EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: LOTZ FAMILY FOUNDATION

A Employer identification number: 26-1590575

Number and street (or P O box number if mail is not delivered to street address): 30 ISLA BAHIA DRIVE | Room/suite

B Telephone number: 203-570-2865

City or town, state or province, country, and ZIP or foreign postal code: FORT LAUDERDALE, FL 33316

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,107,858.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	0.		N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	67.	67.		STATEMENT 1
	4	Dividends and interest from securities	29,702.	29,702.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-960.			
	b	Gross sales price for all assets on line 6a 224,870.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	28,809.	29,769.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	5,650.	2,825.		5,650.
	c	Other professional fees				
	17	Interest				
	18	Taxes				
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 4	8,052.	6,613.		8,052.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,702.	9,438.		13,702.
	25	Contributions, gifts, grants paid	152,575.			152,575.
	26	**Total expenses and disbursements.** Add lines 24 and 25	166,277.	9,438.		166,277.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-137,468.			
	b	**Net investment income** (if negative, enter -0-)		20,331.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	728.	8.	8.
2 Savings and temporary cash investments	18,553.	16,856.	16,856.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock STMT 7	107,104.	97,873.	111,487.
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 8	1,005,534.	876,973.	978,646.
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ STATEMENT 9)	704.	861.	861.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,132,623.	992,571.	1,107,858.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ DUE TO OFFICER)	2,900.	0.	
23 **Total liabilities** (add lines 17 through 22)	2,900.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
27 Capital stock, trust principal, or current funds	1,129,723.	992,571.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 **Total net assets or fund balances**	1,129,723.	992,571.	
31 **Total liabilities and net assets/fund balances**	1,132,623.	992,571.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,129,723.
2 Enter amount from Part I, line 27a	2	-137,468.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	316.
4 Add lines 1, 2, and 3	4	992,571.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	992,571.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SECURITY SALES - GOLDMAN SACHS #658021	P	VARIOUS	12/31/16
b	SECURITY SALES - GOLDMAN SACHS #658021	P	VARIOUS	12/31/16
c	SECURITY SALES - GOLDMAN SACHS #658062	P	VARIOUS	12/31/16
d	SECURITY SALES - GOLDMAN SACHS #658062	P	VARIOUS	12/31/16
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,944.		3,096.	-152.
b	144,792.		142,147.	2,645.
c	24,619.		26,995.	-2,376.
d	51,371.		53,592.	-2,221.
e	1,144.			1,144.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-152.
b				2,645.
c				-2,376.
d				-2,221.
e				1,144.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	-960.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	133,050.	1,274,612.	.104385
2014	196,134.	1,212,601.	.161747
2013	228,327.	1,262,080.	.180913
2012	155,504.	1,294,549.	.120122
2011	168,002.	1,374,254.	.122250

2	**Total** of line 1, column (d)	2	.689417
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.137883
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,127,933.
5	Multiply line 4 by line 3	5	155,523.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	203.
7	Add lines 5 and 6	7	155,726.
8	Enter qualifying distributions from Part XII, line 4	8	166,277.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	203.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	203.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	203.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,600.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,600.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,397.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	1,397.	**Refunded** ▶	11	0.

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL,CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 10	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PHILIP A. & WENDY D. LOTZ Telephone no. ▶ 203-570-2865

Located at ▶ 30 ISLA BAHIA DRIVE, FORT LAUDERDALE, FL ZIP+4 ▶ 33316

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHILIP A. LOTZ 30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316	TRUSTEE 1.00	0.	0.	0.
WENDY D. LOTZ 30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,126,780.
b	Average of monthly cash balances	1b	18,330.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,145,110.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,145,110.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,177.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,127,933.
6	**Minimum investment return.** Enter 5% of line 5	6	56,397.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	56,397.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 203.		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	203.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	56,194.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	56,194.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	56,194.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	166,277.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	166,277.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	203.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	166,074.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				56,194.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 104,635.				
b From 2012 93,955.				
c From 2013 167,038.				
d From 2014 136,646.				
e From 2015 72,158.				
f **Total** of lines 3a through e	574,432.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 166,277.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				56,194.
e Remaining amount distributed out of corpus	110,083.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	684,515.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	104,635.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	579,880.			
10 Analysis of line 9:				
a Excess from 2012 93,955.				
b Excess from 2013 167,038.				
c Excess from 2014 136,646.				
d Excess from 2015 72,158.				
e Excess from 2016 110,083.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

PHILIP A. & WENDY D. LOTZ, 203-570-2865
30 ISLA BAHIA DRIVE, FT LAUDERDALE, FL 33316

b The form in which applications should be submitted and information and materials they should include:

BY WRITTEN REQUEST ONLY

c Any submission deadlines:

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
BABSON COLLEGE 231 FOREST STREET BABSON PARK, MA 02457	N/A	501(C)(3)	TO FUND HIGHER EDUCATION PROGRAMS	12,500.
LEUKEMIA AND LYMPHOMA SOCIETY 1150 PONTIAC AVENUE CRANSTON, RI 02920	N/A	501(C)(3)	TO FUND RESEARCH PROGRAMS, PATIENT SERVICES, AND EDUCATION PROGRAMS	17,000.
NATIONAL SAILING HALL OF FAME 67-69 PRINCE GEORGES STREET ANNAPOLIS, MD 21401	N/A	501(C)(3)	TO FUND EDUCATIONAL PROGRAMS DEDICATED TO PRESERVING THE HISTORY OF SAILING AND ITS IMPACT ON OUR CULTURE.	10,000.
PSI UPSILON FOUNDATION 3003 EAST 96TH STREET INDIANAPOLIS, IN 46240	N/A	501(C)(3)	TO PROVIDE ASSISTANCE TO NEEDY AND DESERVING STUDENTS FOR HIGHER EDUCATION	2,000.
SAIL NEWPORT 60 FORT ADAMS DRIVE NEWPORT, RI 02840	N/A	501(C)(3)	TO PROMOTE AND OPERATE AFFORDABLE PUBLIC SAILING INSTRUCTION AND RENTAL PROGRAMS, AND TO CREATE	83,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	152,575.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2016)

623611 11-23-16 ** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	67.	
4 Dividends and interest from securities			14	29,702.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-960.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		28,809.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 28,809.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/31/17 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAHL F. BOWSER	Dahl F. Bowser	10/25/17		P01319045
Firm's name ▶ KAHAN, STEIGER & COMPANY, P.C.			Firm's EIN ▶ 06-1443759	
Firm's address ▶ 1100 SUMMER STREET STAMFORD, CT 06905-0227			Phone no. 203-327-5717	

Form 990-PF (2016)

623622 11-23-16

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS # 042-658062	19.	19.	
GOLDMAN SACHS # 042-785568	48.	48.	
TOTAL TO PART I, LINE 3	67.	67.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #042-658021	28,795.	1,131.	27,664.	27,664.	
GOLDMAN SACHS #042-658062	2,051.	13.	2,038.	2,038.	
TO PART I, LINE 4	30,846.	1,144.	29,702.	29,702.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,650.	2,825.		5,650.
TO FORM 990-PF, PG 1, LN 16B	5,650.	2,825.		5,650.

FORM 990-PF — OTHER EXPENSES — STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGT FEES - GOLDMAN SACHS	6,218.	6,218.		6,218.
FEDERAL EXCISE TAX - 2016	1,439.	0.		1,439.
FOREIGN TAXES PAID	395.	395.		395.
TO FORM 990-PF, PG 1, LN 23	8,052.	6,613.		8,052.

FOOTNOTES — STATEMENT 5

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6

DESCRIPTION	AMOUNT
MISCELLANEOUS UNRECONCILIABLE VARIANCE - IMMATERIAL	316.
TOTAL TO FORM 990-PF, PART III, LINE 3	316.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	97,873.	111,487.
TOTAL TO FORM 990-PF, PART II, LINE 10B	97,873.	111,487.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND INVESTMENTS	COST	876,973.	978,646.
TOTAL TO FORM 990-PF, PART II, LINE 13		876,973.	978,646.

FORM 990-PF	OTHER ASSETS	STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DVDS RECEIVABLE, REPORTED IN INCOME AT 12/31, BUT PAID IN 1/17	704.	861.	861.
TO FORM 990-PF, PART II, LINE 15	704.	861.	861.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 10

NAME OF CONTRIBUTOR	ADDRESS
PHILIP A. LOTZ	30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316
WENDY D. LOTZ	30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 11

NAME OF MANAGER
PHILIP A. LOTZ
WENDY D. LOTZ

Goldman Sachs

A/C # 042 - 658062

Statement Detail

LOTZ FAMILY FDN LAZARD: LCC

Holdings

PART II, LINE 10b ATTACHMENT - CORPORATE STOCK INVESTMENTS

Period Ended December 31, 2016

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
LAZARD LARGE CAP CORE				CASH ITEMS				
U S DOLLAR	7 65	1 0000	7 65		7.65			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	3,779 29	1 0000	3,779 29	1 0000	3,779 29		0 4256	16.09
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
ADVANCE AUTO PARTS, INC CMN (AAP)	9 00	169 1200	1,522 08	152 2878	1,370 59	151 49	0.1419	2 16
			0 54					
ALPHABET INC CMN CLASS A (GOOGL)	7 00	792 4500	5,547 15	669 4929	4,686 45	860 70		
ALPHABET INC CMN CLASS C (GOOG)	1 00	771 8200	771 82	504 8600	504 86	266 96		
APPLE INC CMN (AAPL)	21 00	115 8200	2,432 22	101 9533	2,141.02	291 20	1 9686	47 88
APPLIED MATERIALS INC CMN (AMAT)	42 00	32 2700	1,355 34	18 2371	765 96	589 38	1 2395	16 80
AT&T INC CMN (T)	82 00	42 5300	3,487 46	39 0034	3,198 28	289 18	4 6085	160 72
BANK OF AMERICA CORP CMN (BAC)	186 00	22 1000	4,110 60	16 5106	3,070 97	1,039.63	1 3575	55 80
CHARLES SCHWAB CORPORATION CMN (SCHW)	48 00	39 4700	1,894 56	24.6556	1,183 47	711 09	0 7094	13 44
CHEVRON CORPORATION CMN (CVX)	21 00	117 7000	2,471 70	93 3748	1,960 87	510 83	3 6703	90 72
CISCO SYSTEMS, INC CMN (CSCO)	133 00	30 2200	4,019 26	24 7551	3,292 43	726 83	3.4414	138 32
COCA-COLA COMPANY (THE) CMN (KO)	81 00	41 4600	3,358 26	41 7772	3,383 95	(25 69)	3 3767	113 40
COPART INC CMN (CPRT)	19 00	55 4100	1,052 79	35.9600	683 24	369 55		
CROWN HOLDINGS INC CMN (CCK)	32 00	52 5700	1,682 24	52 3384	1,674 83	7 41		
DECKERS OUTDOORS CORP CMN (DECK)	7 00	55 3900	387 73	65 2914	457 04	(69 31)		
DEERE & COMPANY CMN (DE)	9 00	103 0400	927.36	82 1267	739 14	188 22	2.3292	21 60
			5 40					
DELPHI AUTOMOTIVE PLC CMN (DLPH)	22 00	67 3500	1,481 70	72 3464	1,591.62	(109 92)	1 7223	25 52
EBAY INC CMN (EBAY)	91 00	29 6900	2,701 79	26.1809	2,382 46	319 33		
EOG RESOURCES INC CMN (EOG)	12 00	101 1000	1,213 20	73.4633	881 56	331 64	0 6627	8 04
FIDELITY NATL INFO SVCS INC CMN (FIS)	16 00	75 6400	1,210 24	66 1313	1,058 10	152 14	1 3749	16 64
HARTFORD FINANCIAL SRVCS GROUP CMN (HIG)	13 00	47 6500	619 45	42 4077	551 30	68 15	1 9307	11.96
			2 99					
HONEYWELL INTL INC CMN (HON)	10 00	115 8500	1,158 50	100 6420	1,006 42	152 08	2 2961	26 60

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit. Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co Bank Deposit Account, Certificates of Deposit and Term Deposits offered by Goldman Sachs Bank USA, Member FDIC

LOTZ FAMILY FDN LAZARD: LCC

Holdings (Continued)

Period Ended December 31, 2016

PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
LAZARD LARGE CAP CORE								
HUMANA INC CMN (HUM)	7 00	204 0300	1,428 21	194 5586	1,361 91	66 30	0 5685	8 12
INTERCONTINENTAL EXCHANGE INC CMN (ICE)	43 00	56 4200	2,426 06	44 4514	1,911 41	514 65		
J C PENNEY CO INC (HLDNG CO) CMN (JCP)	135 00	8 3100	1,121 85	9 2924	1,254 47	(132 62)		
KELLOGG COMPANY CMN (K)	33 00	73 7100	2,432 43	71 9288	2,373 65	58 78	2 8219	68 64
KEYCORP CMN (KEY)	71 00	18.2700	1,297 17	12 2608	870.52	426 65	1 8610	24 14
KIRBY CORP CMN (KEX)	10 00	66 5000	665 00	57 1130	571 13	93 87		
L BRANDS, INC CMN (LB)	8 00	65 8400	526.72	69 8475	558 78	(32 06)	3 6452	19 20
LOWES COMPANIES INC CMN (LOW)	13 00	71 1200	924 56	69 8038	907 45	17 11	1 9685	18 20
MADISON SQUARE GARDEN COMPANY CMN CLASS A (MSG)	9 00	171 5100	1,543 59	160 7156	1,446 44	97 15		
MC DONALDS CORP CMN (MCD)	14 00	121 7200	1,704 08	115 1257	1,611 76	92 32	3 0891	52 64
MICROSOFT CORPORATION CMN (MSFT)	76 00	62 1400	4,722 64	52 5774	3,995 88	726.76	2 5105	118 56
MOLSON COORS BREWING CO CMN CLASS B (TAP)	31 00	97 3100	3,016 61	83 5384	2,589 69	426 92	1 6853	50 84
MOTOROLA SOLUTIONS INC CMN (MSI)	20 00	82 8900	1,657 80 8 93	77 0480	1,540 96	116 84		
NORWEGIAN CRUISE LINE HLDG LTD CMN (NCLH)	15 00	42 5300	637 95	45 2253	678 38	(40.43)		
PFIZER INC CMN (PFE)	165 00	32 4800	5,359 20	32 5055	5,363 41	(4.21)	3 9409	211 20
PIONEER NATURAL RESOURCES CO CMN (PXD)	6 00	180 0700	1,080 42	113 5500	681 30	399 12	0 0444	0 48
PPG INDUSTRIES, INC CMN (PPG)	6.00	94 7600	568 56	98 5833	591 50	(22 94)	1 6885	9 60
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	46 00	84 0800	3,867.68	77 4620	3,563 25	304 43	3 1851	123 19
QUINTILES IMS HOLDINGS INC CMN (Q)	8 00	76 0500	608 40	75 4363	603 49	4.91		
RED HAT, INC CMN (RHT)	12 00	69 7000	836 40	70 0425	840 51	(4 11)		
ROCKWELL AUTOMATION INC CMN (ROK)	11.00	134 4000	1,478 40	109 9600	1,209 56	268 84	2 2619	33 44
SCHLUMBERGER LTD CMN (SLB)	27 00	83 9500	2,266 65 12 00	77 9504	2,104 66	161 99	2 3824	54 00
SKYWORKS SOLUTIONS INC CMN (SWKS)	27.00	74 6600	2,015 82	67 1104	1,811 98	203 84	1 5001	30.24

LOTZ FAMILY FDN LAZARD: LCC
Holdings (Continued)

Period Ended December 31, 2016

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
LAZARD LARGE CAP CORE								
STRYKER CORP CMN (SYK)	15 00	119 8100	1,797 15 6.38	101 6487	1,524 73	272 42	1 4189	25 50
UNION PACIFIC CORP CMN (UNP)	9 00	103 6800	933 12	76 3756	687 38	245 74	2 3341	21 78
UNITED TECHNOLOGIES CORP CMN (UTX)	23 00	109 6200	2,521 26	101 3165	2,330 28	190 98	2 4083	60 72
VALERO ENERGY CORPORATION CMN (VLO)	9 00	68 3200	614 88	53 1444	478 30	136 58	3 5129	21 60
VANTIV, INC CMN CLASS A (VNTV)	27 00	59 6200	1,609 74	56 3744	1,522 11	87 63		
VISA INC CMN CLASS A (V)	34 00	78 0200	2,652 68	67.5147	2,295 50	357 18	0 8459	22 44
WALGREENS BOOTS ALLIANCE, INC CMN (WBA)	27 00	82 7600	2,234.52	81 8467	2,209 86	24 66		
ZOETIS INC CMN CLASS A (ZTS)	102 00	53 5300	5,460 06	41 3609	4,218 81	1,241 25	0 7846	42 84
HOST HOTELS & RESORTS INC CMN (HST)	64 00	18 8400	1,205 76 16 00	16 7898	1,074 55	131 21	4 2463	51 20
ALLERGAN PLC CMN (AGN)	8 00	210 0100	1,680 08	243 3150	1,946 52	(266 44)	1 3333	22 40
AON PLC CMN (AON)	17 00	111 5300	1,896 01	91 6935	1,558.79	337 22		
EATON CORP PLC CMN (ETN)	30 00	67 0900	2,012 70	57 7893	1,733 68	279 02	3 3984	68 40
JOHNSON CONTROLS INTERNATIONAL CMN (JCI)	31 00	41 1900	1,276 89 6 20	40 8258	1,265 60	11 29	2 4278	31 00
TOTAL LAZARD LARGE CAP CORE			115,273 44 58 44		101,659 70	13,613 74	2 2257	1,956 05

	Market Value	Adjusted Cost / Original Cost[8]	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**115,331.88**	**101,659.70**	**13,613.74**	**1,956.05**

LESS: CASH BALANCE IN TOTAL	< 3786.94 >	< 3786.94 >
LESS: ACCRUED DVDS RECEIVABLE	< 58.44 >	—
AMOUNT FOR CORPORATE STOCKS	111,486.50	97,872.76

[8] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

A|c # 042 - 658021

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)		
INVESTMENT GRADE FIXED INCOME								
BLACKROCK COREALPHA BOND FUND								
BLACKROCK COREALPHA BOND MUTUAL FUND	27,852.242	10 2000	284,092 87			(9,279 80)		
OTHER FIXED INCOME								
EATON VANCE INCOME FUND OF BOSTON								
EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND CLASS I SHARES	9,238 588	5 7300	52,937 11			(2,704 59)		
	Quantity / Current Face	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Adjusted Cost / Original Cost**	**Unrealized / Economic Gain (Loss)**	**Yield to Maturity in Percentage**	**Estimated Annual Income**
GS HIGH YIELD FLOATING RATE FUND								
GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	2,420.786	9 7400	23,578 46	9 3906	22,732 53	845 93 1,937.92		895 69
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Contributions/ Distributions To Date**	**Net Contribution To Date**	**Economic Gain (Loss)**		
TCW MET WEST UNCONSTRAINED BOND FUND								
TCW METWEST UNCONSTRAINED BOND FUND CLASS M	2,989 405	11.8200	35,334 77			(287 04)		
	Quantity / Current Face	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Adjusted Cost / Original Cost**	**Unrealized / Economic Gain (Loss)**	**Yield to Maturity in Percentage**	**Estimated Annual Income**
TOTAL OTHER FIXED INCOME			**111,850.34**		**113,996.04**	**(2,145.70)**		**5,167.57**
TOTAL FIXED INCOME			**395,943.21**		**407,368.71**	**(11,425.50)**		**12,576.27**

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
MSCI US PRIME MARKET GROWTH INDEX FUND (VANGUARD)								
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF (VUG)	1,057 00	111.4800	117,834 36	73 3942	77,577 62	40,256 74	1 3877	1,635 18

PART II, LINE 13 ATTACHMENT - MUTUAL FUND INVESTMENTS

LOTZ FAMILY FDN GS: EQ AND FI

Holdings (Continued)

Period Ended December 31, 2016

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
MSCI US PRIME MARKET VALUE INDEX FUND (VANGUARD)								
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF (VTV)	1,440 00	93 0100	133,934 40	59 3296	85,434 69	48,499 71	2 4438	3,273 12
RUSSELL 2000 INDEX FUND (ISHARES)								
ISHARES RUSSELL 2000 ETF (IWM)	469 00	134 8500	63,244 65	79 1300	37,111 97	26,132 68	1 3752	869 71
DOW JONES US REAL ESTATE INDEX FUND (ISHARES)								
ISHARES U S REAL ESTATE ETF (IYR)	285 00	76 9400	21,927 90	65.7372	18,735 09	3,192 81	4 4087	966 73
TOTAL US EQUITY			**336,941.31**		**218,859.37**	**118,081.94**	**2.0018**	**6,744.74**

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)
NON-US EQUITY						
ARTISAN INTERNATIONAL FUND						
ARTISAN INTERNATIONAL FUND INV MUTUAL FUND CLASS I SHARES (ARTIX)	2,425 451	25.6100	62,115 80			1,333 86
CAUSEWAY INTERNATIONAL VALUE FUND						
CAUSEWAY INTERNATIONAL VALUE I MUTUAL FUND CLASS INST SHARES (CIVIX)	5,055 187	13 8700	70,115 44			2,372 46

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
MSCI EAFE 100% HEDGED TO USD INDEX FUND (ISHARES)								
ISHARES CURRENCY HEDGED MSCI EAFE ETF (HEFA)	3,139 00	26 1200	81,990 68 802 91	27 7600	87,138 64	(5,147 96)	2 8631	2,347 51
FTSE EMERGING MARKETS INDEX FUND (VANGUARD)								
VANGUARD FTSE EMERGING MKTS ETF (VWO)	341 00	35 7800	12,200 98	43 8895	14,966 32	(2,765 34)	2 5154	306 90

Period Ended December 31, 2016

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
DJ GBL EX-US SELECT REAL ESTATE SECURITIES INDEX FUND (SPDR)								
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF (RWX)	536 00	36 0800	19,338 88	37 5275	20,114 74	(775 86)	8 7388	1,689 98
TOTAL NON-US EQUITY			**245,761.78** **802.91**		**250,744.62**	**(4,982.84)**	**2.6646**	**6,548.67**
TOTAL PUBLIC EQUITY			**582,703.09** **802.91**		**469,603.99**	**113,099.10**	**2.2813**	**13,293.41**

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**979,449.21**	**876,972.70**	**101,673.60**	**25,869.68**

Generally, the values noted above are based on information provided by the fund administrator For purchases made on the secondary market, the information shown in Total Contributions/Distributions reflects your purchase price and your contributions only This information does not reflect contributions/distributions made or received by the seller from whom you purchased the position

LESS: ACCRUED DVDS RECEIVABLE	< 802.91>	—
AMOUNT FOR MUTUAL FUNDS INVESTMENTS	978,646.30	876,972.70

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Goldman Sachs

A/c # 042-658021

Statement Detail

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses

Period Ended December 31, 2016

PART IV ATTACHMENT - GOLDMAN SACHS # 658021

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
GS LOCAL EMERGING MARKETS DEBT FUND	May 14 2013	Jan 20 2016	25 10	139 04	245 99	0 00	(106 95)	(106 95)	LT
INSTITUTIONAL SHARES	May 14 2013	Jan 20 2016	18 52	102 61	180 25	0 00	(77 64)	(77 64)	LT
	May 14 2013	Jan 20 2016	18 48	102 40	179 88	0 00	(77 48)	(77 48)	LT
	May 16 2013	Jan 20 2016	23 48	130 08	228 90	0 00	(98 82)	(98 82)	LT
	May 21 2013	Jan 20 2016	1,989 23	11,020 31	19,139 36	0 00	(8,119 05)	(8,119 05)	LT
	May 31 2013	Jan 20 2016	8 36	46 29	75 96	0 00	(29 67)	(29 67)	LT
	Jun 09 2013	Jan 20 2016	20 60	114 10	201 05	0 00	(86 95)	(86 95)	LT
	Jun 12 2013	Jan 20 2016	16 21	89 80	158 07	0 00	(68 27)	(68 27)	LT
	Jun 13 2013	Jan 20 2016	16 04	88 86	161 08	0 00	(72 22)	(72 22)	LT
	Jun 13 2013	Jan 20 2016	13 43	74 40	128 00	0 00	(53 60)	(53 60)	LT
	Jun 28 2013	Jan 20 2016	21 01	116 38	181 53	0 00	(65 15)	(65 15)	LT
	Jul 31 2013	Jan 20 2016	20 44	113 23	175 80	0.00	(62 57)	(62 57)	LT
	Aug 30 2013	Jan 20 2016	21 50	119 10	174 81	0 00	(55 71)	(55 71)	LT
	Sep 30 2013	Jan 20 2016	22 00	121 89	186 18	0 00	(64.29)	(64 29)	LT
	Oct 31 2013	Jan 20 2016	24 00	132 96	207 63	0 00	(74 67)	(74 67)	LT
	Mar 31 2014	Jan 20 2016	18 31	101 44	152 55	0 00	(51 11)	(51.11)	LT
	Apr 30 2014	Jan 20 2016	19 87	110 08	165 94	0 00	(55 86)	(55 86)	LT
	May 30 2014	Jan 20 2016	20 02	110 93	170 23	0 00	(59 30)	(59 30)	LT
	Jun 30 2014	Jan 20 2016	19 83	109 84	169 35	0 00	(59 51)	(59 51)	LT
	Jul 31 2014	Jan 20 2016	19 47	107 87	164 16	0 00	(56 29)	(56 29)	LT
	Aug 29 2014	Jan 20 2016	19 29	106 89	162.48	0 00	(55 59)	(55 59)	LT
	Nov 28 2014	Jan 20 2016	16 25	90 04	126 79	0 00	(36 75)	(36 75)	LT
	Dec 31 2014	Jan 20 2016	17 20	95 27	125 56	0 00	(30 29)	(30 29)	LT
	Jan 30 2015	Jan 20 2016	18 31	101 45	132 75	0 00	(31.30)	(31 30)	ST
	Feb 27 2015	Jan 20 2016	15 44	85 52	111 16	0 00	(25 64)	(25 64)	ST
	Mar 31 2015	Jan 20 2016	14.96	82 88	104 28	0 00	(21 40)	(21 40)	ST

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Apr 30 2015	Jan 20 2016	17.11	94.79	122 17	0.00	(27.38)	(27.38)	ST
	May 29 2015	Jan 20 2016	17 91	99 23	124 30	0 00	(25 07)	(25 07)	ST
	Jun 30 2015	Jan 20 2016	15 78	87 43	107 15	0 00	(19 72)	(19 72)	ST
	Jul 31 2015	Jan 20 2016	17 38	96 28	114 01	0 00	(17 73)	(17 73)	ST
	Aug 31 2015	Jan 20 2016	17 64	97 72	109 89	0 00	(12 17)	(12.17)	ST
	Sep 30 2015	Jan 20 2016	16 22	89 87	96 85	0 00	(6 98)	(6.98)	ST
	Oct 30 2015	Jan 20 2016	19 55	108 28	121 18	0 00	(12 90)	(12 90)	ST
VANGUARD FTSE EMERGING MKTS ETF	May 08 2012	May 02 2016	290 00	10,085 97	11,959 60	0 00	(1,873 63)	(1,873 63)	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	May 31 2016	758 29	8,000 00	7,979 23	0 00	20 77	20 77	LT
ISHARES U S REAL ESTATE ETF	May 16 2012	May 31 2016	90 00	7,039 64	5,621 92	0 00	1,417.72	1,417.72	LT
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF	May 16 2012	May 31 2016	120 00	5,038 69	4,205 86	0 00	832 83	832 83	LT
TCW METWEST UNCONSTRAINED BOND FUND CLASS M	Jan 15 2015	May 31 2016	593 22	7,000 00	7,071 18	0 00	(71 18)	(71 18)	LT
TCW METWEST UNCONSTRAINED BOND FUND CLASS M DISALLOWED LOSSES								1 45	
VANGUARD FTSE EMERGING MKTS ETF	May 08 2012	May 31 2016	445 00	15,022 87	18,351 80	0 00	(3,328 93)	(3,328 93)	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	May 08 2012	May 31 2016	74 00	8,017.72	5,088 24	0 00	2,929 48	2,929 48	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	May 08 2012	May 31 2016	118 00	10,011 40	6,608 00	0 00	3,403 40	3,403 40	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	Jun 20 2016	1,226 42	13,000 00	12,905.09	0 00	94 91	94 91	LT
GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	Dec 21 2015	Jun 20 2016	208 12	2,000 00	1,952 14	0 00	47 86	47 86	ST
ISHARES CURRENCY HEDGED MSCI EAFE ETF	Mar 05 2015	Jun 20 2016	126 00	2,996.21	3,497 76	0 00	(501 55)	(501 55)	LT
ISHARES RUSSELL 2000 ETF	May 08 2012	Jun 20 2016	43 00	4,987 89	3,402 59	0 00	1,585 30	1,585 30	LT
TCW METWEST UNCONSTRAINED BOND FUND CLASS M	Jan 15 2015	Jun 20 2016	169 35	2,000 00	2,018 63	0 00	(18 63)	(18 63)	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	May 08 2012	Jun 20 2016	47 00	5,042 98	3,231 72	0 00	1,811.26	1,811.26	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	May 08 2012	Jun 20 2016	59 00	4,972 41	3,304 00	0 00	1,668 41	1,668 41	LT
ISHARES CURRENCY HEDGED MSCI EAFE ETF	Mar 05 2015	Aug 30 2016	40 00	985 97	1,110 40	0.00	(124 43)	(124 43)	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	May 08 2012	Aug 30 2016	9 00	1,009 23	618 84	0.00	390 39	390 39	LT

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	May 08 2012	Aug 30 2016	12 00	1,056 69	672 00	0 00	384 69	384 69	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	Oct 19 2016	469 48	5,000 00	4,940 20	0 00	59 80	59 80	LT
ISHARES CURRENCY HEDGED MSCI EAFE ETF	Mar 05 2015	Oct 19 2016	200 00	5,001 89	5,552 00	0.00	(550 11)	(550 11)	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	May 08 2012	Oct 19 2016	46 00	5,102 20	3,162.96	0 00	1,939 24	1,939 24	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	Dec 13 2016	486 38	5,000 00	5,118 00	0 00	(118 00)	(118 00)	LT
BLACKROCK COREALPHA BOND MUTUAL FUND DISALLOWED LOSSES								60 97	
ISHARES RUSSELL 2000 ETF	May 08 2012	Dec 13 2016	37 00	5,076.65	2,927 81	0.00	2,148 84	2,148 84	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	2,000 00	1,952 14	0 00	47 86	47 86
SHORT TERM LOSSES	943 45	1,143 74	0 00	(200 29)	(200.29)
NET SHORT TERM GAINS (LOSSES)	**2,943.45**	**3,095.88**	**0.00**	**(152.43)**	**(152.43)**
LONG TERM GAINS	83,355 50	64,668.46	0 00	18,687 04	18,687 04
LONG TERM LOSSES	61,436 72	77,540 92	0 00	(16,104 20)	(16,104 20)
LONG TERM DISALLOWED LOSSES					62 42
NET LONG TERM GAINS (LOSSES)	**144,792.22**	**142,209.38**	**0.00**	**2,582.84**	**2,645.26**

Goldman Sachs

A|C # 042-658062

LOTZ FAMILY FDN LAZARD: LCC
Realized Gains and Losses

Period Ended December 31, 2016

PART IV ATTACHMENT — GOLDMAN SACHS # 658062

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BAXALTA INCORPORATED CMN	Jul 07 2015	Jan 04 2016	4 00	159 54	125 60	0 00	33 94	33 94	ST
	Aug 26 2015	Jan 04 2016	7 00	279 19	246 44	0 00	32.75	32.75	ST
PARKER-HANNIFIN CORP. CMN	Oct 22 2014	Jan 08 2016	2 00	183 17	222 27	0 00	(39 10)	(39 10)	LT
	Jan 16 2015	Jan 08 2016	1 00	91 58	118 79	0 00	(27.21)	(27 21)	ST
PARKER-HANNIFIN CORP CMN DISALLOWED LOSSES								39 10	
								27 21	
VOYA FINANCIAL INC CMN	Mar 12 2015	Jan 08 2016	3 00	100 12	133 79	0 00	(33 67)	(33.67)	ST
	Apr 17 2015	Jan 08 2016	8 00	266 98	343 00	0 00	(76 02)	(76 02)	ST
	Jul 15 2015	Jan 08 2016	5 00	166.86	235 72	0 00	(68 86)	(68.86)	ST
	Jul 27 2015	Jan 08 2016	5 00	166 86	230 47	0 00	(63 61)	(63 61)	ST
APACHE CORP CMN	Jan 24 2014	Jan 11 2016	1 00	35 99	82 61	0 00	(46 62)	(46 62)	LT
	Oct 03 2014	Jan 11 2016	1 00	36 00	88 14	0 00	(52 14)	(52 14)	LT
	Oct 08 2014	Jan 11 2016	1 00	36 00	84 10	0 00	(48 10)	(48 10)	LT
	Dec 02 2014	Jan 11 2016	5 00	179 98	318 58	0 00	(138 60)	(138 60)	LT
	Jan 16 2015	Jan 11 2016	5 00	179 98	306 40	0 00	(126 42)	(126 42)	ST
	Aug 07 2015	Jan 11 2016	1 00	36 00	46 57	0 00	(10.57)	(10 57)	ST
BAXALTA INCORPORATED CMN	Aug 26 2015	Jan 11 2016	14 00	567 13	492 88	0 00	74.25	74 25	ST
DRIL-QUIP, INC CMN	Jan 15 2015	Jan 15 2016	6 00	320 96	435 28	0 00	(114 32)	(114 32)	ST
AMERICAN AIRLINES GROUP INC CMN	Oct 01 2014	Jan 25 2016	7 00	276 63	239 90	0 00	36 73	36 73	LT
	Dec 09 2014	Jan 25 2016	3 00	118 55	144 56	0 00	(26 01)	(26 01)	LT
	Jan 16 2015	Jan 25 2016	5 00	197 59	243 55	0 00	(45 96)	(45 96)	LT
AMERICAN AIRLINES GROUP INC CMN DISALLOWED LOSSES								26 01	
								27 58	
INTERNATIONAL PAPER CO CMN	Dec 20 2013	Jan 25 2016	8 00	264 30	392 11	0 00	(127 81)	(127 81)	LT
JOHNSON CONTROLS INTERNATIONAL CMN	Apr 19 2013	Jan 25 2016	1 00	32 74	31 34	0 00	1 40	1.40	LT
	Apr 29 2013	Jan 25 2016	12 00	392 91	382 57	0 00	10 34	10 34	LT
ONEMAIN HOLDINGS, INC CMN	Mar 06 2015	Jan 26 2016	12 00	352 70	587 92	0 00	(235 22)	(235 22)	ST
ZOETIS INC CMN CLASS A	Oct 31 2013	Jan 26 2016	3 00	131 38	95.48	0 00	35 90	35 90	LT
	Nov 05 2013	Jan 26 2016	4 00	175 18	126 60	0 00	48 58	48 58	LT
	Dec 19 2013	Jan 26 2016	3 00	131 38	96.86	0 00	34 52	34 52	LT

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
QUALCOMM INC CMN	May 01 2013	Jan 28 2016	2 00	88 00	123 81	0 00	(35 81)	(35 81)	LT
	May 24 2013	Jan 28 2016	2 00	88 00	128 24	0 00	(40 24)	(40 24)	LT
	Jan 16 2015	Jan 28 2016	4 00	176 01	284 24	0 00	(108 23)	(108 23)	LT
	Jan 29 2015	Jan 28 2016	4 00	176 01	255 33	0 00	(79 32)	(79 32)	ST
	Mar 10 2015	Jan 28 2016	6 00	264 01	435 91	0 00	(171 90)	(171 90)	ST
ALPHABET INC CMN CLASS C	May 16 2014	Feb 01 2016	1 00	750 61	516 44	0 00	234 17	234 17	LT
KELLOGG COMPANY CMN	Mar 04 2015	Feb 01 2016	5 00	369 54	322 02	0 00	47 52	47 52	ST
HONEYWELL INTL INC CMN	May 09 2012	Feb 03 2016	3 00	304 11	175 92	0 00	128 19	128 19	LT
	May 24 2013	Feb 03 2016	1 00	101 37	78 92	0 00	22 45	22 45	LT
	Sep 05 2014	Feb 03 2016	4 00	405 49	379 32	0 00	26 17	26 17	LT
INTERNATIONAL PAPER CO. CMN	Dec 20 2013	Feb 03 2016	2 00	66 94	99 05	0.00	(32.11)	(32.11)	LT
	Dec 20 2013	Feb 03 2016	4 00	133 88	196 05	0 00	(62 17)	(62 17)	LT
	Mar 14 2014	Feb 03 2016	5 00	167 35	232 10	0 00	(64 75)	(64 75)	LT
	Apr 30 2014	Feb 03 2016	2 00	66 94	94 44	0 00	(27 50)	(27 50)	LT
KELLOGG COMPANY CMN	Mar 04 2015	Feb 03 2016	3 00	218 58	193 21	0 00	25 37	25 37	ST
HP INC. CMN	Oct 10 2014	Feb 08 2016	10 00	96 20	159 74	0 00	(63 54)	(63 54)	LT
	Nov 10 2014	Feb 08 2016	9 00	86 58	156 36	0 00	(69 78)	(69 78)	LT
	Dec 09 2014	Feb 08 2016	5 00	48 11	89 48	0 00	(41 37)	(41 37)	LT
	Jan 16 2015	Feb 08 2016	12 00	115 45	217 10	0 00	(101 65)	(101 65)	LT
	Mar 10 2015	Feb 08 2016	6 00	57 73	92 67	0 00	(34 94)	(34 94)	ST
	Aug 26 2015	Feb 08 2016	11 00	105 83	136 40	0 00	(30 57)	(30 57)	ST
INTERNATIONAL PAPER CO CMN	Apr 30 2014	Feb 08 2016	1 00	34 00	47 22	0 00	(13 22)	(13 22)	LT
	Dec 09 2014	Feb 08 2016	6 00	204 01	328 35	0 00	(124 34)	(124 34)	LT
	Jan 16 2015	Feb 08 2016	8 00	272 01	430 40	0.00	(158 39)	(158 39)	LT
ANADARKO PETROLEUM CORP CMN	Oct 09 2014	Feb 09 2016	7 00	261 18	651 01	0 00	(389 83)	(389 83)	LT
	Nov 03 2014	Feb 09 2016	3 00	111 93	278 21	0 00	(166 28)	(166 28)	LT
	Nov 10 2014	Feb 09 2016	2.00	74 62	184 78	0 00	(110 16)	(110 16)	LT
	Dec 09 2014	Feb 09 2016	2 00	74 62	153 25	0 00	(78 63)	(78 63)	LT
	Jan 16 2015	Feb 09 2016	4 00	149 24	312 60	0 00	(163 36)	(163 36)	LT
	Aug 20 2015	Feb 09 2016	2 00	74 62	143 01	0.00	(68 39)	(68 39)	ST

Goldman Sachs

LOTZ FAMILY FDN LAZARD: LCC
Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VIACOM INC CMN CLASS B	Sep 23 2014	Feb 09 2016	4 00	139 06	310 63	0 00	(171 57)	(171 57)	LT
	Nov 03 2014	Feb 09 2016	3.00	104.30	217 90	0 00	(113 60)	(113 60)	LT
	Nov 05 2014	Feb 09 2016	4 00	139 06	277 48	0 00	(138 42)	(138 42)	LT
	Nov 10 2014	Feb 09 2016	2 00	69 53	140 35	0.00	(70 82)	(70 82)	LT
HEWLETT PACKARD ENTERPRISE CO CMN	Oct 10 2014	Feb 12 2016	10 00	125 55	179 06	0 00	(53 51)	(53 51)	LT
	Nov 10 2014	Feb 12 2016	9 00	113.00	175 27	0 00	(62 27)	(62 27)	LT
	Dec 09 2014	Feb 12 2016	5 00	62 77	100 29	0.00	(37 52)	(37.52)	LT
	Jan 16 2015	Feb 12 2016	12 00	150 66	243.34	0 00	(92 68)	(92 68)	LT
	Mar 10 2015	Feb 12 2016	6 00	75 33	103 87	0 00	(28.54)	(28.54)	ST
	Aug 26 2015	Feb 12 2016	11.00	138 10	152 89	0 00	(14 79)	(14.79)	ST
MSG NETWORKS INC CMN	Jun 27 2014	Feb 17 2016	8 00	124.05	123 69	0 00	0 36	0 36	LT
	Oct 15 2014	Feb 17 2016	4 00	62 03	61 12	0.00	0.91	0 91	LT
	Dec 09 2014	Feb 17 2016	3.00	46 51	56 03	0 00	(9 52)	(9 52)	LT
	Jan 16 2015	Feb 17 2016	4 00	62 02	74 67	0.00	(12 65)	(12 65)	LT
	Jul 27 2015	Feb 17 2016	1 00	15 50	20 94	0 00	(5 44)	(5 44)	ST
	Aug 06 2015	Feb 17 2016	5 00	77 53	96 74	0 00	(19 21)	(19 21)	ST
	Oct 02 2015	Feb 17 2016	12 00	186 07	228 52	0 00	(42.45)	(42.45)	ST
ELI LILLY & CO CMN	Mar 14 2014	Feb 19 2016	1 00	72 43	58 60	0 00	13 83	13 83	LT
	Jan 16 2015	Feb 19 2016	7 00	507 03	494 48	0 00	12 55	12 55	LT
	Feb 27 2015	Feb 19 2016	1 00	72.43	70 12	0 00	2 31	2 31	ST
MALLINCKRODT PUBLIC LIMITED CO CMN	Jul 21 2015	Feb 19 2016	4 00	256 67	490 16	0 00	(233 49)	(233 49)	ST
ZOETIS INC CMN CLASS A	Dec 19 2013	Feb 19 2016	4.00	167 43	129 15	0 00	38 28	38 28	LT
	Jan 08 2014	Feb 19 2016	1 00	41 86	32 02	0 00	9 84	9 84	LT
HONEYWELL INTL INC CMN	Oct 01 2014	Feb 22 2016	4 00	432 48	368 49	0 00	63 99	63 99	LT
ONEMAIN HOLDINGS, INC CMN	Mar 06 2015	Feb 22 2016	6 00	147.56	293 96	0 00	(146 40)	(146 40)	ST
	Apr 29 2015	Feb 22 2016	5 00	122 97	253 31	0 00	(130.34)	(130.34)	ST
	May 28 2015	Feb 22 2016	12 00	295 13	566.17	0 00	(271 04)	(271 04)	ST
DRIL-QUIP, INC. CMN	Jan 15 2015	Feb 23 2016	3 00	156 69	217 64	0 00	(60 95)	(60 95)	LT
	Jan 16 2015	Feb 23 2016	5.00	261 15	368 29	0 00	(107 14)	(107 14)	LT
	Jan 20 2015	Feb 23 2016	1 00	52 23	73 23	0 00	(21 00)	(21 00)	LT
	Aug 07 2015	Feb 23 2016	1 00	52 23	60 00	0.00	(7 77)	(7 77)	ST
INTERCONTINENTAL EXCHANGE INC CMN	Mar 14 2014	Mar 01 2016	1 00	229 62	205 13	0 00	24 49	24 49	LT
	May 15 2014	Mar 01 2016	1 00	229 62	188 95	0 00	40 67	40 67	LT
DECKERS OUTDOORS CORP CMN	May 01 2015	Mar 04 2016	2 00	115 16	150 25	0 00	(35 09)	(35 09)	ST

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
J C PENNEY CO INC (HLDNG CO) CMN	Sep 05 2013	Mar 04 2016	9 00	101 02	125 42	0 00	(24 40)	(24 40)	LT
	Dec 05 2013	Mar 04 2016	13 00	145 93	115 83	0 00	30 10	30 10	LT
	Mar 06 2014	Mar 04 2016	2 00	22.45	16 73	0 00	5 72	5 72	LT
AMERICAN AIRLINES GROUP INC CMN	Jan 16 2015	Mar 09 2016	1 00	41 55	48 71	0 00	(7 16)	(7 16)	LT
	May 26 2015	Mar 09 2016	10 00	415 49	418 07	0.00	(2 58)	(2 58)	ST
APPLE INC CMN	Apr 24 2014	Mar 17 2016	5 00	526 97	401 40	0 00	125 57	125 57	LT
BAXALTA INCORPORATED CMN	Aug 26 2015	Mar 17 2016	1 00	38 17	35 21	0 00	2 96	2 96	ST
	Oct 06 2015	Mar 17 2016	18 00	686 99	556 19	0 00	130 80	130 80	ST
CORRECTIONS CORP OF AMERICA CMN	Jun 04 2013	Mar 23 2016	7 00	221 70	238 35	0.00	(16 65)	(16 65)	LT
	Aug 20 2013	Mar 23 2016	1 00	31 67	33 82	0 00	(2 15)	(2 15)	LT
AON PLC CMN	Oct 15 2014	Mar 24 2016	4 00	399 34	316 09	0 00	83 25	83 25	LT
ROCKWELL AUTOMATION INC CMN	Dec 16 2014	Mar 24 2016	2 00	222 64	211 81	0 00	10 83	10 83	LT
CISCO SYSTEMS, INC CMN	Nov 10 2014	Mar 29 2016	7 00	196 79	176 03	0 00	20 76	20.76	LT
	Jan 16 2015	Mar 29 2016	11 00	309 23	302 39	0 00	6 84	6 84	LT
PARKER-HANNIFIN CORP CMN	Jan 16 2015	Mar 30 2016	2 00	222 32	237 58	0 00	(15 26)	(15 26)	LT
	Feb 27 2015	Mar 30 2016	2 00	222 32	245 43	0 00	(23 11)	(23 11)	LT
ROCKWELL AUTOMATION INC CMN	Dec 16 2014	Mar 30 2016	1 00	113 70	105 90	0 00	7 80	7 80	LT
	Jan 16 2015	Mar 30 2016	1 00	113 70	103 24	0 00	10 46	10.46	LT
KELLOGG COMPANY CMN	Mar 04 2015	Apr 01 2016	7 00	543 24	450 83	0 00	92 41	92 41	LT
PFIZER INC CMN	Apr 11 2014	Apr 05 2016	3 00	92 64	90 63	0 00	2 01	2 01	LT
	May 09 2014	Apr 05 2016	10 00	308 80	289 13	0 00	19 67	19 67	LT
PIONEER NATURAL RESOURCES CO CMN	Jan 06 2016	Apr 12 2016	3 00	448 12	349 15	0 00	98.97	98 97	ST
KELLOGG COMPANY CMN	Mar 04 2015	Apr 13 2016	4 00	306 07	257 62	0 00	48 45	48.45	LT
CORRECTIONS CORP OF AMERICA CMN	Aug 20 2013	Apr 14 2016	10 00	317.09	338.19	0 00	(21.10)	(21.10)	LT
	Aug 23 2013	Apr 14 2016	7 00	221.95	229 24	0 00	(7 29)	(7 29)	LT
	Sep 04 2013	Apr 14 2016	7 00	221 96	221 69	0 00	0 27	0 27	LT
	Feb 10 2014	Apr 14 2016	1 00	31 71	30 99	0 00	0.72	0 72	LT
EATON CORP PLC CMN	Feb 03 2016	Apr 19 2016	5 00	314 34	258 34	0 00	56 00	56 00	ST
JOHNSON CONTROLS INTERNATIONAL CMN	May 24 2013	Apr 19 2016	3 00	110 22	102 72	0 00	7 50	7.50	LT
	Oct 17 2014	Apr 19 2016	3.00	110 22	120 15	0 00	(9 93)	(9 93)	LT

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
NORWEGIAN CRUISE LINE HLDG LTD CMN	Jul 30 2014	Apr 19 2016	2 00	102 79	65 98	0 00	36 81	36 81	LT
ROCKWELL AUTOMATION INC CMN	Jan 16 2015	Apr 19 2016	1 00	116 10	103 24	0 00	12 86	12.86	LT
	Jan 26 2015	Apr 19 2016	1 00	116 10	106 97	0.00	9 13	9 13	LT
TERADYNE INC CMN	Aug 06 2014	Apr 21 2016	15 00	308 94	281 02	0 00	27 92	27 92	LT
	Sep 26 2014	Apr 21 2016	19 00	391 33	370 84	0.00	20.49	20 49	LT
	Dec 09 2014	Apr 21 2016	5 00	102 98	98 39	0 00	4 59	4 59	LT
	Jan 16 2015	Apr 21 2016	1 00	20 60	18 45	0 00	2 15	2 15	LT
VIACOM INC CMN CLASS B	Jan 14 2015	Apr 29 2016	5 00	208 10	337 23	0 00	(129 13)	(129 13)	LT
	Jan 16 2015	Apr 29 2016	5 00	208 11	340 46	0 00	(132 35)	(132.35)	LT
APPLE INC. CMN	Apr 24 2014	May 09 2016	4 00	374 08	321 12	0 00	52 96	52 96	LT
	Jan 16 2015	May 09 2016	8 00	748 17	842 96	0 00	(94 79)	(94 79)	LT
HOUGHTON MIFFLIN HARCOURT CO CMN	Nov 04 2014	May 09 2016	3 00	55 98	60 70	0 00	(4 72)	(4 72)	LT
	Nov 24 2014	May 09 2016	2 00	37 32	39 25	0 00	(1 93)	(1 93)	LT
GAP INC CMN	Mar 08 2016	May 10 2016	19 00	366 08	568 27	0 00	(202 19)	(202 19)	ST
	Mar 29 2016	May 10 2016	5 00	96 34	145.50	0 00	(49 16)	(49 16)	ST
DECKERS OUTDOORS CORP CMN	May 01 2015	May 11 2016	4 00	215 34	300 50	0 00	(85 16)	(85 16)	LT
HOUGHTON MIFFLIN HARCOURT CO CMN	Nov 24 2014	May 11 2016	7 00	126.54	137 39	0 00	(10 85)	(10.85)	LT
	Jan 16 2015	May 11 2016	15 00	271 14	285 15	0 00	(14 01)	(14 01)	LT
	Apr 30 2015	May 11 2016	12 00	216.91	273 04	0 00	(56 13)	(56 13)	LT
	Aug 06 2015	May 11 2016	15 00	271 14	363 37	0 00	(92.23)	(92 23)	ST
ADVANCE AUTO PARTS, INC CMN	Jul 22 2014	Jun 02 2016	1 00	151 10	124 82	0 00	26 28	26 28	LT
	Sep 19 2014	Jun 02 2016	3 00	453 29	396 46	0 00	56 83	56.83	LT
APPLIED MATERIALS INC CMN	May 22 2015	Jun 02 2016	14 00	343 08	282 48	0 00	60 60	60 60	LT
HONEYWELL INTL INC CMN	Oct 01 2014	Jun 02 2016	3.00	342 99	276 37	0 00	66 62	66 62	LT
KELLOGG COMPANY CMN	Mar 04 2015	Jun 03 2016	5 00	375 37	322 02	0 00	53 35	53 35	LT
PFIZER INC CMN	May 09 2014	Jun 13 2016	2 00	69 60	57 83	0 00	11 77	11 77	LT
	May 16 2014	Jun 13 2016	7.00	243 58	204 13	0 00	39 45	39 45	LT
	Nov 10 2014	Jun 13 2016	9 00	313 17	272 12	0 00	41 05	41 05	LT
	Dec 23 2014	Jun 13 2016	9 00	313 18	283 12	0 00	30 06	30 06	LT
VIACOM INC CMN CLASS B	Jan 16 2015	Jun 13 2016	15 00	629 26	1,021 37	0 00	(392 11)	(392 11)	LT
	Jan 29 2015	Jun 13 2016	4 00	167.80	269 70	0 00	(101 90)	(101 90)	LT
KELLOGG COMPANY CMN	Apr 30 2015	Jun 15 2016	2 00	153 14	126 55	0 00	26 59	26 59	LT
ADVANCE AUTO PARTS, INC CMN	Jan 14 2015	Jun 20 2016	1 00	155 52	150 34	0 00	5 18	5 18	LT
AETNA INC CMN	Sep 08 2015	Jun 20 2016	1 00	122 23	116 81	0 00	5 42	5 42	ST

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ALPHABET INC CMN CLASS A	May 16 2014	Jun 20 2016	1 00	705 90	525 10	0 00	180 80	180 80	LT
AMERICAN AIRLINES GROUP INC CMN	May 26 2015	Jun 20 2016	1 00	29 68	41 81	0 00	(12 13)	(12.13)	LT
	Jul 14 2015	Jun 20 2016	1 00	29 68	42 15	0 00	(12 47)	(12 47)	ST
AON PLC CMN	Oct 15 2014	Jun 20 2016	1 00	107 34	79 02	0 00	28 32	28 32	LT
APPLE INC. CMN	Jan 16 2015	Jun 20 2016	1 00	95 03	105 37	0.00	(10 34)	(10 34)	LT
	Aug 07 2015	Jun 20 2016	1 00	95 03	115 23	0 00	(20 20)	(20.20)	ST
APPLIED MATERIALS INC CMN	May 22 2015	Jun 20 2016	3 00	70 94	60 53	0 00	10 41	10 41	LT
BANK OF AMERICA CORP CMN	Aug 13 2015	Jun 20 2016	14 00	189 69	246 95	0 00	(57 26)	(57 26)	ST
CBOE HOLDINGS, INC CMN	Jan 16 2015	Jun 20 2016	1 00	63 16	65 94	0 00	(2 78)	(2 78)	LT
CBOE HOLDINGS, INC CMN DISALLOWED LOSSES								2 78	
CHARLES SCHWAB CORPORATION CMN	Jan 25 2016	Jun 20 2016	2 00	57 23	51 75	0 00	5 48	5 48	ST
CHEVRON CORPORATION CMN	Dec 03 2015	Jun 20 2016	1.00	102 65	90 87	0 00	11 78	11 78	ST
CISCO SYSTEMS, INC CMN	Jan 16 2015	Jun 20 2016	6 00	172 67	164.94	0 00	7 73	7 73	LT
CITIGROUP INC CMN	Sep 27 2013	Jun 20 2016	3 00	128 42	146 71	0 00	(18 29)	(18 29)	LT
CORRECTIONS CORP OF AMERICA CMN	Feb 10 2014	Jun 20 2016	1 00	34 15	30 98	0 00	3.17	3 17	LT
	Dec 09 2014	Jun 20 2016	1 00	34 16	36 37	0.00	(2 21)	(2 21)	LT
DELPHI AUTOMOTIVE PLC CMN	Oct 16 2015	Jun 20 2016	2 00	139 35	161 85	0 00	(22 50)	(22 50)	ST
EATON CORP PLC CMN	Feb 03 2016	Jun 20 2016	1 00	62 28	51 67	0 00	10 61	10 61	ST
ELI LILLY & CO CMN	Feb 27 2015	Jun 20 2016	1 00	73 23	70 12	0 00	3 11	3 11	LT
EOG RESOURCES INC CMN	Dec 02 2014	Jun 20 2016	1 00	83 20	90 55	0 00	(7 35)	(7 35)	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Aug 06 2013	Jun 20 2016	3 00	132 23	95 44	0 00	36 79	36 79	LT
HONEYWELL INTL INC CMN	Oct 15 2014	Jun 20 2016	1 00	117 02	83 84	0 00	33 18	33 18	LT
HOST HOTELS & RESORTS INC CMN	Oct 07 2015	Jun 20 2016	4 00	66 13	69 74	0 00	(3 61)	(3 61)	ST
J C PENNEY CO INC (HLDNG CO) CMN	Mar 06 2014	Jun 20 2016	4 00	33 86	33 46	0 00	0 40	0 40	LT
	Mar 14 2014	Jun 20 2016	3.00	25 39	26.80	0 00	(1 41)	(1 41)	LT
JOHNSON CONTROLS INTERNATIONAL CMN	Oct 17 2014	Jun 20 2016	2 00	86 23	80 10	0 00	6 13	6 13	LT
KELLOGG COMPANY CMN	Apr 30 2015	Jun 20 2016	1 00	76 86	63 28	0.00	13 58	13 58	LT
MALLINCKRODT PUBLIC LIMITED CO CMN	Jul 21 2015	Jun 20 2016	1 00	57 99	122 54	0 00	(64 55)	(64 55)	ST
MICROSOFT CORPORATION CMN	Dec 07 2015	Jun 20 2016	4 00	200 19	223 00	0 00	(22 81)	(22 81)	ST

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
MOLSON COORS BREWING CO CMN CLASS B	Jan 16 2015	Jun 20 2016	2 00	202 19	148 54	0 00	53.65	53 65	LT
NORWEGIAN CRUISE LINE HLDG LTD CMN	Jul 30 2014	Jun 20 2016	2 00	88 39	65 98	0 00	22 41	22 41	LT
	Oct 07 2014	Jun 20 2016	1 00	44 20	34 76	0 00	9 44	9 44	LT
PFIZER INC CMN	Dec 23 2014	Jun 20 2016	5 00	172 44	157.29	0 00	15.15	15 15	LT
PROCTER & GAMBLE COMPANY (THE) CMN	May 04 2015	Jun 20 2016	2 00	166 13	161 61	0 00	4 52	4 52	LT
SCHLUMBERGER LTD CMN	Oct 28 2015	Jun 20 2016	1 00	77 68	78 99	0.00	(1 31)	(1 31)	ST
SKYWORKS SOLUTIONS INC CMN	Mar 17 2016	Jun 20 2016	2 00	131 55	148 80	0 00	(17 25)	(17 25)	ST
SKYWORKS SOLUTIONS INC CMN DISALLOWED LOSSES								17 25	
STRYKER CORP CMN	Jan 27 2016	Jun 20 2016	1 00	116 03	98 64	0 00	17 39	17 39	ST
UNION PACIFIC CORP CMN	May 15 2015	Jun 20 2016	1 00	88 08	103 60	0 00	(15 52)	(15 52)	LT
VISA INC CMN CLASS A	Apr 25 2014	Jun 20 2016	2 00	154 77	100 01	0 00	54 76	54 76	LT
WELLS FARGO & CO (NEW) CMN	Nov 11 2015	Jun 20 2016	2 00	93 95	111 54	0 00	(17 59)	(17 59)	ST
ZOETIS INC CMN CLASS A	Jan 08 2014	Jun 20 2016	5 00	234 34	160 11	0.00	74 23	74 23	LT
ADVANCE AUTO PARTS, INC CMN	Jan 14 2015	Jun 22 2016	1 00	153 40	150 34	0 00	3 06	3 06	LT
APPLE INC. CMN	Aug 24 2015	Jun 22 2016	4 00	382 05	429 79	0 00	(47 74)	(47 74)	ST
CISCO SYSTEMS, INC CMN	Jan 16 2015	Jun 22 2016	12 00	345 20	329 88	0 00	15 32	15 32	LT
MONSANTO COMPANY CMN	Nov 20 2015	Jun 22 2016	6 00	643 44	576 04	0 00	67 40	67.40	ST
STRYKER CORP CMN	Jan 27 2016	Jun 22 2016	4 00	467.06	394.56	0 00	72 50	72 50	ST
AMERICAN AIRLINES GROUP INC CMN	Dec 09 2014	Jun 24 2016	3 00	81 91	146 72	0 00	(64 81)	(64 81)	LT
	Jan 16 2015	Jun 24 2016	3 00	81 91	148 28	0 00	(66 38)	(66.38)	LT
	Jul 14 2015	Jun 24 2016	5 00	136 51	210 73	0 00	(74 22)	(74 22)	ST
	Apr 29 2016	Jun 24 2016	12 00	327 62	413 96	0 00	(86 34)	(86 34)	ST
CITIGROUP INC CMN	May 15 2014	Jun 24 2016	7 00	286 31	325.93	0 00	(39 62)	(39 62)	LT
	Jan 16 2015	Jun 24 2016	14 00	572 62	658.14	0 00	(85 52)	(85.52)	LT
	Aug 07 2015	Jun 24 2016	3 00	122 70	173.05	0 00	(50 35)	(50 35)	ST
NORWEGIAN CRUISE LINE HLDG LTD CMN	Oct 07 2014	Jun 27 2016	8 00	313 15	278 08	0 00	35 07	35 07	LT
	Oct 21 2014	Jun 27 2016	2 00	78 29	66 86	0 00	11 43	11 43	LT
	Jan 09 2015	Jun 27 2016	3 00	117 43	137 14	0 00	(19 71)	(19 71)	LT
PARKER-HANNIFIN CORP. CMN	Nov 19 2014	Jun 27 2016	2 00	201 39	242 81	0 00	(41 43)	(41.43)	LT
	Feb 13 2015	Jun 27 2016	1 00	100 69	129 07	0 00	(28 37)	(28 37)	LT
	Jun 03 2015	Jun 27 2016	3 00	302 08	364 39	0.00	(62.31)	(62.31)	LT
	Jul 14 2015	Jun 27 2016	2 00	201 39	229 20	0 00	(27 81)	(27 81)	ST
ADVANCE AUTO PARTS, INC CMN	Jan 16 2015	Jul 05 2016	4 00	646 49	594 36	0 00	52 13	52 13	LT

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BANK OF AMERICA CORP CMN	Aug 13 2015	Jul 07 2016	19 00	245 61	335 15	0 00	(89 54)	(89 54)	ST
HARTFORD FINANCIAL SRVCS GROUP CMN	Aug 06 2013	Jul 07 2016	9 00	383.98	286 31	0 00	97 67	97 67	LT
PFIZER INC CMN	Dec 23 2014	Jul 07 2016	1 00	35 71	31 46	0 00	4 25	4 25	LT
	Jan 16 2015	Jul 07 2016	11 00	392 80	357 94	0 00	34 86	34 86	LT
KELLOGG COMPANY CMN	Apr 30 2015	Jul 11 2016	5 00	407 90	316 38	0 00	91 52	91 52	LT
	Mar 04 2015	Jul 14 2016	3 00	256 44	197 63	0 00	58 82	58 82	LT
UNION PACIFIC CORP CMN	May 15 2015	Jul 15 2016	3 00	284 06	310 80	0.00	(26.74)	(26 74)	LT
KELLOGG COMPANY CMN	Mar 04 2015	Jul 21 2016	4 00	328 95	263 51	0 00	65 44	65 44	LT
APPLE INC CMN	Aug 24 2015	Jul 25 2016	4 00	391 88	429 79	0.00	(37 91)	(37.91)	ST
CHEVRON CORPORATION CMN	Dec 03 2015	Jul 26 2016	5 00	512 29	454 36	0 00	57 93	57 93	ST
ADVANCE AUTO PARTS, INC. CMN	Jan 16 2015	Jul 29 2016	4 00	675.75	594 36	0 00	81.39	81 39	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Aug 06 2013	Jul 29 2016	7 00	274 98	222 69	0 00	52 29	52 29	LT
	Feb 04 2014	Jul 29 2016	1 00	39 28	32 77	0 00	6 51	6 51	LT
MALLINCKRODT PUBLIC LIMITED CO CMN	Jul 21 2015	Aug 03 2016	5 00	404.91	612 70	0 00	(207 79)	(207 79)	LT
TERADYNE INC CMN	Jan 16 2015	Aug 03 2016	24 00	469 15	442 80	0 00	26 35	26 35	LT
	Jan 28 2016	Aug 03 2016	19 00	371 41	355 36	0 00	16 05	16 05	ST
ELI LILLY & CO CMN	Feb 27 2015	Aug 05 2016	1 00	81 67	70 12	0.00	11 55	11 55	LT
	Apr 27 2015	Aug 05 2016	3 00	245 02	212 99	0 00	32 03	32 03	LT
UNION PACIFIC CORP CMN	May 15 2015	Aug 05 2016	2 00	188 44	207 20	0 00	(18 76)	(18 76)	LT
	Jul 21 2015	Aug 05 2016	3 00	282 66	297 90	0 00	(15 24)	(15.24)	LT
ZOETIS INC CMN CLASS A	Jan 08 2014	Aug 10 2016	1 00	50 69	32.02	0 00	18 67	18 67	LT
ADVANCE AUTO PARTS, INC CMN	Jan 16 2015	Aug 16 2016	1 00	163 49	148 59	0 00	14 90	14.90	LT
	Feb 12 2015	Aug 16 2016	2 00	326 97	306.26	0 00	20.71	20 71	LT
EOG RESOURCES INC CMN	Jan 16 2015	Aug 16 2016	3 00	270 58	269 07	0 00	1 51	1 51	LT
PIONEER NATURAL RESOURCES CO CMN	Jan 06 2016	Aug 16 2016	1 00	177 87	116 38	0 00	61 49	61 49	ST
CORRECTIONS CORP OF AMERICA CMN	Feb 08 2013	Aug 18 2016	7 00	98 16	251 32	0 00	(153 16)	(153.16)	LT
	Mar 20 2013	Aug 18 2016	3 00	42 07	112 32	0 00	(70 25)	(70 25)	LT
	May 20 2013	Aug 18 2016	2 00	28 05	88 52	0 00	(60 48)	(60 48)	LT
	Dec 09 2014	Aug 18 2016	1 00	14 02	36 37	0 00	(22 35)	(22 35)	LT
	Jan 16 2015	Aug 18 2016	14 00	196 32	521 58	0 00	(325.26)	(325 26)	LT

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Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	May 08 2015	Aug 18 2016	8 00	112 18	281 93	0 00	(169 74)	(169 74)	LT
WELLS FARGO & CO (NEW) CMN	Nov 11 2015	Aug 25 2016	12 00	580.75	669 26	0 00	(88 51)	(88.51)	ST
NORWEGIAN CRUISE LINE HLDG LTD CMN	Jan 09 2015	Sep 02 2016	4 00	145 56	182 85	0 00	(37.29)	(37 29)	LT
	Jan 16 2015	Sep 02 2016	4 00	145.56	179.32	0 00	(33.76)	(33 76)	LT
JOHNSON CONTROLS INTERNATIONAL CMN	Oct 17 2014	Sep 06 2016	0 65	22 65	0.00	0 00	22 65	22 65	LT
APPLE INC CMN	Aug 24 2015	Sep 12 2016	1 00	104 48	107 45	0 00	(2 97)	(2 97)	LT
	Nov 06 2015	Sep 12 2016	16 00	1,671 60	1,942 75	0 00	(271 15)	(271 15)	ST
WELLS FARGO & CO (NEW) CMN	Nov 11 2015	Sep 12 2016	24 00	1,160 12	1,338 51	0 00	(178 39)	(178 39)	ST
	Jan 06 2016	Sep 12 2016	5 00	241 69	259 58	0 00	(17 89)	(17 89)	ST
HARTFORD FINANCIAL SRVCS GROUP CMN	Feb 04 2014	Sep 21 2016	3 00	127 71	98 31	0 00	29 40	29 40	LT
	Jan 16 2015	Sep 21 2016	4 00	170 28	159 56	0 00	10 72	10.72	LT
VISA INC CMN CLASS A	Apr 25 2014	Sep 27 2016	4 00	328 77	200 02	0 00	128 75	128 75	LT
NXP SEMICONDUCTORS N V CMN	Jan 16 2015	Sep 29 2016	3 00	280 19	233 10	0 00	47 09	47 09	LT
ADVANSIX INC CMN	Jan 01 1910	Oct 03 2016	0 00	17 24	No Cost	0 00	17 24	17 24 [5]	LT
HONEYWELL INTL INC CMN	Oct 15 2014	Oct 07 2016	2 00	211.87	167 68	0 00	44 19	44 19	LT
	Jan 16 2015	Oct 07 2016	7 00	741 54	681 45	0 00	60 09	60 09	LT
	Jan 29 2015	Oct 07 2016	1.00	105 93	99 79	0 00	6 14	6 14	LT
NXP SEMICONDUCTORS N V CMN	Jul 14 2015	Oct 10 2016	5 00	513 63	474 65	0 00	38 98	38 98	LT
CBOE HOLDINGS, INC. CMN	Jan 16 2015	Oct 18 2016	7 00	454 20	461 58	0 00	(7.38)	(7 38)	LT
	Jan 15 2016	Oct 18 2016	8 00	519 08	505 38	0 00	13 70	13 70	ST
PROCTER & GAMBLE COMPANY (THE) CMN	May 04 2015	Oct 19 2016	7 00	598 78	565 63	0 00	33 15	33 15	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Jan 16 2015	Oct 20 2016	6 00	257.76	239 34	0 00	18 42	18 42	LT
ROCKWELL AUTOMATION INC CMN	Jan 26 2015	Oct 21 2016	2 00	247 21	213 94	0 00	33 27	33 27	LT
SKYWORKS SOLUTIONS INC CMN	Mar 17 2016	Oct 26 2016	7 00	547 53	520 79	0 00	26.74	26 74	ST
NXP SEMICONDUCTORS N V CMN	Jul 21 2015	Oct 27 2016	3 00	300 89	273 47	0 00	27 42	27 42	LT
	Aug 25 2015	Oct 27 2016	5 00	501 49	421.40	0 00	80 09	80 09	LT
	Feb 24 2016	Oct 27 2016	2 00	200 60	139 45	0 00	61 15	61 15	ST
	Jun 24 2016	Oct 27 2016	3 00	300 89	239.12	0 00	61 77	61.77	ST
	Sep 16 2016	Oct 27 2016	4 00	401 19	335 12	0 00	66 07	66 07	ST
COPART INC CMN	Oct 19 2015	Oct 28 2016	5 00	263 42	175 16	0 00	88 26	88 26	LT
ADIENT PLC CMN	Oct 31 2016	Nov 01 2016	1 00	45.58	46 59	0 00	(1.01)	(1 01)	ST
	Oct 31 2016	Nov 01 2016	1 00	45 58	46 59	0 00	(1 01)	(1 01)	ST
	Oct 31 2016	Nov 01 2016	1 00	45 58	46 59	0 00	(1 01)	(1 01)	ST

[5] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ELI LILLY & CO CMN	Apr 27 2015	Nov 01 2016	9 00	660 85	638 98	0 00	21 87	21 87	LT
	May 01 2015	Nov 01 2016	4 00	293 71	291 41	0 00	2 30	2 30	LT
CVS HEALTH CORP CMN	Aug 24 2015	Nov 08 2016	11 00	799 10	1,119 91	0 00	(320 81)	(320 81)	LT
	Aug 24 2015	Nov 08 2016	1 00	72 65	107 18	0 00	(34 53)	(34 53)	LT
	Sep 30 2015	Nov 08 2016	3 00	217 94	289 49	0 00	(71 55)	(71.55)	LT
	Nov 02 2015	Nov 08 2016	3 00	217 93	296 11	0 00	(78 18)	(78 18)	LT
BANK OF AMERICA CORP CMN	Aug 13 2015	Nov 10 2016	21 00	387 47	370 42	0 00	17 05	17 05	LT
CBOE HOLDINGS, INC CMN	Jan 18 2015	Nov 10 2016	1 00	67 72	66 36	0 00	1 36	1 36	LT
	Jan 15 2016	Nov 10 2016	2.00	135 44	126 35	0 00	9 09	9 09	ST
	Jun 22 2016	Nov 10 2016	13 00	880 33	826 51	0 00	53 82	53 82	ST
CHARLES SCHWAB CORPORATION CMN	Jan 25 2016	Nov 10 2016	7 00	240 98	181.14	0 00	59 84	59 84	ST
NORWEGIAN CRUISE LINE HLDG LTD CMN	Jan 16 2015	Nov 10 2016	9 00	338 80	403 47	0 00	(64 67)	(64 67)	LT
AETNA INC CMN	Sep 08 2015	Nov 11 2016	5 00	605 85	584 06	0 00	21.79	21 79	LT
	Sep 12 2015	Nov 11 2016	1 00	121 17	116 34	0 00	4 84	4 84	LT
	Oct 07 2015	Nov 11 2016	4 00	484 69	435 63	0 00	49 06	49 06	LT
	Nov 20 2015	Nov 11 2016	3 00	363 52	312 77	0 00	50 74	50 74	ST
BANK OF AMERICA CORP CMN	Aug 13 2015	Nov 14 2016	17 00	339 51	299 87	0 00	39 64	39 64	LT
ALLERGAN PLC CMN	Apr 05 2016	Nov 15 2016	3 00	589 42	697 89	0 00	(108 47)	(108 47)	ST
	Apr 07 2016	Nov 15 2016	1 00	196 47	244.91	0 00	(48 44)	(48 44)	ST
	May 10 2016	Nov 15 2016	2 00	392.94	452.97	0 00	(60 03)	(60 03)	ST
	Jun 13 2016	Nov 15 2016	1 00	196.47	243 76	0 00	(47 29)	(47 29)	ST
APPLIED MATERIALS INC CMN	May 22 2015	Nov 17 2016	8 00	245 02	161 42	0 00	83 60	83 60	LT
MALLINCKRODT PUBLIC LIMITED CO CMN	Jul 21 2015	Nov 17 2016	2 00	110 97	245 08	0 00	(134 11)	(134 11)	LT
	Aug 04 2015	Nov 17 2016	3 00	166 46	341 70	0 00	(175 24)	(175 24)	LT
	Aug 26 2015	Nov 17 2016	7 00	388 41	579 70	0 00	(191 29)	(191 29)	LT
	Sep 03 2015	Nov 17 2016	1 00	55 49	82 68	0 00	(27 19)	(27 19)	LT
	Sep 24 2015	Nov 17 2016	4 00	221.95	278 00	0 00	(56 05)	(56 05)	LT
DELPHI AUTOMOTIVE PLC CMN	Oct 16 2015	Nov 28 2016	5 00	335 32	404 62	0 00	(69 30)	(69 30)	LT
QUINTILES IMS HOLDINGS INC CMN	Jul 11 2016	Nov 30 2016	5 00	383 67	355 41	0 00	28 26	28 26	ST
HOST HOTELS & RESORTS INC CMN	Oct 07 2015	Dec 08 2016	6.00	112 51	104 61	0 00	7 90	7 90	LT

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ELI LILLY & CO CMN	Aug 07 2015	Dec 12 2016	3.00	202.68	244.50	0.00	(41.82)	(41.82)	LT
	Jan 07 2016	Dec 12 2016	5.00	337.81	406.56	0.00	(68.75)	(68.75)	ST
ALPHABET INC CMN CLASS A	Nov 10 2014	Dec 13 2016	1.00	820.75	558.54	0.00	262.21	262.21	LT
UNION PACIFIC CORP CMN	Jul 21 2015	Dec 13 2016	1.00	103.91	99.30	0.00	4.61	4.61	LT
	Nov 20 2015	Dec 13 2016	2.00	207.82	175.27	0.00	32.55	32.55	LT
ADVANCE AUTO PARTS, INC CMN	Feb 13 2015	Dec 14 2016	3.00	513.79	453.97	0.00	59.82	59.82	LT
AON PLC CMN	Oct 15 2014	Dec 14 2016	1.00	111.53	79.02	0.00	32.51	32.51	LT
APPLE INC. CMN	Nov 20 2015	Dec 14 2016	1.00	115.14	119.52	0.00	(4.38)	(4.38)	LT
APPLE INC CMN DISALLOWED LOSSES								4.38	
AT&T INC CMN	Apr 07 2016	Dec 14 2016	4.00	163.83	153.57	0.00	10.26	10.26	ST
BANK OF AMERICA CORP CMN	Aug 13 2015	Dec 14 2016	9.00	203.84	158.75	0.00	45.09	45.09	LT
CHARLES SCHWAB CORPORATION CMN	Jan 25 2016	Dec 14 2016	2.00	77.57	51.75	0.00	25.82	25.82	ST
CHEVRON CORPORATION CMN	Dec 03 2015	Dec 14 2016	1.00	115.89	90.87	0.00	25.02	25.02	LT
CISCO SYSTEMS, INC CMN	Jan 16 2015	Dec 14 2016	8.00	243.75	219.92	0.00	23.83	23.83	LT
DECKERS OUTDOORS CORP CMN	May 01 2015	Dec 14 2016	1.00	59.63	75.13	0.00	(15.50)	(15.50)	LT
DEERE & COMPANY CMN	Apr 19 2016	Dec 14 2016	1.00	100.49	82.13	0.00	18.36	18.36	ST
DELPHI AUTOMOTIVE PLC CMN	Oct 16 2015	Dec 14 2016	1.00	68.89	80.92	0.00	(12.03)	(12.03)	LT
EATON CORP PLC CMN	Feb 03 2016	Dec 14 2016	2.00	135.76	103.34	0.00	32.42	32.42	ST
EBAY INC. CMN	Oct 02 2015	Dec 14 2016	4.00	119.23	98.97	0.00	20.26	20.26	LT
EOG RESOURCES INC CMN	Aug 07 2015	Dec 14 2016	1.00	104.57	74.57	0.00	30.00	30.00	LT
FIDELITY NATL INFO SVCS INC CMN	Nov 06 2015	Dec 14 2016	2.00	151.85	133.78	0.00	18.07	18.07	LT
INTERCONTINENTAL EXCHANGE INC CMN	Jun 10 2014	Dec 14 2016	2.00	116.69	75.27	0.00	41.42	41.42	LT
J C PENNEY CO INC (HLDNG CO) CMN	Sep 05 2013	Dec 14 2016	1.00	9.56	14.99	0.00	(5.43)	(5.43)	LT
	Mar 14 2014	Dec 14 2016	6.00	57.35	53.61	0.00	3.74	3.74	LT
KELLOGG COMPANY CMN	Mar 13 2015	Dec 14 2016	1.00	73.01	63.71	0.00	9.30	9.30	LT
	Jun 10 2015	Dec 14 2016	1.00	73.00	61.92	0.00	11.08	11.08	LT
KEYCORP CMN	Aug 25 2016	Dec 14 2016	4.00	72.36	48.71	0.00	23.65	23.65	ST
KIRBY CORP CMN	Sep 08 2016	Dec 14 2016	1.00	66.34	57.11	0.00	9.23	9.23	ST
MADISON SQUARE GARDEN COMPANY CMN CLASS A	Jun 27 2014	Dec 14 2016	1.00	173.33	120.45	0.00	52.88	52.88	LT
MC DONALDS CORP CMN	Aug 25 2016	Dec 14 2016	1.00	122.70	115.46	0.00	7.24	7.24	ST
MICROSOFT CORPORATION CMN	Dec 07 2015	Dec 14 2016	3.00	187.94	167.25	0.00	20.69	20.69	LT
MOLSON COORS BREWING CO CMN CLASS B	Jan 16 2015	Dec 14 2016	3.00	294.81	222.81	0.00	72.00	72.00	LT
PFIZER INC CMN	Jan 16 2015	Dec 14 2016	5.00	163.39	162.70	0.00	0.69	0.69	LT

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2016

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
PIONEER NATURAL RESOURCES CO CMN	Jan 06 2016	Dec 14 2016	1 00	187 81	116 38	0 00	71 43	71 43	ST
QUINTILES IMS HOLDINGS INC CMN	Jul 11 2016	Dec 14 2016	1 00	79 51	71 08	0 00	8 43	8 43	ST
ROCKWELL AUTOMATION INC CMN	Aug 26 2015	Dec 14 2016	2 00	271.37	211 32	0 00	60 05	60 05	LT
STRYKER CORP CMN	Jan 27 2016	Dec 14 2016	1 00	116.48	98 64	0 00	17 84	17 84	ST
UNITED TECHNOLOGIES CORP CMN	Oct 21 2015	Dec 14 2016	1 00	109 46	98 09	0 00	11.37	11 37	LT
VALERO ENERGY CORPORATION CMN	Jul 26 2016	Dec 14 2016	4 00	266 31	210 76	0 00	55 55	55 55	ST
WALGREENS BOOTS ALLIANCE, INC CMN	May 05 2016	Dec 14 2016	1 00	85 90	81 25	0 00	4 65	4 65	ST
ZOETIS INC CMN CLASS A	Jan 08 2014	Dec 14 2016	4 00	202.44	128 09	0 00	74 35	74 35	LT
	Apr 07 2014	Dec 14 2016	5 00	253 04	145 89	0 00	107 15	107 15	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	11,234 81	9,687 82	0 00	1,546 98	1,546 98
SHORT TERM LOSSES	13,384 55	17,351 70	0 00	(3,967 15)	(3,967 15)
SHORT TERM DISALLOWED LOSSES					44 46
NET SHORT TERM GAINS (LOSSES)	**24,619.36**	**27,039.52**	**0.00**	**(2,420.17)**	**(2,375.71)**
LONG TERM GAINS	33,974 08	28,886 46	0 00	5,087 64	5,087 64
LONG TERM LOSSES	17,396 74	24,805 64	0 00	(7,408 91)	(7,408 91)
LONG TERM DISALLOWED LOSSES					99 85
NET LONG TERM GAINS (LOSSES)	**51,370.82**	**53,692.10**	**0.00**	**(2,321.27)**	**(2,221.42)**

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAIL TO PREVAIL P.O. BOX 1264 NEWPORT, RI 02840	N/A	501(C)(3)	TO PROVIDE THERAPEUTIC SERVICES TO DEVELOP INDEPENDENT LIVING SKILLS FOR DISABLED INDIVIDUALS	10,000.
SEAMEN'S CHURCH INSTITUTE 18 MARKET SQUARE NEWPORT, RI 02840	N/A	501(C)(3)	TO PROVIDE MEN AND WOMEN OF THE SEA, AND PERSONS IN THE NEWPORT RI COMMUNITY, WITH A SAFE HAVEN IN WHICH	1,000.
THE HOLE IN THE WALL GANG 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	N/A	501(C)(3)	TO PROVIDE FUNDING TO SUPPORT RESIDENTIAL SUMMER CAMP AND A YEAR-ROUND CENTER SERVING CHILDREN AND	1,000.
BROWARD OUTREACH CENTER 2056 SCOTT STREET HOLLYWOOD, FL 33030	N/A	501(C)(3)	TO HELP INDIVIDUALS WITH OBTAINING MEALS, SHELTER, LIFE CHANGING RESIDENTAL PROGRAMS, AND EMPLOYMENT, TO	100.
LAUDERDALE YACHT CLUB SAILING FOUNDATION 1725 SE 12TH STREET FT. LAUDERDALE, FL 33316	N/A	501(C)(3)	TO EDUCATE YOUNG PEOPLE ABOUT SAILING, BOATING, WEATHER, MARINE SAFETY, SEA CONDITIONS, MARITIME	1,000.
PMC / JIMMY FUND 450 BROOKLINE AVENUE BOSTON, MA 02215	N/A	501(C)(3)	TO FUND CANCER RESEARCH NEEDS	1,000.
PRESERVATION SOCIETY OF NEWPORT 424 BELLEVUE AVENUE NEWPORT , RI 02840	N/A	501(C)(3)	TO HELP PRESERVE AND PROTECT THE BEST OF NEWPORT COUNTY'S ARCHITECTURAL HERITAGE	75.
ROSE ISLAND LIGHTHOUSE FOUNDATION P.O. BOX 1419 NEWPORT, RI 02840	N/A	501(C)(3)	TO HELP PRESERVE THE HISTORIC AND ENVIRONMENTAL INTEGRITY OF ROSE ISLAND, AND TO	1,000.
SAILING FOUNDATION OF NEW YORK P.O. BOX 267 COS COB, CT 06807	N/A	501(C)(3)	TO SUPPORT THE U.S. OLYMPIC AND PARALYMPIC SAILING TEAMS	9,500.
Total from continuation sheets				27,575.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAILING YACHT RESEARCH FOUNDATION 1643 WARWICK AVENUE, BOX 300 WARWICK, RI 02889	N/A	501(C)(3)	TO HELP DEVELOP AND CATALOG THE SCIENCE AND UNDERLYING SAILBOAT PERFORMANCE TO RESULT IN MORE	2,800.
WORLD WILDLIFE FUND 1250 24TH STREET, N.W. WASHINGTON, DC 20037	N/A	501(C)(3)	TO HELP CONSERVE NATURE AND REDUCE THE MOST PRESSING THREATS TO THE DIVERSITY OF LIFE ON EARTH	100.
Total from continuation sheets				

Part XV **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - NATIONAL SAILING HALL OF FAME

TO FUND EDUCATIONAL PROGRAMS DEDICATED TO PRESERVING THE HISTORY OF SAILING AND ITS IMPACT ON OUR CULTURE, HONORING THOSE WHO HAVE MADE OUTSTANDING CONTRIBUTIONS TO AMERICAN SAILING, AND TO INSPIRE AND ENCOURAGE SAILING DEVELOPMENT FOR SAILING ENTHUSIASTS

NAME OF RECIPIENT - SAIL NEWPORT

TO PROMOTE AND OPERATE AFFORDABLE PUBLIC SAILING INSTRUCTION AND RENTAL PROGRAMS, AND TO CREATE OPPORTUNITIES TO ATRACT NEW INDIVIDUALS TO THE SPORT OF SAILING

NAME OF RECIPIENT - SAIL TO PREVAIL

TO PROVIDE THERAPEUTIC SERVICES TO DEVELOP INDEPENDENT LIVING SKILLS FOR DISABLED INDIVIDUALS

NAME OF RECIPIENT - SEAMEN'S CHURCH INSTITUTE

TO PROVIDE MEN AND WOMEN OF THE SEA, AND PERSONS IN THE NEWPORT RI COMMUNITY, WITH A SAFE HAVEN IN WHICH THEY MAY FIND COMFORT AND AID

NAME OF RECIPIENT - THE HOLE IN THE WALL GANG

TO PROVIDE FUNDING TO SUPPORT RESIDENTIAL SUMMER CAMP AND A YEAR-ROUND CENTER SERVING CHILDREN AND THEIR FAMILIES COPING WITH CANCER AND OTHER SERIOUS ILLNESSES

NAME OF RECIPIENT - BROWARD OUTREACH CENTER

TO HELP INDIVIDUALS WITH OBTAINING MEALS, SHELTER, LIFE CHANGING

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESIDENTAL PROGRAMS, AND EMPLOYMENT, TO HELP TRANSFORM THEIR LIVES

NAME OF RECIPIENT - LAUDERDALE YACHT CLUB SAILING FOUNDATION

TO EDUCATE YOUNG PEOPLE ABOUT SAILING, BOATING, WEATHER, MARINE SAFETY, SEA CONDITIONS, MARITIME RULES, AND TO HELP PROVIDE THEM WITH THE TOOLS TO BUILD CHARACTER AND SUPPORT IN OBTAINING EDUCATION AND LEADERSHIP IN SOUTH FLORIDA.

NAME OF RECIPIENT - ROSE ISLAND LIGHTHOUSE FOUNDATION

TO HELP PRESERVE THE HISTORIC AND ENVIRONMENTAL INTEGRITY OF ROSE ISLAND, AND TO MAINTAIN AND OPERATE ITS LIGHTHOUSE

NAME OF RECIPIENT - SAILING YACHT RESEARCH FOUNDATION

TO HELP DEVELOP AND CATALOG THE SCIENCE AND UNDERLYING SAILBOAT PERFORMANCE TO RESULT IN MORE ACCURATE SAILBOAT HANDICAPPING FORMULAE TO BENEFIT ALL RACING SAILORS