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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>WEST FOUNDATION, INC.</b>		A Employer identification number <b>23-7416727</b>
Number and street (or P O box number if mail is not delivered to street address) <b>111 MONUMENT CIRCLE</b>	Room/suite <b>220</b>	B Telephone number <b>317-972-0204</b>
City or town, state or province, country, and ZIP or foreign postal code <b>INDIANAPOLIS, IN 46204</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>4,508,722.</b> (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		37.	37.		STATEMENT 1
4 Dividends and interest from securities		67,103.	67,103.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		346,770.			
b Gross sales price for all assets on line 6a		3,849,490.			
7 Capital gain net income (from Part IV, line 2)			346,770.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		413,910.	413,910.		
13 Compensation of officers, directors, trustees, etc		86,741.	0.		86,741.
14 Other employee salaries and wages		74,662.	0.		74,662.
15 Pension plans, employee benefits		20,035.	0.		20,035.
16a Legal fees					
b Accounting fees		2,650.	1,325.		1,325.
c Other professional fees					
17 Interest					
18 Taxes		125,121.	376.		115,929.
19 Depreciation and depletion					
20 Occupancy		36,135.	0.		36,135.
21 Travel, conferences, and meetings		3,713.	0.		3,713.
22 Printing and publications					
23 Other expenses		80,792.	56,493.		24,299.
24 Total operating and administrative expenses. Add lines 13 through 23		429,849.	58,194.		362,839.
25 Contributions, gifts, grants paid		319,438.			319,438.
26 Total expenses and disbursements. Add lines 24 and 25		749,287.	58,194.		682,277.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-335,377.			
b Net investment income (if negative, enter -0-)			355,716.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	226,396.	262,707.	262,707.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons	140,991.	117,114.	117,114.
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	3,082,095.	2,781,812.	3,157,031.
	c Investments - corporate bonds STMT 8	766,369.	761,964.	971,870.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
Liabilities	14 Land, buildings, and equipment: basis ▶ 71,108. Less accumulated depreciation STMT 9 ▶ 71,108.			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,215,851.	3,923,597.	4,508,722.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	400,000.	400,000.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	3,470,985.	3,470,985.	
	29 Retained earnings, accumulated income, endowment, or other funds	344,866.	52,612.	
	30 Total net assets or fund balances	4,215,851.	3,923,597.	
	31 Total liabilities and net assets/fund balances	4,215,851.	3,923,597.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,215,851.
2 Enter amount from Part I, line 27a	2	-335,377.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	43,123.
4 Add lines 1, 2, and 3	4	3,923,597.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,923,597.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENTS					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e 3,849,490.		3,502,720.	346,770.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e			346,770.		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	346,770.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	873,193.	5,339,366.	.163539
2014	893,727.	5,916,928.	.151046
2013	536,221.	5,817,882.	.092168
2012	666,863.	5,551,630.	.120120
2011	549,436.	5,640,148.	.097415
2 Total of line 1, column (d)			2 .624288
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .124858
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 4,505,141.
5 Multiply line 4 by line 3			5 562,503.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,557.
7 Add lines 5 and 6			7 566,060.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 682,277.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,557.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,557.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,557.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,443.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 1,443. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>DANI ROTH</u> Telephone no. ► <u>317-972-0204</u> Located at ► <u>111 MONUMENT CIRCLE, STE. 220, INDIANAPOLIS, IN</u> ZIP+4 ► <u>46204</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EMILY WEST 332 N. EAST STREET INDIANAPOLIS, IN 46208	EXECUTIVE DIRECTOR 30.00	86,741.	0.	0.
BEKAH JONES 1110 E 135TH STREET GRANDVIEW, MO 64030	DIRECTOR 0.00	0.	0.	0.
SUZANNA BLOOM PO BOX 1123 CLARK, CO 80428	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DORIS MCHARGUE - 9055 LISERING CIRCLE, INDIANAPOLIS, IN 46256	OFFICE MANAGER 24.00	64,481.	0.	0.
SAMANTHA ALARIE-LECA - 602 PROSPECT STREET, INDIANAPOLIS, IN 46203	PROGRAM OFFICER 40.00	58,161.	0.	0.
JOANNA DAY - 10705 BIRCH TREE CIRCLE, INDIANAPOLIS, IN 46236	BOOKKEEPER 24.00	34,726.	0.	0.

Total number of other employees paid over \$50,000

0





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,265,218.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	308,529.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,573,747.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,573,747.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	68,606.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,505,141.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	225,257.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	225,257.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	3,557.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,557.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	221,700.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	221,700.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	221,700.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	682,277.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	682,277.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	3,557.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	678,720.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				221,700.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	270,473.			
b From 2012	390,907.			
c From 2013	251,395.			
d From 2014	602,457.			
e From 2015	616,165.			
f Total of lines 3a through e	2,131,397.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	682,277.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				221,700.
e Remaining amount distributed out of corpus	460,577.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	2,591,974.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	270,473.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,321,501.			
10 Analysis of line 9:				
a Excess from 2012	390,907.			
b Excess from 2013	251,395.			
c Excess from 2014	602,457.			
d Excess from 2015	616,165.			
e Excess from 2016	460,577.			

N/A

☐ 4942(1)(3) or ☐ 4942(1)(5)

(4) Gross investment income

[illegible]

10



**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments			14	37.	
<b>4</b> Dividends and interest from securities			14	67,103.	
<b>5</b> Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	346,770.	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e)		0.		413,910.	0.
<b>13</b> Total. Add line 12, columns (b), (d), and (e)				13 413,910.	

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Line No** Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

NOT APPLICABLE

## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**



- |          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|          | (1) Cash   |     | X  |
|          | (2) Other assets   |     | X  |
| <b>b</b> | Other transactions:  |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|          | (3) Rental of facilities, equipment, or other assets   |     | X  |
|          | (4) Reimbursement arrangements   |     | X  |
|          | (5) Loans or loan guarantees   |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. |                          |                                 |
|--|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**   | 5-12-17 | Executive Dir.

Signature of officer or trustee      Date      Title

May the IRS discuss this return with the preparer shown below (see instr. 1)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>SUSIE M. KEATON, CPA</b>	Preparer's signature <b>SUSIE M. KEATON,</b>	Date <b>05/10/17</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00765420</b>
	Firm's name ▶ <b>SOMERSET CPAS PC</b>			Firm's EIN ▶ <b>20-1717681</b>	
	Firm's address ▶ <b>3925 RIVER CROSSING PKWY INDIANAPOLIS, IN 46240</b>			Phone no. <b>317-472-2200</b>	

WEST FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO - 1934	P	VARIOUS	12/31/16
b	WELLS FARGO - 1934	P	VARIOUS	12/31/16
c	WELLS FARGO - 1934	P	VARIOUS	12/31/16
d	WELLS FARGO - 1934	P	VARIOUS	12/31/16
e	WELLS FARGO - 1934	P	VARIOUS	12/31/16
f	WELLS FARGO - 5584	P	VARIOUS	12/31/16
g	WELLS FARGO - 5584	P	VARIOUS	12/31/16
h	WELLS FARGO - 5584	P	VARIOUS	12/31/16
i	WELLS FARGO - 5584	P	VARIOUS	12/31/16
j	WELLS FARGO - 6343	P	VARIOUS	12/31/16
k	WELLS FARGO - 6343	P	VARIOUS	12/31/16
l	WELLS FARGO - 6343	P	VARIOUS	12/31/16
m	WELLS FARGO - 1702	P	VARIOUS	12/31/16
n	WELLS FARGO - 1702	P	VARIOUS	12/31/16
o	WELLS FARGO - 6873	P	VARIOUS	12/31/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	103,336.	114,612.	-11,276.
b	309,823.	244,546.	65,277.
c	11,693.	19,266.	-7,573.
d	4,886.	8,926.	-4,040.
e	12,155.	17,032.	-4,877.
f	306,501.	289,001.	17,500.
g	700,819.	563,312.	137,507.
h	102,851.	63,618.	39,233.
i	9,875.	16,421.	-6,546.
j	854,210.	871,239.	-17,029.
k	730,736.	558,831.	171,905.
l	33,811.	48,723.	-14,912.
m	270,636.	322,784.	-52,148.
n	330,791.	302,684.	28,107.
o	346.	366.	-20.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-11,276.
b			65,277.
c			-7,573.
d			-4,040.
e			-4,877.
f			17,500.
g			137,507.
h			39,233.
i			-6,546.
j			-17,029.
k			171,905.
l			-14,912.
m			-52,148.
n			28,107.
o			-20.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO - 6873	P	VARIOUS	12/31/16
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 66,935.		61,359.	5,576.
b 86.			86.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,576.
b			86.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)

{ If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

346,770.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FIFTH THIRD BANK	11.	11.	
WELLS FARGO	26.	26.	
TOTAL TO PART I, LINE 3	37.	37.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO	67,189.	86.	67,103.	67,103.	
TO PART I, LINE 4	67,189.	86.	67,103.	67,103.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SOMERSET, TAX PREPARATION	2,650.	1,325.		1,325.
TO FORM 990-PF, PG 1, LN 16B	2,650.	1,325.		1,325.

## FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	115,929.	0.		115,929.
FEDERAL 990 PF	8,816.	0.		0.
FOREIGN TAX	376.	376.		0.
TO FORM 990-PF, PG 1, LN 18	125,121.	376.		115,929.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	56,488.	56,488.		0.
ADR FEES	5.	5.		0.
DUES & SUBSCRIPTIONS	2,179.	0.		2,179.
COMPUTER & TRAINING EXPENSE	4,330.	0.		4,330.
EMAIL SERVICE	1,255.	0.		1,255.
LIABAILTY INSURANCE	2,659.	0.		2,659.
OFFICE EQUIPMENT	800.	0.		800.
OFFICE SUPPLIES	599.	0.		599.
PARKING	6,540.	0.		6,540.
PAYCHEX EXPENSE	1,731.	0.		1,731.
POSTAGE	186.	0.		186.
TELEPHONE & INTERNET	3,354.	0.		3,354.
MAITENANCE SERVICE CONTRACT	160.	0.		160.
SPONSORSHIPS	350.	0.		350.
MISCELLANEOUS	156.	0.		156.
TO FORM 990-PF, PG 1, LN 23	80,792.	56,493.		24,299.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
NONDIVIDEND DISTRIBUTION	1,319.
ADJUSTMENT FOR DIFFERENCE IN COST BASIS	41,804.
TOTAL TO FORM 990-PF, PART III, LINE 3	43,123.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - WELLS FARGO A/C 1702 (SEE ATTACHMENT #2)	0.	0.
INVESTMENTS - WELLS FARGO A/C 5584 (SEE ATTACHMENT #4)	1,007,064.	1,036,550.
INVESTMENTS - WELLS FARGO A/C 6343 (SEE ATTACHMENT #5)	1,204,020.	1,386,309.
INVESTMENTS - WELLS FARGO A/C 1934 (SEE ATTACHMENT #6)	570,728.	734,172.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,781,812.	3,157,031.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - WELLS FARGO A/C 6873 (SEE ATTACHMENT #3)	761,964.	971,870.
TOTAL TO FORM 990-PF, PART II, LINE 10C	761,964.	971,870.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE - LOBBY	6,220.	6,220.	0.
FURNITURE - CONFERENCE	8,908.	8,908.	0.
FURNITURE - WORK A	1,944.	1,944.	0.
FURNITURE - PMW OFFICE	3,637.	3,637.	0.
FURNITURE - SRW OFFICE	11,716.	11,716.	0.
FURNITURE - EAW OFFICE	11,045.	11,045.	0.
TELEPHONES	2,100.	2,100.	0.
FURNITURE - OTHER	2,002.	2,002.	0.
NCES	1,245.	1,245.	0.
TABLETOPS	859.	859.	0.
ARMOIRE	1,574.	1,574.	0.
BUSINESS MACHINES	6,705.	6,705.	0.
COMPUTER AND INSTALLATION	13,153.	13,153.	0.
TOTAL TO FM 990-PF, PART II, LN 14	71,108.	71,108.	0.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	FURNITURE - LOBBY	06/11/96	200DB	7.00		HY17	6,220.				6,220.	6,220.		0.	6,220.
2	FURNITURE - CONFERENCE	04/15/96	200DB	7.00		HY17	8,908.				8,908.	8,908.		0.	8,908.
3	FURNITURE - WORK A	03/20/96	200DB	7.00		HY17	1,944.				1,944.	1,944.		0.	1,944.
4	FURNITURE - PMW OFFICE	05/25/96	200DB	7.00		HY17	3,637.				3,637.	3,637.		0.	3,637.
5	FURNITURE - SRW OFFICE	06/10/96	200DB	7.00		HY17	11,716.				11,716.	11,716.		0.	11,716.
6	FURNITURE - EAW OFFICE	04/30/96	200DB	7.00		HY17	11,045.				11,045.	11,045.		0.	11,045.
7	TELEPHONES	03/01/96	200DB	5.00		HY17	2,100.				2,100.	2,100.		0.	2,100.
8	FURNITURE - OTHER	09/15/96	200DB	7.00		HY17	2,002.				2,002.	2,002.		0.	2,002.
9	NCES	10/10/96	200DB	5.00		HY17	1,245.				1,245.	1,245.		0.	1,245.
10	TABLETOPS	05/09/97	200DB	7.00		HY17	859.				859.	859.		0.	859.
11	ARMOIRE	05/22/97	200DB	7.00		HY17	1,574.				1,574.	1,574.		0.	1,574.
12	BUSINESS MACHINES	06/03/97	200DB	5.00		HY17	6,705.				6,705.	6,705.		0.	6,705.
13	COMPUTER AND INSTALLATION	01/01/05	SL	5.00		HY17	13,153.				13,153.	13,153.		0.	13,153.
* TOTAL 990-PF PG 1 DEPR							71,108.				71,108.	71,108.		0.	71,108.

628111 04-01-16

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

## Attachment #1

2016

23-7416727

CITY, STATE, ZIP	PROJECT	DATE	AMOUNT
Washington, DC 20004	Accelerate Women Now Initiative	3/30/16	\$ 12,500
Peachtree City, GA 30269	Tools Program in Haiti	12/12/16	\$ 7,000
Eugene, OR 97403	Prepared Family Initiative in Nicaragua	10/5/16	\$ 7,500
Bridgeton, MO 63044-2607	Esperanza Juvenile Prog., Guatemala	6/22/16	\$ 10,000
Chicago, IL 60601-5917	HOPE Prog Girls, G / H	12/20/16	\$ 10,000
Indianapolis, IN 46224	Solar Power, Clean Water in El Salvador	10/5/16	\$ 2,500
Cincinnati, OH 45211	Teacher Training Program	10/18/16	\$ 10,000
Denver, CO 80212	Scouting Trips Expan. Plan in Kenya	3/30/16	\$ 12,500
Bloomington, IN 47402	Cirr Centre Salisa Schl, Rep. of the Congo	6/22/16	\$ 9,200
Seattle, WA 98101	Research Team	10/5/16	\$ 10,000
White Bear Lake, MN 55110	Partner with US schools to educate about the global water crisis	12/12/16	\$ 7,500
Berkeley, CA 94704	Healthguides	6/10/16	\$ 15,000
Berkeley, CA 94704	For its IDEX Academy	3/30/16	\$ 15,000
San Francisco, CA 94103	to Build the Organizational Capacity	3/30/16	\$ 12,500
San Luis Obispo, CA 93403	Work in Lira District of Uganda	10/5/16	\$ 10,000
New York, NY 10013	Programming in the Philippines	3/30/16	\$ 15,000
Independence, MO 64050	Devl. And Solicit Major Donors	3/30/16	\$ 10,000
San Diego, CA 92117	Rural Farm Communities/ Dominican Republic	12/12/16	\$ 10,000
	Women's Literacy Program	10/5/16	\$ 15,000
New York, NY 10001	Pilot a First Guarantee in Zambia	3/30/16	\$ 15,000
Washington, DC 20003	for Guatemalan coffee farmers.	6/22/16	\$ 10,000
Renton, WA 98178	Dental Hygienist Training Program	3/30/16	\$ 10,000
Syracuse, NY 13244-1140	Maxwell School Leadership Program	2/26/16	\$ 13,238
Washington, DC 20036	Coffee and Cocoa Production in Peru	12/12/16	\$ 10,000
	FRIDA Fund Grupo de Mujeres Xitlali	6/27/16	\$ 10,000
New York, NY 10001-6272	Guatemalan Program	12/19/16	\$ 20,000
New York, NY 10004	Pathways Program, South Africa	12/12/16	\$ 10,000
San Carlos, CA 94070	TaroWorks Program	6/22/16	\$ 10,000
New York, NY 10001	Glasses in India Marketing Grant	10/5/16	\$ 10,000
			<u>\$ 319,438</u>

The West Foundation  
Wells Fargo 8681-1702  
2016

23-7416727

Security	2015 Beginning Book Value	2016 Ending Book Value	2016 Ending FMV
AMAZON COM INC X	100,256	-	-
APPLE INC	82,938	-	-
BIOMARIN PHARMACEUTICAL	103,192	-	-
CHURCH & DWIGHT INC	116,554	-	-
NETFLIX.COM INC	95,929	-	-
PAYPAL HOLDINGS INC	74,187	-	-
	<b>573,057</b>	-	-

# Attachment #3

The West Foundation  
Wells Fargo 1579-6873  
2016

23-7416727

Security	2016 Beginning Cost Basis	2016 Ending Cost Basis	2016 Ending FMV
3M CO	14,856	14,458	23,393
ABBOTT LABORATORIES	15,978	21,082	17,323
AFLAC INC	15,613	15,137	18,374
AIR PRODUCTS & CHEMICALS INC	11,560	11,296	18,984
AMGEN INC	19,178	19,014	16,960
ANALOG DEVICES INC	15,052	14,663	23,093
AT & T INC	17,487	17,047	20,967
AUTOMATIC DATA PROCESSING	13,353	13,043	23,023
BECTON DICKINSON & CO	14,388	14,054	24,501
BLACKROCK INC	16,562	16,888	18,266
CHEVRON CORPORATION	21,142	20,682	20,480
CHUBB CORP	14,735	24,641	25,763
CLOROX COMPANY	14,700	14,316	20,883
COLGATE-PALMOLIVE CO	14,870	14,544	16,622
CONOCOPHILLIPS	17,401	-	-
EATON VANCE CORP NON VTG	8,865	8,759	9,507
EMERSON ELECTRIC CO	19,168	18,828	17,394
EVERSOURCE ENERGY	14,705	14,308	18,778
EXXON MOBIL CORP	19,970	19,518	18,955
FACTSET RESEARCH SYSTEMS INC	9,829	9,829	15,362
GENERAL MILLS INC	13,984	13,777	18,346
GENL DYNAMICS CORP COM	13,672	13,460	29,698
GRAINGER W W INC	10,980	10,771	10,684
HARRIS CORP DEL	10,351	10,152	19,469
ILLINOIS TOOL WORKS INC	15,262	14,880	26,574
INTERNATIONAL BUSINESS MACHINE CORP	12,888	17,764	16,599
J M SMUCKER CO	15,742	15,473	19,977
JOHNSON & JOHNSON	19,470	19,106	25,922
KELLOGG COMPANY	14,346	14,114	16,732
LOWES COMPANIES INC	17,873	17,335	28,448
MCDONALDS CORP	12,579	12,298	15,702
MEDTRONIC PLC	15,452	15,176	21,155
MICROSOFT CORP	17,930	17,573	35,855
NEXTERA ENERGY INC	15,692	15,405	23,414
NIKE INC CLASS B	-	13,462	11,284
NORDSTROM INC	14,871	-	-
NORFOLK SOUTHERN CORP	14,255	13,915	20,317
NOVARTIS AG SPON ADR	14,464	14,195	14,568
PAYCHEX INC	14,042	13,687	22,891

PEPSICO INCORPORATED	14,472	14,040	18,520
PHILLIPS 66	14,580	14,361	19,442
POLARIS INDS INC	15,815	15,543	12,029
PRAXAIR INC	16,506	16,173	16,407
PROCTER & GAMBLE CO	14,108	13,756	15,134
QUALCOMM INC	13,448	17,927	17,082
SCANA CORP COM	6,310	6,171	9,233
SYSCO CORPORATION	13,608	13,417	13,478
TARGET CORP	14,569	14,019	22,148
THE SOUTHERN COMPANY	12,464	12,113	15,313
UNITED TECHNOLOGIES CORP	14,523	18,692	21,047
V F CORPORATION	15,227	15,358	18,673
VERSUM MATERIALS	1,337	-	-
WALMART STORES INC	19,559	19,281	17,833
WEC ENERGY GROUP INC	6,578	6,463	9,267
<b>Total</b>	<b>766,369</b>	<b>761,964</b>	<b>971,870</b>



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Security	2016 Beginning Cost Basis	2016 Ending Cost Basis	2016 Ending FMV
3M CO	-	25,976	27,678
ACCENTURE PLC IRELAND SHARES CLASS A	-	17,529	17,570
ALPHABET INC NON VOTING CAP STK CL C	-	47,283	51,712
AMC NETWORKS INC CLASS A	-	6,552	5,810
AMERICAN EXPRESS COMPANY	-	4,692	5,704
AMPHENOL CORP NEW CL A	-	28,149	32,726
AMGEN INC	19,400	-	-
APPLE INC X	30,603	31,744	33,935
AT & T INC	16,368	-	-
BANCOLATINOAMERICANO DE COMERCIO EXTERIOR S.A.	14,787	-	-
BANK OF AMERICA CORP	19,474	-	-
BIOGEN INC	-	3,736	4,821
BIOVERATIV INC	-	344	-
BORG WARNER INC	14,087	-	-
BRISTOL MYERS SQUIBB CO	-	33,906	27,759
CERNER CORP	-	14,758	13,216
CISCO SYSTEM INC	23,122	-	-
CITIGROUP INC NEW	30,717	-	-
COCA-COLA COMPANY	-	18,844	17,372
COMCAST CORP NEW CL A	-	18,596	20,715
CORNING, INC.	20,629	-	-
COSTCO WHSL CORP NEW COM	13,766	-	-
CVS HEALTH CORPORATION	12,391	46,231	37,561
DANAHER CORP	-	25,218	29,657
DISNEY WALT COMPANY	6,562	24,945	25,742
DOLLAR TREE STORES INC	-	40,857	35,348
DU PONTE E.I. DE NEMOURS AND COMPANY	14,671	-	-
ECOLAB INC	-	34,746	34,580
E M C CORP MASS	16,421	-	-
EOG RESOURCES INC	17,201	-	-
FACEBOOK INC CLASS A	-	20,471	20,824
FISERV INC	-	5,403	5,739
FORD MOTOR COMPANY	30,701	-	-
FORTIVE CORP	-	19,809	18,556
GILEAD SCIENCES INC	-	18,281	15,897
HENRY SCHEIN INC	-	16,674	15,323
HOME DEPOT INC	13,690	-	-
HUMANA INC	11,053	-	-
IDEX CORP	-	22,060	23,596
INTEL CORP	23,917	-	-

INTERNATIONAL BUSINESS MACHINE CORP	18,366	-	-
JP MORGAN CHASE & CO	31,427	-	-
KIMBERLY-CLARK CORP	9,868	-	-
LABORATORY CORP OF AMER HLDGS	-	18,745	17,716
LAUDER ESTEE COS INC CL A	-	18,036	15,680
LOWES COMPANIES INC	-	39,321	36,200
MACY'S INC	8,651	-	-
MARSH AND MC LENNAN COMPANIES INC	-	17,285	17,979
MASTERCARD INC CL A	-	31,406	35,002
MEDTRONIC PLC	13,645	-	-
MERCK & CO INC NEW	15,993	-	-
MICROSOFT CORP	25,295	21,332	41,261
MONDELEZ INTL INC	-	30,606	31,253
NAVIGATOR HOLDINGS LTD	21,556	-	-
NEXTERA ENERGY INC	28,230	-	-
NIKE INC CLASS B	-	16,282	15,554
NORFOLK SOUTHERN CORP	22,373	-	-
NOVARTIS AG SPON ADR	-	7,545	7,284
PEPSICO INCORPORATED	-	18,038	19,043
PFIZER INCORPORATED	21,219	-	-
PRAXAIR INC	-	23,101	24,024
PRICELINE GROUP INC COM NEW	-	20,957	23,457
PROCTER & GAMBLE CO	17,355	-	-
PRUDENTIAL FINANCIAL INC	16,937	-	-
ROSS STORIES INC (CALIF)	-	16,525	20,008
SANOFI-AVENTIS ADR	19,109	-	-
SCHLUMBERGER LTD	29,007	-	-
SCHWAB CHARLES CORP NEW	-	8,181	11,525
SONOCO PRODUCTS CO	18,246	-	-
SPECTRA ENERGY CORP	19,694	-	-
STARBUCKS CORP	-	17,622	17,988
TEXAS INSTRUMENTS INC	-	15,863	18,899
THERMO FISHER SCIENTIFIC INC	-	39,453	38,238
TIFFANY & CO NEW	11,492	-	-
TJX COS NEW	-	16,135	16,153
TRAVELERS COS INC/THE	16,110	-	-
UNION PACIFIC CORP	16,034	-	-
UNITEDHEALTH GROUP INC	16,990	-	-
VERISK ANALYTICS INC	-	12,197	12,257
VERIZON COMMUNICATIONS COM	23,005	-	-
VISA INC CLASS A	-	48,999	49,465
WASTE MGMT INC DEL	19,351	-	-
WELLS FARGO COMPANY	23,942	-	-
WHOLE FOODS MARKET INC	-	16,384	16,334
ZOETIS INC CLASS A	-	26,251	29,388
<b>Total</b>	<b>813,457</b>	<b>1,007,064</b>	<b>1,036,550</b>

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Security	2016 Beginning Cost Basis	2016 Ending Cost Basis	2016 Ending FMV
ALASKA AIR GROUP INC	35,607	-	-
ALLERGAN PLC	39,598	-	-
AMPHENOL CORP NEW CL A	-	41,734	40,992
CATHAY GENERAL BANCORP	56,739	-	-
CIENA CORP	48,723	-	-
CINCINNATI FINCL CORP	-	59,931	65,145
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	52,484	-	-
COLUMBIA PIPELINE GROUP	17,042	-	-
CONSOL ENERGY INC	-	56,081	64,133
EDWARDS LIFESCIENCE CORP	52,023	-	-
EXTRA SPACE STORAGE INC	46,249	-	-
FACEBOOK INC CLASS A	50,373	53,060	80,305
FACTSET RESEARCH SYSTEMS INC	56,588	-	-
FIRST AMERICAN FINL CORP	54,669	-	-
GRANITE CONSTRUCTION CO1140	-	57,493	65,725
HANCOCK HOLDING CO	-	56,593	60,512
HARTFORD FINL SVCS GROUP INC	42,980	-	-
HOLOGIC INC	44,239	-	-
HP INC	-	57,904	57,030
INGREDION INCORPORATED	-	60,247	62,355
LENNOX INTERNATIONAL	-	58,412	64,025
LOWES COMPANIES INC	53,156	-	-
METTLER-TOLEDO INTL IN	-	55,501	67,388
MINERALS TECHNOLOGIES INC	-	57,103	53,998
NABORS INDUSTRIES LTD	-	61,081	60,614
NEWELL BRANDS INC.	46,239	44,121	60,635
NISOURCE INC	23,390	-	-
NVIDIA CORP	41,338	33,748	89,021
ONEOK INC NEW	-	60,488	69,925
SEALED AIR CORP NEW	36,781	-	-
SEI INVESTMENTS CO	42,871	-	-
SERVICE CORP INTERNATIONAL	36,263	-	-
STEEL DYNAMICS INC	-	61,029	58,529
THOR INDUSTRIES	-	56,989	55,628
TOTAL SYSTEMS SVC INC	39,651	-	-
TYLER TECHNOLOGIES INC X	41,556	-	-
WASHINGTON FED INC	-	57,609	56,540
WASTE CONNECTIONS INC	-	53,120	66,330
WEBSTER FINANCIAL CORP WATERBURY CONN	56,823	-	-
WEST PHARMACEUTICAL SVCS INC	-	57,363	68,627

WESTAR ENERGY INC	-	47,315	62,436
WGL HOLDINGS INC	52,613	-	-
WPX ENERGY	-	57,098	56,415
<b>Total</b>	<b>1,067,995</b>	<b>1,204,020</b>	<b>1,386,309</b>

## Attachment #6

The West Foundation  
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Security	2016 Beginning Cost Basis	2016 Ending Cost Basis	2016 Ending Cost Basis
A O SMITH	-	11,623	11,080
ACADIA HEALTHCARE CO INC X	11,732	-	-
ACUITY BRANDS INC	6,632	17,822	20,777
ADOBE SYSTEMS INC	11,435	15,068	16,575
AFFILIATED MANAGERS GROUP	19,266	-	-
ALIGN TECH INC X	11,090	20,952	31,242
ALLIANCE DATA SYSTEMS CORP X	13,177	13,172	13,710
ALPHABET INC VOTING CAP STK CL A	15,746	22,390	22,981
AMAZON COM INC X	28,389	26,108	49,491
ARM HOLDINGS PLC SPONSORED ADR	12,266	-	-
B/E AEROSPACE INC	15,154	-	-
BERRY PLASTICS GROUP INC	-	5,611	6,091
BRIGHT HORIZONS FAMILY SOLUTIONS INC DEL	-	15,197	16,315
BURLINGTON STORES INC	-	13,682	16,272
CBRE GROUP INC CLASS A	10,620	-	-
CELGENE CORP X	20,832	25,579	30,327
CENTENE CORP	7,014	-	-
CHIPOTLE MEXICAN GRILL CL A	28,687	14,583	9,056
CORESITE REALTY CORP	-	9,621	10,794
CVS HEALTH CORPORATION	10,021	-	-
ECOLAB INC	-	12,296	13,363
EDWARDS LIFESCIENCE CORP	18,138	19,854	25,674
EOG RESOURCES INC	-	9,381	9,807
EQUINIX INC	-	10,364	10,722
FACEBOOK INC CLASS A	30,533	24,267	39,807
FIRST REPUBLIC BANK SAN FRANCISCO CALIF	6,230	10,890	14,927
FLEETCOR TECHNOLOGIES X	6,555	9,357	13,869
GARTNER INC NEW	12,225	10,843	21,629
GILEAD SCIENCES INC	4,381	-	-
GUIDEWIRE SOFTWARE INC	-	7,524	6,166
HUNTINGTON INGALLS INDUSTRIES INC	-	5,796	6,078
ICON PLC	7,969	-	-
IDEXX LABORATORIES INC	12,663	17,493	27,910
INC RESEARCH HOLDINGS INC CLASS A	-	5,588	5,891
INDEPENDENT BANK GROUP INC	-	5,886	7,862
INTUITIVE SURGICAL INC COM NEW	-	13,167	13,318
LINKEDIN CORP CLASS A	8,926	-	-
MANHATTAN ASSOCIATES INC	21,656	12,035	11,773
MARKETAXESS HOLDINGS INC	17,178	14,848	22,332
MASTERCARD INC CL A X	19,241	16,906	35,518

MIDDLEBY CORP	14,191	12,685	21,640
NIKE INC CLASS B	10,537	-	-
NXP SEMICONDUCTORS NV	13,063	-	-
REGENERON PHARMACEUTICAL INC	19,484	-	-
ROBERT HALF INTL INC	9,124	-	-
S&P GLOBAL INC	15,517	18,676	22,691
SABRE CORP	6,685	-	-
SALESFORCE.COM	17,480	7,201	6,367
SBA COMMUNICATIONS CORP	19,909	8,780	10,739
SERVICENOW INC	17,032	-	-
SHERWIN WILLIAMS CO	10,782	18,428	19,618
STARBUCKS CORP	18,327	21,864	28,981
T-MOBILE US INC	-	5,768	5,809
TRACTOR SUPPLY COMPANY	18,048	15,700	22,061
TYLER TECHNOLOGIES INC X	22,130	16,611	21,987
ULTA SALON COSMETICS & FRAGRANCE INC	21,203	10,567	17,081
ULTIMATE SOFTWARE GROUP INC X	6,320	11,036	10,212
VCA INC	-	5,509	5,629
	<b>627,587</b>	<b>570,728</b>	<b>734,172</b>