

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE SHARON D LUND FOUNDATION		A Employer identification number 23-7306460	
Number and street (or P O box number if mail is not delivered to street address) 725 TOWN AND COUNTRY ROAD NO 520		Room/suite	B Telephone number (see instructions) (714) 569-5000
City or town, state or province, country, and ZIP or foreign postal code ORANGE, CA 92868		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 121,849,925	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)		
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	702	702		
	4 Dividends and interest from securities	1,560,882	1,560,882		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	2,679,144			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		2,679,144		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	10,347	0		
	12 Total. Add lines 1 through 11	4,251,075	4,240,728		
	13 Compensation of officers, directors, trustees, etc	113,500	0		113,500
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	9,159	0		9,159
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	31,225	0		31,225
	c Other professional fees (attach schedule)	468,996	468,756		240
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	96,640	2,531		293
	19 Depreciation (attach schedule) and depletion	8,227	0		
	20 Occupancy	45,731	0		45,731
	21 Travel, conferences, and meetings	29,466	0		29,466
	22 Printing and publications				
	23 Other expenses (attach schedule)	28,029	0		28,029
	24 Total operating and administrative expenses. Add lines 13 through 23	830,973	471,287		257,643
	25 Contributions, gifts, grants paid	6,438,922			6,438,922
	26 Total expenses and disbursements. Add lines 24 and 25	7,269,895	471,287		6,696,565
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,018,820			
	b Net investment income (if negative, enter -0-)		3,769,441		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,233,755	963,851	963,851
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	201,674	164,167	164,167
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	61,662,962 	61,748,908	61,748,908
	c	Investments—corporate bonds (attach schedule)	17,698,495 	16,656,761	16,656,761
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	39,808,908 	42,288,158	42,288,158
	14	Land, buildings, and equipment basis ▶ _____ 41,532 Less accumulated depreciation (attach schedule) ▶ 17,204	30,472	24,328	24,328
15	Other assets (describe ▶ _____)	 3,752 	3,752 	3,752	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	120,640,018	121,849,925	121,849,925	
Liabilities	17	Accounts payable and accrued expenses	3,066		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 399,250 	455,559	
	23	Total liabilities (add lines 17 through 22)	402,316	455,559	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	120,237,702	121,394,366	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	120,237,702	121,394,366	
31	Total liabilities and net assets/fund balances (see instructions) .	120,640,018	121,849,925		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	120,237,702
2	Enter amount from Part I, line 27a	2	-3,018,820
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	4,175,484
4	Add lines 1, 2, and 3	4	121,394,366
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	121,394,366

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1 a PARTNERSHIP HOLDINGS	P		
b PARTNERSHIP PASS-THRU GAINS	P		
c PUBLICLY HELD SECURITIES	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 17,114,133		17,456,319	-342,186
b 612,672			612,672
c 10,031,919		7,623,261	2,408,658
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-342,186
b			612,672
c			2,408,658
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,679,144
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	6,625,459	125,271,284	0 052889
2014	6,345,592	126,429,283	0 050191
2013	5,585,199	116,732,456	0 047846
2012	5,521,302	107,110,196	0 051548
2011	5,050,521	106,500,761	0 047422

2 Total of line 1, column (d)	2	0 249896
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049979
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	119,352,656
5 Multiply line 4 by line 3	5	5,965,126
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	37,694
7 Add lines 5 and 6	7	6,002,820
8 Enter qualifying distributions from Part XII, line 4	8	6,696,565

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	37,694
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	37,694
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	37,694
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	201,861
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	201,861
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	164,167
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 164,167 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ROBERT L WILSON Telephone no (714) 569-5000			

Located at **725 TOWN AND COUNTRY ROAD NO 520 ORANGE CA** ZIP+4 **92868**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b			No

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b	

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

[illegible][illegible]

Total number of other employees paid over \$50,000.	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CTC CONSULTING 4380 SW MACADAM AVENUE PORTLAND, OR 97259	PORTFOLIO MANAGEMENT	125,502
RIVER ROAD ASSET MANAGEMENT 462 SOUTH 4TH STREET LOUISVILLE, KY 40202	PORTFOLIO MANAGEMENT	84,538

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions. 3	

Total. Add lines 1 through 3. **0**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	119,706,145
b	Average of monthly cash balances.	1b	1,271,817
c	Fair market value of all other assets (see instructions).	1c	192,247
d	Total (add lines 1a, b, and c).	1d	121,170,209
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	121,170,209
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,817,553
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	119,352,656
6	Minimum investment return. Enter 5% of line 5.	6	5,967,633

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,967,633
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	37,694
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	37,694
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,929,939
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	5,929,939
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,929,939

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,696,565
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	6,696,565
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	37,694
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,658,871

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,929,939
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 6,696,565				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				5,929,939
e Remaining amount distributed out of corpus	766,626			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	766,626			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	766,626			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	766,626			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	6,438,922
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | | |
|---|----|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | No |
|---|----|----|

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | | |
|-------------|--|
| Sign | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge |
|-------------|--|

***** 2017-11-13 *****

Signature of officer or trustee Date Title

(see instr. 12) ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	JUAN P LOPEZ CPA		2017-11-13		P01367411
	Firm's name ▶ LOPEZ ACCOUNTING GROUP				Firm's EIN ▶ 81-2737245
	Firm's address ▶ 3500 WEST OLIVE AVENUE SUITE 680 BURBANK, CA 91505				Phone no (818) 840-7075

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MICHELLE LUND 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	PRESIDENT 3 00	0	0	0
BRADFORD LUND 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	VICE PRESIDENT 3 00	0	0	0
KAREN PRESLEY 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	VICE PRESIDENT 40 00	56,750	0	0
MARIE SACKETT 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	VICE PRESIDENT 40 00	56,750	0	0
ROBERT WILSON 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	SECRETARY/TREASURER 3 00	0	0	0
ERIC GOWEY 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	ASST SECRETARY/TREASURER 3 00	0	0	0
GLORIA WILSON 725 TOWN AND COUNTRY ROAD ORANGE, CA 92868	DIRECTOR 3 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A PLACE CALLED HOME 2830 S CENTRAL AVENUE LOS ANGELES, CA 90011		PC	GENERAL OPERATIONS	50,000
ART DIVISION PO BOX 57630 LOS ANGELES, CA 90057		PC	GENERAL OPERATIONS	30,000
BAGS4KIDS PO BOX 2234 CALIFORNIA CITY, CA 93504		PC	GENERAL OPERATIONS	10,000
BEST BUDDIES 5601 W SLAUSON AVE 255 CULVER CITY, CA 90230		PC	GENERAL OPERATIONS	35,000
BOYS & GIRLS CLUB OF GILBERT 2969 N GREENFIELD RD GILBERT, AZ 85016		PC	GENERAL OPERATIONS	35,000
Total ▶ 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOYS & GIRLS CLUBS - PHOENIX 44 N OAK ST PHOENIX, AZ 85233		PC	GENERAL OPERATIONS	50,000
CALIFORNIA COMMUNITY FOUNDATION 221 S FIGUEROA ST SUITE 400 LOS ANGELES, CA 90012		PC	GENERAL OPERATIONS	463,500
CALIFORNIA INSTITUTE OF THE ARTS 24700 MCBEAN PKWY VALENCIA, CA 91355		PC	GENERAL OPERATIONS	600,000
CANINE COMPANIONS FOR INDEPENDENCE PO BOX 4568 OCEANSIDE, CA 92052		PC	GENERAL OPERATIONS	175,000
CHILD SHARE 1544 W GLENOAKS BLVD GLENDALE, CA 91201		PC	GENERAL OPERATIONS	50,000
Total ▶ 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDHELP USA 2120 N CENTRAL AVE SUITE 130 PHOENIX, AZ 85004		PC	GENERAL OPERATIONS	150,000
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BLVD MAILSTOP 29 LOS ANGELES, CA 90027		PC	GENERAL OPERATIONS	500,000
CHOC CHILDREN'S FOUNDATION 1201 WEST LAVETA AVENUE ORANGE, CA 92868		PC	GENERAL OPERATIONS	600,000
CURTIS SCHOOL 15871 MULLHOLAND DRIVE LOS ANGELES, CA 90049		PC	GENERAL OPERATIONS	35,000
DR SUSAN LOVE RESEARCH FOUNDATION 2811 WILSHIRE BLVD 500 SANTA MONICA, CA 90403		PC	GENERAL OPERATIONS	275,000
Total 				6,438,922
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EARTHFIRE PO BOX 368 DRIGGS, ID 83422		PC	GENERAL OPERATIONS	50,000
FREE WHEELCHAIR MISSION 15279 ALTON PKWAY 300 IRVINE, CA 92618		PC	GENERAL OPERATIONS	100,000
FRIENDLIES CENTER 147 W ROSE AVE ORANGE, CA 92867		PC	GENERAL OPERATIONS	1,500
FROSTIG CENTER 971 N ALTADENA DRIVE PASADENA, CA 91107		PC	GENERAL OPERATIONS	535,000
GIVE KIDS THE WORLD 210 S BASS RD KISSIMMEE, FL 34746		PC	GENERAL OPERATIONS	175,000
Total 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GUIDE DOGS OF AMERICA 13445 GLENOAKS BLVD SYLMAR, CA 91342		PC	GENERAL OPERATIONS	50,000
GUIDE DOGS OF THE DESERT PO BOX 1692 PALMS SPRINGS, CA 92663		PC	GENERAL OPERATIONS	50,000
HOMEAID 24 EXECUTIVE PARK SUITE 100 IRVINE, CA 92614		PC	GENERAL OPERATIONS	150,000
JOHN TRACY CLINIC 806 W ADAMS BLVD LOS ANGELES, CA 90007		PC	GENERAL OPERATIONS	80,000
MAKE A WISH LA 1875 CENTURY PARK EAST 950 LOS ANGELES, CA 90067		PC	GENERAL OPERATIONS	25,000
Total ► 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAKE A WISH OC 3230 EL CAMINO REAL 100 IRVINE, CA 92602		PC	GENERAL OPERATIONS	25,000
OLIVE CREST 2130 EAST 4TH STREET 200 SANTA ANA, CA 92705		PC	GENERAL OPERATIONS	50,000
OPERATION SMILE - MISSION 3641 FACULTY BLVD VIRGINIA BEACH, VA 23453		PC	GENERAL OPERATIONS	500,000
PHOENIX CHILDREN'S HOSPITAL 2701 N 16TH STREET 120 PHOENIX, AZ 85006		PC	GENERAL OPERATIONS	500,000
RIVERVIEW SCHOOL 551 ROUTE 6A SANDWICH CAPE COD, MA 02537		PC	GENERAL OPERATIONS	100,000
Total ▶ 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RONALD MCDONALD HOUSE OF SC 383 S BATAVIA STREET ORANGE, CA 92868		PC	GENERAL OPERATIONS	25,000
RYMAN-CARROLL FOUNDATION 1933 S BROADWAY 11TH FLOOR LOS ANGELES, CA 90007		PC	GENERAL OPERATIONS	200,000
SAVE THE FAMILY 125 E UNIVERSITY MESA, AZ 85201		PC	GENERAL OPERATIONS	100,000
SCHOOL ON WHEELS PO BOX 23371 VENTURA, CA 93002		PC	GENERAL OPERATIONS	30,000
SEGERSTROM CENTER FOR THE ARTS 600 TOWN CENTER DRIVE COSTA MESA, CA 92626		PC	GENERAL OPERATIONS	200,000
Total 				6,438,922
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHARE OUR SELVES (SOS) 1550 SUPERIOR AVENUE COSTA MESA, CA 92627		PC	GENERAL OPERATIONS	25,000
SONGS OF LOVE PO BOX 750809 FOREST HILLS, NY 11375		PC	GENERAL OPERATIONS	5,000
THE SHEEPFOLD PO BOX 4487 ORANGE, CA 92863		PC	GENERAL OPERATIONS	53,922
THE WOODEN FLOOR 1810 NORTH MAIN STREET SANTA ANA, CA 92706		PC	GENERAL OPERATIONS	50,000
THE YOUNG AMERICANS 1132 OLYMPIC DR CORONA, CA 92881		PC	GENERAL OPERATIONS	25,000
Total ► 3a				6,438,922

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED FRIENDS OF CHILDREN 1055 WILSHIRE BLVD 1955 LOS ANGELES, CA 90017		PC	GENERAL OPERATIONS	30,000
VOA - MAUD BOOTH CENTER 3600 WILSHIRE BLVD 1500 LOS ANGELES, CA 90010		PC	GENERAL OPERATIONS	100,000
WALT DISNEY FAMILY MUSEUM 104 MONTGOMERY ST IN THE PRESIDIO SAN FRANCISCO, CA 94129		PC	GENERAL OPERATIONS	25,000
WALT DISNEY MUSEUM MARCELINE M 207 MAIN STREET MARCELINE, MO 64658		PC	GENERAL OPERATIONS	70,000
WESTMARK SCHOOL 5461 LOUISE AVE ENCINO, CA 91316		PC	GENERAL OPERATIONS	50,000
Total ▶ 3a				6,438,922

TY 2016 Accounting Fees Schedule**Name:** THE SHARON D LUND FOUNDATION**EIN:** 23-7306460

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	31,225	0		31,225

TY 2016 Investments Corporate Bonds Schedule

Name: THE SHARON D LUND FOUNDATION

EIN: 23-7306460

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PUBLICLY TRADED BONDS	16,656,761	16,656,761

TY 2016 Investments Corporate Stock Schedule

Name: THE SHARON D LUND FOUNDATION

EIN: 23-7306460

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PUBLICLY TRADED STOCKS	61,748,908	61,748,908

TY 2016 Investments - Other Schedule

Name: THE SHARON D LUND FOUNDATION

EIN: 23-7306460

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARTNERSHIPS AND ALTERNATIVE INVESTMENTS	AT COST	42,288,158	42,288,158

TY 2016 Other Assets Schedule

Name: THE SHARON D LUND FOUNDATION

EIN: 23-7306460

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSIT	3,752	3,752	3,752

TY 2016 Other Expenses Schedule**Name:** THE SHARON D LUND FOUNDATION**EIN:** 23-7306460**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DATA PROCESSING	1,333	0		1,333
FILING FEES	220	0		220
INSURANCE	10,976	0		10,976
OFFICE EXPENSE	6,644	0		6,644
POSTAGE	377	0		377
TELEPHONE	7,799	0		7,799
TICKETS	680	0		680

TY 2016 Other Income Schedule

Name: THE SHARON D LUND FOUNDATION

EIN: 23-7306460

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PASSTHROUGH K-1 INCOME	10,347		10,347

TY 2016 Other Increases Schedule

Name: THE SHARON D LUND FOUNDATION
EIN: 23-7306460

Description	Amount
UNREALIZED GAIN ON SECURITIES	4,175,484

TY 2016 Other Liabilities Schedule**Name:** THE SHARON D LUND FOUNDATION**EIN:** 23-7306460

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAXES	399,250	455,559

TY 2016 Other Professional Fees Schedule**Name:** THE SHARON D LUND FOUNDATION**EIN:** 23-7306460

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT	468,756	468,756		0
ADMINISTRATIVE SERVICES	240	0		240

TY 2016 Taxes Schedule**Name:** THE SHARON D LUND FOUNDATION**EIN:** 23-7306460

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	93,816	0		0
FOREIGN TAXES	2,531	2,531		0
PROPERTY TAXES	293	0		293