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EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

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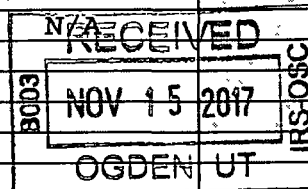
For calendar year 2016 or tax year beginning

, and ending

Name of foundation SUNIL & NITA WADHWANI FAMILY FOUNDATION		A Employer identification number 25-1873137
Number and street (or P.O. box number if mail is not delivered to street address) 1370 WASHINGTON PIKE	Room/suite 400	B Telephone number 412-787-9211
City or town, state or province, country, and ZIP or foreign postal code BRIDGEVILLE, PA 15017-2839		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 35,450,109.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		3,000.			
2 Check ☒ if the foundation is not required to attach Sch. B interest on savings and temporary cash investments		1.	1.		STATEMENT 1
3 Dividends and interest from securities		353,081.	353,081.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		10,025.			
b Gross sales price for all assets on line 6a	30,955,250.				
7 Capital gain net income (from Part IV, line 2)			10,025.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		366,107.	363,107.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages		217,185.	0.	0.	217,185.
15 Pension plans, employee benefits					
16a Legal fees STMT 3		45,362.	0.	0.	45,362.
b Accounting fees					
c Other professional fees STMT 4		115,625.	75,362.	0.	40,263.
17 Interest					
18 Taxes STMT 5		8,282.	8,267.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		4,215.	785.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		390,669.	84,414.	0.	302,810.
25 Contributions, gifts, grants paid		3,033,950.			3,033,950.
26 Total expenses and disbursements. Add lines 24 and 25		3,424,619.	84,414.	0.	3,336,760.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-3,058,512.			
b Net investment income (if negative, enter -0-)			278,693.		
c Adjusted net income (if negative, enter -0-)				0.	

SCANNED NOV 15 2017



Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	5,930,600.	12,129,520.	12,130,720.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	15,978,887.	3,802,426.	3,939,684.
	c Investments - corporate bonds STMT 8	16,468,191.	19,418,428.	19,379,705.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	38,377,678.	35,350,374.	35,450,109.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	5,930,600.	5,930,600.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	32,447,078.	29,419,774.	
	30 Total net assets or fund balances	38,377,678.	35,350,374.	
Net Assets or Fund Balances	31 Total liabilities and net assets/fund balances	38,377,678.	35,350,374.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,377,678.
2 Enter amount from Part I, line 27a	2	-3,058,512.
3 Other increases not included in line 2 (itemize) ▶ BOOK TO TAX TIMING DIFFERENCES	3	31,208.
4 Add lines 1, 2, and 3	4	35,350,374.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,350,374.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	30,955,250.	30,945,225.	10,025.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			10,025.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,025.
---	---	---	---------

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	-28,452.
---	-----	---	----------

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank:

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,751,385.	30,531,897.	.057362
2014	902,681.	7,935,212.	.113756
2013	408,511.	8,346,494.	.048944
2012	152,850.	8,078,414.	.018921
2011	287,000.	8,237,311.	.034841

2 Total of line 1, column (d)	2	.273824
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054765
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	41,468,250.
5 Multiply line 4 by line 3	5	2,271,009.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,787.
7 Add lines 5 and 6	7	2,273,796.
8 Enter qualifying distributions from Part XII, line 4	8	3,336,760.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,787.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,787.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,787.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	43,390.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	43,390.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	40,603.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 40,603. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► ROMA BOSE Telephone no ► 412-787-9203 Located at ► 1370 WASHINGTON PIKE, SUITE 400, BRIDGEVILLE, PA ZIP+4 ► 15017-2839		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► ☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUNIL WADHWANI 1370 WASHINGTON PIKE, SUITE 400 BRIDGEVILLE, PA 15017-2839	PRESIDENT, TREASURER &		DIR	
	2.00	0.	0.	0.
NITA WADHWANI 1370 WASHINGTON PIKE, SUITE 400 BRIDGEVILLE, PA 15017-2839	SECRETARY & DIRECTOR			
	2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROMA BOSE - 1370 WASHINGTON PIKE STE 400, BRIDGEVILLE, PA 15017	MANAGER			
	40.00	190,000.	0.	0.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	29,347,710.
b	Average of monthly cash balances	1b	12,752,036.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	42,099,746.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	42,099,746.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	631,496.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	41,468,250.
6	Minimum investment return. Enter 5% of line 5	6	2,073,413.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,073,413.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,787.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,787.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,070,626.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,070,626.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,070,626.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,336,760.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,336,760.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,787.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,333,973.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,070,626.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014	565,275.			
e From 2015	481,323.			
f Total of lines 3a through e	1,046,598.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 3,336,760.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				2,070,626.
e Remaining amount distributed out of corpus	1,266,134.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,312,732.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	2,312,732.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	565,275.			
d Excess from 2015	481,323.			
e Excess from 2016	1,266,134.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities**

- 3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SUNIL WADHWANI

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 9

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	BOARD OF DIRECTORS	PC	TRUSTEE ANNUAL FUND	7,500.
FRIENDS OF SEVA MANDIR 200 METROPLEX DRIVE, SUITE 300 EDISON, NJ 08817	NONE	PC	GENERAL SUPPORT	1,050.
INDIASPORA 3450 SACRAMENTO STREET #615 SAN FRANCISCO, CA 94118	NONE	PC	FOUNDERS CIRCLE	25,000.
LORDS EDUCATION & HEALTH SOCIETY R-489, NEW RAJINDER NAGAR NEW DELHI, INDIA 110060	FOUNDER OF INITIATIVE	PC	WISH	2,950,000.
THE PITTSBURGH CULTURAL TRUST 803 LIBERTY AVENUE PITTSBURGH, PA 15222	NONE	PC	TRUSTEE CAMPAIGN	15,000.
Total			SEE CONTINUATION SHEET(S)	3a 3,033,950.
b Approved for future payment				
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	BOARD OF TRUSTEES	501(C)(3)	GENERAL SUPPORT	172,500.
Total				3b 172,500.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PNC/HAWTHORN #8493750	P		12/31/16
b	PNC/HAWTHORN #8493750	P		12/31/16
c	PTP'S GAIN	P		03/29/16
d	PTP'S BASIS ADJUSTMENT AT SALE	P		03/29/16
e	MORGAN STANLEY #045373	P		12/31/16
f	PTP'S LOSS	P		03/29/16
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 717,159.		751,962.	-34,803.
b 21,404,115.		21,403,775.	340.
c 5.			5.
d 96.			96.
e 8,795,697.		8,789,442.	6,255.
f		46.	-46.
g 38,178.			38,178.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** -34,803.
b			340.
c			5.
d			** 96.
e			** 6,255.
f			-46.
g			38,178.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	10,025.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	-28,452.

25-1873137

3 Grants and Contributions Paid During the Year (Continuation)

623631
04-01-16

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PNC/HAWTHORN #3929166	1.	1.	0.
TOTAL TO PART I, LINE 3	1.	1.	0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ARES MANAGEMENT, LP	24.	0.	24.	24.	0.
MORGAN STANLEY #045373	14,149.	0.	14,149.	14,149.	0.
PNC/HAWTHORN #3929166	2.	0.	2.	2.	0.
PNC/HAWTHORN #8493750	377,084.	38,178.	338,906.	338,906.	0.
TO PART I, LINE 4	391,259.	38,178.	353,081.	353,081.	0.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	45,362.	0.	0.	45,362.
TO FM 990-PF, PG 1, LN 16A	45,362.	0.	0.	45,362.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PNC/HAWTHORN TRUST FEES	69,908.	69,908.	0.	0.
CONSULTING FEES	40,263.	0.	0.	40,263.
MORGAN STANLEY FEES	5,454.	5,454.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	115,625.	75,362.	0.	40,263.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	8,267.	8,267.	0.	0.
COMMONWEALTH OF PA	15.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	8,282.	8,267.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	785.	785.	0.	0.
FEDERAL 2014 TAX NOTICE - PENALTIES	190.	0.	0.	0.
SERVICE TAX	3,240.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	4,215.	785.	0.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HAWTHORN - EQUITIES	1,379,162.	1,498,012.
HAWTHORN - ALT INVESTMENTS	2,423,264.	2,441,672.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,802,426.	3,939,684.

FORM 990-PF	CORPORATE BONDS	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HAWTHORN - FIXED INCOME	9,438,063.	9,386,281.
MORGAN STANLEY - FIXED INCOME	9,980,365.	9,993,424.
TOTAL TO FORM 990-PF, PART II, LINE 10C	19,418,428.	19,379,705.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SUNIL WADHWANI
1370 WASHINGTON PIKE
BRIDGEVILLE, PA 15017-2839

TELEPHONE NUMBER

412-787-9203

FORM AND CONTENT OF APPLICATIONS

LETTER

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, TESTING FOR PUBLIC SAFETY, LITERARY AND EDUCATIONAL PURPOSES, FOSTERING AMATEUR SPORTS COMPETITION, AND THE PREVENTION OF CRUELTY TO CHILDREN AND ANIMALS, ALL WITHIN THE MEANING OF SECTION 501 (C) (3) OF THE INTERNAL REVENUE CODE OF 1986.



S & NWDH FAM FDN CUST
CUSTODY STATEMENT

January 1, 2016 - December 30, 2016 Page 9 of 14

Detail

Portfolio

Cash and cash equivalents
Mutual funds - money market

Description	Market value last period		Current market value		% of total portfolio	Avg tax cost per unit	Total tax cost	Unrealized gain/loss	Current yield	Estimated annual income
	Quantity	Price	Current price per unit	Current						
PNC GOVT MONEY MARKET FUND #405	\$0.33 2,990		\$2.99 \$1,000		0.30 %		\$2.99 \$1.00		0.34 %	\$0.01
PNC GOVT MONEY MARKET FUND #405	1,000.04 1,000.040		1,000.04 1,000		99.71 %		1,000.04 1.00		0.36 %	3.53
Total mutual funds - money market			\$1,003.03		100.00 %		\$1,003.03		0.35 %	\$3.54
Total cash and cash equivalents			\$1,003.03		100.00 %		\$1,003.03		0.35 %	\$3.54
Total portfolio			\$1,003.03		100.00 %		\$1,003.03		0.35 %	\$3.54



Morgan Stanley

PRIVATE WEALTH MANAGEMENT

Portfolio Management Active Assets Account

S & N WADHWANI FAMILY FOUNDATION
C/O SUNIL WADHWANI
Nickname: CASH MANAGEMENT

Account Detail

Security Description	Trade Date	Face Value	Chg Unit Cost Adj Unit Cost	Unit Price	Chg Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
SOUTH CAROLINA ELECTRIC & GAS CP Matures 01/09/2017, CLSIP 83700EN95 Moody 2 S&P A2, Issued 04/14/16, Asset Class: FI & Pref	11/21/16	400,000,000	99.871 99.871	99.978	399,482.78 399,482.78	399,912.00	429.22 ST	—	—
DUKE ENERGY CORP NEW CP Matures 01/10/2017, CLSIP 26244HN47 Moody 2 S&P A2, Issued 04/15/16, Asset Class: FI & Pref	11/1/16	400,000,000	99.839 99.839	99.976	399,354.44 399,354.44	399,904.00	549.56 ST	—	—
PUBLIC SERVICE GROUP CP Matures 01/12/2017, CLSIP 74457LN6 Moody 2 S&P A2, Issued 04/17/16, Asset Class: FI & Pref	12/6/16	400,000,000	99.904 99.904	99.971	399,616.00 399,616.00	399,884.00	268.00 ST	—	—
CHEKPARINERS LP CP Matures 01/13/2017, CLSIP 68268IND8 Moody 2 S&P A2, Issued 04/18/16, Asset Class: FI & Pref	12/6/16	400,000,000	99.887 99.887	99.969	399,547.78 399,547.78	399,876.00	328.22 ST	—	—
DOMINION RES INC CP Matures 01/17/2017, CLSIP 2574P0NB Moody 2 S&P A2, Issued 04/22/16, Asset Class: FI & Pref	11/28/16	400,000,000	99.865 99.865	99.958	399,461.11 399,461.11	399,832.00	370.89 ST	—	—
EN FINANCE CP Matures 01/17/2017, CLSIP 26876NH2 Moody 2 S&P A2, Issued 04/22/16, Asset Class: FI & Pref	10/14/16	400,000,000	99.734 99.734	99.963	398,936.89 398,936.89	399,852.00	915.11 ST	—	—
AMCOR LTD CP Matures 01/19/2017, CLSIP 0234EANK3 Moody 2 S&P A2, Issued 11/17/16, Asset Class: FI & Pref	11/17/16	400,000,000	99.845 99.845	99.952	399,380.00 399,380.00	399,808.00	428.00 ST	—	—
SCHLUMBERGER HOLDINGS CORP CP Matures 01/19/2017, CLSIP 80686DN6 Moody 1 S&P A1+, Issued 04/24/16, Asset Class: FI & Pref	11/2/16	400,000,000	99.808 99.808	99.955	399,230.00 399,230.00	399,820.00	590.00 ST	—	—
ELSON INC CP Matures 01/20/2017, CLSIP 28103ANL4 Moody 2 S&P A2, Issued 04/25/16, Asset Class: FI & Pref	11/21/16	400,000,000	99.845 99.845	99.949	399,380.00 399,380.00	399,796.00	416.00 ST	—	—
NSOURCE FINANCE CORP CP Matures 01/20/2017, CLSIP 65475LN2 Moody 2 S&P A2, Issued 04/25/16, Asset Class: FI & Pref	9/26/16	400,000,000	99.713 99.713	99.949	398,852.89 398,852.89	399,796.00	943.11 ST	—	—
CENTERPOINT ENERGY INC CP Matures 01/23/2017, CLSIP 15182ZNP5 Moody 2 S&P A2, Issued 12/12/16, Asset Class: FI & Pref	12/12/16	400,000,000	99.886 99.886	99.941	399,544.44 399,544.44	399,764.00	219.56 ST	—	—
ROCKWELL COLLINS INC CP Matures 01/23/2017, CLSIP 77434INP8 Moody 2 S&P A2, Issued 04/28/16, Asset Class: FI & Pref	12/12/16	400,000,000	99.884 99.884	99.941	399,535.33 399,535.33	399,764.00	228.67 ST	—	—

Morgan Stanley

PRIVATE WEALTH MANAGEMENT

Portfolio Management Active Assets Account

S & N WADHWANI FAMILY FOUNDATION
C/O SUNIL WADHWANI
Nickname: CASH MANAGEMENT

Account Detail

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
VDAPHNE ARTS/CPLC/CP									
Matures 01/23/2017; CLSIP 92857INP5	9/23/16	400,000,000	99.669	99.941	398,677.78				
Moody 2 S&P A2, Issued 04/29/16, Asset Class: FI & Pref			99.669		398,677.78	399,764.00	1,086.22 ST		
MARRIOTT INC/CP									
Matures 01/27/2017; CLSIP 57163T1Z	11/29/16	400,000,000	99.815	99.928	399,258.89				
Moody 2 S&P A2, Issued 05/02/16, Asset Class: FI & Pref			99.815		399,258.89	399,712.00	453.11 ST		
SUNCOR ENERGY INC/CP									
Matures 02/02/2017; CLSIP 86723AP22	11/11/16	400,000,000	99.721	99.910	398,884.00				
Moody 2 S&P A2, Issued 05/08/16, Asset Class: FI & Pref			99.721		398,884.00	399,640.00	756.00 ST		
CVS HEALTH CORPORATION/CP									
Matures 02/07/2017; CLSIP 12665JF78	12/6/16	400,000,000	99.828	99.894	399,311.11				
Moody 2 S&P A2, Issued 05/13/16, Asset Class: FI & Pref			99.828		399,311.11	399,576.00	264.89 ST		
BELL CO/CP									
Matures 02/13/2017; CLSIP 07787PPD4	11/17/16	400,000,000	99.734	99.888	398,936.66				
Moody 2 S&P A1, Issued 05/19/16, Asset Class: FI & Pref			99.734		398,936.66	399,552.00	615.34 ST		
FMC TECHNOLOGIES INC/CP									
Matures 02/14/2017; CLSIP 3024XPED	11/21/16	400,000,000	99.755	99.872	399,020.00				
Moody 2 S&P A2, Issued 11/21/16, Asset Class: FI & Pref			99.755		399,020.00	399,488.00	468.00 ST		
LYONEL BASEL INV/LC/CP									
Matures 02/21/2017; CLSIP 55208RPM0	11/9/16	400,000,000	99.757	99.850	399,027.22				
Moody 2 S&P A2, Issued 11/09/16, Asset Class: FI & Pref			99.757		399,027.22	399,400.00	372.78 ST		
TOTAMIDOR CREDIT CORP/CP									
Matures 02/21/2017; CLSIP 89233CPM2	10/6/16	400,000,000	99.644	99.892	398,574.00				
Moody 1 S&P A1+, Issued 10/06/16, Asset Class: FI & Pref			99.644		398,574.00	399,568.00	994.00 ST		
TELSTRACOR LTD/CP									
Matures 02/28/2017; CLSIP 8796APL0	11/30/16	400,000,000	99.778	99.851	399,110.00				
Moody 1 S&P A1, Issued 11/30/16, Asset Class: FI & Pref			99.778		399,110.00	399,404.00	294.00 ST		
MICROSOFT CORP/CP									
Matures 03/07/2017; CLSIP 59515MQ73	10/26/16	400,000,000	99.709	99.871	398,835.55				
Moody 1 S&P A1+, Issued 10/11/16, Asset Class: FI & Pref			99.709		398,835.55	399,484.00	648.45 ST		
CORPORATE FIXED INCOME									
Percentage of Holdings		Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
99.66%		10,000,000,000			\$9,980,364.75	\$9,993,424.00	\$13,059.25 ST	\$0.00	
					\$9,980,364.75			\$0.00	

Morgan Stanley

PRIVATE WEALTH MANAGEMENT

Portfolio Management Active Assets Account

S & N WADHWANI FAMILY FOUNDATION
C/O SUNIL WADHWANI
Nickname: CASH MANAGEMENT

Account Detail

Percentage
of HoldingsTotal Cost Market Value Unrealized
Gain/(Loss) Est. Ann. Income Client
Yield %

TOTAL MARKET VALUE

\$9,980,364.75 \$10,028,009.70 \$13,059.25 ST \$7.00 —
\$0.00

TOTAL VALUE (includes accrued interest)

\$10,028,009.70

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, HRP, MMFs	\$34,585.70	—	—	—	—	—	—
Corporate Fixed Income	—	—	\$9,993,424.00	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$34,585.70	—	\$9,993,424.00	—	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	12/1	Redemption	THERMO FISHE CP 00000 16DE01	REDEMPTION OF MATURED BOND CUSIP 88355LM14	400,000 000	\$100 0000	\$400,000 00
12/6	12/7	Sold	NATIONAL GR C/P 00000 16DE13	ACTED AS AGENT	400,000 000	99 9867	399,946 66
12/6	12/7	Sold	CLOROX COMP C/P 00000 16DE19	ACTED AS AGENT	400,000 000	99 9783	399,913 33
12/6	12/7	Sold	SPECTRA ENE C/P 00000 16DE19	ACTED AS AGENT	400,000 000	99 9727	399,890 66
12/6	12/7	Bought	AMEREN CORP C/P 00000 17JA05	ACTED AS AGENT YIELD PRICE 0 9700PCT	400,000 000	99 9219	(399,687 44)
12/6	12/7	Bought	AUTOZONE INC CP 00000 17JA10	ACTED AS AGENT	400,000 000	99 9178	(399,671 33)
12/6	12/7	Bought	PUBLIC SVC CP 00000 17JA12	ACTED AS AGENT YIELD PRICE 0 9600PCT	400,000 000	99 9040	(399,616 00)
12/6	12/7	Bought	ONEOK PARTN C/P 00000 17JA13	ACTED AS AGENT	400,000 000	99 8869	(399,547 78)
12/6	12/7	Bought	CVS HEALTH C/P 00000 17FB07	ACTED AS AGENT	400,000 000	99 8278	(399,311 11)
12/7	12/7	Redemption	SEMPRA ENER C/P 00000 16DE07	REDEMPTION OF MATURED BOND CUSIP 81685LM77	400,000 000	100 0000	400,000 00
12/7	12/7	Redemption	ONEOK LP C/P 00000 16DE07	REDEMPTION OF MATURED BOND CUSIP 68268TM72	400,000 000	100 0000	400,000 00
12/12	12/13	Sold	AUTOZONE INC CP 00000 17JA10	ACTED AS AGENT	400,000 000	99 9339	399,735 55
12/12	12/13	Sold	MONDELEZ INT CP 00000 17JA13	ACTED AS AGENT	400,000 000	99 9242	399,696 89



PNC Family Wealth

S & N WDH FAMILY FDN IMA CONS INVESTMENT MANAGEMENT STATEMENT

January 1, 2016 - December 30, 2016

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Detail

Portfolio - income

Cash and cash equivalents Mutual funds - money market

Description	Market value last period	Current market value	% of total portfolio	Avg original value	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405	Quantity \$46,125.44 481 010	Current price per unit \$481.01 \$1,0000	0.01 %		\$481.01 \$1.00		0.36 %	\$1.70	\$4.61
PNC GOVT MONEY MARKET FUND #405		1.0000	0.01 %						0.01
CAMBIAR INVESTORS									
PNC GOVT MONEY MARKET FUND #405		1.0000	0.01 %						0.02
DANA INVESTMENT ADVISORS									
PNC GOVT MONEY MARKET FUND #405		1.0000	0.01 %						0.01
12-10-821-8493750									
PNC GOVT MONEY MARKET FUND #405		1.0000	0.01 %						0.01
12-10-824-8493750									
Total mutual funds - money market		\$481.01	0.00 %		\$481.01		0.35 %	\$1.70	\$4.66
Total cash and cash equivalents		\$481.01	0.00 %		\$481.01		0.35 %	\$1.70	\$4.66





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Portfolio - principal

Cash and cash equivalents
Mutual funds - money market

Description (Symbol)	Market value last period		Current market value		% of total portfolio	Total original value at PNC		Unrealized gain/loss at PNC per unit	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit	Current price per unit	Current price per unit		Avg original value	at PNC per unit				
BLACKROCK TEMP INV FD (TMPXX)	4,000,406.730	\$4,001,406.85	\$1,000.00		15.84 %	\$4,000,406.73	\$1,000.00	\$1,200.12	0.39 %	\$15,548.78	\$2,511.78
FD#24											
PNC											
PNC GOVT. MONEY MARKET FUND #405	5,737,221.94	7,946,235.81	1,000.00		31.44 %	7,946,235.81	1,000.00		0.36 %	28,064.04	2,073.36
PNC											
PNC GOVT. MONEY MARKET FUND #405	34,571.54		1,000.00		0.01 %						2.14
CAMBIAR INVESTORS											
PNC GOVT. MONEY MARKET FUND #405	8,512.90		1,000.00		0.01 %						1.23
DANA INVESTMENT ADVISORS											
PNC GOVT. MONEY MARKET FUND #405	20,053.02		1,000.00		0.01 %						2.29
HARDING LOEVNER											
PNC GOVT. MONEY MARKET FUND #405	6,785.91		1,000.00		0.01 %						1.93
12-10-821-8493750											
PNC GOVT. MONEY MARKET FUND #405	2,087.91		1,000.00		0.01 %						0.88
12-10-824-8493750											





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PNC Family Wealth

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Detail

Portfolio - principal

Cash and cash equivalents
Mutual funds - money market

Description (Symbol)	Market value last period		Current market value		% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit	Quantity	price per unit							
Total mutual funds - money market		\$11,947,842.66			47.27 %	\$11,946,642.54		\$1,200.12	0.37 %	\$43,612.82	\$4,593.61
Total cash and cash equivalents		\$11,947,842.66			47.27 %	\$11,946,642.54		\$1,200.12	0.37 %	\$43,612.82	\$4,593.61

Fixed income
Etf - fixed income

Description (Symbol)	Market value last period		Current market value		% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit	Quantity	price per unit							
ISHARES SHORT MATURITY BOND (NEAR) ETF	139,850	\$6,995,297.00		\$7,017,673.00	27.77 %	\$7,009,282.00		\$8,391.00	1.07 %	\$74,819.75	
PNC				\$50.1800		\$50.12					

Mutual funds - fixed income

Description (Symbol)	Market value last period		Current market value		% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit	Quantity	price per unit							
BLACKROCK FDS (BHYX) HIGH YIELD BD PORTFOLIO	262,453.99	\$281,226.99		\$7,6400	1.12 %	\$300,000.00		\$18,773.01	5.59 %	\$15,717.79	\$1,347.67
FUND 318 INSTITUTIONAL CLASS	36,809.816					\$8.15					
PNC											
BLACKROCK STRATEGIC INCOME (BSIX) OPPORTUNITIES PORTFOLIO	1,670,609.89	1,680,869.53		9,8300	6.66 %	1,728,781.09		-47,911.56	2.91 %	48,904.24	4,208.50
FUND #446	170,993.848					10.11					
PNC											





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PNC Family Wealth

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Mutual funds - fixed income

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
RIDGEWORTH FDS SEIX FLTG RATE (SAMBX)	46,511.628		406,511.63	8.7400	1.61 %	400,000.00	8.60	6,511.63	4.80 %	19,488.37	1,494.46
INCOME FD CL I											
FD #5203											
PNC											
Total mutual funds - fixed income			\$2,368,608.15		9.37 %	\$2,428,781.09		-\$60,172.94	3.55 %	\$84,110.40	\$7,050.63
Total fixed income			\$9,386,281.15		37.14 %	\$9,438,063.09		-\$51,781.94	1.69 %	\$158,930.15	\$7,050.63

Equities

Stocks Consumer discretionary

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
HELEN OF TROY LIMITED (HELE)											
SEDOL 2419530	58		\$4,898.10	\$84.4500	0.02 %	\$5,301.39	\$91.40	-\$403.29			
ISIN BMG4388N1065											
PNC											
IHS MARKIT LTD (INFO)			3,116.08	35.4100	0.02 %	2,454.65	27.89	661.43			
SEDOL BDOQ558	88										
ISIN BMG475671050											
PNC											
LIBERTY LILAC GROUP CLAS A (LILA)			2,020.32	21.9600	0.01 %	2,650.44	28.81	-630.12			
SEDOL BTOM71 ISIN GB00BTOM714	92										
PNC											
ROYAL CARIBBEAN CRUISES LTD (RCL)			5,742.80	82.0400	0.03 %	6,211.56	88.74	-468.76	2.35 %	134.40	33.60
SEDOL 2754907 ISIN LR0008862868	70										
PNC											
AMERICAN AXLE & MFG HOLDINGS (AXL)			1,717.70	19.3000	0.01 %	1,768.25	19.87	-50.55	0.42 %	7.12	
PNC	89										





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Detail

Equities Stocks Consumer discretionary

Description (Symbol)	Market value last period	Quantity	Current market value price per unit	% of total portfolio	Avg. original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
SEDOL BD6KTC9	191	5,922.91	31.0100	0.03 %	6,313.69	33.06	-390.78	2.72 %	160.63	
ISIN US0727433056										
PNC										
BEAZER HOMES USA INC (BZH)	216	2,872.80	13.3000	0.02 %	3,091.27	14.31	-218.47			
PNC										
BRIGHT HORIZONS FAMILY SOLUT (BFAM)	43	3,010.86	70.0200	0.02 %	2,788.10	64.84	222.76			
PNC										
CBS CORP CLASS B WI (CBS)	103	6,552.86	63.6200	0.03 %	6,560.86	63.70	-8.00	1.14 %	74.16	
PNC										
CECO ENVIRONMENTAL CORP (CECE)	130	1,813.50	13.9500	0.01 %	1,816.28	13.97	-2.78	1.90 %	34.32	
PNC										
CAMPING WORLD HOLDINGS INC-A (CWH)	61	1,987.99	32.5900	0.01 %	1,831.09	30.02	156.90	0.99 %	19.52	
PNC										
CHURCHILL DOWNS INC (CHDN)	26	3,911.70	150.4500	0.02 %	3,521.85	135.66	389.85	0.88 %	34.32	
PNC										
CHURCHILL DOWNS INC (CHDN)	3,395.76	150.4500		0.01 %						34.32
12-10-824-8493750										
COMCAST CORPORATION CL A (CMCSA)	82	5,662.10	69.0500	0.03 %	4,867.06	59.35	795.04	1.60 %	90.20	22.55
PNC										
D R HORTON INC (DHI)	169	4,618.77	27.3300	0.02 %	5,302.10	31.37	-683.33	1.47 %	67.60	
PNC										
DORMAN PRODUCTS INC (DORM)	123	8,986.38	73.0600	0.04 %	6,082.24	49.45	2,904.14			
PNC										
DOUGLAS DYNAMICS INC (PLOW)	62	2,086.30	33.6500	0.01 %	1,367.58	22.06	718.72	2.80 %	58.28	
PNC										
GOLDEN ENTERTAINMENT INC (GDEN)	99	1,198.89	12.1100	0.01 %	1,329.34	13.43	-130.45			
PNC										
GRUPO TELEVIS SA DE CV (TV)	108	2,256.12	20.8900	0.01 %	2,888.91	26.75	-632.79	0.41 %	9.07	
SPONSORED ADR REPSTG ORD PARTN										
CTF										
PNC										





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Equities

Stocks
Consumer discretionary

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
Quantity	price per unit							
KONINKLLIKE PHILLIPS NV (PHG) PNC	219	6,694.83 30,5700	0.03 %	5,689.50 25.98	1,005.33	2.51 %	167.75	
LEAR CORP- W/I (LEA) PNC	46	6,089.02 132,3700	0.03 %	5,750.11 125.00	338.91	0.91 %	55.20	
LITHIA MTRS INC (LAD) CLA	103	9,973.49 96,8300	0.04 %	10,443.04 101.39	- 469.55	1.04 %	103.00	
LOWES COMPANIES INC (LOW) PNC	66	4,693.92 71,1200	0.02 %	4,505.19 68.26	188.73	1.97 %	92.40	
MADISON SQUARE GARDEN CO/-WI (MSG) PNC	33	5,659.83 171,5100	0.03 %	5,552.28 168.25	107.55			
MONRO MUFFLER BRAKE INC (MNRO) PNC	22	1,258.40 57,2000	0.01 %	1,382.05 62.82	- 123.65	1.19 %	14.96	
NASPERS LTD-N SHS SPON (NPSNY) ADR PNC	492	7,193.04 14,6200	0.03 %	5,946.03 12.09	1,247.01	0.19 %	13.62	
PANASONIC CORPORATION LTD (PCREY) ADR PNC	583	5,923.28 10,1600	0.03 %	6,621.19 11.36	- 697.91	1.87 %	110.19	
PAPA JOHN'S INTL INC (PZZA) PNC	22	1,882.76 85,5900	0.01 %	1,519.54 69.07	363.22	0.94 %	17.60	
PARTY CITY HOLDCO INC (PRTY) PNC	93	1,320.60 14,2000	0.01 %	1,565.20 16.83	- 244.60			
POOL CORP (POOL) PNC	11	1,147.74 104,3400	0.01 %	806.69 73.34	341.05	1.19 %	13.64	
STANDARD MOTOR PRODUCTS INC (SMP) PNC	66	3,512.52 53,2200	0.02 %	2,436.97 36.92	1,075.55	1.28 %	44.88	
VISTA OUTDOOR INC-WI (VSTO) PNC	33	1,217.70 36,9000	0.01 %	1,410.88 42.75	- 193.18			





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Equities

Stocks

Consumer discretionary

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Avg. original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VIVENDI S.A. (VIVHY)		337	6,386.15	18 9500	0.03 %	7,954.27	23.60	-1,568.12	8.60 %	548.97	
UNSPONSORED ADR											
PNC											
WOLVERINE WORLD WIDE INC (WWW)		89	1,953.55	21 9500	0.01 %	2,319.16	26.06	-365.61	1.10 %	21.36	5.34
PNC											

Total consumer discretionary \$133,283.01 0.53 % \$130,048.76 \$3,234.25 1.42 % \$1,893.19 \$95.81

Consumer staples

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Avg. original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
BUNGE LIMITED (BO)		95	\$6,862.80	\$72 2400	0.03 %	\$7,076.24	\$74.49	-\$213.44	2.33 %	\$159.60	
PNC											
COSAN LIMITED (CZZ)		269	2,020.19	7 5100	0.01 %	2,278.95	8.47	-258.76	1.21 %	24.44	
CL A SHS											
ISIN BMG253431073 SEDOL B23Z3F1											
PNC											
FRESH DEL MONTE PRODUCE INC (FDP)		46	2,788.98	60 6300	0.02 %	1,918.75	41.71	870.23	0.99 %	27.60	
PNC											
ADECOAGRO SA (AGRO)		165	1,712.70	10 3800	0.01 %	1,749.92	10.61	-37.22			
SEDOL B65BNQ6											
ISIN LU0584671464											
PNC											
ALTRIA GROUP INC (MO)		79	5,341.98	67 6200	0.03 %	5,062.89	64.09	279.09	3.61 %	192.76	48.19
PNC											
BOSTON BEER INC (SAM)		10	1,698.50	169 8500	0.01 %	2,181.28	218.13	-482.78			
CL A											
PNC											
CK HUTCHISON HOLDING UNSP ADR (CKHUY)		574	6,514.90	11 3500	0.03 %	7,385.01	12.87	-870.11	2.56 %	166.46	
ADR SEDOL BWH65X2											
ISIN US12562Y1001											
PNC											





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Detail

Consumer staples

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
CARLSBERG AS (CABGY)	326	5,626.76	0.03 %	17,2600	5,946.34	- 319.58	1.03 %	57.70	
SPON ADR					18.24				
PNC									
CARREFOUR SA (CRRFY)	1,289	6,238.76	0.03 %	4,8400	6,364.69	- 125.93	2.24 %	139.21	
PNC					4.94				
DR PEPPER SNAPPLE GROUP INC (DPS)	56	5,077.52	0.03 %	90,6700	4,323.58	753.94	2.34 %	118.72	
PNC					77.21				
DR PEPPER SNAPPLE GROUP INC (DPS)	15,471.20	90,6700	0.01 %						29.68
DANA INVESTMENT ADVISORS									
GNC HOLDINGS INC (GNC)	76	839.04	0.01 %	11,0400	1,600.67	- 761.63	7.25 %	60.80	
PNC					21.06				
GLAUKOS CORP (GKOS)	47	1,612.10	0.01 %	34,3000	1,601.68	10.42			
PNC					34.08				
INGREDION INC (INGR)	41	5,123.36	0.03 %	124,9600	5,544.80	- 421.44	1.61 %	82.00	20.50
PNC					135.24				
KIMBERLY-CLARK CORP (KMB)	39	4,450.68	0.02 %	114,1200	4,178.87	273.81	3.23 %	143.52	
PNC					107.10				
KIMBERLY-CLARK CORP (KMB)	15,530.60	114,1200	0.01 %						35.88
DANA INVESTMENT ADVISORS									
L OREAL CO (LRLCY)	234	8,519.94	0.04 %	36,4100	8,011.82	508.12	1.51 %	128.23	
UNSPONSORED ADR					34.24				
PNC									
LVMH MOET HENNESSY LOUIS (LVMUY)	101	3,837.50	0.02 %	37,9950	3,483.96	353.54	1.51 %	57.67	
ADR					34.49				
PNC									
LVMH MOET HENNESSY LOUIS (LVMUY)	9,511.49	37,9950	0.01 %						22.00
ADR									
HARDING LOEVNER									
NESTLE S A (NSRGY)	162	11,621.88	0.05 %	71,7400	12,074.00	- 452.12	2.72 %	315.41	
SPONSORED ADR REPSTG REG SH					74.53				
PNC									
SPARTANNASH COMPANY (SPTN)	91	3,598.14	0.02 %	39,5400	2,557.82	1,040.32	1.52 %	54.60	
PNC					28.11				





PNC Family Wealth

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Consumer staples

Consumer staples					
Description [Symbol]	Market value last period	Current market value	% of total portfolio	Total original value at PNC	Accrued income
	Quantity	Current price per unit		Avg. original value at PNC per unit	Estimated annual income
SUNTORY BEVERAGE & FOOD-UA (STBFV)	253	5,257.34	0.03 %	4,930.06	56.93
ADR SEDOL BF7BYQ4 ISIN US86803T1043		20 7800		19.49	
PNC					
SYSCO CORP (SYN)		6,699.77	0.03 %	6,011.62	159.72
PNC	121	55 3700		49.68	
TREEHOUSE FOODS INC WHEN ISS (THS)		1,443.80	0.01 %	1,623.93	- 180.13
PNC	20	72 1900		81.20	
UNILEVER PLC W/I (UL)		4,924.70	0.02 %	4,969.72	167.22
PNC SPONSORED ADR	121	40 7000		41.07	

Total consumer staples

Total consumer staples		\$101,811.34	0.40 %	\$100,874.60	\$936.74	2.08 %	\$2,112.59	\$156.25
<i>Energy</i>								
Description (Symbol)	Market value last period	Current	% of total portfolio	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
		price per unit		Avg. original value at PNC per unit				
CHEVRON CORPORATION (CVX)	Quantity	\$6,120.40	0.03 %	\$4,289.69	\$1,830.71	3.68 %	\$224.64	
PNC	52	\$117,7000		\$82.49				
ENLINK MIDSTREAM LLC (ENLC)		1,257.30	0.01 %	1,109.25	148.05	5.36 %	67.32	
PNC	66	19,0500		16.81				
EXXON MOBIL CORP (XOM)		5,235.08	0.03 %	4,446.65	788.43	3.33 %	174.00	
PNC	58	90,2600		76.67				
ROYAL DUTCH SHELL PLC (RDSB)		11,246.18	0.05 %	8,893.28	2,352.90	6.49 %	729.44	
ADR B	194	57,9700		45.84				
PNC								
ROYAL DUTCH SHELL PLC (RDSA)		9,734.02	0.04 %	8,587.98	1,146.04	5.88 %	572.08	
ADR A	179	54,3800		47.98				
PNC								
SASOL LTD (SSL)		2,973.36	0.02 %	3,268.18	-294.82	2.99 %	88.71	
PNC	104	28,5900		31.42				
SPONSORED ADR								
PNC								





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Energy

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
SCHLUMBERGER LTD (SLB)	Quantity	price per unit							
SEDOL 2779201	282	23,673.90	0.10 %		21,705.03	1,968.87	2.39 %	564.00	
ISIN AN8068571086		83.9500			76.97				
PNC									
SCHLUMBERGER LTD (SLB)			0.01 %						59.50
SEDOL 2779201		83.9500							
ISIN AN8068571086									
CAMBIAR INVESTORS									
SCHLUMBERGER LTD (SLB)	10,392.75		0.01 %						34.00
SEDOL 2779201		83.9500							
ISIN AN8068571086									
DANA INVESTMENT ADVISORS									
SCHLUMBERGER LTD (SLB)	19,739.25		0.01 %						47.50
SEDOL 2779201		83.9500							
ISIN AN8068571086									
HARDING LOEWNER									
SPECTRA ENERGY CORP (SE)		6,368.95	0.03 %		4,954.93	1,414.02	3.95 %	251.10	
PNC	155	41,090.00			31.97				
SUNCOKE ENERGY INC (SXC)		2,585.52	0.02 %		2,325.00	260.52	5.30 %	136.80	
PNC	228	11,340.00			10.20				
TENARIS SA (TS)		4,249.49	0.02 %		3,127.38	1,122.11	2.41 %	102.34	
ADR	119	35,710.00			26.28				
PNC									
TOTAL S A (TOT)		10,092.06	0.04 %		9,757.23	334.83	4.48 %	452.03	129.85
PNC	198	50,970.00			49.28				
Total energy		\$83,536.26	0.33 %		\$72,466.60	\$11,071.66	4.03 %	\$3,362.46	\$270.85





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Financial

Description (Symbol)	Market value last period	Current market value		% of total portfolio	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
		Quantity	price per unit		Avg original value	at PNC per unit			
ARGO GROUP INTERNATIONAL (AGII)		62	\$4,085.80	0.02 %	\$3,093.45	\$992.35	1.34 %	\$54.56	
ISIN BMG046481072 SEDOL 2499543			\$65.9000		\$49.89				
PNC									
ESSENT GROUP LTD (ESNT)		55	1,780.35	0.01 %	1,440.45	339.90			
SEDOL BFWGXR8			32.3700		26.19				
ISIN BMG3198U1027									
PNC									
ONEBEACON INSURANCE GROUP LT (OB)		193	3,097.65	0.02 %	2,545.67	551.98	5.24 %	162.12	
SEDOL B1GN3G6			16.0500		13.19				
ISIN BMG677421098									
PNC									
CHUBB LTD (CB)		45	5,945.40	0.03 %	5,724.02	221.38	2.09 %	124.20	31.05
SEDOL B3BOMF6			132.1200		127.20				
ISIN CH0044328745									
PNC									
AIA GROUP LTD (AAGIY)		494	11,100.18	0.05 %	11,484.03	-383.85	1.53 %	168.95	
SPONSORED ADR			22.4700		23.25				
PNC									
AEGION NV -NY REG SHR (AEG)		1,468	8,118.04	0.04 %	9,364.51	-1,246.47	4.51 %	365.53	
ADR			5.5300		6.38				
PNC									
ALLEGIANCE BANCSHARES INC (ABTX)		47	1,699.05	0.01 %	1,213.23	485.82			
PNC			36.1500		25.81				
ALLIANZ SE SPON ADR (AZSEY)		518	8,536.64	0.04 %	8,449.52	87.12	3.72 %	317.02	
PNC			16.4800		16.31				
AMERISAFE INC (AMSF)		77	4,800.95	0.02 %	4,719.34	81.61	1.16 %	55.44	
PNC			62.3500		61.29				
AMTRUST FINANCIAL SERVICES (AFSI)		372	10,185.36	0.05 %	11,643.32	-1,457.96	2.49 %	252.96	63.24
PNC			27.3800		31.30				
AXA (AXAHY)		427	10,760.40	0.05 %	9,612.40	1,148.00	4.04 %	434.26	
SPONSORED ADR			25.2000		22.51				
PNC									



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Financial

Financial											
Description (Symbol)	Market value last period	Quantity	Current market value		% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
			Current	price per unit							
BANCO BILBAO VIZCAYA (BBVA)	2,309		15,631.93	6.7700	0.07 %	19,199.50	8.32	-3,567.57	4.85 %	757.35	
ARGENTARIA S.A. SPONSORED ADR											
PNC											
BANCO MACRO S.A. (BMA)	14		900.90	64.3500	0.01 %	665.90	47.56	235.00	1.53 %	13.76	
SPONSORED ADR											
ISIN US05961W1053 SEDOL B0Y62M49											
PNC											
BANK OF AMERICA CORP (BAC)	262		5,790.20	22.1000	0.03 %	4,103.36	15.66	1,686.84	1.36 %	78.60	
PNC											
BANK OF THE OZARKS (OZRK)	237		12,463.83	52.5900	0.05 %	10,487.22	44.25	1,976.61	1.30 %	161.16	
PNC											
BANNER CORPORATION (BANR)	29		1,618.49	55.8100	0.01 %	1,339.65	46.19	278.84	1.65 %	26.68	
PNC											
BROADRIDGE FINANCIAL SOL (BR)	90		5,967.00	66.3000	0.03 %	4,785.07	53.17	1,181.93	2.00 %	118.80	
W/I											
PNC											
BROADRIDGE FINANCIAL SOL (BR)	12,250.44		66.3000		0.01 %						29.70
W/I											
DANA INVESTMENT ADVISORS											
CAPITAL BANK FINANCIAL (CBF)	83		3,257.75	39.2500	0.02 %	2,577.93	31.06	679.82	1.08 %	34.86	
CL A											
PNC											
CENTERSTATE BANKS INC (CSFL)	133		3,347.61	25.1700	0.02 %	2,129.30	16.01	1,218.31	0.64 %	21.28	
PNC											
CITIZENS FINANCIAL GROUP (CFG)	171		6,092.73	35.6300	0.03 %	5,548.91	32.45	543.82	1.35 %	82.08	
PNC											
CORELOGIC INC (CLGX)	83		3,056.89	36.8300	0.02 %	3,106.32	37.43	-49.43	2.39 %	73.04	
PNC											
CREDIT ACCEPTANCE CORPORATION (CACC)	40		8,700.40	217.5100	0.04 %	8,660.59	39.81				
PNC											
DBS GROUP HLDGS LTD (DBSDY)	249		11,888.51	47.7450	0.05 %	12,286.33	49.34	-397.82	3.51 %	416.58	
SPONSORED ADR											
PNC											





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Financial

Description (Symbol)	Quantity	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
DNB ASA-SPONSOR ADR (DNHBY)	43	6,398.40	0.03 %	148,800	6,137.77	260.63	3.14 %	200.72	
ADR SEDOL B59G6H2					142.74				
ISIN U52328E1064									
PNC									
DIAMOND HILL INVESTMENT GRP (DHIL)		3,366.08	0.02 %	2,960.90	405.18	3.91 %		128.00	
PNC	16	210,380		185.06					
DISCOVER FINANCIAL W/ (DFS)		5,983.47	0.03 %	4,807.91	1,175.56	1.67 %		99.60	
PNC	83	72,090		57.93					
EAGLE BANCORP INC (EGBN)		11,336.70	0.05 %	8,290.86	3,045.84	0.36 %		40.55	
PNC	186	60,950		44.57					
EMPLOYERS HOLDINGS INC (EIG)		3,999.60	0.02 %	2,224.64	1,774.96	0.91 %		36.36	
PNC	101	39,600		22.03					
EURONET WORLDWIDE INC (EETF)		1,665.89	0.01 %	1,603.68	62.21				
PNC	23	72,430		69.73					
FBL FINL GROUP INC (FFG)		2,422.65	0.01 %	1,747.78	674.87	2.15 %		52.08	
PNC	31	78,150		56.38					
FIDELITY SOUTHERN CORP (LION)		2,414.34	0.01 %	2,050.36	363.98	2.03 %		48.96	
PNC	102	23,670		20.10					
FIRST BUSEY CORP (BUSE)		3,416.58	0.02 %	2,631.86	784.72	2.21 %		75.48	
PNC	111	30,780		23.71					
FIRST FINANCIAL BANCORP (FFBC)		3,015.70	0.02 %	1,661.50	1,354.20	2.25 %		67.84	
PNC	106	28,450		15.67					
FIRST FINANCIAL BANCORP (FFBC)		28,450	0.01 %					16.96	
12-10-824-9493750		2,070.75		1,426.60	644.15	1.60 %		33.00	
FIRST MERCHANTS CORP (FRME)		37,650	0.01 %	25.94					
PNC	55								
FIRST MIDWEST BANCORP INC DEL (FMBI)		1,564.26	0.01 %	1,100.13	464.13	1.43 %		22.32	5.58
PNC	62	25,230		17.74					
HSBC HLDGS PLC (HSBC)		13,862.10	0.06 %	13,760.29	101.81	6.35 %		879.75	
SPONSORED ADR NEW		40,180		39.88					
PNC	345								
HERITAGE INSURANCE HOLDINGS (HRTG)		2,319.16	0.01 %	2,341.88	-22.72	1.54 %		35.52	
PNC	148	15,670		15.82					



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Financial

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
HERITAGE INSURANCE HOLDINGS (HRTG)				0.01 %						8.88
12-10-824-8493750			15,6700							
HOME BANCSHARES INC (HOMB)		253	7,025.81	0.03 %		4,968.92	2,056.89	1.30 %	91.08	
PNC			27,7700			19.64				
HOPE BANCORP INC (HOPE)		117	2,561.13	0.02 %		1,816.67	744.46	2.20 %	56.16	
PNC			21,9900			15.53				
ITAU UNIBANCO BANCO HOLDING (ITUB)			5,211.96	0.03 %		3,491.24	1,720.72	0.52 %	26.78	62.92
SPONSERED ADR		507	10,2800			6.89				
PNC										
JPMORGAN CHASE & CO (JPM)			6,040.30	0.03 %		4,023.43	2,016.87	2.23 %	134.40	
PNC		70	86,2900			57.48				
JULIS BAER GROUP LTD (JBAXY)			7,053.45	0.03 %		7,724.03	-670.58	2.33 %	164.18	
UN SPON ADR		797	8,8500			9.69				
PNC										
LEGACYTEXAS FINANCIAL GROUP (LTXB)			9,559.32	0.04 %		6,560.15	2,999.17	1.40 %	133.20	
PNC		222	43,0600			29.55				
MERCANTILE BANK CORP (MBWM)			3,732.30	0.02 %		2,001.47	1,730.83	1.81 %	67.32	
PNC		99	37,7000			20.22				
META FINANCIAL GROUP INC (CASH)			2,881.20	0.02 %		1,947.69	933.51	0.51 %	14.56	
PNC		28	102,9000			69.56				3.64
META FINANCIAL GROUP INC (CASH)			102,9000							
12-10-824-8493750			5,872.75	0.03 %		5,668.06	204.69	1.90 %	111.20	
MORGAN STANLEY (MS)		139	42,2500			40.78				
PNC			8,621.55	0.04 %		7,271.47	1,350.08	0.65 %	55.20	13.80
NATIONAL GENERAL HLDGS (NGHC)		345	24,9900			21.08				
PNC			11,886.40	0.05 %		15,349.20	-3,462.80			
PRA GROUP INC (PRAA)		304	39,1000			50.49				
PNC			5,931.42	0.03 %		5,925.97	5.45	2.70 %	159.60	
PRUDENTIAL FINANCIAL, INC. (PRU)		57	104,0600			103.96				
PNC			8,017.51	0.04 %		7,043.37	974.14	1.27 %	101.60	
RLI CORP (RLI)		127	63,1300			55.46				
PNC										





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Financial

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg. original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
SOCIETE GENERALE FRANCE (SCGLY)	Quantity	Current price per unit	0.03 %	6,464.36	46.30	3.64 %	236.69	
SPONSORED ADR	663	9.8200		9.75				
PNC								
SOUTH STATE CORP (SSB)		5,244.00	0.03 %	4,545.60	698.40	1.47 %	76.80	
PNC	60	87.4000		75.76				
TURKIYE GARANTI BANKASI (TKGBV)		2,576.97	0.02 %	3,157.93	-580.96	1.71 %	43.93	
ADR	1,233	2.0900		2.56				
PNC								
WSES FINANCIAL CORP (WSFS)		2,502.90	0.01 %	1,525.72	977.18	0.61 %	15.12	
PNC	54	46.3500		28.25				
WAGWORKS INC (WAGE)		2,537.50	0.02 %	2,098.11	439.39			
PNC	35	72.5000		59.95				
Total financial		\$316,428.87	1.25 %	\$288,509.57	\$27,919.30	2.19 %	\$6,927.23	\$235.77

Health care

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg. original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ICON PLC (ICLR)	Quantity	Current price per unit	0.02 %	\$3,729.83	-120.23			
SEDOL B946471	48	\$75.2000		\$77.70				
ISIN IE0005711209								
PNC								
STERIS PLC (STE)		5,525.98	0.03 %	6,338.33	-812.35	1.67 %	91.84	
SEDOL BVWBC02	82	67.3900		77.30				
ISIN GB00BWBBC028								
PNC								
ABBVIE INC (ABBV)		5,573.18	0.03 %	5,637.33	-64.15	4.09 %	227.84	
PNC	89	62.6200		63.34				
AMGEN INC (AMGN)		5,263.56	0.03 %	5,627.49	-363.93	3.15 %	165.60	
PNC	36	146.2100		156.32				
ASPEN PHARMACARE HL-UNSP ADR (APNHV)		2,898.61	0.02 %	3,091.66	-193.05	0.65 %	18.59	
ADR SEDOL B3L9ZP6	143	20.2700		21.62				
ISIN US04530Y1044								
PNC								





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Health care

Description (Symbol)	Market value last period	Current market value		% of total portfolio	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
		Quantity	price per unit					
ASTELLAS PHARMA INC-UNSP ADR (ALPMY)	387	5,363.82	13.8600	0.03 %	-1,013.82	1.58 %	84.37	
UNSP ADR								
ISIN US04623U1025 SEDOL B3DTS22								
PNC								
ASTRAZENECA PLC (AZN)	193	5,272.76	27.3200	0.03 %	-1,182.78	5.02 %	264.41	
SPONS ADR								
PNC								
CSL LTD-SPONSORED ADR (CSLLY)	142	5,150.34	36.2700	0.03 %	522.02	1.58 %	81.08	
ADR SEDOL BN5TD14								
ISIN US12637N2045								
PNC								
CANTEL MEDICAL CORP (CMD)	55	4,331.25	78.7500	0.02 %	1,531.44	0.18 %	7.70	
PNC								
ENANTA PHARMACEUTICALS INC (ENTA)	54	1,809.00	33.5000	0.01 %	44.84			
PNC								
EXELIXIS INC (EXEL)	141	2,102.31	14.9100	0.01 %	559.84			
PNC								
FLAMEL TECHNOLOGIES SA (***)	94	976.66	10.3900	0.01 %	-40.96			
EXCH 01/03/17								
SEE 05337M104								
PNC								
FRESENIUS MEDICAL CARE AG & CO (FMS)	320	13,507.20	42.2100	0.06 %	30.80	0.75 %	100.16	
SPONSORED ADR								
PNC								
GRIFOLS S A (GRFS)	176	2,828.32	16.0700	0.02 %	-271.07	1.67 %	47.17	
ADR								
PNC								
ICU MED INC (ICUI)	14	2,062.90	147.3500	0.01 %	-15.89			
PNC								
INC RESEARCH HOLDINGS INC-A (INCR)	25	1,315.00	52.6000	0.01 %	275.22			
PNC								
IRONWOOD PHARMACEUTICALS INC (IRWD)	177	2,706.33	15.2900	0.02 %	622.19			
PNC								
JOHNSON & JOHNSON (JNJ)	47	5,414.87	115.2100	0.03 %	915.39	2.78 %	150.40	
PNC								





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Health care

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
MACROGENICS INC (MGNX)	44	899.36	0.01 %	1,367.34	1,367.34	- 467.98			
PNC		20.4400		31.08	31.08				
MEDIDATA SOLUTIONS INC (MDSO)	36	1,788.12	0.01 %	1,939.62	1,939.62	- 151.50			
PNC		49.6700		53.88	53.88				
MOLINA HEALTHCARE INC (MOH)	23	1,247.98	0.01 %	1,850.64	1,850.64	- 602.66			
PNC		54.2400		80.46	80.46				
NATUS MEDICAL INC (BABY)	46	1,600.80	0.01 %	1,810.25	1,810.25	- 209.45			
PNC		34.8000		39.35	39.35				
NEOGEN CORP (NEOG)	155	10,230.00	0.05 %	7,848.32	7,848.32	2,381.68			
PNC		66.0000		50.63	50.63				
OTSUKA HOLDINGS LTD (OTSKY)	272	5,962.24	0.03 %	4,687.30	4,687.30	1,274.94	1.55 %	91.94	
ADR SEDOL B76XH88		21.9200		17.23	17.23				
ISIN US6891641010									
PNC									
PAREXEL INTERNATIONAL CORP (PRXL)	103	6,769.16	0.03 %	6,947.16	6,947.16	- 178.00			
PNC		65.7200		67.45	67.45				
PFIZER INC (PFE)	157	5,099.36	0.03 %	5,305.97	5,305.97	- 206.61	3.95 %	200.96	
PNC		32.4800		33.80	33.80				
ROCHE HOLDING LTD SPONSORED ADR (RHHBY)	713	20,341.89	0.09 %	24,265.10	24,265.10	- 3,923.21	2.94 %	597.49	
PNC		28.5300		34.03	34.03				
ROYAL DSM N V (RDSMY)	373	5,602.46	0.03 %	5,015.94	5,015.94	586.52	2.48 %	138.76	
SPONSORED ADR		15.0200		13.45	13.45				
PNC									
SHIRE PLC (SHPG)	19	3,237.22	0.02 %	3,330.95	3,330.95	- 93.73	0.48 %	15.28	
SPONSORED ADR		170.3800		175.31	175.31				
PNC									
SMITH & NEPHEW P/C (SNN)	185	5,564.80	0.03 %	6,671.05	6,671.05	- 1,106.25	2.02 %	112.11	
SPON ADR		30.0800		36.06	36.06				
PNC									
SONOVA HLDG AG (SONVY)	134	3,230.74	0.02 %	3,595.06	3,595.06	- 364.32	1.04 %	33.37	
ADR		24.1100		26.83	26.83				
PNC									
STRYKER CORP (SYK)	48	5,750.88	0.03 %	5,356.08	5,356.08	394.80	1.42 %	81.60	20.40
PNC		119.8100		111.59	111.59				



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Health care

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
SUPERUS PHARMACEUTICALS INC (SUPN)	Quantity	price per unit						
PNC	115	2,903.75	0.02 %	2,670.59	233.16			
SYSMEX CORP (SSMXY)		25,2500		23.22				
UNSPONSORED ADR	204	5,916.00	0.03 %	5,757.52	158.48	0.67 %	39.37	
PNC		29.0000		28.22				
U S PHYSICAL THERAPY (USPH)		3,299.40		2,147.85	1,151.55	0.97 %	31.96	
PNC	47	70.2000	0.02 %	45.70				
UNITEDHEALTH GROUP INC (UNH)		6,241.56		5,249.94	991.62	1.57 %	97.50	
PNC	39	160.0400	0.03 %	134.61				
VANDA PHARMACEUTICALS INC (VNDI)		3,413.30		2,770.87	642.43			
PNC	214	15.9500	0.02 %	12.95				
VASCULAR SOLUTIONS, INC (VASC)		3,141.60		2,688.39	453.21			
PNC	56	56.1000	0.02 %	48.01				
Total health care		\$177,952.31	0.70 %	\$176,564.12	\$1,388.19	1.51 %	\$2,679.50	\$20.40

Industrials

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
AERCAP HOLDINGS NV (AER)	Quantity	price per unit						
ISIN NL0000687663 SEDOL B1HKKD3	162	\$6,740.82	0.03 %	\$5,943.49	\$777.33			
PNC		\$41.6100		\$36.81				
ADECO GROUP SA (AHEXY)		7,574.80		6,233.96	1,340.84	1.42 %	106.95	
PNC	232	32.6500	0.03 %	26.87				
ALASKA AIR GROUP INC (ALK)		8,695.54		7,684.08	1,009.46	1.24 %	107.80	
PNC	98	88.7300	0.04 %	78.43				
ALFA LAVAL AB-UNSPON ADR (ALFV)		3,401.06		3,219.82	181.24	2.40 %	81.37	
ADR SEDOL B3F2F06	206	16.5100	0.02 %	15.63				
ISIN US0153931011								
PNC								
AMERICAN WOODMARK CORP (AMWD)		1,655.50		1,525.37	130.13	0.48 %	7.92	
PNC	22	75.2500	0.01 %	69.34				
ASTRONICS CORP (ATRO)		6,328.08		7,029.75	-701.67	0.03 %	1.87	
PNC	187	33.8400	0.03 %	37.59				



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Industrials

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg. original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ATLAS COPCO AB (ATLKY)	182	5,558.28	0.03 %	4,858.06	26.69	700.22	1.71 %	94.64	
SPONSORED ADR NEW REPSTG COM		30,540.00							
PNC									
BBA AVIATION PLC-UNSPON ADR (BBAVY)	106	1,874.08	0.01 %	1,582.17	14.93	291.91	3.09 %	57.88	
ADR SEDOL B2RB0W1		17,680.00							
ISIN US05530H1005									
PNC									
BOEING CO (BA)		7,161.28	0.03 %	6,424.56		736.72	3.65 %	261.28	
PNC	46	155,680.00		139.66					
CANADIAN NATL RAILWAY CO (CNI)		7,414.00	0.03 %	6,219.40		1,194.60	1.66 %	122.76	
SEDOL 2210959	110	67,400.00		56.54					
ISIN CA1363751027									
PNC									
CANADIAN NATL RAILWAY CO (CNI)	18,384.52	67,400.00	0.01 %						91.73
SEDOL 2210959									
ISIN CA1363751027									
HARDING LOEVNER									
CAVCO INDUSTRIES INC (CVCO)		3,394.90	0.02 %	2,914.43		480.47			
PNC	34	99,850.00		85.72					
COLFAX CORP (CFX)		2,407.31	0.01 %	2,683.21		-275.90			
PNC	67	35,930.00		40.05					
COMFORT SYS USA INC (FIX)		2,930.40	0.02 %	2,499.56		430.84	0.85 %	24.64	
PNC	88	33,300.00		28.40					
CURTISS WRIGHT CORP (CW)		2,754.08	0.02 %	1,990.84		863.24	0.53 %	14.56	
PNC	28	98,360.00		67.53					
DEVRY EDUCATION GROUP INC (DV)		1,809.60	0.01 %	1,280.53		529.07	1.16 %	20.88	
PNC	58	31,200.00		22.08					
DREW INDUSTRIES INC (***)		11,529.25	0.05 %	6,398.72		5,130.53	1.86 %	214.00	
NAME CHG 12/30/16	107	107,750.00		59.80					
SEE 50189K103									
PNC									
EMCOR GROUP INC (EME)		3,750.28	0.02 %	2,562.29		1,187.99	0.46 %	16.96	
PNC	53	70,760.00		48.35					
ENERSYS (ENS)		12,339.80	0.05 %	9,349.10		2,990.70	0.90 %	110.60	
PNC	158	78,100.00		59.17					





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Industrials

Description (Symbol)	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
EXLSERVICE HOLDINGS INC (EXLS)	24	1,210.56	0.01 %	1,254.29	1,254.29	-43.73			
PNC		50.4400		52.26	52.26				
GP STRATEGIES CORP (GPX)	98	2,802.80	0.02 %	2,837.86	2,837.86	-35.06	5.81 %	162.68	
PNC		28.6000		28.96	28.96				
GENESEE & WYO INC (GWR)	34	2,359.94	0.01 %	2,269.22	2,269.22	90.72			
CL A		69.4100		66.74	66.74				
PNC									
HAWAIIAN HOLDINGS INC (HA)	51	2,907.00	0.02 %	2,438.38	2,438.38	468.62			
PNC		57.0000		47.81	47.81				
HEICO CORP NEW (HEI)	93	7,174.95	0.03 %	5,005.61	5,005.61	2,169.34	0.24 %	16.74	8.37
PNC		77.1500		53.82	53.82				
HONEYWELL INTL INC (HON)	57	6,603.45	0.03 %	6,447.70	6,447.70	155.75	2.30 %	151.62	
PNC		115.8500		113.12	113.12				
INSPIRITY INC (NSPI)	39	2,767.05	0.02 %	2,800.15	2,800.15	-33.10	1.41 %	39.00	
PNC		70.9500		71.80	71.80				
KLX INC-WHEN ISSUED (KLXI)	84	3,789.24	0.02 %	3,418.95	3,418.95	370.29			
PNC		45.1100		40.70	40.70				
KUBOTA CORP (KUBTY)	46	3,270.60	0.02 %	3,417.00	3,417.00	-146.40	1.48 %	48.39	0.46
SPON ADR		71.1000		74.28	74.28				
PNC									
LITTELFUSE INC (LFUS)	22	3,338.94	0.02 %	2,620.96	2,620.96	717.98	0.87 %	29.04	
PNC		151.7700		119.13	119.13				
MONOTARO CO LTD - UNSP ADR (MONOY)	130	2,632.50	0.02 %	2,960.55	2,960.55	-328.05	0.47 %	12.27	
ADR SEDOL BCFGY60		20.2500		22.77	22.77				
ISIN US61022V1070									
PNC									
MOOG INC (MOGA)	45	2,955.60	0.02 %	2,506.48	2,506.48	449.12	0.11 %	3.15	
CLASS A		65.6800		55.70	55.70				
PNC									
MULTI-COLOR CORP (LABL)	35	2,716.00	0.02 %	2,266.71	2,266.71	449.29	0.26 %	7.00	
PNC		77.6000		64.76	64.76				
NACCO INDUSTRIES INC (NC)	35	3,169.25	0.02 %	1,587.93	1,587.93	1,581.32	1.19 %	37.45	
CLASS A		90.5500		45.37	45.37				
PNC									



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Industrials

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg. original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ON ASSIGNMENT INC (ASGN)			6,624.00	0.03 %	5,449.32	1,174.68			
PNC	150		44,1400		36.33				
OWENS CORNING INC (OC)			6,290.32	0.03 %	6,524.29	-233.97	1.56 %	97.60	24.40
PNC	122		51,5600		53.48				
PARK24 CO LTD-SPN ADR (PKCOY)			3,759.95	0.02 %	3,809.10	-49.15	1.37 %	51.29	
ADR SEDOL BK3NZ99			27,0500		27.40				
ISIN US7014911025									
PNC									
PATRICK INDUSTRIES INC (PATK)			5,798.80	0.03 %	3,487.99	2,110.81	0.21 %	12.16	
PNC	76		76,3000		48.53				
SANMINA CORP (SANM)			3,481.75	0.02 %	2,249.95	1,231.80			
PNC	95		36,6500		23.48				
STANLEY BLACK & DECKER INC (SWK)			6,078.57	0.03 %	5,434.03	644.54	2.03 %	122.96	
PNC	53		114,6900		102.53				
TRINET GROUP INC (TNET)			3,023.16	0.02 %	2,662.79	360.37			
PNC	118		25,6200		22.57				
UNIVERSAL FST PRODS INC (UFPI)			3,985.02	0.02 %	2,345.26	1,639.76	0.89 %	35.10	
PNC	39		102,1800		60.13				
VERITIV CORP-W/I (VRTV)			2,365.00	0.01 %	2,242.01	122.99			
PNC	44		53,7500		50.95				
WORLD FUEL SVCS CORP (INT)			2,570.96	0.02 %	2,404.15	166.81	0.53 %	13.44	
PNC	56		45,9100		42.93				
WORLD FUEL SVCS CORP (INT)				0.01 %					3.36
12-10-821-8493750	3,692.16		45,9100						
Total Industrials			\$188,994.47	0.75 %	\$158,962.02	\$30,032.45	1.10 %	\$2,084.00	\$128.32

Information technology

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg. original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
CHECK POINT SOFTWARE TECH (CHKP)			\$5,827.74	0.03 %	\$5,327.16	\$500.58			
SEDOL 2181334			\$84,4600		\$77.21				
ISIN IL0010824113	69								
PNC									



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Information technology

Description (Symbol)	Market value last period	Quantity	Current market value price per unit	% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
MELLANOX TECHNOLOGIES LTD (MLNX)			3,067.50	0.02 %	3,046.12	21.38			
ISIN IL0011017329 SEDOL B196S6	75		40,9000		40.61				
PNC									
BROADCOM LTD (AVGO)			6,540.49	0.03 %	5,721.15	819.34	2.31 %	150.96	
SEDOL BD9WQP4	37		176.7700		154.63				
PNC									
ACTIVISION BLIZZARD INC (ATVI)			5,452.61	0.03 %	6,156.75	-704.14	0.73 %	39.26	
PNC		151	36.1100		40.77				
ALPHABET INC/CA-CL A (GOOGL)			6,339.60	0.03 %	5,062.69	1,276.91			
PNC		8	792.4500		632.84				
ANIXTER INTL INC (AXE)			2,836.75	0.02 %	2,254.61	582.14			
PNC		35	81.0500		64.42				
APPLE INC (AAPL)			6,949.20	0.03 %	7,139.18	-189.98	1.97 %	136.80	
PNC		60	115.8200		118.99				
ASPEN TECHNOLOGY INC (AZPN)			1,804.44	0.01 %	1,299.22	505.22			
PNC		33	54.6800		39.37				
ATOS ORIGIN SA-UNSP ADR (AEXAV)			6,363.14	0.03 %	4,682.02	1,681.12	0.81 %	51.34	
ADR SEDOL B3DLYG4	302		21.0700		15.50				
ISIN US04962A1051									
PNC									
BAIDU INC (BIDU)			11,673.11	0.05 %	11,639.21	33.90			
PNC		71	164.4100		163.93				
BARRACUDA NETWORKS INC (CUDA)			1,328.66	0.01 %	1,236.82	91.84			
PNC		62	21.4300		19.95				
BOINGO WIRELESS INC (WIFI)			1,438.42	0.01 %	1,469.92	-31.50			
PNC		118	12.1900		12.46				
CDW CORP/DE (CDW)			7,396.78	0.03 %	5,330.85	2,065.93	1.23 %	90.88	
PNC		142	52.0900		37.54				
CACI INTL INC (CACI)			2,361.70	0.01 %	1,463.19	898.51			
CL A	19		124.3000		77.01				
PNC									
DASSAULT SYSTEMS SA (DASTY)			12,070.88	0.05 %	11,343.87	727.01	0.48 %	57.67	
SPON ADR	158		76.3980		71.80				
PNC									



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Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
			price per unit							
DELL TECHNOLOGIES INC. (DVMT)		122	6,706.34	0.03 %	5,890.94	815.40				
PNC			54,9700		48.29					
EVERTEC INC (EVTG)		219	3,887.25	0.02 %	3,029.06	858.19		2.26 %	87.60	
SEDOL B7KY3Z6			17,7500		13.83					
ISIN PR30040P1032										
PNC										
EXPONENT INC (EXPO)			3,618.00	0.02 %	3,218.73	399.27		1.20 %	43.20	
PNC		60	60,3000		53.65					
FACEBOOK INC A (FB)		49	5,637.45	0.03 %	6,118.13	-480.68				
PNC			115,0500		124.86					
FANUC CORP ADR (FANUY)		668	11,122.20	0.05 %	11,182.07	-59.87		1.58 %	175.02	
PNC			16,6500		16.74					
GEMALTO NV (GTOUY)			5,785.00	0.03 %	6,212.68	-427.68		0.71 %	40.60	
SPONSORED ADR		200	28,9250		31.06					
PNC										
JUNIPER NETWORKS INC (JNPR)		221	6,245.46	0.03 %	5,924.57	320.89		1.42 %	88.40	
PNC			28,2600		26.81					
KULICKE & SOFFA (KLIC)		173	2,759.35	0.02 %	2,313.57	445.78				
INDUSTRIES INC			15,9500		13.37					
PNC										
LAM RESEARCH CORP (LRGX)		71	7,506.83	0.03 %	5,112.69	2,394.14		1.71 %	127.80	
PNC		15,407.48	105,7300	0.01 %	72.01					31.95
LAM RESEARCH CORP (LRGX)			105,7300							
DANA INVESTMENT ADVISORS			3,088.80	0.02 %	2,191.09	897.71		1.15 %	35.36	
MKS INSTRS INC (MKSI)		52	59,4000		42.14					
PNC			8,749.95	0.04 %	10,124.35	-1,374.40				
MANHATTAN ASSOCS INC (MANH)		165	53,0300		61.36					
PNC			7,146.10	0.03 %	5,129.51	2,016.59		2.52 %	179.40	
MICROSOFT CORP (MSFT)		115	62,1400		44.60					
PNC			4,155.69	0.02 %	2,544.15	1,611.54		0.12 %	4.62	
MICROSEMI CORP (MSCC)		77	53,9700		33.04					
PNC										





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Description [Symbol]	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
MURATA MFG CO LTD ADR (MRAAY)	Quantity	price per unit						
UNSPON ADR	214	7,139.04	0.03 %	6,351.91	787.13	1.16 %	82.18	
PNC		33,360.00		29.68				
NOVANTA INC (NOVT)		2,520.00	0.01 %	1,509.43	1,010.57			
SEDOL BDBS5H8	120	21,000.00		12.58				
ISIN CA67000B1040								
PNC								
OSI SYS INC (OSIS)		3,653.76	0.02 %	3,527.51	126.25			
PNC	48	76,120.00		73.49				
OPEN TEXT CORP (OTEX)		3,770.41	0.02 %	2,556.11	1,214.30	1.49 %	56.12	
SEDOL 2655657	61	61,810.00		41.90				
ISIN CA6837151068								
PNC								
PLANTRONICS INC NEW (PLT)		2,902.28	0.02 %	2,490.30	411.98	1.10 %	31.80	
PNC	53	54,760.00		46.99				
QUALYS INC (QLYS)		1,297.65	0.01 %	1,282.82	14.83			
PNC	41	31,650.00		31.29				
SAP SE SPONSORED ADR (SAP)		10,630.89	0.05 %	8,379.29	2,251.60	1.08 %	114.51	
PNC	123	86,430.00		68.12				
SECOM LTD (SOMLY)		5,913.00	0.03 %	5,191.39	721.61	1.19 %	69.98	
UNSPONSORED ADR	324	18,250.00		16.02				
PNC								
SILICON LABORATORIES INC (SLAB)		3,380.00	0.02 %	2,263.45	1,116.55			
PNC	52	65,000.00		43.53				
TAIWAN SEMICONDUCTOR MFG CO (TSM)		11,126.25	0.05 %	8,034.41	3,091.84	2.62 %	291.02	
ADR	387	28,750.00		20.76				
PNC								
TAKE TWO INTERACTIVE SOFTWARE (TTWO)		2,858.82	0.02 %	2,142.16	716.66			
CDT	58	49,290.00		36.93				
PNC								
TECH DATA CORP (TECD)		1,100.84	0.01 %	946.52	154.32			
PNC	13	84,680.00		72.81				





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Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Avg. original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
TYLER TECHNOLOGIES INC (TYL)											
PNC		41		5,853.57	0.03 %	5,867.32	5,867.32	- 13.75			
WEX INC (WEX)				142,7700		143.11					
PNC		54		6,026.40	0.03 %	5,548.16	5,548.16	478.24			
PNC				111,6000		102.74					
Total information technology			\$226,032.35		0.89 %	\$198,255.08	\$198,255.08	\$27,777.27	0.87 %	\$1,954.52	\$31.95

Materials

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Avg. original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
AEP INDUSTRIES INC (AEP)				\$4,179.60		\$2,074.94					
PNC		36		\$116.1000	0.02 %	\$57.64	\$2,074.94	\$2,104.66	0.87 %	\$36.00	
AIR LIQUIDE (AIQY)				7,606.08		8,262.25		- 656.17	1.96 %	148.77	
ADR		342		22,2400	0.04 %	24.16					
PNC											
ALBEMARLE CORP (ALB)				4,734.40		4,533.75		200.65	1.42 %	67.10	
PNC		55		86,0800	0.02 %	82.43					
ALBEMARLE CORP (ALB)				86.0800	0.01 %						16.78
DANA INVESTMENT ADVISORS											
BHP BILLITON PLC ADR (BBL)				7,424.56		6,102.56		1,322.00	1.91 %	141.60	
PNC		236		31,4600	0.03 %	25.86					
BALCHEM CORP CL B (BCPC)				6,629.68		4,455.81		2,173.87	0.46 %	30.02	
PNC		79		83,9200	0.03 %	56.40					
BAYER AG (BAYRY)				13,035.00		13,112.26		- 77.26	2.01 %	261.38	
SPONSORED ADR				104,2800	0.06 %	104.90					
PNC		125									
FUCHS PETROLUB SE - PREF ADR (FUPBY)				3,692.38		3,935.96		- 243.58	1.45 %	53.30	
ADR SEDOL B70BT82				10,4600	0.02 %	11.15					
ISIN US35752Q1067		353									
PNC											
INNOPHOS HOLDINGS INC (IPHS)				4,233.06		2,349.91		1,883.15	3.68 %	155.52	
PNC		81		52,2600	0.02 %	29.01					





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Materials

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
SPONSORED ADR	273		16,5700	0.02 %	4,565.74	16.72	-42.13	1.65 %	74.26	
PNC										
MINERALS TECHNOLOGIES INC (MTX)			2,781.00	0.02 %	1,992.76	788.24	0.26 %	7.20		
PNC	36		77,2500		55.35					
NEENAH PAPER, INC (NP)			7,497.60	0.03 %	5,024.80	2,472.80	1.55 %	116.16		
PNC	88		85,2000		57.10					
PACKAGING CORP PKG (PKG)			4,580.28	0.02 %	4,451.95	128.33	2.98 %	136.08		34.02
PNC	54		84,8200		82.44					
POLYONE CORPORATION (POL)			1,537.92	0.01 %	1,501.93	35.99	1.69 %	25.92		
PNC	48		32,0400		31.29					
POLYONE CORPORATION (POL)			32,0400	0.01 %						6.48
12-10-824-8493750			2,505.87	0.01 %	1,919.30	586.57				
RBC BEARINGS INC (ROLL)			92,8100		71.09					
PNC	27		3,779.82	0.02 %	3,821.09	-41.27	0.98 %	36.85		
SYMRISE AG (SYIEV)			15,1800		15.35					
UNSPON ADR	249									
PNC										
Total materials			\$78,740.86	0.31 %	\$68,105.01	\$10,635.85	1.64 %	\$1,290.16		\$87.30

Real estate

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
AGREE RLTY CORP (ADC)			\$3,499.80	0.02 %	\$2,886.30	\$613.50	4.30 %	\$150.48		\$37.62
REIT	76		\$46,0500		\$37.98					
PNC										
AMERICAN ASSETS TRUST INC (AAT)			1,593.96	0.01 %	1,422.67	171.29	2.42 %	38.48		
REITS	37		43,0800		38.45					
PNC										
COLLIERS INTERNATIONAL GROUP (CIGI)			3,601.50	0.02 %	4,082.70	-481.20	0.28 %	9.80		4.90
SEDOL BYL7WD4			36,7500		41.66					
ISIN CA1946931070	98									
PNC										



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Real estate

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg. original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
COREENERGY INFRASTRUCTURE TRU (CORR)			Current price per unit							
PNC	58		2,023.04	0.01 %	1,958.81	33.77	64.23	8.61 %	174.00	
CORECIVIC INC (CXW)			34,880.00		2,127.63		1,198.93	6.87 %	228.48	57.12
PNC	136		3,326.56	0.02 %	15.64		-45.12			
FORESTAR GROUP INC (FOR)			24,400.00		1,734.22					
PNC	127		1,689.10	0.01 %	13.66					
MITSUBISHI ESTATE (MITEY)			13,300.00		10,375.41		-629.64	0.62 %	60.15	
UNSPON ADR	489		9,745.77	0.04 %	21.22					
PNC			19,930.00							
PS BUSINESS PKS INC CALIF (PSB)			3,029.52	0.02 %	2,297.26		732.26	2.58 %	78.00	
STRATEGIC BALANCED FD CL D	26		116,520.00		88.36					
PNC										
PROLOGIS INC (PLD)			4,434.36	0.02 %	3,288.59		1,145.77	3.19 %	141.12	
PNC	84		52,790.00		39.15					
SUMMIT HOTEL PROPERTIES INC (INN)			2,468.62	0.01 %	1,892.80		575.82	4.06 %	100.10	
REITS	154		16,030.00		12.29					
PNC										
Total real estate			\$35,412.23	0.14 %	\$32,066.39		\$3,345.84	2.77 %	\$980.61	\$99.64

Telecommunication services

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg. original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
AT&T INC (T)			Current price per unit							
PNC	97		\$4,125.41	0.02 %	\$3,255.57		\$869.84	4.61 %	\$190.12	
AMERICAN TOWER CORP (AMT)			\$42,530.00		\$33.56					
PNC	43		4,544.24	0.02 %	4,597.05		-52.81	2.20 %	99.76	24.94
CHINA MOBILE LTD (CHL)			105,680.00		106.91					
SPON ADR	159		8,336.37	0.04 %	9,660.37		-1,324.00	2.97 %	247.56	
PNC			52,430.00		60.76					
IDT CORP-CLASS B (IDT)			1,668.60	0.01 %	1,583.03		85.57	4.10 %	68.40	
PNC	90		18,540.00		17.59					





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Telecommunication services

Description [Symbol]	Market value last period	Quantity	Current market value	% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ROYAL KPN NV (KKPNV)		2,238	6,714.00	0.03 %	8,097.86	-1,383.86	14.77 %	991.43	
SPONSORED ADR			3.0000		3.62				
PNC									
VERIZON COMMUNICATIONS INC (VZ)		74	3,950.12	0.02 %	3,440.11	510.01	4.33 %	170.94	
PNC			53,380.00		46.49				
Total telecommunication services			\$29,338.74	0.12 %	\$30,633.99	-\$1,295.25	6.03 %	\$1,768.21	\$24.94

Utilities

Description [Symbol]	Market value last period	Quantity	Current market value	% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
E.ON SE ADR (EONGY)		853	\$6,013.65	0.03 %	\$7,829.66	-\$1,816.01	5.91 %	\$354.95	
PNC			\$7,050.00		\$9.18				
ENGIE (ENGIY)		508	6,471.92	0.03 %	7,985.45	-1,513.53	7.05 %	455.68	
ADR			12,740.00		15.72				
PNC									
EXELON CORPORATION (EXC)		147	5,217.03	0.03 %	5,313.27	-96.24	3.59 %	186.98	
PNC			35,490.00		36.14				
NRG YIELD INC-CLASS C (NYLD)		155	2,449.00	0.01 %	2,521.97	-72.97	5.99 %	146.48	
PNC			15,800.00		16.27				
PAMPA ENERGIA S A (PAM)		57	1,984.17	0.01 %	1,583.15	401.02	0.03 %	0.40	
SPONSORED ADR			34,810.00		27.77				
PNC									
SJW GROUP (SJW)		47	2,631.06	0.02 %	2,305.85	325.21	1.45 %	38.07	
PNC			55,980.00		49.06				
WGL HLDGS INC (WGL)		21	1,601.88	0.01 %	1,254.35	347.53	2.56 %	40.95	
PNC			76,280.00		59.73				
WEC ENERGY GROUP INC (WEC)		83	4,867.95	0.02 %	4,821.08	46.87	3.55 %	172.64	
PNC			58,650.00		58.09				
Total utilities			\$31,236.66	0.12 %	\$33,614.78	-\$2,378.12	4.47 %	\$1,396.05	



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PNC Family Wealth

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Detail

Unclassified

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg. original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ANI PHARMACEUTICALS INC (ANIP)										
PNC	56		\$3,394.72	0.02 %	\$60.6200	\$2,472.35	\$922.37			
AIRBUS GROUP (EADSY)										
ADR	649		10,456.58	0.05 %	\$16.4200	9,663.94	992.64	3.23 %	343.32	
PNC										
AMBEV SA-SPN ADR (ABEV)										
ADR SEDOL B6804F6	1,060		5,204.60	0.03 %	4.9100	6,640.16	-1,435.56	3.96 %	205.64	57.66
ISIN US02319V1035										
PNC										
DEUTSCHE POST AG (DP5GY)										
SPON ADR	209		6,842.66	0.03 %	32.7400	5,941.45	901.21	2.85 %	194.37	
ISIN US25157Y2028 SEDOL B41FD4										
PNC										
DYAX CORPORATION CONTINGENCY (I)										
RIGHTS	88			0.01 %		1.00	-1.00			
(MARKET VALUE AS OF 02/17/16)										
PNC										
NEW FIRSTSERVICE CORP-W/I (***)										
SEDOL 2185596	130		6,172.40	0.03 %	47.4800	4,089.60	2,083.80	0.93 %	57.20	14.30
ISIN CA33767E1034										
PNC										
INTESA SAN PAOLO SPA (ISNPY)										
PNC	838		12,762.74	0.06 %	15.2300	13,066.67	-303.93	4.42 %	563.14	
JGC CORP UBS SPONSORED ADR (JGOCY)										
PNC	112		4,053.28	0.02 %	36.1900	3,466.75	586.53	1.80 %	72.80	
MAZDA MTR CORP (MZDAY)										
ADR	774		6,292.62	0.03 %	8.1300	30.95	93.97	1.15 %	72.34	
PNC										
ORANGE (ORAN)										
ADR	375		5,677.50	0.03 %	15.1400	6,391.48	-713.98	2.83 %	160.13	
PNC										
SEIKO EPSON CORP UNSPON (SEKEY)										
ADR	621		6,508.08	0.03 %	10.4800	4,902.32	1,605.76	1.87 %	121.10	
PNC										



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Unclassified

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Total original value at PNC	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
STARWOOD PROPERTY TRUST INC (STWD)	266	5,838.70	9,627.42	21.9500	0.03 %	5,933.00	22.30	-94.30	8.75 %	510.72	127.68
WPP PLC (WPPGY)	87	110.6600	5,740.80	14.9500	0.04 %	9,459.37	108.73	168.05	3.00 %	288.14	
ADR											
WESCO AIRCRAFT HOLDINGS INC (WAIR)	384				0.03 %	5,343.90	13.92	396.90			
PNC											
Total unclassified			\$88,772.10		0.35 %	\$83,549.44		\$5,202.46	2.92 %	\$2,588.90	\$199.44
Total stocks			\$1,491,539.20		5.90 %	\$1,373,668.56		\$117,870.64	1.95 %	\$29,037.42	\$1,350.87

Etf - equity

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Total original value at PNC	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ISHARES RUSSELL 2000 (IWM)	48	\$6,472.80			0.03 %	\$5,493.14	\$114.44	\$979.66	1.38 %	\$88.99	
ETF											
PNC											
Total equities			\$1,498,012.00		5.93 %	\$1,379,161.70		\$118,850.30	1.94 %	\$29,126.41	\$1,350.87

Alternative investments Mutual funds - alternative invest

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Total original value at PNC	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
DIAMOND HILL LONG-SHORT FUND (DIAYX)	44,521.402	\$1,136,631.39			4.50 %	\$1,001,460.94	\$22.49	\$135,170.45	0.48 %	\$5,431.61	
PNC											
DRIEHAUS ACTIVE INCOME FUND (LCMAX)	575,363.53	588,663.39			2.33 %	621,803.25	10.75	-33,139.86	3.12 %	18,330.68	
FUND # 640	57,825.480	10,1800									
PNC											