Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
BROOKS FOUNDATION

A Employer identification number
25-6026627

Number and street (or P O box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR
Room/suite

B Telephone number (see instructions)
(412) 762-9161

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 152222705

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation
☑ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 4,837,265

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	105,763	105,763		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-125,367			
	b	Gross sales price for all assets on line 6a 2,885,781				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-19,604	105,763		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	61,918	49,535		12,384
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	10,215	1,329		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	72,133	50,864	0	12,384
	25	Contributions, gifts, grants paid	183,395			183,395
	26	**Total expenses and disbursements.** Add lines 24 and 25	255,528	50,864	0	195,779
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-275,132			
	b	**Net investment income** (if negative, enter -0-)		54,899		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	116,360	219,864	219,864
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,753,703	1,093,152	1,215,047
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,130,976	3,412,893	3,402,354
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,001,039	4,725,909	4,837,265
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,982,744	4,700,359	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	18,295	25,550	
	30	**Total net assets or fund balances** (see instructions)	5,001,039	4,725,909	
	31	**Total liabilities and net assets/fund balances** (see instructions)	5,001,039	4,725,909	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,001,039
2	Enter amount from Part I, line 27a	2	-275,132
3	Other increases not included in line 2 (itemize) ▶	3	2
4	Add lines 1, 2, and 3	4	4,725,909
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,725,909

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	**2**	-125,367
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	**3**	

Part V **Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	208,993	4,315,222	0 048432
2014	233,036	4,893,890	0 047618
2013	210,613	4,520,352	0 046592
2012	207,487	4,253,348	0 048782
2011	188,441	4,244,191	0 0444

2	**Total** of line 1, column (d)	**2**	0 235824
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	**3**	0 047165
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	**4**	4,718,085
5	Multiply line 4 by line 3	**5**	222,528
6	Enter 1% of net investment income (1% of Part I, line 27b)	**6**	549
7	Add lines 5 and 6	**7**	223,077
8	Enter qualifying distributions from Part XII, line 4	**8**	195,779

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,098
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	1,098
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,098
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,040		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	1,040
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	1
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	59
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 0 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶PNC BANK NA Telephone no ▶(412) 762-9161

Located at ▶620 LIBERTY AVENUE PITTSBURGH PA ZIP+4 ▶152222705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PNC BANK N A 620 LIBERTY AVENUE PITTSBURGH, PA 15222	TRUSTEE 12	61,918		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	4,789,934
b	Average of monthly cash balances.		1b	0
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	4,789,934
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	4,789,934
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	71,849
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,718,085
6	**Minimum investment return.** Enter 5% of line 5.		6	235,904

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	235,904
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 1,098		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	1,098
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	234,806
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	234,806
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	234,806

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	195,779
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	195,779
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	195,779

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				234,806
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			177,409	
b Total for prior years 2014 , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 0				
b From 2012. 0				
c From 2013. 0				
d From 2014. 0				
e From 2015. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 195,779				
a Applied to 2015, but not more than line 2a			177,409	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				18,370
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				216,436
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012. . . . 0				
b Excess from 2013. . . . 0				
c Excess from 2014. . . . 0				
d Excess from 2015. . . . 0				
e Excess from 2016. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				183,395
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	105,763	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-125,367	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-19,604	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 -19,604

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-28	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
503 MAGNA INTERNATIONAL ISIN CA5592224011		2015-05-11	2016-01-01
193 NXP SEMICONDUCTORS ISIN NL0009538784 SEDOL B505PN7		2015-05-11	2016-01-01
1 SCHERING-PLOUGH CORP		2001-01-01	2016-01-20
1 ADVANTA CORPORATION SECURITIES LITIGATION (09CV04730CMR)		2001-01-01	2016-01-27
908 ISHARES MSCI EAFE SMALL-CAP ETF		2015-11-30	2016-01-29
717 SPDR S&P INTERNATIONAL SMALL CAP		2015-11-30	2016-01-29
6 SECTOR SPDR TR SBI INT-TECH		2015-11-30	2016-01-29
123 BOEING CO		2015-05-11	2016-02-01
398 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-05
666 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2015-05-11	2016-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,707		28,478	-7,771
16,546		20,081	-3,535
18			18
25		25	
42,241		45,862	-3,621
18,789		20,910	-2,121
244		264	-20
14,659		17,946	-3,287
15,227		16,971	-1,744
18,363		27,585	-9,222

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,771
			-3,535
			18
			-3,621
			-2,121
			-20
			-3,287
			-1,744
			-9,222

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
210 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-17
864 SECTOR SPDR TR SBI CYCL TRANS		2015-11-30	2016-02-29
1508 SECTOR SPDR TR SBI INT-TECH		2015-11-30	2016-02-29
129 APPLE INC		2015-05-11	2016-03-02
192 SKYWORKS SOLUTIONS INC COM		2015-05-11	2016-03-02
403 KROGER CO		2015-05-11	2016-03-14
107 SNAP-ON INC COM		2015-05-11	2016-03-17
4501 121 GOLDMAN SACHS GRTH OPPOR INSTIT CLASS		2015-05-11	2016-04-06
620 SECTOR SPDR TR SBI CONS STPLS		2016-02-29	2016-04-29
663 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-02-29	2016-04-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,646		22,271	-2,625
64,877		69,849	-4,972
62,408		66,405	-3,997
12,962		16,326	-3,364
13,407		18,632	-5,225
15,467		14,532	935
16,657		16,659	-2
105,011		128,057	-23,046
32,327		31,807	520
31,821		30,892	929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,625
			-4,972
			-3,997
			-3,364
			-5,225
			935
			-2
			-23,046
			520
			929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
129 AMERIPRISE FINANCIAL INC		2015-05-11	2016-05-02
185 APPLE INC		2015-05-11	2016-05-06
997 CISCO SYS INC COM		2015-05-11	2016-05-06
240 L BRANDS INC		2015-05-11	2016-05-06
19 MICROSOFT CORP		2015-05-11	2016-05-06
161 MICROSOFT CORP		2015-12-18	2016-05-06
510 LINCOLN NATIONAL CORP		2015-05-11	2016-05-20
223 THE TRAVELERS COS INC		2015-05-11	2016-05-20
67 THE TRAVELERS COS INC		2015-08-14	2016-05-20
1132 495 COLUMBIA ACORN INTL FUND CL Z		2015-05-11	2016-05-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,317		16,232	-3,915
17,079		23,413	-6,334
25,776		29,202	-3,426
16,634		21,482	-4,848
946		904	42
8,014		8,863	-849
22,968		30,191	-7,223
24,816		22,697	2,119
7,456		7,174	282
44,700		51,223	-6,523

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,915
			-6,334
			-3,426
			-4,848
			42
			-849
			-7,223
			2,119
			282
			-6,523

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
119 GENERAL ELEC CO COM		2015-05-11	2016-05-26
2993 38 GOLDMAN SACHS MID CAP VALUE FD CL I		2015-05-11	2016-05-26
29 NORTHROP GRUMMAN CORPORATION		2015-05-11	2016-05-26
36 NORTHROP GRUMMAN CORPORATION		2015-07-20	2016-05-26
422 HORMEL FOODS CORP		2016-02-05	2016-06-03
7 AETNA INC NEW		2015-05-11	2016-06-15
12 ALASKA AIR GROUP INC		2015-11-17	2016-06-15
30 ALTRIA GROUP INC		2015-05-11	2016-06-15
10 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-06-15
13 AMERICAN WATER WORKS CO INC		2016-02-05	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,576		3,207	369
102,433		128,057	-25,624
6,205		4,544	1,661
7,702		6,116	1,586
14,657		16,626	-1,969
844		768	76
743		945	-202
1,951		1,549	402
660		584	76
1,007		829	178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			369
			-25,624
			1,661
			1,586
			-1,969
			76
			-202
			402
			76
			178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 AMGEN INC		2015-05-11	2016-06-15
24 APPLE INC		2015-05-11	2016-06-15
25 BANK NEW YORK MELLON CORP COM		2016-05-02	2016-06-15
473 907 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO		2015-05-11	2016-06-15
5 CIGNA CORP		2015-05-11	2016-06-15
20 CVS CAREMARK CORP		2015-05-11	2016-06-15
16 CELANESE CORP-SERIES A		2015-10-27	2016-06-15
31 CISCO SYS INC COM		2015-05-11	2016-06-15
16 CINTAS CORP		2015-05-27	2016-06-15
33 COMCAST CORPORATION CL A		2015-05-11	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,135		2,261	-126
2,346		3,037	-691
1,011		1,006	5
4,592		4,824	-232
633		643	-10
1,928		2,020	-92
1,118		1,112	6
888		908	-20
1,505		1,374	131
2,066		1,918	148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-126
			-691
			5
			-232
			-10
			-92
			6
			-20
			131
			148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 CONSTELLATION BRANDS INC CL A		2015-05-11	2016-06-15
31 D R HORTON INC		2015-10-15	2016-06-15
18 DISNEY WALT CO		2015-05-11	2016-06-15
29 DOW CHEMICAL CO		2015-05-11	2016-06-15
22 DR PEPPER SNAPPLE GROUP INC		2015-05-11	2016-06-15
764 398 EATON VANCE FLOATING RATE FUND CLASS I		2015-05-11	2016-06-15
20 EDWARDS LIFESCIENCES CORP		2015-06-02	2016-06-15
21 EXXON MOBIL CORP		2015-05-11	2016-06-15
22 FACEBOOK INC A		2016-05-06	2016-06-15
22 FOOT LOCKER INC		2015-05-11	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,870		1,418	452
955		904	51
1,776		1,963	-187
1,509		1,499	10
2,006		1,685	321
6,643		6,903	-260
1,960		1,315	645
1,898		1,827	71
2,528		2,622	-94
1,195		1,361	-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			452
			51
			-187
			10
			321
			-260
			645
			71
			-94
			-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
76 FORD MTR CO DEL COM PAR $0 01		2016-05-06	2016-06-15
9 GENERAL DYNAMICS CORP		2015-05-27	2016-06-15
35 GENERAL ELEC CO COM		2015-05-11	2016-06-15
10 GILEAD SCIENCES INC COM		2015-09-15	2016-06-15
16 HONEYWELL INTL INC		2015-07-20	2016-06-15
12 ILLINOIS TOOL WORKS INC COM		2016-02-17	2016-06-15
443 ISHARES CORE US AGGREGATE BOND ETF		2013-03-11	2016-06-15
31 ISHARES TR S&P SMLCP VALU		2015-05-11	2016-06-15
31 ISHARES TR S&P SMLCP GROW		2015-05-11	2016-06-15
36 J P MORGAN CHASE & CO COM		2015-08-14	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
993		1,020	-27
1,261		1,262	-1
1,073		943	130
836		1,098	-262
1,851		1,701	150
1,264		1,135	129
49,482		48,672	810
3,628		3,657	-29
3,986		3,990	-4
2,252		2,428	-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-27
			-1
			130
			-262
			150
			129
			810
			-29
			-4
			-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
19 JOHNSON & JOHNSON COM		2015-05-11	2016-06-15
22 KROGER CO		2015-05-11	2016-06-15
14 LAM RESEARCH CORP		2015-06-02	2016-06-15
6 LOCKHEED MARTIN CORP		2015-05-11	2016-06-15
20 MICROSOFT CORP		2015-05-11	2016-06-15
17 NIKE INC CL B		2015-08-05	2016-06-15
22 NORTHERN TRUST CORP		2016-05-20	2016-06-15
8 NORTHROP GRUMMAN CORPORATION		2015-05-11	2016-06-15
4 O REILLY AUTOMOTIVE INC		2015-12-17	2016-06-15
12 PPG INDS INC COM		2015-05-11	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,217		1,921	296
785		793	-8
1,155		1,161	-6
1,435		1,143	292
995		952	43
929		993	-64
1,549		1,584	-35
1,733		1,254	479
1,045		1,036	9
1,286		1,366	-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			296
			-8
			-6
			292
			43
			-64
			-35
			479
			9
			-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 PEPSICO INC COM		2016-06-03	2016-06-15
63 PFIZER INC COM		2015-10-27	2016-06-15
23 PRINCIPAL FINANCIAL GROUP COM		2015-08-14	2016-06-15
4 PUBLIC STORAGE INC		2015-09-03	2016-06-15
4 RAYTHEON COMPANY		2016-02-01	2016-06-15
489 902 T ROWE PRICE REAL ESTATE FUND FD 122		2015-05-11	2016-06-15
15 S&P GLOBAL INC		2016-05-20	2016-06-15
25 SCHLUMBERGER LTD COM		2015-05-11	2016-06-15
104 SECTOR SPDR TR SBI CONS STPLS		2016-01-29	2016-06-15
44 SECTOR SPDR TR SBI CYCL TRANS		2016-04-29	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,028		1,027	1
2,191		2,207	-16
964		1,315	-351
968		811	157
543		505	38
13,952		13,031	921
1,614		1,627	-13
1,937		2,308	-371
5,582		5,269	313
3,451		3,473	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-16
			-351
			157
			38
			921
			-13
			-371
			313
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
141 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-02-29	2016-06-15
5 SIMON PROPERTY GROUP INC		2016-03-17	2016-06-15
23 TEXAS INSTRS INC COM		2015-11-11	2016-06-15
7 THERMO ELECTRON CORP COM		2015-08-20	2016-06-15
43 TOTAL FINA S A		2015-08-12	2016-06-15
13 TRACTOR SUPPLY CO COM		2015-05-11	2016-06-15
14 TYSON FDS INC COM		2016-03-14	2016-06-15
2 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-24	2016-06-15
4543 004 VANGUARD TOTL BD MKT IDX-ADM		2012-12-24	2016-06-15
18 VANTIV INC CLASS A		2016-05-06	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,095		6,570	525
1,019		1,007	12
1,415		1,336	79
1,035		930	105
1,952		2,168	-216
1,213		1,136	77
842		943	-101
265		276	-11
50,109		49,084	1,025
916		985	-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			525
			12
			79
			105
			-216
			77
			-101
			-11
			1,025
			-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
39 VERIZON COMMUNICATIONS COM		2015-05-11	2016-06-15
17 VISA INC CLASS A SHARES		2015-05-11	2016-06-15
33 WEC ENERGY GROUP INC		2015-05-11	2016-06-15
43 WELLS FARGO & CO NEW COM		2015-05-11	2016-06-15
22 WYNDHAM WORLDWIDE CORP		2015-05-11	2016-06-15
9 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-06-15
16 INGERSOLL-RAND PLC SEDOL B633030		2016-05-26	2016-06-15
25 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2015-05-11	2016-06-15
14 CHECK POINT SOFTWARE COM		2015-05-11	2016-06-15
216 ALASKA AIR GROUP INC		2015-11-17	2016-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,058		1,951	107
1,328		1,178	150
2,054		1,586	468
2,030		2,389	-359
1,515		1,920	-405
1,059		901	158
1,028		1,068	-40
1,513		1,738	-225
1,178		1,207	-29
13,003		17,005	-4,002

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			107
			150
			468
			-359
			-405
			158
			-40
			-225
			-29
			-4,002

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
37 CVS CAREMARK CORP		2015-05-11	2016-06-16
171 EDWARDS LIFESCIENCES CORP		2015-05-11	2016-06-24
97 PRINCIPAL FINANCIAL GROUP COM		2015-08-14	2016-06-24
493 PRINCIPAL FINANCIAL GROUP COM		2015-05-11	2016-06-24
331 FOOT LOCKER INC		2015-05-11	2016-06-30
773 SECTOR SPDR TR SBI CYCL TRANS		2016-04-29	2016-06-30
14 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-02-29	2016-06-30
384 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2015-05-11	2016-07-14
13 CELANESE CORP-SERIES A		2015-07-13	2016-07-21
61 CELANESE CORP-SERIES A		2015-10-27	2016-07-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,530		3,737	-207
16,458		11,014	5,444
4,022		5,545	-1,523
20,443		25,842	-5,399
18,129		20,472	-2,343
60,052		61,012	-960
721		652	69
22,853		26,696	-3,843
916		896	20
4,297		4,241	56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-207
			5,444
			-1,523
			-5,399
			-2,343
			-960
			69
			-3,843
			20
			56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
106 DOW CHEMICAL CO		2015-05-11	2016-07-21
66 PPG INDS INC COM		2015-05-11	2016-07-21
1426 SECTOR SPDR TR SBI INT-TECH		2016-06-30	2016-07-29
126 CIGNA CORP		2015-05-11	2016-08-03
174 GILEAD SCIENCES INC COM		2015-09-15	2016-08-03
19089 387 EATON VANCE FLOATING RATE FUND CLASS I		2015-05-11	2016-08-05
1242 FORD MTR CO DEL COM PAR $0 01		2016-05-06	2016-08-05
226 TRACTOR SUPPLY CO COM		2015-05-11	2016-08-05
218 CHECK POINT SOFTWARE COM		2015-05-11	2016-08-22
247 CELANESE CORP-SERIES A		2015-07-13	2016-08-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,589		5,479	110
7,199		7,515	-316
66,250		61,619	4,631
15,743		16,210	-467
13,895		19,102	-5,207
166,650		172,377	-5,727
15,146		16,672	-1,526
19,599		19,755	-156
16,459		18,796	-2,337
16,340		17,014	-674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			110
			-316
			4,631
			-467
			-5,207
			-5,727
			-1,526
			-156
			-2,337
			-674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4786 168 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO		2015-05-11	2016-08-25
5328 233 RIDGEWORTH FDS SEIX FLTG RATE INCOME FD CL I		2016-08-05	2016-08-25
6294 444 TEMPLETON GLOBAL BOND FUND AD FUND		2015-05-11	2016-08-25
14 SECTOR SPDR TR SBI CONS STPLS		2016-07-29	2016-08-31
1089 INDUSTRIAL SELECT SECTOR SPDR ETF LARGE CAP		2016-07-29	2016-08-31
180 DOLLAR GENERAL CORP		2016-06-30	2016-09-01
108 CINTAS CORP		2015-05-11	2016-09-07
269 WELLS FARGO & CO NEW COM		2015-05-11	2016-09-07
430 KROGER CO		2015-05-11	2016-09-14
62 EXXON MOBIL CORP		2015-05-11	2016-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,952		48,723	-1,771
45,929		45,770	159
70,498		78,114	-7,616
760		764	-4
63,719		63,330	389
13,233		16,820	-3,587
12,780		9,118	3,662
13,417		14,943	-1,526
13,247		15,506	-2,259
5,229		5,395	-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,771
			159
			-7,616
			-4
			389
			-3,587
			3,662
			-1,526
			-2,259
			-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
61 HONEYWELL INTL INC		2015-05-27	2016-09-16
70 SCHLUMBERGER LTD COM		2015-05-11	2016-09-16
10 ABBOTT LABORATORIES INC		2016-06-24	2016-09-22
6 AETNA INC NEW		2015-05-11	2016-09-22
2 ALPHABET INC/CA-CL A		2016-06-15	2016-09-22
18 ALTRIA GROUP INC		2015-05-11	2016-09-22
175 531 AMERICAN BEACON L/C VALU IS		2015-05-11	2016-09-22
12 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-09-22
10 AMERICAN WATER WORKS CO INC		2016-02-05	2016-09-22
8 AMGEN INC		2015-05-11	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,933		6,454	479
5,318		6,462	-1,144
422		385	37
702		659	43
1,634		1,467	167
1,155		929	226
3,153		3,590	-437
796		701	95
771		637	134
1,399		1,292	107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			479
			-1,144
			37
			43
			167
			226
			-437
			95
			134
			107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 APPLE INC		2015-05-11	2016-09-22
14 APPLIED MATERIALS INC		2016-07-14	2016-09-22
111 51 ARTISAN INTERNATIONAL FD-ADV		2016-06-15	2016-09-22
70 937 BAIRD MIDCAP INST		2016-06-15	2016-09-22
16 BANK NEW YORK MELLON CORP COM		2016-05-02	2016-09-22
14 BERRY GLOBAL GROUP INC		2016-08-24	2016-09-22
164 791 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO		2015-05-11	2016-09-22
7 BURLINGTON STORES INC		2016-09-01	2016-09-22
12 CBS CORP CLASS B WI		2016-08-05	2016-09-22
9 CVS CAREMARK CORP		2015-05-11	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,061		2,278	-217
423		364	59
3,181		3,013	168
1,121		1,093	28
645		644	1
640		607	33
1,617		1,678	-61
579		573	6
601		624	-23
813		909	-96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-217
			59
			168
			28
			1
			33
			-61
			6
			-23
			-96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 CISCO SYS INC COM		2015-05-11	2016-09-22
6 CINTAS CORP		2015-05-11	2016-09-22
19 COMCAST CORPORATION CL A		2015-05-11	2016-09-22
9 CONSTELLATION BRANDS INC CL A		2015-05-11	2016-09-22
67 DB X-TRACKERS MSCI JAPAN HDG ETF		2015-05-11	2016-09-22
258 DEUTSCHE X-TRACKERS MSCI EUR ETF		2015-05-11	2016-09-22
22 D R HORTON INC		2015-10-15	2016-09-22
14 DEVON ENERGY CORP NEW		2016-09-16	2016-09-22
13 DISNEY WALT CO		2015-05-11	2016-09-22
10 DOW CHEMICAL CO		2015-05-11	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
729		674	55
689		502	187
1,277		1,104	173
1,495		1,063	432
2,240		2,862	-622
6,703		7,616	-913
670		642	28
588		565	23
1,211		1,418	-207
527		517	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			55
			187
			173
			432
			-622
			-913
			28
			23
			-207
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 DR PEPPER SNAPPLE GROUP INC		2015-05-11	2016-09-22
8 EDWARDS LIFESCIENCES CORP		2015-05-11	2016-09-22
4 EQUIFAX INC		2016-06-16	2016-09-22
9 EXXON MOBIL CORP		2015-05-11	2016-09-22
11 FACEBOOK INC A		2016-05-06	2016-09-22
6 GENERAL DYNAMICS CORP		2015-05-27	2016-09-22
19 GENERAL ELEC CO COM		2015-05-11	2016-09-22
248 286 HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO		2015-05-11	2016-09-22
10 HOME DEPOT INC COM		2016-06-15	2016-09-22
8 HONEYWELL INTL INC		2015-05-27	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,286		1,072	214
968		511	457
535		483	52
754		783	-29
1,431		1,311	120
927		841	86
569		512	57
4,715		4,755	-40
1,291		1,271	20
934		842	92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			214
			457
			52
			-29
			120
			86
			57
			-40
			20
			92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 ILLINOIS TOOL WORKS INC COM		2016-02-17	2016-09-22
12 INTEL CORP		2016-08-22	2016-09-22
337 ISHARES MSCI EMERGING MKTS INDEX FUND		2015-05-11	2016-09-22
23 ISHARES TR S&P SMLCP VALU		2015-05-11	2016-09-22
25 ISHARES TR S&P SMLCP GROW		2015-05-11	2016-09-22
29 J P MORGAN CHASE & CO COM		2015-08-14	2016-09-22
12 JOHNSON & JOHNSON COM		2016-08-03	2016-09-22
6 KRAFT HEINZ CO/THE		2016-09-14	2016-09-22
11 LAM RESEARCH CORP		2015-06-02	2016-09-22
3 LOCKHEED MARTIN CORP		2015-05-11	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
959		757	202
449		425	24
12,827		14,346	-1,519
2,878		2,714	164
3,471		3,218	253
1,948		1,956	-8
1,431		1,492	-61
534		530	4
1,019		912	107
739		572	167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			202
			24
			-1,519
			164
			253
			-8
			-61
			4
			107
			167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
141 701 MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS I		2015-05-11	2016-09-22
12 MICROSOFT CORP		2015-05-11	2016-09-22
2 MOHAWK INDS INC		2016-08-05	2016-09-22
15 NIKE INC CL B		2015-08-05	2016-09-22
10 NORTHERN TRUST CORP		2016-05-20	2016-09-22
4 NORTHROP GRUMMAN CORPORATION		2015-05-11	2016-09-22
3 O REILLY AUTOMOTIVE INC		2015-12-17	2016-09-22
3 PPG INDS INC COM		2015-05-11	2016-09-22
4 PEPSICO INC COM		2016-06-03	2016-09-22
38 PFIZER INC COM		2015-10-27	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,578		2,823	-245
694		571	123
412		422	-10
833		876	-43
691		720	-29
865		627	238
852		777	75
310		342	-32
430		411	19
1,298		1,331	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-245
			123
			-10
			-43
			-29
			238
			75
			-32
			19
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 PUBLIC STORAGE INC		2015-09-03	2016-09-22
5 RAYTHEON COMPANY		2016-02-01	2016-09-22
248 175 RIDGEWORTH FDS SEIX FLTG RATE INCOME FD CL I		2016-08-05	2016-09-22
135 903 ROWE T PRICE VALUE FD INC COM		2015-05-11	2016-09-22
123 932 T ROWE PRICE REAL ESTATE FUND FD 122		2015-05-11	2016-09-22
6 S&P GLOBAL INC		2016-05-20	2016-09-22
12 SCHLUMBERGER LTD COM		2015-05-11	2016-09-22
3 SECTOR SPDR TR SBI CONS STPLS		2016-07-29	2016-09-22
47 SECTOR SPDR TR SBI INT-TECH		2016-08-31	2016-09-22
41 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-07-29	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
886		811	75
698		632	66
2,144		2,132	12
4,543		4,854	-311
3,718		3,297	421
764		651	113
925		1,108	-183
160		164	-4
2,249		2,209	40
2,081		2,095	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			66
			12
			-311
			421
			113
			-183
			-4
			40
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 SIMON PROPERTY GROUP INC		2016-03-17	2016-09-22
12 STATE STR CORP COM		2016-09-07	2016-09-22
6 SUNTRUST BANKS INC COM		2016-06-24	2016-09-22
56 009 TEMPLETON GLOBAL BOND FUND AD FUND		2015-05-11	2016-09-22
221 917 TEMPLETON INSTL FDS INC FOREIGN EQUITY SER		2015-05-11	2016-09-22
16 TEXAS INSTRS INC COM		2015-11-11	2016-09-22
6 THERMO ELECTRON CORP COM		2015-08-20	2016-09-22
22 TOTAL FINA S A		2015-08-12	2016-09-22
10 TYSON FDS INC COM		2016-03-14	2016-09-22
5 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-24	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
640		604	36
850		847	3
265		247	18
621		695	-74
4,250		4,947	-697
1,112		929	183
945		797	148
1,049		1,109	-60
765		673	92
618		689	-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36
			3
			18
			-74
			-697
			183
			148
			-60
			92
			-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 VANTIV INC CLASS A		2016-05-06	2016-09-22
25 VERIZON COMMUNICATIONS COM		2015-05-11	2016-09-22
9 VISA INC CLASS A SHARES		2015-05-11	2016-09-22
4 VULCAN MATERIALS CO		2016-07-21	2016-09-22
20 WEC ENERGY GROUP INC		2015-05-11	2016-09-22
1343 179 WASATCH INTL OPPORTUNIT-INST		2016-05-26	2016-09-22
14 WELLS FARGO & CO NEW COM		2015-05-11	2016-09-22
149 764 WELLS FARGO SPECIAL US MID CAP VALUE I		2016-05-26	2016-09-22
11 WYNDHAM WORLDWIDE CORP		2015-05-11	2016-09-22
7 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
442		438	4
1,308		1,251	57
751		624	127
446		499	-53
1,250		961	289
4,285		3,886	399
638		778	-140
5,068		4,810	258
754		960	-206
797		701	96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			57
			127
			-53
			289
			399
			-140
			258
			-206
			96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 INGERSOLL-RAND PLC SEDOL B633030		2016-05-26	2016-09-22
522 D R HORTON INC		2015-10-15	2016-09-27
118 ABBOTT LABORATORIES INC		2016-06-24	2016-09-30
45 AETNA INC NEW		2015-05-11	2016-09-30
4 ALPHABET INC/CA-CL A		2015-08-05	2016-09-30
14 ALPHABET INC/CA-CL A		2016-06-15	2016-09-30
135 ALTRIA GROUP INC		2015-05-11	2016-09-30
76 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-09-30
69 AMERICAN WATER WORKS CO INC		2016-02-05	2016-09-30
60 AMGEN INC		2015-05-11	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
527		534	-7
15,659		15,226	433
4,933		4,541	392
5,170		4,939	231
3,217		2,663	554
11,260		10,270	990
8,523		6,969	1,554
4,897		4,438	459
5,165		4,398	767
9,950		9,690	260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			433
			392
			231
			554
			990
			1,554
			459
			767
			260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
108 APPLE INC		2015-05-11	2016-09-30
246 APPLIED MATERIALS INC		2016-07-14	2016-09-30
109 BANK NEW YORK MELLON CORP COM		2016-05-02	2016-09-30
108 BERRY GLOBAL GROUP INC		2016-08-24	2016-09-30
58 BURLINGTON STORES INC		2016-09-01	2016-09-30
91 CBS CORP CLASS B WI		2016-08-05	2016-09-30
78 CVS CAREMARK CORP		2015-05-11	2016-09-30
134 CISCO SYS INC COM		2015-05-11	2016-09-30
57 CINTAS CORP		2015-05-11	2016-09-30
149 COMCAST CORPORATION CL A		2015-05-11	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,171		13,668	-1,497
7,311		6,388	923
4,302		4,387	-85
4,725		4,685	40
4,683		4,751	-68
4,982		4,732	250
6,919		7,877	-958
4,234		3,925	309
6,366		4,769	1,597
9,901		8,661	1,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,497
			923
			-85
			40
			-68
			250
			-958
			309
			1,597
			1,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
61 CONSTELLATION BRANDS INC CL A		2015-05-11	2016-09-30
115 DEVON ENERGY CORP NEW		2016-09-16	2016-09-30
77 DICK'S SPORTING GOODS, INC		2016-09-27	2016-09-30
88 DISNEY WALT CO		2015-05-11	2016-09-30
91 DOW CHEMICAL CO		2015-05-11	2016-09-30
98 DR PEPPER SNAPPLE GROUP INC		2015-05-11	2016-09-30
63 EDWARDS LIFESCIENCES CORP		2015-05-11	2016-09-30
38 EQUIFAX INC		2016-06-16	2016-09-30
73 EXXON MOBIL CORP		2015-05-11	2016-09-30
99 FACEBOOK INC A		2016-05-06	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,988		7,206	2,782
4,997		4,637	360
4,351		4,648	-297
8,160		9,599	-1,439
4,724		4,704	20
8,895		7,505	1,390
7,541		4,024	3,517
5,085		4,590	495
6,356		6,352	4
12,644		11,797	847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,782
			360
			-297
			-1,439
			20
			1,390
			3,517
			495
			4
			847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 GENERAL DYNAMICS CORP		2015-05-27	2016-09-30
156 GENERAL ELEC CO COM		2015-05-11	2016-09-30
43 HOME DEPOT INC COM		2015-05-11	2016-09-30
29 HOME DEPOT INC COM		2016-06-15	2016-09-30
63 HONEYWELL INTL INC		2015-05-11	2016-09-30
54 ILLINOIS TOOL WORKS INC COM		2016-02-17	2016-09-30
137 INTEL CORP		2016-08-22	2016-09-30
201 J P MORGAN CHASE & CO COM		2015-05-11	2016-09-30
54 JOHNSON & JOHNSON COM		2016-08-03	2016-09-30
48 JOHNSON & JOHNSON COM		2015-05-11	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,989		6,310	679
4,614		4,204	410
5,493		4,818	675
3,705		3,685	20
7,318		6,605	713
6,464		5,109	1,355
5,161		4,848	313
13,323		13,238	85
6,391		6,715	-324
5,680		4,854	826

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			679
			410
			675
			20
			713
			1,355
			313
			85
			-324
			826

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
53 KRAFT HEINZ CO/THE		2016-09-14	2016-09-30
81 LAM RESEARCH CORP		2015-06-02	2016-09-30
24 LOCKHEED MARTIN CORP		2015-05-11	2016-09-30
92 MICROSOFT CORP		2015-05-11	2016-09-30
23 MOHAWK INDS INC		2016-08-05	2016-09-30
115 NIKE INC CL B		2015-08-05	2016-09-30
96 NORTHERN TRUST CORP		2016-05-20	2016-09-30
33 NORTHROP GRUMMAN CORPORATION		2015-05-11	2016-09-30
23 O REILLY AUTOMOTIVE INC		2015-12-17	2016-09-30
4 O REILLY AUTOMOTIVE INC		2015-07-27	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,733		2,250	2,483
7,594		6,718	876
5,724		4,573	1,151
5,279		4,379	900
4,577		4,858	-281
6,041		6,714	-673
6,449		6,914	-465
6,974		5,171	1,803
6,424		5,956	468
1,117		957	160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,483
			876
			1,151
			900
			-281
			-673
			-465
			1,803
			468
			160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
38 PPG INDS INC COM		2015-05-11	2016-09-30
45 PEPSICO INC COM		2016-06-03	2016-09-30
287 PFIZER INC COM		2015-10-27	2016-09-30
26 PUBLIC STORAGE INC		2015-09-03	2016-09-30
35 RAYTHEON COMPANY		2016-02-01	2016-09-30
712 767 ROWE T PRICE VALUE FD INC COM		2015-05-11	2016-09-30
64 S&P GLOBAL INC		2016-05-20	2016-09-30
96 SCHLUMBERGER LTD COM		2015-05-11	2016-09-30
1129 SECTOR SPDR TR SBI CONS STPLS		2016-01-29	2016-09-30
14 SECTOR SPDR TR SBI CONS STPLS		2016-06-30	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,920		4,327	-407
4,882		4,620	262
9,665		10,054	-389
5,810		5,273	537
4,714		4,421	293
23,685		25,460	-1,775
8,075		6,942	1,133
7,491		8,862	-1,371
60,055		57,137	2,918
745		762	-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-407
			262
			-389
			537
			293
			-1,775
			1,133
			-1,371
			2,918
			-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
844 SECTOR SPDR TR SBI INT-TECH		2016-08-31	2016-09-30
39 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-08-31	2016-09-30
1229 SECTOR SPDR TR SHS BEN INT-UTILITIES		2016-02-29	2016-09-30
23 SIMON PROPERTY GROUP INC		2016-03-17	2016-09-30
101 STATE STR CORP COM		2016-09-07	2016-09-30
164 SUNTRUST BANKS INC COM		2016-06-24	2016-09-30
114 TEXAS INSTRS INC COM		2015-11-11	2016-09-30
40 THERMO ELECTRON CORP COM		2015-08-20	2016-09-30
193 TOTAL FINA S A		2015-08-12	2016-09-30
66 TYSON FDS INC COM		2016-03-14	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,291		39,661	630
1,912		1,919	-7
60,258		57,264	2,994
4,771		4,630	141
6,960		7,126	-166
7,109		6,748	361
8,005		6,622	1,383
6,294		5,315	979
9,127		9,729	-602
4,943		4,444	499

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			630
			-7
			2,994
			141
			-166
			361
			1,383
			979
			-602
			499

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-24	2016-09-30
84 VANTIV INC CLASS A		2016-05-06	2016-09-30
170 VERIZON COMMUNICATIONS COM		2015-05-11	2016-09-30
73 VISA INC CLASS A SHARES		2015-05-11	2016-09-30
39 VULCAN MATERIALS CO		2016-07-21	2016-09-30
142 WEC ENERGY GROUP INC		2015-05-11	2016-09-30
116 WELLS FARGO & CO NEW COM		2015-05-11	2016-09-30
74 WYNDHAM WORLDWIDE CORP		2015-05-11	2016-09-30
48 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-09-30
70 INGERSOLL-RAND PLC SEDOL B633030		2016-05-26	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,010		4,550	-540
4,630		4,598	32
8,916		8,505	411
5,972		5,059	913
4,442		4,861	-419
8,556		6,824	1,732
5,121		6,444	-1,323
4,955		6,459	-1,504
5,872		4,807	1,065
4,718		4,672	46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-540
			32
			411
			913
			-419
			1,732
			-1,323
			-1,504
			1,065
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
93 PPG INDS INC COM		2015-05-11	2016-10-14
24 ADVANSIX INC - W/I		2015-05-11	2016-10-17
195 CVS CAREMARK CORP		2015-05-11	2016-10-20
60 LOCKHEED MARTIN CORP		2015-05-11	2016-10-21
66 PUBLIC STORAGE INC		2015-09-03	2016-10-21
6 ADVANSIX INC - W/I		2015-05-11	2016-10-28
84 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-24	2016-10-28
432 SECTOR SPDR TR SBI BASIC INDS		2016-09-30	2016-10-31
354 INDUSTRIAL SELECT SECTOR SPDR ETF LARGE CAP		2016-09-30	2016-10-31
7 SECTOR SPDR TR SBI INT-TECH		2016-08-31	2016-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,629		10,589	-1,960
4		3	1
16,920		19,693	-2,773
13,834		11,433	2,401
13,985		13,385	600
94		86	8
10,156		11,582	-1,426
20,193		20,644	-451
20,276		20,665	-389
333		329	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,960
			1
			-2,773
			2,401
			600
			8
			-1,426
			-451
			-389
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
96 ALTRIA GROUP INC		2015-05-11	2016-11-11
189 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-11-11
171 ISHARES TR S&P SMLCAP 600		2016-10-31	2016-11-30
43 EXXON MOBIL CORP		2015-05-11	2016-12-09
36 JOHNSON & JOHNSON COM		2015-05-11	2016-12-09
91 TOTAL FINA S A		2015-08-12	2016-12-09
11 TOTAL FINA S A		2016-05-06	2016-12-09
2329 248 AMERICAN BEACON L/C VALU IS		2015-05-11	2016-12-20
359 247 AMERICAN BEACON L/C VALU IS		2016-06-15	2016-12-20
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,934		4,955	979
11,389		11,036	353
22,872		20,204	2,668
3,807		3,742	65
4,021		3,641	380
4,449		4,587	-138
538		535	3
41,181		47,633	-6,452
6,351		5,992	359
			6,367

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			979
			353
			2,668
			65
			380
			-138
			3
			-6,452
			359

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MOONCREST CHILDREN'S PROGRAMS 308 HEMLOCK DRIVE COROPOLIS, PA 15108	NONE	PC	MOONCREST CHILDREN'S	10,000
RUTH'S WAY INC 11524 FRANKSTOWN ROAD PITTSBURGH, PA 15235	NONE	PC	ACHIEVEMENT CONSULTATION	14,353
NEW SUN RISING 9675 HIGHLAND ROAD PITTSBURGH, PA 15237	NONE	PC	JH MECHANICS OF A LEGEND	15,000
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE	PC	PROGRESS PROGRAM	15,000
DUQUESNE UNIVERSITY 600 FORBES AVENUE PITTSBURGH, PA 15282	NONE	PC	LAW INITATIVE SUPPORT	15,000
PINE VALLEY BIBLE CONFERENCE 504 CHAPEL DRIVE ELLWOOD CITY, PA 16117	NONE	PC	CAMP SCHOLARSHIP FUND 2016	14,352
SOUTHERN ALLEGHENIES MUSEUM OF ART 112 FRANCISIAN WAY LORETTO, PA 15940	NONE	PC	ARTWORK DISPLAY PROJECT	6,204
CATHOLIC CHARITIES OF THE DIOCESE OF PITTEBURGH 504 CHAPEL DRIVE ELWOOD CITY, PA 15222	NONE	PC	PROGRAM EXPANSION FOR	20,000
SPINA BIFIDA ASSOCIATION OF WESTERN PA 1158 DUTILH ROAD MARS, PA 16046	NONE	PC	GATEHOUSE PROGRAM	10,000
SILVER EYE CENTER FOR PHOTOGRAPHY 1015 EAST CARSON STREET PITTSBURGH, PA 15203	NONE	PC	EDUCATION LAB EQUIPMENT	10,000
EAST LIBERTY FAMILY HEALTH CARE CENTER INC 7171 CHURCHLAND STREET PITTSBURGH, PA 15206	NONE	PC	TECHNOLOGY UPDATE	5,986
CITY THEATRE COMPANY 1300 BINGHAM STREET PITTSBURGH, PA 15203	NONE	PC	EDUCATION PROGRAM	15,000
CORNING DANCES & COMPANY 314 S EVALINE STREET PITTSBURGH, PA 15224	NONE	PC	GLUE FACTORY PROJECTS	15,000
INVISION FOUNDATION 12450 PERRY HIGHWAY WESFORD, PA 10590	NONE	PC	EHR IMPLEMANTATION	17,500
Total . . . . ▶ **3a**				183,395

TY 2016 Investments - Other Schedule

Name: BROOKS FOUNDATION

EIN: 25-6026627

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED	AT COST	1,516,204	1,495,318
MUTUAL FUNDS - EQUITY	AT COST	1,896,689	1,907,036

TY 2016 Other Increases Schedule

Name: BROOKS FOUNDATION

EIN: 25-6026627

Description	Amount
ROUNDING ADJ FOR SALES AND TRANSACTIONS	2

TY 2016 Taxes Schedule

Name: BROOKS FOUNDATION

EIN: 25-6026627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	435	435		0
FEDERAL TAX PAYMENT - PRIOR YE	7,846	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,040	0		0
FOREIGN TAXES ON QUALIFIED FOR	679	679		0
FOREIGN TAXES ON NONQUALIFIED	215	215		0