

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2016**  
**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016**

Name of foundation R M ECCLES & E M ECCLES FDN		<b>A Employer identification number</b> 23-7261807	
Number and street (or P O box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR		<b>B Telephone number (see instructions)</b> (412) 762-8133	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,081,205		<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	78,965	78,965		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	76,332			
	<b>b</b> Gross sales price for all assets on line 6a 1,340,791				
	<b>7</b> Capital gain net income (from Part IV, line 2)		76,332		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	3,621				
<b>12 Total.</b> Add lines 1 through 11	158,918	155,297			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	40,515	20,258		20,257
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	2,229	987		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	12,090			12,090
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	54,834	21,245	0	32,347
	<b>25</b> Contributions, gifts, grants paid	157,426			157,426
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	212,260	21,245	0	189,773	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-53,342				
<b>b Net investment income</b> (if negative, enter -0-)		134,052			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	200,427	155,014	155,014
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	742,967	759,374	978,629
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	2,592,570	2,568,229	2,947,562
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,535,964	3,482,617	4,081,205	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	3,471,245	3,430,177	
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	64,719	52,440		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	3,535,964	3,482,617		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	3,535,964	3,482,617		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	3,535,964
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-53,342
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	3,482,622
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	5
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	3,482,617

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )	
<b>1a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float:right">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>					<b>2</b> 76,332
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					<b>3</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	184,803	4,050,083	0 045629
2014	159,864	4,082,625	0 039157
2013	137,955	3,822,016	0 036095
2012	118,338	3,575,764	0 033094
2011	129,899	3,521,979	0 036882
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 190857
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 038171
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 3,886,269
<b>5</b> Multiply line 4 by line 3			<b>5</b> 148,343
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,341
<b>7</b> Add lines 5 and 6			<b>7</b> 149,684
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 189,773

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Includes sub-table for 2016 estimated tax payments (6a-6d).

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaign participation, political expenditures, unrelated business income, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of PNC BANK NA Telephone no (412) 762-8133
Located at 620 LIBERTY AVENUE PITTSBURGH PA ZIP+4 152222705
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>5b</b>		
			<b>No</b>
	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK NA 620 LIBERTY AVENUE PITTSBURGH, PA 152222705	TRUSTEE 9	40,515		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.  0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services.</b>		<b>0</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	3,945,451
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	0
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	3,945,451
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	3,945,451
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	59,182
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,886,269
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	194,313

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	194,313
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	1,341
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,341
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	192,972
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	192,972
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	192,972

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	189,773
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	189,773
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	1,341
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	188,432

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				192,972
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			157,415	
<b>b</b> Total for prior years 2014, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .	0			
<b>b</b> From 2012. . . . .	0			
<b>c</b> From 2013. . . . .	0			
<b>d</b> From 2014. . . . .	0			
<b>e</b> From 2015. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>189,773</u>				
<b>a</b> Applied to 2015, but not more than line 2a			157,415	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				32,358
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				160,614
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .	0			
<b>b</b> Excess from 2013. . . . .	0			
<b>c</b> Excess from 2014. . . . .	0			
<b>d</b> Excess from 2015. . . . .	0			
<b>e</b> Excess from 2016. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
PNC Charitable Trust Grant Review C  
The Tower at PNC Plaza 300 Fifth Av  
PITTSBURGH, PA 15222  
(412) 762-5157

**b** The form in which applications should be submitted and information and materials they should include  
Please reference the name of the trust in all communications See footnote

**c** Any submission deadlines  
See website [www.pncsites.com/pncfoundation/charitable\\_trusts.html](http://www.pncsites.com/pncfoundation/charitable_trusts.html) for specific information

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
See website [www.pncsites.com/pncfoundation/charitable\\_trusts.html](http://www.pncsites.com/pncfoundation/charitable_trusts.html) for specific information

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	157,426
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2524 698 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2011-06-01	2016-01-11
70 ISHARES IBOX \$ INVESTMENT GRADE CORPORATE BOND		2015-05-11	2016-01-11
100 PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND FUND		2013-08-21	2016-01-11
1067 616 TEMPLETON GLOBAL BOND FUND AD FUND		2011-09-07	2016-01-11
85 VANGUARD TOTAL BOND MARKET ETF		2010-02-12	2016-01-11
1 SCHERING-PLOUGH CORP		2001-01-01	2016-01-20
72 53 AQR MANAGED FUTURES STRATEGY FUND CLASS I		2014-12-11	2016-01-25
1819 791 AQR MANAGED FUTURES STRATEGY FUND CLASS I		2015-07-24	2016-01-25
6513 449 DRIEHAUS ACTIVE INCOME FUND FUND 640		2015-10-27	2016-01-25
7733 38 GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CL I		2015-10-27	2016-01-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,000		24,997	-1,997
8,012		8,275	-263
9,078		10,585	-1,507
12,000		14,701	-2,701
6,893		6,741	152
70			70
764		823	-59
19,181		19,636	-455
62,985		66,307	-3,322
66,739		70,451	-3,712

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,997
			-263
			-1,507
			-2,701
			152
			70
			-59
			-455
			-3,322
			-3,712

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
65 BOEING CO		2012-09-24	2016-01-29
168 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-05
275 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2013-05-09	2016-02-05
7 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-08
40 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-08
90 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2013-05-09	2016-02-08
73 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-16
4 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-16
38 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-17
80 APPLE INC		2013-10-10	2016-03-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,771		4,516	3,255
6,381		7,212	-831
7,446		10,022	-2,576
260		301	-41
1,455		1,717	-262
2,319		2,976	-657
6,789		7,831	-1,042
372		425	-53
3,533		4,034	-501
8,020		7,803	217

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,255
			-831
			-2,576
			-41
			-262
			-657
			-1,042
			-53
			-501
			217

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100 SKYWORKS SOLUTIONS INC COM		2014-03-21	2016-03-02
220 KROGER CO		2014-05-07	2016-03-11
55 SNAP-ON INC COM		2014-09-12	2016-03-17
5 SNAP-ON INC COM		2014-09-12	2016-03-17
5295 728 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2010-08-17	2016-03-18
360 ISHARES TR RUSSELL MIDCAP INDEX FD		2009-04-27	2016-03-18
460 ISHARES TR RUSSELL 2000		2009-09-09	2016-03-18
4344 767 TEMPLETON GLOBAL BOND FUND AD FUND		2011-08-19	2016-03-18
477 413 DIAMOND HILL LONG-SHORT FUND CL I		2015-04-27	2016-04-27
1745 805 DIAMOND HILL LONG-SHORT FUND CL I		2014-12-11	2016-04-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,879		3,857	3,022
8,457		5,063	3,394
8,581		6,923	1,658
780		629	151
48,350		51,928	-3,578
58,357		33,751	24,606
50,383		34,734	15,649
49,400		59,288	-9,888
11,701		11,643	58
42,790		41,742	1,048

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,022
			3,394
			1,658
			151
			-3,578
			24,606
			15,649
			-9,888
			58
			1,048

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
172 194 T ROWE PRICE REAL ESTATE FUND FD 122		2015-07-24	2016-04-27
64 AMERIPRISE FINANCIAL INC		2015-01-23	2016-04-29
10 ALTRIA GROUP INC		2014-11-17	2016-05-02
6 AMERIPRISE FINANCIAL INC		2015-01-23	2016-05-02
5 AMGEN INC		2014-11-17	2016-05-02
5 CVS CAREMARK CORP		2014-11-17	2016-05-02
20 CISCO SYS INC COM		2015-03-26	2016-05-02
5 EDWARDS LIFESCIENCES CORP		2015-02-06	2016-05-02
5 EXXON MOBIL CORP		2014-11-17	2016-05-02
10 FOOT LOCKER INC		2014-11-17	2016-05-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,866		4,549	317
6,142		8,307	-2,165
628		491	137
584		778	-194
793		797	-4
502		449	53
549		544	5
534		336	198
440		475	-35
616		550	66

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			317
			-2,165
			137
			-194
			-4
			53
			5
			198
			-35
			66

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 HONEYWELL INTL INC		2015-07-20	2016-05-02
5 THE TRAVELERS COS INC		2015-08-13	2016-05-02
15 VISA INC CLASS A SHARES		2013-02-11	2016-05-02
10 WEC ENERGY GROUP INC		2014-11-17	2016-05-02
10 WELLS FARGO & CO NEW COM		2014-11-17	2016-05-02
5 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-05-02
10 CHECK POINT SOFTWARE COM		2014-11-17	2016-05-02
271 CISCO SYS INC COM		2014-07-01	2016-05-05
46 MICROSOFT CORP		2015-12-18	2016-05-05
100 APPLE INC		2009-08-05	2016-05-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
572		531	41
551		536	15
1,167		591	576
583		487	96
503		535	-32
561		503	58
828		766	62
7,112		7,156	-44
2,297		2,523	-226
9,249		4,226	5,023

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			41
			15
			576
			96
			-32
			58
			62
			-44
			-226
			5,023

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
264 CISCO SYS INC COM		2011-11-14	2016-05-06
124 L BRANDS INC		2014-09-26	2016-05-06
6 L BRANDS INC		2014-09-26	2016-05-06
6 MICROSOFT CORP		2015-12-18	2016-05-06
23 MICROSOFT CORP		2015-12-18	2016-05-06
20 MICROSOFT CORP		2014-02-11	2016-05-06
35 THE TRAVELERS COS INC		2015-08-13	2016-05-19
120 THE TRAVELERS COS INC		2014-05-02	2016-05-19
48 LINCOLN NATIONAL CORP		2014-09-15	2016-05-20
81 LINCOLN NATIONAL CORP		2013-11-14	2016-05-23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,944		6,111	833
8,619		8,579	40
416		411	5
299		329	-30
1,152		1,261	-109
1,002		928	74
3,853		3,753	100
13,209		11,039	2,170
2,150		2,630	-480
3,595		4,232	-637

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			833
			40
			5
			-30
			-109
			74
			100
			2,170
			-480
			-637

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
146 LINCOLN NATIONAL CORP		2013-11-14	2016-05-24
1 ST JUDE MEDICAL, INC SECURITIES LITIGATION		2001-01-01	2016-05-24
70 GENERAL ELEC CO COM		2010-05-04	2016-05-26
16 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-05-26
10 NORTHROP GRUMMAN CORPORATION		2015-07-20	2016-05-27
14 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-05-27
95 HORMEL FOODS CORP		2016-02-08	2016-06-02
20 HORMEL FOODS CORP		2016-02-08	2016-06-02
105 HORMEL FOODS CORP		2016-02-05	2016-06-03
30 ALASKA AIR GROUP INC		2015-11-17	2016-06-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,584		7,230	-646
135		25	110
2,103		1,303	800
3,423		2,743	680
2,140		1,700	440
2,996		2,400	596
3,252		3,815	-563
687		803	-116
3,637		4,168	-531
1,812		2,354	-542

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-646
			110
			800
			680
			440
			596
			-563
			-116
			-531
			-542

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
30 CVS CAREMARK CORP		2013-02-22	2016-06-16
70 ALASKA AIR GROUP INC		2015-11-16	2016-06-17
20 ALASKA AIR GROUP INC		2015-11-16	2016-06-20
47 EDWARDS LIFESCIENCES CORP		2015-02-06	2016-06-23
219 PRINCIPAL FINANCIAL GROUP COM		2014-08-06	2016-06-23
70 PRINCIPAL FINANCIAL GROUP COM		2015-08-13	2016-06-23
53 EDWARDS LIFESCIENCES CORP		2015-02-06	2016-06-24
46 PRINCIPAL FINANCIAL GROUP COM		2014-08-06	2016-06-24
129 FOOT LOCKER INC		2014-04-08	2016-06-30
12 FOOT LOCKER INC		2014-04-08	2016-06-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,862		1,937	925
4,191		5,458	-1,267
1,197		1,526	-329
4,655		3,154	1,501
9,751		11,088	-1,337
3,117		3,987	-870
5,113		3,556	1,557
1,868		2,300	-432
7,086		5,887	1,199
660		543	117

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			925
			-1,267
			-329
			1,501
			-1,337
			-870
			1,557
			-432
			1,199
			117

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
44 FOOT LOCKER INC		2014-04-08	2016-07-01
142 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-01-29	2016-07-13
78 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-01-29	2016-07-14
1 PFIZER INC 717081103		2001-01-01	2016-07-19
31 CELANESE CORP-SERIES A		2015-10-26	2016-07-21
59 DOW CHEMICAL CO		2015-04-29	2016-07-21
11 DOW CHEMICAL CO		2015-04-29	2016-07-21
15 PPG INDS INC COM		2015-03-02	2016-07-21
25 PPG INDS INC COM		2015-02-27	2016-07-21
14 CELANESE CORP-SERIES A		2015-10-26	2016-07-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,414		1,991	423
8,375		8,557	-182
4,645		4,441	204
135		25	110
2,163		2,166	-3
3,094		3,054	40
576		569	7
1,628		1,778	-150
2,710		2,957	-247
979		978	1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			423
			-182
			204
			110
			-3
			40
			7
			-150
			-247
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
699 169 DIAMOND HILL LONG-SHORT FUND CL I		2014-12-11	2016-07-26
1361 634 EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND		2016-04-27	2016-07-26
3166 952 EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND		2014-12-11	2016-07-26
8359 825 EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND		2016-01-25	2016-07-26
1747 366 NEUBERGER BERMAN REAL ESTATE FUND CLASS I		2016-04-27	2016-07-26
174 SPDR GOLD TRUST ETF		2016-04-27	2016-07-26
57 CIGNA CORP		2014-07-15	2016-08-02
13 CIGNA CORP		2014-07-15	2016-08-02
100 GILEAD SCIENCES INC COM		2015-09-14	2016-08-02
313 FORD MTR CO DEL COM PAR \$0 01		2016-05-06	2016-08-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,004		16,717	287
12,336		12,255	81
28,693		29,421	-728
75,740		74,904	836
27,137		24,917	2,220
21,913		20,756	1,157
7,083		5,346	1,737
1,612		1,219	393
7,980		10,926	-2,946
3,820		4,208	-388

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			287
			81
			-728
			836
			2,220
			1,157
			1,737
			393
			-2,946
			-388

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
29 TRACTOR SUPPLY CO COM		2015-04-21	2016-08-05
382 FORD MTR CO DEL COM PAR \$0 01		2016-05-09	2016-08-08
96 TRACTOR SUPPLY CO COM		2015-04-21	2016-08-08
5 TRACTOR SUPPLY CO COM		2015-04-22	2016-08-08
4529 187 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2010-08-17	2016-08-16
690 449 HARBOR FD CAP APPRECIATION FD		2014-01-10	2016-08-16
1164 403 MFS VALUE FUND CLASS I FUND 893		2014-01-10	2016-08-16
4158 607 WESTERN ASSET TOT RET UN-IN		2015-07-10	2016-08-16
21 CHECK POINT SOFTWARE COM		2014-09-05	2016-08-19
57 CHECK POINT SOFTWARE COM		2014-09-05	2016-08-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,531		2,624	-93
4,660		5,102	-442
8,151		8,675	-524
430		451	-21
42,891		43,163	-272
42,000		39,204	2,796
42,000		39,307	2,693
43,000		43,166	-166
1,597		1,517	80
4,304		4,117	187

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-93
			-442
			-524
			-21
			-272
			2,796
			2,693
			-166
			80
			187

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
42 CHECK POINT SOFTWARE COM		2014-09-05	2016-08-23
31 CELANESE CORP-SERIES A		2015-07-10	2016-08-24
47 CELANESE CORP-SERIES A		2015-07-10	2016-08-25
62 CELANESE CORP-SERIES A		2015-07-10	2016-08-26
100 DOLLAR GENERAL CORP		2016-06-30	2016-08-31
29 CINTAS CORP		2015-03-27	2016-09-07
160 WELLS FARGO & CO NEW COM		2009-08-05	2016-09-07
16 CINTAS CORP		2015-03-27	2016-09-08
20 CINTAS CORP		2015-03-27	2016-09-09
206 KROGER CO		2014-05-07	2016-09-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,196		3,034	162
2,049		2,109	-60
3,101		3,197	-96
4,056		4,217	-161
7,351		9,384	-2,033
3,431		2,472	959
7,949		4,588	3,361
1,878		1,317	561
2,288		1,646	642
6,364		4,741	1,623

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			162
			-60
			-96
			-161
			-2,033
			959
			3,361
			561
			642
			1,623

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
34 KROGER CO		2014-05-07	2016-09-13
40 EXXON MOBIL CORP		2014-11-17	2016-09-16
34 HONEYWELL INTL INC		2015-05-26	2016-09-16
6 HONEYWELL INTL INC		2015-05-26	2016-09-16
45 SCHLUMBERGER LTD COM		2014-04-02	2016-09-16
246 D R HORTON INC		2015-10-15	2016-09-26
5 D R HORTON INC		2015-10-15	2016-09-26
4 D R HORTON INC		2015-10-15	2016-09-26
55 D R HORTON INC		2015-10-15	2016-09-27
59 PPG INDS INC COM		2015-02-27	2016-10-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,049		783	266
3,362		3,800	-438
3,880		3,590	290
684		629	55
3,427		4,385	-958
7,360		7,353	7
150		147	3
120		118	2
1,653		1,619	34
5,474		6,958	-1,484

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			266
			-438
			290
			55
			-958
			7
			3
			2
			34
			-1,484

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 PPG INDS INC COM		2015-02-27	2016-10-14
8 ADVANSIX INC - W/I		2015-02-02	2016-10-17
142 CVS CAREMARK CORP		2013-02-22	2016-10-19
8 CVS CAREMARK CORP		2013-02-22	2016-10-19
50 LOCKHEED MARTIN CORP		2013-11-25	2016-10-21
25 PUBLIC STORAGE INC		2015-09-03	2016-10-21
1102 66 AQR EQUITY MARKET NEUTRAL-I		2016-07-26	2016-10-24
1160 817 AQR MANAGED FUTURES STRATEGY FUND CLASS I		2016-07-26	2016-10-24
2315 164 GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CL I		2016-07-26	2016-10-24
30 PUBLIC STORAGE INC		2015-09-03	2016-10-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,022		1,297	-275
13		11	2
12,265		7,373	4,892
690		415	275
11,532		7,097	4,435
5,287		5,080	207
12,879		12,725	154
11,527		11,910	-383
21,253		21,091	162
6,338		6,064	274

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-275
			2
			4,892
			275
			4,435
			207
			154
			-383
			162
			274

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
166 913 T ROWE PRICE REAL ESTATE FUND FD 122		2016-07-26	2016-10-24
363 304 VAN ECK GLOBAL HARD ASSETS FUND CLASS I FD 408		2016-07-26	2016-10-24
19 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-27
14 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-27
4 ADVANSIX INC - W/I		2015-02-02	2016-10-28
37 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-28
13 ALTRIA GROUP INC		2013-05-14	2016-11-11
57 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-11-11
72 ALTRIA GROUP INC		2013-05-14	2016-11-14
98 AMERICAN ELECTRIC POWER INC		2015-10-14	2016-11-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,705		5,113	-408
13,489		12,588	901
2,348		2,676	-328
1,707		1,972	-265
63		56	7
4,484		5,211	-727
805		542	263
3,436		3,341	95
4,409		2,665	1,744
5,742		5,709	33

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-408
			901
			-328
			-265
			7
			-727
			263
			95
			1,744
			33

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35 EXXON MOBIL CORP		2014-11-17	2016-12-09
30 JOHNSON & JOHNSON COM		2016-08-02	2016-12-09
55 TOTAL FINA S A		2015-08-12	2016-12-09
35 TOTAL FINA S A		2015-08-12	2016-12-09
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,103		3,325	-222
3,363		3,745	-382
2,695		2,789	-94
1,717		1,775	-58
			29,165

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-222
			-382
			-94
			-58

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOUTHERN CLARION COUNTY AMBULANCE 10515 PA-68 RIMERSBURG, PA 16248	NONE	PC	HEART MONITOR/DEFIBRILLATOR	15,000
RIMERSBURG MEDICAL CENTER PO BOX 366 RIMERSBURG, PA 16248	NONE	PC	HEALTHCARE FOR RESIDENTS OF	38,872
BRIDGE BUILDERS COMMUNITY FOUNDATION  206 SENECA STREET NATIONAL TRANSIT OIL CITY, PA 16301	NONE	PC	OPERATING EXPENSES AND	81,500
UNION ENHANCEMENT FOUNDATION 354 BAKER ST STE 2 RIMERSBURG, PA 16248	NONE	PC	CAFETERIA UPGRADE	7,330
UNION ENHANCEMENT FOUNDATION 354 BAKER ST STE 2 RIMERSBURG, PA 16248	NONE	PC	UHS STEMS PROGRAM	2,300
UNION ENHANCEMENT FOUNDATION 354 BAKER ST STE 2 RIMERSBURG, PA 16248	NONE	PC	KEEP WARM PROGRAM	11,224
UNION ENHANCEMENT FOUNDATION 354 BAKER ST STE 2 RIMERSBURG, PA 16248	NONE	PC	BASEBALL CAMP	1,200
<b>Total . . . . . ▶</b> <b>3a</b>				157,426

**TY 2016 Investments - Other Schedule****Name:** R M ECCLES & E M ECCLES FDN**EIN:** 23-7261807

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
MUTUAL FUNDS - FIXED INCOME	AT COST	935,634	934,654
MUTUAL FUNDS - EQUITY	AT COST	1,301,219	1,669,006
MUTUAL FUNDS - ALT INVESTMENTS	AT COST	331,376	343,902

**TY 2016 Other Decreases Schedule****Name:** R M ECCLES & E M ECCLES FDN**EIN:** 23-7261807

<b>Description</b>	<b>Amount</b>
ROUNDING ADJ FOR SALES AND TRANSACTIONS	5

**TY 2016 Other Expenses Schedule****Name:** R M ECCLES & E M ECCLES FDN**EIN:** 23-7261807**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES	12,090	0		12,090

**TY 2016 Other Income Schedule****Name:** R M ECCLES & E M ECCLES FDN**EIN:** 23-7261807**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	3,621	0	

**TY 2016 Taxes Schedule****Name:** R M ECCLES & E M ECCLES FDN**EIN:** 23-7261807

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	241	241		0
FEDERAL ESTIMATES - PRINCIPAL	1,242	0		0
FOREIGN TAXES ON QUALIFIED FOR	713	713		0
FOREIGN TAXES ON NONQUALIFIED	33	33		0