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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation DR SHUANG XIN TSAO PRIVATE FOUNDATION		A Employer identification number 26-0110077	
Number and street (or P O box number if mail is not delivered to street address) 157 BATTERY AVENUE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code BROOKLYN, NY 112097101		B Telephone number (see instructions) (347) 497-4014	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,563,441	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	131,854			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3	3	3	
	4 Dividends and interest from securities	32,422	32,422	32,422	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	75,386			
	b Gross sales price for all assets on line 6a	639,164			
	7 Capital gain net income (from Part IV, line 2)		75,386		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	239,665	107,811	32,425	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	100			100
	b Accounting fees (attach schedule)	1,200			1,200
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,501			3,501
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	200			200
	23 Other expenses (attach schedule)	9,603	151	151	9,603
	24 Total operating and administrative expenses. Add lines 13 through 23	14,604	151	151	14,604
	25 Contributions, gifts, grants paid	59,250			59,250
	26 Total expenses and disbursements. Add lines 24 and 25	73,854	151	151	73,854
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	165,811			
	b Net investment income (if negative, enter -0-)		107,660		
	c Adjusted net income(if negative, enter -0-)			32,274	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	6,311	170,031		170,031	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	1,168,788	1,170,879		1,145,658	
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ 174,970 Less accumulated depreciation (attach schedule) ▶ _____	174,970	174,970		235,000	
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)	12,752	12,752		12,752		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,362,821	1,528,632		1,563,441		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable.					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule).					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	1,362,821	1,528,632			
	30	Total net assets or fund balances (see instructions)	1,362,821	1,528,632			
31	Total liabilities and net assets/fund balances (see instructions) .	1,362,821	1,528,632				

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,362,821
2	Enter amount from Part I, line 27a	165,811
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3	1,528,632
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	1,528,632

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	75,386
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	42,308

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	66,700	1,295,116	000 051501
2014	74,471	1,188,503	000 062659
2013	58,689	981,296	000 059808
2012	59,343	744,397	000 079720
2011	42,958	658,590	000 065227
2 Total of line 1, column (d)			2 000 318915
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 000 063783
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,426,188
5 Multiply line 4 by line 3			5 90,967
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,077
7 Add lines 5 and 6			7 92,044
8 Enter qualifying distributions from Part XII, line 4			8 73,854

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	2,153
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	2,153
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,153
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,400
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,400
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	19
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	772
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► DR SHUANG TROY Telephone no ► (347) 497-4014			

Located at ► 157 BATTERY AVENUE BROOKLYN NY ZIP+4 ► 112097101

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SHUANG TROY 157 BATTERY AVE BROOKLYN, NY 11209	DIRECTOR 005 00	0		
JAMES TSAO 132 ST MARKS PLACE BROOKLYN, NY 11217	DIRECTOR 001 00	0		
ANDREW TSAO 157 BATTERY AVE BROOKLYN, NY 11209	DIRECTOR 001 00	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Total number of other employees paid over \$50,000. ☐ Yes ☒ No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DONATIONS DISBURSED FOR THE SUPPORT OF PEKING OPERA WERE PAID DIRECTLY TO ONE OPERA COMPANY, AND TO SEVEN OPERA SOCIETY & CULTURAL ARTS CENTERS DURING 2016	59,250
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A - NONE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,207,655
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	240,252
d	Total (add lines 1a, b, and c).	1d	1,447,907
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,447,907
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	21,719
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,426,188
6	Minimum investment return. Enter 5% of line 5.	6	71,309

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	71,309
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,153
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,153
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	69,156
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	69,156
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	69,156

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	73,854
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	73,854
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	73,854

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				69,156
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				11,710
b From 2012.				23,505
c From 2013.				10,638
d From 2014.				15,914
e From 2015.				3,306
f Total of lines 3a through e.	65,073			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>73,854</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				69,156
e Remaining amount distributed out of corpus	4,698			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	69,771			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	11,710			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	58,061			
10 Analysis of line 9				
a Excess from 2012.				23,505
b Excess from 2013.				10,638
c Excess from 2014.				15,914
d Excess from 2015.				3,306
e Excess from 2016.				4,698

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DR SHUANG TROY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

◀ | ▶

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DR SHUANG TROY
157 BATTERY AVENUE
NEW YORK, NY 11209
(347) 497-4014
shuang.troy@gmail.com

b The form in which applications should be submitted and information and materials they should include

Applicants must state and explain the purpose of their requirements in a narrative

c Any submission deadlines

The foundation maintains a rolling application process without fixed deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Must be consistent with the foundations purpose, as stated in the articles of incorporation

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	59,250
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	3	
4 Dividends and interest from securities. . . .			14	32,422	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	75,386	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				107,811	
13 Total. Add line 12, columns (b), (d), and (e).			13		107,811

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
------------	---

[illegible]

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

- b** If "Yes," complete the following schedule

Sign Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

***** 2017-10-31 *****

Signature of officer or trustee Date Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	Steven F Landau		2017-10-31		
	Firm's name ▶ Steven F Landau CPA				Firm's EIN ▶
	Firm's address ▶ 1035 Route 46 East Suite B107 Clifton, NJ 07013				Phone no (973) 773-8836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ACADIA HEALTHCARE COMPANY INC	P	2015-10-08	2016-01-04
CALIFORNIA RES CORP	P	2015-12-08	2016-04-01
CHINA PETE CHEM CORP	P	2015-12-08	2016-06-01
CIGNA CORPORATION	P	2015-04-29	2016-02-10
CREDIT SUISSE GROUP	P	2016-06-14	2016-12-16
CYS INVTS INC	P	2016-01-13	2016-06-24
DEUTSCHE BANK AG	P	2016-07-07	2016-07-22
DEVON ENERGY CORP	P	2015-12-16	2016-10-21
GAZPROM PJSC SPON	P	2016-01-06	2016-03-10
ISHARES CHINA LARGE CAP ETF	P	2015-09-24	2016-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,528		6,207	321
18		22	-4
27,591		24,111	3,480
12,949		12,554	395
23,224		20,122	3,102
15,973		14,247	1,726
21,508		20,636	872
11,318		9,923	1,395
20,956		20,693	263
3,508		3,507	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			321
			-4
			3,480
			395
			3,102
			1,726
			872
			1,395
			263
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KINDER MORGAN INC	P	2016-01-04	2016-05-31
MOSAIC CO	P	2016-08-04	2016-12-16
NETEASE INC	P	2016-06-10	2016-12-16
PHYSICIANS REALTY TRUST	P	2015-12-11	2016-09-21
POTASH CORP	P	2016-09-14	2016-10-21
STARBUCKS CORP	P	2016-05-12	2016-12-16
VOLKSWAGEN AG	P	2015-11-17	2016-06-06
ALIBABA GROUP	P	2014-09-22	2016-08-12
APPLE INC	P	2012-07-11	2016-07-15
CALIFORNIA RES CORP	P	2010-04-22	2016-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,000		7,509	1,491
13,732		13,343	389
23,131		19,412	3,719
4,197		3,087	1,110
16,377		15,662	715
40,242		39,943	299
3,118		2,506	612
4,750		4,484	266
19,805		17,151	2,654
9		14	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,491
			389
			3,719
			1,110
			715
			299
			612
			266
			2,654
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CELGENE CORP	P	2015-04-30	2016-12-16
CHINA PETE CHEM CORP	P	2013-07-09	2016-06-01
EPAM SYS INC	P	2015-05-07	2016-11-23
FLEETMATICS GROUP PLC	P	2015-09-03	2016-10-21
LANNETT INC	P	2015-04-28	2016-11-03
LINKEDIN CORP	P	2015-05-01	2016-12-08
NORFOLK SOUTHERN	P	2012-11-16	2016-07-28
PHILLIPS 66	P	2012-10-23	2016-12-16
PHYSICIANS REALTY TRUST	P	2015-04-29	2016-09-21
SEAWORLD ENTMT INC	P	2014-09-09	2016-03-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,344		22,427	-83
110,365		112,405	-2,040
6,643		6,136	507
20,642		17,322	3,320
3,993		12,373	-8,380
19,600		20,011	-411
72,144		51,894	20,250
25,213		10,904	14,309
6,296		5,077	1,219
10,000		9,649	351

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-83
			-2,040
			507
			3,320
			-8,380
			-411
			20,250
			14,309
			1,219
			351

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VALE S A SPONS ADR	P	2015-03-12	2016-11-25
AMBA	P	2016-09-01	2016-09-02
AMBA	P	2016-02-19	2016-02-19
BABA	P	2016-11-22	2016-11-23
BABA	P	2016-09-09	2016-09-12
BABA	P	2016-11-03	2016-11-04
BIDU	P	2016-11-18	2016-11-18
BIDU	P	2016-10-26	2016-10-27
CELG	P	2016-10-21	2016-10-21
CELG	P	2016-01-15	2016-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,417		14,296	1,121
451		1,792	-1,341
513			513
1,018		510	508
1,500		6,748	-5,248
2,838		2,034	804
56			56
3,200		156	3,044
420			420
511			511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,121
			-1,341
			513
			508
			-5,248
			804
			56
			3,044
			420
			511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CEO	P	2016-12-16	2016-12-16
CHL	P	2016-08-24	2016-08-25
CHL	P	2016-12-16	2016-12-16
CHL	P	2016-10-28	2016-10-31
CI	P	2016-01-15	2016-01-15
EPAM	P	2016-11-18	2016-11-18
EPAM	P	2016-10-28	2016-10-31
EPAM	P	2016-04-15	2016-04-15
FLTX	P	2016-01-15	2016-01-15
GILD	P	2016-04-15	2016-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,502			2,502
540		522	18
133			133
648		115	533
866			866
84			84
600		61	539
322			322
268			268
491			491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,502
			18
			133
			533
			866
			84
			539
			322
			268
			491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GILD	P	2016-11-18	2016-11-18
HSBC	P	2016-08-30	2016-08-31
LNKD	P	2016-01-15	2016-01-15
MEI	P	2016-01-15	2016-01-15
MNST	P	2016-06-13	2016-06-14
MNST	P	2016-03-18	2016-03-18
MNST	P	2016-07-08	2016-07-11
MNST	P	2016-08-11	2016-08-12
MNST	P	2016-10-31	2016-11-01
MNST	P	2016-12-16	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
561			561
683		1,840	-1,157
656			656
330			330
1,100		2,169	-1,069
1,657			1,657
1,998		2,744	-746
2,433		1,856	577
1,872		391	1,481
40			40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			561
			-1,157
			656
			330
			-1,069
			1,657
			-746
			577
			1,481
			40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MNST	P	2016-11-11	2016-11-14
NK	P	2016-04-15	2016-04-15
NOAH	P	2016-12-16	2016-12-16
NSC	P	2016-07-28	2016-07-29
NSC	P	2016-01-15	2016-01-15
OXY	P	2016-12-16	2016-12-16
OXY	P	2016-08-19	2016-08-19
OXY	P	2016-10-14	2016-10-17
PSX	P	2016-10-19	2016-10-20
PSX	P	2016-05-20	2016-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
360		60	300
588			588
321			321
800		142	658
10,763			10,763
576			576
390			390
450		240	210
501		30	471
1,099			1,099

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			300
			588
			321
			658
			10,763
			576
			390
			210
			471
			1,099

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
QLYS	P	2016-09-16	2016-09-16
QLYS	P	2016-12-16	2016-12-16
RIG	P	2016-11-25	2016-11-25
RIG	P	2016-10-28	2016-10-31
SNCR	P	2016-11-16	2016-11-17
VALE	P	2016-11-17	2016-11-18
VDSI	P	2016-08-24	2016-08-25
VDSI	P	2016-11-18	2016-11-18
VDSI	P	2016-11-17	2016-11-18
WYNN	P	2016-04-08	2016-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
255			255
186			186
14			14
956		76	880
271		696	-425
559		547	12
400		135	265
43			43
250		77	173
1,500		3,210	-1,710

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			255
			186
			14
			880
			-425
			12
			265
			43
			173
			-1,710

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Qi Shu Fang Peking Opera Company 80-31 88th Road Woodhaven, NY 11421		PC	Support for Peking Opera	16,000
New York Kunqu Society Inc 199-36 24th Avenue Whitestone, NY 11357		PC	Support for Peking Opera	13,000
New York Chinese Opera Society Inc 120 Broadway New York, NY 10271		PC	Support for Peking Opera	10,200
Jin Lian Lian Foundation 1504 Chang Yun Palace Beijing CH		NC	Support for Peking Opera	10,000
University of Hawaii Peking Opera Department PO Box 1170 Honolulu, HI 96828		PC	Support for Peking Opera	5,000
Total ► 3a				59,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
New York Hang Tong Cultural & Arts Center 130-34 58th Road Queens, NY 11355		PC	Support for Peking Opera	2,000
American Bolan Arts Center 8 Stuyvesant Oval New York, NY 10009		PC	Support for Peking Opera	2,000
Chu Shan Chinese Opera Institute Inc 2022 Seattle Avenue Silver Spring, MD 20905		PC	Support for Peking Opera	1,000
China Institute 100 Washington Street New York, NY 10006		PC	Support for Peking Opera	50
Total 3a				59,250

TY 2016 Accounting Fees Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Steven F Landau CPA	1,200			1,200

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: DR SHUANG XIN TSAO PRIVATE FOUNDATION
EIN: 26-0110077
Software ID: 16000333
Software Version: 17.2.1.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ACADIA HEALTHCARE COMPANY INC	2015-10	P	2016-01		6,528	6,207			321	
CALIFORNIA RES CORP	2015-12	P	2016-04		18	22			-4	
CHINA PETE CHEM CORP	2015-12	P	2016-06		27,591	24,111			3,480	
CIGNA CORPORATION	2015-04	P	2016-02		12,949	12,554			395	
CREDIT SUISSE GROUP	2016-06	P	2016-12		23,224	20,122			3,102	
CYS INVTS INC	2016-01	P	2016-06		15,973	14,247			1,726	
DEUTSCHE BANK AG	2016-07	P	2016-07		21,508	20,636			872	
DEVON ENERGY CORP	2015-12	P	2016-10		11,318	9,923			1,395	
GAZPROM PJSC SPON	2016-01	P	2016-03		20,956	20,693			263	
ISHARES CHINA LARGE CAP ETF	2015-09	P	2016-07		3,508	3,507			1	
KINDER MORGAN INC	2016-01	P	2016-05		9,000	7,509			1,491	
MOSAIC CO	2016-08	P	2016-12		13,732	13,343			389	
NETEASE INC	2016-06	P	2016-12		23,131	19,412			3,719	
PHYSICIANS REALTY TRUST	2015-12	P	2016-09		4,197	3,087			1,110	
POTASH CORP	2016-09	P	2016-10		16,377	15,662			715	
STARBUCKS CORP	2016-05	P	2016-12		40,242	39,943			299	
VOLKSWAGEN AG	2015-11	P	2016-06		3,118	2,506			612	
ALIBABA GROUP	2014-09	P	2016-08		4,750	4,484			266	
APPLE INC	2012-07	P	2016-07		19,805	17,151			2,654	
CALIFORNIA RES CORP	2010-04	P	2016-04		9	14			-5	
CELGENE CORP	2015-04	P	2016-12		22,344	22,427			-83	
CHINA PETE CHEM CORP	2013-07	P	2016-06		110,365	112,405			-2,040	
EPAM SYS INC	2015-05	P	2016-11		6,643	6,136			507	
FLEETMATICS GROUP PLC	2015-09	P	2016-10		20,642	17,322			3,320	
LANNETT INC	2015-04	P	2016-11		3,993	12,373			-8,380	
LINKEDIN CORP	2015-05	P	2016-12		19,600	20,011			-411	
NORFOLK SOUTHERN	2012-11	P	2016-07		72,144	51,894			20,250	
PHILLIPS 66	2012-10	P	2016-12		25,213	10,904			14,309	
PHYSICIANS REALTY TRUST	2015-04	P	2016-09		6,296	5,077			1,219	
SEAWORLD ENTMT INC	2014-09	P	2016-03		10,000	9,649			351	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
VALE S A SPONS ADR	2015-03	P	2016-11		15,417	14,296			1,121	
AMBA	2016-09	P	2016-09		451	1,792			-1,341	
AMBA	2016-02	P	2016-02		513				513	
BABA	2016-11	P	2016-11		1,018	510			508	
BABA	2016-09	P	2016-09		1,500	6,748			-5,248	
BABA	2016-11	P	2016-11		2,838	2,034			804	
BIDU	2016-11	P	2016-11		56				56	
BIDU	2016-10	P	2016-10		3,200	156			3,044	
CELG	2016-10	P	2016-10		420				420	
CELG	2016-01	P	2016-01		511				511	
CEO	2016-12	P	2016-12		2,502				2,502	
CHL	2016-08	P	2016-08		540	522			18	
CHL	2016-12	P	2016-12		133				133	
CHL	2016-10	P	2016-10		648	115			533	
CI	2016-01	P	2016-01		866				866	
EPAM	2016-11	P	2016-11		84				84	
EPAM	2016-10	P	2016-10		600	61			539	
EPAM	2016-04	P	2016-04		322				322	
FLTIX	2016-01	P	2016-01		268				268	
GILD	2016-04	P	2016-04		491				491	
GILD	2016-11	P	2016-11		561				561	
HSBC	2016-08	P	2016-08		683	1,840			-1,157	
LNKD	2016-01	P	2016-01		656				656	
MEI	2016-01	P	2016-01		330				330	
MNST	2016-06	P	2016-06		1,100	2,169			-1,069	
MNST	2016-03	P	2016-03		1,657				1,657	
MNST	2016-07	P	2016-07		1,998	2,744			-746	
MNST	2016-08	P	2016-08		2,433	1,856			577	
MNST	2016-10	P	2016-11		1,872	391			1,481	
MNST	2016-12	P	2016-12		40				40	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MNST	2016-11	P	2016-11		360	60			300	
NK	2016-04	P	2016-04		588				588	
NOAH	2016-12	P	2016-12		321				321	
NSC	2016-07	P	2016-07		800	142			658	
NSC	2016-01	P	2016-01		10,763				10,763	
OXY	2016-12	P	2016-12		576				576	
OXY	2016-08	P	2016-08		390				390	
OXY	2016-10	P	2016-10		450	240			210	
PSX	2016-10	P	2016-10		501	30			471	
PSX	2016-05	P	2016-05		1,099				1,099	
QLYS	2016-09	P	2016-09		255				255	
QLYS	2016-12	P	2016-12		186				186	
RIG	2016-11	P	2016-11		14				14	
RIG	2016-10	P	2016-10		956	76			880	
SNCR	2016-11	P	2016-11		271	696			-425	
VALE	2016-11	P	2016-11		559	547			12	
VDSI	2016-08	P	2016-08		400	135			265	
VDSI	2016-11	P	2016-11		43				43	
VDSI	2016-11	P	2016-11		250	77			173	
WYNN	2016-04	P	2016-04		1,500	3,210			-1,710	

TY 2016 General Explanation Attachment

Name: DR SHUANG XIN TSAO PRIVATE FOUNDATION

EIN: 26-0110077

Software ID: 16000333

Software Version: 17.2.1.0

TY 2016 Investments Corporate Stock Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1000 shares of ATT INC	39,649	42,530
100 shares of CREDIT SUISSE GROUP SPON	1,022	1,431
400 shares of NVIDIA CORP	18,427	21,856
1500 shares of HSBC HLDGS PLC SPON	49,499	60,270
1000 shares of LENOVO GROUP LIMITED	20,946	12,124
1000 shares of RIO TINTO ADR	28,494	37,910
500 shares of PETROCHINA CO	44,988	36,850
500 shares of TESLA MOTORS INC	95,300	106,685
1700 shares of ROYAL DUTCH SHELL ADR	100,777	92,446
3000 shares of ANNALY CAPITAL MANAGEMENT INC	40,125	29,910
500 shares of BP PLC ADR	21,247	18,690
300 shares of CHEVRON CORP	33,605	34,170
3500 shares of WELLS FARGO CO	94,649	92,505
700 shares of CHIMERA INVT CORP	9,501	11,914
200 shares of GILEAD SCIENCES INC	16,511	14,086
300 shares of OCCIDENTAL PETROLEUM CORP	21,600	21,369
100 shares of APPLE INC	12,771	11,582
200 shares of AMBARELLA INC	12,853	10,798
300 shares of NOBLE CORP	4,807	1,776
1500 shares of TRANSOCEAN LIMITED	25,249	22,110
500 shares of ALIBABA GROUP HLDG LTD	40,546	43,595
2000 shares of APOLLO INVT CORP	13,279	11,720
200 shares of AUTOHOME INC	9,985	5,056
200 shares of BAIDU INC	32,936	32,814
1400 shares of CNOOC LTD	147,175	173,544
800 shares of CHINA MOBILE LTD	46,442	41,944
400 shares of METHODE ELECTRS INC	13,509	14,064
600 shares of MONSTER BEVERAGE CORP	26,263	26,604
600 shares of NANTKWEST INC	19,267	3,432
300 shares of NOAH HOLDINGS LIMITED	9,348	6,579

Name of Stock	End of Year Book Value	End of Year Fair Market Value
300 shares of QUALYS INC	11,206	9,495
100 shares of SHAKE SHACK INC	7,951	3,579
200 shares of SKYWORKS SOLUTIONS INC	16,440	14,892
200 shares of SYNCHRONOSS TECHNOLOGIES INC	8,715	7,570
300 shares of TWITTER INC	11,871	4,884
500 shares of VASCO DATA SECURITY INTL	13,446	6,825
200 shares of WHOLE FOODS MKT INC	8,644	6,152
600 shares of WYNN RESORTS LTD	41,836	51,897

TY 2016 Investments - Land Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Condo Unit 3019 Bldg A, MGM Grand Tower A, Las Vegas, NV	174,970		174,970	235,000

TY 2016 Legal Fees Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Kim L Zinke, Esq	100			100

TY 2016 Other Assets Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Peking Opera and Other Artwork	12,752	12,752	12,752

TY 2016 Other Expenses Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brokerage fees	151	151	151	151
China opera liason and support fees	7,200			7,200
China opera performance awards	2,000			2,000
Subscriptions	147			147
Supplies	105			105

TY 2016 Taxes Schedule**Name:** DR SHUANG XIN TSAO PRIVATE FOUNDATION**EIN:** 26-0110077**Software ID:** 16000333**Software Version:** 17.2.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal tax on investment income	1,762			1,762
County tax	1,739			1,739

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization DR SHUANG XIN TSAO PRIVATE FOUNDATION	Employer identification number 26-0110077

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DR SHUANG XIN TSAO PRIVATE FOUNDATION	Employer identification number 26-0110077
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Shuang Troy 157 Battery Avenue Brooklyn, NY 11209	\$ 119,854	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	James Tsao 132 St Marks Place Brooklyn, NY 11217	\$ 12,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

26-0110077

Part II	Noncash Property
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(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization DR SHUANG XIN TSAO PRIVATE FOUNDATION	Employer identification number 26-0110077
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	