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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation Pritchard Foundation		A Employer identification number 23-7982138	
Number and street (or P O box number if mail is not delivered to street address) 2745 Sea Grove Lane		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Fernandina Beach, FL 320344837		B Telephone number (see instructions) (904) 844-2953	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,742,335		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	28	28	28	
	4 Dividends and interest from securities	83,077	83,077	83,077	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	722,562			
	b Gross sales price for all assets on line 6a				
		3,098,077			
	7 Capital gain net income (from Part IV, line 2)		722,562		
	8 Net short-term capital gain			52,731	
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	805,667	805,667	135,836	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,750	5,750	5,750	5,750
	c Other professional fees (attach schedule)	111,526	111,526	111,526	111,526
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	40,596	40,596	40,596	40,596
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	157,872	157,872	157,872	157,872
	25 Contributions, gifts, grants paid	220,000			220,000
	26 Total expenses and disbursements. Add lines 24 and 25	377,872	157,872	157,872	377,872
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	427,795			
	b Net investment income (if negative, enter -0-)		647,795		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	7,264	62,292	62,292
	2	Savings and temporary cash investments . . . . .	80,718	514,134	514,134
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	9,739,683	10,089,320	9,277,419
	c	Investments—corporate bonds (attach schedule) . . . . .	2,051,702	1,696,072	1,686,237
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	256,865	202,209	202,253
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	12,136,232	12,564,027	11,742,335	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable. . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .	12,136,232	12,564,027	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	12,136,232	12,564,027		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	12,136,232	12,564,027		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,136,232
2	Enter amount from Part I, line 27a . . . . .	2	427,795
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	12,564,027
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	12,564,027

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> See Attached Statement	P	2015-08-17	2016-07-07
<b>b</b> See Attached Statement	P	2014-09-15	2016-07-05
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 733,282	0	680,551	52,731
<b>b</b> 2,364,795	0	1,694,964	669,831
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> 0	0	0	52,731
<b>b</b> 0	0	0	669,831
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	722,562
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	52,731

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	
<b>5</b> Multiply line 4 by line 3	<b>5</b>	
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	
<b>7</b> Add lines 5 and 6	<b>7</b>	0
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	12,956
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	12,956
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	12,956
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	2,533
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	14,000
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	16,533
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	287
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	3,290
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> 3,290 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> PA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>►</b> DOUGLAS M NEWTON Telephone no <b>►</b> (904) 321-2017			

Located at **►** 2745 Sea Grove Lane Fernandina Beach FL ZIP+4 **►** 320344837

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>►</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>►</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <b>►</b> <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	<b>2b</b>		<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b> During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> <li><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> </ul> <b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> Organizations relying on a current notice regarding disaster assistance check here. <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>5b</b>		
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span> If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>6b</b>		<b>No</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LEE P KLINGENSTEIN New York NY New York, NY 10158	Trustee 10 00	0	0	0
PETER JOANNE ZIESING New Canann CT New Canann, CT 06840	Trustee 10 00	0	0	0
ALLEN GROSSMAN Boston MA Boston, MA 02108	Trustee 0	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> None	0
<b>2</b>	0
<b>3</b>	0
<b>4</b>	0

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	11,395,041
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	22,970
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	11,418,011
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	11,418,011
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	171,270
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	11,246,741
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	562,337

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	562,337
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	12,956
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	12,956
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	549,381
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	549,381
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	549,381

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	377,872
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	377,872
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	377,872

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				549,381
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			125,099	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>377,872</u>				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				377,872
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			125,099	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				171,509
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . .				
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed LEE P KLINGENSTEIN 605 Third Ave 23rd Floor New York, NY 10158 (212) 476-5850	
<b>b</b> The form in which applications should be submitted and information and materials they should include LETTER FORMAT AND DESCRIPTION AND PURPOSE OF ORGANIZATION	
<b>c</b> Any submission deadlines NONE	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	220,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments. . . .					
<b>3</b>	Interest on savings and temporary cash investments . . . . .	523000	28			
<b>4</b>	Dividends and interest from securities. . . .					
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property. . . . .					
<b>b</b>	Not debt-financed property. . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income. . . . .					
<b>8</b>	Gain or (loss) from sales of assets other than inventory . . . . .	523000				722,562
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory					
<b>11</b>	Other revenue <b>a</b> _____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal Add columns (b), (d), and (e). .		28			722,562
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		722,590

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | No |
| 1a(2) |     | No |
| 1b(1) |     | No |
| 1b(2) |     | No |
| 1b(3) |     | No |
| 1b(4) |     | No |
| 1b(5) |     | No |
| 1b(6) |     | No |
| 1c    |     | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name  SARA KAY NEWTON	Preparer's Signature	Date  2017-07-13	Check if self-employed ▶ <input type="checkbox"/>	PTIN
Firm's name ▶ Common Cents Accounting Services Inc				Firm's EIN ▶
Firm's address ▶ 2069 Village Ln  Fernandina Beach, FL 32034				Phone no (904) 844-2953

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Horizons Student Enrichment Program 55 Wellesley Drive New Canaan, CT 06840		Exempt	Charitable	50,000
Franklin & Marshall College Po Box 3003 Lancaster, PA 17604		Exempt	Charitable	25,000
American University 4400 Mass Ave NW Washington, DC 20016		Exempt	Charitable	25,000
Providence College 1 Cunningham Square Providence, RI 02918		Exempt	Charitable	25,000
UConn Storrs 233 Glenbrook Road Storrs Mansfield, CT 06269		Exempt	Charitable	25,000
University of Vermont 85 S Prospect St Burlington, VT 05405		Exempt	Charitable	20,000
University of New England Po Box 6442 Brattleboro, VT 05302		Exempt	Charitable	50,000
<b>Total . . . . . ▶</b> <b>3a</b>				220,000

**TY 2016 Accounting Fees Schedule****Name:** Pritchard Foundation**EIN:** 23-7982138

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Douglas M Newton Accounting	5,750	5,750	5,750	5,750



Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: Pritchard Foundation

EIN: 23-7982138

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Neuberger Berman - See Attached Stmt		Purchased			733,282	680,551			52,731	
Neuberger Berma - See Attached Stmt		Purchased			2,364,795	1,694,964			669,831	

## TY 2016 Investments Corporate Bonds Schedule

**Name:** Pritchard Foundation

**EIN:** 23-7982138

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	1,696,072	1,686,237

## TY 2016 Investments Corporate Stock Schedule

**Name:** Pritchard Foundation

**EIN:** 23-7982138

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Stocks	10,089,320	9,277,419

**TY 2016 Investments - Other Schedule****Name:** Pritchard Foundation**EIN:** 23-7982138

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Muni Bonds		76,282	76,275
Miscellaneous		125,927	125,978

**TY 2016 Other Professional Fees Schedule****Name:** Pritchard Foundation**EIN:** 23-7982138

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Neuberger Berman Investment Advice	111,526	111,526	111,526	111,526

**TY 2016 Taxes Schedule****Name:** Pritchard Foundation**EIN:** 23-7982138

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise	40,282	40,282	40,282	40,282
Foreign Tax	314	314	314	314

# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBU-022166 Customer Service 212 476-9090  
 Recipient ID No \*\*2138 Payer's Fed ID Number 04 3523557

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Short-term transactions

IRS Form 1099-B box numbers are shown below in **bold type**.

1a Description of property Stock or Other Symbol CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain Loss	4 Federal Income Tax Withheld	14 State Tax Withheld	16 State Tax Withheld
SANTANDER UK PLC NOTE 151130^, 08 24 201 80283LAGE											
Sale	55 000 000	08 17 15	07 07 16	54 487 84	55 000 00			512 16			
<b>TOTALS</b>				<b>733,281 78</b>	<b>680,550 82</b>	<b>0 00</b>	<b>0 00</b>	<b>54,094 72</b>	<b>0 00</b>		
Short-Term Realized Gain											
Short-Term Realized Loss								-1,363 76			

\*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.



# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBJ-022166 Customer Service 212 476-9000  
 Recipient ID No \*\*\*2138 Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property, Stock or Other Symbol CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain Loss (c)	4 Federal Income Tax Withheld	14 State Tax Withheld	16 State Tax Withheld
AIR PRODS & CHEMS INC NOTE CALL MAKE WHOLE 009158AQ9											
Redemption	30 000 000	02 06 14	08 02 16	30 000 00	30 000 00			0 00			
AMERICAN EXPRESS CENTRN 2 000000 10'20 2 02587DEV6											
Redemption	50 000 000	04 03 14	10 20 16	50 000 00	50 000 00			0 00			
AMERICAN EXPRESS CR CORP MTNBE 2 800000 1258MDDC0											
Redemption	50 000 000	05 29 14	09 19 16	50 000 00	50 000 00			0 00			
APPLE INC AAPL 037833100											
Sale	185 000	04 13 10	08 15 16	20 226 04	6 395 28			13 830 75			
BANK NEW YORK MTN BKENT 2 300000 07 28 2 06406HBX6											
Redemption	30 000 000	02 04 14	07 28 16	30 000 00	30 000 00			0 00			
BARCLAYS BK DEL CD 1 900000 12 07 2016 06740KEX1											
Redemption	50 000 000	04 02 14	12 07 16	50 000 00	50 000 00			0 00			
BECTON DICKINSON & CONOTE CALL MAKE WHOLE 075887BB4											
Redemption	30 000 000	02 04 14	11 08 16	30 000 00	30 000 00			0 00			
BOEING CAP CORP NOTE CALL MAKE WHOLE 2 12 097014AN4											
Redemption	25 000 000	02 21 14	08 15 16	25 000 00	25 000 00			0 00			
CANADIAN NATURAL RESOURCES LTD COM NP/1 CNO 136385101											
Sale	6 900 000	06 22 04	08 08 16	217 241 76	51 265 84			65 975 92			
CDW CORP COM USD 0.01 CDW 12514G108											
Sale	1 450 000	06 02 15	11 16 16	72 554 53	53 474 41			19 080 12			

\*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.



# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBJ-022166 Customer Service 212 476-9000  
 Recipient ID No \*\*\*2138 Payer's Fed ID Number 04 3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

IRS Form 1099-B box numbers are shown below in bold type

1a Description of property, Stock or Other Symbol CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain Loss (c)	4 Federal Income Tax Withheld	14 State Tax Withheld	16 State Tax Withheld
CME GROUP INC CME 12572Q105											
Sale	300 000	01 14 14	08 22 16	31 745 85	22 471 80			9 274 05			
Sale	225 000	02 24 14	08 22 16	23 809 38	16 769 50			7 039 88			
<b>Subtotals</b>				<b>55,555 23</b>	<b>39,241 30</b>						
COMCAST CORP NEV CL A CMCSA 20030N1C*											
Sale	900 000	06 02 15	11 14 16	60 897 89	52 828 56			8 069 33			
COSTCO WHOLESALE CORP COST 22160K105											
Sale	150 000	01 21 14	06 24 16	23 405 05	17 094 98			6 310 07			
DANAHER CORP COM USD 01 DHR 235851102											
Sale	250 000	10 21 08	08 22 16	20 194 66	5 576 94			14 617 72			
Sale	425 000	04 17 14	08 22 16	31 330 92	23 718 48			10 612 44			
<b>Subtotals</b>				<b>54,525 58</b>	<b>29,295 42</b>						
DANAHER CORP DEL NOTE CALL MAKE WHOLE 2 2358511A2											
Redemption	25 000 000	03 28 14	06 23 16	25 000 00	25 000 00			0 00			
DISNEY WALT CO DIS 254687106											
Sale	150 000	01 25 91	12 19 16	15 850 77	1 232 73			14 618 04			
Sale	350 000	09 30 11	12 19 16	36 985 12	10 620 22			26 364 90			
<b>Subtotals</b>				<b>52,835 89</b>	<b>11,852 95</b>						
DOVER CORP DOV 260003108											
Sale	350 000	10 17 13	12 01 16	26 557 49	25 264 30			1 293 19			
Sale	200 000	02 03 14	12 01 16	15 175 71	13 854 80			1 320 91			

\*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.



# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6/16/98 Account No NBJ-022166 Customer Service 212-476-9000  
 Recipient ID No \*\*\*2138 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

\*IRS Form 1099-B box numbers are shown below in bold type

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (b)	4 Federal Income Tax Withheld	14 State Tax Withheld	16 State Tax Withheld
<b>DOVER CORP DOV 260003108</b>											
Sale	150,000	02/04/14	12/01/16	11,381.78	10,410.46			971.32			
Sale	500,000	06/02/15	12/01/16	37,939.27	37,969.35			-30.08			
Sale	200,000	10/20/15	12/01/16	15,175.71	12,269.18			2,906.53			
<b>Subtotals</b>				<b>106,229.96</b>	<b>99,768.09</b>						
<b>DUKE ENERGY CAROLINAS LLC BOND 175000 26442CAL8</b>											
Redemption	25,000,000	07/01/14	12/15/16	25,000.00	25,000.00			0.00			
<b>FEDEX CORP COM FDX 31428X106</b>											
Sale	25,000	04/07/15	08/08/16	4,103.63	4,298.93			195.30			
Sale	475,000	06/02/15	08/08/16	77,968.88	93,732.10			5,763.22			
<b>Subtotals</b>				<b>82,072.51</b>	<b>88,031.03</b>						
<b>FISERV INC FISI 337738108</b>											
Sale	550,000	08/21/07	06/09/16	58,969.65	13,190.60			45,779.05			
Sale	50,000	08/22/07	06/09/16	5,360.88	1,195.92			4,164.96			
<b>Subtotals</b>				<b>64,330.53</b>	<b>14,386.52</b>						
<b>FORTIVE CORP COM FTV 34959J108</b>											
Sale	1,312,500	10/21/08	07/05/16	63,751.33	17,698.47			46,052.86			
Sale	212,500	04/17/14	07/05/16	10,321.64	7,166.65			3,152.99			
<b>Subtotals</b>				<b>74,072.97</b>	<b>24,865.12</b>						
<b>GENERAL DYNAMICS CORP NOTE 22500000 071369550AQ1</b>											
Redemption	25,000,000	03/25/14	07/15/16	25,000.00	25,000.00			0.00			

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# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBJ-022166 Customer Service 212 476-3000  
 Recipient ID No \*\*\*\*\*2138 Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS. It may assist you in tax return preparation.

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

\*IRS Form 1099-B box numbers are shown below, in bold type.

1a Description of property, Stock or Other Symbol CUSIP	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Adjusted Market Discount	1g Wash Sale Loss Disallowed	Gain Loss (b)	4 Federal Income Tax Withheld	14 State Income Tax Withheld
GEORGIA PWR CO NOTE 1 026130 08 15 2016 373334KC4									
Redemption	25 000 000	08 12 13	08 15 16	25 000 00			0 00		
GILEAD SCIENCES INC NOTE CALL MAKE WHOLE 375558ATC									
Redemption	62 000 000	07 29 15	12 01 16	62 000 00			0 00		
GOVT NATL MTG ASSN SER 2009 95 CL PB 30 38376KLZ2									
Principal	01 22 10	07 16 16	295 24	0 12			295 12		
Principal	01 22 10	08 16 16	153 89	0 06			153 83		
Principal	01 22 10	09 16 16	210 95	0 08			210 87		
Principal	01 22 10	0 16 16	51 57	0 06			161 57		
Principal	01 22 10	11 16 16	320 21	0 13			320 08		
Principal	01 22 10	12 16 16	156 76	0 06			156 70		
<b>Subtotals</b>			<b>1,298 62</b>	<b>0 51</b>					
INTEL CORP NOTE CALL MAKE WHOLE 1 950000 458140AH3									
Redemption	25 000 000	02 19 14	10 03 16	25 000 00			0 00		
INTERNATIONAL BUSINESS MACHS NOTE 1 9500 459200GX3									
Redemption	25 000 000	03 25 14	07 22 16	25 000 00			0 00		
JOHNSON & JOHNSON JNJ 478160104									
Sale	805 000	04 19 90	08 08 16	5 883 46			93 439 46		
Sale	75 000	04 19 90	11 10 16	548 15			8 418 92		
<b>Subtotals</b>			<b>108,289 99</b>	<b>6,431 61</b>					

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# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBJ-022166 Customer Service 212-476-9000  
 Recipient ID No \*\*\*2138 Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

IRS Form 1099-B box numbers are shown below in bold type

1a Description of property	Stock or Other Symbol	CUSIP	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (c)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
JPMORGAN CHASE & CO NOTE 3 150000 07 05 2 46625HJUA9											
Redemption	25 000 000	09 15 14	07 05 16		25 000 00	25 000 00			0 00		
KEYBANK NATIONAL ASSOCIATION NOTE 1 315 49327M2E3											
Redemption	25 000 000	11 21 13	11 25 16		25 000 00	25 000 00			0 00		
LENNAR CORP CL A LEN 5260571U4											
Sale	725 000	06 24 10	07 25 16		35 470 72	10 673 74			24 796 98		
Sale	425 000	07 08 13	07 25 16		20 793 18	14 188 07			6 605 11		
<b>Subtotals</b>					<b>56 263 90</b>	<b>24 861 81</b>					
PFIZER INC PFE 717081103											
Sale	1 950 000	08 24 15	10 17 16		63 480 08	63 641 37			161 29		
Sale	2 900 000	08 24 15	10 27 16		94 296 95	94 646 14			349 19		
Sale	500 000	08 24 15	10 28 16		16 008 90	16 318 30			309 40		
<b>Subtotals</b>					<b>173 785 93</b>	<b>174 605 81</b>					
PNC FUNDING CORP NOTE 2 700000 09 19 201 6934768M4											
Redemption	25 000 000	06 25 14	08 22 16		25 000 00	25 033 09			-33 09		
Redemption	35 000 000	07 15 15	08 22 16		35 000 00	35 040 40			-40 40		
<b>Subtotals</b>					<b>60 000 00</b>	<b>60 073 49</b>					
RIO TINTO FIN USA PLC 2 250000 12 14 201 76720VAT18											
Redemption	70 000 000	07 14 15	10 26 16		71 552 63	70 310 99			1 241 61		

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# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16'98      Account No      NBJ-022166      Customer Service      212-476-9000  
 Recipient ID No      \*\*...2138      Payer's Fed ID Number      04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type.)

1a Description of property, Stock or Other Symbol, CUSIP	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain Loss	4 Federal Income Tax Withheld	14 State Tax Withheld
STRYKER CORP NOTE CALL MAKE WHOLE 2 0000 863667AC5									
Redemption	30 000 000	02 06 14	09 30 16	30 000 00			0 00		
Redemption	30 000 000	07 15 15	09 30 16	30 000 00			0 00		
<b>Subtotals</b>				<b>60 000 00</b>					
SYNCHRONY BANK RETAIL CD 2 000000 09 30 36159D3ML									
Redemption	50 000 000	01 31 14	09 30 16	50 000 00			0 00		
TEVA PHARMACEUTICAL FINANCE CO BV NOTE 2 38155FAC1									
Redemption	30 000 000	03 28 14	11 10 16	30 000 00			0 00		
Redemption	30 000 000	07 15 15	11 10 16	30 000 00			0 00		
<b>Subtotals</b>				<b>60 000 00</b>					
TORONTO DOMINION BANK MTN 1 116600 09 09 89114QAL2									
Redemption	25 000 000	09 05 13	09 09 16	25 000 00			0 00		
TRANSCANADA PIPELINES LTD NOTE 1 308600 89352HAJ8									
Redemption	25 000 000	06 28 13	06 30 16	25 000 00			0 00		
U S BANCORP MTNS BK ENT 2 200000 11 15 2 91159HHB9									
Redemption	35 000 000	06 30 14	10 14 16	35 039 91			39 91		
WELLS FARGO CO MTN BE 2 625000 12 15 201 94974BEZ9									
Redemption	25 000 000	08 06 14	12 15 16	25 000 00			0 00		
WHITEWAVE FOODS CO COM WWAV 966244105									
Sale	1 525 000	06 06 13	08 15 16	84 095 21			57 727 05		
Sale	1 250 000	06 06 13	08 15 16	68 930 50			47 317 25		

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# 2016 Informational Tax Reporting Statement

THE PRITCHARD FDN UA DTD 6 16 98 Account No NBJ-022166 Customer Service 212-476-9000  
 Recipient ID No \*\*\*2138 Payer's Fed ID Number 04 3523567

Note. This information is not reported to the IRS. It may assist you in tax return preparation.

## 2016 Proceeds from Broker and Barter Exchange Transactions\*

### Long-term transactions

IRS Form 1099-B box numbers are shown below in bold type.

1a Description of property (Stock or Other Symbol CUSIP)	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain Loss (i)	4 Federal Income Tax Withheld	14 State Income Tax Withheld
WHITEWAVE FOODS CO COM: WWAV 966244105										
Sale	900 000	06 17 13	06 15 16	49 629 95	15 157 17			34 472 78		
<b>Subtotals</b>				<b>202 655 66</b>	<b>63 138 58</b>					
<b>TOTALS</b>				<b>2,364,794.63</b>	<b>1,694,964.21</b>	<b>0.00</b>	<b>0.00</b>	<b>676,752.30</b> <b>-6,921.88</b>	<b>0.00</b>	
<div>Long-Term Realized Gain</div> <div>Long-Term Realized Loss</div>										

(a) Cost or other basis provided may include adjustments including, but not limited to: dividend reinvestment; return of capital; principal wash sale loss disallowed; amortization; accretion; acquisition premium; bond premium; market discount; market premium; and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers.

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