Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE SERRA FOUNDATION

A Employer identification number
26-1675789

Number and street (or P O box number if mail is not delivered to street address)
13338 WENWOOD DRIVE

Room/suite

B Telephone number (see instructions)
(810) 694-1720

City or town, state or province, country, and ZIP or foreign postal code
FENTON, MI 484301159

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 4,635,390

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	2,205,977			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	369	369		
	4	Dividends and interest from securities	83,249	83,249		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	33,898			
	b	Gross sales price for all assets on line 6a 1,483,560				
	7	Capital gain net income (from Part IV, line 2)		33,898		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	2,323,493	117,516		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,525	2,525		
	c	Other professional fees (attach schedule)	15,779	15,779		
	17	Interest	41	41		
	18	Taxes (attach schedule) (see instructions)	19,641	132		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	37,986	18,477		0
	25	Contributions, gifts, grants paid	548,029			548,029
	26	**Total expenses and disbursements.** Add lines 24 and 25	586,015	18,477		548,029
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,737,478			
	b	**Net investment income** (if negative, enter -0-)		99,039		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	91,302	55,906	55,906
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,567,080	3,648,334	4,579,484
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,658,382	3,704,240	4,635,390
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,143,289	4,650,064	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	-484,907	-945,824	
	30 **Total net assets or fund balances** (see instructions)	2,658,382	3,704,240	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,658,382	3,704,240	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,658,382
2	Enter amount from Part I, line 27a	2	1,737,478
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,395,860
5	Decreases not included in line 2 (itemize) ▶	5	691,620
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,704,240

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED - RJ 3808 ST COVER	P		
b	SEE ATTACHED - RJ 3808 LT COVER	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	589,479		592,015	-2,536
b	886,515		857,647	28,868
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-2,536
b				28,868
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	33,898
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	410,700	2,929,260	0 140206
2014	221,864	1,283,896	0 172805
2013	175,089	1,166,491	0 150099
2012	136,838	697,312	0 196236
2011		276,693	

2	**Total** of line 1, column (d)	2	0 659346
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 164837
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,786,261
5	Multiply line 4 by line 3	5	624,116
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	990
7	Add lines 5 and 6	7	625,106
8	Enter qualifying distributions from Part XII, line 4	8	548,029

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,981
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,981
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,981
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	10,500		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	10,500
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	8,519
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 8,519 **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶NONE			
14	The books are in care of ▶JOSEPH O SERRA Telephone no ▶(810) 694-1720			
	Located at ▶13338 WENWOOD DRIVE FENTON MI ZIP+4 ▶484301159			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No				
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ Yes ☑ No				
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No				
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ Yes ☑ No				
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ Yes ☑ No				
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**			
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ Yes ☐ No				
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ Yes ☑ No				
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .	**6b**			No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No				
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JULIE A SERRA 13338 WENWOOD DRIVE FENTON, MI 484301159	PRESIDENT 1 00	0	0	0
JOSEPH O SERRA 13338 WENWOOD DRIVE FENTON, MI 484301159	VICE PRES 1 00	0	0	0
LAUREN M SERRA 13338 WENWOOD DRIVE FENTON, MI 484301159	SECRETARY 1 00	0	0	0
MATTHEW J SERRA 13338 WENWOOD DRIVE FENTON, MI 484301159	TREASURER 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,767,467
b	Average of monthly cash balances	1b	76,453
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,843,920
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,843,920
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	57,659
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,786,261
6	**Minimum investment return.** Enter 5% of line 5	6	189,313

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	189,313
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,981		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,981
3	Distributable amount before adjustments Subtract line 2c from line 1			3	187,332
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	187,332
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	187,332

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	548,029
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	548,029
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	548,029

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					187,332
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.	106,876				
c From 2013.	121,478				
d From 2014.	159,753				
e From 2015.	274,704				
f Total of lines 3a through e.		662,811			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 548,029					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2016 distributable amount.					187,332
e Remaining amount distributed out of corpus		360,697			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,023,508			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)					
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		1,023,508			
10 Analysis of line 9					
a Excess from 2012.	106,876				
b Excess from 2013.	121,478				
c Excess from 2014.	159,753				
d Excess from 2015.	274,704				
e Excess from 2016.	360,697				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE SERRA FOUNDATION - JOSEPH SERRA
13338 WENWOOD DRIVE
FENTON, MI 484301159
(810) 694-1720

b The form in which applications should be submitted and information and materials they should include

LETTER FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				548,029
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	369	
4 Dividends and interest from securities. . . .			14	83,249	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	33,898	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				117,516	

13 Total. Add line 12, columns (b), (d), and (e). **13** 117,516

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2017-05-07 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
MICHAEL T TRIBBLE		2017-05-08		P00004508

Firm's name ▶ YEO & YEO PC — Firm's EIN ▶38-2706146

Firm's address ▶ PO BOX 3275
SAGINAW, MI 48605 — Phone no (989) 793-9830

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALBION COLLEGE 611 E PORTER STREET ALBION, MI 49224	NONE	EDUCATION	GENERAL USE	1,000
ASSUMPTION GREEK ORTHODOX CHURCH 2245 E BALDWIN ROAD GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	350
BIG BROTHERS BIG SISTERS OF GREATER 410 E SECOND STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	2,000
COMMUNITIES FIRST INC 310 E THIRD STREET 2ND FLOOR FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	500
COMMUNITY FOUNDATION OF GREATER FLI 517 E FIFTH STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	6,500
DAVID'S FAITH & HOPE FOR LIFE FOUND PO BOX 14 GOODRICH, MI 48438	NONE	CHARITABLE	GENERAL USE	5,000
ENNIS CENTER FOR CHILDREN 5845 WESTWOOD DRIVE GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	500
FAMILY ASSISTANCE FOR RENAISSANCE 18701 GRAND RIVER SUITE 173 DETROIT, MI 48223	NONE	CHARITABLE	GENERAL USE	100
FAMILY PROMISE OF GENESEE COUNTY PO BOX 4519 FLINT, MI 48504	NONE	CHARITABLE	GENERAL USE	250
FAR 1669 WEST MAPLE ROAD BIRMINGHAM, MI 48009	NONE	CHARITABLE	GENERAL USE	1,000
FLINT CULTURAL CENTER 1310 E KEARSLEY STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	500
FLINT INSTITUTE OF ART 1310 E KEARSLEY STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	2,000
FLINT INSTITUTE OF MUSIC 1310 E KEARSLEY STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	10,500
FLINT PUBLIC LIBRARY 1026 E KEARSLEY ST FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	500
FOUNDATION FIGHTING BLINDNESS 1901 S MEYERS ROAD SUITE 700 OAKBROOK TERRACE, IL 60181	NONE	CHARITABLE	GENERAL USE	6,000
Total . . . . ▶ **3a**				548,029

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GENESYS HEALTH FOUNDATION ONE GENESYS PARKWAY GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	4,000
GIRL SCOUTS OF SOUTHEASTERN MICHIGA 500 FISHER BUILDING 3011 W GRAND BLVD DETROIT, MI 48202	NONE	CHARITABLE	GENERAL USE	150
GRAND BLANC EMS 6100 PERRY PLACE GRAND BLANC, MI 48439	NONE	EDUCATION	GENERAL USE	250
GRAND BLANC EDUCATION FOUNDATION 11920 S SAGINAW STREET GRAND BLANC, MI 48439	NONE	EDUCATION	GENERAL USE	20,046
GRAND BLANC GLADIATORS 4052 STILL MEADOW CT GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	50
GRAND BLANC HIGH SCHOOL 12500 HOLLY ROAD GRAND BLANC, MI 48439	NONE	EDUCATION	GENERAL USE	100
GRAND BLANC PARKS & RECREATION 360 E GRAND BLANC RD GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	500
GREATER CHICAGO FOOD DEPOSITORY 4100 W ANN LURIE PLACE CHICAGO, IL 60632	NONE	CHARITABLE	GENERAL USE	250
GREATER FLINT AREA BASEBALL ASSOC 12011 TORREY ROAD FENTON, MI 48430	NONE	CHARITABLE	GENERAL USE	2,000
HEARTBEAT OF GREATER FLINT 320 E FOURTH STREET FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	100
HOLLY EDUCATION FOUNDATION PO BOX 225 HOLLY, MI 48442	NONE	CHARITABLE	GENERAL USE	200
HOLY FAMILY CATHOLIC SCHOOL 215 ORCHARD STREET GRAND BLANC, MI 48439	NONE	EDUCATION	GENERAL USE	10,500
INTERLOCHEN PUBLIC LIBRARY PO BOX 369 INTERLOCHEN, MI 49643	NONE	CHARITABLE	GENERAL USE	500
KIRK GIBSON GOLF CLASSIC 16845 KERCHEVAL SUITE 5 GROSSE POINTE, MI 48230	NONE	CHARITABLE	GENERAL USE	50,000
LOYOLA UNIVERSITY - CHICAGO 820 N MICHIGAN AVENUE CHICAGO, IL 60611	NONE	EDUCATION	GENERAL USE	20,000
Total . . . . ▶ **3a**				548,029

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
MIAMI UNIVERSITY FOUNDATION 725 EAST CHESTNUT STREET OXFORD, OH 45056	NONE	EDUCATION	GENERAL USE	20,000
MICHIGAN STATE UNIVERSITY 535 CHESTNUT ROAD EAST LANSING, MI 48824	NONE	EDUCATION	GENERAL USE	210,000
MSUFAME 200 EAST FIRST STREET FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	250
NEW LIFE TABERNACLE 5149 BRAY ROAD FLINT, MI 48505	NONE	CHARITABLE	GENERAL USE	1,000
NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON, IL 60208	NONE	EDUCATION	GENERAL USE	20,000
NORTHWOOD UNIVERSITY 4000 WHITING DRIVE MIDLAND, MI 48640	NONE	EDUCATION	GENERAL USE	5,000
OLD NEWSBOYS OF FLINT 6255 TAYLOR DRIVE FLINT, MI 48507	NONE	CHARITABLE	GENERAL USE	500
ORCHARDS CHILDREN'S SERVICES 30215 SOUTHFIELD RD SUITE 100 SOUTHFIELD, MI 48076	NONE	CHARITABLE	GENERAL USE	18,000
POWERS CATHOLIC HIGH SCHOOL G-2040 W CARPENTER ROAD FLINT, MI 48505	NONE	EDUCATION	GENERAL USE	70,000
RON MASON HOCKEY ENDOWMENT FUND 535 CHESTNUT ROAD ROOM 200 EAST LANSING, MI 48824	NONE	CHARITABLE	GENERAL USE	200
ROSENWALD HARLANITES INC 10169 GOLFSIDE DRIVE GRAND BLANC, MI 48439	NONE	CHARITABLE	GENERAL USE	100
SILVER LAKE SKI CLUB 15470 JENNINGS ROAD FENTON, MI 48430	NONE	CHARITABLE	GENERAL USE	2,000
SLOANLONGWAY 601 E SECOND STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	33,333
ST MARY'S COLLEGE 244 STUDENT CENTER NOTRE DAME, IN 46556	NONE	EDUCATION	GENERAL USE	200
ST THOMAS MORE ACADEMY 6456 E BRISTOL ROAD BURTON, MI 48519	NONE	EDUCATION	GENERAL USE	1,000
Total . . . . ▶ **3a**				548,029

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STAR TREATMENTS PO BOX 5412 MUSKEGON, MI 49445	NONE	CHARITABLE	GENERAL USE	1,000
TAPOLOGY PO BOX 5040 FLINT, MI 48505	NONE	CHARITABLE	GENERAL USE	500
THE CRIM FITNESS FOUNDATION 452 S SAGINAW STREET SUITE 1 FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	2,500
TRINITY LUTHERAN CHURCH 2031 W 30TH STREET CLEVELAND, OH 44113	NONE	CHARITABLE	GENERAL USE	100
UNITED WAY OF GENESEE COUNTY PO BOX 949 FLINT, MI 48501	NONE	CHARITABLE	GENERAL USE	10,000
VOLUNTEERS OF AMERICA MICHIGAN 21415 CIVIC CENTER DRIVE SUITE 210 SOUTHFIELD, MI 48076	NONE	CHARITABLE	GENERAL USE	1,000
WEISS ADVOCACY CENTER 515 EAST STREET FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	500
WHALEY CHILDREN'S CENTER 1201 N GRAND TRAVERSE FLINT, MI 48503	NONE	CHARITABLE	GENERAL USE	1,000
YMCA OF GREATER FLINT 301 E THIRD STREET FLINT, MI 48502	NONE	CHARITABLE	GENERAL USE	2,000
YMCA OF BATTLE CREEK 182 CAPITAL AVENUE NE BATTLE CREEK, MI 49017	NONE	CHARITABLE	GENERAL USE	2,500
Total . . . . ▶ **3a**				548,029

TY 2016 Accounting Fees Schedule

Name: THE SERRA FOUNDATION

EIN: 26-1675789

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
YEO & YEO, PC	2,525	2,525		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491132002107

TY 2016 Investments Corporate Stock Schedule

Name: THE SERRA FOUNDATION

EIN: 26-1675789

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK	1,265,588	1,875,653
EXCHANGE-TRADED FUNDS	928,962	1,154,356
MUTUAL FUNDS	1,453,784	1,549,475

TY 2016 Other Decreases Schedule

Name: THE SERRA FOUNDATION

EIN: 26-1675789

Description	Amount
MARKET VALUE ADJUSTMENT FOR CONTRIBUTED STOCK	691,620

TY 2016 Other Professional Fees Schedule

Name: THE SERRA FOUNDATION

EIN: 26-1675789

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RJ 50613808 ADVISORY FEES	15,779	15,779		

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491132002107

TY 2016 Taxes Schedule

Name: THE SERRA FOUNDATION

EIN: 26-1675789

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	132	132		
FEDERAL TAX PAYMENTS	19,509			

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2016

Name of the organization	Employer identification number
THE SERRA FOUNDATION	26-1675789

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE SERRA FOUNDATION	26-1675789

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEPH JULIE SERRA 13338 WENWOOD FENTON, MI484301159	$ 2,205,977	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE SERRA FOUNDATION

Employer identification number
26-1675789

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE SERRA FOUNDATION

Employer identification number
26-1675789

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

[redacted]

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase

Several columns include both an amount and a qualifying notation to its right Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross) Accrued market discount and Wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used The change in control condition is reported to the IRS for covered lots Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions Similarly, lots noted as "12- [X] Collectible" are handled distinctly under the tax code These conditions are reported to the IRS You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis (column 1e) is always presented as $0 00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position

FATCA filing requirement [ ]

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*
Report on Form 8949, Part I with Box A checked Basis is provided to the IRS *(Line 3)*
"Gain or loss (-)" is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANADARKO PETROLEUM CORPORATION / CUSIP 032511AX5 / Symbol							
05/02/16	25,000 000	25,493 00	02/09/16	25,152 88		340 12	Redemption [26]
EATON VANCE FLOATING RATE ADVANTAGE FUND CLASS I N/L / CUSIP 277923637 / Symbol EIFAX							
07/13/16	4,770 992	50,000 00	04/08/16	48,854 96		1,145 04	Sale [26]
EXPRESS SCRIPTS IN / CUSIP 302182AF7 / Symbol							
04/01/16	100,000 000	100,333 80	02/29/16	100,246 50		87 30	Redemption [26]
JPMORGAN INCOME BUILDER FUND CLASS A M/F / CUSIP 4812A3288 / Symbol JNBAX							
01/28/16	32,057 911	300,703 21	12/22/15	310,000 00		-9,296 79	Sale [26]
LORD ABBETT HIGH YIELD FUND CLASS F N/L / CUSIP 54400N508 / Symbol LHYFX							
11/01/16	3,373 819	25,000 00	12/22/15	23,110 66		1,889 34	Sale [26]
PIMCO INCOME FUND CLASS P N/L / CUSIP 72201M719 / Symbol PONPX							
02/24/16	216 263	2,500 00	Various	2,686 97		-186 97	Sale [26]
VANGUARD WELLESLEY INCOME FUND ADMIRAL SHARES N/L / CUSIP 921938205 / Symbol VWIAX							
11/01/16	1,042 335	65,000 00	02/02/16	61,476 92		3,523 08	Sale [26]

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[redacted]

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked Basis is provided to the IRS *(Line 3)*
"Gain or loss (-)" is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VANGUARD VALUE ETF / CUSIP 922908744 / Symbol VTV							
03/28/16	250 000	20,449 55	12/23/15	20,486 50		-36 95	Sale [26]
Totals :		**589,479.56**		**592,015.39**		**-2,535.83**	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked Basis is provided to the IRS *(Line 3)*
"Gain or loss (-)" is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL RLTY TR INCORPORATED REIT / CUSIP 253868103 / Symbol DLR							
	3 transactions for 02/23/16 Total proceeds and cost reported to the IRS						
	1,000 000	*80,798 13*	*08/21/13*	*52,848 50*		*27,949 63*	*Sale* [26]
	200 000	*16,159 63*	*11/21/13*	*9,519 34*		*6,640 29*	*Sale* [26]
	500 000	*40,399 07*	*07/03/14*	*29,102 55*		*11,296 52*	*Sale* [26]
02/23/16	1,700 000	137,356 83	Various	91,470 39		45,886 44	Total of 3 transactions
EXACT SCIENCES CORPORATION / CUSIP 30063P105 / Symbol EXAS							
08/12/16	1,500 000	31,650 80	03/28/14	20,001 75		11,649 05	Sale [26]
JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L / CUSIP 47804M878 / Symbol JHAIX							
	2 transactions for 11/01/16 Total proceeds and cost reported to the IRS						
	3,242 743	*32,297 72*	*01/23/15*	*36,383 58*		*-4,085 86*	*Sale* [26]
	2,781 353	*27,702 28*	*02/11/15*	*31,151 15*		*-3,448 87*	*Sale* [26]
11/01/16	6,024 096	60,000 00	Various	67,534 73		-7,534 73	Total of 2 transactions
	6 transactions for 12/02/16 Total proceeds and cost reported to the IRS						
	2,745 686	*27,237 20*	*07/09/13*	*30,065 26*		*-2,828 06*	*Sale* [26]
	2,663 857	*26,425 46*	*10/04/13*	*28,849 57*		*-2,424 11*	*Sale* [26]
	5 298	*52 56*	*10/11/13*	*57 64*		*-5 08*	*Sale* [26]
	37 895	*375 92*	*01/24/14*	*418 74*		*-42 82*	*Sale* [26]

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[redacted]

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked Basis is provided to the IRS *(Line 3)*
"Gain or loss (-)" is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L / CUSIP 47804M878 / Symbol JHAIX (cont'd)							
	58 166	*577 01*	*05/19/14*	*645 06*		*-68 05*	*Sale* [26]
	12,843 647	*127,408 98*	*02/11/15*	*143,848 85*		*-16,439 87*	*Sale* [26]
12/02/16	18,354 549	182,077 13	Various	203,885 12		-21,807 99	Total of 6 transactions
	Security total	242,077 13		271,419 85		-29,342 72	
PIMCO INCOME FUND CLASS P N/L / CUSIP 72201M719 / Symbol PONPX							
	3 transactions for 11/01/16 Total proceeds and cost reported to the IRS						
	41 840	*503 75*	*Various*	*517 98*		*-14 23*	*Sale* [26]
	6,571 146	*79,116 60*	*01/23/15*	*81,153 66*		*-2,037 06*	*Sale* [26]
	1,692 662	*20,379 65*	*02/11/15*	*20,802 82*		*-423 17*	*Sale* [26]
11/01/16	8,305 648	100,000 00	Various	102,474 46		-2,474 46	Total of 3 transactions
RYDEX ETF TRUST S&P 500 PURE GROWTH / CUSIP 78355W403 / Symbol RPG							
03/28/16	250 000	19,584 57	02/11/15	20,256 85		-672 28	Sale [26]
	2 transactions for 06/07/16 Total proceeds and cost reported to the IRS						
	1,084 000	*88,837 06*	*01/23/15*	*86,770 41*		*2,066 65*	*Sale* [26]
	66 000	*5,408 90*	*02/11/15*	*5,347 81*		*61 09*	*Sale* [26]
06/07/16	1,150 000	94,245 96	Various	92,118 22		2,127 74	Total of 2 transactions
	Security total	113,830 53		112,375 07		1,455 46	
UNDER ARMOUR INCORPORATED CLASS A / CUSIP 904311107 / Symbol UAA							
	2 transactions for 02/23/16 Total proceeds and cost reported to the IRS						
	400 000	*32,087 34*	*08/21/13*	*14,381 02*		*17,706 32*	*Sale* [26]
	700 000	*56,152 84*	*05/20/14*	*32,652 83*		*23,500 01*	*Sale* [26]
02/23/16	1,100 000	88,240 18	Various	47,033 85		41,206 33	Total of 2 transactions
UNDER ARMOUR INCORPORATED CLASS C / CUSIP 904311206 / Symbol UA							
07/01/16	0 100	3 81	08/21/13	1 76		2 05	Sale [26]
UNION PAC CORPORATION / CUSIP 907818108 / Symbol UNP							
02/23/16	300 000	23,701 58	08/01/13	24,396 15		-694 57	Sale [26]

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[redacted]

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked Basis is provided to the IRS *(Line 3)*
"Gain or loss (-)" is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WISDOMTREE JAPAN HEDGED EQUITY FUND / CUSIP 97717W851 / Symbol DXJ							
	7 transactions for 02/12/16 Total proceeds and cost reported to the IRS						
	521 000	*20,498 29*	*10/04/13*	*24,023 31*		*-3,525 02*	*Sale* [26]
	4 000	*157 38*	*01/24/14*	*191 46*		*-34 08*	*Sale* [26]
	308 000	*12,118 00*	*02/14/14*	*14,383 60*		*-2,265 60*	*Sale* [26]
	312 000	*12,275 37*	*04/14/14*	*14,160 12*		*-1,884 75*	*Sale* [26]
	16 000	*629 51*	*05/19/14*	*734 34*		*-104 83*	*Sale* [26]
	82 000	*3,226 22*	*01/23/15*	*4,055 32*		*-829 10*	*Sale* [26]
	657 000	*25,849 10*	*02/11/15*	*33,786 29*		*-7,937 19*	*Sale* [26]
02/12/16	1,900 000	74,753 87	Various	91,334 44		-16,580 57	Total of 7 transactions
WISDOMTREE TRUST CURRENCY HEDGED DEFA FD / CUSIP 97717X701 / Symbol HEDJ							
	2 transactions for 02/12/16 Total proceeds and cost reported to the IRS						
	1,015 000	*47,515 17*	*01/23/15*	*61,503 32*		*-13,988 15*	*Sale* [26]
	585 000	*27,385 59*	*02/11/15*	*35,636 27*		*-8,250 68*	*Sale* [26]
02/12/16	1,600 000	74,900 76	Various	97,139 59		-22,238 83	Total of 2 transactions
Totals :		**886,515.49**		**857,647.31**		**28,868.18**	

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