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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
JOHN M HOPWOOD CHARITABLE TRUST

A Employer identification number
25-6022634

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
PNC BANK NA 620 LIBERTY AVENUE 10TH

B Telephone number (see instructions)
(412) 768-9969

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 152222705

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 27,717,772

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	729,634	729,657		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	596,039			
	b	Gross sales price for all assets on line 6a 2,251,239				
	7	Capital gain net income (from Part IV, line 2)		596,039		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	8,405	1,663		
	12	**Total.** Add lines 1 through 11	1,334,078	1,327,359		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	229,201	160,670		68,531
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)	1,135	0	0	1,135
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	26,999	5,079		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	55,388	5,388		50,000
	24	**Total operating and administrative expenses.** Add lines 13 through 23	312,723	171,137	0	119,666
	25	Contributions, gifts, grants paid	1,245,000			1,245,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,557,723	171,137	0	1,364,666
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-223,645			
	b	**Net investment income** (if negative, enter -0-)		1,156,222		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	3,152,790	2,285,095	2,285,095
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	9,365,580	9,480,277	20,037,554
	c	Investments—corporate bonds (attach schedule)		175,304	174,991
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,873,983	5,227,395	5,220,132
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	17,392,353	17,168,071	27,717,772
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	17,318,796	17,019,303	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	73,557	148,768	
	30	**Total net assets or fund balances** (see instructions)	17,392,353	17,168,071	
	31	**Total liabilities and net assets/fund balances** (see instructions)	17,392,353	17,168,071	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,392,353
2	Enter amount from Part I, line 27a	2	-223,645
3	Other increases not included in line 2 (itemize) ▶	3	1
4	Add lines 1, 2, and 3	4	17,168,709
5	Decreases not included in line 2 (itemize) ▶	5	638
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,168,071

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	596,039
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,463,322	26,936,702	0 054324
2014	1,249,226	27,700,022	0 045098
2013	1,218,926	25,665,273	0 047493
2012	1,155,279	24,002,450	0 048132
2011	1,047,319	23,146,261	0 045248

2	**Total** of line 1, column (d)	2	0 240295
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048059
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	26,624,177
5	Multiply line 4 by line 3	5	1,279,531
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	11,562
7	Add lines 5 and 6	7	1,291,093
8	Enter qualifying distributions from Part XII, line 4	8	1,364,666

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	11,562
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	11,562
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	11,562
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	23,402		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	23,402
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	11,840
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 5,782	**Refunded** ▶		11	6,058

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶pncsites com/pncfoundation/charitable_trusts

14 The books are in care of ▶PNC BANK NA Telephone no ▶(412) 768-9969

Located at ▶620 LIBERTY AVENUE 10TH FLOOR PITTSBURGH PA ZIP+4 ▶152222705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PNC BANK 620 LIBERTY AVENUE PITTSBURGH, PA 15222	CO-TRUSTEE 31	153,565		
WILLIAM T HOPWOOD 706 BUNKER ROAD PO BOX 239 ELKINS, NH 03233	CO-TRUSTEE 15	75,636		
TAITE W HOPWOOD 375 BAIRDFORD ROAD GIBSONIA, PA 15044	CO-TRUSTEE 1	0		
ANNA BLANTON PO BOX 36 PLACERVILLE, CO 814300036	CO-TRUSTEE 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	27,029,621
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	27,029,621
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	27,029,621
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	405,444
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	26,624,177
6	**Minimum investment return.** Enter 5% of line 5.	6	1,331,209

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	1,331,209
2a	Tax on investment income for 2016 from Part VI, line 5. 2a 11,562		
b	Income tax for 2016 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	11,562
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,319,647
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,319,647
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,319,647

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,364,666
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,364,666
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	11,562
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	1,353,104

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					1,319,647
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				10,685	
b Total for prior years 2014 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	0				
b From 2012.	0				
c From 2013.	0				
d From 2014.	0				
e From 2015.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 1,364,666					
a Applied to 2015, but not more than line 2a				10,685	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					1,319,647
e Remaining amount distributed out of corpus		34,334			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		34,334			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		34,334			
10 Analysis of line 9					
a Excess from 2012. . . .	0				
b Excess from 2013. . . .	0				
c Excess from 2014. . . .	0				
d Excess from 2015. . . .	0				
e Excess from 2016. . . .	34,334				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JOHN M HOOPWOOD CHARITABLE TRUST
ATTN CHARITABLE TRUST COMMITTEE 6
PITTSBURGH, PA 15222
(412) 762-3576

b The form in which applications should be submitted and information and materials they should include

LETTER FORM - NO ELECTRONIC MEDIA

c Any submission deadlines

SEPTEMBER 30TH

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LIMITED TO CORP OR ASSOC ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,245,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	729,634	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	596,039	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a LUFTHANSA SPONSORE			14	35	
b ENTERPRISE PRODS P			14	6,742	
c FUCHS PETROLUB SE-			14	37	
d ROYAL DUTCH SHELL			14	1,591	
e					
12 Subtotal Add columns (b), (d), and (e). .				1,334,078	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 1,334,078

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-25	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1566 UNI CHARM CORP SPON ADR		2010-08-17	2016-01-12
2205 CHUBB CORP COM		2009-12-16	2016-01-19
48 SOUTH32 - ADR-W/I ADR SEDOL BWG08D6		2015-05-29	2016-01-21
57 SANDS CHINA LTD UNSPONS ADR		2015-05-21	2016-01-26
144 SANDS CHINA LTD UNSPONS ADR		2014-02-26	2016-01-26
189 CHUBB LTD SEDOL B3BQMF6		2016-01-19	2016-01-27
240 ORKLA A S SPONSORED ADR		2014-11-19	2016-02-03
273 NOVO NORDISK A S ADR		2013-06-11	2016-02-10
34 DASSAULT SYSTEMS SA SPON ADR		2007-01-29	2016-02-16
612 CARE CAPITAL PROPERTIES INC		2011-09-22	2016-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,540		4,223	1,317
286,185		132,937	153,248
146		432	-286
1,681		2,350	-669
4,246		11,188	-6,942
21		21	
1,921		1,922	-1
13,345		10,078	3,267
2,556		919	1,637
15,137		17,377	-2,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,317
			153,248
			-286
			-669
			-6,942
			-1
			3,267
			1,637
			-2,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
631 CHEMOURS CO/THE - W/I		2010-10-18	2016-02-18
500 DOW CHEMICAL CO		2015-09-01	2016-02-18
215 ENGIE ADR		2014-11-19	2016-02-18
46 IMPERIAL BRANDS PLC-SPON ADR ADR SEDOL BD5K2N2		2014-11-19	2016-02-18
134 NIPPON TELEG & TEL CORP SPONSORED ADR		2014-11-19	2016-02-18
109 REXAM PLC-SPONSORED ADR MERGED 06/30/16 @ $26 966 P/S		2014-11-19	2016-02-18
98 TAIWAN SEMICONDUCTOR MTG CO ADR		2013-06-20	2016-02-23
1345 ICICI BANK LTD SPON ADR		2010-03-05	2016-02-25
58 BHP LTD SPONSORED ADR		2014-11-19	2016-03-02
74 DEUTSCHE POST AG SPON ADR		2015-10-27	2016-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,581		6,929	-4,348
23,975		20,715	3,260
3,320		5,076	-1,756
4,886		4,161	725
5,693		3,726	1,967
4,725		3,846	879
2,280		1,690	590
7,058		10,740	-3,682
1,408		3,234	-1,826
1,759		2,264	-505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,348
			3,260
			-1,756
			725
			1,967
			879
			590
			-3,682
			-1,826
			-505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 DEUTSCHE POST AG SPON ADR		2014-11-19	2016-03-02
34 REXAM PLC-SPONSORED ADR MERGED 06/30/16 @ $26 966 P/S		2014-11-19	2016-03-02
60 SSE PLC SPN ADR		2014-11-19	2016-03-02
207 STATOIL ASA SPON ADR		2014-11-19	2016-03-02
872 BG GROUP PLC SPON ADR		2007-01-29	2016-03-07
249 MANULIFE FINL CORP COM		2014-11-19	2016-03-22
2125 CONSOLIDATED EDISON INC		2009-12-16	2016-03-23
2950 EMERSON ELEC CO COM		2008-07-23	2016-03-23
2400 HELMERICH & PAYNE INC COM		2013-10-03	2016-03-23
3 CALIFORNIA RESOURCES CORP REV SPLIT 05/31/16		2008-07-23	2016-03-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
238		310	-72
1,468		1,195	273
1,163		1,499	-336
3,011		4,566	-1,555
13,248		12,590	658
3,555		4,826	-1,271
160,094		95,894	64,200
161,311		136,117	25,194
140,110		170,442	-30,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-72
			273
			-336
			-1,555
			658
			-1,271
			64,200
			25,194
			-30,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
66 CSL LTD-SPONSORED ADR ADR SEDOL BN5TD14		2010-08-24	2016-04-01
2100 KIMBERLY-CLARK CORP COM		2009-12-16	2016-04-04
2450 VENTAS INC		2011-09-22	2016-04-04
152 IMPERIAL OIL LTD CL A CONV		2007-01-29	2016-04-11
810 SVENSKA HANDELSB A UNSPON ADR		2012-07-20	2016-04-14
136 KONE OYJ B UNSPON ADR		2015-02-03	2016-05-25
375 MTN GROUP LTD SPONS ADR		2014-11-19	2016-05-26
1608 UNI CHARM CORP SPON ADR		2010-08-17	2016-05-26
866 BAXALTA INC -W/I MERGED 06/03/16 @ $18 00 P/S		2014-07-31	2016-05-31
3052 BAXALTA INC -W/I MERGED 06/03/16 @ $18 00 P/S		2014-07-31	2016-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,535		921	1,614
285,539		128,644	156,895
155,572		120,098	35,474
4,748		5,215	-467
5,098		4,509	589
3,038		3,083	-45
3,049		7,624	-4,575
6,047		4,333	1,714
39		29	10
141,745		97,798	43,947

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,614
			156,895
			35,474
			-467
			589
			-45
			-4,575
			1,714
			10
			43,947

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
94 HONDA MOTOR CO LTD AMERICAN SHARES		2015-02-26	2016-06-03
110 JAPAN TOBACCO INC-UNSPON ADR ADR SEDOL BQVXT24		2015-07-15	2016-06-03
44 JAPAN TOBACCO INC-UNSPON ADR ADR SEDOL BQVXT24		2014-12-04	2016-06-03
798 CALIFORNIA RESOURCES CORP		2008-07-23	2016-06-07
124 AIR LIQUIDE ADR		2016-02-12	2016-06-08
45 AIR LIQUIDE ADR		2007-01-29	2016-06-08
306 SHIRE PLC SPONSORED ADR		2016-06-03	2016-06-14
157 SYSMEX CORP UNSPONSORED ADR		2012-05-18	2016-06-23
50 SSE PLC SPN ADR		2014-11-19	2016-07-01
311 ALLIANZ SE SPON ADR		2008-06-24	2016-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,541		3,203	-662
2,231		2,012	219
892		676	216
15		4	11
2,660		2,611	49
965		741	224
52		59	-7
5,418		1,493	3,925
1,040		1,249	-209
4,304		5,630	-1,326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-662
			219
			216
			11
			49
			224
			-7
			3,925
			-209
			-1,326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 WPP PLC ADR		2007-01-29	2016-07-20
52 REXAM PLC-SPONSORED ADR MERGED 06/30/16 @ $26 966 P/S		2011-01-24	2016-07-21
11 BALL CORPORATION		2016-07-21	2016-07-26
31 DEUTSCHE POST AG SPON ADR		2015-10-27	2016-07-26
135 RIOCAN REAL ESTATE INVST TR ISIN CA7669101031		2014-11-19	2016-07-26
876 BALL CORPORATION		2016-07-21	2016-07-27
2000 BB&T CORP		2015-09-01	2016-08-26
1000 CAPITAL ONE FINANCIAL CORP		2015-09-01	2016-08-26
452 SHIRE PLC SPONSORED ADR		2016-06-03	2016-08-26
3500 SYMANTEC CORP COM		2015-09-01	2016-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,889		1,238	651
1,402		912	490
782		784	-2
887		949	-62
2,966		3,200	-234
62		62	
75,670		70,453	5,217
68,919		74,148	-5,229
85,958		86,750	-792
82,770		69,913	12,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			651
			490
			-2
			-62
			-234
			5,217
			-5,229
			-792
			12,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4000 VESTAS WIND SYSTEMS-UNSP ADR ADR SEDOL B1P16F1		2015-08-21	2016-08-26
1 AMERICAN SUPERCONDUCTOR CORP REV SPLIT 03/24/15		2001-01-01	2016-08-31
119 ARM HOLDINGS PLC SPONSORED ADR		2015-10-27	2016-08-31
121 ARM HOLDINGS PLC SPONSORED ADR		2010-04-27	2016-08-31
31 CALIFORNIA RESOURCES CORP		2008-07-23	2016-09-07
130 ARM HOLDINGS PLC SPONSORED ADR		2010-04-27	2016-09-22
6 BAYER A G SPONSORED ADR		2015-11-10	2016-10-04
34 BAYER A G SPONSORED ADR		2016-05-24	2016-10-04
32 BAYER A G SPONSORED ADR		2011-10-12	2016-10-04
106 TAIWAN SEMICONDUCTOR MTG CO ADR		2014-01-13	2016-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
109,998		67,372	42,626
868		25	843
7,906		5,227	2,679
8,039		1,427	6,612
337		178	159
8,665		1,533	7,132
602		602	
3,413		3,360	53
3,212		2,144	1,068
3,266		1,809	1,457

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42,626
			843
			2,679
			6,612
			159
			7,132
			53
			1,068
			1,457

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
516 MTN GROUP LTD SPONS ADR		2009-11-20	2016-10-24
9 ITAU UNIBANCO BANCO HOLDING SPONSERED ADR		2010-05-27	2016-10-26
232 KONE OYJ B UNSPON ADR		2015-02-03	2016-11-01
8303 PRUDENTIAL HIGH YIELD FUND CLASS Z FD 408		2013-08-29	2016-11-08
3000 GARMIN LTD ISIN CH0114405324 SEDOL B3Z5T14		2005-05-17	2016-11-08
28 ADVANSIX INC - W/I		2016-08-26	2016-11-09
20 ADVANSIX INC - W/I		2015-09-01	2016-11-09
666 FANUC CORP ADR		2009-04-30	2016-11-15
96 ANHEUSER BUSCH INBEV SPONSORED ADR		2012-05-03	2016-11-16
666 LAMB WESTON HOLDING INC-W/I		2012-12-07	2016-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,052		9,245	-5,193
10		11	-1
5,279		5,260	19
45,002		46,580	-1,578
144,336		78,730	65,606
435		454	-19
310		266	44
12		5	7
9,857		7,146	2,711
22		14	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,193
			-1
			19
			-1,578
			65,606
			-19
			44
			7
			2,711
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
152 TAIWAN SEMICONDUCTOR MTG CO ADR		2012-12-05	2016-11-17
366 COLOPLAST AS- UNSPON ADR ADR SEDOL B3WT4T2		2016-01-14	2016-11-28
378 COLOPLAST AS- UNSPON ADR ADR SEDOL B3WT4T2		2015-09-25	2016-11-28
25000 ANHEUSER-BUSCH INBEV FIN COGT		2016-11-09	2016-12-09
142 FANUC CORP ADR		2016-01-21	2016-12-14
93 FANUC CORP ADR		2013-10-16	2016-12-14
18 BCE INC ISIN CA05534B7604 SEDOL B188TH2		2016-07-26	2016-12-15
8 BAYER A G SPONSORED ADR		2011-10-12	2016-12-15
31 DEUTSCHE TELEKOM AG SPONSORED ADR		2014-11-19	2016-12-15
44 IMPERIAL BRANDS PLC-SPON ADR ADR SEDOL BD5K2N2		2014-11-19	2016-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,522		2,591	1,931
2,281		2,847	-566
2,356		2,666	-310
25,025		25,009	16
2,513		2,397	116
1,646		1,595	51
774		860	-86
812		491	321
511		501	10
1,934		1,990	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,931
			-566
			-310
			16
			116
			51
			-86
			321
			10
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 NIPPON TELEG & TEL CORP SPONSORED ADR		2014-11-19	2016-12-15
90 SSE PLC SPN ADR		2014-11-19	2016-12-15
76 SANOFI SPONSORED ADR		2007-01-30	2016-12-15
97 SINGAPORE TELECOMMUNICATION ADR ISIN US82929R3049		2014-11-19	2016-12-15
43 TOTAL FINA S A		2011-01-24	2016-12-15
37 UNILEVER N V N Y SHS NEW		2014-11-19	2016-12-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
249		167	82
1,690		2,249	-559
3,000		3,918	-918
2,492		2,932	-440
2,129		2,521	-392
1,471		1,470	1
			20,719

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			82
			-559
			-918
			-440
			-392
			1

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTRAL MAINE MEDICAL CENTER PO BOX 4500 LEWISTON, ME 042434500	NONE	PC	2016 GRANT DISTRIBUTION	40,000
NATURAL RESOURCES COUNCIL INC 3 WADE ST AUGUSTA, ME 043306318	NONE	PC	2016 GRANT DISTRIBUTION	20,000
AROOSTOOK MENTAL HEALTH SERVICES INC 8 WESLEYAN ST FORT FAIRFIELD, ME 04742	NONE	PC	2016 GRANT DISTRIBUTION	5,000
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND, ME 04101	NONE	PC	2016 GRANT DISTRIBUTION	20,000
ACADIA CENTER PO BOX 583 ROCKPORT, ME 048560583	NONE	PC	2016 GRANT DISTRIBUTION	35,000
DOWNEAST SALMON FEDERATION PO BOX 201 COLUMBIA FLS, ME 046230201	NONE	PC	2016 GRANT DISTRIBUTION	40,000
TREKKERS INC PO BOX 455 TENANTS HARBOR, ME 04860	NONE	PC	2016 GRANT DISTRIBUTION	10,000
MAINE CONSERVATION ALLIANCE 295 WATER ST STE 9 AUGUSTA, ME 043304643	NONE	PC	2016 GRANT DISTRIBUTION	10,000
BIGELOW LABORATORY FOR OCEAN SCIENCES 60 BIGELOW DRIVE EAST BOOTHBAY, ME 045440000	NONE	PC	2016 GRANT DISTRIBUTION	35,000
UNITED MID-COAST CHARITIES INC PO BOX 205 CAMDEN, ME 04843	NONE	PC	2016 GRANT DISTRIBUTION	10,000
COLBY-SAWYER COLLEGE 541 MAIN STREET NEW LONDON, NH 032577835	NONE	PC	2016 GRANT DISTRIBUTION	60,000
THE NEW LONDON HOSPITAL COUNTY ROAD NEW LONDON, NH 03257	NONE	PC	2016 GRANT DISTRIBUTION	50,000
FOREST SOCIETY OF MAINE 115 FRANKLIN ST BANGOR, ME 044014936	NONE	PC	2016 GRANT DISTRIBUTION	50,000
CONSERVATION LAW FOUNDAITON 62 SUMMER ST BOSTON, MA 02110	NONE	PC	2016 GRANT DISTRIBUTION	50,000
ENVIRONMENTAL DEFENSE FUND INC 257 PARK AVENUE SOUTH NEW YORK, NY 100107304	NONE	PC	2016 GRANT DISTRIBUTION	50,000
Total . . . . ▶ **3a**				1,245,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOUNDATION FOR PA WATERSHEDS 435 SIXTH AVE PITTSBURGH, PA 15219	NONE	PC	2016 GRANT DISTRIBUTION	20,000
ISLAND INSTITUTE 60 OCEAN ST ROCKLAND, ME 04841	NONE	PC	2016 GRANT DISTRIBUTION	10,000
ST MARYS HOSITAL DEVELOPMENT FOUNDATION 2635 N 7TH ST GRAND JCT, CO 815018209	NONE	PC	2016 GRANT DISTRIBUTION	15,000
AMATEUR ASTRONOMERS ASSOCIATION OF PGH PO BOX 314 GLENSHAW, PA 15116	NONE	PC	2016 GRANT DISTRIBUTION	10,000
CHILDREN'S MUSEUM OF PITTSBURGH 10 CHILDRENS WAY PITTSBURGH, PA 152125250	NONE	PC	2016 GRANT DISTRIBUTION	50,000
PITTSBURGH BOTANIC GARDEN 799 PINKERTON RUN ROAD OAKDALE, PA 15071	NONE	PC	2016 GRANT DISTRIBUTION	30,000
NINE MILE RUN WATERSHED ASSOCIATION 321 PENNWOOD AVE STE 202 PITTSBURGH, PA 15221	NONE	PC	2016 GRANT DISTRIBUTION	20,000
CONCORD HOSPITAL TRUST 250 PLEASANT ST CONCORD, NH 033017539	NONE	PC	2016 GRANT DISTRIBUTION	20,000
AMERICAN WIND WILDLIFE INSTITUTE 1110 VERMONT AVENUE NW WASHINGTON, DC 200053544	NONE	PC	2016 GRANT DISTRIBUTION	50,000
LEARNING ALLIANCE INC PO BOX 643446 VERO BEACH, FL 32964	NONE	PC	2016 GRANT DISTRIBUTION	5,000
GIFFORD YOUTH ACTIVITIES CENTER INC 4875 43RD AVENUE VERO BEACH, FL 32967	NONE	PC	2016 GRANT DISTRIBUTION	100,000
SOCIETY OF ENVIRONMENTAL JOURNALIST PO BOX 2492 JENKINTOWN, PA 19046	NONE	PC	2016 GRANT DISTRIBUTION	20,000
CITIZENS COAL COUNCIL 605 TAYLOR WAY BRIDGEVILLE, PA 15017	NONE	PC	2016 GRANT DISTRIBUTION	20,000
LIFEFLIGHT FOUNDATION PO BOX 899 CAMDEN, ME 048430899	NONE	PC	2016 GRANT DISTRIBUTION	10,000
AMERICAN NATIONAL RED CROSS OF MAINE 2401 CONGRESS STREET PORTLAND, ME 04102	NONE	PC	2016 GRANT DISTRIBUTION	10,000
Total . . . . ▶ **3a**				1,245,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DR RESTON, VA 201905362	NONE	PC	2016 GRANT DISTRIBUTION	100,000
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON, VA 222031637	NONE	PC	2016 GRANT DISTRIBUTION	40,000
JOHN C CAMPBELL FOLK SCHOOL 1 FOLK SCHOOL ROAD BRASSTOWN, NC 28902	NONE	PC	2016 GRANT DISTRIBUTION	3,000
PENLAND SCHOOL OF CRAFTS PENLAND ROAD PENLAND, NC 28765	NONE	PC	2016 GRANT DISTRIBUTION	5,000
THE COMMUNITY FOUNDATION OF WESTERN NORTH CAROLINA INC 4 VANDERBILT PARK DR STE 300 ASHEVILLE, NC 288030030	NONE	PC	2016 GRANT DISTRIBUTION	5,000
SOUTHERN RECONCILIATION MINISTRIES INC PO BOX 1147 BURNSVILLE, NC 28714	NONE	PC	2016 GRANT DISTRIBUTION	7,000
FAMILY VIOLENCE COALITION OF YANCEY INC PO BOX 602 BURNSVILLE, NC 28714	NONE	PC	2016 GRANT DISTRIBUTION	5,000
ASHEVILLE ART MUSEUM ASSOCIATION INC PO BOX 1717 ASHEVILLE, NC 288021717	NONE	PC	2016 GRANT DISTRIBUTION	2,000
CLEAN WATER FOR NORTH CAROLINA 29 1/2 PAGE AVENUE ASHEVILLE, NC 28801	NONE	PC	2016 GRANT DISTRIBUTION	3,000
YANCEY COUNTY HUMANE SOCIETY PO BOX 1016 BURNSVILLE, NC 28714	NONE	PC	2016 GRANT DISTRIBUTION	3,000
ROLLINS COLLEGE 1000 HOLL AVE PO BOX 2751 WINTER PARK, FL 32789	NONE	PC	2016 GRANT DISTRIBUTION	5,000
SAINT EDWARDS SCHOOL 1895 SAINT EDWARDS DR VERO BEACH, FL 329632659	NONE	PC	2016 GRANT DISTRIBUTION	100,000
MENTAL HEALTH ASSOCIATION IN INDIAN RIVER COUNTY INC 820 37TH PL VERO BEACH, FL 329606562	NONE	PC	2016 GRANT DISTRIBUTION	5,000
ALZHEIMER-PARKINSON ASSOCIATION OF IRC INC 2501 27TH AVE SUITE A-8 VERO BEACH, FL 32960	NONE	PC	2016 GRANT DISTRIBUTION	50,000
SOUTHERN APPALACHIAN HIGHLANDS CONSERVANCY 34 WALL STREET ASHEVILLE, NC 288012710	NONE	PC	2016 GRANT DISTRIBUTION	15,000
Total 3a . . . . ▶				1,245,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDCARE RESOURCES OF INDIAN RIVER INC 1801 24TH STREET VERO BEACH, FL 32961	NONE	PC	2016 GRANT DISTRIBUTION	15,000
SUNCOAST MENTAL HEALTH CENTER INC 2814 SOUTH US HIGHWAY 1 SUITE D-4 FORT PIERCE, FL 34982	NONE	PC	2016 GRANT DISTRIBUTION	5,000
HABITAT FOR HUMANITY INTERNATIONAL INC 322 W LAMAR ST AMERICUS, GA 317093543	NONE	PC	2016 GRANT DISTRIBUTION	2,000
Total . . . . ▶ 3a				1,245,000

TY 2016 Investments - Other Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIMITED PARTNERSHIPS	AT COST	95,310	114,650
MUTUAL FUNDS FIXED	AT COST	4,332,085	4,259,732
MUTUAL FUNDS - EUQITY	AT COST	800,000	845,750

TY 2016 Legal Fees Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	1,135			1,135

TY 2016 Other Decreases Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Description	Amount
CY ACCRUED IN CARRIED TO NY	462
WASH SALES ADJUSTMENT	176

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TY 2016 Other Expenses Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MAP MANAGEMENT FEES	3,049	3,049		0
OFFICE EXPENSES	50,000	0		50,000
ADR SERVICE FEES	2,339	2,339		0

TY 2016 Other Income Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LUFTHANSA SPONSORED ADR	35	35	
ENTERPRISE PRODS PARTNERS L P COM UNIT	6,742	0	
FUCHS PETROLUB SE-PREF ADR ADR SEDOL B70	37	37	
ROYAL DUTCH SHELL PLC ADR A	1,591	1,591	

TY 2016 Other Increases Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Description	Amount
ROUNDING ADJ FOR SALES AND TRANSACTIONS	1

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TY 2016 Taxes Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	5,079	5,079		0
FEDERAL ESTIMATES - PRINCIPAL	21,920	0		0