

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning , and ending

Name of foundation
ANNA OSCHWALD TRUST

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code
Winston Salem NC 27101

Foreign country name Foreign province/state/country Foreign postal code

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 7,959,645

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
25-6626923

B Telephone number (see instructions)
(888) 730-4933

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	172,509	166,309		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	193,418			
b	Gross sales price for all assets on line 6a	7,830,109			
7	Capital gain net income (from Part IV, line 2)		193,418		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	365,927	359,727	0	
13	Compensation of officers, directors, trustees, etc	95,596	71,697		23,899
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	847			847
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	5,505	2,748		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	41	41		
24	Total operating and administrative expenses. Add lines 13 through 23	101,989	74,486	0	24,746
25	Contributions, gifts, grants paid	421,920			421,920
26	Total expenses and disbursements. Add lines 24 and 25	523,909	74,486	0	446,666
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-157,982			
b	Net investment income (if negative, enter -0-)		285,241		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

HTA

Form 990-PF (2016)

b a30

No Statute Issue

SCANNED MAY 20 2021

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	351,750	525,771	525,771
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
Liabilities	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	7,070,131	6,741,187	7,433,874
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,421,881	7,266,958	7,959,645
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>	25 Temporarily restricted			
	26 Permanently restricted			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,421,881	7,266,958	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	7,421,881	7,266,958	
	31 Total liabilities and net assets/fund balances (see instructions)	7,421,881	7,266,958	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,421,881
2 Enter amount from Part I, line 27a	2	-157,982
3 Other increases not included in line 2 (itemize) ▶ <u>MUTUAL FUND TIMING DIFFERENCE</u>	3	10,203
4 Add lines 1, 2, and 3	4	7,274,102
5 Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>	5	7,144
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,266,958

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	193,418
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	446,199	8,133,488	0.054859
2014	375,145	8,534,978	0.043954
2013	300,051	8,097,791	0.037053
2012	279,013	7,585,510	0.036782
2011	290,608	7,586,502	0.038306
2 Total of line 1, column (d)			2 0.210954
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.042191
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 7,692,303
5 Multiply line 4 by line 3			5 324,546
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,852
7 Add lines 5 and 6			7 327,398
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 446,666

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2,852	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	2,852	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,852	
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,715	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	3,715	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	863	
11	Enter the amount of line 10 to be. Credited to 2017 estimated tax 863 Refunded	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► WELLS FARGO BANK N.A. Telephone no ► 888-730-4933
 Located at ► 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ► 27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

16	Yes	No
		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

N/A

6b

X

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A	Trustee			
100 N Main St MAC D4001-117 Winston Salem, NC 27	SEE ATTACHED	79,663		
STEPHEN J OPPENHEIM	CO-TRUSTEE			
125 HALF MILE RD STE 101 RED BANK, NJ 07701	4 00	15,933		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,505,109
b	Average of monthly cash balances	1b	304,336
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	7,809,445
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,809,445
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	117,142
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,692,303
6	Minimum investment return. Enter 5% of line 5	6	384,615

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	384,615
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,852
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,852
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	381,763
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	381,763
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	381,763

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	446,666
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	446,666
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,852
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	443,814

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				381,763
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			375,113	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 446,666				
a Applied to 2015, but not more than line 2a			375,113	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				71,553
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				310,210
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0

b 85% of line 2a

				0
--	--	--	--	---

c Qualifying distributions from Part XII, line 4 for each year listed

				0
--	--	--	--	---

d Amounts included in line 2c not used directly for active conduct of exempt activities

				0
--	--	--	--	---

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

				0
--	--	--	--	---

3 Complete 3a, b, or c for the alternative test relied upon**a** "Assets" alternative test—enter

(1) Value of all assets

				0
--	--	--	--	---

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

				0
--	--	--	--	---

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

				0
--	--	--	--	---

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

				0
--	--	--	--	---

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

				0
--	--	--	--	---

(3) Largest amount of support from an exempt organization

				0
--	--	--	--	---

(4) Gross investment income

				0
--	--	--	--	---

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed**b** The form in which applications should be submitted and information and materials they should include**c** Any submission deadlines**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	421,920
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Part X-9999 Analysis of Income-Producing Activities				
Enter gross amounts unless otherwise indicated				
	Unrelated business income		Excluded by section 512, 513, or 514	
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
				(e) Related or exempt function income (See instructions)
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities			14	172,509
5 Net rental income or (loss) from real estate				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory			18	193,418
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal Add columns (b), (d), and (e)		0		365,927
13 Total. Add line 12, columns (b), (d), and (e)				13 365,927

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Totals	Gross Sales	Co D
Long Term CG Distributions										78,983		
Short Term CG Distributions										0		
										Capital Gains/Losses		
										Other sales	7,830,109	0
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Experi	Imp
1 ABERDEEN EMERG MARKET	003021714	X				4/30/2012	5/10/2016	33,873	40,000			
2 ABERDEEN EMERG MARKET	003021714	X				1/7/2013	5/10/2016	15,290	20,000			
3 ABERDEEN EMERG MARKET	003021714	X				1/29/2013	5/10/2016	26,692	35,000			
4 ABERDEEN EMERG MARKET	003021714	X				6/11/2015	5/10/2016	25,071	28,000			
5 ABERDEEN EMERG MARKET	003021714	X				5/10/2013	5/10/2016	57,230	75,979			
6 AFFILIATED MANAGERS GRC	008252108	X				4/2/2014	10/27/2016	8,327	12,249			
7 AFFILIATED MANAGERS GRC	008252108	X				1/12/2015	10/27/2016	4,857	7,074			
8 AFFILIATED MANAGERS GRC	008252108	X				5/10/2016	11/22/2016	39,099	45,179			
9 INV BALANCE RISK COMM ST	00888Y508	X				8/24/2016	10/27/2016	4,238	4,154			
10 AMER CENT SMALL CAP GRV	025083320	X				8/24/2016	10/27/2016	7,731	8,101			
11 AMER CENT SMALL CAP GRV	025083320	X				8/24/2016	11/23/2016	3,554	3,338			
12 AMER CENT SMALL CAP GRV	025083320	X				5/12/2016	11/23/2016	10,696	8,803			
13 AMERIPRISE FINL INC	03076C106	X				10/30/2015	10/27/2016	6,368	8,099			
14 AMERIPRISE FINL INC	03076C106	X				8/4/2015	10/27/2016	6,368	8,788			
15 ANHEUSER-BUSCH INBEV SF	03524A108	X				1/31/2012	5/10/2016	25,532	12,157			
16 ARTISAN INTERNATIONAL FD	04314H402	X				5/12/2016	8/22/2016	166,031	163,403			
17 ARTISAN MID CAP FUND-INS	04314H600	X				10/15/2013	10/27/2016	9,681	11,582			
18 ARTISAN MID CAP FUND-INS	04314H600	X				10/15/2013	11/23/2016	10,838	13,418			
19 ARTISAN MID CAP FUND-INS	04314H600	X				8/24/2016	11/23/2016	7,076	7,726			
20 ARTISAN MID CAP FUND-INS	04314H600	X				12/30/2015	11/23/2016	31,496	33,362			
21 ABERDEEN TOTAL RETURN	04315J209	X				6/23/2008	5/10/2016	232,557	228,055			
22 BLACKROCK INC	09247X101	X				5/10/2016	11/22/2016	18,785	16,183			
23 CUMMINS INC	231021106	X				4/2/2014	11/22/2016	9,055	9,702			
24 CUMMINS INC	231021106	X				9/12/2014	11/22/2016	13,931	13,832			
25 CUMMINS INC	231021106	X				5/10/2016	11/22/2016	31,345	25,851			
26 DIAGEO PLC - ADR	25243Q205	X				4/27/2016	11/22/2016	14,666	15,846			
27 DIAGEO PLC - ADR	25243Q205	X				5/10/2016	11/22/2016	18,206	19,715			
28 DODGE & COX INTL STOCK	256208103	X				5/12/2016	8/22/2016	200,573	182,468			
29 DODGE & COX INTL STOCK	256208103	X				5/12/2016	10/27/2016	17,943	16,087			
30 DODGE & COX INCOME FD C	256210105	X				12/29/2009	5/10/2016	78,993	75,000			
31 DODGE & COX INCOME FD C	256210105	X				9/16/2009	5/10/2016	32,473	30,808			
32 DODGE & COX INCOME FD C	256210105	X				8/24/2016	10/27/2016	1,632	1,646			
33 DODGE & COX INCOME FD C	256210105	X				8/24/2016	11/23/2016	82,474	84,659			
34 DODGE & COX INCOME FD C	256210105	X				10/13/2016	11/23/2016	32,881	33,510			
35 DODGE & COX INCOME FD C	256210105	X				8/10/2016	11/23/2016	1,604	1,648			
36 DIAGEO PLC - ADR	25243Q205	X				1/12/2015	11/22/2016	9,608	10,636			
37 DODGE & COX INCOME FD C	256210105	X				9/16/2009	11/23/2016	128,626	122,569			
38 BOEING CO	097023105	X				5/28/2015	11/22/2016	6,721	6,396			
39 CVS HEALTH CORPORATION	126650100	X				5/10/2016	10/27/2016	22,250	27,004			
40 CVS HEALTH CORPORATION	126650100	X				5/10/2016	11/22/2016	4,777	6,883			
41 CVS HEALTH CORPORATION	126650100	X				9/12/2014	11/22/2016	11,758	12,885			
42 CENTURY SMALL CAP SELEC	15849P208	X				4/30/2012	5/10/2016	56,423	70,000			
43 CENTURY SMALL CAP SELEC	15849P208	X				8/15/2012	5/10/2016	12,884	15,000			
44 CENTURY SMALL CAP SELEC	15849P208	X				1/7/2013	5/10/2016	27,711	35,000			
45 CENTURY SMALL CAP SELEC	15849P208	X				9/18/2013	5/10/2016	52,970	80,860			
46 COGNIZANT TECH SOLUTION	192446102	X				5/10/2016	10/27/2016	1,793	2,166			
47 COGNIZANT TECH SOLUTION	192446102	X				5/10/2016	11/22/2016	19,076	22,276			
48 CREDIT SUISSE COMM RET	22544R305	X				5/12/2016	8/22/2016	76,032	74,957			
49 CREDIT SUISSE COMM RET	22544R305	X				5/12/2016	10/27/2016	3,713	3,631			
50 MET WEST TOTAL RETURN E	592905509	X				11/1/2016	11/23/2016	223	228			
51 MET WEST TOTAL RETURN E	592905509	X				5/12/2016	11/23/2016	85,435	86,874			
52 MONSANTO CO NEW	61166W101	X				8/12/2015	11/22/2016	12,696	12,870			
53 NESTLE S.A. REGISTERED S	641069406	X				1/12/2015	4/27/2016	7,084	6,850			
54 NESTLE S.A. REGISTERED S	641069406	X				5/28/2015	4/27/2016	7,084	7,417			
55 ORACLE CORPORATION	68389X105	X				4/2/2014	11/22/2016	9,008	9,254			
56 ORACLE CORPORATION	68389X105	X				10/30/2015	11/22/2016	5,004	4,861			
57 OPPENHEIMER DEVELOPING	683974604	X				5/12/2016	8/22/2016	377,384	343,056			
58 OPPENHEIMER DEVELOPING	683974604	X				5/12/2016	10/27/2016	21,638	19,134			
59 PIMCO LOW DURATION FD I	693390304	X				4/30/2012	5/10/2016	66,122	70,000			
60 PIMCO LOW DURATION FD I	693390304	X				8/15/2012	5/10/2016	28,203	30,000			
61 PIMCO TOTAL RET FD-INST	693390700	X				5/6/2009	5/10/2016	49,708	49,886			
62 PIMCO TOTAL RET FD-INST	693390700	X				6/23/2008	5/10/2016	331,980	342,263			
63 PIMCO HIGH YIELD FD-INST	693390841	X				2/17/2009	5/10/2016	37,656	30,000			
64 PIMCO HIGH YIELD FD-INST	693390841	X				6/23/2008	5/10/2016	88,897	96,147			
65 PIMCO FOREIGN BD FD USD	693390882	X				12/10/2007	5/10/2016	206,672	204,445			
66 PIMCO EMERG MKTS BD-INS	693391559	X				3/28/2009	5/10/2016	28,889	24,689			
67 PIMCO EMERG MKTS BD-INS	693391559	X				2/25/2008	5/10/2016	25,584	27,225			
68 PIMCO EMERG MKTS BD-INS	693391559	X				12/10/2007	5/10/2016	44,976	49,545			
69 T ROWE PRICE INST EM MKT	74144Q203	X				6/23/2008	5/10/2016	119,293	150,883			
70 ROCHE HOLDINGS LTD - ADR	771195104	X				3/3/2015	11/22/2016	16,626	20,541			
71 ROCHE HOLDINGS LTD - ADR	771195104	X				4/27/2016	11/22/2016	1,663	1,924			
72 ROCHE HOLDINGS LTD - ADR	771195104	X				5/10/2016	11/22/2016	8,728	9,877			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Totals	Gross Sales		Co
Long Term CG Distributions		Amount								Capital Gains/Losses	7,830,109		D
Short Term CG Distributions		0								Other sales	0		
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense and Imp		
73	JP MORGAN MID CAP VALUE	339128100	X			7/20/2015	10/27/2016	15,324	16,099				
74	JP MORGAN MID CAP VALUE	339128100	X			7/20/2015	11/23/2016	99,869	98,124				
75	MONDRIAN INTERNATIONAL	36381Y108	X			12/27/2005	5/10/2016	76,698	118,014				
76	MONDRIAN INTERNATIONAL	36381Y108	X			12/19/2013	5/10/2016	18,097	20,000				
77	GOLDMAN SACHS GROUP INC	38141G104	X			3/4/2013	7/5/2016	10,820	11,358				
78	GOLDMAN SACHS COMM ST	38143H381	X			6/17/2013	5/10/2016	72,304	145,000				
79	HSBC - ADR	404280406	X			3/4/2013	3/2/2016	8,785	14,822				
80	HAIN CELESTIAL GROUP INC	405217100	X			5/10/2016	10/27/2016	20,275	27,134				
81	HARBOR INTERNATIONAL FD IN	411511306	X			6/23/2008	5/10/2016	180,351	200,000				
82	HARBOR INTERNATIONAL FD IN	411511306	X			7/22/2013	5/10/2016	27,536	30,000				
83	HARBOR INTERNATIONAL FD IN	411511306	X			10/15/2013	5/10/2016	21,515	25,000				
84	HARBOR INTERNATIONAL FD IN	411511306	X			11/15/2013	5/10/2016	4,243	5,000				
85	HARBOR INTERNATIONAL FD IN	411511306	X			6/11/2015	5/10/2016	14,986	18,000				
86	ISHARES SELECT DIVIDEND	464287168	X			7/21/2011	5/10/2016	191,483	124,876				
87	ISHARES RUSSELL 2000 ETF	464287655	X			9/18/2013	5/10/2016	79,618	75,102				
88	ISHARES RUSSELL 2000 ETF	464287655	X			10/15/2013	5/10/2016	15,699	15,123				
89	ISHARES RUSSELL 2000 ETF	464287655	X			6/11/2015	5/10/2016	8,971	10,098				
90	ISHARES RUSSELL 2000 ETF	464287655	X			12/30/2015	5/10/2016	72,890	74,626				
91	JOHNSON CONTROLS INC	478366107	X			1/29/2013	4/27/2016	32,842	24,131				
92	JPMORGAN HIGH YIELD FUN	4812C0803	X			7/2/2007	10/27/2016	20,181	23,307				
93	JPMORGAN HIGH YIELD FUN	4812C0803	X			7/2/2007	11/23/2016	45,219	52,870				
94	LAS VEGAS SANDS CORP	517834107	X			1/12/2015	11/22/2016	6,569	5,756				
95	MFS RESEARCH INTL FD-I #8	552983470	X			10/31/2012	5/10/2016	293,645	283,417				
96	ROCHE HOLDINGS LTD - ADR	771195104	X			8/24/2016	11/22/2016	9,560	10,777				
97	T ROWE PRICE BLUE CHIP G	77954Q106	X			6/23/2008	5/10/2016	235,594	125,186				
98	T ROWE PRICE INST FLOAT I	77958B402	X			8/24/2016	10/27/2016	7,751	7,720				
99	T ROWE PRICE INST FLOAT I	77958B402	X			8/24/2016	11/23/2016	22,292	22,270				
100	SPDR DJ WILSHIRE INTERNA	78463X863	X			5/10/2016	8/22/2016	30,463	30,543				
101	SPDR DJ WILSHIRE INTERNA	78463X863	X			5/10/2016	10/7/2016	44,160	47,626				
102	SCHLUMBERGER LTD	806857108	X			5/28/2015	11/22/2016	6,501	7,262				
103	STERLING CAPITAL STRATTG	85917K546	X			8/24/2016	10/27/2016	10,603	10,900				
104	STERLING CAPITAL STRATTG	85917K546	X			8/24/2016	11/23/2016	12,426	11,362				
105	STERLING CAPITAL STRATTG	85917K546	X			5/12/2016	11/23/2016	7,987	6,663				
106	MET WEST TOTAL RETURN E	592905509	X			8/24/2016	11/23/2016	273,883	282,594				
107	MET WEST TOTAL RETURN E	592905509	X			10/13/2016	11/23/2016	56,449	57,928				
108	VANGUARD INFLAT-PROT SE	922031737	X			8/24/2016	11/23/2016	35,402	36,153				
109	MCKESSON CORP	58155Q103	X			8/24/2016	10/27/2016	9,647	11,367				
110	VANGUARD FTSE EMERGINC	922042858	X			5/6/2010	5/10/2016	16,787	19,221				
111	VANGUARD FTSE EMERGINC	922042858	X			5/13/2009	5/10/2016	70,223	60,828				
112	VANGUARD FTSE EMERGINC	922042858	X			4/30/2012	5/10/2016	1,772	2,259				
113	VANGUARD FTSE EMERGINC	922042858	X			1/20/2015	5/10/2016	38,288	45,994				
114	VANGUARD REIT VIPER	922908553	X			5/10/2016	8/22/2016	83,250	81,053				
115	VANGUARD REIT VIPER	922908553	X			5/10/2016	10/7/2016	102,172	107,268				
116	VOYA REAL ESTATE FUND C	92913K595	X			7/2/2008	5/10/2016	156,926	99,223				
117	VOYA REAL ESTATE FUND C	92913K595	X			6/23/2008	5/10/2016	429,079	284,294				
118	VOYA INTL RL EST FD CL-I #2	92914A810	X			6/23/2008	5/10/2016	84,310	98,747				
119	VOYA INTL RL EST FD CL-I #2	92914A810	X			7/22/2013	5/10/2016	9,675	9,980				
120	VOYA INTL RL EST FD CL-I #2	92914A810	X			9/18/2013	5/10/2016	32,691	34,932				
121	VOYA INTL RL EST FD CL-I #2	92914A810	X			11/15/2013	5/10/2016	11,001	11,977				
122	TWEEDY BROWNE FD GLOB	901165100	X			5/3/2012	5/10/2016	231,983	227,000				
123	VANGUARD INFLAT-PROT SE	922031737	X			8/24/2016	10/27/2016	242	242				
124	VOYA INTL RL EST FD CL-I #2	92914A810	X			12/19/2013	5/10/2016	19,328	19,960				
125	VOYA INTL RL EST FD CL-I #2	92914A810	X			7/15/2015	5/10/2016	49,119	50,000				
126	WESTERN ASSET INTERM BD	957663701	X			10/26/2011	5/10/2016	127,990	125,000				
127	WESTPORT SELECT CAP FD	961323409	X			7/21/2000	5/10/2016	86,068	100,000				
128	WESTPORT SELECT CAP FD	961323409	X			5/13/2009	5/10/2016	17,787	20,000				
129	WESTPORT SELECT CAP FD	961323409	X			7/10/2000	5/10/2016	47,739	56,776				
130	EATON CORP PLC	G29183103	X			4/2/2014	11/22/2016	8,180	8,849				
131	THERMO FISHER SCIENTIFIC	883556102	X			5/10/2016	11/22/2016	9,293	9,669				
132	DREYFUS EMG MKT DEBT LC	261980494	X			1/7/2013	5/10/2016	42,559	58,131				
133	EATON VANCE FLOATING RA	277911491	X			5/10/2013	5/10/2016	56,104	59,889				
134	EATON VANCE FLOATING RA	277911491	X			7/22/2013	5/10/2016	37,606	39,925				
135	EATON VANCE FLOATING RA	277911491	X			9/18/2013	5/10/2016	9,422	9,981				
136	TOTAL S A - ADR	89151E109	X			8/12/2015	11/22/2016	6,358	6,873				
137	EATON VANCE FLOATING RA	277911491	X			12/19/2013	5/10/2016	9,412	9,981				
138	TOTAL S A - ADR	89151E109	X			8/24/2016	11/22/2016	21,665	22,228				
139	FPA NEW INCOME FUND #78	302544101	X			9/18/2013	5/10/2016	143,678	150,000				
140	FPA NEW INCOME FUND #78	302544101	X			11/15/2013	5/10/2016	57,915	60,000				
141	FPA NEW INCOME FUND #78	302544101	X			12/19/2013	5/10/2016	34,080	35,000				
142	FEDERATED STRAT VAL DIV	314172560	X			7/21/2011	5/10/2016	276,502	212,522				
143	FID ADV EMER MKTS INC- CL	315920702	X			8/24/2016	10/27/2016	21,787	21,943				
144	EATON CORP PLC	G29183103	X			1/12/2015	11/22/2016	1,636	1,528				

Part I, Line 16b (990-PF) - Accounting Fees

		847	0	0	847
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTING FEES	847			847

Part I, Line 18 (990-PF) - Taxes

		5,505	2,748	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	2,748	2,748		
2	ESTIMATED EXCISE TAXES PAID	2,757			

Part I, Line 23 (990-PF) - Other Expenses

		41	41	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	41	41		

Part II, Line 13 (990-PF) - Investments - Other

			7,070,131	6,741,187	
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	F End
1				0	
2	APPLE COMPUTER INC COM		0	72,998	
3	BOEING COMPANY		0	52,123	
4	CVS/CAREMARK CORPORATION		0	7,248	
5	CISCO SYSTEMS INC		0	55,860	
6	COGNIZANT TECH SOLUTIONS CRP COM		0	22,182	
7	WALT DISNEY CO		0	22,630	
8	GILEAD SCIENCES INC		0	10,936	
9	HOME DEPOT INC		0	17,249	
10	JOHNSON & JOHNSON		0	14,281	
11	MICROSOFT CORP		0	65,378	
12	PNC FINANCIAL SERVICES GROUP		0	26,647	
13	SCHLUMBERGER LTD		0	45,342	
14	TJX COS INC NEW		0	11,272	
15	THERMO FISHER SCIENTIFIC INC		0	8,244	
16	UNION PACIFIC CORP		0	44,265	
17	MCKESSON CORP		0	15,078	
18	MANULIFE FINANCIAL CORP		0	44,394	
19	UNITED PARCEL SERVICE-CL B		0	23,768	
20	EXXON MOBIL CORPORATION		0	7,664	
21	TARGET CORP		0	30,973	
22	UNITEDHEALTH GROUP INC		0	33,775	
23	HAIN CELESTIAL GROUP INC		0	2,444	
24	BLACKROCK INC		0	36,564	
25	MONSANTO CO NEW		0	31,690	
26	JPMORGAN CHASE & CO		0	82,192	
27	SUNCOR ENERGY INC NEW F		0	54,247	
28	CHEVRON CORP		0	21,677	
29	MERCK & CO INC NEW		0	29,050	
30	BERSHIRE HATHAWAY INC		0	47,612	
31	ALPHABET INC/CA		0	50,181	
32	ALPHABET INC/CA		0	7,694	
33	COMCAST CORP CLASS A		0	27,529	
34	LAS VEGAS SANDS CORP		0	36,399	
35	CELANESE CORP		0	45,993	
36	CITIGROUP INC		0	56,327	
37	AMERIPRISE FINL INC		0	30,353	
38	EATON CORP PLC		0	19,926	
39	CME GROUP INC		0	33,280	
40	DODGE & COX INCOME FD COM 147		0	288,454	
41	ARTISAN INTERNATIONAL FD I 662		0	293,496	
42	MERGER FUND-INST #301		0	135,700	
43	ARTISAN MID CAP FUND-INSTL 1333		0	354,838	
44	MET WEST TOTAL RETURN BOND CL I 51		0	542,926	
45	FID ADV EMER MKTS INC- CL I 607		0	463,254	
46	T ROWE PRICE REAL ESTATE FD 122		0	161,156	

Part II, Line 13 (990-PF) - Investments - Other

			7,070,131	6,741,187	
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	F End
47	EATON VANCE GLOBAL MACRO - I		0	232,600	
48	DODGE & COX INT'L STOCK FD 1048		0	245,145	
49	AMER CENT SMALL CAP GRWTH INST 336		0	193,397	
50	JP MORGAN MID CAP VALUE-I 758		0	328,584	
51	STERLING CAPITAL STRATTON SMALL CA		0	195,537	
52	ASG GLOBAL ALTERNATIVES-Y 1993		0	135,700	
53	VANGUARD REIT VIPER		0	125,233	
54	AQR MANAGED FUTURES STR-I		0	232,600	
55	VANGUARD INFLAT-PROT SECS-ADM 511		0	44,346	
56	JPMORGAN HIGH YIELD FUND SS 3580		0	345,999	
57	T ROWE PRICE INST FLOAT RATE 170		0	134,456	
58	OPPENHEIMER DEVELOPING MKT-I 799		0	293,410	
59	INV BALANCE RISK COMM STR-Y 8611		0	76,592	
60	BLACKROCK GL L/S CREDIT-INS #1833		0	271,300	
61	SPDR DJ WILSHIRE INTERNATIONAL REA		0	131,387	
62	ROBECO BP LNG/SHRT RES-INS		0	58,100	
63	NEUBERGER BERMAN LONG SH-INS #183		0	135,700	
64	CREDIT SUISSE COMM RT ST-CO 2156		0	75,812	

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	10,203
2	Total	2	10,203

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	4,834
2	PY RETURN OF CAPITAL ADJUSTMENT	2	506
3	COST BASIS ADJUSTMENT	3	1,804
4	Total	4	7,144

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		7,753,126		0	424	7,637,115	116,435	F M
		76,983	0								as c
		0									12/31
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss		
1 ABERDEEN EMERG MARKET	003021714		4/30/2012	5/10/2016	33,673		0	40,000	-6,327		
2 ABERDEEN EMERG MARKET	003021714		1/7/2013	5/10/2016	15,290		0	20,000	-4,710		
3 ABERDEEN EMERG MARKET	003021714		1/29/2013	5/10/2016	26,692		0	35,000	-8,308		
4 ABERDEEN EMERG MARKET	003021714		6/11/2015	5/10/2016	25,071		0	28,000	-2,929		
5 ABERDEEN EMERG MARKET	003021714		5/10/2013	5/10/2016	57,230		0	75,979	-18,749		
6 AFFILIATED MANAGERS GRO	008252108		4/2/2014	10/27/2016	8,327		0	12,249	-3,922		
7 AFFILIATED MANAGERS GRO	008252108		1/12/2015	10/27/2016	4,857		0	7,074	-2,217		
8 AFFILIATED MANAGERS GRO	008252108		5/10/2016	11/22/2016	39,099		0	45,179	-6,080		
9 INV BALANCE RISK COMM ST	00888Y508		8/24/2016	10/27/2016	4,238		0	4,154	84		
10 AMER CENT SMALL CAP GRV	025083320		8/24/2016	10/27/2016	7,731		0	8,101	-370		
11 AMER CENT SMALL CAP GRV	025083320		8/24/2016	11/23/2016	3,554		0	3,338	216		
12 AMER CENT SMALL CAP GRV	025083320		5/12/2016	11/23/2016	10,696		0	8,803	1,893		
13 AMERIPRISE FINL INC	03076C106		10/30/2015	10/27/2016	6,368		0	8,099	-1,731		
14 AMERIPRISE FINL INC	03076C106		8/4/2015	10/27/2016	6,368		0	8,768	-2,400		
15 ANHEUSER-BUSCH INBEV SA	03524A108		1/31/2012	5/10/2016	25,532		0	12,157	13,375		
16 ARTISAN INTERNATIONAL FC	04314H402		5/12/2016	8/22/2016	166,031		0	163,403	2,628		
17 ARTISAN MID CAP FUND-INS	04314H600		10/15/2013	10/27/2016	9,681		0	11,582	-1,901		
18 ARTISAN MID CAP FUND-INS	04314H600		10/15/2013	11/23/2016	10,838		0	13,418	-2,580		
19 ARTISAN MID CAP FUND-INS	04314H600		8/24/2016	11/23/2016	7,076		0	7,726	-650		
20 ARTISAN MID CAP FUND-INS	04314H600		12/30/2015	11/23/2016	31,496		0	33,362	-1,866		
21 ABERDEEN TOTAL RETURN F	04315J209		6/23/2008	5/10/2016	232,557		0	228,055	4,502		
22 BLACKROCK INC	09247X101		5/10/2016	11/22/2016	16,785		0	16,183	602		
23 CUMMINS INC	231021108		4/2/2014	11/22/2016	9,055		0	9,702	-647		
24 CUMMINS INC	231021108		9/12/2014	11/22/2016	13,931		0	13,832	99		
25 CUMMINS INC	231021108		5/10/2016	11/22/2016	31,345		0	25,851	5,494		
26 DIAGEO PLC - ADR	25243Q205		4/27/2016	11/22/2016	14,666		0	15,846	-1,180		
27 DIAGEO PLC - ADR	25243Q205		5/10/2016	11/22/2016	18,206		0	19,715	-1,509		
28 DODGE & COX INTL STOCK F	256206103		5/12/2016	8/22/2016	200,573		0	182,468	18,105		
29 DODGE & COX INTL STOCK F	256206103		5/12/2016	10/27/2016	17,943		0	16,087	1,856		
30 DODGE & COX INCOME FD C	256210105		12/29/2009	5/10/2016	78,993		0	75,000	3,993		
31 DODGE & COX INCOME FD C	256210105		9/16/2009	5/10/2016	32,473		0	30,808	1,665		
32 DODGE & COX INCOME FD C	256210105		8/24/2016	10/27/2016	1,632		14	1,646	0		
33 DODGE & COX INCOME FD C	256210105		8/24/2016	11/23/2016	82,474		0	84,659	-2,185		
34 DODGE & COX INCOME FD C	256210105		10/13/2016	11/23/2016	32,881		0	33,510	-629		
35 DODGE & COX INCOME FD C	256210105		8/10/2016	11/23/2016	1,604		0	1,648	-44		
36 DIAGEO PLC - ADR	25243Q205		1/12/2015	11/22/2016	9,608		0	10,636	-1,028		
37 DODGE & COX INCOME FD C	256210105		9/16/2009	11/23/2016	128,626		0	122,569	6,057		
38 BOEING CO	097023105		5/28/2015	11/22/2016	6,721		0	6,396	325		
39 CVS HEALTH CORPORATION	126650100		5/10/2016	10/27/2016	22,250		0	27,004	-4,754		
40 CVS HEALTH CORPORATION	126650100		5/10/2016	11/22/2016	4,777		0	6,883	-2,106		
41 CVS HEALTH CORPORATION	126650100		9/12/2014	11/22/2016	11,758		0	12,885	-1,127		
42 CENTURY SMALL CAP SELEC	15649P208		4/30/2012	5/10/2016	56,423		0	70,000	-13,577		
43 CENTURY SMALL CAP SELEC	15649P208		8/15/2012	5/10/2016	12,884		0	15,000	-2,116		
44 CENTURY SMALL CAP SELEC	15649P208		1/7/2013	5/10/2016	27,711		0	35,000	-7,289		
45 CENTURY SMALL CAP SELEC	15649P208		9/18/2013	5/10/2016	52,970		0	80,860	-27,890		
46 COGNIZANT TECH SOLUTION	192446102		5/10/2016	10/27/2016	1,793		0	2,166	-373		
47 COGNIZANT TECH SOLUTION	192446102		5/10/2016	11/22/2016	19,076		0	22,276	-3,200		
48 CREDIT SUISSE COMM RET F	22544R305		5/12/2016	8/22/2016	76,032		0	74,957	1,075		
49 CREDIT SUISSE COMM RET F	22544R305		5/12/2016	10/27/2016	3,713		0	3,631	82		
50 MET WEST TOTAL RETURN B	592905509		11/1/2016	11/23/2016	223		0	228	-5		
51 MET WEST TOTAL RETURN B	592905509		5/12/2016	11/23/2016	85,435		0	86,874	-1,439		
52 MONSANTO CO NEW	61166W101		8/12/2015	11/22/2016	12,696		0	12,870	-174		
53 NESTLE S A REGISTERED S	641069406		1/12/2015	4/27/2016	7,084		0	6,850	234		
54 NESTLE S A REGISTERED S	641069406		5/28/2015	4/27/2016	7,084		0	7,417	-333		
55 ORACLE CORPORATION	68389X105		4/2/2014	11/22/2016	9,008		0	9,254	-246		
56 ORACLE CORPORATION	68389X105		10/30/2015	11/22/2016	5,004		0	4,861	143		
57 OPPENHEIMER DEVELOPING	683974604		5/12/2016	8/22/2016	377,384		0	343,056	34,328		
58 OPPENHEIMER DEVELOPING	683974604		5/12/2016	10/27/2016	21,638		0	19,134	2,504		
59 PIMCO LOW DURATION FD I	693390304		4/30/2012	5/10/2016	66,122		0	70,000	-3,878		
60 PIMCO LOW DURATION FD I	693390304		8/15/2012	5/10/2016	28,203		0	30,000	-1,797		
61 PIMCO TOTAL RET FD-INST #	693390700		5/6/2009	5/10/2016	49,708		0	49,886	-178		
62 PIMCO TOTAL RET FD-INST #	693390700		6/23/2008	5/10/2016	331,980		0	342,263	-10,283		
63 PIMCO HIGH YIELD FD-INST #	693390841		2/17/2009	5/10/2016	37,656		0	30,000	7,656		
64 PIMCO HIGH YIELD FD-INST #	693390841		6/23/2008	5/10/2016	88,897		0	96,147	-7,250		
65 PIMCO FOREIGN BD FD USD	693390882		12/10/2007	5/10/2016	206,672		0	204,445	2,227		
66 PIMCO EMERG MKTS BD-INS	693391559		3/26/2009	5/10/2016	28,889		0	24,689	4,200		
67 PIMCO EMERG MKTS BD-INS	693391559		2/25/2008	5/10/2016	25,584		0	27,225	-1,641		
68 PIMCO EMERG MKTS BD-INS	693391559		12/10/2007	5/10/2016	44,976		0	49,545	-4,569		
69 T ROWE PRICE INST EM MKT	74144Q203		6/23/2008	5/10/2016	119,293		0	150,883	-31,590		

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount																			
Long Term CG Distributions		76,983																			
Short Term CG Distributions		0				7,753,126		0		424		7,637,115		116,435							
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M as of 12/31,											
70 ROCHE HOLDINGS LTD - ADR	771195104		3/3/2015	11/22/2016	16,626		0	20,541	-3,915												
71 ROCHE HOLDINGS LTD - ADR	771195104		4/27/2016	11/22/2016	1,663		0	1,924	-261												
72 ROCHE HOLDINGS LTD - ADR	771195104		5/10/2016	11/22/2016	8,728		0	9,877	-1,149												
73 JP MORGAN MID CAP VALUE	339128100		7/20/2015	10/27/2016	15,324		0	16,099	-775												
74 JP MORGAN MID CAP VALUE	339128100		7/20/2015	11/23/2016	99,869		0	98,124	1,745												
75 MONDRIAN INTERNATIONAL	36381Y108		12/27/2005	5/10/2016	76,698		0	118,014	-41,316												
76 MONDRIAN INTERNATIONAL	36381Y108		12/19/2013	5/10/2016	18,097		0	20,000	-1,903												
77 GOLDMAN SACHS GROUP INC	38141G104		3/4/2013	7/5/2016	10,820		0	11,358	-538												
78 GOLDMAN SACHS COMM ST	38143H381		6/17/2013	5/10/2016	72,304		0	145,000	-72,696												
79 HSBC - ADR	404280406		3/4/2013	3/2/2016	8,785		0	14,822	-6,037												
80 HAIN CELESTIAL GROUP INC	405217100		5/10/2016	10/27/2016	20,275		0	27,134	-6,859												
81 HARBOR INTERNATION FD IN	411511306		6/23/2008	5/10/2016	180,351		0	200,000	-19,649												
82 HARBOR INTERNATION FD IN	411511306		7/22/2013	5/10/2016	27,536		0	30,000	-2,464												
83 HARBOR INTERNATION FD IN	411511306		10/15/2013	5/10/2016	21,515		0	25,000	-3,485												
84 HARBOR INTERNATION FD IN	411511306		11/15/2013	5/10/2016	4,243		0	5,000	-757												
85 HARBOR INTERNATION FD IN	411511306		6/11/2015	5/10/2016	14,986		0	18,000	-3,014												
86 ISHARES SELECT DIVIDEND	464287168		7/21/2011	5/10/2016	191,483		0	124,876	66,607												
87 ISHARES RUSSELL 2000 ETF	464287655		9/18/2013	5/10/2016	79,618		0	75,102	4,516												
88 ISHARES RUSSELL 2000 ETF	464287655		10/15/2013	5/10/2016	15,699		0	15,123	576												
89 ISHARES RUSSELL 2000 ETF	464287655		6/11/2015	5/10/2016	8,971		0	10,098	-1,127												
90 ISHARES RUSSELL 2000 ETF	464287655		12/30/2015	5/10/2016	72,890		0	74,626	-1,736												
91 JOHNSON CONTROLS INC	478366107		1/29/2013	4/27/2016	32,842		0	24,131	8,711												
92 JPMORGAN HIGH YIELD FUN	4812C0803		7/2/2007	10/27/2016	20,181		0	23,307	-3,126												
93 JPMORGAN HIGH YIELD FUN	4812C0803		7/2/2007	11/23/2016	45,219		0	52,870	-7,651												
94 LAS VEGAS SANDS CORP	517834107		1/12/2015	11/22/2016	6,569		0	5,756	813												
95 MFS RESEARCH INTL FD-I #8	552983470		10/31/2012	5/10/2016	293,645		0	283,417	10,228												
96 ROCHE HOLDINGS LTD - ADR	771195104		8/24/2016	11/22/2016	9,560		0	10,777	-1,217												
97 T ROWE PRICE BLUE CHIP G	77954Q106		6/23/2008	5/10/2016	235,594		0	125,186	110,408												
98 T ROWE PRICE INST FLOAT F	77958B402		8/24/2016	10/27/2016	7,751		0	7,720	31												
99 T ROWE PRICE INST FLOAT F	77958B402		8/24/2016	11/23/2016	22,292		0	22,270	22												
100 SPDR DJ WLSHIRE INTERNA	78463X863		5/10/2016	8/22/2016	30,463		0	30,543	-80												
101 SPDR DJ WLSHIRE INTERNA	78463X863		5/10/2016	10/7/2016	44,160		254	47,626	-3,212												
102 SCHLUMBERGER LTD	806857108		5/28/2015	11/22/2016	6,501		0	7,262	-761												
103 STERLING CAPITAL STRATT	85917K546		8/24/2016	10/27/2016	10,603		0	10,900	-297												
104 STERLING CAPITAL STRATT	85917K546		8/24/2016	11/23/2016	12,426		0	11,362	1,064												
105 STERLING CAPITAL STRATT	85917K546		5/12/2016	11/23/2016	7,987		0	6,663	1,324												
106 MET WEST TOTAL RETURN E	592905509		8/24/2016	11/23/2016	273,883		0	282,594	-8,711												
107 MET WEST TOTAL RETURN E	592905509		10/13/2016	11/23/2016	56,449		0	57,928	-1,479												
108 VANGUARD INFLAT-PROT SE	922031737		8/24/2016	11/23/2016	35,402		0	36,153	-751												
109 MCKESSON CORP	58155Q103		8/24/2016	10/27/2016	9,647		0	11,367	-1,720												
110 VANGUARD FTSE EMERGING	922042858		5/6/2010	5/10/2016	16,787		0	19,221	-2,434												
111 VANGUARD FTSE EMERGING	922042858		5/13/2009	5/10/2016	70,223		0	60,828	9,395												
112 VANGUARD FTSE EMERGING	922042858		4/30/2012	5/10/2016	1,772		0	2,259	-487												
113 VANGUARD FTSE EMERGING	922042858		1/20/2015	5/10/2016	38,288		0	45,994	-7,706												
114 VANGUARD REIT VIPER	922908553		5/10/2016	8/22/2016	83,250		0	81,053	2,197												
115 VANGUARD REIT VIPER	922908553		5/10/2016	10/7/2016	102,172		0	107,268	-5,096												
116 VOYA REAL ESTATE FUND C	92913K595		7/2/2008	5/10/2016	156,926		0	99,223	57,703												
117 VOYA REAL ESTATE FUND C	92913K595		6/23/2008	5/10/2016	429,079		0	284,294	144,785												
118 VOYA INTL RL EST FD CL-I #2	92914A810		6/23/2008	5/10/2016	84,310		0	98,747	-14,437												
119 VOYA INTL RL EST FD CL-I #2	92914A810		7/22/2013	5/10/2016	9,675		0	9,980	-305												
120 VOYA INTL RL EST FD CL-I #2	92914A810		9/18/2013	5/10/2016	32,691		0	34,932	-2,241												
121 VOYA INTL RL EST FD CL-I #2	92914A810		11/15/2013	5/10/2016	11,001		0	11,977	-976												
122 TWEEDY BROWNE FD GLOB	901165100		5/3/2012	5/10/2016	231,983		0	227,000	4,983												
123 VANGUARD INFLAT-PROT SE	922031737		8/24/2016	10/27/2016	242		0	242	0												
124 VOYA INTL RL EST FD CL-I #2	92914A810		12/19/2013	5/10/2016	19,328		0	19,960	-632												
125 VOYA INTL RL EST FD CL-I #2	92914A810		7/15/2015	5/10/2016	49,119		0	50,000	-881												
126 WESTERN ASSET INTERM B	957663701		10/26/2011	5/10/2016	127,990		0	125,000	2,990												
127 WESTPORT SELECT CAP FD	961323409		7/21/2000	5/10/2016	86,068		0	100,000	-13,932												
128 WESTPORT SELECT CAP FD	961323409		5/13/2009	5/10/2016	17,787		0	20,000	-2,213												
129 WESTPORT SELECT CAP FD	961323409		7/10/2000	5/10/2016	47,739		0	56,776	-9,037												
130 EATON CORP PLC	G29183103		4/2/2014	11/22/2016	8,180		0	8,849	-669												
131 THERMO FISHER SCIENTIFIC	883556102		5/10/2016	11/22/2016	9,293		0	9,669	-376												
132 DREYFUS EMG MKT DEBT LO	261980494		1/7/2013	5/10/2016	42,559		0	58,131	-15,572												
133 EATON VANCE FLOATING RA	277911491		5/10/2013	5/10/2016	56,104		0	59,889	-3,785												
134 EATON VANCE FLOATING RA	277911491		7/22/2013	5/10/2016	37,606		0	39,925	-2,319												
135 EATON VANCE FLOATING RA	277911491		9/18/2013	5/10/2016	9,422		0	9,981	-559												
136 TOTAL S A - ADR	89151E109		8/12/2015	11/22/2016	6,358		0	6,873	-515												
137 EATON VANCE FLOATING RA	277911491		12/19/2013	5/10/2016	9,412		0	9,981	-569												
138 TOTAL S A - ADR	89151E109		8/24/2016	11/22/2016	21,665		0	22,228	-563												

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount									
Long Term CG Distributions		76,983									
Short Term CG Distributions		0									
				7,753,126		0		424		7,637,115	
										116,435	
Description of Property Sold		CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M as c 12/31,
139	FPA NEW INCOME FUND #78	302544101		9/18/2013	5/10/2016	143,678		0	150,000	-6,322	
140	FPA NEW INCOME FUND #78	302544101		11/15/2013	5/10/2016	57,915		0	60,000	-2,085	
141	FPA NEW INCOME FUND #78	302544101		12/19/2013	5/10/2016	34,080		0	35,000	-920	
142	FEDERATED STRAT VAL DIV	314172560		7/21/2011	5/10/2016	276,502		0	212,522	63,980	
143	FID ADV EMER MKTS INC- CL	315920702		8/24/2016	10/27/2016	21,787		156	21,943	0	
144	EATON CORP PLC	G29183103		1/12/2015	11/22/2016	1,636		0	1,528	108	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Per 1
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE /
2	STEPHEN J OPPENHEIM		125 HALF MILE RD STE 101	RED BANK	NJ	07701		CO- TRUSTEE	

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	958
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment	6/10/2016	3	900
4 Third quarter estimated tax payment	9/12/2016	4	928
5 Fourth quarter estimated tax payment	12/12/2016	5	929
6 Other payments		6	
7 Total		7	3,715

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	<u>375,113</u>
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	<u>375,113</u>

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

THE NORTSHORE ANIMAL LEAGUE

Street

25 DAVIS AVENUE

City

PORT WASHINGTON

State

NY

Zip Code

11050

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

BIDE-A-WEE HOME ASSOCIATION

Street

410 EAST 38TH STREET

City

NEW YORK

State

NY

Zip Code

10016

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

CANCER RESEARCH INSTITUTE

Street

55 BROADWAY

City

NEW YORK

State

NY

Zip Code

10006

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

THE SALVATION ARMY

Street

440 WEST NYACK ROAD

City

WEST NYACK

State

NY

Zip Code

10994

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

AMERICAN HEART ASSOCIATION

Street

POST OFFICE BOX 22249

City

ST PETERSBURG

State

FL

Zip Code

33742

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

FRIENDS OF ANIMALS

Street

777 POSTROAD, SUITE 205

City

DARIEN

State

CT

Zip Code

06820

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CORNELL UNIVERSITY

Street

130 EAST SENECA ST, SUITE 400

City

ITHACA

State

NY

Zip Code

14850

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

SOC PREVENTION CRUELTY TO ANMLS

Street

260 WALL STREET

City

EATONTOWN

State

NJ

Zip Code

07724

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

HUMANE SOCIETY OF NEW JERSEY

Street

124 EVERGREEN AVENUE

City

NEWARK

State

NJ

Zip Code

07114

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

RIVERVIEW MEDICAL CENTER FDN

Street

1345 CAMPUS PAARKWAY, SUITE A2

City

NEPTUNE

State

NJ

Zip Code

07753

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

TRUSTEES/ UNIV OF PENNSYLVANIA

Street

3451 WALNUT ST , ROOM 427

City

PHILADELPHIA

State

PA

Zip Code

19104

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160

Name

HUMANE SOC OF THE UNITED STATES

Street

2100 L STREET, NW

City

WASHINGTON DC

State

DC

Zip Code

20037

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

35,160