/03/2016 06/03/2016 06/03/2016 06/06/2016	1,683.36 483.96 148.81 340.06 292.76 327.58 694.83 184.02 \$4,155.38	1,098.98 361.82 111.33 250.49 222.65 250.48 528.80 139.15 \$2,963.70	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	584.38 122.14 37.48 93.57 70.11 77.10 166.03 44.87 \$1,191.68	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals				421.42	271.18	0.00	0.00	150.24	Box 6: Gross Proceeds
UNDER ARMOUR INC CLASS C CUSIP: 904311206	12.00000 8.00000 8.00000 19.00000 10.00000 67.00000	06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014 06/05/2014	04/13/2016 04/18/2016 04/21/2016 05/16/2016 06/02/2016 06/02/2016	505.06 365.56 277.98 645.63 340.86 \$2,556.51	325.41 216.94 216.94 515.23 271.16 \$1,816.86	0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00	179.65 148.62 61.04 130.40 69.70 \$739.65	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals				2,200.96	1,625.43	0.00	0.00	575.53	Box 6: Gross Proceeds
UNILEVER N V CUSIP: 904784709	13.00000 3.00000 66.00000	05/06/2011 05/06/2011 11/16/2011	03/01/2016 03/01/2016 03/01/2016	572.24 132.06 \$2,905.26	422.61 99.33 \$2,147.37	0.00 0.00 0.00	0.00 0.00 0.00	149.63 32.73 \$757.89	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals				\$2,905.26	\$2,147.37	\$0.00	\$0.00	\$757.89	



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Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
UNITED OVERSEAS BK LTD SPONSORED ADR CUSIP: 911271302	105.00000	05/28/2014	03/01/2016	2,587.67	3,700.01	0.00	0.00	-1,112.34	Box 6: Gross Proceeds
UNITED TECHNOLOGIES CORP CUSIP: 913017109	8.00000	03/14/2011	06/30/2016	818.32	643.87	0.00	0.00	174.45	Box 6: Gross Proceeds
	8.00000	08/24/2011	06/30/2016	818.32	559.83	0.00	0.00	258.49	Box 6: Gross Proceeds
	2.00000	08/24/2011	10/31/2016	204.53	139.95	0.00	0.00	64.58	Box 6: Gross Proceeds
	10.00000	04/18/2012	10/31/2016	1,022.66	813.50	0.00	0.00	209.16	Box 6: Gross Proceeds
	6.00000	03/25/2013	10/31/2016	613.59	555.20	0.00	0.00	58.39	Box 6: Gross Proceeds
	18.00000	01/13/2015	10/31/2016	1,840.79	2,058.80	0.00	0.00	-218.01	Box 6: Gross Proceeds
	9.00000	03/11/2015	10/31/2016	920.41	1,067.53	0.00	0.00	-147.12	Box 6: Gross Proceeds
Subtotals	61.00000			\$6,238.62	\$5,838.68	\$0.00	\$0.00	\$399.94	
UNITEDHEALTH GROUP INC CUSIP: 91324P102	120.00000	07/19/2013	03/01/2016	14,527.92	8,577.06	0.00	0.00	5,950.86	Box 6: Gross Proceeds
VERIFONE SYSTEMS INC CUSIP: 92342Y109	5.00000	01/24/2013	03/01/2016	123.80	177.21	0.00	0.00	-53.41	Box 6: Gross Proceeds
	17.00000	01/24/2013	06/08/2016	340.06	602.50	0.00	0.00	-262.44	Box 6: Gross Proceeds
	9.00000	04/19/2013	06/08/2016	180.04	172.91	0.00	0.00	7.13	Box 6: Gross Proceeds
	53.00000	04/19/2013	06/16/2016	1,005.69	1,018.18	0.00	0.00	-12.49	Box 6: Gross Proceeds
Subtotals	84.00000			\$1,649.59	\$1,970.80	\$0.00	\$0.00	(\$321.21)	
VERIZON COMMUNICATIONS COM CUSIP: 92343V104	25.00000	03/13/2014	03/01/2016	1,285.22	1,158.44	0.00	0.00	126.78	Box 6: Gross Proceeds

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VISA INC CLASS A CUSIP: 92826CB39	3.00000	05/21/2013	10/17/2016	246.84	136.61	0.00	0.00	110.23	Box 6: Gross Proceeds
WABCO HOLDINGS INC CUSIP: 92927K102	4.00000	02/10/2011	03/01/2016	383.75	231.85	0.00	0.00	151.90	Box 6: Gross Proceeds
	2.00000	02/10/2011	07/09/2016	176.80	115.92	0.00	0.00	60.88	Box 6: Gross Proceeds
	9.00000	03/17/2011	07/08/2016	795.60	522.09	0.00	0.00	273.51	Box 6: Gross Proceeds
Subtotals	15.00000			\$1,356.15	\$869.86	\$0.00	\$0.00	\$486.29	
WELLS FARGO & CO NEW CUSIP: 949746101	28.00000	10/01/2014	03/01/2016	1,356.85	1,438.21	0.00	0.00	-81.36	Box 6: Gross Proceeds
	56.00000	10/01/2014	10/04/2016	2,448.99	2,876.42	0.00	0.00	-427.43	Box 6: Gross Proceeds
Subtotals	84.00000			\$3,805.84	\$4,314.63	\$0.00	\$0.00	(\$508.79)	
WESTERN UNION CO CUSIP: 959802109	55.00000	08/07/2014	03/01/2016	1,019.68	929.13	0.00	0.00	90.55	Box 6: Gross Proceeds
WHITEWAVE FOODS CO CLASS A CUSIP: 966244105	55.00000	09/29/2015	11/10/2016	2 970.39	2 194.68	0.00	0.00	775.71	Box 6: Gross Proceeds
WORTHINGTON INDS INC CUSIP: 981811102	19.00000	01/29/2015	03/01/2016	604.95	573.17	0.00	0.00	31.78	Box 6: Gross Proceeds
	9.00000	01/29/2015	03/23/2016	325.61	271.51	0.00	0.00	54.10	Box 6: Gross Proceeds
	23.00000	01/29/2015	03/24/2016	824.74	693.84	0.00	0.00	130.90	Box 6: Gross Proceeds
	29.00000	01/29/2015	05/27/2016	1,069.19	874.82	0.00	0.00	194.37	Box 6: Gross Proceeds
Subtotals	80.00000			\$2,824.49	\$2,413.34	\$0.00	\$0.00	\$411.15	
YAHOO JAPAN CORPORATION ADR CUSIP: 98433V102	416.00000	11/05/2014	03/01/2016	3,323.76	2,941.87	0.00	0.00	381.89	Box 6: Gross Proceeds
	32.00000	02/26/2015	03/01/2016	255.67	251.00	0.00	0.00	4.67	Box 6: Gross Proceeds
Subtotals	448.00000			\$3,579.43	\$3,192.87	\$0.00	\$0.00	\$386.56	



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ALLERGAN PLC CUSIP: G0177J108	3.00000	11/12/2015	11/28/2016	573.18	907.34	0.00	0.00	-334.16	Box 6: Gross Proceeds
	6.00000	11/12/2015	12/09/2016	1,138.71	1,814.68	0.00	0.00	-675.97	Box 6: Gross Proceeds
Subtotals	9.00000			\$1,711.89	\$2,722.02	\$0.00	\$0.00	(\$1,010.13)	
AMDOCS LTD CUSIP: G02602103	13.00000	12/11/2013	03/01/2016	746.70	522.95	0.00	0.00	223.75	Box 6: Gross Proceeds
ENDURANCE SPECIALTY HLDG CUSIP: G30397106	10.00000	11/16/2011	10/17/2016	919.26	376.92	0.00	0.00	542.34	Box 6: Gross Proceeds
INVESCO LTD CUSIP: G491BT108	1.00000	05/15/2013	03/01/2016	27.81	34.54	0.00	0.00	-6.73	Box 6: Gross Proceeds
	6.00000	05/15/2013	03/01/2016	166.86	207.26	0.00	0.00	-40.40	Box 6: Gross Proceeds
	1.00000	05/15/2013	03/01/2016	27.81	34.54	0.00	0.00	-6.73	Box 6: Gross Proceeds
	5.00000	05/15/2013	03/01/2016	139.05	172.71	0.00	0.00	-33.66	Box 6: Gross Proceeds
	1.00000	05/15/2013	03/01/2016	27.80	34.54	0.00	0.00	-6.74	Box 6: Gross Proceeds
	5.00000	06/18/2013	03/01/2016	138.95	172.55	0.00	0.00	-33.60	Box 6: Gross Proceeds
	10.00000	06/18/2013	03/01/2016	278.10	345.11	0.00	0.00	-67.01	Box 6: Gross Proceeds
	16.00000	06/18/2013	03/01/2016	442.87	552.16	0.00	0.00	-109.29	Box 6: Gross Proceeds
	5.00000	06/18/2013	03/01/2016	139.05	172.56	0.00	0.00	-33.51	Box 6: Gross Proceeds
	4.00000	06/18/2013	04/28/2016	126.72	138.04	0.00	0.00	-11.32	Box 6: Gross Proceeds
	1.00000	07/12/2013	04/28/2016	31.69	31.88	0.00	0.00	-0.19	Box 6: Gross Proceeds
	3.00000	07/12/2013	04/28/2016	95.04	95.63	0.00	0.00	-0.59	Box 6: Gross Proceeds
	7.00000	07/12/2013	04/28/2016	221.78	223.13	0.00	0.00	-1.35	Box 6: Gross Proceeds
	10.00000	07/12/2013	06/30/2016	254.08	318.76	0.00	0.00	-64.68	Box 6: Gross Proceeds
	29.00000	07/12/2013	06/30/2016	736.83	924.41	0.00	0.00	-187.58	Box 6: Gross Proceeds
	12.00000	08/01/2013	06/30/2016	304.89	393.24	0.00	0.00	-88.35	Box 6: Gross Proceeds
	7.00000	08/01/2013	06/30/2016	177.85	229.39	0.00	0.00	-51.54	Box 6: Gross Proceeds
	11.00000	08/01/2013	06/30/2016	279.48	360.47	0.00	0.00	-80.99	Box 6: Gross Proceeds
	1.00000	12/26/2013	06/30/2016	25.40	36.66	0.00	0.00	-11.26	Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP	Quantity Sold	Date Acquired	Date Sold or Disposed	Proceeds	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
INVERSCO LTD CUSIP: G491BT108									
	2.00000	12/26/2013	06/30/2016	50.81	73.32	0.00	0.00	-22.51	Box 6: Gross Proceeds
	5.00000	12/26/2013	06/30/2016	127.04	183.30	0.00	0.00	-56.26	Box 6: Gross Proceeds
	17.00000	12/26/2013	06/30/2016	431.94	623.22	0.00	0.00	-191.28	Box 6: Gross Proceeds
	36.00000	03/13/2014	06/30/2016	914.73	1,273.84	0.00	0.00	-359.11	Box 6: Gross Proceeds
	4.00000	03/13/2014	06/30/2016	101.63	141.54	0.00	0.00	-39.91	Box 6: Gross Proceeds
	14.00000	01/26/2015	07/08/2016	360.39	522.72	0.00	0.00	-162.33	Box 6: Gross Proceeds
	7.00000	01/26/2015	07/08/2016	180.19	261.36	0.00	0.00	-81.17	Box 6: Gross Proceeds
	16.00000	01/26/2015	07/08/2016	411.87	597.39	0.00	0.00	-185.52	Box 6: Gross Proceeds
	26.00000	01/26/2015	07/18/2016	719.12	970.75	0.00	0.00	-251.63	Box 6: Gross Proceeds
	11.00000	07/10/2015	07/18/2016	304.24	405.63	0.00	0.00	-101.39	Box 6: Gross Proceeds
	35.00000	07/10/2015	07/18/2016	968.07	1,290.66	0.00	0.00	-322.59	Box 6: Gross Proceeds
	8.00000	07/10/2015	11/23/2016	253.02	295.00	0.00	0.00	-41.98	Box 6: Gross Proceeds
	56.00000	07/10/2015	11/23/2016	1,771.21	2,065.05	0.00	0.00	-293.84	Box 6: Gross Proceeds
	9.00000	09/09/2015	11/23/2016	284.66	298.07	0.00	0.00	-11.41	Box 6: Gross Proceeds
	7.00000	09/09/2015	11/23/2016	221.40	230.27	0.00	0.00	8.87	Box 6: Gross Proceeds
Subtotals	388.00000			\$10,742.38	\$13,707.70	\$0.00	\$0.00	(\$2,965.32)	
MEDTRONIC PLC CUSIP: G5960L103									
	28.00000	01/27/2015	05/24/2016	2,268.24	2,147.01	0.00	0.00	121.23	Original Basis: 2,154.60 Box 6: Gross Proceeds
	10.00000	01/27/2015	06/15/2016	850.79	766.79	0.00	0.00	84.00	Original Basis: 769.50 Box 6: Gross Proceeds
	6.00000	01/27/2015	06/21/2016	507.65	460.08	0.00	0.00	47.57	Original Basis: 461.70 Box 6: Gross Proceeds
	0.58000	02/05/2015	06/21/2016	49.07	43.47	0.00	0.00	5.60	Original Basis: 43.62 Box 6: Gross Proceeds
	3.42000	02/05/2015	06/21/2016	289.36	256.28	0.00	0.00	33.08	Original Basis: 257.20 Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MEDTRONIC PLC CUSIP: G5960L103	52.00000	02/19/2015	06/21/2016	4,399.66	4,059.65	0.00	0.00	340.01	Original Basis: 4,073.75 Box 6: Gross Proceeds
	12.00000	03/16/2015	06/21/2016	1,015.31	928.17	0.00	0.00	87.14	Original Basis: 931.42 Box 6: Gross Proceeds
Subtotals	112.00000			\$9,380.08	\$8,661.45	\$0.00	\$0.00	\$718.63	
PERRIGO CO PLC CUSIP: G97822103	2.00000	12/19/2013	03/01/2016	251.77	310.68	0.00	0.00	-58.91	Box 6: Gross Proceeds
	19.00000	12/19/2013	04/28/2016	1,838.89	2,951.45	0.00	0.00	-1,112.56	Box 6: Gross Proceeds
Subtotals	21.00000			\$2,090.66	\$3,262.13	\$0.00	\$0.00	(\$1,171.47)	
XL GROUP PLC SHS CUSIP: G98280102	6.00000	07/09/2014	03/01/2016	212.57	199.29	0.00	0.00	13.28	Box 6: Gross Proceeds
	8.00000	11/17/2014	03/01/2016	283.44	276.84	0.00	0.00	6.60	Box 6: Gross Proceeds
	46.00000	11/17/2014	04/28/2016	1,516.34	1,591.82	0.00	0.00	-75.48	Box 6: Gross Proceeds
	54.00000	03/16/2015	04/28/2016	1,780.05	1,984.83	0.00	0.00	-204.78	Box 6: Gross Proceeds
Subtotals	114.00000			\$3,792.40	\$4,052.78	\$0.00	\$0.00	(\$260.38)	
CHUBB LTD CUSIP: H1467J104	7.00000	11/17/2014	03/01/2016	818.84	775.46	0.00	0.00	43.36	Box 6: Gross Proceeds
	7.00000	11/17/2014	11/11/2016	883.45	775.47	0.00	0.00	107.98	Box 6: Gross Proceeds
Subtotals	14.00000			\$1,702.29	\$1,550.95	\$0.00	\$0.00	\$151.34	
UBS GROUP AG CUSIP: H42097107	56.00000	02/06/2013	03/01/2016	886.17	961.37	0.00	0.00	-75.20	Box 6: Gross Proceeds
	22.00000	08/01/2013	03/01/2016	348.14	437.47	0.00	0.00	-89.33	Box 6: Gross Proceeds
Subtotals	78.00000			\$1,234.31	\$1,398.84	\$0.00	\$0.00	(\$164.53)	
CHECK POINT SOFTWARE TECH LTD CUSIP: M22465104	1.00000	08/17/2011	03/01/2016	84.70	57.46	0.00	0.00	27.24	Box 6: Gross Proceeds
	1.00000	08/17/2011	03/01/2016	84.71	57.46	0.00	0.00	27.25	Box 6: Gross Proceeds
	5.00000	08/17/2011	03/01/2016	423.55	287.32	0.00	0.00	136.23	Box 6: Gross Proceeds
	27.00000	08/17/2011	10/13/2016	2,047.07	1,551.48	0.00	0.00	495.59	Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CHECK POINT SOFTWARE TECH LTD CUSIP: M22465104	2.00000	11/16/2011	10/13/2016	151.63	115.02	0.00	0.00	36.61	Box 6: Gross Proceeds
Subtotals	36.00000			\$2,791.66	\$2,068.74	\$0.00	\$0.00	\$722.92	
LYONDELLBASELL INDUSTRIES AF SCA CUSIP: N53745100	4.00000	07/12/2012	03/01/2016	322.88	154.93	0.00	0.00	167.95	Box 6: Gross Proceeds
	1.00000	07/12/2012	03/01/2016	80.71	38.59	0.00	0.00	42.12	Box 6: Gross Proceeds
Subtotals	5.00000			\$403.59	\$193.52	\$0.00	\$0.00	\$210.07	
NXP SEMICONDUCTORS NV CUSIP: N6596X109	22.00000	09/09/2015	10/04/2016	2,283.55	1,978.96	0.00	0.00	304.59	Box 6: Gross Proceeds
	10.00000	10/29/2015	11/28/2016	991.30	743.97	0.00	0.00	247.33	Box 6: Gross Proceeds
	4.00000	10/29/2015	11/29/2016	396.22	297.59	0.00	0.00	98.63	Box 6: Gross Proceeds
	5.00000	10/29/2015	12/01/2016	495.31	371.97	0.00	0.00	123.34	Box 6: Gross Proceeds
Subtotals	41.00000			\$4,166.38	\$3,392.49	\$0.00	\$0.00	\$773.89	
QIAGEN N V CUSIP: N72482107	100.00000	07/07/2014	03/01/2016	2,170.45	2,458.88	0.00	0.00	-288.43	Box 6: Gross Proceeds
BROADCOM LTD CUSIP: Y09827109	2.00000	03/05/2013	03/01/2016	275.13	67.81	0.00	0.00	207.32	Box 6: Gross Proceeds
	18.00000	08/01/2013	03/01/2016	2,476.21	669.35	0.00	0.00	1,806.86	Box 6: Gross Proceeds
	4.00000	08/01/2013	03/01/2016	550.26	148.75	0.00	0.00	401.51	Box 6: Gross Proceeds
	4.00000	05/29/2014	03/01/2016	550.27	280.54	0.00	0.00	269.73	Box 6: Gross Proceeds
	4.00000	05/29/2014	03/01/2016	550.27	280.53	0.00	0.00	269.74	Box 6: Gross Proceeds
Subtotals	32.00000			\$4,402.14	\$1,446.98	\$0.00	\$0.00	\$2,955.16	
Totals				\$876,704.16	\$889,211.14	\$0.00	\$0.00	(\$12,506.98)	



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AKZO NOBEL N.V. ADR CUSIP: 010199305	10.00000	12/10/2009	03/01/2016	200.79	212.50	0.00	0.00	11.71	Box 6: Gross Proceeds
	18.00000	04/14/2010	03/01/2016	361.43	358.26	0.00	0.00	3.17	Box 6: Gross Proceeds
	18.00000	11/17/2010	03/01/2016	361.43	356.10	0.00	0.00	5.33	Box 6: Gross Proceeds
	33.00000	12/27/2010	03/01/2016	662.62	678.04	0.00	0.00	-15.42	Box 6: Gross Proceeds
Subtotals	79.00000			\$1,586.27	\$1,604.90	\$0.00	\$0.00	(\$18.63)	
ANALOG DEVICES INC CUSIP: 032654105	26.00000	12/10/2009	03/01/2016	1,415.67	789.07	0.00	0.00	626.60	Box 6: Gross Proceeds
	3.00000	01/12/2010	03/01/2016	163.34	91.31	0.00	0.00	72.03	Box 6: Gross Proceeds
Subtotals	29.00000			\$1,579.01	\$880.38	\$0.00	\$0.00	\$698.63	
AVIVA PLC SPONSORED ADR CUSIP: 05382A104	15.00000	12/10/2009	03/01/2016	189.80	177.30	0.00	0.00	12.50	Box 6: Gross Proceeds
	53.00000	12/29/2010	03/01/2016	670.65	656.67	0.00	0.00	13.98	Box 6: Gross Proceeds
Subtotals	68.00000			\$860.45	\$833.97	\$0.00	\$0.00	\$26.48	
AXA-ADR CUSIP: 054536107	75.00000	03/11/2009	03/01/2016	1,697.96	714.71	0.00	0.00	983.25	Box 6: Gross Proceeds
	26.00000	12/29/2010	03/01/2016	588.62	432.90	0.00	0.00	155.72	Box 6: Gross Proceeds
	2.00000	12/30/2010	03/01/2016	45.27	33.10	0.00	0.00	12.17	Box 6: Gross Proceeds
Subtotals	103.00000			\$2,331.85	\$1,180.71	\$0.00	\$0.00	\$1,151.14	
BP PLC SPONS ADR CUSIP: 055622104	40.00000	12/10/2009	03/01/2016	1,183.37	2,248.80	0.00	0.00	-1,065.43	Box 6: Gross Proceeds
BWX TECHNOLOGIES CO CUSIP: 05605H100	5.00000	03/11/2009	03/01/2016	161.54	45.82	0.00	0.00	115.72	Box 6: Gross Proceeds
	5.00000	12/10/2009	03/01/2016	161.55	81.19	0.00	0.00	80.36	Box 6: Gross Proceeds
Subtotals	10.00000			\$323.09	\$127.01	\$0.00	\$0.00	\$196.08	

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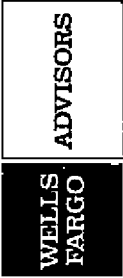
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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
BROADRIDGE FINANCIAL SOLUTIONS CUSIP: 11133T103	39.00000	03/11/2009	03/01/2016	2,238.16	663.25	0.00	0.00	1,584.91	Box 6: Gross Proceeds
BUNZL PLC SPON ADR CUSIP: 120738406	58.00000 20.00000	12/10/2009 12/29/2010	03/01/2016 03/01/2016	1,596.70 550.59	615.96 230.60	0.00 0.00	0.00 0.00	980.74 319.99	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	78.00000			\$2,147.29	\$846.56	\$0.00	\$0.00	\$1,300.73	
CK HUTCHISON HOLDINGS LTD UNSPON CUSIP: 12662Y100	30.00000 4.00000 125.00000 3.00000 20.00000 22.00000 1.00000 8.00000 27.00000 4.00000 14.00000	12/10/2009 12/10/2009 12/10/2009 12/10/2009 12/10/2009 12/10/2009 12/10/2009 12/10/2009 12/29/2010 12/29/2010 12/29/2010	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	367.79 49.03 1,532.47 36.77 245.19 269.71 12.25 98.07 331.01 49.03 171.63	184.74 24.68 764.16 18.89 157.89 135.75 6.30 50.39 259.50 38.44 128.89	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	183.05 24.35 768.31 17.88 87.30 133.96 5.95 47.68 71.51 10.59 42.74	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	258.00000			\$3,162.95	\$1,769.63	\$0.00	\$0.00	\$1,393.32	
CRH PLC ADR CUSIP: 12626K203	34.00000 19.00000	12/10/2009 08/27/2010	03/01/2016 03/01/2016	895.43 500.39	904.74 298.81	0.00 0.00	0.00 0.00	-9.31 201.58	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	53.00000			\$1,395.82	\$1,203.55	\$0.00	\$0.00	\$192.27	



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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
CANADIAN NATL RY CO CUSIP: 136375102	26.00000	03/11/2009	03/01/2016	1,532.92	423.41	0.00	0.00	1,109.51	Box 6: Gross Proceeds
	24.00000	03/11/2009	03/01/2016	1,415.00	380.84	0.00	0.00	1,024.16	Box 6: Gross Proceeds
	14.00000	03/11/2009	03/01/2016	825.42	227.99	0.00	0.00	597.43	Box 6: Gross Proceeds
	83.00000	06/18/2009	03/01/2016	4,893.59	1,743.45	0.00	0.00	3,150.14	Box 6: Gross Proceeds
	24.00000	06/18/2009	03/01/2016	1,415.01	504.13	0.00	0.00	910.88	Box 6: Gross Proceeds
Subtotals	171.00000			\$10,081.94	\$3,289.82	\$0.00	\$0.00	\$6,792.12	
CENOVUS ENERGY INC CUSIP: 15135U109	25.00000	04/12/2010	03/01/2016	294.84	718.00	0.00	0.00	-423.16	Box 6: Gross Proceeds
CHINA TELECOM CORP LTD ADR CUSIP: 169426103	12.00000	12/10/2009	03/01/2016	598.66	522.60	0.00	0.00	76.06	Box 6: Gross Proceeds
	3.00000	05/28/2010	03/01/2016	149.66	138.42	0.00	0.00	11.24	Box 6: Gross Proceeds
	7.00000	12/29/2010	03/01/2016	349.22	358.68	0.00	0.00	-9.46	Box 6: Gross Proceeds
Subtotals	22.00000			\$1,097.54	\$1,019.70	\$0.00	\$0.00	\$77.84	
CHURCH & DWIGHT INC CUSIP: 171340102	5.00000	06/17/2009	03/01/2016	454.44	130.14	0.00	0.00	324.30	Box 6: Gross Proceeds
	11.00000	06/17/2009	10/13/2016	524.10	143.15	0.00	0.00	380.95	Box 6: Gross Proceeds
Subtotals	16.00000			\$978.54	\$273.29	\$0.00	\$0.00	\$705.25	
CLOROX COMPANY CUSIP: 189054109	3.00000	10/28/2009	03/01/2016	374.96	173.97	0.00	0.00	200.99	Box 6: Gross Proceeds
	8.00000	10/28/2009	03/01/2016	999.89	463.92	0.00	0.00	535.97	Box 6: Gross Proceeds
	10.00000	12/10/2009	03/01/2016	1,249.87	619.44	0.00	0.00	630.43	Box 6: Gross Proceeds
Subtotals	21.00000			\$2,624.72	\$1,257.33	\$0.00	\$0.00	\$1,367.39	
COCA COLA AMATIL LTD SPONSORED ADR CUSIP: 191085208	80.00000	12/10/2009	03/01/2016	477.58	808.00	0.00	0.00	-330.42	Box 6: Gross Proceeds

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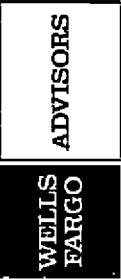
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP Box 1a	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
COLGATE-PALMOLIVE CO CUSIP: 194162103	130.00000	03/10/2009	03/01/2016	8,717.99	3,601.65	0.00	0.00	5,116.34	Box 6: Gross Proceeds
	8.00000	12/10/2009	03/01/2016	535.49	331.52	0.00	0.00	204.97	Box 6: Gross Proceeds
	32.00000	12/29/2010	03/01/2016	2,145.97	1,292.16	0.00	0.00	853.81	Box 6: Gross Proceeds
Subtotals	170.00000			\$11,400.45	\$5,225.33	\$0.00	\$0.00	\$6,175.12	
COMPASS GRP PLC SPON ADR 1 RCPT= 1 ORD SHRS CUSIP: 20449X302	170.00000	12/10/2009	03/01/2016	3,065.03	1,335.39	0.00	0.00	1,729.64	Box 6: Gross Proceeds
	31.00000	12/29/2010	03/01/2016	558.91	318.58	0.00	0.00	240.33	Box 6: Gross Proceeds
Subtotals	201.00000			\$3,623.94	\$1,653.97	\$0.00	\$0.00	\$1,969.97	
CREDIT AGRICOLE SA -UNSP ADR CUSIP: 225313105	94.00000	12/29/2010	03/01/2016	493.01	537.84	0.00	0.00	-104.83	Box 6: Gross Proceeds
DBS GROUP HOLDINGS SPON ADR CUSIP: 23304Y100	7.00000	12/10/2009	03/01/2016	280.52	295.75	0.00	0.00	-15.23	Box 6: Gross Proceeds
	36.00000	12/10/2009	04/04/2016	1,616.49	1,521.00	0.00	0.00	95.49	Box 6: Gross Proceeds
	9.00000	12/29/2010	04/04/2016	404.12	403.11	0.00	0.00	1.01	Box 6: Gross Proceeds
Subtotals	52.00000			\$2,301.13	\$2,219.86	\$0.00	\$0.00	\$81.27	
DENSO CORPORATION ADR CUSIP: 24872B100	1.00000	12/10/2009	04/04/2016	18.43	14.84	0.00	0.00	3.59	Box 6: Gross Proceeds
	6.00000	12/27/2010	04/04/2016	110.62	102.90	0.00	0.00	7.72	Box 6: Gross Proceeds
	14.00000	12/29/2010	04/04/2016	258.11	242.48	0.00	0.00	15.63	Box 6: Gross Proceeds
Subtotals	21.00000			\$387.16	\$360.22	\$0.00	\$0.00	\$26.94	
DIGITAL REALTY TRUST INC CUSIP: 25386B103	5.00000	12/10/2009	03/01/2016	406.39	238.61	0.00	0.00	167.78	Box 6: Gross Proceeds



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KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ENI S P A ADR CUSIP: 26874R108	35 00000	12/10/2009	03/01/2016	1,020.57	1,721.30	0.00	0.00	-700.73	Box 6: Gross Proceeds
ENTERGY CORP NEW CUSIP: 29364G103	12 00000	03/11/2009	03/01/2016	866.26	731.76	0.00	0.00	134.50	Box 6: Gross Proceeds
ESSEX PROPERTY TRUST INC REIT CUSIP: 297178105	4 00000	12/10/2009	03/01/2016	850.02	319.70	0.00	0.00	540.32	Box 6: Gross Proceeds
GENUINE PARTS CO COM CUSIP: 372460105	14 00000	12/10/2009	03/01/2016	1,294.97	529.86	0.00	0.00	765.11	Box 6: Gross Proceeds
GLAXOSMITHKLINE PLC-ADR CUSIP: 37733W105	3 00000	12/29/2010	03/01/2016	118.63	117.72	0.00	0.00	0.91	Box 6: Gross Proceeds
GRUPO TELEVISAO S A DE CV GLOBAL DEP RCPT CUSIP: 40049J206	39 00000	12/10/2009	03/01/2016	1,033.87	817.34	0.00	0.00	216.53	Box 6: Gross Proceeds
HCP INC CUSIP: 40414L109	66 00000	03/11/2009	03/01/2016	2,011.63	1,049.57	0.00	0.00	962.06	Box 6: Gross Proceeds
HASBRO INC CUSIP: 418056107	33 00000	03/11/2009	03/01/2016	2,548.20	725.67	0.00	0.00	1,822.53	Box 6: Gross Proceeds
IND & COMM BK OF UNS ADR CUSIP: 455807107	46 00000 42 00000 16 00000	01/29/2010 03/10/2010 11/01/2010	03/01/2016 03/01/2016 03/01/2016	467.58 426.92 162.63	686.06 650.59 245.23	0.00 0.00 0.00	0.00 0.00 0.00	-218.50 -223.67 -82.60	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	104 00000			\$1,057.13	\$1,581.90	\$0.00	\$0.00	(\$524.77)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ITC HOLDINGS CORP CHG CUSIP: 465685105	8.00000	12/10/2009	03/01/2016	330.95	129.70	0.00	0.00	201.25	Box 6: Gross Proceeds
JPMORGAN CHASE & CO CUSIP: 46625H100	14.00000	12/29/2010	03/01/2016	822.34	594.44	0.00	0.00	227.90	Box 6: Gross Proceeds
JULIUS BAER GROUP LTD SPONSORED ADR CUSIP: 48137C108	88.00000 64.00000	06/03/2010 07/06/2010	03/01/2016 03/01/2016	732.58 532.79	518.07 381.42	0.00 0.00	0.00 0.00	214.51 151.37	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	152.00000			\$1,265.37	\$899.49	\$0.00	\$0.00	\$365.88	
KINGFISHER PLC-SPONS ADR (NEW) CUSIP: 495724403	105.00000 9.00000 50.00000 1.00000 61.00000 17.00000 39.00000 74.00000 36.00000 42.00000 1.00000	12/10/2009 06/16/2010 06/24/2010 06/24/2010 06/24/2010 07/06/2010 07/06/2010 09/23/2010 12/29/2010 12/29/2010 12/29/2010	03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016 03/01/2016	1,013.22 86.84 482.49 9.64 588.63 164.04 376.34 714.08 347.39 405.29 9.64	798.00 60.39 337.53 6.75 411.78 111.32 255.37 520.44 294.48 343.56 8.15	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	215.22 26.45 144.96 2.89 176.85 52.72 120.97 193.64 52.91 61.73 1.46	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	435.00000			\$4,197.60	\$3,147.80	\$0.00	\$0.00	\$1,049.80	
KOMATSU LTD SPON ADR NEW CUSIP: 500458401	35.00000 27.00000 7.00000	12/10/2009 04/30/2010 12/29/2010	03/01/2016 03/01/2016 03/01/2016	539.16 415.92 107.83	722.31 551.34 212.03	0.00 0.00 0.00	0.00 0.00 0.00	-183.15 -135.42 -104.20	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	69.00000			\$1,062.91	\$1,485.68	\$0.00	\$0.00	(\$422.77)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
KONICA MINOLTA INC UNSPONSORED ADR CUSIP: 50048B104	5 00000	12/10/2009	03/01/2016	82.54	102.75	0.00	0.00	-20.21	Box 6: Gross Proceeds
	30 00000	07/02/2010	03/01/2016	495.29	582.69	0.00	0.00	-87.40	Box 6: Gross Proceeds
	16 00000	12/29/2010	03/01/2016	264.15	338.40	0.00	0.00	-74.25	Box 6: Gross Proceeds
Subtotals	51.00000			\$841.98	\$1,023.84	\$0.00	\$0.00	(\$181.86)	
LIBERTY BROADBAND CORP COM SER A CUSIP: 530307107	2 00000	12/13/2010	03/01/2016	102.05	34.87	0.00	0.00	68.19	Box 6: Gross Proceeds
LIBERTY BROADBAND CORP COM SER C CUSIP: 530307305	5 00000	12/13/2010	03/01/2016	257.42	94.00	0.00	0.00	163.42	Box 6: Gross Proceeds
	5 00000	12/13/2010	03/01/2016	257.42	93.99	0.00	0.00	163.43	Box 6: Gross Proceeds
	1 00000	12/13/2010	03/01/2016	51.53	18.79	0.00	0.00	32.74	Box 6: Gross Proceeds
	1 00000	03/24/2011	03/01/2016	51.53	27.60	0.00	0.00	23.93	Box 6: Gross Proceeds
	1 00000	03/24/2011	03/01/2016	51.53	27.59	0.00	0.00	23.94	Box 6: Gross Proceeds
Subtotals	13.00000			\$689.43	\$261.97	\$0.00	\$0.00	\$407.46	
LIBERTY MEDIA CORP CHG CLASS A CUSIP: 531229102	6 00000	12/13/2010	03/01/2016	219.78	86.29	0.00	0.00	133.49	Box 6: Gross Proceeds
LIBERTY MEDIA CORP CHG CLASS C CUSIP: 531229300	11 00000	12/13/2010	03/01/2016	394.02	151.07	0.00	0.00	242.95	Box 6: Gross Proceeds
	2 00000	12/13/2010	03/01/2016	71.60	27.47	0.00	0.00	44.13	Box 6: Gross Proceeds
	1 00000	12/13/2010	03/01/2016	35.80	13.73	0.00	0.00	22.07	Box 6: Gross Proceeds
	1 00000	12/13/2010	03/01/2016	35.80	13.74	0.00	0.00	22.06	Box 6: Gross Proceeds
	1 00000	12/13/2010	03/01/2016	35.81	13.73	0.00	0.00	22.08	Box 6: Gross Proceeds
	18 00000	12/13/2010	03/01/2016	644.44	247.20	0.00	0.00	397.24	Box 6: Gross Proceeds
Subtotals	34.00000			\$1,217.47	\$466.94	\$0.00	\$0.00	\$750.53	

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
LIBERTY MEDIA CORP DEL COM SER A BRAVES GROUP CUSIP: 531229706	0.22174	12/13/2010	04/18/2016	4.68	2.25	0.00	0.00	2.43	Box 6: Gross Proceeds Cash in Lieu
	0.06522	03/24/2011	04/18/2016	1.37	0.78	0.00	0.00	0.59	Box 6: Gross Proceeds Cash in Lieu
	0.01304	03/24/2011	04/18/2016	0.28	0.17	0.00	0.00	0.11	Box 6: Gross Proceeds Cash in Lieu
	1.47826	12/13/2010	04/21/2016	27.15	15.01	0.00	0.00	12.14	Box 6: Gross Proceeds Cash in Lieu
	0.43478	03/24/2011	04/21/2016	8.00	5.18	0.00	0.00	2.82	Box 6: Gross Proceeds Cash in Lieu
	0.08696	03/24/2011	04/21/2016	1.59	1.11	0.00	0.00	0.48	Box 6: Gross Proceeds Cash in Lieu
Subtotals	2.30000			\$43.07	\$24.50	\$0.00	\$0.00	\$18.57	
LIBERTY MEDIA GRP CLASS C CUSIP: 531229854	3.00000	12/13/2010	05/27/2016	57.12	24.30	0.00	0.00	32.82	Box 6: Gross Proceeds Cash in Lieu
	2.50000	03/24/2011	05/27/2016	47.60	23.78	0.00	0.00	23.82	Box 6: Gross Proceeds Cash in Lieu
	0.50000	03/24/2011	05/27/2016	9.52	5.11	0.00	0.00	4.41	Box 6: Gross Proceeds Cash in Lieu
Subtotals	6.00000			\$114.24	\$53.19	\$0.00	\$0.00	\$61.05	
LIBERTY MEDIA GRP COM SER A MEDIA GROUP CUSIP: 531229870	0.55435	12/13/2010	04/18/2016	10.76	4.65	0.00	0.00	6.11	Box 6: Gross Proceeds Cash in Lieu
	0.03261	03/24/2011	04/18/2016	0.63	0.35	0.00	0.00	0.28	Box 6: Gross Proceeds Cash in Lieu
	0.16304	03/24/2011	04/18/2016	3.17	1.61	0.00	0.00	1.56	Box 6: Gross Proceeds Cash in Lieu
	3.69565	12/13/2010	06/14/2016	68.29	31.03	0.00	0.00	37.26	Box 6: Gross Proceeds Cash in Lieu
	0.21739	03/24/2011	06/14/2016	4.01	2.30	0.00	0.00	1.71	Box 6: Gross Proceeds Cash in Lieu
	1.08696	03/24/2011	06/14/2016	20.10	10.71	0.00	0.00	9.39	Box 6: Gross Proceeds Cash in Lieu
Subtotals	5.75000			\$106.96	\$50.65	\$0.00	\$0.00	\$56.31	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1b)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP 531229888	0.04615	12/13/2010	04/18/2016	0.91	0.37	0.00	0.00	0.54	Box 6: Gross Proceeds Cash in Lieu
	0.03846	03/24/2011	04/18/2016	0.76	0.36	0.00	0.00	0.40	Box 6: Gross Proceeds Cash in Lieu
	0.00769	03/24/2011	04/18/2016	0.15	0.08	0.00	0.00	0.07	Box 6: Gross Proceeds Cash in Lieu
	1.15385	12/13/2010	04/21/2016	20.01	9.29	0.00	0.00	10.72	Box 6: Gross Proceeds
	0.19231	03/24/2011	04/21/2016	3.33	1.94	0.00	0.00	1.39	Box 6: Gross Proceeds
	0.96154	03/24/2011	04/21/2016	16.68	9.10	0.00	0.00	7.58	Box 6: Gross Proceeds
Subtotals	2.40000			\$41.84	\$21.14	\$0.00	\$0.00	\$20.70	
MACERICH CO REIT CUSIP 554382101	4.00000	05/26/2010	03/01/2016	319.21	152.12	0.00	0.00	167.09	Box 6: Gross Proceeds
MARKS & SPENCER PLC-ADR CUSIP 570912105	32.00000	12/10/2009	03/01/2016	383.35	418.88	0.00	0.00	-35.53	Box 6: Gross Proceeds
	26.00000	05/10/2010	03/01/2016	311.47	272.28	0.00	0.00	39.19	Box 6: Gross Proceeds
	30.00000	12/16/2010	03/01/2016	359.39	357.30	0.00	0.00	2.09	Box 6: Gross Proceeds
Subtotals	88.00000			\$1,054.21	\$1,048.46	\$0.00	\$0.00	\$5.75	
MATTEL INCORPORATED CUSIP 577081102	37.00000	03/11/2009	03/01/2016	1,192.48	302.73	0.00	0.00	889.75	Box 6: Gross Proceeds
	17.00000	03/10/2010	03/01/2016	547.90	343.70	0.00	0.00	204.20	Box 6: Gross Proceeds
Subtotals	54.00000			\$1,740.38	\$646.43	\$0.00	\$0.00	\$1,093.95	
MCKESSON CORPORATION CUSIP 58159Q103	1.00000	04/07/2010	03/01/2016	156.17	66.35	0.00	0.00	89.82	Box 6: Gross Proceeds
	1.00000	04/07/2010	03/01/2016	156.16	66.34	0.00	0.00	89.82	Box 6: Gross Proceeds
	1.00000	04/07/2010	03/01/2016	156.17	66.34	0.00	0.00	89.83	Box 6: Gross Proceeds
	1.00000	04/07/2010	03/01/2016	156.16	66.34	0.00	0.00	89.82	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
MCKESSON CORPORATION CUSIP: 58155Q103	1.00000	05/17/2010	03/01/2016	156.17	67.94	0.00	0.00	88.23	Box 6: Gross Proceeds
	8.00000	05/17/2010	04/22/2016	1,423.45	543.44	0.00	0.00	880.01	Box 6: Gross Proceeds
	1.00000	11/01/2010	04/22/2016	177.94	67.09	0.00	0.00	110.85	Box 6: Gross Proceeds
	9.00000	11/01/2010	07/20/2016	1,790.64	603.76	0.00	0.00	1,186.88	Box 6: Gross Proceeds
	5.00000	11/01/2010	10/31/2016	636.75	335.41	0.00	0.00	301.34	Box 6: Gross Proceeds
Subtotals	28.00000			\$4,809.61	\$1,883.01	\$0.00	\$0.00	\$2,926.60	
MERCK KGAA ADR CUSIP: 589339100	44.00000	12/10/2009	03/01/2016	1,284.55	691.90	0.00	0.00	592.65	Box 6: Gross Proceeds
	12.00000	01/25/2010	03/01/2016	350.33	187.20	0.00	0.00	163.13	Box 6: Gross Proceeds
	22.00000	12/29/2010	03/01/2016	642.27	294.03	0.00	0.00	348.24	Box 6: Gross Proceeds
Subtotals	78.00000			\$2,277.15	\$1,173.13	\$0.00	\$0.00	\$1,104.02	
MICHELIN (CGDE) UNSP ADR CUSIP: 59410T106	74.00000	12/10/2009	03/01/2016	1,370.08	1,150.70	0.00	0.00	219.38	Box 6: Gross Proceeds
MICROCHIP TECHNOLOGY INC CUSIP: 595017104	4.00000	12/10/2009	03/01/2016	180.00	82.38	0.00	0.00	97.62	Box 6: Gross Proceeds
NEW YORK COMMUNITY BANCORP INC CUSIP: 649445103	15.00000	12/10/2009	03/01/2016	233.84	195.60	0.00	0.00	38.24	Box 6: Gross Proceeds
NISOURCE INC CUSIP: 65473P105	23.00000	03/11/2009	03/01/2016	495.19	70.59	0.00	0.00	424.60	Box 6: Gross Proceeds
	21.00000	03/11/2009	03/01/2016	451.07	64.45	0.00	0.00	386.62	Box 6: Gross Proceeds
	2.00000	03/11/2009	03/01/2016	43.06	6.14	0.00	0.00	36.92	Box 6: Gross Proceeds
	1.00000	03/11/2009	03/01/2016	21.52	3.07	0.00	0.00	18.45	Box 6: Gross Proceeds
Subtotals	47.00000			\$1,010.84	\$144.25	\$0.00	\$0.00	\$866.59	



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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 2-4)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1-1)	Proceeds (Box 1g)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
NOVARTIS AG SPON ADR CUSIP: 66987V109	5.00000	05/20/2010	04/01/2016	355.03	224.50	0.00	0.00	130.53	Box 6: Gross Proceeds
	3.00000	05/20/2010	04/01/2016	213.02	134.70	0.00	0.00	78.32	Box 6: Gross Proceeds
	9.00000	05/25/2010	04/01/2016	639.07	394.99	0.00	0.00	244.08	Box 6: Gross Proceeds
	10.00000	12/01/2010	04/01/2016	710.08	539.83	0.00	0.00	170.25	Box 6: Gross Proceeds
Subtotals	27.00000			\$1,917.20	\$1,294.02	\$0.00	\$0.00	\$623.18	
NOVO NORDISK A S ADR CUSIP: 670100205	9.00000	12/10/2009	08/08/2016	423.92	121.56	0.00	0.00	302.36	Box 6: Gross Proceeds
	13.00000	12/10/2009	08/09/2016	627.08	175.58	0.00	0.00	451.50	Box 6: Gross Proceeds
	12.00000	12/10/2009	08/12/2016	552.17	162.06	0.00	0.00	390.11	Box 6: Gross Proceeds
	6.00000	12/29/2010	08/12/2016	276.09	134.31	0.00	0.00	141.78	Box 6: Gross Proceeds
	4.00000	12/29/2010	08/15/2016	186.61	89.53	0.00	0.00	97.08	Box 6: Gross Proceeds
Subtotals	44.00000			\$2,065.87	\$683.04	\$0.00	\$0.00	\$1,382.83	
OMNICOM GROUP CUSIP: 681919106	8.00000	12/29/2010	03/01/2016	632.14	371.10	0.00	0.00	261.04	Box 6: Gross Proceeds
PUBLICIS GROUP - S A NEW SPONS ADR CUSIP: 74463M106	20.00000	11/26/2010	03/01/2016	316.49	229.19	0.00	0.00	87.30	Box 6: Gross Proceeds
	32.00000	11/29/2010	03/01/2016	506.38	362.95	0.00	0.00	143.43	Box 6: Gross Proceeds
	52.00000	11/30/2010	03/01/2016	822.88	585.83	0.00	0.00	237.05	Box 6: Gross Proceeds
Subtotals	104.00000			\$1,645.75	\$1,177.97	\$0.00	\$0.00	\$467.78	
RELX PLC SPON CUSIP: 759530108	108.00000	12/10/2009	03/01/2016	1,934.45	833.44	0.00	0.00	1,101.01	Box 6: Gross Proceeds
	24.00000	12/29/2010	03/01/2016	429.87	198.96	0.00	0.00	230.91	Box 6: Gross Proceeds
Subtotals	132.00000			\$2,364.32	\$1,032.40	\$0.00	\$0.00	\$1,331.92	

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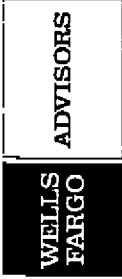
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
REXAM PLC NEW ADRCHG SPONSORED ADR 2014 CUSIP: 761655604	11.00000	12/10/2009	03/01/2016	469.30	344.70	0.00	0.00	124.60	Box 6: Gross Proceeds
	12.00000	01/10/2011	03/01/2016	511.97	432.94	0.00	0.00	79.03	Box 6: Gross Proceeds
	2.00000	07/29/2011	03/01/2016	85.32	91.98	0.00	0.00	-6.66	Box 6: Gross Proceeds
	5.00000	11/16/2011	03/01/2016	213.33	103.95	0.00	0.00	109.37	Box 6: Gross Proceeds
Subtotals	30.00000			\$1,279.92	\$973.58	\$0.00	\$0.00	\$306.34	
ROYAL DUTCH SHELL PLC ADR B CUSIP: 780259107	18.00000	12/10/2009	03/01/2016	835.45	1,029.42	0.00	0.00	-193.97	Box 6: Gross Proceeds
	1.00000	12/10/2009	03/01/2016	46.41	57.19	0.00	0.00	-10.78	Box 6: Gross Proceeds
	3.00000	12/10/2009	03/01/2016	139.24	171.57	0.00	0.00	-32.33	Box 6: Gross Proceeds
	2.00000	12/10/2009	03/01/2016	92.82	114.38	0.00	0.00	-21.56	Box 6: Gross Proceeds
	8.00000	04/16/2010	03/01/2016	371.31	470.88	0.00	0.00	-99.57	Box 6: Gross Proceeds
	6.00000	08/03/2010	03/01/2016	278.48	337.05	0.00	0.00	-58.57	Box 6: Gross Proceeds
	13.00000	08/04/2010	03/01/2016	603.38	725.11	0.00	0.00	-121.73	Box 6: Gross Proceeds
	12.00000	12/27/2010	03/01/2016	556.96	790.80	0.00	0.00	-233.84	Box 6: Gross Proceeds
	11.00000	12/28/2010	03/01/2016	510.55	729.19	0.00	0.00	-218.64	Box 6: Gross Proceeds
Subtotals	74.00000			\$3,434.60	\$4,425.59	\$0.00	\$0.00	(\$990.99)	
SEMPRA ENERGY CUSIP: 816651109	5.00000	04/30/2010	03/01/2016	483.32	247.12	0.00	0.00	236.20	Box 6: Gross Proceeds
	1.00000	04/30/2010	03/01/2016	96.66	49.42	0.00	0.00	47.24	Box 6: Gross Proceeds
	3.00000	04/30/2010	03/01/2016	289.99	148.27	0.00	0.00	141.72	Box 6: Gross Proceeds
	4.00000	04/30/2010	03/11/2016	396.92	197.68	0.00	0.00	199.24	Box 6: Gross Proceeds
	10.00000	04/30/2010	03/11/2016	992.30	494.22	0.00	0.00	498.08	Box 6: Gross Proceeds
	4.00000	12/29/2010	03/11/2016	396.93	209.40	0.00	0.00	187.53	Box 6: Gross Proceeds
	7.00000	12/29/2010	10/11/2016	719.76	366.45	0.00	0.00	353.21	Box 6: Gross Proceeds
Subtotals	34.00000			\$3,375.78	\$1,712.56	\$0.00	\$0.00	\$1,663.22	



WELLS FARGO ADVISORS

2016 Year-End Account Summary

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Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
SIEMENS A G - ADR CUSIP: 826197501	3.00000	12/29/2010	03/01/2016	284.78	361.31	0.00	0.00	-76.53	Box 6: Gross Proceeds
SIGNATURE BANK NEW YORK CUSIP: 82669G104	2.00000	08/05/2009	03/01/2016	267.57	60.47	0.00	0.00	207.10	Box 6: Gross Proceeds
	3.00000	11/03/2009	03/01/2016	401.37	93.18	0.00	0.00	308.19	Box 6: Gross Proceeds
Subtotals	5.00000			\$868.94	\$153.65	\$0.00	\$0.00	\$515.29	
SINGAPORE TELECOMMUNICATIONS-ADR CUSIP: 82929R304	8.00000	12/10/2009	03/01/2016	212.88	172.40	0.00	0.00	40.48	Box 6: Gross Proceeds
SKYWORKS SOLUTIONS INC CUSIP: 83088M102	6.00000	11/18/2010	03/01/2016	419.99	143.64	0.00	0.00	276.35	Box 6: Gross Proceeds
SMITH & NEPHEW PLC ADR CUSIP: 83175M205	2.00000	03/11/2009	03/01/2016	68.54	24.90	0.00	0.00	41.64	Box 6: Gross Proceeds
STERICYCLE INC CUSIP: 858912108	5.00000	12/10/2009	03/01/2016	587.69	288.10	0.00	0.00	299.59	Box 6: Gross Proceeds
	9.00000	12/10/2009	04/28/2016	1,104.29	518.58	0.00	0.00	585.71	Box 6: Gross Proceeds
	12.00000	12/10/2009	08/12/2016	1,043.91	691.44	0.00	0.00	352.47	Box 6: Gross Proceeds
Subtotals	26.00000			\$2,735.89	\$1,498.12	\$0.00	\$0.00	\$1,237.77	
TJX COS INC NEW CUSIP: 872540109	26.00000	12/29/2010	03/01/2016	1,948.14	580.58	0.00	0.00	1,367.56	Box 6: Gross Proceeds
TELEFONICA S A SPON ADR CUSIP: 879382208	57.00000	03/11/2009	03/01/2016	589.42	1,033.98	0.00	0.00	-444.56	Box 6: Gross Proceeds
	15.00000	12/10/2009	03/01/2016	155.11	423.95	0.00	0.00	-268.84	Box 6: Gross Proceeds
	15.00000	12/29/2010	03/01/2016	155.11	340.30	0.00	0.00	-185.19	Box 6: Gross Proceeds
Subtotals	87.00000			\$899.64	\$1,798.23	\$0.00	\$0.00	(\$898.59)	

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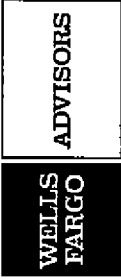
Account Number: 3693-8946

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
TELENOR ASA-ADS CUSIP: 87944W105	18.00000	12/10/2009	03/01/2016	819.43	773.10	0.00	0.00	46.33	Box 6: Gross Proceeds
	7.00000	12/29/2010	03/01/2016	318.66	337.05	0.00	0.00	-18.39	Box 6: Gross Proceeds
Subtotals	25.00000			\$1,138.09	\$1,110.15	\$0.00	\$0.00	\$27.94	
TESCO PLC SPON ADR CUSIP: 881575302	68.00000	12/10/2009	03/01/2016	528.68	1,396.72	0.00	0.00	-868.04	Box 6: Gross Proceeds
	11.00000	12/10/2009	03/01/2016	85.52	225.94	0.00	0.00	-140.42	Box 6: Gross Proceeds
	14.00000	12/29/2010	03/01/2016	108.84	284.20	0.00	0.00	-175.36	Box 6: Gross Proceeds
Subtotals	93.00000			\$723.04	\$1,906.86	\$0.00	\$0.00	(\$1,183.82)	
TEVA PHARMACEUTICAL ADR INDS LTD CUSIP: 881624209	18.00000	12/10/2009	03/01/2016	1,002.95	956.09	0.00	0.00	46.86	Box 6: Gross Proceeds
	4.00000	12/29/2010	03/01/2016	222.87	207.96	0.00	0.00	14.91	Box 6: Gross Proceeds
Subtotals	22.00000			\$1,225.82	\$1,164.05	\$0.00	\$0.00	\$61.77	
THERMO FISHER SCIENTIFIC INC CUSIP: 883556102	8.00000	03/10/2009	03/01/2016	1,080.85	270.48	0.00	0.00	810.37	Box 6: Gross Proceeds
TOYOTA MTR CORP ADR NEW 3/82 CUSIP: 892331307	5.00000	03/11/2009	03/01/2016	532.74	296.85	0.00	0.00	235.89	Box 6: Gross Proceeds
	5.00000	03/11/2009	03/01/2016	532.73	296.85	0.00	0.00	235.88	Box 6: Gross Proceeds
	5.00000	02/04/2010	03/01/2016	532.74	358.00	0.00	0.00	174.74	Box 6: Gross Proceeds
	2.00000	02/04/2010	03/01/2016	213.09	143.20	0.00	0.00	69.89	Box 6: Gross Proceeds
	2.00000	12/29/2010	03/01/2016	213.09	157.88	0.00	0.00	55.21	Box 6: Gross Proceeds
	3.00000	12/29/2010	03/01/2016	319.64	236.82	0.00	0.00	82.82	Box 6: Gross Proceeds
Subtotals	22.00000			\$2,344.03	\$1,489.60	\$0.00	\$0.00	\$854.43	



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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
TURKCELL ILETISIM HIZMETLERI CUSIP: 900111204	45.00000 19.00000	12/10/2009 12/29/2010	03/01/2016 03/01/2016	429.85 177.55	732.78 325.47	0.00 0.00	0.00 0.00	-302.93 -147.92	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	65.00000			\$607.40	\$1,058.25	\$0.00	\$0.00	(\$450.85)	
UNITED TECHNOLOGIES CORP CUSIP: 913017109	4.00000 5.00000	03/10/2009 12/29/2010	03/01/2016 06/30/2016	377.43 511.44	159.68 396.65	0.00 0.00	0.00 0.00	217.75 114.79	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	9.00000			\$888.87	\$556.33	\$0.00	\$0.00	\$332.54	
WPP PLC NEW ADR CUSIP: 92937A102	36.00000 1.00000	12/10/2009 12/29/2010	03/01/2016 03/01/2016	3,915.63 108.77	1,727.05 61.52	0.00 0.00	0.00 0.00	2,188.58 47.25	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	37.00000			\$4,024.40	\$1,788.57	\$0.00	\$0.00	\$2,235.83	
XCEL ENERGY INC CUSIP: 98389B100	22.00000	03/11/2009	03/01/2016	860.62	365.42	0.00	0.00	495.20	Box 6: Gross Proceeds
ZIMMER BIOMET HOLDINGS INC CUSIP: 98956P102	2.00000 1.00000 4.00000 10.00000 7.00000 5.00000 1.00000	03/11/2009 03/11/2009 03/11/2009 03/11/2009 03/11/2009 09/21/2010 10/15/2010	03/01/2016 03/01/2016 03/01/2016 06/21/2016 12/21/2016 12/21/2016 12/21/2016	195.10 97.35 389.39 1,186.85 712.21 508.73 101.75	66.22 33.11 132.44 331.10 231.77 258.89 51.10	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00	128.88 64.24 256.95 855.75 480.44 249.84 50.65	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	30.00000			\$3,191.38	\$1,104.63	\$0.00	\$0.00	\$2,086.75	
ENDURANCE SPECIALTY HLDG CUSIP: G30397406	24.00000 5.00000 21.00000 20.00000	03/11/2009 03/11/2009 03/11/2009 05/07/2009	03/01/2016 10/14/2016 10/17/2016 10/17/2016	1,525.41 459.50 1,930.43 1,838.50	504.48 105.10 441.42 511.59	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	1,020.93 354.40 1,489.01 1,326.91	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ENDURANCE SPECIALTY HLDG CUSIP: G30397106	5 00000	08/19/2009	10/17/2016	459.63	170.75	0.00	0.00	288.88	Box 6: Gross Proceeds
Subtotals	75.00000			\$5,213.47	\$1,733.34	\$0.00	\$0.00	\$4,480.13	
Totals				\$132,069.45	\$80,312.90	\$0.00	\$0.00	\$51,756.55	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds even if the Gain/Loss is marked N/A.

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Account Number: 3317-8245

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
VORNADO RLTY 6 875% PFD CALL 4/20/2016@25 SER J CUSIP: 929042869	750 00000	02/20/2013	09/01/2016	18,750.00	20,349.45	0.00	0.00	-1,599.45	Box 6: Gross Proceeds Redemption
Totals				\$18,750.00	\$20,349.45	\$0.00	\$0.00	(\$1,599.45)	



2016 Year-End Account Summary

As of Date: 02/21/17

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ALLY FINANCIAL VAR PFD CUSIP: 02005N308	1500.00000	01/09/2015	05/16/2016	37,500.00	40,426.95	0.00	0.00	-2,926.95	Box 6: Gross Proceeds Redemption
BANK OF AMERICA CORP MEDIUM TERM INTERNOTES CPN: 4.0000% DUE: 07/15/2016 CUSIP: 06050WDE2	50000.00000	07/22/2010	07/15/2016	50,000.00	50,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
DELL INC SR UNSECURED CPN: 5.8750% DUE: 06/15/2019 CUSIP: 24702RAJ0	35000.00000	01/22/2013	05/12/2016	35,564.25	35,885.55	0.00	0.00	78.70	Box 6: Gross Proceeds
E I DU PONT DE NEMOURS NOTES CPN: 5.2500% DUE: 12/15/2016 CUSIP: 263534BQ1	3000.00000	03/13/2009	12/15/2016	3,000.00	3,048.39	0.00	0.00	-48.39	Box 6: Gross Proceeds Redemption
	1000.00000	09/30/2009	12/15/2016	1,000.00	1,079.17	0.00	0.00	-79.17	Box 6: Gross Proceeds Redemption
Subtotals	4000.00000			\$4,000.00	\$4,127.56	\$0.00	\$0.00	(\$127.56)	
HEALTH CARE REIT INC SR UNSECURED CPN: 3.6250% DUE: 03/15/2016 CUSIP: 42217KAV8	25000.00000	08/18/2011	03/15/2016	25,000.00	26,516.50	0.00	0.00	-1,516.50	Box 6: Gross Proceeds Redemption
INTL PAPER CO NOTES CPN: 5.2500% DUE: 04/01/2016 CUSIP: 460146BZ5	25000.00000	12/22/2010	04/01/2016	25,000.00	26,931.25	0.00	0.00	-1,931.25	Box 6: Gross Proceeds Redemption

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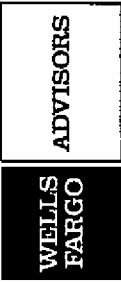
Account Number: 3317-8245

KLEIN FAMILY FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions, continued Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
PEPSICO INC SENIOR NOTES CPN: 7.9000% DUE: 11/01/2018 CUSIP: 713448BJ6	4000.00000	03/12/2009	11/07/2016	4,513.68	4,873.68	0.00	0.00	-360.00	Box 6: Gross Proceeds Redemption
	1000.00000	09/30/2009	11/07/2016	1,128.42	1,274.87	0.00	0.00	-146.45	Box 6: Gross Proceeds Redemption
Subtotals	5000.00000			\$5,642.10	\$6,148.55	\$0.00	\$0.00	(\$506.45)	
SAN FRANCISCO CA CITY & CNTY SAFE NEIGHBORHOOD CPN: 5.4500% DUE: 06/15/2025 CUSIP: 797646NP7	25000.00000	07/30/2010	09/16/2016	29,882.50	27,553.50	0.00	0.00	2,329.00	Box 6: Gross Proceeds
VIACOM INC SR UNSECURED CPN: 3.5000% DUE: 04/01/2017 CUSIP: 92553PAG7	25000.00000	08/18/2011	11/14/2016	25,264.50	26,411.50	0.00	0.00	-1,147.00	Box 6: Gross Proceeds Redemption
WELLS FARGO COMPANY SUBORDINATE GLOBAL NOTES CPN: 5.1250% DUE: 09/15/2016 CUSIP: 949746JE2	5000.00000	03/16/2009	09/15/2016	5,000.00	4,098.75	0.00	0.00	901.25	Box 6: Gross Proceeds Redemption
	1000.00000	09/30/2009	09/15/2016	1,000.00	1,026.63	0.00	0.00	-26.63	Box 6: Gross Proceeds Redemption
Subtotals	6000.00000			\$6,000.00	\$5,125.38	\$0.00	\$0.00	\$874.62	
Totals				\$244,253.35	\$249,126.74	\$0.00	\$0.00	(\$4,873.39)	



2016 Year-End Account Summary

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FNMA PASS THRU POOL 745275 DTD 01/01/06 CPN 5.000% DUE 02/01/36 CUSIP: 31403C6L0	36000.00000	N/A	03/25/2016	53.44	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	04/25/2016	58.93	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	05/25/2016	53.78	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	06/25/2016	61.68	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	07/25/2016	54.96	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	08/25/2016	47.52	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	09/25/2016	60.30	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	10/25/2016	52.76	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	11/25/2016	56.56	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	12/25/2016	51.43	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
Subtotals				\$551.36	\$0.00	\$0.00	\$0.00	N/A	
FNMA PASS THRU POOL 889579 DTD 05/01/08 CPN 6.000% DUE 05/01/38 CUSIP: 31410KJY1	100000.00000	N/A	03/25/2016	117.50	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	04/25/2016	117.53	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	05/25/2016	105.05	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal

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KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FNMA PASS THRU POOL 889579 DTD 05/01/08 CPN 6.000% DUE 05/01/38 CUSIP: 31410KJY1	100000.00000	N/A	06/25/2016	100.21	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	07/25/2016	107.04	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	08/25/2016	76.58	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	09/25/2016	85.46	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	10/25/2016	84.95	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	11/25/2016	78.80	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	100000.00000	N/A	12/25/2016	80.20	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
Subtotals				\$953.12	\$0.00	\$0.00	\$0.00	N/A	
FNMA PASS THRU POOL 889982 DTD 10/01/08 CPN 5.500% DUE 11/01/38 CUSIP: 31410KXK5	36000.00000	N/A	03/25/2016	48.07	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	04/25/2016	46.78	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	05/25/2016	44.14	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	06/25/2016	40.76	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	07/25/2016	43.49	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	36000.00000	N/A	08/25/2016	32.86	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal



2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3317-8245

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FNMA PASS THRU POOL 869982 DTD 10/01/08 CPN 5.500% DUE 11/01/38 CUSIP: 31410KXK5	36000.00000	N/A	09/25/2016	46.94	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	36000.00000	N/A	10/25/2016	40.45	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	36000.00000	N/A	11/25/2016	35.15	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	36000.00000	N/A	12/25/2016	39.28	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
Subtotals				\$417.92	\$0.00	\$0.00	\$0.00	N/A	
FNMA PASS THRU POOL 930071 DTD 10/01/08 CPN 6.000% DUE 10/01/38 CUSIP: 31412NJK00	22000.00000	N/A	03/25/2016	0.90	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	04/25/2016	1.17	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	05/25/2016	1.43	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	06/25/2016	0.92	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	07/25/2016	1.00	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	08/25/2016	4.04	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	09/25/2016	0.94	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	10/25/2016	1.26	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal
	22000.00000	N/A	11/25/2016	49.88	N/A	N/A	N/A	N/A	Box 5: Gross Proceeds Return of Principal

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3317-8245

KLEIN FAMILY FOUNDATION INC
42 TUCKAHOE RD

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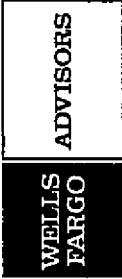
Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FNMA PASS THRU POOL 930071 DTD 10/01/08 CPN 6.000% DUE 10/01/38 CUSIP: 31412NJJQ0	22000 00000	N/A	12/25/2016	19.46	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
Subtotals				\$81.00	\$0.00	\$0.00	\$0.00	N/A	
Totals				\$2,003.40	\$0.00 2003.40	\$0.00	\$0.00	N/A	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

Klein Family Foundation Inc.										
Form 990-PF Lines 10a, 10b, 10c, and 13										
	Stocks & ETFs	Municipal Bonds	Corporate Fixed Income	Foreign Bonds	Government Bonds	Government Asset Backed	Mutual Funds	Total Securities		
Cost:										
Wells Fargo #8245	144,862.08	218,755.30	65,202.72	36,899.85	32,833.74	12,520.95	636,688.04	1,147,762.68		
Basis adjustment							(1,266.20)	(1,266.20)		
Wells Fargo #7315			1,107,531.30					1,107,531.30		
Wells Fargo #8946	2,270,225.31							2,270,225.31		
Basis adjustment	(374.59)							(374.59)		
Total	2,414,712.80	218,755.30	1,172,734.02	36,899.85	32,833.74	12,520.95	635,421.84	4,523,878.50		
Market:										
Wells Fargo #8245	156,185.00	219,169.00	65,007.15	36,772.40	35,757.72	8,216.39	671,203.35	1,192,311.01		
Wells Fargo #7315			1,093,626.55					1,093,626.55		
Wells Fargo #8946	3,072,729.66							3,072,729.66		
Total	3,228,914.66	219,169.00	1,158,633.70	36,772.40	35,757.72	8,216.39	671,203.35	5,358,667.22		
	Line 10b	Line 10a	Line 10c	Line 10c	Line 10a	Line 10a	Line 13			



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3317-8245

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Bank Deposit Sweep Allocation

Monies on deposit at each bank are eligible for FDIC insurance of up to \$250,000 per depositor, per bank in accordance with FDIC rules. In those instances where deposit balances exceed the maximum FDIC insurance limits, those deposits will be uninsured. Deposits at each bank are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2pm ET on the last business day of the month. For more information please refer to the "Cash Sweep Program Disclosure Statement" or contact Your Financial Advisor.

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	250,000.00	12/30
WELLS FARGO FINANCIAL NATIONAL BANK	58,540.90	12/30
Total Bank Deposits	\$308,540.90	

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ENERGY SELECT ET SECTOR SPDR XLE									
Acquired 12/03/14 L		500	81.96	40,983.35		37,660.00	-3,323.35		
Acquired 03/31/15 L		250	77.53	19,384.43		18,830.00	-554.43		
Acquired 08/24/15 L		250	62.32	15,581.70		18,830.00	3,248.30		
Total	5.02	1,000	\$75.95	\$75,949.48	75.3200	\$75,320.00	-\$629.48	\$1,702.00	2.26
PROSHARES S&P 500 ET DIVIDEND A NOBL									
Acquired 12/23/13 L		1,000	43.77	43,772.60		53,910.00	10,137.40		
Acquired 03/31/15 L		500	50.28	25,140.00		26,955.00	1,815.00		
Total	5.39	1,500	\$45.94	\$68,912.60	53.9100	\$80,865.00	\$11,952.40	\$1,723.50	2.13
Total Stocks and ETFs	10.40			\$144,862.08		\$156,185.00	\$11,322.92	\$3,425.50	2.19
Total Stocks, options & ETFs	10.40			\$144,862.08		\$156,185.00	\$11,322.92	\$3,425.50	2.19

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3317-8245

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

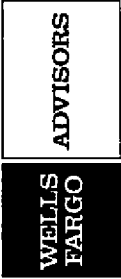
Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
EXELON GENERATION CO LLC SR UNSECURED CPN 5.200% DUE 10/01/19 DTD 09/23/09 FC 04/01/10 Moody BAA2 S&P BBB CUSIP 30161MAF0 Acquired 06/14/11 L nc	1.78	25,000	108.29	27,073.75	107.1080	26,777.00	-296.75	325.00	1,300.00	4.85
ALCOA INC SR UNSECURED CPN 6.150% DUE 08/15/20 DTD 08/03/10 FC 02/15/11 Moody BAA2 S&P BBB- CUSIP 013817AU5 Acquired 06/14/11 L nc	2.55	35,000	108.93	38,128.97	109.2290	38,230.15	101.18	813.17	2,152.50	5.63
Total Corporate Bonds	4.33	60,000		\$65,202.72		\$65,007.15	-\$195.57	\$1,138.17	\$3,452.50	5.31

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Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES CPN 4.500% DUE 05/15/17 DTD 05/15/07 FC 11/15/07 Moody AAA S&P NR CUSIP 912828GS3 Acquired 03/10/09 L nc Acquired 10/02/09 L nc		3,000 1,000	112.11 110.56	3,363.52 1,105.63		3,041.94 1,013.98	-321.58 -91.65			
Total	0.27	4,000	\$111.72	\$4,469.15	101.3980	\$4,055.92	-\$413.23	\$23.37	\$180.00	4.44



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3317-8245

Fixed Income Securities
Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY										
NOTES										
CPN 3.125% DUE 05/15/19										
DTD 05/15/09 FC 11/15/09										
Moody AAA , S&P NR										
CUSIP 912828KQ2										
Acquired 08/17/09 L nc	0.21	3,000	96.99	2,909.77	104.2270	3,126.81	217.04	12.17	93.75	2.99
US TREAS STRIPS 02/20										
INTEREST PMT										
DUE 02/15/20										
DTD 02/15/90										
Moody NR , S&P NR										
CUSIP 912833KY5										
Acquired 03/10/09 L nc		13,000	67.11	8,725.58		12,369.11	3,643.53			
Acquired 10/02/09 L nc		4,000	68.81	2,752.67		3,805.88	1,053.21			
Total	1.08	17,000	\$67.51	\$11,478.25	95.1470	\$16,174.99	\$4,696.74	N/A	N/A	N/A
U S TREASURY BONDS 02/21										
CPN 7.875% DUE 02/15/21										
DTD 02/15/91 FC 08/15/91										
Moody AAA , S&P NR										
CUSIP 912810EH7										
Acquired 03/10/09 L nc		7,000	139.46	9,762.27		8,680.00	-1,082.27			
Acquired 10/02/09 L nc		3,000	140.47	4,214.30		3,720.00	-494.30			
Total	0.83	10,000	\$139.76	\$13,976.57	124.0000	\$12,400.00	-\$1,576.57	\$297.45	\$787.50	6.35
Total Government Bonds	2.38	34,000		\$32,833.74		\$35,757.72	\$2,923.98	\$332.99	\$1,061.25	2.97

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3317-8245

Fixed Income Securities

Government Asset Backed/CMO Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
FNMA PASS THRU									
POOL 745275 DTD 01/01/06									
CPN 5.000% DUE 02/01/36									
DTD 01/01/06 FC 02/25/06									
REMAIN BAL 2,110.33									
DEC FACTOR 0.05862050									
CUSIP 31403C6L0									
Acquired 03/11/09 L nc		22,000	129.02	1 664.01		1,410.36	-253.65		
			102.56	14,997.54					
Acquired 09/09/09 L nc		8 000	135.03	633.28		512.86	-120.42		
			103.09	5 481.90					
Acquired 09/29/09 L nc		6,000	142.07	499.70		384.64	-115.06		
			103.71	4,136.35					
Total	0.15	36,000	\$7.76	\$2,796.99	109.3600	\$2,307.86	-\$489.13	\$8.79	\$105.51
			\$68.37	\$24,615.79					4.57
FNMA PASS THRU									
POOL 889579 DTD 05/01/08									
CPN 6.000% DUE 05/01/38									
DTD 05/01/08 FC 06/25/08									
REMAIN BAL 3,417.77									
DEC FACTOR 0.03417779									
CUSIP 31410KJY1									
Acquired 03/11/09 L nc		88,000	179.84	5,409.24		3 405.46	-2,003.78		
			103.78	65 935.70					
Acquired 09/09/09 L nc		12,000	222.73	913.51		464.38	-449.13		
			105.81	9,167.21					
Total	0.26	100,000	\$6.32	\$6,322.75	113.2270	\$3,869.84	-\$2,452.91	\$17.09	\$205.06
			\$75.10	\$75,102.91					5.30



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3317-8245

Fixed Income Securities

Government Asset Backed/CMO Securities continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCCME	ANNUAL YIELD (%)
FNMA PASS THRU										
POOL 930071 DTD 10/01/08										
CPN 6.000% DUE 10/01/38										
DTD 10/01/08 FC 11/25/08										
REMAIN BAL 314.81										
DEC FACTOR 0.01430998										
CUSIP 314121JQ00										
Acquired 09/29/09 L nc	0.02	22,000	290.26 105.68	913.81 11,144.58	113.2380	356.49	-557.32	1.57	18.88	5.29

FNMA PASS THRU										
POOL 889982 DTD 10/01/08										
CPN 5.500% DUE 11/01/38										
DTD 10/01/08 FC 11/25/08										
REMAIN BAL 1,506.33										
DEC FACTOR 0.04184272										
CUSIP 31410KXK5										
Acquired 03/12/09 L nc		25,000	158.21 103.28	1,654.99 19,173.60		1,168.19	-486.80			
Acquired 09/09/09 L nc		6,000	176.49 104.31	443.10 4,647.61		280.37	-162.73			
Acquired 09/29/09 L nc		5,000	186.08 104.85	389.31 3,893.31		233.64	-155.67			
Total	0.11	36,000	\$6.90 \$76.98	\$2,487.40 \$27,714.52	111.6750	\$1,682.20	-\$805.20	\$6.90	\$82.84	4.92

Total Government Asset Backed/CMO Securities	0.55			\$12,520.95 \$138,577.80		\$8,216.39	-\$4,304.56	\$34.35	\$412.29	5.02

Total Remaining Balance on all Government Asset Backed/CMO Securities: \$7,349.24										

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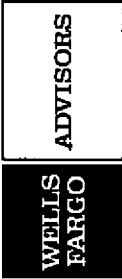
KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3317-8245

Fixed Income Securities

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
NEW YORK NY CITY TRNSTNL FIN AUTH REV FUTURE TAX SER D 2 TAXABLE B/E CPN 3.770% DUE 02/01/18 DTD 02/10/11 FC 08/01/11 Moody AA1, S&P AAA CUSIP 64971M7P1 Acquired 03/01/11 L nc	1.71	25,000	100.25	25,063.50	102.4390	25,609.75	546.25	392.71	942.50 3.68
MONTGOMERY CNTY MD REV LTD OBLG CTF SER A FAC & RES DEV PJS BIE OID TXBL CPN 5.000% DUE 05/01/22 DTD 04/22/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody AA1, S&P AA+ CUSIP 61336PB17 Acquired 09/28/10 L nc	1.79	25,000	110.39	27,599.25	107.3870	26,846.75	-752.50	208.33	1,250.00 4.65
WESTCHESTER CNTY NY TXBL C2 BUILD AMER BDS G/O UNLTD BIE TXBL CPN 4.800% DUE 06/01/22 DTD 02/17/10 FC 06/01/10 CALL 06/01/19 @ 100.000 Moody AA1, S&P AAA CUSIP 95736JVV3 Acquired 12/12/11 L nc	3.89	55,000	110.60	60,831.05	106.2950	58,462.25	-2,368.80	220.00	2,640.00 4.51
NEW YORK NY SUSER G 1 BUILD AMER BDS ISSUER SUBSIDY G/O BIE TXBL CPN 5.324% DUE 03/01/24 DTD 03/30/10 FC 09/01/10 Moody AA2, S&P AA CUSIP 64966HYG9 Acquired 07/30/10 L nc	1.87	25,000	107.25	26,813.00	112.2690	28,067.25	1,254.25	443.67	1,331.00 4.74



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3317-8245

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
PENNSYLVANIA ST TAXABLE 3RD SER B BUILD AMER BDS (ISSUER SUBSIDY) G/O CPN 5.050% DUE 07/15/24 DTD 12/23/10 FC 07/15/11 CALL 07/15/20 @ 100.000 Moody AA3 CUSIP 70914PPJ5 Acquired 12/30/10 L nc	1.78	25,000	102.45	25,613.00	107.0870	26,771.75	1,158.75	582.15	1,262.50 4.71
OREGON ST TXBL ALT ENERGY SER B DB B/E TXBL CPN 4.700% DUE 04/01/25 DTD 07/29/10 FC 04/01/11 CALL 04/01/20 @ 100.000 Moody AA1, S&P AA+ CUSIP 68608KF94 Acquired 08/06/10 L nc	1.78	25,000	104.64	26,160.25	106.7480	26,687.00	526.75	293.75	1,175.00 4.40
FLORIDA ST BRD ED PUB ED CAP OUTLAY 2007 SER G BUILD AMER BDS ISSUER CPN 5.200% DUE 06/01/25 DTD 02/11/10 FC 06/01/10 CALL 06/01/19 @ 100.000 Moody AA1, S&P AAA CUSIP 34153PRU4 Acquired 05/31/11 L nc	1.78	25,000	106.70	26,675.25	106.8970	26,724.25	49.00	108.33	1,300.00 4.86
Total Taxable Municipal Bonds	14.60	205,000		\$218,755.30		\$219,169.00	\$413.70	\$2,248.94	\$9,901.00 4.52

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3317-8245

Fixed Income Securities

Foreign Bonds

Foreign Fixed Income securities may be denominated in currencies other than US dollars. If you own securities denominated in a foreign currency, the figures displayed for "Estimated Accrued Interest" and "Estimated Annual Income" below are in the foreign currency, not US dollars. As a result, the figures shown in "Estimated Annual Yield" are not accurate for bonds denominated in foreign currency. Please contact Your Financial Advisor if you own a Foreign Fixed Income security that is denominated in other than US dollars and have additional questions.

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME
ARCELORMITTAL SR UNSECURED NOTES CALLABLE CPN 6 125% DUE 06/01/18 DTD 05/27/08 FC 12/01/08 Moody BA2, S&P BB CUSIP 03938LAF1 Acquired 08/23/11 L nc	2.45	35,000	105.42	36,899.85	105.0640	36,772.40	-127.45	178.65	2,140.75
Total Foreign Bonds	2.45	35,000		\$36,899.85		\$36,772.40	-\$127.45	\$178.65	\$2,143.75
Total Fixed Income Securities	24.30			\$366,212.56 \$492,269.41		\$364,922.66	-\$1,289.90	\$3,933.10	\$16,970.79
									5.82 5.83 4.65

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INCOME FUND OF AMERICA CLASS F2 AMEFX									
On Reinvestment Acquired 05/19/14 L Reinvestments L Reinvestments S		2,336.49600 196.52200 86.55800	21.39 20.93 20.99	50,000.00 4,114.70 1,816.86		50,585.14 4,254.70 1,873.98	585.14 140.00 57.12		



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3317-8245

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	3.78	2,619.57600	\$21.35	\$55,931.56	21.6500	\$56,713.82	\$782.26	\$1,828.46	3.22
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
BLACKROCK FDS II									
MULTI-ASSET INCOME PORT									
INSTL SHS									
BLICX									
On Reinvestment		6 702 41300	11 18	75,000 00		71 514 75	-3 485.25		
Acquired 11/12/13 L		795 98300	11 22	8 934 18		8 493 14	-441 05		
Reinvestments L		377 29100	10 57	3 989 50		4 025 69	36 19		
Reinvestments S									
Total	5.60	7,875.68700	\$11.16	\$87,923.69	10.6700	\$84,033.58	-\$3,890.11	\$3,945.71	4.70
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
BLACKROCK EQUITY									
DIVIDEND FD									
CL I									
MADVX									
On Reinvestment		1 410 70900	17 72	25,000 00		31 811 49	6 811 49		
Acquired 09/27/11 L nc		1 374 93700	18 18	25,000 00		31 004 83	6 004 83		
Acquired 10/20/11 L nc		923 04900	21 60	19 946 39		20 814 75	868 36		
Reinvestments L m		304 94900	22 18	6 764 63		6 876 60	111 97		
Reinvestments S									
Total	6.03	4,013.64400	\$19.11	\$76,711.02	22.5500	\$90,507.67	\$13,796.65	\$1,757.97	1.94
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
EATON VANCE GROWTH TR									
ATLANTA CAP SMALL-CAP FD									
CL I									
EISMX									
On Reinvestment		1 051 16600	16 26	17 096 08		29 274 97	12 178 89		
Acquired 10/25/11 L nc		1 334 01000	18 74	25 000 00		37 152 18	12 152 18		
Acquired 04/26/12 L		1 871 25700	26 72	50 000 00		52 114 51	2 114 51		
Acquired 03/31/15 L		405 45300	25 14	10 195 16		11 291 86	1 096 70		
Reinvestments L m		163 50200	28 44	4 651 63		4 553 53	-98 10		
Reinvestments S									

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3317-8245

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	8.95	4,825.38800	\$22.16	\$106,942.87	27.8500	\$134,387.05	\$27,444.18	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$92,096.08			
						\$42,290.97			
JPMORGAN TR I									
INCOME BLDG FD SELECT CL									
JNBSX									
On Reinvestment		4,891.85300	10.20	49,922.27		48,967.44	-954.83		
Acquired 10/04/12 L		769.62300	10.19	7,845.74		7,703.92	-141.82		
Reinvestments L		257.11300	9.82	2,526.77		2,573.71	46.94		
Total	3.95	5,918.58900	\$10.19	\$60,294.78	10.0100	\$59,245.07	-\$1,049.71	\$2,604.17	4.40
Client Investment (Excluding Reinvestments)						\$49,922.27			
Gain/Loss on Client Investment (Including Reinvestments)						\$9,322.80			
LORD ABBETT INVT TR									
MULTI-ASSET INCOME FD									
CLASS F									
LIGFX									
On Reinvestment		1,704.09900	14.67	25,000.00		24,215.24	-784.76		
Acquired 09/27/11 L nc		546.80900	14.89	8,146.86		7,770.15	-376.71		
Reinvestments L m		99.13600	13.79	1,367.84		1,408.73	40.89		
Total	2.22	2,350.04400	\$14.69	\$34,514.70	14.2100	\$33,394.12	-\$1,120.58	\$1,292.52	3.87
Client Investment (Excluding Reinvestments)						\$25,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$8,394.12			
PRINCIPAL FDS INC									
GLOBAL DIVERSIFIED									
INCOME FD INSTL CL									
PGDIX									
On Reinvestment		3,337.58800	14.98	50,000.00		44,890.55	-5,109.45		
Acquired 07/08/14 L		345.82100	13.89	4,805.28		4,651.30	-153.98		
Reinvestments L		217.63300	13.35	2,906.78		2,927.16	20.38		
Total	3.49	3,901.04200	\$14.79	\$57,712.06	13.4500	\$52,469.01	-\$5,243.05	\$3,019.40	5.75
Client Investment (Excluding Reinvestments)						\$50,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$2,469.01			



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3317-8245

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRINCIPAL FDS INC									
MIDCAP FUND INSTL CLASS									
PCBIX									
On Reinvestment									
Acquired 01/05/12 L		2,750.74600	14.53	40,000.00		61,864.27	21,864.27		
Reinvestments L		479.01800	20.19	9,676.01		10,773.12	1,097.11		
Reinvestments S		79.28300	22.90	1,815.68		1,783.07	-32.61		
Total	4.96	3,309.04700	\$15.56	\$51,491.69	22.4900	\$74,420.46	\$22,928.77	\$303.10	0.41
Client Investment (Excluding Reinvestments)									
						\$40,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$34,420.46			
LORD ABBETT INVT TR									
MULTI ASSET FD CLASS F									
CLF									
LGXFX									
On Reinvestment									
Acquired 12/03/14 L		1,357.18100	19.45	26,410.76		22,814.21	-3,596.55		
Reinvestments L		545.09200	17.36	9,462.83		9,163.00	-299.83		
Reinvestments S		59.51000	15.69	934.22		1,000.36	66.14		
Total	2.20	1,961.78300	\$18.76	\$36,807.81	16.8100	\$32,977.57	-\$3,830.24	\$635.61	1.93
Client Investment (Excluding Reinvestments)									
						\$26,410.76			
Gain/Loss on Client Investment (Including Reinvestments)						\$6,566.81			
Total Open End Mutual Funds									
						\$618,148.35	\$49,818.17	\$15,386.94	2.49

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CLEARBRIDGE ENERGY MLP									
FD									
CEM									
Acquired 09/26/12 L	1.55	1,500	20.57	30,857.86	15.5600	23,340.00	-7,517.86	2,130.00	9.12

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3317-8245

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	ACCT OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DOUBLELINE INCOME SOLUTIONS FUND DSL									
Acquired 04/25/13 L	0.63	500	25.00	12,500.00	18.9900	9,495.00	-3,005.00	900.30	9.47
PIMCO DYNAMIC CREDIT AND MORTGAGE INCOME FUND PCI									
Acquired 01/28/13 L	1.35	1,000	25.00	25,000.00	20.2200	20,220.00	-4,780.00	1,969.00	9.73
Total Closed End Mutual Funds	3.53			\$68,357.86		\$53,055.00	-\$15,302.86	\$4,999.00	9.42
Total Mutual Funds	44.70			\$636,688.04		\$671,203.35	\$34,515.31	\$20,385.94	3.04

Activity detail

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/01	Cash	DIVIDEND		BLACKROCK FDS II MULTI-ASSET INCOME PORT INSTL SHS 113016 7,824,61800 AS OF 11/30/16		301.07
12/01	Cash	DIVIDEND		LORD ABBETT INVT TR MULTI-ASSET INCOME FD CLASS F 113016 2,333,01000 AS OF 11/30/16		135.78
12/01	Cash	DIVIDEND		PRINCIPAL FDS INC GLOBAL DIVERSIFIED INCOME FD INSTL CL 113016 3,839,28400 AS OF 11/30/16		216.92
12/01	Cash	DIVIDEND		PIMCO DYNAMIC CREDIT AND MORTGAGE INCOME FUND 120116 1,000		164.05



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3975-7315

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
							ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
YUMI BRANDS INC									
SR UNSECURED									
CPN 5.300% DUE 09/15/19									
DTD 08/25/09 FC 03/15/10									
Moody B2 , S&P B+									
CUSIP 988498AF8									
Acquired 05/26/15 L	3.87	107.25 111.62	42,901.54 44,648.00	106.5800	42,632.00	-269.54	624.22	2,120.00	4.97
SYNCHRONY FINANCIAL									
SR UNSECURED									
CPN 2.700% DUE 02/03/20									
DTD 02/02/15 FC 08/03/15									
CALL 01/03/20 @ 100.000									
S&P BBB-									
CUSIP 871658BAE3									
Acquired 10/13/16 S	2.26	102.57	25,650.00	99.6160	24,904.00	-746.00	277.50	675.00	2.71
SENIOR HOUSING PROP TRUS									
SR UNSECURED									
CPN 6.750% DUE 04/15/20									
DTD 04/09/10 FC 10/15/10									
CALL 10/15/19 @ 100.000									
Moody BAA3 , S&P BBB-									
CUSIP 81721MAE9									
Acquired 04/22/16 S	3.91	109.56 111.75	43,825.55 44,707.00	107.7360	43,094.40	-731.15	570.00	2,700.00	6.26
GOLDMAN SACHS GRP INC									
SENIOR NOTES									
CPN 6.000% DUE 06/15/20									
DTD 06/03/10 FC 12/15/10									
Moody A3 , S&P BBB+									
CUSIP 38141EA66									
Acquired 06/18/15 L	5.04	111.19 116.19	55,595.73 58,095.00	110.9990	55,499.50	-96.23	133.33	3,000.00	5.40

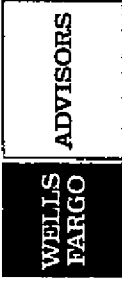
KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3975-7315

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
JPMORGAN CHASE & CO SR UNSECURED CALLABLE CPN 2.750% DUE 06/23/20 DTD 06/23/15 FC 12/23/15 CALL 05/23/20 @ 100.000 Moody A3 S&P A- CUSIP 46625HLW8 Acquired 10/13/16 S	2.28	25,000	103.30 103.47	25,827.34 25,875.25	100.5810	25,145.25	-682.09	15.28	687.50	2.73
MCDONALD'S CORP NOTES CPN 3.500% DUE 07/15/20 DTD 08/02/10 FC 01/15/11 Moody BAA1, S&P BBB+ CUSIP 58013MEJ9 Acquired 11/16/15 L	4.72	50,000	104.38 105.78	52,191.49 52,891.25	104.0930	52,046.50	-144.99	806.94	1,750.00	3.35
BANK OF AMERICA CORP MEDIUM TERM INTERNOTES CPN 5.000% DUE 08/15/20 DTD 08/12/10 FC 02/15/11 Moody BAA1, S&P BBB+ CUSIP 06050WDK8 Acquired 06/18/15 L	4.83	50,000	108.21 111.70	54,106.46 55,850.50	106.4990	53,249.50	-856.96	944.44	2,500.00	4.69
XEROX CORPORATION SR UNSECURED CPN 3.500% DUE 08/20/20 DTD 08/20/15 FC 02/20/16 Moody BAA3, S&P BBB- CUSIP 984121CM3 Acquired 06/08/16 S	2.75	30,000	99.94	29,990.50	101.0200	30,306.00	315.50	382.08	1,050.00	3.45



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3975-7315

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	Lm OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
ENTERPRISE PRODUCTS OPER									
COMPANY GTD									
CPN 5.200% DUE 09/01/20									
DTD 05/20/10 FC 09/01/10									
Moody BAA1 , S&P BBB+									
CUSIP 29379VAP8									
Acquired 11/24/15 L	3 96	40,000	108 08 110 55	43,235 12 44,222 80	109 0770	43,630 80	395 68	693 33	2,080.00 4.76
DOW CHEMICAL CO/THE									
SR UNSECURED									
CALLABLE									
CPN 4.250% DUE 11/15/20									
DTD 11/09/10 FC 05/15/11									
CALL 08/15/20 @ 100 000									
Moody BAA2 , S&P BBB									
CUSIP 260543CC5									
Acquired 07/28/15 L	4 81	50 000	105 71 107 94	52 856 27 53 973 50	105 9300	52 965 00	108 73	271 53	2,125 00 4 01
HEALTH CARE REIT INC									
SR UNSECURED									
CALLABLE									
CPN 4.950% DUE 01/15/21									
DTD 11/16/10 FC 07/15/11									
CALL 10/15/20 @ 100.000									
Moody BAA1 , S&P BBB+									
CUSIP 42217KAU0									
Acquired 05/26/15 L	4 87	50,000	108 49 111 90	54,245 27 55,950 50	107 2690	53,634 50	-610 77	1,141 25	2,475 00 4 61
HSBC FINANCE CORP									
SR SUBORDINATED									
CPN 6.676% DUE 01/15/21									
DTD 07/15/11 FC 01/15/12									
Moody BAA2 , S&P A-									
CUSIP 40429CGD8									
Acquired 06/08/16 S	3 05	30,000	113 39 115 06	34,018 56 34,527 10	112 1920	33,657 60	-360 96	923.51	2,002 80 5 95

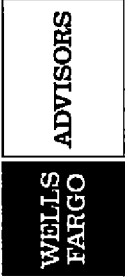
KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3975-7315

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
AT&T INC										
SR UNSECURED GLOBAL NOTE										
CALLABLE										
CPN 4.600% DUE 02/15/21										
DTD 02/15/16 FC 08/15/16										
CALL 11/15/20 @ 100.000										
Moody BAA1 , S&P BBB+										
CUSIP 00206RCZ3										
Acquired 06/08/16 S	2.88	30.000	108.85 110.03	32,656.03 33,016.30	105.6590	31,697.70	-958.33	521.33	1,380.00	4.35
WELLS FARGO & CO										
NOTES										
CPN 4.600% DUE 04/01/21										
DTD 03/29/11 FC 10/01/11										
Moody A2 , S&P A										
CUSIP 94974BEV8										
Acquired 10/13/16 S	2.43	25.000	111.16	27,798.75	107.1660	26,791.50	-1,007.25	287.50	1,150.00	4.29
GAP INC/THE										
SR UNSECURED										
CPN 5.950% DUE 04/12/21										
DTD 04/12/11 FC 10/12/11										
CALL 01/12/21 @ 100.000										
Moody BAA2 , S&P BB+										
CUSIP 364760AK4										
Acquired 04/22/16 S	2.38	25.000	106.65 107.64	26,662.95 26,917.50	104.9050	26,226.25	-436.70	326.42	1,487.50	5.67
AETNA INC										
SR UNSECURED										
CALLABLE										
CPN 4.125% DUE 06/01/21										
DTD 05/20/11 FC 12/01/11										
CALL 03/01/21 @ 100.000										
Moody BAA2 , S&P A-										
CUSIP 008117AN3										
Acquired 06/18/15 L	4.76	50.000	105.64 107.67	52,821.73 53,835.00	104.9600	52,480.00	-341.73	171.88	2,062.50	3.93



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER 3975-7315

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
TUPPERWARE CORP										
COMPANY GTD										
CALLABLE										
CPN 4.750% DUE 06/01/21										
DTD 12/01/11 FC 06/01/12										
CALL 03/01/21 @ 100.000										
Moody BAA3 , S&P BBB-										
CUSIP 899896AC8										
Acquired 10/13/16 S										
3 87	40,000	110 03 110.48	44,014.54 44,201.40	105 5160	42,600 40	-1,408 14	158 33	1 900 00	4 45	
HEWLETT-PACKARD CO										
SENIOR NOTES										
CPN 4.375% DUE 09/15/21										
DTD 09/19/11 FC 03/15/12										
Moody BAA2 , S&P BBB										
CUSIP 428236BQ5										
Acquired 04/08/16 S										
4 77	50,000	106 05 106 89	53,027 76 53,452 50	105 0490	52,524 50	-503 26	644 10	2,187 50	4 16	
VORNADO REALTY LP										
SR UNSECURED										
CPN 5.000% DUE 01/15/22										
DTD 12/07/11 FC 07/15/12										
CALL 10/15/21 @ 100.000										
Moody BAA2 , S&P BBB										
CUSIP 929043AG2										
Acquired 11/24/15 L										
2 45	25,000	107 47 109 10	26,867 94 27,275 25	107 9330	26,983 25	115.31	576 39	1,250.00	4 63	
CIGNA CORP										
SR UNSECURED										
CPN 4 000% DUE 02/15/22										
DTD 11/10/11 FC 02/15/12										
CALL 11/15/21 @ 100 000										
Moody BAA1 , S&P A										
CUSIP 125509BS7										
Acquired 11/24/15 L										
3 78	40,000	104 69 105 74	41,876 48 42,296 40	104 1780	41,671 20	-205 28	604 44	1,600 00	3 53	

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3975-7315

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
EXELON GENERATION CO LLC										
SR UNSECURED										
CALLABLE										
CPN 4.250% DUE 06/15/22										
DTD 12/15/12 FC 06/15/13										
CALL 03/15/22 @ 100.000										
Moody BAA2 , S&P BBB										
CUSIP 30161MAL7										
Acquired 06/08/16 S	2.81	30,000	107.14 107.82	32,144.21 32,353.60	103.0570	30,917.10	-1,227.11	56.67	1,275.00	4.12
CITIGROUP INC										
SUBORDINATED										
CPN 4.050% DUE 07/30/22										
DTD 02/08/13 FC 07/30/13										
Moody BAA3 , S&P BBB										
CUSIP 172967GK1										
Acquired 04/08/16 S	4.76	50,000	105.50 106.12	52,751.78 53,070.50	104.9390	52,469.50	-282.28	849.38	2,025.00	3.85
BIOGEN INC										
SR UNSECURED										
CPN 3.625% DUE 09/15/22										
DTD 09/15/15 FC 03/15/16										
Moody BAA1 , S&P A-										
CUSIP 09062XAE3										
Acquired 06/08/16 S	2.79	30,000	106.23 106.76	31,869.32 32,035.60	102.3550	30,706.50	-1,162.82	320.21	1,087.50	3.54
MORGAN STANLEY										
SUBORDINATED										
CPN 4.875% DUE 11/01/22										
DTD 10/23/12 FC 05/01/13										
Moody BAA2 , S&P BBB-										
CUSIP 6174824M3										
Acquired 05/26/15 L	3.88	40,000	107.85 109.87	43,140.72 43,950.25	106.9790	42,791.60	-349.12	325.00	1,950.00	4.55



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3975-7315

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PEOPLE'S UNITED FIN INC SR UNSECURED CPN 3.650% DUE 12/06/22 DTD 12/06/12 FC 06/06/13 CALL 09/06/22 @ 100 000 Moody BAA2, S&P BBB+ CUSIP 712704AA3 Acquired 04/22/16 S	4.55	50,000	102.30 102.53	51,150.01 51,274.50	100.3530	50,176.50	-873.51	126.74	1,825.00	3.63
JPMORGAN CHASE & CO SUBORDINATED CPN 3.375% DUE 05/01/23 DTD 05/01/13 FC 11/01/13 Moody BAA1, S&P BBB+ CUSIP 46625HJ10 Acquired 11/21/16 S	2.26	25,000	101.77	25,451.75	99.7420	24,935.50	-516.25	140.63	843.75	3.38
STATE STREET CORP SUBORDINATED CPN 3.100% DUE 05/15/23 DTD 05/15/13 FC 11/15/13 Moody A2, S&P A- CUSIP 857477AL7 Acquired 11/21/16 S	4.53	50,000	101.69	50,853.50	99.7680	49,884.00	-969.50	198.06	1,550.00	3.10
Total Corporate Bonds	99.24	1,040,000		\$1,107,531.30 \$1,124,164.20		\$1,093,626.55	-\$13,904.75	\$12,090.49	\$46,739.05	4.27
Total Fixed Income Securities	99.24			\$1,107,531.30 \$1,124,164.20		\$1,093,626.55	-\$13,904.75	\$12,090.49	\$46,739.05	4.27

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3693-8946

Additional information

	THIS PERIOD	THIS YEAR	Foreign withholding	THIS PERIOD	THIS YEAR
Gross proceeds	33,595.28	1,448,806.79		-76.67	-1,265.33

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	-0.16	0.00	-5,161.09	0.00
BANK DEPOSIT SWEEP	2.81	0.01	88,598.00	8.85
Interest Period 12/01/16 - 12/31/16				
Total Cash and Sweep Balances	2.64		\$83,436.91	\$8.85

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
A O SMITH AOS									
Acquired 09/10/15 L		52	34.08	1,772.59		2,462.20	689.61		
Acquired 11/02/15 L		12	38.56	462.72		568.20	105.48		
Acquired 04/21/16 S		6	40.16	240.97		284.10	43.13		



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/11/16 S		6	47.39	284.39		284.10	-0.29		
Total	0.11	76	\$36.32	\$2,760.67	47.3500	\$3,598.60	\$837.93	\$36.48	1.01
ACUTY BRANDS INC									
AYI									
Acquired 05/20/15 L		12	182.12	2,185.55		2,770.32	584.77		
Acquired 11/02/15 L		1	218.29	218.29		230.86	12.57		
Acquired 04/21/16 S		2	255.14	510.29		461.72	-48.57		
Total	0.11	15	\$194.28	\$2,914.13	230.8600	\$3,462.90	\$548.77	\$7.80	0.23
ADIDAS AG-SPON									
ADR									
ADDYY									
Acquired 07/13/16 S		4	72.50	290.00		314.20	24.20		
Acquired 07/14/16 S		5	72.16	360.85		392.75	31.90		
Acquired 07/14/16 S		1	72.17	72.17		78.55	6.38		
Acquired 07/18/16 S		4	72.59	290.39		314.20	23.81		
Acquired 07/19/16 S		5	72.60	363.04		392.75	29.71		
Acquired 07/22/16 S		5	75.41	377.08		392.75	15.67		
Acquired 07/22/16 S		4	75.35	301.41		314.20	12.79		
Acquired 07/26/16 S		5	76.56	382.81		392.75	9.94		
Acquired 07/26/16 S		4	76.97	307.90		314.20	6.30		
Acquired 08/01/16 S		7	82.63	578.48		549.85	-28.63		
Acquired 08/02/16 S		4	83.15	332.64		314.20	-18.44		
Acquired 08/03/16 S		10	82.82	828.20		785.50	-42.70		
Acquired 08/03/16 S		7	82.78	579.48		549.85	-29.63		
Acquired 08/04/16 S		9	81.76	735.84		706.95	-28.89		
Acquired 10/04/16 S		6	87.79	526.75		471.30	-55.45		
Acquired 10/04/16 S		4	87.67	350.71		314.20	-36.51		
Total	0.21	84	\$79.50	\$6,677.75	78.5500	\$6,598.20	-\$79.55	\$54.43	0.82
ADOBE SYSTEMS INC									
ADBE									
Acquired 12/21/12 L		75	37.66	2,824.72	102.9500	7,721.25	4,896.53	N/A	N/A
ADVANCE AUTO PARTS									
AAP									
Acquired 03/26/14 L		16	123.43	1,974.99		2,705.92	730.93		
Acquired 04/21/16 S		1	157.03	157.04		169.12	12.08		
Acquired 08/11/16 S		1	166.99	167.00		169.12	2.12		
Total	0.10	18	\$127.72	\$2,299.03	169.1200	\$3,044.16	\$745.13	\$1.32	0.14

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AETNA INC(NEW)									
AET									
Acquired 11/11/16 S	0.09	24	120.04	2,881.15	124.0100	2,976.24	95.09	24.00	0.80
AFFILIATED MANAGERS GROUP									
AMG									
Acquired 10/01/14 L		1	195.00	195.00		145.30	-49.70		
Acquired 10/15/14 L		2	176.85	353.71		290.60	-63.11		
Acquired 10/15/14 L		3	176.85	530.56		435.90	-94.66		
Acquired 10/24/14 L		9	190.83	1,717.47		1,307.70	-409.77		
Acquired 01/20/15 L		6	196.63	1,179.79		871.80	-307.99		
Acquired 04/21/16 S		2	175.81	351.62		290.60	-61.02		
Total	0.11	23	\$188.18	\$4,328.15	145.3000	\$3,341.90	-\$986.25	N/A	N/A
AGILENT TECH INC									
A									
Acquired 06/27/16 S	0.09	59	42.52	2,508.96	45.5600	2,688.04	179.08	31.15	1.15
AIA GROUP LTD									
SPONSORED ADR									
AAGIY									
Acquired 03/01/16 S	0.36	506	21.15	10,704.43	22.4700	11,369.82	665.39	173.05	1.52
AIR LIQUIDE ADR									
AIQY									
Acquired 03/01/16 S	0.06	91	21.14	1,927.59	22.2400	2,023.84	96.25	39.58	1.95
AIRBUS GROUP ADR									
EADSY									
Acquired 03/01/16 S		171	16.49	2,820.65		2,807.82	-12.83		
Acquired 06/15/16 S		190	14.57	2,768.89		3,119.80	350.91		
Total	0.19	361	\$15.48	\$5,589.54	16.4200	\$5,927.62	\$338.08	\$190.96	3.22
ALBEMARLE CORP									
ALB									
Acquired 06/28/16 S		4	77.73	310.93		344.32	33.39		
Acquired 06/29/16 S		4	79.47	317.90		344.32	26.42		
Acquired 07/05/16 S		5	79.99	399.98		430.40	30.42		
Acquired 07/13/16 S		3	85.56	256.71		258.24	1.53		
Acquired 08/09/16 S		6	81.95	491.76		516.48	24.72		
Acquired 12/13/16 S		6	91.41	548.50		516.48	-32.02		
Total	0.08	28	\$83.06	\$2,325.78	86.0800	\$2,410.24	\$84.46	\$34.16	1.42

DMA CUSTOMIZED BLEND

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	1/4 OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALEXION PHARMACEUTICALS									
INC									
ALXN									
Acquired 03/01/16 S		36	148.27	5,338.08		4,404.60	-933.48		
Acquired 06/07/16 S		7	138.43	969.07		856.45	-112.62		
Acquired 06/08/16 S		3	138.52	415.58		367.05	-48.53		
Acquired 07/07/16 S		1	122.91	122.92		122.35	-0.57		
Total	0.18	47	\$145.65	\$6,845.65	122.3500	\$5,750.45	-\$1,095.20	N/A	N/A
ALIBABA GRP HOLDING ADR									
LTD SPON									
1 RCPT= 1 ORD SHRS									
BABA									
Acquired 03/01/16 S		71	71.44	5,072.94		6,234.51	1,161.57		
Acquired 04/26/16 S		7	78.54	549.79		614.67	64.88		
Acquired 05/02/16 S		6	76.23	457.43		526.86	69.43		
Acquired 05/02/16 S		5	76.72	383.63		439.05	55.42		
Acquired 06/14/16 S		6	77.76	466.57		526.86	60.29		
Acquired 06/14/16 S		4	77.78	311.16		351.24	40.08		
Acquired 09/13/16 S		15	99.36	1,490.53		1,317.15	-173.38		
Acquired 09/14/16 S		8	101.94	815.54		702.48	-113.06		
Acquired 09/14/16 S		6	102.32	613.95		526.86	-87.09		
Acquired 09/15/16 S		6	104.40	626.43		526.86	-99.57		
Acquired 09/15/16 S		4	103.98	415.94		351.24	-64.70		
Total	0.38	138	\$81.19	\$11,203.91	87.8100	\$12,117.78	\$913.87	N/A	N/A
ALLEGHANY CORP NEW									
DELAWARE									
Y									
Acquired 01/20/16 S	0.13	7	453.48	3,174.38	608.1200	4,256.84	1,082.46	N/A	N/A
ALLERGAN PLC									
AGN									
Acquired 03/01/16 S		1	293.46	293.47		210.01	-83.46		
Acquired 03/01/16 S		8	290.41	2,323.35		1,680.08	-643.27		
Acquired 04/06/16 S		6	244.07	1,464.47		1,260.06	-204.41		
Acquired 04/12/16 S		2	225.50	451.01		420.02	-30.99		
Acquired 04/13/16 S		2	222.50	445.02		420.02	-25.00		
Acquired 04/13/16 S		1	220.68	220.69		210.01	-10.68		
Acquired 05/13/16 S		1	223.07	223.07		210.01	-13.06		
Acquired 05/17/16 S		1	227.56	227.56		210.01	-17.55		
Acquired 05/17/16 S		1	226.95	226.95		210.01	-16.94		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PR CE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/18/16 S		2	229.81	459.62		420.02	-39.60		
Acquired 05/31/16 S		2	238.86	477.72		420.02	-57.70		
Acquired 06/09/16 S		3	248.36	745.10		630.03	-115.07		
Acquired 07/20/16 S		5	246.68	1,233.41		1,050.05	-183.36		
Acquired 09/16/16 S		3	244.98	734.96		630.03	-104.93		
Acquired 12/21/16 S		8	194.60	1,556.81		1,680.08	123.27		
Total	0.31	46	\$240.94	\$11,083.21	210.0100	\$9,660.46	-\$1,422.75	\$128.80	1.33
ALPHABET INC VOTING									
CAP STK CL A									
GOOGL									
Acquired 05/24/12 L		2	301.06	602.12		1,584.90	982.78		
Acquired 04/07/14 L		8	544.68	4,357.51		6,339.60	1,982.09		
Acquired 04/18/16 S		1	785.79	785.80		792.45	6.65		
Total	0.28	11	\$522.31	\$5,745.43	792.4500	\$8,716.95	\$2,971.52	N/A	N/A
ALPHABET INC NON VOTING									
CAP STK CL C									
GOOG									
Acquired 03/01/16 S		11	713.95	7,853.56		8,490.02	636.46		
Acquired 11/28/16 S		1	773.56	773.56		771.82	-1.74		
Total	0.29	12	\$718.93	\$8,627.12	771.8200	\$9,261.84	\$634.72	N/A	N/A
ALTRIA GROUP INC									
MO									
Acquired 07/23/14 L		14	41.71	584.03		946.68	362.65		
Acquired 06/30/16 S		26	68.87	1,790.85		1,758.12	-32.73		
Acquired 10/31/16 S		31	66.14	2,050.50		2,096.22	45.72		
Acquired 11/11/16 S		27	61.85	1,670.21		1,825.74	155.53		
Acquired 11/23/16 S		15	64.06	960.92		1,014.30	53.38		
Acquired 12/21/16 S		14	67.62	946.76		946.68	-0.08		
Total	0.27	127	\$63.02	\$8,003.27	67.6200	\$8,587.74	\$584.47	\$309.88	3.61
AMADEUS IT GROUP SA ADR									
UNSPONSORED ADR									
AMADY									
Acquired 12/08/11 L		28	16.50	462.00		1,280.44	818.44		
Acquired 02/17/12 L		34	18.92	643.28		1,554.82	911.54		
Acquired 03/01/16 S		39	41.50	1,618.50		1,783.47	164.97		
Total	0.15	101	\$26.97	\$2,723.78	45.7300	\$4,618.73	\$1,894.95	\$64.84	1.40



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMAZON COM INC									
AMZN	0.71	30	571.24	17,137.20	749.8700	22,496.10	5,358.90	N/A	N/A
AMDOCS LTD									
DOX									
Acquired 12/11/13 L		43	40.22	1,729.74		2,504.75	775.01		
Acquired 05/02/14 L		19	47.10	894.90		1,106.75	211.85		
Acquired 09/04/15 L		6	56.00	336.00		349.50	13.50		
Total	0.13	68	\$43.54	\$2,960.64	58.2500	\$3,961.00	\$1,000.36	\$53.04	1.34
AMERICAN FINL GROUP INC									
OHIO									
AFG									
Acquired 11/24/15 L		28	73.79	2,066.35		2,467.36	401.01		
Acquired 04/28/16 S		11	69.03	759.40		969.32	209.92		
Total	0.11	39	\$72.46	\$2,825.75	88.1200	\$3,436.68	\$610.93	\$48.76	1.42
AMETEK INC NEW									
AME									
Acquired 10/01/12 L		43	34.42	1,480.45		2,089.80	609.35		
Acquired 04/21/16 S		3	50.94	152.84		145.80	-7.04		
Acquired 08/11/16 S		3	47.53	142.61		145.80	3.19		
Total	0.08	49	\$36.24	\$1,775.90	48.6000	\$2,381.40	\$605.50	\$17.64	0.74
AMPHENOL CORP NEW									
CL A									
APH									
Acquired 08/19/14 L		5	51.05	255.25		336.00	80.75		
Acquired 04/15/15 L		28	59.28	1,660.10		1,881.60	221.50		
Acquired 11/02/15 L		5	54.44	326.67		403.20	76.53		
Acquired 04/21/16 S		3	56.95	170.85		201.60	30.75		
Acquired 08/11/16 S		2	60.39	120.80		134.40	13.60		
Total	0.09	44	\$57.58	\$2,533.67	67.2000	\$2,956.80	\$423.13	\$28.16	0.95
ANADARKO PETROLEUM CORP									
APC									
Acquired 03/11/16 S		22	46.47	1,022.56		1,534.06	511.50		
Acquired 04/13/16 S		20	49.73	994.69		1,394.60	399.91		
Acquired 05/16/16 S		21	49.27	1,034.74		1,464.33	429.59		
Acquired 10/31/16 S		49	60.05	2,942.89		3,416.77	473.88		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/21/16 S		27	70.46	1,902.45		1,882.71	-19.74		
Total	0.31	139	\$56.82	\$7,897.33	69.7300	\$9,692.47	\$1,795.14	\$27.80	0.29
ANALOG DEVICES INC									
ADI									
Acquired 07/22/13 L		36	47.99	1,727.80		2,614.32	886.52		
Acquired 11/14/16 S		14	66.02	924.28		1,016.68	92.40		
Total	0.12	50	\$53.04	\$2,652.08	72.6200	\$3,631.00	\$978.92	\$84.00	2.31
APACHE CORP COMMON									
APA									
Acquired 01/22/16 S		51	38.18	1,947.42		3,236.97	1,289.55		
Acquired 03/08/16 S		12	47.34	568.14		761.64	193.50		
Total	0.13	63	\$39.93	\$2,515.56	63.4700	\$3,998.61	\$1,483.05	\$63.00	1.58
APPLE INC									
AAPL									
Acquired 06/16/11 L		1	46.31	46.31		115.82	69.51		
Acquired 12/13/11 L		7	56.11	392.77		810.74	417.97		
Acquired 05/11/12 L		7	80.95	566.66		810.74	244.08		
Acquired 08/29/12 L		14	96.63	1,352.82		1,621.48	268.66		
Acquired 10/16/13 L		15	71.59	1,073.93		1,737.30	663.37		
Acquired 10/16/13 L		31	71.59	2,219.47		3,590.42	1,370.95		
Acquired 03/01/16 S		31	100.21	3,106.82		3,590.42	483.60		
Acquired 08/18/16 S		41	109.37	4,484.24		4,748.62	264.38		
Acquired 10/04/16 S		7	114.07	798.50		810.74	12.24		
Acquired 10/11/16 S		8	117.27	938.23		926.56	-11.67		
Acquired 10/12/16 S		12	117.08	1,405.00		1,389.84	-15.16		
Acquired 10/14/16 S		19	117.62	2,234.79		2,200.58	-34.21		
Acquired 10/31/16 S		18	113.71	2,046.80		2,084.76	37.96		
Acquired 11/14/16 S		7	105.83	740.84		810.74	69.90		
Total	0.80	218	\$98.20	\$21,407.18	115.8200	\$25,248.76	\$3,841.58	\$497.04	1.97
ARCONIC INC									
ARNC									
Acquired 09/18/13 L		1 98058	25.20	49.93		36.72	-13.21		
Acquired 10/30/13 L		28 05825	28.41	797.94		520.20	-277.74		
Acquired 11/25/13 L		37 96117	28.99	1,101.65		703.80	-397.85		
Acquired 11/02/16 S		13	17.87	232.42		241.02	8.60		
Total	0.05	81	\$26.94	\$2,181.94	18.5400	\$1,501.74	-\$680.20	\$29.16	1.94



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ASAH KASEI CORP ADR									
AHKS									
Acquired 11/04/16 S	0.09	169	18.38	3,107.54	17,3700	2,935.53	-172.01	44.78	1.52
ASML HOLDING NV									
NY REGISTRY SHS NEW 2012									
ASML									
Acquired 03/01/16 S	0.27	75	93.50	7,013.15	112,2000	8,415.00	1,401.85	90.65	1.07
ASSA ABLOY AB - ADR									
ASAZ									
Acquired 03/01/16 S	0.24	802	9.71	7,789.29	9,2500	7,418.50	-370.79	94.63	1.27
ASSOC BRITISH FOODS									
LTD ADR NEW									
ASBFY									
Acquired 03/01/16 S		49	48.35	2,369.15		1,657.18	-711.97		
Acquired 09/02/16 S		43	42.24	1,816.74		1,454.26	-362.48		
Total	0.10	92	\$45.50	\$4,185.89	33.8200	\$3,111.44	-\$1,074.45	\$37.90	1.22
ASTRAZENECA PLC									
SPON ADR									
AZN									
Acquired 03/01/16 S	0.32	366	28.97	10,604.89	27,3200	9,999.12	-605.77	501.42	5.01
AVERY DENNISON CORP									
AVY									
Acquired 07/29/11 L		17	31.71	539.15		1,193.74	654.59		
Acquired 11/16/11 L		3	26.86	80.58		210.66	130.08		
Acquired 03/05/14 L		9	50.86	457.74		631.98	174.24		
Total	0.06	29	\$37.15	\$1,077.47	70.2200	\$2,036.38	\$958.91	\$47.56	2.34
BARD C R INC									
BCR									
Acquired 11/25/13 L		14	139.70	1,955.83		3,145.24	1,189.41		
Acquired 11/02/15 L		1	189.34	189.34		224.66	35.32		
Acquired 04/21/16 S		2	206.98	413.97		449.32	35.35		
Total	0.12	17	\$150.54	\$2,569.14	224.6600	\$3,819.22	\$1,260.08	\$17.68	0.46
BAXTER INTERNATIONAL INC									
BAX									
Acquired 11/23/15 L		44	38.08	1,675.89		1,950.96	275.07		
Acquired 01/15/16 S		29	34.91	1,012.54		1,285.86	273.32		

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/26/16 S		14	48.69	681.66		620.76	-60.90		
Total	0.12	87	\$38.74	\$3,370.09	44.3400	\$3,857.58	\$487.49	\$45.24	1.17
BAYERISCHE MOTOREN WERK AG - SPON ADR BMWYY									
Acquired 03/01/16 S	0.09	93	28.37	2,638.88	31.0100	2,883.93	245.05	78.21	2.71
BERKLEY W R CORPORATION WRB									
Acquired 06/30/16 S		50	59.34	2,967.37		3,325.50	358.13		
Acquired 11/11/16 S		15	57.65	864.86		997.65	132.79		
Total	0.14	65	\$58.96	\$3,832.23	66.5100	\$4,323.15	\$490.92	\$33.80	0.78
BERKSHIRE HATHAWAY INC SERIES B NEW BRK/B									
Acquired 12/21/16 S	0.12	23	166.50	3,829.65	162.9800	3,748.54	-81.11	N/A	N/A
BIAGEN INC BIIB									
Acquired 11/11/16 S	0.05	5	318.96	1,913.79	283.5800	1,701.48	-212.31	N/A	N/A
BIOMARIN PHARMACEUTICAL INC BMRN									
Acquired 12/10/09 L nc		42	17.10	718.47		3,479.28	2,760.81		
Acquired 03/01/16 S		29	84.72	2,456.88		2,402.36	-54.52		
Acquired 03/01/16 S		19	86.74	1,648.06		1,573.96	-74.10		
Acquired 04/21/16 S		3	90.50	271.52		248.52	-23.00		
Acquired 08/11/16 S		2	91.79	183.60		165.68	-17.92		
Total	0.25	95	\$55.56	\$5,278.53	82.8400	\$7,869.80	\$2,591.27	N/A	N/A
BLACK HILLS CORPORATION BKH									
Acquired 01/31/13 L		18	40.54	729.83		1,104.12	374.29		
Acquired 11/02/15 L		3	45.44	136.32		184.02	47.70		
Acquired 04/21/16 S		3	56.25	168.77		184.02	15.25		
Total	0.05	24	\$43.12	\$1,034.92	61.3400	\$1,472.16	\$437.24	\$40.32	2.74
BNP PARIBAS ADR BNPQY									
Acquired 05/31/12 L		5	19.22	78.93		159.25	80.32		
Acquired 05/31/12 L		47	15.78	741.94		1,496.95	755.01		



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/01/12 L		98	16.13	1,581.69		3,121.30	1,539.61		
Acquired 03/01/16 S		23	24.06	554.61		732.55	177.94		
Total	0.17	173	\$17.09	\$2,957.17	31.8500	\$5,510.05	\$2,552.88	\$191.68	3.48
BOEING CO									
BA									
Acquired 03/01/16 S		39	120.65	4,705.35		6,071.52	1,366.17		
Acquired 12/12/16 S		4	156.82	627.32		622.72	-4.60		
Acquired 12/12/16 S		3	156.18	468.56		467.04	-1.52		
Total	0.23	46	\$126.11	\$5,801.23	155.6800	\$7,161.28	\$1,360.05	\$261.28	3.65
BOSTON SCIENTIFIC CORP									
BSX									
Acquired 03/11/11 L		30	7.48	224.64		648.90	424.26		
Acquired 11/16/11 L		16	5.64	90.34		346.08	255.74		
Acquired 01/25/12 L		124	6.09	756.36		2,682.12	1,925.76		
Acquired 07/13/16 S		12	23.71	284.63		259.56	-25.07		
Total	0.12	182	\$7.45	\$1,355.97	21.6300	\$3,936.66	\$2,580.69	N/A	N/A
BRENTAG AG UNSP ADR									
BNTGY									
Acquired 12/20/16 S		184	10.84	1,996.20		2,042.40	46.20	26.80	1.31
BRISTOL MYERS SQUIBB									
CO									
BMV									
Acquired 03/01/16 S		52	63.06	3,279.40		3,038.88	-240.52		
Acquired 04/12/16 S		6	66.65	399.91		350.64	-49.27		
Acquired 04/13/16 S		13	66.88	869.50		759.72	-109.78		
Acquired 06/07/16 S		6	73.94	443.67		350.64	-93.03		
Acquired 10/10/16 S		22	50.23	1,105.10		1,285.68	180.58		
Acquired 11/14/16 S		7	56.30	394.13		409.08	14.95		
Acquired 12/09/16 S		2	57.05	114.10		701.28	2.78		
Acquired 12/12/16 S		12	56.73	680.85		409.08	20.43		
Acquired 12/12/16 S		7	56.43	395.07			14.01		
Total	0.24	127	\$60.49	\$7,681.73	58.4400	\$7,421.88	-\$259.85	\$198.12	2.67
BRITISH AMERN TOB PLC									
SPON ADR									
BTI									
Acquired 03/11/09 L nc		17	46.85	796.45		1,915.39	1,118.94		
Acquired 04/06/10 L nc		7	69.69	487.88		788.69	300.81		

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/29/10 L nc		3	77.34	232.02		338.01	105.99		
Acquired 12/28/12 L		4	100.56	402.26		450.68	48.42		
Acquired 01/30/13 L		5	103.59	517.98		563.35	45.37		
Acquired 05/10/13 L		9	114.36	1,029.27		1,014.03	-15.24		
Acquired 03/01/16 S		15	111.70	1,675.65		1,690.05	14.40		
Total	0.21	60	\$85.69	\$5,141.51	112.6700	\$6,760.20	\$1,618.69	\$260.52	3.85
BROADCOM LTD									
AVGO									
Acquired 05/29/14 L		3	70.13	210.39		530.31	319.92		
Acquired 07/14/14 L		2	73.95	147.91		353.54	205.63		
Acquired 07/14/14 L		2	73.95	147.91		353.54	205.63		
Acquired 07/14/14 L		15	73.95	1,109.36		2,651.55	1,542.19		
Acquired 04/21/16 S		1	152.13	152.13		176.77	24.64		
Acquired 08/11/16 S		2	172.55	345.11		353.54	8.43		
Total	0.14	25	\$84.51	\$2,112.81	176.7700	\$4,419.25	\$2,306.44	\$102.00	2.31
BROADRIDGE FINANCIAL SOLUTIONS									
BR									
Acquired 03/11/09 L nc		1	16.75	16.75		66.30	49.55		
Acquired 09/25/09 L nc		45	20.25	911.66		2,983.50	2,071.84		
Acquired 07/29/11 L		24	23.18	556.35		1,591.20	1,034.85		
Acquired 05/20/13 L		9	27.12	244.10		596.70	352.60		
Acquired 06/29/15 L		6	50.40	302.44		397.80	95.36		
Total	0.18	85	\$23.90	\$2,031.30	66.3000	\$5,635.50	\$3,604.20	\$112.20	1.99
BURLINGTON STORES INC									
BURL									
Acquired 07/19/16 S		17	74.13	1,260.37		1,440.75	180.38		
Acquired 08/11/16 S		2	76.96	153.92		169.50	15.58		
Total	0.05	19	\$74.44	\$1,414.29	84.7500	\$1,610.25	\$195.96	N/A	N/A
BWX TECHNOLOGIES CO									
BWXT									
Acquired 12/10/09 L nc		1	16.23	16.23		39.70	23.47		
Acquired 09/16/10 L nc		56	15.70	879.52		2,223.20	1,343.68		
Acquired 06/06/11 L		20	19.63	392.67		794.00	401.33		
Acquired 07/29/11 L		13	17.87	232.36		516.10	283.74		
Total	0.11	90	\$16.90	\$1,620.78	39.7000	\$3,673.00	\$2,052.22	\$32.40	0.91



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
C H ROBINSON WORLDWIDE									
INC									
CHRW									
Acquired 12/11/15 L		23	61.98	1 425.63		1 684.98	259.35		
Acquired 04/28/16 S		25	70.94	1 773.65		1 831.50	57.85		
Acquired 08/18/16 S		22	69.22	1 522.85		1 611.72	88.87		
Acquired 10/31/16 S		14	67.82	949.61		1 025.64	76.03		
Acquired 12/21/16 S		12	74.69	896.35		879.12	-17.23		
Total	0.22	96	\$68.42	\$6,568.09	73.2600	\$7,032.96	\$464.87	\$172.80	2.46
CANADIAN NATL RY CO									
CNI									
Acquired 06/18/09 L nc		19	21.00	399.10		1 280.60	881.50		
Acquired 12/29/10 L nc		4	33.24	132.98		269.60	136.62		
Acquired 04/27/11 L		16	37.66	602.66		1 078.40	475.74		
Total	0.08	39	\$29.10	\$1,134.74	67.4000	\$2,628.60	\$1,493.86	\$45.22	1.72
CARLSLE COMPANIES INC									
GSL									
Acquired 01/20/15 L		23	87.45	2 011.35		2 536.67	525.32		
Acquired 04/21/16 S		2	101.96	203.92		220.58	16.66		
Acquired 08/11/16 S		1	105.97	105.97		110.29	4.32		
Total	0.09	26	\$89.28	\$2,321.24	110.2900	\$2,867.54	\$546.30	\$36.40	1.27
CARLSBERG AS-B									
SPONSORED ADR									
CABGY									
Acquired 09/19/13 L		78	21.01	1 639.44		1 346.28	-293.16		
Acquired 12/03/13 L		90	21.80	1 962.53		1 553.40	-409.13		
Acquired 08/29/14 L		31	18.38	569.94		535.06	-34.88		
Acquired 01/26/15 L		41	14.87	609.73		707.66	97.93		
Acquired 03/01/16 S		25	17.63	440.75		431.50	-9.25		
Acquired 04/04/16 S		91	19.44	1 769.35		1 570.66	-198.69		
Total	0.19	356	\$19.64	\$6,991.74	17.2600	\$6,144.56	-\$847.18	\$63.01	1.03
CASEYS GENL STORES INC									
CASY									
Acquired 11/18/16 S	0.07	18	123.07	2 215.34	118.8800	2 139.84	-75.50	17.28	0.80
CATERPILLAR INC									
CAT									
Acquired 08/18/16 S		32	83.30	2 665.79		2 967.68	301.89		

KLEIN FAMILY FOUNDATION INC

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Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/04/16 S		31	88.62	2,747.37	92.7400	2,874.94	127.57		
Total	0.19	63	\$85.92	\$5,413.16	92.7400	\$5,842.62	\$429.46	\$194.04	3.32
CBRE GROUP INC CLASS A									
CBG									
Acquired 03/01/16 S		37	26.53	981.98		1,165.13	183.15		
Acquired 04/21/16 S		3	30.46	91.40		94.47	3.07		
Acquired 08/11/16 S		2	29.85	59.72		62.98	3.26		
Total	0.04	42	\$26.98	\$1,133.10	31.4900	\$1,322.58	\$189.48	N/A	N/A
CDW CORPORATION OF DELAWARE									
CDW									
Acquired 10/13/16 S		53	44.49	2,358.32		2,760.77	402.45		
Acquired 11/16/16 S		36	50.51	1,818.45		1,875.24	56.79		
Total	0.15	89	\$46.93	\$4,176.77	52.0900	\$4,636.01	\$459.24	\$51.36	1.23
CELGENE CORP									
CELG									
Acquired 05/31/13 L		71	63.09	4,479.44		8,218.25	3,738.81		
Acquired 07/07/16 S		3	103.61	310.84		347.25	36.41		
Acquired 07/12/16 S		4	104.25	417.03		463.00	45.97		
Acquired 08/01/16 S		5	114.21	571.09		578.75	7.66		
Acquired 08/04/16 S		8	116.52	932.20		926.00	-6.20		
Acquired 09/29/16 S		10	104.81	1,048.19		1,157.50	109.31		
Total	0.37	101	\$76.82	\$7,758.79	115.7500	\$11,690.75	\$3,931.96	N/A	N/A
CENTENE CORP									
CNC									
Acquired 10/02/14 L		17	40.58	690.01		960.67	270.66		
Acquired 04/29/16 S		28	61.98	1,735.66		1,582.28	-153.38		
Acquired 08/11/16 S		2	69.42	138.85		113.02	-25.83		
Total	0.08	47	\$54.56	\$2,564.52	56.5100	\$2,655.97	\$91.45	N/A	N/A
CENTERPOINT ENERGY INC									
CNP									
Acquired 10/13/16 S		106	22.35	2,369.15	24.6400	2,611.84	242.69	109.18	4.18
CHARTER COMMUNICATIONS INC A									
CHTR									
Acquired 10/07/16 S		5	268.07	1,340.37		1,439.60	99.23		
Acquired 10/07/16 S		2	268.03	536.08		575.84	39.76		



KLEIN FAMILY FOUNDATION INC

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Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/11/16 S		1	260.20	260.20		287.92	27.72		
Acquired 12/12/16 S		4	278.01	1,112.06		1,151.68	39.62		
Acquired 12/20/16 S		2	289.74	579.48		575.84	-3.64		
Total	0.13	14	\$273.44	\$3,828.19	287.9200	\$4,030.88	\$202.69	N/A	N/A
CHEVRON CORPORATION									
CVX									
Acquired 12/11/15 L		3	86.86	260.58		353.10	92.52		
Acquired 12/22/15 L		82	90.22	7,398.72		9,651.40	2,252.68		
Acquired 03/11/16 S		13	94.64	1,230.41		1,530.10	299.69		
Acquired 05/09/16 S		12	99.89	1,198.74		1,412.40	213.66		
Total	0.41	110	\$91.71	\$10,088.45	117.7000	\$12,947.00	\$2,858.55	\$475.20	3.67
CHUBB LTD									
CB									
Acquired 11/17/14 L		2	110.78	221.56		264.24	42.68		
Acquired 12/23/14 L		6	115.87	695.23		792.72	97.49		
Acquired 01/26/15 L		4	113.04	452.18		528.48	76.30		
Acquired 06/16/15 L		11	106.43	1,170.79		1,453.32	282.53		
Acquired 07/30/15 L		9	108.39	975.58		1,189.08	213.50		
Acquired 10/29/15 L		18	115.56	2,080.13		2,378.16	298.03		
Acquired 09/19/16 S		9	125.55	1,130.01		1,189.08	59.07		
Acquired 11/30/16 S		8	128.06	1,024.48		1,056.96	32.48		
Total	0.28	67	\$115.67	\$7,749.96	132.1200	\$8,852.04	\$1,102.08	\$184.92	2.09
CHURCH & DWIGHT INC									
CHD									
Acquired 06/17/09 L nc		51	13.01	663.65		2,253.69	1,590.04		
Acquired 06/25/09 L nc		6	13.63	81.80		265.14	183.34		
Acquired 11/02/15 L		6	42.80	256.83		265.14	8.31		
Acquired 04/21/16 S		2	45.84	91.68		88.38	-3.30		
Acquired 08/11/16 S		6	50.15	300.90		265.14	-35.76		
Total	0.10	71	\$19.65	\$1,394.86	44.1900	\$3,137.49	\$1,742.63	\$50.41	1.51
CINEMARK HOLDINGS INC									
CMK									
Acquired 02/04/13 L		69	28.11	1,939.96		2,646.84	706.88		
Acquired 08/15/13 L		16	30.89	494.25		613.76	119.51		
Acquired 08/26/13 L		2	30.40	60.80		76.72	15.92		
Total	0.11	87	\$28.68	\$2,495.01	38.3600	\$3,337.32	\$842.31	\$93.96	2.82

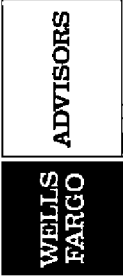
KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CLOROX COMPANY									
CLX									
Acquired 09/14/15 L	0.06	15	109.71	1,755.42	120.0200	1,920.32	164.90	51.20	2.66
COMPAGNIE FINANCIERE									
RICHEMONT SA-JUNSPON ADR									
CFRUY									
Acquired 11/12/15 L		21	7.93	166.60		137.76	-28.84		
Acquired 03/01/16 S		716	6.50	4,657.58		4,696.96	39.38		
Total	0.15	737	\$6.55	\$4,824.18	6.5600	\$4,834.72	\$10.54	\$73.70	1.52
CONCHO RESOURCES INC									
CXO									
Acquired 06/18/12 L		12	88.39	1,060.68		1,591.20	530.52		
Acquired 05/06/13 L		4	82.99	331.97		530.40	198.43		
Acquired 03/01/16 S		20	91.27	1,825.40		2,652.00	826.60		
Acquired 03/01/16 S		12	90.02	1,080.24		1,591.20	510.96		
Acquired 08/11/16 S		2	132.67	265.35		265.20	-0.15		
Acquired 12/05/16 S		5	144.98	724.91		663.00	-61.91		
Total	0.23	55	\$96.16	\$5,288.55	132.6000	\$7,293.00	\$2,004.45	N/A	N/A
CONSTELLATION BRANDS									
INC CL A									
STZ									
Acquired 03/23/16 S		2	150.20	300.41		306.62	6.21		
Acquired 03/24/16 S		5	149.44	747.22		766.55	19.33		
Acquired 04/21/16 S		2	155.04	310.09		306.62	-3.47		
Acquired 04/22/16 S		2	154.92	309.85		306.62	-3.23		
Acquired 06/09/16 S		3	154.12	462.38		459.93	-2.45		
Acquired 06/10/16 S		2	153.42	306.86		306.62	-0.24		
Total	0.08	16	\$152.30	\$2,436.81	153.3100	\$2,452.96	\$16.15	\$25.60	1.04
CORECIVIC INC									
CXW									
Acquired 09/09/15 L		95	29.36	2,789.69		2,323.70	-465.99		
Acquired 05/05/16 S		25	33.61	840.27		611.50	-228.77		
Acquired 11/15/16 S		34	21.00	714.01		831.64	117.63		
Total	0.12	154	\$28.21	\$4,343.97	24.4600	\$3,766.84	-\$577.13	\$258.72	6.87



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COSTCO WHSL CORP NEW COM COST									
Acquired 05/12/14 L	0.17	33	116.58	3,847.14	160.1100	5,283.63	1,436.49	59.40	1.12
CREDIT SUISSE GROUP SPON ADR									
CS									
Acquired 12/11/15 L		29	20.56	596.43		414.99	-181.44		
Acquired 05/11/16 S		59	13.48	795.41		844.29	48.88		
Acquired 08/01/16 S		166	11.45	1,900.82		2,375.46	474.64		
Total	0.12	254	\$12.96	\$3,292.66	14.3100	\$3,634.74	\$342.08	\$182.62	5.02
DANONE SPONSORED ADR									
DANOY									
Acquired 03/01/16 S	0.10	260	14.04	3,659.00	12.5800	3,270.80	-388.20	60.84	1.86
DARDEN RESTAURANTS DRI									
Acquired 07/08/16 S		15	62.23	933.46		1,090.80	157.34		
Acquired 08/11/16 S		1	62.57	62.57		72.72	10.15		
Total	0.04	16	\$62.25	\$996.03	72.7200	\$1,163.52	\$167.49	\$35.84	3.08
DENSO CORPORATION ADR									
DNZOY									
Acquired 12/20/16 S	0.06	91	72.11	2,012.46	21.6500	1,970.15	-42.31	42.49	2.15
DIAGEO PLC SPONSORED ADR NEW DEO									
Acquired 03/01/16 S	0.18	54	105.09	5,675.39	103.9400	5,612.76	-62.63	168.26	2.99
DIAMONDBACK ENERGY INC FANG									
Acquired 02/24/15 L		22	73.68	1,620.96		2,223.32	602.36		
Acquired 11/02/15 L		2	73.85	147.71		202.12	54.41		
Acquired 04/21/16 S		3	83.04	249.14		303.18	54.04		
Total	0.03	27	\$74.73	\$2,017.81	101.0600	\$2,728.62	\$710.81	N/A	N/A
DIGITAL REALTY TRUST INC DLR									
Acquired 12/10/09 L inc		26	47.72	1,240.76		2,554.76	1,314.00		

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/21/16 S		1	85.95	85.96		85.26	12.30		
Acquired 08/11/16 S		3	101.09	303.27		294.78	-8.49		
Total	0.09	30	\$54.33	\$1,629.99	98.2600	\$2,947.80	\$1,317.81	\$105.60	3.58
DISCOVERY COMMUNICATIONS									
INC SERIES C									
DISCK									
Acquired 06/17/15 L		110	31.04	3,414.83		2,945.80	-469.03		
Acquired 04/21/16 S		2	27.78	55.57		53.56	-2.01		
Acquired 08/11/16 S		3	24.80	74.42		80.34	5.92		
Total	0.10	115	\$30.82	\$3,544.82	26.7800	\$3,079.70	-\$465.12	N/A	N/A
DNB ASA									
SPONSORED ADR									
DNHBY									
Acquired 09/02/16 S	0.08	18	121.28	2,183.09	148.8000	2,678.40	495.31	84.92	3.13
DOLLAR TREE STORES INC									
DLTR									
Acquired 07/08/16 S		11	94.86	1,043.47		848.98	-194.49		
Acquired 08/11/16 S		1	97.16	97.16		77.18	-19.98		
Total	0.03	12	\$95.05	\$1,140.63	77.1800	\$926.16	-\$214.47	N/A	N/A
E TRADE FINANCIAL CORP									
ETFC									
Acquired 11/11/16 S		60	31.89	1,913.82		2,079.00	165.18		
Acquired 11/23/16 S		27	34.60	934.25		935.55	1.30		
Acquired 12/21/16 S		28	35.24	986.93		970.20	-16.73		
Acquired 12/30/16 S		32	34.67	1,109.65		1,108.80	-0.85		
Total	0.16	147	\$33.64	\$4,944.65	34.6500	\$5,093.55	\$148.90	N/A	N/A
EAGLE MATERIALS INC									
EXP									
Acquired 10/02/14 L		21	96.26	2,021.66		2,069.13	47.47		
Acquired 08/11/16 S		1	82.10	82.10		98.53	16.43		
Total	0.07	22	\$95.63	\$2,103.76	98.5300	\$2,167.66	\$63.90	\$8.80	0.41
EBAY INC									
EBAY									
Acquired 06/15/16 S		126	23.99	3,023.50		3,740.94	717.44		
Acquired 10/31/16 S		32	28.60	915.37		950.08	34.71		



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/21/16 S		31	23.76	907.12		920.39	13.27		
Total	0.18	189	\$25.64	\$4,845.99	29.6900	\$5,611.41	\$765.42	N/A	N/A
ELI LILLY & CO									
Acquired 04/28/16 S		21	76.52	1,607.11		1,544.55	-62.56		
Acquired 06/15/16 S		14	74.03	1,036.45		1,029.70	-6.75		
Acquired 12/21/16 S		19	73.25	1,391.93		1,397.45	5.52		
Total	0.13	54	\$74.73	\$4,035.49	73.5500	\$3,971.70	-\$63.79	\$112.32	2.83
ENBRIDGE INC									
ENB									
Acquired 03/01/16 S	0.21	154	35.59	5,480.86	42.1200	6,486.48	1,005.62	252.40	3.89
ENTERGY CORP NEW									
ETR									
Acquired 03/11/09 L nc		11	60.98	670.78		808.17	137.39		
Acquired 12/10/09 L nc		4	80.93	323.72		293.88	-29.84		
Acquired 01/18/11 L		12	72.77	873.26		881.64	8.38		
Total	0.06	27	\$69.18	\$1,867.76	73.4700	\$1,983.69	\$115.93	\$93.96	4.74
EOG RESOURCES INC									
EOG									
Acquired 11/05/15 L		2	85.56	171.13		202.20	31.07		
Acquired 03/11/16 S		9	75.37	678.38		909.90	231.52		
Acquired 03/18/16 S		23	75.33	1,732.68		2,325.30	592.62		
Acquired 09/01/16 S		8	88.45	707.64		808.80	101.16		
Acquired 12/08/16 S		9	104.67	942.04		909.90	-32.14		
Acquired 12/09/16 S		5	106.34	531.72		505.50	-26.22		
Acquired 12/13/16 S		3	108.90	326.72		303.30	-23.42		
Total	0.19	59	\$86.28	\$5,090.31	101.1000	\$5,964.90	\$874.59	\$39.53	0.66
EPAM SYSTEMS INC									
EPAM									
Acquired 11/18/16 S	0.07	34	64.86	2,205.42	64.3100	2,186.54	-18.88	N/A	N/A
EQUITY RESIDENTIAL									
EQR									
Acquired 07/08/15 L		7	74.17	519.22		450.52	-68.70		
Acquired 04/01/16 S		5	74.98	374.95		321.80	-53.15		
Acquired 04/18/16 S		38	70.80	2,690.40		2,445.68	-244.72		
Acquired 06/06/16 S		25	63.75	1,593.85		1,609.00	15.15		

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.15	75	\$69.05	\$5,178.42	64.3600	\$4,827.00	-\$351.42	\$151.12	3.13
ESSEX PROPERTY TRUST INC									
REIT									
ESS									
Acquired 12/10/09 Lnc		9	79.92	719.30		2,052.50	1,373.20		
Acquired 04/21/16 S		1	222.83	222.83		232.50	9.67		
Total	0.07	10	\$94.21	\$942.13	232.5000	\$2,325.00	\$1,382.87	\$64.00	2.75
ESSILOR INTL-SPONSORED									
ADR									
ESLOY									
Acquired 03/01/16 S		61	60.26	3,683.21		3,448.33	-234.88		
Acquired 07/11/16 S		28	66.78	1,873.69		1,582.84	-290.85		
Total	0.15	89	\$62.44	\$5,556.90	56.5300	\$5,031.17	-\$525.73	\$42.89	0.85
ESTERLINE TECHNOLOGIES									
CORP									
ESL									
Acquired 07/29/14 L		5	109.99	549.97		446.00	-103.97		
Acquired 07/29/14 L		18	109.99	1,979.90		1,605.60	-374.30		
Acquired 06/30/15 L		21	95.52	2,006.12		1,873.20	-132.92		
Acquired 03/01/16 S		7	57.23	400.68		624.40	223.72		
Total	0.14	51	\$96.80	\$4,936.67	89.2000	\$4,549.20	-\$387.47	N/A	N/A
EXPEDIA INC									
EXPE									
Acquired 09/28/16 S		3	114.92	344.77		339.84	-4.93		
Acquired 11/10/16 S		21	124.46	2,613.86		2,378.88	-234.98		
Acquired 11/15/16 S		6	123.68	742.13		679.68	-62.45		
Acquired 11/21/16 S		2	126.10	252.21		226.56	-25.65		
Total	0.11	32	\$123.53	\$3,952.97	113.2800	\$3,624.96	-\$328.01	\$33.28	0.92
EXPEDITORS INTL WASH INC									
EXPD									
Acquired 01/24/14 L		42	42.49	1,784.99	52.9600	2,224.32	439.33	33.60	1.51
EXXON MOBIL CORP									
XOM									
Acquired 01/20/16 S	0.09	31	80.67	2,287.23	90.2600	2,798.06	510.83	93.00	3.32



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
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Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FACEBOOK INC CLASS A									
FB									
Acquired 03/01/16 S	0.46	125	109.30	13,662.50	115.0500	14,381.25	718.75	N/A	N/A
FANUC CORP									
UNSPONSORED ADR									
FANUY									
Acquired 06/26/13 L		14,988.97	14.35	215.10		249.57	34.47		
Acquired 08/01/13 L		46,632.35	15.77	735.77		776.43	40.66		
Acquired 08/27/15 L		51,628.67	16.25	839.56		859.62	20.06		
Acquired 03/01/16 S		339,750.01	14.90	5,063.28		5,656.83	593.55		
Total	0.24	453	\$15.13	\$6,853.71	16.6500	\$7,542.45	\$688.74	\$118.68	1.57
FASTENAL CO									
FAST									
Acquired 07/08/15 L	0.03	21	41.96	881.31	46.9800	986.58	105.27	25.20	2.55
FEDERAL RLTY INVT TR SBI REIT									
FRT									
Acquired 11/05/15 L		13	146.50	1,904.50		1,847.43	-57.07		
Acquired 04/01/16 S		5	156.75	783.77		710.55	-73.22		
Acquired 07/11/16 S		7	167.17	1,170.26		994.77	-175.49		
Acquired 11/11/16 S		6	137.98	827.92		852.66	24.74		
Total	0.14	31	\$151.18	\$4,686.45	142.1100	\$4,405.41	-\$281.04	\$121.52	2.76
FEDEX CORPORATION									
FDX									
Acquired 09/28/16 S		6	175.16	1,050.99		1,117.20	66.21		
Acquired 09/29/16 S		4	175.29	701.18		744.80	43.62		
Acquired 10/07/16 S		3	172.90	518.70		558.60	39.90		
Acquired 10/10/16 S		2	174.10	348.21		372.40	24.19		
Acquired 10/24/16 S		3	172.14	516.44		558.60	42.16		
Acquired 10/25/16 S		3	172.82	518.49		558.60	40.11		
Acquired 11/02/16 S		3	172.99	518.98		558.60	39.62		
Acquired 11/02/16 S		2	172.68	345.38		372.40	27.02		
Acquired 11/14/16 S		2	186.20	372.40		372.40	0.00		
Total	0.17	28	\$174.67	\$4,890.77	186.2000	\$5,213.60	\$322.83	\$44.80	0.86

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIDELITY NATIONAL INFORMATION SVCS									
FIS									
Acquired 09/08/15 L		38	68.53	2 604.38		2,874.32	269.94		
Acquired 11/02/15 L		3	72.90	218.72		226.92	8.20		
Acquired 04/21/16 S		1	65.49	65.50		75.64	10.14		
Acquired 07/18/16 S		14	76.63	1 072.88		1,058.96	-13.92		
Acquired 08/11/16 S		3	80.46	241.41		226.92	-14.49		
Total	0.14	59	\$71.24	\$4,202.89	75.6400	\$4,462.76	\$259.87	\$61.36	1.37
FIRST AMERICAN FINL CORP									
FAF									
Acquired 03/22/11 L		3	16.75	50.25		109.89	59.64		
Acquired 11/16/11 L		53	16.38	629.65		1 941.39	1,311.74		
Acquired 07/12/13 L		60	22.23	1,334.32		2 197.80	863.48		
Acquired 07/15/13 L		12	22.23	266.83		439.56	172.73		
Total	0.15	128	\$17.82	\$2,281.05	36.6300	\$4,688.64	\$2,407.59	\$174.08	3.71
FLEETCOR TECHNOLOGIES									
INC									
FLT									
Acquired 03/01/16 S		24	131.98	3,167.52		3,396.48	228.96		
Acquired 09/20/16 S		4	168.67	674.69		566.08	-108.61		
Acquired 11/10/16 S		3	147.38	442.14		424.56	-17.58		
Total	0.14	31	\$138.20	\$4,284.35	141.5200	\$4,387.12	\$102.77	N/A	N/A
FRANKLIN RESOURCES INC									
BEN									
Acquired 07/06/16 S		65	32.49	2 111.98		2,572.70	460.72		
Acquired 10/12/16 S		27	34.94	943.50		1,068.66	125.16		
Acquired 11/09/16 S		20	38.36	767.39		791.60	24.21		
Total	0.14	112	\$34.13	\$3,822.87	39.5800	\$4,432.96	\$610.09	\$89.60	2.02
GCP APPLIED									
TECHNOLOGIES									
GCP									
Acquired 12/09/11 L		6	8.51	51.09		160.50	109.41		
Acquired 12/30/11 L		4	9.15	36.61		107.00	70.39		
Acquired 06/14/13 L		13	16.53	214.92		347.75	132.83		
Acquired 08/11/16 S		5	27.30	136.53		133.75	-2.78		
Total	0.02	28	\$15.68	\$439.15	26.7500	\$749.00	\$309.85	N/A	N/A



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GEMALTO NV SPONSORED ADR									
GTOMY	0.11	122	32.11	3 917.42	28.9250	3,528.85	-388.57	24.76	0.70
GENERAL ELECTRIC COMPANY									
GE		159	24.88	3,956.89		5,024.40	1,067.51		
Acquired 03/31/15 L		100	28.19	2,819.39		3,160.00	340.61		
Acquired 04/10/15 L		45	27.37	1,231.94		1,422.00	190.06		
Acquired 04/17/15 L		105	27.48	2,885.46		3,318.00	432.54		
Acquired 05/26/15 L		14	30.53	427.45		442.40	14.95		
Acquired 04/22/16 S		32	29.37	939.98		1,011.20	71.22		
Acquired 10/31/16 S									
Total	0.46	455	\$26.95	\$12,261.11	31.6000	\$14,378.00	\$2,116.89	\$436.80	3.04
GENERAL MILLS INC									
GIS		1	58.98	58.98		61.77	2.79		
Acquired 08/04/15 L		18	59.38	1,068.89		1,111.86	42.97		
Acquired 08/06/15 L		22	57.42	1,263.35		1,358.94	95.59		
Acquired 09/25/15 L		14	71.03	994.50		864.78	-129.72		
Acquired 08/18/16 S		15	61.26	918.91		926.55	7.64		
Acquired 11/16/16 S									
Total	0.14	70	\$61.49	\$4,304.63	61.7700	\$4,323.90	\$19.27	\$134.40	3.11
GENMAB A/S SPON ADR									
GMXY	0.14	55	84.78	4 662.90	82.9130	4,560.21	-102.69	N/A	N/A
Acquired 12/02/16 S									
GENUINE PARTS CO COM									
GPC		20	37.84	756.94		1,910.80	1,153.86		
Acquired 12/10/09 L nc		11	47.77	525.51		1,050.94	525.43		
Acquired 10/15/10 L nc		11	62.92	692.15		1,050.94	358.79		
Acquired 12/14/12 L									
Total	0.13	42	\$47.01	\$1,974.60	95.5400	\$4,012.68	\$2,038.08	\$110.46	2.75
GIVALDAN-UNSPON-ADR									
GVDNY	0.09	76	38.34	2,913.84	36.5000	2,774.00	-139.84	74.63	2.69
Acquired 03/01/16 S									
GLOBUS MEDICAL INC NEW									
CLASS A									
GMED	0.07	95	22.41	2,129.24	24.8100	2,356.95	227.71	N/A	N/A
Acquired 09/29/16 S									

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

GROUPS and ENT'S continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST- ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GOLDMAN SACHS GROUP INC									
GS									
Acquired 12/11/15 L		5	176.96	884.83		1 197.25	312.42		
Acquired 01/20/16 S		11	153.13	1,684.53		2,633.95	949.42		
Acquired 03/01/16 S		5	153.95	769.75		1,197.25	427.50		
Acquired 07/11/16 S		6	152.14	912.89		1,436.70	523.81		
Acquired 07/12/16 S		5	155.65	778.28		1,197.25	418.97		
Acquired 07/13/16 S		5	157.44	787.20		1,197.25	410.05		
Acquired 07/18/16 S		6	163.04	978.25		1,436.70	458.45		
Acquired 08/04/16 S		1	157.67	157.68		239.45	81.77		
Acquired 10/24/16 S		3	175.24	525.73		718.35	192.62		
Acquired 10/26/16 S		3	176.67	530.02		718.35	188.33		
Acquired 11/28/16 S		2	210.50	421.01		478.90	57.89		
Acquired 12/01/16 S		1	224.07	224.08		239.45	15.37		
Acquired 12/08/16 S		4	239.74	958.97		957.80	-1.17		
Total	0.43	57	\$168.65	\$9,613.22	239.4500	\$13,648.65	\$4,035.43	\$148.20	1.09
GOODYEAR TIRE & RUBBER									
GT									
Acquired 03/11/16 S		10	32.86	328.64		308.70	-19.94		
Acquired 04/28/16 S		31	30.18	935.81		956.97	21.16		
Acquired 07/20/16 S		48	26.74	1,283.81		1,481.76	197.95		
Acquired 09/19/16 S		58	32.35	1,876.58		1,790.46	-86.12		
Acquired 10/31/16 S		26	28.94	752.62		802.62	50.00		
Total	0.17	173	\$29.93	\$5,177.46	30.8700	\$5,340.51	\$163.05	\$69.20	1.30
GRACE W R & CO									
DEL NEW									
GRA									
Acquired 12/09/11 L		3	42.75	128.26		202.92	74.66		
Acquired 12/30/11 L		4	45.95	183.80		270.56	86.76		
Acquired 06/14/13 L		13	83.00	1,079.01		879.32	-199.69		
Acquired 04/21/16 S		5	76.92	384.62		338.20	-46.42		
Acquired 08/11/16 S		2	75.67	151.35		135.28	-16.07		
Total	0.06	27	\$71.37	\$1,927.04	67.6400	\$1,826.28	-\$100.76	\$18.36	1.01
GRIFOLS S.A.									
SPONSORED ADR REPSTG 1/2									
CL B NON VTG NEW									
GRFS									
Acquired 03/01/16 S	0.07	141	15.35	2,165.07	16.0700	2,265.87	100.80	37.78	1.66

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GULFPORT ENERGY CORP									
COM									
GPOR									
Acquired 02/26/13 L		19	36.06	685.20		411.16	-274.04		
Acquired 08/08/13 L		19	51.40	976.63		411.16	-565.47		
Acquired 04/21/16 S		2	30.01	60.03		43.28	-16.75		
Acquired 08/11/16 S		3	27.09	81.29		64.92	-16.37		
Total	0.03	43	\$41.93	\$1,803.15	21.6400	\$930.52	-\$872.63	N/A	N/A
HAEMONETICS CORP MASS									
HAE									
Acquired 03/10/11 L		9	31.82	286.38		361.80	75.42		
Acquired 06/06/11 L		2	33.07	66.14		80.40	14.26		
Acquired 06/06/11 L		2	36.90	66.14		80.40	14.26		
Acquired 06/06/11 L		20	33.07	661.44		804.00	142.56		
Acquired 11/16/11 L		4	29.68	118.72		160.80	42.08		
Acquired 05/17/13 L		6	40.49	242.96		241.20	-1.76		
Acquired 03/01/16 S		15	32.18	482.85		603.00	120.15		
Total	0.07	58	\$33.18	\$1,924.63	40.2000	\$2,331.60	\$406.97	N/A	N/A
HAIN CELESTIAL GROUP INC									
HAIN									
Acquired 11/23/16 S	0.07	57	38.97	2,221.59	39.0300	2,224.71	3.12	N/A	N/A
HALLIBURTON COMPANY									
HAL									
Acquired 06/06/16 S		70	44.90	3,143.23		3,786.30	643.07		
Acquired 06/29/16 S		11	44.81	493.00		594.99	101.99		
Acquired 06/30/16 S		8	44.98	359.87		432.72	72.85		
Acquired 06/30/16 S		7	45.21	316.53		378.63	62.10		
Acquired 07/01/16 S		11	45.60	501.67		594.99	93.32		
Acquired 07/11/16 S		14	44.58	624.22		757.26	133.04		
Acquired 07/12/16 S		10	45.73	457.34		540.90	83.56		
Acquired 07/14/16 S		8	45.83	366.70		432.72	66.02		
Acquired 07/20/16 S		11	44.43	488.81		594.99	106.18		
Acquired 09/29/16 S		2	44.17	88.34		108.18	19.84		
Acquired 10/11/16 S		41	46.55	1,908.75		2,217.69	308.94		
Acquired 10/24/16 S		16	48.37	773.94		865.44	91.50		
Acquired 10/25/16 S		8	47.76	382.11		432.72	50.61		
Total	0.37	217	\$45.64	\$9,904.51	54.0900	\$11,737.53	\$1,833.02	\$156.24	1.33

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	ACCT OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HARTFORD FINL SVCS GROUP									
INC									
HIG									
Acquired 01/28/14 L		35	33.37	1,168.22		1,667.75	499.53		
Acquired 03/05/14 L		32	35.97	1,151.29		1,524.80	373.51		
Total	0.10	67	\$34.62	\$2,319.51	47.6500	\$3,192.55	\$873.04	\$61.64	1.93
HASBRO INC									
HAS									
Acquired 03/11/09 L nc		3	21.99	65.97		233.37	167.40		
Acquired 12/10/09 L nc		23	30.72	706.72		1,789.17	1,082.45		
Acquired 03/18/10 L nc		9	38.09	342.83		700.11	357.28		
Acquired 07/29/11 L		17	39.62	673.62		1,322.43	648.81		
Acquired 11/16/11 L		2	36.70	73.40		155.58	82.18		
Acquired 02/08/12 L		9	35.91	323.25		700.11	376.86		
Total	0.16	63	\$34.70	\$2,185.79	77.7900	\$4,900.77	\$2,714.98	\$128.52	2.62
HD SUPPLY HOLDINGS INC									
HDS									
Acquired 06/29/16 S	0.04	30	33.93	1,018.10	42.5100	1,275.30	257.20	N/A	N/A
HDFC BANK LTD-ADR									
HDB									
Acquired 03/01/16 S	0.12	62	55.60	3,447.53	60.6800	3,762.16	314.63	24.98	0.66
HEALTHSOUTH CORP									
HLS									
Acquired 05/31/11 L		61	28.06	1,712.21		2,515.64	803.43		
Acquired 09/16/11 L		13	20.60	267.85		536.12	268.27		
Acquired 04/21/16 S		3	37.68	113.05		123.72	10.67		
Acquired 08/11/16 S		6	41.62	249.73		247.44	-2.29		
Total	0.11	83	\$28.23	\$2,342.84	41.2400	\$3,422.92	\$1,080.08	\$79.68	2.33
HERSHEY COMPANY									
HSY									
Acquired 11/25/15 L	0.07	21	86.97	1,826.53	103.4300	2,172.03	345.50	5.91	2.39
HES CORPORATION									
HES									
Acquired 01/28/16 S		44	40.45	1,779.82		2,740.76	960.94		
Acquired 03/11/16 S		15	51.00	765.03		934.35	169.32		
Total	0.12	59	\$43.13	\$2,544.85	62.2900	\$3,675.11	\$1,130.26	\$59.00	1.61

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HOME DEPOT INC									
HD									
Acquired 06/12/14 L		29	78.98	2,290.64		3,888.32	1,597.68		
Acquired 07/02/14 L		12	81.96	983.54		1,608.96	625.42		
Acquired 04/15/16 S		2	134.78	269.56		268.16	-1.40		
Acquired 06/06/16 S		7	128.84	901.94		938.56	36.62		
Acquired 10/31/16 S		7	122.87	860.10		938.56	78.46		
Acquired 11/11/16 S		7	129.81	908.71		938.56	29.85		
Acquired 11/16/16 S		8	125.45	1,003.62		1,072.64	69.02		
Total	0.31	72	\$100.25	\$7,218.11	134.0800	\$9,653.76	\$2,435.65	\$198.72	2.06
HUBBELL INC									
HUBB									
Acquired 04/08/15 L		23	109.55	2,519.82		2,684.10	164.28		
Acquired 06/25/15 L		1	110.38	110.38		116.70	6.32		
Acquired 06/25/15 L		10	110.38	1,103.85		1,167.00	63.15		
Acquired 03/11/16 S		8	100.59	804.76		933.60	128.84		
Acquired 06/30/16 S		11	104.14	1,145.58		1,283.70	138.12		
Total	0.20	53	\$107.25	\$5,684.39	116.7000	\$6,185.10	\$500.71	\$148.40	2.40
HUNTINGTON BANCSHRES INC									
HBAN									
Acquired 03/09/16 S		212	9.34	1,980.25		2,802.64	822.39		
Acquired 06/24/16 S		142	8.80	1,249.94		1,877.24	627.30		
Acquired 11/11/16 S		15	11.56	173.42		198.30	24.88		
Total	0.15	369	\$9.22	\$3,403.61	13.2200	\$4,878.18	\$1,474.57	\$118.08	2.42
ILLUMINA INC									
ILMN									
Acquired 03/01/16 S	0.05	13	156.68	2,036.84	128.0400	1,664.52	-372.32	N/A	N/A
IMPERIAL BRANDS PLC ADR									
SPON									
IMBBY									
Acquired 03/01/16 S	0.13	96	53.14	5,102.16	43.5500	4,180.80	-921.36	190.84	4.56
ING GROEP N V									
SPON ADR									
ING									
Acquired 09/07/12 L		84	8.56	719.40		1,184.40	465.00		
Acquired 09/16/15 L		62	14.96	927.61		874.20	-53.41		
Total	0.07	146	\$11.28	\$1,647.01	14.1000	\$2,058.60	\$411.59	\$87.30	4.24

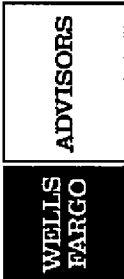
KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER. 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
INTEL CORP									
INTC									
Acquired 05/05/15 L		9	32.61	293.54		326.43	32.89		
Acquired 07/08/15 L		65	29.29	1,904.14		2,357.55	453.41		
Acquired 09/01/15 L		25	27.93	698.30		906.75	208.45		
Acquired 06/21/16 S		111	32.35	3,591.55		4,025.97	434.42		
Acquired 10/31/16 S		58	35.01	2,031.06		2,103.66	72.58		
Total	0.31	268	\$31.79	\$8,518.61	36.2700	\$9,720.36	\$1,201.75	\$278.72	2.87
INTERNATIONAL PAPER CO									
IP									
Acquired 05/24/16 S		8	41.37	331.02		424.48	93.46		
Acquired 07/20/16 S		22	45.37	998.33		1,167.32	168.99		
Acquired 10/31/16 S		20	44.95	899.09		1,061.20	162.11		
Total	0.08	50	\$44.57	\$2,228.44	53.0600	\$2,653.00	\$424.56	\$92.50	3.49
INVESCO LTD									
IVZ									
Acquired 09/09/15 L		39	32.89	1,282.93		1,183.26	-99.67		
Acquired 12/22/15 L		2	31.81	63.63		60.68	-2.95		
Acquired 12/22/15 L		4	31.81	127.25		121.36	-5.89		
Acquired 12/22/15 L		16	31.81	509.02		485.44	-23.58		
Acquired 12/22/15 L		36	31.81	1,145.30		1,092.24	-53.06		
Acquired 04/21/16 S		8	32.25	258.04		242.72	-15.32		
Total	0.10	105	\$32.25	\$3,386.17	30.3400	\$3,185.70	-\$200.47	\$117.60	3.69
IPG PHOTONICS CORP									
IPGP									
Acquired 07/10/15 L		21	83.25	1,748.28		2,072.91	324.63		
Acquired 11/02/15 L		2	84.20	168.40		197.42	29.02		
Acquired 03/07/16 S		1	88.22	88.22		98.71	10.49		
Acquired 03/08/16 S		10	88.23	882.37		987.10	104.73		
Acquired 04/21/16 S		2	98.09	196.20		197.42	1.22		
Acquired 08/11/16 S		1	84.89	84.90		98.71	13.81		
Total	0.12	37	\$85.63	\$3,168.37	98.7100	\$3,652.27	\$483.90	N/A	N/A



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	IShares	ETF	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
										ANNUAL INCOME	ANNUAL YIELD (%)
RUSSELL 2000											
IWM											
Acquired 12/10/09 L nc				3,410	60.12	205,040.23		459,838.50	254,798.27		
Acquired 03/01/16 S				525	104.57	54,902.35		70,796.25	15,893.90		
Total			16.81	3,935	\$66.06	\$259,942.58	134.8500	\$530,634.75	\$270,692.17	\$7,295.49	1.37
ISHARES MSCI EAFE INDEX											
EFA											
Acquired 03/10/09 L nc				2,432	33.79	82,182.39		140,399.36	58,216.97		
Acquired 12/10/09 L nc				12	55.52	666.34		652.76	26.42		
Acquired 12/27/10 L nc				46	57.35	2,638.47		2,655.58	17.11		
Acquired 12/28/10 L nc				1	57.60	57.60		57.73	0.13		
Acquired 12/29/10 L nc				246	58.08	14,289.65		14,201.58	-88.07		
Acquired 11/16/11 L nc				430	50.33	21,645.43		24,823.90	3,178.47		
Acquired 03/01/16 S				1,822	54.99	100,203.26		105,184.06	4,980.80		
Acquired 12/29/16 S				115	57.56	6,619.40		6,638.95	19.55		
Total			9.34	5,104	\$44.73	\$228,302.54	57.7300	\$294,653.92	\$66,351.38	\$9,039.18	3.07
JANUS CAPITAL GROUP INC											
JNS											
Acquired 07/25/13 I				141	9.32	1,314.65		1,871.07	556.42		
Acquired 11/19/14 L				20	14.80	296.05		265.40	-30.65		
Total			0.07	161	\$10.00	\$1,610.70	13.2700	\$2,136.47	\$525.77	\$70.84	3.32
JAPAN TOBACCO INC ADR											
UNSPON											
1 RCPT .5 ORD SHRS											
JAPAY											
Acquired 04/04/16 S				94	21.17	1,990.46		1,535.49	-454.97		
JARDINE MATHESON HLDGS LTD-UNSP ADR											
JMHLY											
Acquired 03/01/16 S				103	57.27	5,899.33		5,713.41	-185.92		
JOHNSON & JOHNSON											
JNJ											
Acquired 09/28/15 L				53	91.05	4,825.75		6,106.13	1,280.38		
Acquired 10/01/15 L				14	92.18	1,290.56		1,612.94	322.38		
Acquired 10/15/15 L				18	96.99	1,745.97		2,073.78	327.81		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/29/15 L		18	101.00	1,818.01		2,073.78	255.77		
Acquired 01/29/16 S		12	103.87	1,246.50		1,382.52	136.02		
Total	0.42	115	\$95.02	\$10,926.79	115.2100	\$13,249.15	\$2,322.36	\$368.00	2.78
JPMORGAN CHASE & CO									
JPM									
Acquired 07/29/11 L		3	40.39	121.18		258.87	137.69		
Acquired 08/08/11 L		2	35.39	70.80		172.58	101.78		
Acquired 08/08/11 L		7	35.39	247.79		604.03	356.24		
Acquired 08/08/11 L		27	35.39	955.77		2,329.83	1,374.06		
Acquired 06/01/12 L		17	32.27	548.62		1,466.93	918.31		
Acquired 03/31/14 L		6	60.78	364.72		517.74	153.02		
Acquired 10/01/14 L		18	59.85	1,077.30		1,553.22	475.92		
Acquired 12/17/14 L		42	59.33	2,491.93		3,624.18	1,132.25		
Acquired 01/20/15 L		26	55.69	1,448.01		2,243.54	795.53		
Acquired 04/28/16 S		27	64.24	1,734.56		2,329.83	595.27		
Acquired 11/28/16 S		9	78.70	708.32		776.61	68.29		
Acquired 11/29/16 S		7	78.99	552.94		604.03	51.09		
Acquired 11/30/16 S		26	80.36	2,089.53		2,243.54	154.01		
Acquired 11/30/16 S		7	79.85	558.97		604.03	45.06		
Acquired 12/01/16 S		5	81.49	407.48		431.45	23.97		
Acquired 12/02/16 S		8	81.80	654.40		690.32	35.92		
Acquired 12/08/16 S		6	84.70	508.21		517.74	9.53		
Acquired 12/09/16 S		5	84.74	423.70		431.45	7.75		
Total	0.68	248	\$60.34	\$14,964.23	86.2900	\$21,399.92	\$6,435.69	\$476.16	2.23
J2 GLOBAL INC									
JCOM									
Acquired 01/11/16 S		30	76.95	2,308.59		2,454.00	145.41		
Acquired 04/21/16 S		2	63.90	127.80		163.60	35.80		
Acquired 08/11/16 S		2	68.89	137.80		163.60	25.80		
Total	0.09	34	\$75.71	\$2,574.19	81.8000	\$2,781.20	\$207.01	\$46.24	1.66
KAR AUCTION SERVICES INC									
KAR									
Acquired 03/01/16 S		64	36.19	2,316.79		2,727.68	410.89		
Acquired 04/21/16 S		3	38.56	115.69		127.86	12.17		
Acquired 08/11/16 S		4	41.05	164.22		170.48	6.26		
Total	0.10	71	\$36.57	\$2,596.70	42.6200	\$3,026.02	\$429.32	\$90.88	3.00



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
KDDI CORP-UNSPONSORED									
ADR									
KDDIY									
Acquired 03/01/16 S	0.07	184	12.94	2,380.96	12.6500	2,327.60	-53.36	45.25	1.94
KELLOGG COMPANY									
K									
Acquired 05/18/16 S		37	74.66	2,762.56		2,727.27	-35.29		
Acquired 08/18/16 S		10	82.81	828.19		737.10	-91.09		
Total	0.11	47	\$76.40	\$3,590.75	73.7100	\$3,464.37	-\$126.38	\$97.76	2.82
KERING S A									
SPON ADR									
PPRIUY									
Acquired 11/30/16 S	0.10	138	21.67	2,997.67	22.3500	3,084.30	86.63	37.67	1.22
KEYCORP NEW									
KEY									
Acquired 11/16/16 S	0.19	327	16.73	5,470.84	18.2700	5,974.29	503.45	111.18	1.86
KEYENCE CORP									
KYCCF									
Acquired 03/01/16 S	0.50	23	517.90	11,911.81	686.3700	15,786.51	3,874.70	29.58	0.18
KILROY RLTY CORP									
REIT									
KRC									
Acquired 12/17/12 L		33	45.23	1,492.76		2,416.26	923.50		
Acquired 04/21/16 S		2	63.63	127.27		146.44	19.17		
Acquired 08/11/16 S		4	72.05	288.22		292.88	4.66		
Total	0.05	39	\$48.93	\$1,908.25	73.2200	\$2,855.58	\$947.33	\$58.50	2.05
KIMCO REALTY CORP									
REIT									
KIM									
Acquired 01/22/16 S		72	26.52	1,910.08		1,811.52	-98.56		
Acquired 04/21/16 S		10	27.89	278.93		251.60	-27.33		
Acquired 08/11/16 S		5	30.34	151.75		125.80	-25.95		
Total	0.07	87	\$26.91	\$2,340.76	25.1600	\$2,188.92	-\$151.84	\$93.96	4.29
KROGER COMPANY COMMON									
KR									
Acquired 03/01/16 S		12	40.23	482.87		414.12	-68.75		
Acquired 06/30/16 S		93	36.50	3,394.64		3,209.43	-185.21		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/31/16 S		29	31.07	901.29		1,000.79	99.50		
Total	0.15	134	\$35.66	\$4,778.80	34.5100	\$4,624.34	-\$154.46	\$64.32	1.39
KUBOTA CORP									
KUBTY									
Acquired 03/01/16 S	0.06	26	66.16	1,720.29	71.1000	1,848.60	128.31	27.55	1.47
L BRANDS INC									
LB									
Acquired 11/16/16 S		26	67.46	1,753.96		1,711.84	-42.12		
Acquired 12/21/16 S		13	69.20	899.61		855.92	-43.69		
Total	0.08	39	\$68.04	\$2,653.57	65.8400	\$2,567.76	-\$85.81	\$93.60	3.65
L'OREAL CO ADR									
LRLCY									
Acquired 03/01/16 S	0.23	203	34.57	7,031.74	36.4100	7,391.23	359.49	111.24	1.50
LAUDER ESTEE COS INC									
CL A									
EL									
Acquired 12/21/16 S	0.07	29	78.20	2,267.97	76.4900	2,218.21	-49.76	37.44	1.77
LIBERTY BROADBAND									
CORP COM SER C									
LBROK									
Acquired 09/19/14 L		1	45.92	45.93		74.07	28.14		
Acquired 09/19/14 L		1	49.99	49.99		74.07	24.08		
Acquired 09/19/14 L		17	45.92	780.73		1,259.19	478.46		
Acquired 11/07/14 L		1	49.41	49.41		74.07	24.66		
Acquired 11/07/14 L		1	49.41	49.41		74.07	24.66		
Acquired 11/07/14 L		7	49.41	345.87		518.49	172.62		
Acquired 11/07/14 L		7	49.41	345.87		518.49	172.62		
Acquired 12/04/14 L		20	53.35	1,067.02		1,481.40	414.38		
Acquired 01/08/15 L		18	40.36	726.48		1,333.26	606.78		
Acquired 11/02/15 L		2	54.70	109.41		148.14	38.73		
Acquired 04/21/16 S		2	54.96	109.92		148.14	38.22		
Acquired 05/05/16 S		8	58.60	468.86		592.56	123.70		
Acquired 08/11/16 S		1	66.85	66.85		74.07	7.22		
Total	0.20	86	\$49.02	\$4,215.75	74.0700	\$6,370.02	\$2,154.27	N/A	N/A



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	1/4 OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LIBERTY BROADBAND									
CORP COM SER A									
LBRDA									
Acquired 12/13/10 L nc		4	17.43	69.73		289.84	220.11		
Acquired 03/24/11 L nc		1	27.82	27.82		72.46	44.64		
Acquired 11/10/14 L		11	48.75	536.32		797.06	260.74		
Acquired 04/21/16 S		1	55.09	55.10		72.46	17.36		
Acquired 08/11/16 S		1	65.93	65.93		72.46	6.53		
Total	0.04	18	\$41.94	\$754.90	72.4600	\$1,304.28	\$549.38	N/A	N/A
LIBERTY GLOBAL PLC									
SHS CL A									
LBTYA									
Acquired 03/01/16 S		137	33.03	4,525.53		4 190.83	-334.70		
Acquired 06/14/16 S		45	30.05	1,352.60		1 376.55	23.95		
Acquired 07/11/16 S		83	29.45	2,444.48		2 538.97	94.49		
Total	0.25	265	\$31.41	\$8,322.61	30.5900	\$8,106.35	-\$216.26	N/A	N/A
LIBERTY MEDIA CORP DEL									
COM SER C SIRIUSXM GROUP									
LSXMK									
Acquired 12/13/10 L nc		12	10.90	130.84		407.04	276.20		
Acquired 03/24/11 L nc		2	13.74	27.49		67.84	40.35		
Acquired 03/24/11 L nc		10	12.79	128.04		339.20	211.16		
Acquired 09/19/14 L		72	28.99	2,087.85		2,442.24	354.39		
Acquired 12/03/14 L		7	28.61	200.31		237.44	37.13		
Acquired 12/03/14 L		15	28.61	429.23		508.80	79.57		
Acquired 06/29/15 L		18	28.50	513.22		610.56	97.34		
Acquired 06/29/15 L		20	28.50	570.25		678.40	108.15		
Acquired 04/21/16 S		2	32.38	64.77		67.84	3.07		
Acquired 06/01/16 S		25	31.50	787.71		848.00	60.29		
Acquired 08/11/16 S		4	33.23	132.96		135.68	2.72		
Total	0.20	187	\$27.13	\$5,072.67	33.9200	\$6,343.04	\$1,270.37	N/A	N/A
LIBERTY MEDIA CORP DEL									
COM SER A SIRIUSXM GRP									
LSXMA									
Acquired 12/13/10 L nc		17	11.26	191.53		586.84	395.31		
Acquired 03/24/11 L nc		1	14.20	14.20		34.52	20.32		
Acquired 03/24/11 L nc		5	13.22	66.16		172.60	106.44		
Acquired 04/21/16 S		6	32.80	196.84		207.12	10.28		

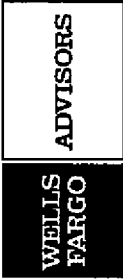
KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/11/16 S		2	33.92	67.85		69.04	1.19		
Total	0.03	31	\$17.31	\$536.58	34.5200	\$1,070.12	\$533.54	N/A	N/A
LLOYDS BANKING GROUP PLC									
ADR									
LYG									
Acquired 06/01/12 L		6	1.57	9.47		18.60	9.13		
Acquired 06/01/12 L		614	1.57	969.14		1,903.40	934.26		
Acquired 04/17/15 L		44	4.75	209.27		136.40	-72.87		
Acquired 04/17/15 L		90	4.76	429.09		279.00	-150.09		
Acquired 04/17/15 L		306	4.76	1,458.92		948.60	-510.32		
Acquired 06/10/15 L		165	5.44	898.31		511.50	-386.81		
Acquired 10/20/15 L		11	4.74	52.15		34.10	-18.05		
Acquired 10/20/15 L		85	4.73	402.44		263.50	-138.94		
Acquired 10/20/15 L		124	4.74	587.87		384.40	-203.47		
Acquired 03/01/16 S		551	4.15	2,288.74		1,708.10	-580.64		
Total	0.20	1,996	\$3.66	\$7,305.40	3.1000	\$6,187.60	-\$1,117.80	\$261.47	4.23
LVMH MOET HENNESSY									
LOUIS VUITTON									
ADR									
LVMUY									
Acquired 03/01/16 S	0.12	97	33.67	3,272.52	37.9950	3,685.51	412.99	55.38	1.50
LYONDELLBASELL									
INDUSTRIES AF SCA									
CLASS A									
LYB									
Acquired 07/12/12 L		4	38.73	154.93		343.12	188.19		
Acquired 07/12/12 L		9	38.73	348.59		772.02	423.43		
Acquired 10/31/12 L		18	52.58	946.57		1,544.04	597.47		
Acquired 04/21/16 S		2	91.48	182.96		171.56	-11.40		
Acquired 08/11/16 S		1	76.53	76.54		85.78	9.24		
Total	0.09	34	\$50.28	\$1,709.59	85.7800	\$2,916.52	\$1,206.93	\$111.60	3.96
M & T BANK CORP									
MTB									
Acquired 10/12/11 L		5	75.99	379.95		782.15	402.20		
Acquired 10/14/11 L		11	75.65	832.15		1,720.73	888.58		
Acquired 10/19/11 L		12	72.96	875.57		1,677.16	1,001.59		
Total	0.14	28	\$74.56	\$2,087.67	156.4300	\$4,380.04	\$2,292.37	\$78.40	1.79



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946Stocks, options & ETFs
Stocks and ETFs continued

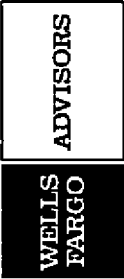
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MACERICH CO									
REIT									
MAC									
Acquired 05/26/10 L nc		30	38.02	1,140.82		2,125.20	984.38		
Acquired 09/02/10 L nc		15	41.81	627.29		1,062.60	435.31		
Acquired 04/21/16 S		2	76.86	153.72		141.68	-12.04		
Acquired 08/11/16 S		3	84.41	253.25		212.52	-40.73		
Total	0.11	50	\$43.50	\$2,175.08	70.8400	\$3,542.00	\$1,366.92	\$142.00	4.01
MARKEL CORP									
MKL									
Acquired 11/22/16 S	0.11	4	922.85	3,691.44	904.5000	3,618.00	-73.44	N/A	N/A
MARRIOTT INTL INC NEW									
CL A									
MAR									
Acquired 07/19/11 L		7	32.54	227.78		578.76	350.98		
Acquired 03/01/16 S		58	69.04	4,004.32		4,795.44	791.12		
Acquired 03/01/16 S		25	68.77	1,719.25		2,067.00	347.75		
Total	0.24	90	\$66.13	\$5,951.35	82.6800	\$7,441.20	\$1,489.85	\$108.00	1.45
MASTERCARD INC CL A									
MA									
Acquired 03/01/16 S	0.35	106	89.42	9,478.52	103.2500	10,944.50	1,465.98	93.28	0.85
MATTEL INCORPORATED									
MAT									
Acquired 03/10/10 L nc		7	20.21	141.52		192.85	51.33		
Acquired 04/22/10 L nc		33	20.79	686.14		909.15	223.01		
Acquired 06/17/15 L		36	26.61	957.96		991.80	33.84		
Acquired 06/19/15 L		14	26.61	372.54		385.70	13.16		
Acquired 08/22/16 S		39	33.54	1,308.38		1,074.45	-233.93		
Total	0.11	129	\$26.87	\$3,466.54	27.5500	\$3,553.95	\$87.41	\$196.08	5.52
MCKESSON CORPORATION									
MCK									
Acquired 08/04/15 L		2	223.19	446.39		280.90	-165.49		
Acquired 08/27/15 L		2	198.42	396.85		280.90	-115.95		
Acquired 08/27/15 L		9	198.42	1,785.84		1,264.05	-521.79		
Acquired 09/03/15 L		1	199.09	199.09		140.45	-58.64		
Acquired 09/03/15 L		4	199.09	796.36		561.80	-234.56		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/01/16 S		5	155.88	779.40		702.25	-77.15		
Total	0.10	23	\$191.48	\$4,403.93	140.4500	\$3,230.35	-\$1,173.58	\$25.76	0.80
MEDTRONIC PLC									
MDT									
Acquired 07/08/15 L		7	72.94	510.63		498.61	-12.02		
Acquired 03/01/16 S		30	73.96	2,219.10		2,136.90	-82.20		
Acquired 03/01/16 S		14	73.96	1,035.44		997.22	-38.22		
Total	0.12	51	\$73.83	\$3,765.17	71.2300	\$3,632.73	-\$132.44	\$87.72	2.41
MICROCHIP TECHNOLOGY INC									
MCHP									
Acquired 12/10/09 L nc		48	20.59	988.47		3,079.20	2,090.73		
Acquired 11/02/15 L		3	48.53	145.59		192.45	46.86		
Acquired 04/21/16 S		3	49.77	149.33		192.45	43.12		
Acquired 08/11/16 S		3	60.72	182.16		192.45	10.29		
Total	0.12	57	\$25.71	\$1,465.55	64.1500	\$3,656.55	\$2,191.00	\$82.19	2.25
MICROSOFT CORP									
MSFT									
Acquired 05/14/15 L		111	48.62	5,397.13		6,897.54	1,500.41		
Acquired 03/16/16 S		6	53.77	322.63		372.84	50.21		
Acquired 03/16/16 S		5	53.87	269.37		310.70	41.33		
Acquired 04/19/16 S		13	56.14	729.82		807.82	78.00		
Acquired 08/08/16 S		17	57.93	984.93		1,056.38	71.45		
Acquired 08/08/16 S		16	57.88	926.22		994.24	68.02		
Acquired 08/23/16 S		11	57.97	637.77		683.54	45.77		
Acquired 08/24/16 S		8	57.96	463.68		497.12	33.44		
Acquired 10/24/16 S		5	60.59	302.96		310.70	7.74		
Acquired 10/24/16 S		5	60.80	304.05		310.70	6.65		
Total	0.39	197	\$52.48	\$10,338.56	62.1400	\$12,241.58	\$1,903.02	\$307.32	2.51
MOBILEYE NV									
MBLY									
Acquired 07/19/16 S		7	47.96	335.75		266.84	-68.91		
Acquired 07/21/16 S		8	48.35	386.82		304.96	-81.86		
Acquired 08/02/16 S		9	45.40	408.66		343.08	-65.58		
Acquired 11/17/16 S		13	39.34	511.46		495.56	-15.90		
Acquired 11/21/16 S		9	39.23	353.11		343.08	-10.03		
Total	0.06	46	\$43.39	\$1,995.80	38.1200	\$1,753.52	-\$242.28	N/A	N/A



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MONSTER BEVERAGE CORP									
MNST									
Acquired 06/01/11 L		6	12 03	72 18		266 04	193 86		
Acquired 09/18/12 L		45	17 57	790 87		1,995 30	1 204 43		
Acquired 11/02/15 L		9	45 18	406 64		399 06	-7 58		
Acquired 03/01/16 S		57	42 77	2,438 08		2,527 38	89 30		
Acquired 03/01/16 S		33	42 99	1 418 78		1,463 22	44 44		
Acquired 04/21/16 S		12	41 51	498 19		532 08	33 89		
Acquired 05/26/16 S		6	50 00	300 00		266 04	-33 96		
Acquired 08/11/16 S		3	54 01	162 06		133 02	-29 04		
Total	0.24	171	\$35.60	\$6,086.80	44,3400	\$7,582.14	\$1,495.34	N/A	N/A
MURATA MANUFACTURING-ADR									
MRAAY									
Acquired 03/01/16 S	0.32	300	30 69	9,207 00	33 3600	10,008 00	801 00	115 20	1 15
NASPERS LTD-N SHS ADR									
SPON									
NPSNY									
Acquired 05/09/16 S	0.07	154	12 85	1,979 82	14 6200	2,251 48	271 66	4 25	0 18
NATIONAL FUEL GAS CO									
NFG									
Acquired 12/13/12 L		33	53 04	1,750 63		1,869 12	118 49		
Acquired 02/11/13 L		8	56 58	452 66		453 12	0 46		
Acquired 02/12/13 L		8	56 75	454 06		453 12	-0 94		
Acquired 05/02/13 L		11	61 75	679 25		623 04	-56 21		
Acquired 05/06/13 L		4	61 75	247 00		226 56	-20 44		
Total	0.11	64	\$55.99	\$3,583.60	56,6400	\$3,624.96	\$41.36	\$103.68	2.86
NATIONAL GRID PLC									
SPON ADR NEW									
NGG									
Acquired 03/01/16 S	0.06	32	68 04	2 177 28	58 3300	1,866 56	-310 72	9 62	5.06
NESTLE S A REG ADR									
NSRGY									
Acquired 03/01/16 S	0.22	97	71 59	6 944 72	71 7400	6,958 78	14 06	188 85	2 71
NETFLIX.COM INC									
NFLX									
Acquired 03/01/16 S		42	96 69	4 060 98		5,199 60	1,138 62		
Acquired 04/29/16 S		14	88 45	1,238 30		1 733 20	494 90		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/12/16 S		3	122.62	367.89		371.40	3.51		
Acquired 12/12/16 S		2	122.61	245.23		247.60	2.37		
Total	0.24	61	\$96.92	\$5,912.40	123.8000	\$7,551.80	\$1,639.40	N/A	N/A
NEW YORK COMMUNITY									
BANCORP INC									
NYCB									
Acquired 12/10/09 L nc		144	13.03	1,877.74		2,291.04	413.30		
Acquired 12/21/09 L nc		35	14.23	498.18		556.85	58.67		
Acquired 10/25/11 L		56	12.72	712.68		890.96	178.28		
Acquired 11/16/11 L		14	12.12	169.78		222.74	52.96		
Total	0.13	249	\$13.09	\$3,258.38	15.9100	\$3,961.59	\$703.21	\$169.32	4.27
NEWELL BRANDS INC									
NWL									
Acquired 10/13/16 S	0.08	56	51.45	2,881.40	44.6500	2,500.40	-381.00	42.56	1.70
NEXTERA ENERGY INC									
NEE									
Acquired 04/18/12 L		11	62.97	692.69		1,314.06	621.37		
Acquired 07/12/12 L		13	68.58	891.60		1,552.98	661.38		
Acquired 07/16/14 L		25	98.65	2,466.32		2,986.50	520.18		
Acquired 08/29/14 L		20	98.28	1,965.72		2,389.20	423.48		
Acquired 10/29/15 L		5	101.42	507.11		597.30	90.19		
Total	0.28	74	\$88.15	\$6,523.44	119.4600	\$8,840.04	\$2,316.60	\$257.52	2.91
NIDEC CORPORATION-ADR									
NIDCY									
Acquired 03/01/16 S	0.11	168	17.00	2,857.26	21.4300	3,600.24	742.98	25.87	0.71
NIKE INC CLASS B									
NKE									
Acquired 03/01/16 S	0.08	50	62.33	3,116.72	50.8300	2,541.50	-575.22	36.00	1.41
NISOURCE INC									
NI									
Acquired 03/11/09 L nc		15	3.06	46.03		332.10	286.07		
Acquired 03/11/10 L nc		2	5.58	11.16		44.28	33.12		
Acquired 02/15/11 L		2	6.85	13.70		44.28	30.58		
Acquired 02/15/11 L		7	6.84	47.94		154.98	107.04		
Acquired 02/15/11 L		39	6.84	267.12		863.46	596.34		
Acquired 02/15/11 L		62	6.84	424.64		1,372.68	948.04		
Acquired 04/01/11 L		5	6.96	41.81		132.84	91.03		



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/26/15 L		60	16.31	979.09		1,328.40	349.31		
Acquired 04/21/16 S		7	22.07	154.51		154.98	0.47		
Total	0.14	200	\$9.93	\$1,986.00	22.1400	\$4,428.00	\$2,442.00	\$132.00	2.98
NORTHERN TRUST CORP									
NTRS									
Acquired 06/24/15 L		38	78.11	2,968.54		3,383.90	415.36		
Acquired 04/21/16 S		4	71.96	287.87		356.20	68.33		
Acquired 08/11/16 S		2	68.68	137.38		178.10	40.72		
Total	0.12	44	\$77.13	\$3,393.79	89.0500	\$3,918.20	\$524.41	\$66.88	1.71
NOVARTIS AG									
SPON ADR									
NVS									
Acquired 03/01/16 S	0.10	44	72.76	3,201.44	72.8400	3,204.96	3.52	101.33	3.16
NOVO NORDISK A S ADR									
NVO									
Acquired 03/01/16 S		190	53.05	10,079.50		6,813.40	-3,266.10		
Acquired 03/01/16 S		63	52.89	3,332.07		2,259.18	-1,072.89		
Acquired 05/31/16 S		12	55.94	671.29		430.32	-240.97		
Acquired 05/31/16 S		10	55.71	557.16		358.60	-198.56		
Acquired 05/07/16 S		4	57.40	229.63		143.44	-86.19		
Acquired 05/08/16 S		7	57.05	399.40		251.02	-148.38		
Acquired 08/04/16 S		6	55.23	331.38		215.16	-116.22		
Acquired 11/03/16 S		126	35.32	4,451.05		4,518.36	67.31		
Total	0.47	418	\$47.97	\$20,051.48	35.8600	\$14,989.48	-\$5,062.00	\$430.54	2.87
NIT DOCOMO INC ADR									
SPONSORED ADR									
BONY 1200TH ORD									
DCM									
Acquired 03/01/16 S	0.10	136	23.68	3,221.17	22.7500	3,094.00	-127.17	83.64	2.70
NVIDIA CORP									
NVDA									
Acquired 03/01/16 S		34	32.47	1,103.98		3,629.16	2,525.18		
Acquired 04/14/16 S		14	36.85	516.03		1,494.36	978.33		
Acquired 05/18/16 S		7	43.55	304.87		747.18	442.31		
Acquired 05/26/16 S		22	45.74	1,006.50		2,348.28	1,341.78		
Acquired 06/02/16 S		9	47.01	423.15		960.66	537.51		
Acquired 06/02/16 S		4	47.09	188.38		426.96	238.58		
Acquired 09/08/16 S		8	62.59	500.78		853.92	353.14		

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/25/16 S		3	71.55	214.66		320.22	105.56		
Acquired 10/26/16 S		8	72.58	580.71		853.92	273.21		
Acquired 12/07/16 S		5	93.65	468.28		533.70	65.42		
Total	0.39	114	\$46.56	\$5,307.34	106.7400	\$12,168.36	\$6,861.02	\$63.84	0.52
NVR INC									
NVR									
Acquired 07/08/16 S	0.05	1	1,821.50	1,821.51	1,669.0000	1,669.00	-152.51	N/A	N/A
NXP SEMICONDUCTORS NV									
NXPI									
Acquired 03/01/16 S		5	74.05	370.30		490.05	119.75		
Acquired 03/07/16 S		3	76.47	229.42		294.03	64.61		
Acquired 03/08/16 S		4	75.53	302.15		392.04	89.89		
Acquired 04/28/16 S		12	86.45	1,037.45		1,176.12	138.67		
Acquired 04/29/16 S		18	84.87	1,527.70		1,764.18	236.48		
Acquired 09/30/16 S		2	102.35	204.70		196.02	-8.68		
Total	0.14	44	\$83.45	\$3,671.72	98.0100	\$4,312.44	\$640.72	N/A	N/A
O'REILLY AUTOMOTIVE INC									
ORLY									
Acquired 03/01/16 S		21	268.26	5,633.46		5,846.61	213.15		
Acquired 04/28/16 S		2	265.67	531.36		556.82	25.46		
Total	0.20	23	\$268.04	\$6,164.82	278.4100	\$6,403.43	\$238.61	N/A	N/A
OCCIDENTAL PETE CORP									
OXY									
Acquired 10/01/14 L		9	91.78	826.11		641.07	-185.04		
Acquired 01/13/15 L		11	74.68	821.63		783.53	-38.10		
Acquired 04/10/15 L		26	78.26	2,034.97		1,851.98	-182.99		
Acquired 06/25/15 L		4	94.18	313.92		284.92	-29.00		
Acquired 06/25/15 L		6	89.55	470.88		427.38	-43.50		
Acquired 06/25/15 L		6	78.47	470.88		427.38	-43.50		
Acquired 09/09/15 L		10	67.89	679.02		712.30	33.28		
Acquired 11/24/15 L		4	75.47	301.92		284.92	-17.00		
Acquired 09/01/16 S		26	76.53	1,989.84		1,851.98	-137.86		
Acquired 11/16/16 S		10	68.67	686.73		712.30	25.57		
Total	0.25	112	\$76.75	\$8,595.90	71.2300	\$7,977.76	-\$618.14	\$340.48	4.27
OCEANEERING INTL INC									
OII									
Acquired 10/11/16 S	0.06	71	28.00	1,988.64	28,2100	2,002.91	14.27	42.60	2.12



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31 2016
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Stocks, options & ETFs

Stocks and ETFs continued

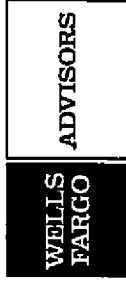
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
OMNICOM GROUP									
OMC									
Acquired 08/25/11 L		1	38 82	38 82		85 11	46 29		
Acquired 08/25/11 L		27	38 82	1,048 35		2,297 97	1,249 62		
Acquired 09/01/15 L		21	65 25	1,370 36		1,787 31	416 95		
Total	0.13	49	\$50.15	\$2,457.53	85.1100	\$4,170.39	\$1,712.86	\$107.80	2.58
ORBITAL ATK INC									
OA									
Acquired 03/18/16 S		12	82 42	989 10		1,052 76	63 66		
Acquired 06/22/16 S		12	87 44	1,049 30		1,052 76	3 46		
Acquired 08/10/16 S		24	68 44	1,642 69		2,105 52	462 83		
Total	0.13	48	\$76.69	\$3,681.09	87.7300	\$4,211.04	\$529.95	\$57.60	1.37
OWENS CORNING INC									
OC									
Acquired 04/28/16 S		20	47 49	949 95		1 031 20	81 25		
Acquired 08/11/16 S		1	54 07	54 07		51 56	-2 51		
Total	0.03	21	\$47.81	\$1,004.02	51.5600	\$1,082.76	\$78.74	\$16.80	1.55
P P G INDUSTRIES INC									
PPG									
Acquired 11/13/15 L		43	100 99	4,342 69		4,074 68	-268 01		
Acquired 09/19/16 S		9	101 43	912 88		852 84	-60 04		
Total	0.16	52	\$101.07	\$5,255.57	94.7600	\$4,927.52	-\$328.05	\$83 20	1.69
PALO ALTO NETWORKS									
PANW									
Acquired 03/01/16 S		13	145 45	1 890 98		1,625 65	-265 33		
Acquired 03/10/16 S		1	153 84	153 84		125 05	-28 79		
Acquired 04/14/16 S		4	140 19	560 78		500 20	-60 58		
Acquired 04/20/16 S		4	139 63	558 55		500 20	-58 35		
Acquired 05/27/16 S		4	129 56	518 26		500 20	-18 06		
Acquired 08/23/16 S		4	141 05	564 23		500 20	-64 03		
Acquired 10/12/16 S		2	149 72	299 45		250 10	-49 35		
Acquired 10/13/16 S		10	152 05	1,520 52		1,250 50	-270 02		
Acquired 10/25/16 S		3	151 27	453 82		375 15	-78 67		
Total	0.18	45	\$144.90	\$6,520.43	125.0500	\$5,627.25	-\$893.18	N/A	N/A

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PANERA BREAD COMPANY									
CL A									
PNRA									
Acquired 10/13/16 S	0.08	12	190.73	2,288.86	205.0900	2,461.08	172.22	N/A	N/A
PARKER-HANNIFIN CORP									
PH									
Acquired 12/05/16 S		4	143.14	572.59		560.00	-12.59		
Acquired 12/06/16 S		4	142.10	568.43		560.00	-8.43		
Acquired 12/09/16 S		3	141.96	425.91		420.00	-5.91		
Acquired 12/13/16 S		4	141.53	566.12		560.00	-6.12		
Acquired 12/16/16 S		5	143.65	718.26		700.00	-18.26		
Acquired 12/19/16 S		6	142.24	853.49		840.00	-13.49		
Total	0.12	26	\$142.49	\$3,704.80	140.0000	\$3,640.00	-\$64.80	\$65.52	1.80
PARSLEY ENERGY INC									
PE									
Acquired 11/10/16 S	0.07	65	35.32	2,295.87	35.2400	2,290.60	-5.27	N/A	N/A
PBF ENERGY INC									
CLASS A									
PBF									
Acquired 06/29/16 S	0.08	89	23.40	2,083.45	27.8800	2,481.32	397.87	106.80	4.30
PERKINELMER INC									
PKI									
Acquired 03/06/14 L		55	46.09	2,535.29		2,868.25	332.96		
Acquired 04/21/16 S		4	51.38	205.55		208.60	3.05		
Acquired 08/11/16 S		2	54.77	109.56		104.30	-5.26		
Total	0.10	61	\$46.73	\$2,850.40	52.1500	\$3,181.15	\$330.75	\$17.08	0.54
PERNOD-RICARD SA-UNSPON									
ADR									
PDRDY									
Acquired 03/01/16 S	0.27	401	21.84	8,778.42	21.5750	8,651.57	-126.85	113.08	1.30
PFIZER INCORPORATED									
PFE									
Acquired 05/24/16 S		2	34.02	68.04		64.96	-3.08		
Acquired 06/21/16 S		219	34.84	7,630.53		7,113.12	-517.41		
Acquired 11/11/16 S		93	32.71	3,042.87		3,020.64	-22.23		
Total	0.32	314	\$34.21	\$10,741.44	32.4800	\$10,198.72	-\$542.72	\$401.92	3.94



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PG&E CORPORATION									
PCG									
Acquired 11/05/15 L		77	54.24	4,176.95		4,679.29	502.34		
Acquired 11/11/16 S		43	58.59	2,519.64		2,613.11	93.47		
Total	0.23	120	\$55.80	\$6,696.59	60.7700	\$7,292.40	\$595.81	\$235.20	3.23
PINNACLE FOODS INC									
PF									
Acquired 11/10/16 S	0.09	56	47.30	2,648.91	53.4500	2,993.20	344.29	63.84	2.13
PIONEER NAT RES CO									
PXD									
Acquired 06/21/16 S		17	156.88	2,667.00		3,061.19	394.19		
Acquired 09/01/16 S		5	178.82	894.14		900.35	6.21		
Acquired 12/21/16 S		5	184.66	923.31		900.35	-22.96		
Total	0.15	27	\$166.09	\$4,484.45	180.0700	\$4,861.89	\$377.44	\$2.16	0.04
PPL CORPORATION									
PPL									
Acquired 09/29/16 S	0.10	92	34.93	3,213.60	34.0500	3,132.60	-81.00	139.84	4.46
PRICELINE GROUP INC									
COM NEW									
PCLN									
Acquired 03/01/16 S		4	1,292.43	5,169.76		5,864.24	694.48		
Acquired 06/06/16 S		1	1,347.78	1,347.78		1,466.06	118.28		
Total	0.23	5	\$1303.51	\$6,517.54	1,466.0600	\$7,330.30	\$812.76	N/A	N/A
PROCTER & GAMBLE CO									
PG									
Acquired 10/31/16 S	0.11	41	87.33	3,580.61	84.0800	3,447.28	-133.33	109.79	3.18
PRUDENTIAL PLC									
SPON ADR									
PUK									
Acquired 03/11/15 L		2	49.22	98.44		79.58	-18.86		
Acquired 04/29/15 L		22	50.47	1,110.55		875.38	-235.17		
Acquired 06/12/15 L		22	50.17	1,103.81		875.38	-228.43		
Acquired 10/05/15 L		30	45.01	1,350.39		1,193.70	-156.69		
Acquired 03/01/16 S		80	36.47	2,918.07		3,183.20	265.13		
Total	0.20	156	\$42.19	\$6,581.25	39.7900	\$6,207.24	-\$374.02	\$217.30	3.50

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PTC INC									
PTC									
Acquired 03/17/14 L		48	37.85	1,817.03		2,220.96	403.93		
Acquired 08/11/16 S		12	41.73	500.82		555.24	54.42		
Total	0.09	60	\$38.63	\$2,317.85	46.2700	\$2,776.20	\$458.35	N/A	N/A
PUBLIC STORAGE INC									
REIT									
PSA									
Acquired 08/25/16 S		8	227.53	1 820.30		1 788.00	-32.30		
Acquired 10/31/16 S		4	211.29	845.20		894.00	48.80		
Total	0.08	12	\$222.13	\$2,665.50	223.5000	\$2,682.00	\$16.50	\$96.00	3.58
QUALCOMM INC									
QCOM									
Acquired 06/09/16 S		10	54.81	548.15		652.00	103.85		
Acquired 06/10/16 S		9	53.76	483.93		586.80	102.87		
Acquired 06/15/16 S		7	53.00	371.07		456.40	85.33		
Acquired 06/15/16 S		6	53.44	320.70		391.20	70.50		
Acquired 06/17/16 S		6	53.36	320.16		391.20	71.04		
Acquired 06/27/16 S		13	51.08	664.04		847.60	183.56		
Acquired 07/21/16 S		8	59.98	479.91		521.60	41.69		
Acquired 07/26/16 S		5	61.26	306.35		326.00	19.65		
Acquired 07/26/16 S		5	61.35	306.75		326.00	19.25		
Acquired 07/28/16 S		8	62.48	499.88		521.60	21.72		
Acquired 07/29/16 S		9	62.71	564.47		586.80	22.33		
Acquired 08/01/16 S		12	62.20	746.48		782.40	35.92		
Acquired 09/14/16 S		17	61.73	1,049.56		1,108.40	58.84		
Acquired 09/15/16 S		8	62.39	499.17		521.60	22.43		
Acquired 09/16/16 S		8	62.59	500.78		521.60	20.82		
Acquired 10/27/16 S		7	70.61	494.31		456.40	-37.91		
Total	0.29	138	\$59.10	\$8,155.71	65.2000	\$8,997.60	\$841.89	\$292.56	3.25
RADIANT GROUP INC									
RDN									
Acquired 12/09/13 L		43	14.28	614.44		773.14	158.70		
Acquired 09/17/14 L		68	14.97	1,018.52		1,222.64	204.12		
Acquired 11/02/15 L		11	14.16	155.84		197.78	41.94		
Acquired 04/21/16 S		15	12.37	185.64		269.70	84.06		
Acquired 08/11/16 S		6	12.83	// 01		107.88	30.87		
Total	0.08	143	\$14.35	\$2,051.45	17.9800	\$2,571.14	\$519.69	\$1.43	0.06



KLEIN FAMILY FOUNDATION INC

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Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
RECKITT BENCKISER PLC									
SPONSORED ADR									
RBGLY	0.07	133	18.89	2 512.37	16.8000	2,234.40	-277.97	51.33	2.29
RED HAT INC									
RHT		16	37.60	601.60		1 115.20	513.60		
Acquired 08/12/11 L		4	37.16	148.65		278.80	130.15		
Acquired 09/09/11 L		4	39.09	148.65		278.80	130.15		
Acquired 09/09/11 L		5	37.16	185.81		348.50	162.69		
Acquired 11/16/11 L		2	52.82	105.64		139.40	33.76		
Acquired 11/02/15 L		3	79.46	238.39		209.10	-29.29		
Acquired 03/01/16 S		43	67.28	2,893.47		2 997.10	103.63		
Acquired 03/01/16 S		25	67.63	1,691.00		1,742.50	51.50		
Acquired 04/21/16 S		4	76.48	305.93		278.80	-27.13		
Acquired 08/11/16 S		2	74.48	148.96		139.40	-9.56		
Total	0.24	108	\$59.89	\$6,468.10	69.7000	\$7,527.60	\$1,059.50	N/A	N/A
REGAL ENTERTAINMENT GRP									
A									
RGC		85	13.09	1,112.76		1,751.00	638.24		
Acquired 12/17/12 L		66	13.18	870.07		1,359.60	489.53		
Acquired 07/31/14 L		46	18.70	860.55		947.60	87.05		
Total	0.13	197	\$14.43	\$2,843.38	20.6000	\$4,058.20	\$1,214.82	\$173.36	4.27
REGENERON PHARMACEUTICAL									
INC									
REGN		11	394.42	4,338.73		4,037.99	-300.74		
Acquired 03/01/16 S		1	427.65	427.65		367.09	-60.56		
Acquired 10/10/16 S		2	394.49	789.00		734.18	-54.82		
Total	0.16	14	\$396.81	\$5,555.38	367.0900	\$5,139.26	-\$416.12	N/A	N/A
REINSURANCE GROUP OF									
AMERICA INC									
RGA		10	55.83	558.35		1,258.30	699.95		
Acquired 01/05/11 L		19	58.17	1,105.39		2,390.77	1 285.38		
Acquired 01/21/11 L		3	52.25	156.75		377.49	220.74		
Acquired 11/16/11 L		5	90.49	452.45		629.15	176.70		
Acquired 11/02/15 L									

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/21/16 S		3	97.18	291.55		377.49	85.94		
Acquired 08/11/16 S		1	101.29	101.30		125.83	24.53		
Total	0.16	41	\$65.02	\$2,665.79	125.8300	\$5,159.03	\$2,493.24	\$67.24	1.30
ROCHE HOLDINGS LTD ADR									
RHHBY									
Acquired 04/19/13 L		27	30.63	827.19		770.31	-56.88		
Acquired 04/19/13 L		41	30.63	1,256.11		1,169.73	-86.38		
Acquired 01/22/14 L		3	34.27	102.82		85.59	-17.23		
Acquired 01/22/14 L		3	34.27	102.82		85.59	-17.23		
Acquired 01/22/14 L		10	34.27	342.73		285.30	-57.43		
Acquired 01/22/14 L		13	34.27	445.55		370.89	-74.66		
Acquired 01/22/14 L		17	34.27	582.65		485.01	-97.64		
Acquired 10/20/14 L		8	35.80	286.42		228.24	-58.18		
Acquired 08/21/15 L		52	35.80	1,861.70		1,483.56	-378.14		
Acquired 08/21/15 L		2	34.27	68.54		57.06	-11.48		
Acquired 08/21/15 L		13	34.27	445.51		370.89	-74.62		
Acquired 08/27/15 L		4	34.66	138.65		114.12	-24.53		
Acquired 08/27/15 L		4	34.66	138.65		114.12	-24.53		
Acquired 08/27/15 L		22	34.66	762.58		627.66	-134.92		
Acquired 09/22/15 L		4	32.07	128.30		114.12	-14.18		
Acquired 09/22/15 L		8	32.07	256.59		228.24	-28.35		
Acquired 09/22/15 L		12	32.08	384.96		342.36	-42.60		
Acquired 11/12/15 L		1	33.28	33.28		28.53	-4.75		
Acquired 11/12/15 L		9	33.28	299.55		256.77	-42.78		
Acquired 07/11/16 S		77	32.60	2,510.70		2,196.81	-313.89		
Acquired 08/30/16 S		114	30.99	3,533.87		3,252.42	-281.45		
Total	0.40	444	\$32.68	\$14,509.17	28.5300	\$12,667.32	-\$1,841.85	\$372.07	2.94
ROCKWELL COLLINS									
COL									
Acquired 01/18/11 L		3	62.97	188.92		278.28	89.36		
Acquired 07/29/11 L		4	55.42	221.70		371.04	149.34		
Acquired 03/11/13 L		15	61.00	915.09		1,391.40	476.31		
Acquired 08/19/13 L		5	72.17	360.85		463.80	102.95		
Acquired 08/19/13 L		6	72.17	433.02		556.56	123.54		
Total	0.10	33	\$64.23	\$2,119.58	92.7600	\$3,061.08	\$941.50	\$43.56	1.42
ROSS STORES INC									
(CALIF)									
ROST									
Acquired 05/01/12 L		63	31.40	1,978.26		4,132.80	2,154.54		

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/02/15 L	8	49.96	399.70		524.80	125.10		
Acquired 04/21/16 S	4	57.50	230.02		262.40	32.38		
Acquired 08/11/16 S	4	61.95	247.80		262.40	14.60		
Total	79	\$36.15	\$2,855.78	65.6000	\$5,182.40	\$2,326.62	\$42.66	0.82
ROYAL CARIBBEAN CRUISES LTD NORWAY								
RCL								
Acquired 01/14/16 S	21	87.19	1,831.05		1,722.84	-108.21		
Acquired 05/16/16 S	11	76.33	839.68		902.44	62.76		
Acquired 08/11/16 S	2	71.11	142.23		164.08	21.85		
Total	34	\$82.73	\$2,812.96	82.0400	\$2,789.36	-\$23.60	\$65.28	2.34
ROYAL DUTCH SHELL PLC ADR B RDS/B								
Acquired 08/30/16 S	40	52.80	2,112.20	57.9700	2,318.80	206.60	150.40	6.48
RPM INTERNATIONAL INC RPM								
Acquired 10/22/13 L	51	38.24	1,950.67		2,745.33	794.66		
Acquired 04/21/16 S	4	50.71	202.86		215.32	12.46		
Acquired 08/11/16 S	2	54.34	108.69		107.66	-1.03		
Total	57	\$39.69	\$2,262.22	53.8300	\$3,068.31	\$806.09	\$68.40	2.23
RYOHIN KEIKAKU CO UNSP ADR								
RYKKY								
Acquired 10/03/16 S	157	41.79	6,562.52	39.3725	6,181.48	-381.04	50.60	0.96
S&P GLOBAL INC SPGI								
Acquired 02/18/15 L	14	104.16	1,458.32		1,505.56	47.24		
Acquired 06/15/16 S	7	107.88	755.20		752.78	-2.42		
Acquired 06/15/16 S	6	107.60	645.62		645.24	-0.38		
Total	27	\$105.89	\$2,859.14	107.5400	\$2,903.58	\$44.44	\$38.88	1.34
SAFRAN SA-UNSPON ADR SAFRY								
Acquired 03/01/16 S	544	16.02	8,732.31	17.9700	9,775.68	1,043.37	153.40	1.56

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
SALESFORCE.COM									
CRM									
Acquired 03/01/16 S		100	69.04	6,904.12		6,846.00	-58.12		
Acquired 11/14/16 S		6	74.60	447.64		410.76	-36.88		
Total	0.23	106	\$69.36	\$7,351.76	68.4600	\$7,256.76	-\$95.00	N/A	N/A
SALLY BEAUTY CO INC									
SBH									
Acquired 05/31/16 S	0.05	62	28.73	1,781.49	26.4200	1,638.04	-143.45	N/A	N/A
SAMPO OYJ-A SHS UNSP ADR									
SAXPY									
Acquired 03/01/16 S		302	23.01	6,950.53		6,761.78	-188.75		
Acquired 05/09/16 S		84	22.23	1,868.11		1,880.76	12.65		
Total	0.27	386	\$22.85	\$8,818.64	22.3900	\$8,642.54	-\$176.10	\$384.84	4.45
SAMSONITE INTERNATIONAL									
SA-UNSPONSORED ADR									
SMSEY									
Acquired 03/01/16 S	0.08	184	14.94	2,749.66	14.0800	2,590.72	-158.94	53.54	2.06
SAP SE-SPONSORED ADR									
SAP									
Acquired 03/31/15 L		22	72.50	1,595.21		1,901.46	306.25		
Acquired 12/14/15 L		9	77.36	696.28		777.87	81.59		
Acquired 08/30/16 S		55	88.79	4,883.57		4,753.65	-129.92		
Total	0.24	86	\$83.43	\$7,175.06	86.4300	\$7,432.98	\$257.92	\$80.06	1.08
SBA COMMUNICATIONS CORP									
SBAC									
Acquired 05/23/11 L		30	39.35	1,180.74		3,097.80	1,917.06		
Acquired 04/21/16 S		1	103.44	103.45		103.26	-0.19		
Acquired 08/11/16 S		3	116.13	348.41		309.78	-38.63		
Total	0.11	34	\$48.02	\$1,632.60	103.2600	\$3,510.84	\$1,878.24	N/A	N/A
SCHLUMBERGER LTD									
SLB									
Acquired 09/01/15 L		6	75.49	452.96		503.70	50.74		
Acquired 09/09/15 L		17	74.79	1,271.58		1,427.15	155.57		
Acquired 10/12/15 L		15	74.47	1,117.14		1,259.25	142.11		
Acquired 03/01/16 S		10	73.54	735.40		839.50	104.10		
Acquired 03/01/16 S		3	73.80	221.40		251.85	30.45		



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/24/16 S		15	75.80	1,137.13	83.9600	1,259.25	122.12		
Total	0.18	66	\$74.78	\$4,935.61	83.9600	\$5,540.70	\$605.09	\$132.00	2.38
SCHWAB CHARLES CORP NEW									
SCHW									
Acquired 06/30/16 S		43	25.43	1,093.59		1,697.21	603.62		
Acquired 07/20/16 S		35	27.75	971.55		1,381.45	409.90		
Acquired 08/05/16 S		25	29.84	746.25		986.75	240.50		
Total	0.13	103	\$27.30	\$2,811.39	39.4700	\$4,065.41	\$1,254.02	\$28.84	0.71
SEMPRA ENERGY									
SRE									
Acquired 07/16/14 L		21	101.99	2,141.86		2,113.44	-28.42		
Acquired 11/05/14 L		6	112.31	673.90		603.84	-70.06		
Acquired 08/11/15 L		10	102.86	1,028.68		1,006.40	-22.28		
Acquired 11/09/15 L		12	100.04	1,200.57		1,207.68	7.11		
Acquired 11/11/15 L		8	101.60	812.82		805.12	-7.70		
Acquired 11/11/15 L		18	101.60	1,828.86		1,811.52	-17.34		
Acquired 12/11/15 L		8	91.38	731.06		805.12	74.06		
Acquired 08/11/16 S		2	106.68	213.37		201.28	-12.09		
Acquired 08/18/16 S		9	107.61	968.54		905.76	-62.78		
Acquired 10/13/16 S		2	104.39	208.79		201.28	-7.51		
Acquired 11/11/16 S		8	95.60	764.81		805.12	40.31		
Total	0.33	104	\$101.67	\$10,573.26	100.6400	\$10,466.56	-\$106.70	\$314.08	3.00
SHIRE PLC									
SHPG									
Acquired 03/01/16 S		35	158.29	5,540.50		5,963.30	422.80		
Acquired 05/31/16 S		2	188.40	376.81		340.76	-36.05		
Acquired 06/02/16 S		4	192.35	769.43		681.52	-87.91		
Acquired 06/02/16 S		3	193.18	579.59		511.14	-68.42		
Acquired 06/07/16 S		2	192.63	385.26		340.76	-44.50		
Acquired 06/14/16 S		4	170.28	681.15		681.52	0.37		
Total	0.27	50	\$166.65	\$8,332.71	170.3800	\$8,519.00	\$186.29	\$40.20	0.47
SIEMENS A G - ADR									
SIEGY									
Acquired 08/30/16 S		17	121.04	2,057.83	122.4200	2,081.14	23.31	46.81	2.24
SIGNATURE BANK NEW YORK									
SBNY									
Acquired 11/03/09 L nc		12	31.05	372.68		1,802.40	1,429.72		

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	%, OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/08/10 L nc		6	34.16	204.96		901.20	696.24		
Acquired 11/03/10 L nc		5	42.50	212.53		751.00	538.47		
Acquired 04/21/16 S		3	138.76	416.30		450.60	34.30		
Acquired 08/11/16 S		1	118.34	118.35		150.20	31.85		
Total	0.13	27	\$49.07	\$1,324.82	150.2000	\$4,055.40	\$2,730.58	N/A	N/A
SIMON PROPERTY GROUP									
REIT INC NEW									
SPG									
Acquired 06/21/16 S		8	208.68	1,669.45		1,421.36	-248.09		
Acquired 06/30/16 S		8	216.73	1,733.88		1,421.36	-312.52		
Acquired 07/11/16 S		4	219.62	878.50		710.68	-167.82		
Acquired 11/11/16 S		5	183.60	918.05		888.35	-29.70		
Acquired 12/21/16 S		13	180.88	2,351.56		2,309.71	-41.85		
Total	0.21	38	\$198.72	\$7,551.44	177.6700	\$6,751.46	-\$799.98	\$247.00	3.66
SINGAPORE									
TELECOMMUNICATIONS-ADR									
SGAPY									
Acquired 12/10/09 L nc		77	21.55	1,659.35		1,920.38	261.03		
Acquired 12/29/10 L nc		19	23.86	453.34		473.86	20.52		
Total	0.08	96	\$22.01	\$2,112.69	24.9400	\$2,394.24	\$281.55	\$118.17	4.94
SKYWORKS SOLUTIONS INC									
SWKS									
Acquired 11/18/10 L nc		23	23.93	550.61		1,717.18	1,166.57		
Acquired 01/31/12 L		11	21.63	237.93		821.26	583.33		
Acquired 11/02/15 L		2	78.57	157.14		149.32	-7.82		
Acquired 04/21/16 S		4	71.97	287.91		298.64	10.73		
Acquired 08/11/16 S		1	67.35	67.35		74.66	7.31		
Total	0.10	41	\$31.73	\$1,300.94	74.6600	\$3,061.06	\$1,760.12	\$45.92	1.50
SLM CORP									
SLM									
Acquired 12/24/14 L		304	10.30	3,133.38		3,350.08	216.70		
Acquired 04/21/16 S		23	6.86	158.01		253.46	95.45		
Acquired 08/11/16 S		16	7.23	115.84		176.32	60.48		
Total	0.12	343	\$9.93	\$3,407.23	11.0200	\$3,779.86	\$372.63	N/A	N/A
SMC CORP/JAPAN									
SMECF									
Acquired 03/01/16 S	0.29	39	231.69	9,036.11	238.6500	9,307.35	271.24	66.87	0.71



KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
SOFTBANK GROUP CORP									
UNSPON ADR									
SFTBY									
Acquired 06/22/15 L		19	29.90	568.17		627.57	59.40		
Acquired 10/20/15 L		26	26.88	698.88		858.78	159.90		
Acquired 03/01/16 S		167	25.37	4,237.63		5,516.01	1,278.38		
Total	0.22	212	\$25.97	\$5,504.68	33.0300	\$7,002.36	\$1,497.68	\$28.19	0.40
SONOCO PRODUCTS CO									
SON									
Acquired 08/25/11 L		19	28.88	548.78		1,001.30	452.52		
Acquired 10/21/11 L		25	29.98	749.69		1,317.50	567.81		
Total	0.07	44	\$29.51	\$1,298.47	52.7000	\$2,318.80	\$1,020.33	\$65.12	2.81
SPDR S&P MIDCAP 400 ET									
TRUST SERIES N									
MDY									
Acquired 03/01/16 S	4.16	435	247.96	107,863.34	301.7300	131,252.55	23,389.21	1,714.33	1.30
SPDR S&P 500 TRUST ET									
SPY									
Acquired 12/10/09 L nc		1,996	110.72	221,013.09		446,165.88	225,152.79		
Acquired 12/27/10 L nc		19	125.47	2,383.93		4,247.07	1,863.14		
Acquired 06/07/11 L nc		85	129.55	11,011.75		19,000.05	7,988.30		
Acquired 03/01/16 S		539	197.80	106,616.90		120,482.67	13,865.77		
Total	18.69	2,639	\$129.23	\$341,025.67	223.5300	\$589,895.67	\$248,870.00	\$11,978.42	2.03
SPLUNK INC									
SPLK									
Acquired 03/01/16 S		58	44.89	2,604.19		2,966.70	362.51		
Acquired 03/07/16 S		7	46.79	327.54		358.05	30.51		
Acquired 03/07/16 S		6	47.32	283.95		306.90	22.95		
Total	0.12	71	\$45.29	\$3,215.68	51.1500	\$3,631.65	\$415.97	N/A	N/A
STARBUCKS CORP									
SBUX									
Acquired 09/05/14 L		79	38.87	3,070.78		4,386.08	1,315.30		
Acquired 07/26/16 S		11	58.73	646.11		610.72	-35.39		
Acquired 07/26/16 S		9	58.28	524.59		499.68	-24.91		
Acquired 08/01/16 S		16	57.67	922.87		888.32	-34.55		
Acquired 12/15/16 S		10	58.07	580.71		555.20	-25.51		
Total	0.22	125	\$45.96	\$5,745.06	55.5200	\$6,940.00	\$1,194.94	\$125.00	1.80

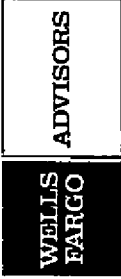
KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
SUMITOMO MITSUI FINL GROUP INC SPON ADR SMFG									
Acquired 03/01/16 S	0.07	289	5.67	1,640.08	7.6400	2,207.96	567.88	68.78	3.11
SUN COMMUNITIES INC REIT									
SUI									
Acquired 01/11/12 L		6	32.38	194.32		459.66	265.34		
Acquired 01/23/12 L		10	34.29	342.99		766.10	423.11		
Acquired 02/06/12 L		7	36.71	257.00		536.27	279.27		
Acquired 09/25/12 L		20	40.88	817.77		1,532.20	714.43		
Acquired 12/05/13 L		14	39.35	550.99		1,072.54	521.55		
Acquired 07/18/16 S		14	75.73	1,060.25		1,072.54	12.29		
Acquired 08/11/16 S		1	77.87	77.88		76.61	-1.27		
Total	0.17	72	\$45.85	\$3,301.20	76.6100	\$5,515.92	\$2,214.72	\$187.20	3.39
SUNTRUST BANKS INC STI									
Acquired 06/03/13 L		43	32.19	1,384.27		2,358.55	974.28		
Acquired 06/18/13 L		3	31.82	95.48		164.55	69.07		
Acquired 06/18/13 L		35	31.82	1,113.98		1,919.75	805.77		
Acquired 08/13/13 L		19	34.70	659.48		1,042.15	382.67		
Acquired 08/29/14 L		1	38.08	38.09		54.85	16.76		
Acquired 08/29/14 L		3	38.08	114.27		164.55	50.28		
Acquired 08/29/14 L		4	38.09	152.36		219.40	67.04		
Acquired 08/29/14 L		44	38.08	1,675.95		2,413.40	737.45		
Acquired 04/21/16 S		3	39.86	119.60		164.55	44.95		
Acquired 08/11/16 S		3	42.06	126.18		164.55	38.37		
Acquired 11/10/16 S		19	49.73	944.94		1,042.15	97.21		
Total	0.31	177	\$36.30	\$6,424.60	54.8500	\$9,708.45	\$3,283.85	\$184.08	1.90
SVB FINANCIAL GROUP SIVB									
Acquired 08/12/15 L		19	137.07	2,604.33		3,261.54	657.21		
Acquired 03/01/16 S		5	94.03	470.20		858.30	388.10		
Acquired 04/21/16 S		3	114.15	342.46		514.98	172.52		
Acquired 08/11/16 S		1	103.96	103.97		171.66	67.69		
Total	0.15	28	\$125.75	\$3,520.96	171.6600	\$4,806.48	\$1,285.52	N/A	N/A



KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER: 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	ADR	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SVENSKA										
HANDELSBANKEN AB										
SVNLY										
Acquired 03/01/16 S		0.09	424	6.35	2,694.52	6,9100	2,929.84	235.32	110.24	3.76
SYNCHRONY FINANCIAL										
SYF										
Acquired 11/30/15 L			35	31.69	1,109.22		1,269.45	160.23		
Acquired 03/23/16 S			36	28.33	1,020.17		1,305.72	285.55		
Acquired 05/04/16 S			29	30.33	879.77		1,051.83	172.06		
Total		0.11	100	\$30.09	\$3,009.16	36.2700	\$3,627.00	\$617.84	\$52.00	1.43
SYSCO CORPORATION										
SYO										
Acquired 09/28/12 L			31	31.17	966.30		1,716.47	750.17		
Acquired 11/05/12 L			29	30.64	888.80		1,605.73	716.93		
Acquired 12/27/12 L			28	31.42	879.89		1,550.36	670.47		
Total		0.15	88	\$31.08	\$2,734.99	55.3700	\$4,872.56	\$2,137.57	\$116.16	2.38
SYSTEMX CORP UNSPSORED										
ADR										
SSMX										
Acquired 03/01/16 S		0.20	222	31.57	7,009.65	29,0000	6,438.00	-571.65	42.84	0.66
TAIWAN SEMICONDUCTOR										
MFG CO LTD ADR										
TSM										
Acquired 10/21/10 L nc			6	10.39	62.39		172.50	110.11		
Acquired 12/29/10 L nc			18	12.23	220.14		517.50	297.36		
Acquired 12/29/10 L nc			20	12.23	244.60		575.00	330.40		
Acquired 11/16/11 L			2	12.81	25.64		57.50	31.86		
Acquired 11/16/11 L			11	12.79	140.76		316.25	175.49		
Acquired 11/16/11 L			28	12.81	358.90		805.00	446.10		
Acquired 10/18/13 L			25	19.12	478.00		718.75	240.75		
Acquired 01/09/14 L			8	16.72	133.83		230.00	96.17		
Acquired 01/09/14 L			62	16.72	1,037.20		1,782.50	745.30		
Acquired 03/01/16 S			156	24.61	3,840.13		4,485.00	644.87		
Total		0.31	336	\$19.47	\$6,541.59	28.7500	\$9,660.00	\$3,118.41	\$257.67	2.62
TESLA MOTORS INC										
TSLA										
Acquired 03/01/16 S		0.11	16	191.84	3,069.60	213,6900	3,419.04	349.44	N/A	N/A

KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THERMO FISHER SCIENTIFIC									
INC									
TMO									
Acquired 11/25/15 L	0.09	21	138.55	2,909.68	141,1000	2,963.10	53.42	12.60	0.42
THOR INDUSTRIES									
THO									
Acquired 05/09/14 L		36	60.93	2,193.48		3,601.80	1,408.32		
Acquired 11/02/15 L		1	54.21	54.21		100.05	45.84		
Acquired 04/21/16 S		3	63.19	189.57		300.15	110.58		
Total	0.13	40	\$60.93	\$2,437.26	100,0500	\$4,002.00	\$1,564.74	\$52.80	1.32
TIME WARNER INC NEW									
TWX									
Acquired 03/01/16 S	0.14	47	66.55	3,127.85	96,5300	4,536.91	1,409.06	75.67	1.66
TJX COS INC NEW									
TJX									
Acquired 05/15/14 L		34	58.33	1,983.42		2,554.42	571.00		
Acquired 06/12/14 L		2	55.15	110.30		150.26	39.96		
Acquired 06/12/14 L		20	55.14	1,102.97		1,502.60	399.63		
Total	0.13	56	\$57.08	\$3,196.69	75,1300	\$4,207.28	\$1,010.59	\$58.24	1.38
TOTAL S.A. SPONS ADR									
TOT									
Acquired 07/03/13 L		6	48.31	289.90		305.82	15.92		
Acquired 07/03/13 L		12	48.31	579.79		611.64	31.85		
Acquired 07/03/13 L		13	48.31	628.11		662.61	34.50		
Acquired 08/01/13 L		1	53.59	53.59		50.97	-2.62		
Acquired 08/01/13 L		18	53.59	964.78		917.46	-47.32		
Acquired 09/13/13 L		8	56.89	455.14		407.76	-47.38		
Acquired 02/18/14 L		6	61.21	367.27		305.82	-61.45		
Acquired 02/02/15 L		4	53.33	213.33		203.88	-9.45		
Acquired 03/01/16 S		104	45.47	4,739.14		5,300.88	561.74		
Total	0.28	172	\$48.20	\$8,291.05	50,9700	\$8,766.84	\$475.79	\$392.67	4.48
TRINITY INDUSTRIES INC									
TRN									
Acquired 11/11/16 S	0.08	89	26.32	2,343.29	27,7600	2,470.64	127.35	39.16	1.58
UBS GROUP AG									
UBS									
Acquired 08/01/13 L		28	19.88	556.78		438.76	-118.02		



KLEIN FAMILY FOUNDATION INC

DECEMBER 1 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/14/14 L		28	19.84	555.57		438.76	-116.81		
Acquired 05/08/14 L		20	20.94	418.82		313.40	-105.42		
Acquired 03/10/15 L		58	17.25	1,000.75		908.86	-91.89		
Total	0.07	134	\$18.89	\$2,531.92	15.6700	\$2,099.78	-\$432.14	\$112.09	5.34
ULTA SALON COSMETICS & FRAGRANCE INC									
ULTA									
Acquired 07/14/16 S		3	253.46	760.39		764.82	4.43		
Acquired 07/15/16 S		1	256.04	256.04		254.94	-1.10		
Acquired 07/21/16 S		2	256.24	512.49		509.88	-2.61		
Acquired 08/04/16 S		1	260.50	260.51		254.94	-5.57		
Acquired 08/26/16 S		4	256.33	1,025.33		1,019.76	-5.57		
Total	0.09	11	\$255.89	\$2,814.76	254.9400	\$2,804.34	-\$10.42	N/A	N/A
UNILEVER PLC SPONS ADR									
UL									
Acquired 03/01/16 S	0.14	107	44.15	4,724.68	40.7000	4,354.90	-369.78	147.87	3.39
UNITED NATURAL FOODS INC									
UNFI									
Acquired 03/22/16 S	0.07	49	41.62	2,039.58	47.7200	2,338.28	298.70	N/A	N/A
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 03/11/15 L		6	118.61	711.68		657.72	-53.96		
Acquired 07/27/15 L		19	99.21	1,885.01		2,082.78	197.77		
Acquired 08/27/15 L		11	92.22	1,014.45		1,205.82	191.37		
Acquired 10/22/15 L		8	99.96	799.70		876.96	77.26		
Total	0.15	44	\$100.25	\$4,410.84	109.6200	\$4,823.28	\$412.44	\$116.16	2.41
US BANCORP NEW									
USB									
Acquired 01/12/16 S		4	40.06	160.25		205.48	45.23		
Acquired 01/25/16 S		64	38.84	2,485.24		3,287.68	801.44		
Acquired 03/01/16 S		29	39.70	1,151.59		1,489.73	338.14		
Total	0.16	97	\$39.16	\$3,798.08	51.3700	\$4,982.89	\$1,184.81	\$108.64	2.18
VARIAN MEDICAL SYSTEMS INC									
VAR									
Acquired 12/17/15 L	0.07	25	78.75	1,968.75	89.7800	2,244.50	275.75	N/A	N/A

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VCA INC									
WOOF									
Acquired 06/27/16 S		35	64.73	2,265.90		2,402.75	136.85		
Acquired 08/11/16 S		2	72.71	145.43		137.30	-8.13		
Total	0.08	37	\$65.17	\$2,411.33	68.6500	\$2,540.05	\$128.72	N/A	N/A
VERISK ANALYTICS INC									
VRSK									
Acquired 03/16/15 L		31	71.81	2,226.11		2,516.27	290.16		
Acquired 04/21/16 S		2	78.64	157.28		162.34	5.06		
Acquired 08/11/16 S		2	81.61	163.23		162.34	-0.89		
Total	0.09	35	\$72.76	\$2,546.62	81.1700	\$2,840.95	\$294.33	N/A	N/A
VERIZON COMMUNICATIONS									
COM									
VZ									
Acquired 03/13/14 L		6	47.97	278.02		320.28	42.26		
Acquired 03/13/14 L		57	46.33	2,641.23		3,042.66	401.43		
Acquired 07/16/14 L		22	50.87	1,119.35		1,174.36	55.01		
Acquired 08/29/14 L		24	49.78	1,194.84		1,281.12	86.28		
Acquired 12/23/14 L		40	47.64	1,905.90		2,135.20	229.30		
Acquired 09/01/16 S		23	52.32	1,203.42		1,227.74	24.32		
Acquired 11/11/16 S		16	46.57	745.12		854.08	108.96		
Acquired 11/16/16 S		37	47.60	1,761.26		1,975.06	213.80		
Acquired 11/23/16 S		16	50.13	802.12		854.08	51.96		
Total	0.41	241	\$48.35	\$11,651.26	53.3800	\$12,864.58	\$1,213.32	\$556.71	4.33
VISA INC CLASS A									
V									
Acquired 05/21/13 L		21	45.53	956.21		1,638.42	682.21		
Acquired 05/21/13 L		24	45.53	1,092.83		1,872.48	779.65		
Acquired 05/21/13 L		136	45.53	6,192.68		10,610.72	4,418.04		
Acquired 03/11/16 S		24	71.57	1,717.71		1,872.48	154.77		
Acquired 04/22/16 S		12	79.08	949.06		936.24	-12.82		
Acquired 05/09/16 S		9	77.93	701.39		702.18	0.79		
Acquired 07/20/16 S		24	79.61	1,910.78		1,872.48	-38.31		
Total	0.52	250	\$54.08	\$13,520.67	78.0200	\$19,505.00	\$5,984.33	\$165.00	0.85
VODAFONE GROUP PLC NEW									
SPONSORED ADR NO PAR									
VOD									
Acquired 12/29/10 L nc		2	52.60	105.20		48.86	-56.34		

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KLEIN FAMILY FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3693-8946Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/22/11 L		28	54.14	1,516.01		684.04	-831.97		
Acquired 04/04/11 L		24	54.27	1,302.57		586.32	-716.25		
Acquired 11/16/11 L		2	55.11	110.23		48.86	-61.37		
Acquired 11/16/11 L		6	27.48	164.93		146.58	-18.35		
Acquired 03/01/16 S		181	31.28	5,662.77		4,421.83	-1,240.94		
Acquired 08/30/16 S		60	30.59	1,835.99		1,465.80	-370.19		
Total	0.23	303	\$35.31	\$10,697.70	24.4300	\$7,402.29	-\$3,295.41	\$452.37	6.11
VULCAN MATERIALS COMPANY									
VMC									
Acquired 11/15/16 S	0.08	20	134.09	2,681.90	125.1500	2,503.00	-178.90	10.00	0.63
WABCO HOLDINGS INC									
WBC									
Acquired 03/17/11 L		9	58.00	522.08		955.35	433.27		
Acquired 04/01/11 L		2	62.97	125.94		212.30	86.36		
Acquired 04/21/16 S		1	111.66	111.68		106.15	-5.51		
Acquired 08/11/16 S		1	101.42	101.43		106.15	4.72		
Total	0.04	13	\$66.24	\$861.11	106.1500	\$1,379.95	\$518.84	N/A	N/A
WABTEC									
WAB									
Acquired 11/17/16 S	0.08	30	86.71	2,601.57	83.0200	2,490.60	-110.97	12.00	0.48
WELLS FARGO & CO NEW									
WFC									
Acquired 10/01/14 L		24	51.36	1,232.75		1,322.64	89.89		
Acquired 06/08/15 L		26	56.38	1,465.90		1,432.86	-33.04		
Acquired 08/27/15 L		50	53.13	2,656.85		2,755.50	98.65		
Acquired 10/29/15 L		22	55.25	1,215.53		1,212.42	-3.11		
Acquired 11/05/15 L		44	54.67	2,405.73		2,424.84	19.11		
Acquired 03/11/16 S		20	49.73	994.61		1,102.20	107.59		
Acquired 04/28/16 S		45	50.71	2,282.16		2,479.95	197.79		
Acquired 05/31/16 S		20	50.62	1,012.57		1,102.20	89.63		
Acquired 06/15/16 S		21	47.54	998.37		1,157.31	158.94		
Acquired 12/21/16 S		17	55.83	949.13		936.87	-12.26		
Total	0.50	289	\$52.64	\$15,213.60	55.1100	\$15,926.79	\$713.19	\$439.28	2.76
WELLTOWER INC									
HCN									
Acquired 03/11/09 L nc		17	23.85	405.61		1,137.81	732.20		
Acquired 12/10/09 L nc		11	38.59	424.59		736.23	311.64		
Acquired 02/28/12 L		16	50.94	815.17		1,070.88	255.71		

KLEIN FAMILY FOUNDATION INC

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/01/16 S		1	65.44	65.44		66.93	1.49		
Total	0.10	45	\$38.02	\$1,710.81	66.9300	\$3,011.85	\$1,301.04	\$154.80	5.14
WESTERN DIGITAL CORP									
WDC		30	54.84	1,645.35		2,038.50	393.15		
Acquired 10/13/16 S		19	53.32	1,013.27		1,291.05	277.78		
Acquired 10/25/16 S		49	\$54.26	\$2,658.62	67.9500	\$3,329.55	\$670.93	\$98.00	2.94
Total	0.11	49	\$54.26	\$2,658.62	67.9500	\$3,329.55	\$670.93	\$98.00	2.94
WESTERN UNION CO									
WU		83	16.89	1,402.12		1,802.76	400.64		
Acquired 08/07/14 L		70	17.48	1,223.81		1,520.40	296.59		
Acquired 09/02/14 L		57	18.09	1,031.67		1,238.04	206.37		
Acquired 12/09/14 L		210	\$17.42	\$3,657.60	21.7200	\$4,561.20	\$903.60	\$134.40	2.95
Total	0.14	210	\$17.42	\$3,657.60	21.7200	\$4,561.20	\$903.60	\$134.40	2.95
WHIRLPOOL CORP									
WHR		13	175.83	2,285.89		2,363.01	77.12		
Acquired 06/15/16 S		5	165.66	828.33		908.85	80.52		
Acquired 06/30/16 S		5	150.59	752.95		908.85	155.90		
Acquired 10/31/16 S		23	\$168.14	\$3,867.17	181.7700	\$4,180.71	\$313.54	\$92.00	2.20
Total	0.13	23	\$168.14	\$3,867.17	181.7700	\$4,180.71	\$313.54	\$92.00	2.20
WOODWARD INC									
WWD		23	53.79	1,237.39		1,588.15	350.76		
Acquired 05/06/16 S		15	54.03	810.53		1,035.75	225.22		
Acquired 05/09/16 S		38	\$53.89	\$2,047.92	69.0500	\$2,623.90	\$575.98	\$16.72	0.64
Total	0.08	38	\$53.89	\$2,047.92	69.0500	\$2,623.90	\$575.98	\$16.72	0.64
WORKDAY INC									
CLASS A		60	66.22	3,973.79	66.0900	3,965.40	-8.39	N/A	N/A
WDAY		60	66.22	3,973.79	66.0900	3,965.40	-8.39	N/A	N/A
Acquired 03/01/16 S	0.13	60	66.22	3,973.79	66.0900	3,965.40	-8.39	N/A	N/A
WPP PLC NEW ADR									
WPPGY		4	61.52	246.08		442.64	196.56		
Acquired 12/29/10 L nc		8	52.20	52.20		110.66	58.46		
Acquired 11/16/11 L		1	86.25	690.02		885.28	195.26		
Acquired 05/10/13 L		7	106.85	748.00		774.62	26.62		
Acquired 05/12/14 L		20	\$86.82	\$1,736.30	110.6600	\$2,213.20	\$476.90	\$66.24	2.99
Total	0.07	20	\$86.82	\$1,736.30	110.6600	\$2,213.20	\$476.90	\$66.24	2.99



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Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WYNN MACAU LTD									
UNSPONSORED ADR									
WYNNMY									
Acquired 04/08/16 S	0.07	134	16.02	2,147.70	15.7100	2,105.14	-42.56	N/A	N/A
WYNN RESORTS LTD									
WYNN									
Acquired 10/13/16 S	0.06	23	93.87	2,159.16	86.5100	1,989.73	-169.43	46.00	2.31
XCEL ENERGY INC									
XEL									
Acquired 03/11/09 L nc		41	16.61	681.01		1,668.70	987.69		
Acquired 12/10/09 L nc		9	20.93	188.44		366.30	177.86		
Total	0.06	50	\$17.39	\$869.45	40.7000	\$2,035.00	\$1,165.55	\$68.00	3.34
ZIMMER BIOMET HOLDINGS INC									
ZBH									
Acquired 02/03/11 L		2	60.34	120.69		206.40	85.71		
Acquired 02/03/11 L		9	60.34	543.11		928.80	385.69		
Acquired 11/16/11 L		5	51.49	257.48		516.00	258.52		
Acquired 01/14/13 L		3	70.53	211.59		309.60	98.01		
Acquired 09/01/15 L		28	101.58	2,844.41		2,889.60	45.19		
Acquired 04/01/16 S		16	106.73	1,707.77		1,651.20	-56.57		
Acquired 08/05/16 S		10	131.26	1,312.60		1,032.00	-280.60		
Acquired 09/19/16 S		7	125.41	877.89		722.40	-155.49		
Total	0.26	80	\$98.44	\$7,875.54	103.2000	\$8,256.00	\$380.46	\$76.80	0.93
ZOETIS INC									
CLASS A									
ZTS									
Acquired 10/04/16 S		35	52.19	1,826.97		1,873.55	46.58		
Acquired 10/31/16 S		18	48.10	865.83		963.54	97.71		
Acquired 11/11/16 S		18	50.72	913.05		963.54	50.49		
Total	0.12	71	\$50.79	\$3,605.85	53.5300	\$3,800.63	\$194.78	\$29.82	0.78
Total Stocks and ETFs	97.36			\$2,270,225.31		\$3,072,729.66	\$802,504.35	\$56,890.35	1.85
Total Stocks, options & ETFs	97.36			\$2,270,225.31		\$3,072,729.66	\$802,504.35	\$56,890.35	1.85

nc Cost Information for this tax lot is not covered by IRS reporting requirements. Unless indicated cost for all other lots will be reported to the IRS