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For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation THE BOK FAMILY FOUNDATION		A Employer identification number 25-6872863	
% SETH L STARR			
Number and street (or P O box number if mail is not delivered to street address) PO BOX 966	Room/suite	B Telephone number (see instructions) (516) 874-8800	
City or town, state or province, country, and ZIP or foreign postal code CANAA, CT 06018		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 48,268,721	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10,490	10,422		
	4 Dividends and interest from securities	1,252,975	1,252,975		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,391,902			
	b Gross sales price for all assets on line 6a 8,823,132				
	7 Capital gain net income (from Part IV, line 2)		1,391,902		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-63,072	-81,790		
	12 Total. Add lines 1 through 11	2,592,295	2,573,509		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	10,765	0	0	10,765
	c Other professional fees (attach schedule)				
	17 Interest	283	283		
	18 Taxes (attach schedule) (see instructions)	78,734	16		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	193,982	89,133		
	24 Total operating and administrative expenses. Add lines 13 through 23	283,764	89,432	0	10,765
	25 Contributions, gifts, grants paid	2,810,000			2,810,000
	26 Total expenses and disbursements. Add lines 24 and 25	3,093,764	89,432	0	2,820,765
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-501,469			
	b Net investment income (if negative, enter -0-)		2,484,077		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2,110,295	854,399	854,399
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	15,979,145	18,744,247	30,536,646
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	16,075,258	14,064,418	16,877,676
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	34,164,698	33,663,064	48,268,721	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	34,164,698	33,663,064	
	30 Total net assets or fund balances (see instructions)	34,164,698	33,663,064	
31 Total liabilities and net assets/fund balances (see instructions) .	34,164,698	33,663,064		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,164,698
2 Enter amount from Part I, line 27a	2	-501,469
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	33,663,229
5 Decreases not included in line 2 (itemize) ▶ _____	5	165
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	33,663,064

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	1,391,902
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,628,915	48,988,454	0 053664
2014	2,720,281	51,599,693	0 052719
2013	2,637,469	51,423,531	0 051289
2012	2,294,562	43,688,922	0 05252
2011	2,152,235	44,150,970	0 048747
2 Total of line 1, column (d)			0 258939
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 051788
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			46,273,318
5 Multiply line 4 by line 3			2,396,403
6 Enter 1% of net investment income (1% of Part I, line 27b)			24,841
7 Add lines 5 and 6			2,421,244
8 Enter qualifying distributions from Part XII, line 4			2,820,765

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	24,841
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	24,841
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	24,841
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	53,718
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	15,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	68,718
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	43,877
11	Enter the amount of line 10 to be Credited to 2017 estimated tax 43,877 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>SETH L STARR</u> Telephone no ► <u>(516) 874-8800</u>			

Located at ► 1475 FRANKLIN AVENUE GARDEN CITY NY ZIP+4 ► 11530

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SCOTT L BOK 145 EAST 76TH STREET APT 6 NEW YORK, NY 10021	TRUSTEE 0	0	0	0
ROXANNE BOK 145 EAST 76TH STREET APT 6 NEW YORK, NY 10021	TRUSTEE 0	0	0	0
ELLIOT P BOK 145 EAST 76TH STREET APT 6 NEW YORK, NY 10021	TRUSTEE 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	26,899,633
b	Average of monthly cash balances.	1b	1,142,868
c	Fair market value of all other assets (see instructions).	1c	18,935,487
d	Total (add lines 1a, b, and c).	1d	46,977,988
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	46,977,988
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	704,670
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	46,273,318
6	Minimum investment return. Enter 5% of line 5.	6	2,313,666

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,313,666
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	24,841
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	24,841
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,288,825
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,288,825
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,288,825

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,820,765
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	2,820,765
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	24,841
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,795,924

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,288,825
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 2013, 2012		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012. 167,112				
c From 2013. 151,760				
d From 2014. 180,462				
e From 2015. 306,892				
f Total of lines 3a through e.	806,226			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,820,765				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				2,288,825
e Remaining amount distributed out of corpus	531,940			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,338,166			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,338,166			
10 Analysis of line 9				
a Excess from 2012. 167,112				
b Excess from 2013. 151,760				
c Excess from 2014. 180,462				
d Excess from 2015. 306,892				
e Excess from 2016. 531,940				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
SCOTT AND ROXANNE BOK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	2,810,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name SETH L STARR	Preparer's Signature	Date 2017-10-06	Check if self-employed ☐	PTIN P00254301
Firm's name ▶ FRANKEL LOUGHRAN STARR & VALLONE				Firm's EIN ▶
Firm's address ▶ 1475 FRANKLIN AVENUE GARDEN CITY, NY 11530				Phone no (516) 874-8800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD SHORT-TERM BOND INDEX FUND	P		
VANGUARD SHORT-TERM BOND INDEX FUND	P		
VANGUARD INTERMEDIATE TERM BOND	P		
VANGUARD INTERMEDIATE TERM BOND	P		
EMERGING MKTS STK FDX ADM	P		2016-09-26
INFLATION-PROTECT SEC ADM	P		2017-06-13
INTER-TERM BOND INDEX ADM	P		2016-06-13
REIT INDEX FUND ADM	P		
SMALL-CAP INDEX FUND ADM	P		
SHORT-TERM BOND INDEX ADM	P		2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
165			165
185			185
8			8
1,696			1,696
480,000		405,094	74,906
400,000		398,531	1,469
800,000		767,010	32,990
400,000		138,266	261,734
500,000		261,752	238,248
2,050,000		2,054,210	-4,210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			165
			185
			8
			1,696
			74,906
			1,469
			32,990
			261,734
			238,248
			-4,210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
35,550 SHARES OF MARKET VECTORS GOLD MINERS	P		
799 91 SHARES OF FINE TROY OUNCES OF ALLOCATED GOLD	P		
RELATED REAL ESTATE RECOVERY FUND LT	P		
RELATED REAL ESTATE RECOVERY FUND LT 1231	P		
EASTERLY GOVERNMENT PROPERTIES	P	2014-01-01	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
733,185		503,822	229,363
1,051,754		1,174,108	-122,354
50,030			50,030
176,885			176,885
2,179,224		1,728,437	450,787

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			229,363
			-122,354
			50,030
			176,885
			450,787

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA, PA 19104	NONE	PC	CHARITABLE CONTRIBUTION - PRIVATE RESEARCH UNIVERSITY LOCATED IN PHILADELPHIA, PA	525,000
PREP FOR PREP 328 WEST 71ST STREET NEW YORK, NY 10023	NONE	PC	CHARITABLE CONTRIBUTION - LEADERSHIP DEVELOPMENT PROGRAM	300,000
HOTCHKISS SCHOOL 11 INTERLAKEN ROAD INTERLAKEN ROAD, CT 06039	NONE	PC	CHARITABLE CONTRIBUTION - COLLEGE PREPARATORY BOARDING SCHOOL LOCATED IN LAKEVILLE, CT	225,000
SHAKESPEARE & COMPANY 70 KEMBLE STREET LENOX, MA 012402813	NONE	PC	CHARITABLE CONTRIBUTION - PRODUCTIONS AND CLASSICAL TRAINING	100,000
JOYFUL NOISE TRINITY EPISCOPAL CHURCH 220 PROSPE TORRINGTON, CT 06790	NONE	PC	CHARITABLE CONTRIBUTION - EARLY CHILDCARE PROGRAMS	25,000
Total ► 3a				2,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALLEN-STEVENSON SCHOOL 132 E 78TH ST NEW YORK, NY 10075	NONE	PC	CHARITABLE CONTRIBUTION - EDUCATION, K-9 BOYS SCHOOL IN NYC	10,000
HOUSATONIC VALLEY ASSOCIATION PO BOX 28 CORNWALL BRIDGE, CT 06754	NONE	PC	CHARITABLE CONTRIBUTION - CONSERVE AND RESTORE THE LAND AND WATER OF THE HOUSATONIC WATERSHED	25,000
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON, VA 22203	NONE	PC	CHARITABLE CONTRIBUTION - ENVIRONMENTAL CONSERVATION/EDUCATION	400,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	NONE	PC	CHARITABLE CONTRIBUTION - NATIONAL CONSERVATION PROJECTS, RESEARCH AND EDUCATION PORGRAMS	200,000
AMERICAN MUSEUM OF NATURAL HISTORY 200 CENTRAL PARK WEST NEW YORK, NY 10024	NONE	PC	CHARITABLE CONTRIBUTION - SCIENTIFIC RESEARCH AND EDUCATION INSTITUTION	325,000
Total 3a				2,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KIPP FOUNDATION 135 MAIN STREET SUITE 1700 SAN FRANCISCO, CA 94105	NONE	PC	CHARITABLE CONTRIBUTION - CREATE A RESPECTED NETWORK OF PUBLIC SCHOOLS THAT HELP STUDENTS FROM EDUCATIONALLY UNDERSERVED AREAS	20,000
NEW YORK PUBLIC LIBRARY 5TH AVE 42ND STREET OFFICE OF DE ROOM 73 NEW YORK, NY 10018	NONE	PC	CHARITABLE CONTRIBUTION - INSPIRE LEARNING, ADVANCE KNOWLEDGE, AND STRENGTHEN COMMUNITY	250,000
CLASSROOM INC 245 5TH AVE NEW YORK, NY 10037	NONE	PC	CHARITABLE CONTRIBUTION - EDUCATIONAL SOFTWARE	10,000
ROUNABOUT THEATRE COMPANY 231 WEST 39TH STREET STE 1200 NEW YORK, NY 10018	NONE	PC	CHARITABLE CONTRIBUTION - NY THEATRE	325,000
SCENIC HUDSON ONE CIVIC CENTER PLAZA SUITE 200 POUGHKEEPSIE, NY 12601	NONE	PC	CHARITABLE CONTRIBUTION - CONSERVATION	10,000
Total 				2,810,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STUDENT SPONSOR PARTNERS 424 MADISON AVENUE SUITE 1002 NEW YORK, NY 10017	NONE	PC	CHARITABLE CONTRIBUTION - PROVIDING LOW INCOME STUDENTS IN NYC WITH HIGH SCHOOL EDUCATION, MENTORING PROGRAMS	25,000
The Trustees of Reservations 464 Abbott Avenue Leominster, MA 01453	NONE	PC	CHARITABLE CONTRIBUTION - non-profit land conservation and historic preservation organization dedicated to preserving natural and historical places in the Commonwealth of Massachusetts	25,000
CHAPIN SCHOOL 100 East End Ave NEW YORK, NY 10028	NONE	PC	CHARITABLE CONTRIBUTION - EDUCATIONAL	10,000
Total ▶ 3a				2,810,000

TY 2016 Accounting Fees Schedule**Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	10,765			10,765

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE BOK FAMILY FOUNDATION

EIN: 25-6872863

TY 2016 Investments Corporate Stock Schedule**Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD SHORT-TERM BD INDEX	2,187,936	2,145,156
VANGUARD 500 INDEX FUND ADMIRA	4,675,711	6,689,267
288,783 SHS GREENHILL & CO	1,645	7,999,289
VANGUARD INTERMEDIATE TERM FD	427,331	423,729
VANGUARD INFLATION-PROTECTED	459,600	438,986
VANGUARD EMERGING MARKETS STK	3,962,652	4,520,092
VANGUARD REIT INDEX FUND	34,711	60,438
VANGUARD TAX EXEMPT MM	0	0
VANGUARD SMALL CAP INDEX FUND	1,091,882	2,028,578
VANGUARD DEVELOPED MKT ADM	4,041,063	4,367,505
VANGUARD FEDERAL MONEY MK FUND	1,861,716	1,863,606

TY 2016 Investments - Other Schedule

Name: THE BOK FAMILY FOUNDATION

EIN: 25-6872863

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CHILTON STRATEGIC VALUE INT'L	AT COST	1,000,000	1,749,496
MONARCH DEBT RECOVERY FUND	AT COST	1,500,000	3,284,809
STARWOOD GLOBAL OPP FD	AT COST	983,467	842,488
ROYALTY OPPORTUNITIES FEEDER	AT COST	1,187,597	1,351,699
RELATED RE RECOVERY FUND	AT COST	621,423	613,478
RIVERSTONE GLOBAL ENERGY & POW	AT COST	1,630,624	1,477,655
GF CAPITAL PE FUND II-A	AT COST	832,209	917,545
US GOV PROPERTIES I & G	AT COST	25,087	9,669
JPM - FINE TROY BULLION	AT COST	980,497	917,699
GIF V PRIVATE INV	AT COST	1,094,066	1,094,623
MARKET VECTORS GOLD MINERS	AT COST	0	0
AESSF III PRIVATE INVESTORS	AT COST	1,300,161	1,284,190
RS GLOBAL ENERGY POWER PRIVATE	AT COST	437,449	427,413
STARWOOD SOF X PRIVATE INVESTO	AT COST	1,235,345	1,451,558
HPS MEZZANINE PRIV INVESTORS	AT COST	0	0
EASTERLY GOVERNMENT PROPERTIES	AT COST	1,236,493	1,455,354

TY 2016 Other Decreases Schedule

Name: THE BOK FAMILY FOUNDATION
EIN: 25-6872863

Description	Amount
NONDEDUCTIBLE EXPENSES	165

TY 2016 Other Expenses Schedule**Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SOF VIII PRIVATE INVESTORS	4,550	4,550		
US GOV PROPERTIES	4,941	4,941		
GE CAPITAL PRIVATE EQUITY	140,295	74,282		
RELATED REAL ESTATE RECOVERY	5,276	5,276		
SHIPPING EXPENSE	84	84		
NONDEDUCTIBLE EXPENSE	166			
ROYALTY OPPORTUNITIES	31,572			
MISCELLANEOUS	7,098			

TY 2016 Other Income Schedule**Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXCISE TAX REFUND	18,718		
RELATED RE RECOVERY FUND	-74,679	-74,679	
GE CAPITAL PRIVATE EQUITY FUND II-A	-7,111	-7,111	
SOF VIII PRIVATE INVESTORS	0	0	

**TY 2016 Substantial Contributors
Schedule****Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863**Name****Address**

SCOTT BOK - SEE ATTACHED

48 TWIN LAKES ROAD
SALISBURY, CT 06068

TY 2016 Taxes Schedule**Name:** THE BOK FAMILY FOUNDATION**EIN:** 25-6872863

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990PF TAXES	78,718			
GF CAPITAL - FOREIGN TAXES	16	16		