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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	79,441	17,844	17,844
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	105,148		
	b	Investments—corporate stock (attach schedule)	1,682,904	2,130,569	2,436,702
	c	Investments—corporate bonds (attach schedule)	1,425,409	1,148,034	1,122,678
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,292,902	3,296,447	3,577,224	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,292,902	3,296,447	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	3,292,902	3,296,447	
31	Total liabilities and net assets/fund balances (see instructions) .	3,292,902	3,296,447		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,292,902
2	Enter amount from Part I, line 27a	2	3,545
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	3,296,447
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,296,447

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	147,068
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	186,994	3,677,809	0 050844
2014	209,769	3,754,073	0 055878
2013	124,300	3,468,839	0 035833
2012	263,874	3,329,238	0 07926
2011	120,255	3,374,962	0 035632
2 Total of line 1, column (d)			2 0 257447
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 051489
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 3,519,060
5 Multiply line 4 by line 3			5 181,193
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,843
7 Add lines 5 and 6			7 183,036
8 Enter qualifying distributions from Part XII, line 4			8 180,753

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	3,686
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	3,686
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,686
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,980
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	3,980
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	294
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 294 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► <u>LEGACY PRIVATE TRUST COMPANY</u> Telephone no ► <u>(920) 967-5020</u>			

Located at ► PO BOX 649 NEENAH WI ZIP+4 ► 549570649

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☐ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LEGACY PRIVATE TRUST COMPANY TWO NEENAH CENTER NEENAH, WI 54956	TRUSTEE 1	32,675		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ☐				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,487,120
b	Average of monthly cash balances.	1b	85,530
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,572,650
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,572,650
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	53,590
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,519,060
6	Minimum investment return. Enter 5% of line 5.	6	175,953

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	175,953
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	3,686
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,686
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	172,267
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	172,267
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	172,267

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	180,753
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	180,753
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	180,753

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				172,267
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				0
b From 2012.				0
c From 2013.				0
d From 2014.				0
e From 2015.				4,257
f Total of lines 3a through e.	4,257			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 180,753				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				172,267
e Remaining amount distributed out of corpus	8,486			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,743			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	12,743			
10 Analysis of line 9				
a Excess from 2012.				0
b Excess from 2013.				0
c Excess from 2014.				0
d Excess from 2015.				4,257
e Excess from 2016.				8,486

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	175,652
b <i>Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

1 Program service revenue**a** _____**b** _____**c** _____**d** _____**e** _____**f** _____**g** Fees and contracts from government agencies**2** Membership dues and assessments.**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities.**5** Net rental income or (loss) from real estate**a** Debt-financed property.**b** Not debt-financed property.**6** Net rental income or (loss) from personal property**7** Other investment income.**8** Gain or (loss) from sales of assets other than inventory**9** Net income or (loss) from special events**10** Gross profit or (loss) from sales of inventory**11** Other revenue **a** _____**b** _____**c** _____**d** _____**e** _____**12** Subtotal. Add columns (b), (d), and (e).**13 Total.** Add line 12, columns (b), (d), and (e). **13** 218,526

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**Line No.**

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)	No
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1a(1)		No
1a(2)		No

1b(1)		No
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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue[illegible]

☐ Yes ☒ No

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Signature of preparer (other than taxpayer): _____ Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
480 APPLE INC COM		2014-12-02	2016-01-29
1435 FIRST TRUST SMALL CAP CORE ALPHADDEX FUND		2015-11-11	2016-01-29
745 SPDR DOW JONES GLOBAL REAL ESTATE		2015-12-16	2016-01-29
105000 OCONOMOWOC WIS GP PROM NTS 3 25% DUE 03/01/2016		2011-03-01	2016-03-01
260 ABBVIE INC		2015-05-21	2016-04-04
350 ALTRIA GROUP INC COM		2015-09-03	2016-04-04
1820 FIRST TRUST MID CAP CORE ALPHADDEX		2015-09-03	2016-04-04
2750 FIRST TRUST MID CAP CORE ALPHADDEX		2015-10-07	2016-04-04
1270 ISHARES BARCLAYS 1-3 YR TR BD FD		2015-09-03	2016-04-04
100 METTLER TOLEDO INTERNATIONAL INC		2013-01-28	2016-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,060		49,579	-3,519
58,016		66,717	-8,701
33,309		35,171	-1,862
105,000		105,000	
15,384		17,046	-1,662
22,008		18,795	3,213
89,597		90,531	-934
135,380		136,792	-1,412
107,834		107,810	24
34,994		21,271	13,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,519
			-8,701
			-1,862
			-1,662
			3,213
			-934
			-1,412
			24
			13,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2543 POWERSHARES PREFERRED PORT ETF		2014-08-14	2016-04-04
785 POWERSHARES EMERG MKTS SOVER DEBT		2015-05-21	2016-04-04
780 TORCHMARK		2013-06-19	2016-04-04
2040 VANGUARD INTER TERM CORP BD ETF		2014-08-14	2016-04-04
320 JACK HENRY & ASSOCIATES INC		2014-02-21	2016-05-04
650 ISHARES CORE RUSSELL US VALUE ETF		2016-04-04	2016-05-04
740 ISHARES CORE RUSSELL US GROWTH ETF		2015-04-17	2016-05-04
1580 ISHARES CORE RUSSELL US GROWTH ETF		2016-04-04	2016-05-04
2436 POWERSHARES PREFERRED PORT ETF		2014-08-14	2016-05-04
1070 VANGUARD LONG TERM CORPORATE BD ETF		2016-04-04	2016-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,289		37,230	59
22,255		22,433	-178
42,096		34,007	8,089
177,123		177,016	107
26,588		17,619	8,969
84,336		80,997	3,339
59,117		60,110	-993
126,223		126,007	216
36,490		35,522	968
97,248		96,450	798

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			-178
			8,089
			107
			8,969
			3,339
			-993
			216
			968
			798

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
532 VANGUARD LONG TERM CORPORATE BD ETF		2014-02-21	2016-05-04
980 WELLS FARGO & CO NEW COM		2011-08-24	2016-05-04
1991 POWERSHARES PREFERRED PORT ETF		2014-06-09	2016-05-26
8500 GUGGENHEIM BULLETSHARES 2017 CORP BOND ETF		2015-05-21	2016-06-17
200 EQUIFAX INC COM		2016-05-04	2016-06-17
5180 POWERSHARES PREFERRED PORT ETF		2014-06-09	2016-06-17
3360 POWERSHARES EMERGING MKT ETF		2016-05-04	2016-06-17
2628 VANGUARD TOTAL INTL BOND ETF		2016-04-04	2016-06-17
607 SPDR BARCLAYS INTERMEDIATE TERM TREASURY ETF		2016-05-04	2016-06-21
20 ALASKA AIR GROUP INC		2015-11-11	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,351		45,558	2,793
47,796		23,979	23,817
29,926		28,919	1,007
193,078		188,372	4,706
24,559		23,796	763
77,768		73,325	4,443
51,272		51,979	-707
144,570		143,357	1,213
37,129		37,063	66
1,325		1,553	-228

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,793
			23,817
			1,007
			4,706
			763
			4,443
			-707
			1,213
			66
			-228

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
520 ALASKA AIR GROUP INC		2014-12-31	2016-08-05
1947 POWERSHARES EMERG MKTS SOVER DEBT		2015-05-21	2016-08-05
7200 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2016-06-17	2016-08-05
350 ROPER TECHNOLOGIES INC		2015-03-13	2016-08-05
581 SPDR BARCLAYS INTERMEDIATE TERM TREASURY ETF		2016-05-04	2016-08-05
610 VALERO ENERGY CORP NEW COM		2016-06-17	2016-08-05
860 VALERO ENERGY CORP NEW COM		2012-12-24	2016-08-05
1000 VANGUARD MEGA CAP ETF		2016-06-17	2016-08-05
581 VANGUARD INTER TERM CORP BD ETF		2016-05-04	2016-08-05
690 AMERIPRISE FINL INC COM		2015-05-21	2016-10-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,455		31,348	3,107
58,742		55,640	3,102
119,517		127,656	-8,139
59,650		58,240	1,410
35,591		35,476	115
32,656		31,476	1,180
46,040		26,687	19,353
74,384		70,890	3,494
51,825		51,064	761
67,108		78,526	-11,418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,107
			3,102
			-8,139
			1,410
			115
			1,180
			19,353
			3,494
			761
			-11,418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2750 FIRST TRUST MID CAP CORE ALPHADEX		2015-10-07	2016-10-14
570 JACK HENRY & ASSOCIATES INC		2013-08-28	2016-10-14
50 LOWES COS INC COM		2016-08-05	2016-10-14
950 LOWES COS INC COM		2007-03-27	2016-10-14
1960 SPDR DOW JONES GLOBAL REAL ESTATE		2016-08-05	2016-10-14
680 VANGUARD INDEX SMALL CAP ETF		2016-08-05	2016-10-14
1100 ABBVIE INC		2015-05-21	2016-12-02
1300 FIRST TRUST GLOBAL TACTICAL COMMOD STRATEGY FUND		2016-10-14	2016-12-02
500 MOODYS CORP COM		2016-10-14	2016-12-02
900 ONEOK INC NEW COM		2016-08-05	2016-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141,814		137,372	4,442
47,086		28,477	18,609
3,575		4,089	-514
67,931		30,489	37,442
92,629		97,567	-4,938
80,860		82,967	-2,107
65,493		36,515	28,978
26,571		26,598	-27
48,569		53,670	-5,101
49,083		40,580	8,503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,442
			18,609
			-514
			37,442
			-4,938
			-2,107
			28,978
			-27
			-5,101
			8,503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3118 POWERSHARES EMERG MKTS SOVER DEBT		2015-05-21	2016-12-02
2740 POWERSHARES EMERGING MKT ETF		2016-10-14	2016-12-02
1700 SPDR DOW JONES GLOBAL REAL ESTATE		2016-04-04	2016-12-02
350 VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ETF		2015-05-21	2016-12-02
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86,554		89,103	-2,549
49,018		49,051	-33
77,229		82,654	-5,425
15,837		18,841	-3,004
			298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,549
			-33
			-5,425
			-3,004

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PW SENIOR CITIZENS CENTER 403 W FOSTER STREET PORT WASHINGTON, WI 53074	NONE	PUBLIC CHARITY	GENERAL USE	5,270
FRIEDENS EVANGELICAL CHURCH 454 N MILWAUKEE STREET PORT WASHINGTON, WI 53074	NONE	CHURCH	GENERAL USE	22,835
UNITED WAY OF NORTHERN OZAUKEE CO P O BOX 39 PORT WASHINGTON, WI 53074	NONE	PUBLIC CHARITY	GENERAL USE	14,048
WISCONSIN HUMANE SOCIETY OZAUKEE CAMPUS 630 W DEKORA ST SAUKVILLE, WI 53080	NONE	PUBLIC CHARITY	GENERAL USE	7,905
FAMILY SHARING OF OZAUKEE CO INC 1002 OVERLAND COURT GRAFTON, WI 53024	NONE	PUBLIC CHARITY	GENERAL USE	14,052
FOOD PANTRY INC 1800 N WISCONSIN STREET PORT WASHINGTON, WI 53074	NONE	PUBLIC CHARITY	GENERAL USE	10,539
VAN ELLS SCHANEN POST N 82 INC 672 EVERGREEN TERRACE PORT WASHINGTON, WI 53074	NONE	LODGE	GENERAL USE	5,270
COMMUNITY EDUCATION FDN OF PW - SAUKVILLE 100 WEST MONROE ST PORT WASHINGTON, WI 53074	NONE	501C3	GENERAL USE	87,828
W J NIEDERKORN LIBRARY 316 W GRAND AVENUE PORT WASHINGTON, WI 53074	NONE	PUBLIC CHARITY	GENERAL USE	7,905
Total ▶ 3a				175,652

TY 2016 Accounting Fees Schedule**Name:** ARNO GUNTHER CHARITABLE FOUNDATION 43P005010**EIN:** 26-2522556

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	875	875		

TY 2016 Investments Corporate Bonds Schedule**Name:** ARNO GUNTHER CHARITABLE FOUNDATION 43P005010**EIN:** 26-2522556

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GUGGENHEIM BULLETSHARES 2017		
ISHARES INTER GOVT CREDIT BD F		
GUGGENHEIM BULLETSHARES 2018 C	191,192	190,620
VANGUARD LONG TERM CORPORATE B		
VANGUARD INTERMED TERM CORP BO	92,460	90,156
VANGUARD TOTAL INTL STOCK INDE	129,422	116,994
FIRST TRUST MID CAP CORE ALPHA		
FIRST TRUST SMALL CAP CORE ALP		
GUGGENHEIM BULTTTERSHARES 2019	192,510	190,260
ISHARES BARCLAYS 1-3 YR TR BD	86,156	86,055
ISHARES CORE US GROWTH ETF		
FIRST TRUST GLOBAL TACTINCAL C	171,864	171,444
GUGGENHEIM BULLETSHARES 2020 B	190,099	188,235
ISHARES EMERGING MARKETS LOCAL	94,331	88,914

TY 2016 Investments Corporate Stock Schedule

Name: ARNO GUNTHER CHARITABLE FOUNDATION 43P005010
EIN: 26-2522556

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERIPRISE FINL INC		
ABBVIE INC		
LOWES COS INC		
TARGET CORP	60,348	71,508
WELLS FARGO & CO		
BIOGEN IDEC INC	26,731	45,373
CONSTELLATIONS BRANDS INC	28,522	79,721
DISCOVER FINANCIAL SERVICES	32,030	69,927
MACY'S INC	54,294	46,911
VALERO ENERGY CORP		
JACK HENRY & ASSOCIATES		
METTLER TOLEDO INT'L	27,652	54,413
TORCHMARK		
AFLAC INC	70,957	93,960
APPLE INC		
CSX CORP	38,604	43,116
POWERSHARES EMER MKTS SOVER DE	105,893	123,420
SPDR DOW JONES GLOBAL RE		
ALASKA AIR GROUP INC		
ALPHABETH INC	65,976	79,245
ALTRIA GROUP INC	85,440	101,430
POWERSHARES FTSE RAFI US 1500	403,958	449,631
POWERSHARES PREFERRED PORT ETF		
ROPER TECHNOLOGIES		
VISA INC	67,932	70,218
ACCENTURE PLC CLS A	74,256	73,792
AMAZON.COM	76,641	74,987
APACHE CORP	67,617	86,319
CBS CORP	59,803	68,710
ECOLAB INC	94,015	93,776

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FISERV INC	47,210	48,889
INTEL CORP	67,158	71,452
ISHARES SHORT TREASURY BOND	91,663	91,549
JPMORGAN CHASE	60,953	77,661
NASDAQ INC	74,826	80,544
NIELSEN HOLDINGS INC	74,989	58,730
TELEFLEX INC	71,893	72,517
TEXAS INSTRUMENTS	66,541	68,592
UGI CORP	70,067	77,414
WALGREENS BOOTS ALLIANCE IN	64,600	62,897

TY 2016 Investments Government Obligations Schedule

Name: ARNO GUNTHER CHARITABLE FOUNDATION 43P005010

EIN: 26-2522556

**US Government Securities - End
of Year Book Value:**

**US Government Securities - End
of Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Taxes Schedule**Name:** ARNO GUNTHER CHARITABLE FOUNDATION 43P005010**EIN:** 26-2522556

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	1,121	0		1,121
FEDERAL ESTIMATES - INCOME	3,980	0		3,980
FOREIGN TAXES ON QUALIFIED FOR	479	479		0
FOREIGN TAXES ON NONQUALIFIED	199	199		0