

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation ROGER KRESGE FOUNDATION, INC. C/O CAROL KRESGE		A Employer identification number 23-7081254	
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 910		B Telephone number (607) 786-0968	
City or town, state or province, country, and ZIP or foreign postal code BINGHAMTON, NY 13902-0910		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,759,084. (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books (b) Net investment income (c) Adjusted net income (d) Disbursements for charitable purposes (cash basis only)	
1 Contributions, gifts, grants, etc., received Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments			N/A
4 Dividends and interest from securities		8.	8.
5a Gross rents		120,079.	120,079.
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a		13,779.	STATEMENT 1
7 Capital gain net income (from Part IV, line 2)		21,646.	STATEMENT 2
8 Net short-term capital gain			STATEMENT 3
9 Income modifications Gross sales less returns and allowances			
10a Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income		422.	STATEMENT 4
12 Total. Add lines 1 through 11		134,288.	142,155.
13 Compensation of officers, directors, trustees, etc		68,000.	51,000.
14 Other employee salaries and wages			
15 Pension plans, employee benefits		12,040.	1,530.
16a Legal fees		STMT 5	7,120.
b Accounting fees		STMT 6	7,860.
c Other professional fees		STMT 7	11,042.
17 Interest			1,418.
18 Taxes		STMT 8	158.
19 Depreciation and depletion			0.
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses		STMT 9	23,073.
24 Total operating and administrative expenses. Add lines 13 through 23			4,222.
25 Contributions, gifts, grants paid			18,851.
26 Total expenses and disbursements. Add lines 24 and 25			126,766.
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements			41,682.
b Net investment income (if negative, enter -0-)			82,917.
c Adjusted net income (if negative, enter -0-)			4,500.
			131,266.
			41,682.
			87,417.
			3,022.
			100,473.
			N/A

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

23-7081254

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing				
2	Savings and temporary cash investments		53,302.	153,854.	153,854.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges		3,984.	3,975.	3,975.
10a	Investments - U.S. and state government obligations	STMT 10	419,900.	0.	0.
b	Investments - corporate stock	STMT 11	1,273,562.	0.	0.
c	Investments - corporate bonds	STMT 12	525,339.	26,617.	40,653.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 13	416,924.	2,513,987.	2,560,602.
14	Land, buildings, and equipment: basis ►	7,984.			
	Less accumulated depreciation	STMT 14 ►	7,984.	158.	0.
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,693,169.	2,698,433.	2,759,084.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ► PAYROLL LIABILITIES)		627.	2,869.	
23	Total liabilities (add lines 17 through 22)		627.	2,869.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
Net Assets or Fund Balances	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		2,692,542.	2,695,564.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		2,692,542.	2,695,564.	
31	Total liabilities and net assets/fund balances		2,693,169.	2,698,433.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,692,542.
2	Enter amount from Part I, line 27a	2	3,022.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	2,695,564.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,695,564.

Form 990-PF (2016)

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

23-7081254

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF SECURITIES -ML			P	03/17/16	04/21/16
b SALE OF SECURITIES -ML			P	VARIOUS	VARIOUS
c SALE OF SECURITIES -CS			P	VARIOUS	VARIOUS
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 17,157.		16,965.	192.		
b 2,729,339.		2,732,428.	-3,089.		
c 1,391,167.		1,366,624.	24,543.		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			192.		
b			-3,089.		
c			24,543.		
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		21,646.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8					
			3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	198,205.	2,843,005.	.069717
2014	156,035.	3,080,743.	.050648
2013	176,987.	2,982,233.	.059347
2012	279,746.	2,878,864.	.097172
2011	266,794.	2,952,663.	.090357

2 Total of line 1, column (d)

2 .367241

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .073448

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 2,638,072.

5 Multiply line 4 by line 3

5 193,761.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 1,005.

7 Add lines 5 and 6

7 194,766.

8 Enter qualifying distributions from Part XII, line 4

8 87,417.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

ROGER KRESGE FOUNDATION, INC.

Form 990-PF (2016)

C/O CAROL KRESGE

23-7081254

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

1	2,009.

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2	0.
3	2,009.
4	0.

3 Add lines 1 and 2

5	2,009.

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

6a	5,984.
6b	
6c	
6d	

a 2016 estimated tax payments and 2015 overpayment credited to 2016

7	5,984.
8	
9	

b Exempt foreign organizations - tax withheld at source

10	3,975.
11	0.

c Tax paid with application for extension of time to file (Form 8868)

7	5,984.
8	
9	

d Backup withholding erroneously withheld

10	3,975.
11	0.

7 Total credits and payments. Add lines 6a through 6d

7	5,984.
8	
9	

8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached

1a	X
1b	X

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

1c	X

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10	3,975.
11	0.

11 Enter the amount of line 10 to be Credited to 2017 estimated tax ►

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes	No
1a	X
1b	X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

1c	X

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

2	X

c Did the foundation file Form 1120-POL for this year?

3	X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

4a	X
4b	

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

5	X

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

N/A

6	X
7	X

f Has the foundation engaged in any activities that have not previously been reported to the IRS?

8b	X

If "Yes," attach a detailed description of the activities.

g Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

9	X
10	X

h Did the foundation have unrelated business gross income of \$1,000 or more during the year?

i If "Yes," has it filed a tax return on Form 990-T for this year?

j Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

k Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

l Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

m Enter the states to which the foundation reports or with which it is registered (see instructions) ►

NY

n If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

o Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV

p Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Form 990-PF (2016)

ROGER KRESGE FOUNDATION, INC.

Form 990-PF (2016)

C/O CAROL KRESGE

23-7081254

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11		X
12		X
13	X	
Website address ► NA		
14 The books are in care of ► CAROL KRESGE, PRESIDENT	Telephone no. ► (607) 786-0968	
Located at ► P.O. BOX 910, BINGHAMTON, NY	ZIP+4 ► 13902-0910	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	Yes	No
	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
If "Yes," list the years ► _____, _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
	4b	X

Form 990-PF (2016)

ROGER KRESGE FOUNDATION, INC.

Form 990-PF (2016)

C/O CAROL KRESGE

23-7081254

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b



Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

6b



b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

N/A

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL KRESGE PO BOX 910 BINGHAMTON, NY 13902	EX DIR/PRES/TREAS 40.00	68,000.	1,961.	0.
JOSEPH CAWLEY PO BOX 910 BINGHAMTON, NY 13902	DIRECTOR/SECRETARY 0.00	0.	0.	0.
ANDREW COREA PO BOX 910 BINGHAMTON, NY 13902	DIRECTOR/VICE PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

ROGER KRESGE FOUNDATION, INC.

Form 990-PF (2016)

C/O CAROL KRESGE

23-7081254

Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
3	All other program-related investments. See instructions.	
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30		
31		
32		
33		
34		
35		
36		
37		
38		
39		
40		
41		
42		
43		
44		
45		
46		
47		
48		
49		
50		
51		
52		
53		
54		
55		
56		
57		
58		
59		
60		
61		
62		
63		
64		
65		
66		
67		
68		
69		
70		
71		
72		
73		
74		
75		
76		
77		
78		
79		
80		
81		
82		
83		
84		
85		
86		
87		
88		
89		
90		
91		
92		
93		
94		
95		
96		
97		
98		
99		
100		
101		
102		
103		
104		
105		
106		
107		
108		
109		
110		
111		
112		
113		
114		
115		
116		
117		
118		
119		
120		
121		
122		
123		
124		
125		
126		
127		
128		
129		
130		
131		
132		
133		
134		
135		
136		
137		
138		
139		
140		
141		
142		
143		
144		
145		
146		
147		
148		
149		
150		
151		
152		
153		
154		
155		
156		
157		
158		
159		
160		
161		
162		
163		
164		
165		
166		
167		
168		
169		
170		
171		
172		
173		
174		
175		
176		
177		
178		
179		
180		
181		
182		
183		
184		
185		
186		
187		
188		
189		
190		
191		
192		
193		
194		
195		
196		
197		
198		
199		
200		
201		
202		
203		
204		
205		
206		
207		
208		
209		
210		
211		
212		
213		
214		
215		
216		
217		
218		
219		
220		
221		
222		
223		
224		
225		
226		
227		
228		
229		
230		
231		
232		
233		
234		
235		
236		
237		
238		
239		
240		
241		
242		
243		
244		
245		
246		
247		
248		
249		
250		
251		
252		
253		
254		
255		
256		
257		
258		
259		
260		
261		
262		
263		
264		
265		
266		
267		
268		
269		
270		
271		
272		
273		
274		
275		
276		
277		
278		
279		
280		
281		
282		
283		
284		
285		
286		
287		
288		
289		
290		
291		
292		
293		
294		
295		
296		
297		
298		
299		
300		
301		
302		
303		
304		
305		
306		
307		
308		
309		
310		
311		
312		
313		
314		
315		
316		
317		
318		
319		
320		
321		
322		
323		
324		
325		
326		
327		
328		
329		
330		
331		
332		
333		
334		
335		
336		
337		
338		
339		
340		
341		
342		
343		
344		
345		
346		
347		
348		
349		
350		
351		
352		
353		
354		
355		
356		
357		
358		
359		
360		
361		
362		
363		
364		
365		
366		
367		
368		
369		
370		
371		
372		
373		
374		
375		
376		
377		
378		
379		
380		
381		
382		
383		
384		
385		
386		
387		
388		
389		
390		
391		
392		
393		
394		
395		
396		
397		
398		
399		
400		
401		
402		
403		
404		
405		
406		
407		
408		
409		
410		
411		
412		
413		
414		
415		
416		
417		
418		
419		
420		
421		
422		
423		
424		
425		
426		
427		
428		
429		
430		
431		
432		
433		
434		
435		
436		
437		
438		
439		
440		
441		
442		
443		
444		
445		
446		
447		
448		
449		
450		
451		
452		
453		
454		
455		
456		
457		
458		
459		
460		
461		
462		
463		
464		
465		
466		
467		
468		
469		
470		
471		
472		
473		
474		
475		
476		
477		
478		
479		
480		
481		
482		
483		
484		
485		
486		
487		
488		
4		

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

23-7081254 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:

a Average monthly fair market value of securities

b Average of monthly cash balances

c Fair market value of all other assets

d Total (add lines 1a, b, and c)

e Reduction claimed for blockage or other factors reported on lines 1a and

1c (attach detailed explanation)

2 Acquisition indebtedness applicable to line 1 assets

3 Subtract line 2 from line 1d

4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)

5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4

6 Minimum investment return. Enter 5% of line 5

1a	2,448,954.
1b	229,292.
1c	
1d	2,678,246.
1e	0.
2	0.
3	2,678,246.
4	40,174.
5	2,638,072.
6	131,904.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1 Minimum investment return from Part X, line 6

2a Tax on investment income for 2016 from Part VI, line 5

b Income tax for 2016. (This does not include the tax from Part VI.)

c Add lines 2a and 2b

3 Distributable amount before adjustments. Subtract line 2c from line 1

4 Recoveries of amounts treated as qualifying distributions

5 Add lines 3 and 4

6 Deduction from distributable amount (see instructions)

7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1

1	131,904.
2a	2,009.
2b	
2c	2,009.
3	129,895.
4	0.
5	129,895.
6	0.
7	129,895.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:

a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26

b Program-related investments - total from Part IX-B

2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes

3 Amounts set aside for specific charitable projects that satisfy the:

a Suitability test (prior IRS approval required)

b Cash distribution test (attach the required schedule)

4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4

5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

income. Enter 1% of Part I, line 27b

6 Adjusted qualifying distributions. Subtract line 5 from line 4

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

1a	87,417.
1b	0.
2	
3a	
3b	
4	87,417.
5	0.
6	87,417.

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

23-7081254 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				129,895.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	123,385.			
b From 2012	142,587.			
c From 2013	31,277.			
d From 2014	7,171.			
e From 2015	57,898.			
f Total of lines 3a through e	362,318.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 87,417.			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				87,417.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	42,478.			42,478.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	319,840.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	80,907.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	238,933.			
10 Analysis of line 9:				
a Excess from 2012	142,587.			
b Excess from 2013	31,277.			
c Excess from 2014	7,171.			
d Excess from 2015	57,898.			
e Excess from 2016				

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

C/O CAROL KRESGE

23-7081254

Page 10

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling				
b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities.				
Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see Instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 16

b. The form in which applications should be submitted and information and materials they should include:

c. Any submission deadlines:

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

ROGER KRESGE FOUNDATION, INC.
C/O CAROL KRESGE

Form 990-PF (2016)

23-7081254 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
a Paid during the year				
TRI-CITIES OPERA 315 CLINTON ST BINGHAMTON, NY 13901	NONE	PUBLIC CHARITY	OPERA-GO-ROUND PROGRAM	2,500.
VINES- VOLUNTEERS IMPROVING NEIGHBORHOOD ENVIRONMENTS 42 CHENANGO ST BINGHAMTON, NY 13901	NONE	PUBLIC CHARITY	CONTRIBUTE TO PURCHASE BCS WALK BEHIND TRACTOR TO EXPAND GROW BINGHAMTON-SUMMER YOUTH EMPLOYMENT	2,000.
Total				► 3a 4,500.
b Approved for future payment				
BINGHAMTON UNIVERSITY FOUNDATION BINGHAMTON, NY 13901	NONE	PUBLIC CHARITY	TO ESTABLISH A SCHOLARSHIP FUND FOR A BROOME COUNTY RESIDENT MAJORING IN EDUCATION AS A GRAD STUDENT	25,000.
SOS SHELTER BINGHAMTON, NY 13901	NONE	PUBLIC CHARITY	IMPROVEMENTS TO THE PHYSICAL FACILITY AND/OR SUPPLIES THAT DIRECTLY BENEFIT MOTHERS AND CHILDREN.	25,000.
UHS FOUNDATION JOHNSON CITY, NY 13790	NONE	PUBLIC CHARITY	FOR THE NEO-NATAL UNIT AT WILSON HOSPITAL	25,000.
Total				► 3b 75,000.

623611 11-23-16

** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		(e) Related or exempt function income
		(a) Business code	(b) Amount	
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				14 8.
4 Dividends and interest from securities				14 120,079.
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				01 422.
8 Gain or (loss) from sales of assets other than inventory				18 13,779.
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a				
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)			0.	134,288.
13 Total. Add line 12, columns (b), (d), and (e)			13	134,288.

Relationship of Activities to the Accomplishment of Exempt Purposes

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - VINES- VOLUNTEERS IMPROVING NEIGHBORHOOD ENVIRONMENTS

CONTRIBUTE TO PURCHASE BCS WALK BEHIND TRACTOR TO EXPAND GROW

BINGHAMTON-SUMMER YOUTH EMPLOYMENT PROGRAM

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF SECURITIES -ML				PURCHASED	03/17/16	04/21/16
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS		
17,157.	16,965.	0.	0.	192.		

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF SECURITIES -ML				PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS		
2,729,339.	2,736,081.	0.	0.	-6,742.		

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF SECURITIES -CS				PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS		
1,391,167.	1,370,838.	0.	0.	20,329.		

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	13,779.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME-CASH EQUIVALENTS	8.	8.	
TOTAL TO PART I, LINE 3	8.	8.	

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ACCRUED INTEREST	5,946.	0.	5,946.	5,946.	
DIVIDENDS	107,763.	0.	107,763.	107,763.	
INTEREST INCOME - US	6,370.	0.	6,370.	6,370.	
TO PART I, LINE 4	120,079.	0.	120,079.	120,079.	

FORM 990-PF

OTHER INCOME

STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MISC. INCOME	422.	422.	
TOTAL TO FORM 990-PF, PART I, LINE 11	422.	422.	

FORM 990-PF

LEGAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	7,120.	3,560.		3,560.
TO FM 990-PF, PG 1, LN 16A	7,120.	3,560.		3,560.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DAVIDSON, FOX & CO, LLP	7,860.	3,930.		3,930.
TO FORM 990-PF, PG 1, LN 16B	7,860.	3,930.		3,930.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CLOVER CAPITAL MGMT	5,552.	5,552.		0.
CHARLES SCHWAB CAPITAL MGMT	5,490.	5,490.		0.
TO FORM 990-PF, PG 1, LN 16C	11,042.	11,042.		0.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	5,395.	1,349.		4,046.
EXCISE TAXES	2,009.	0.		0.
FOREIGN TAXES	69.	69.		0.
TO FORM 990-PF, PG 1, LN 18	7,473.	1,418.		4,046.

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HEALTH AND DISABILITY				
INSURANCE	10,806.	2,702.		8,104.
POSTAGE	465.	116.		349.
TELEPHONE	1,342.	336.		1,006.
OFFICE SUPPLIES	120.	30.		90.
TRAVEL	1,370.	343.		1,027.
ADVERTISING	687.	0.		687.
INSURANCE	1,626.	0.		1,626.
DUES & SUBSCRIPTIONS	3,629.	0.		3,629.
COMPUTER EXPENSES	610.	153.		457.
STATE FILING FEE	250.	0.		250.
MISCELLANEOUS	2,168.	542.		1,626.
TO FORM 990-PF, PG 1, LN 23	23,073.	4,222.		18,851.

ROGER KRESGE FOUNDATION, INC. C/O CAROL

23-7081254

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT - SEE SCHEDULE	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - SEE SCHEDULE	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	0.	0.

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE SCHEDULE	26,617.	40,653.
TOTAL TO FORM 990-PF, PART II, LINE 10C	26,617.	40,653.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER MUTUAL FUNDS - SEE SCHEDULE	COST	2,513,987.	2,560,602.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,513,987.	2,560,602.

ROGER KRESGE FOUNDATION, INC. C/O CAROL

23-7081254

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 14

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,000.	2,000.	0.
COPIER AND STAND	1,072.	1,072.	0.
COMPUTER	1,995.	1,995.	0.
SOFTWARE	1,476.	1,476.	0.
COMPUTER	454.	454.	0.
COMPUTER	485.	485.	0.
FAX MACHINE	502.	502.	0.
TOTAL TO FM 990-PF, PART II, LN 14	7,984.	7,984.	0.

FORM 990-PF OTHER LIABILITIES STATEMENT 15

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	627.	2,869.
TOTAL TO FORM 990-PF, PART II, LINE 22	627.	2,869.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CAROL KRESGE, EXEC DIRECTOR
P.O. BOX 910
BINGHAMTON, NY 139020910

TELEPHONE NUMBER

(607) 786-0968

FORM AND CONTENT OF APPLICATIONS

IN WRITING, SHOWING PURPOSE TO BE USED FOR AND INCLUDING PROOF OF TAX EXEMPT STATUS

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS FOR PHYSICAL, MENTAL AND EDUCATIONAL DEVELOPMENT FOR CHILDREN AND YOUNG ADULTS, PRIMARILY IN BROOME COUNTY, NY

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1 COMPUTER		05/09/90	SL	5.00	16	2,000.				2,000.	2,000.		0.	2,000.
2 COMPUTER (AND SPANNER)	(07/12/97//95)		SL	5.00	16	1,072.				1,072.	1,072.		0.	1,072.
3 COMPUTER		02/15/03	SL	5.00	16	1,995.				1,995.	1,995.		0.	1,995.
4 SOFTWARE	(03/15//03)		SL	36M	143	1,476.				1,476.	1,476.		0.	1,476.
5 COMPUTER		02/15/03	SL	5.00	16	454.				454.	454.		0.	454.
6 COMPUTER	(02/01/13)		SL	5.00	16	485.				485.	485.		0.	485.
7 FAX MACHINE	(09/01/11) 1. 11/12/12 5. 11/12/13		SL	5.00	16	502.				502.	433.		69.	502.

The Roger Kresge Foundation Inc
ID #23-7081254
Part II, Lines 10 & 13
December 31, 2016

charles
SCHWAB

Investment Detail - Fixed Income

CMO & Asset Backed Securities	Par	Market Price	Market Value	Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Annual Income Yield to Maturity
BANC AMER ALT LN TR 6%36	25,000.0000	1.6261	40,652.50	26,616.82	<1%	N/A	N/A
ABS-SPCL DUE 03/25/36							N/A

-062-
CUSIP: 05948K3H0
MOODY'S: WR
FACTOR=.00000000
REMAIN PRIN=\$0.00

Charles
SCHWAB

The Roger Kresge Foundation Inc
ID #23-7081254
Part II, Lines 10 & 13
December 31, 2016

Investment Detail - Mutual Funds

		Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Gain or (Loss)
Bond Funds	Quantity						
FEDERATED HIGH YIELD ° TRUST SS SYMBOL: FHYTX	10,261.7050	6.7100	68,856.04	3%	6.40	65,643.30	3,212.74
LORD ABBETT SHORT DUR ° INCOME FD CL A SYMBOL: LADDX	7,899.4420	4.3100	34,046.60	1%	4.33	34,239.11	(192.51)
RIDGEWORTH SEIX TOTAL ° RETURN BD I SYMBOL: SAMFX	27,256.7420	10.3900	283,197.55	11%	10.74	292,835.98	(9,638.43)
SCHWAB TOTAL BD MKT FUND ° SYMBOL: SWLBX	30,085.4150	9.3900	282,502.05	11%	9.63	289,581.82	(7,079.77)
Total Bond Funds			668,602.24			682,300.21	
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ALLIANZ GI STRUCTURED ° RETURN FD A SYMBOL: AZIAX	6,990.2670	15.6300	109,257.87	4%	15.54	108,650.85	607.02
AMERICAN FD EURO PACIFIC ° GWTH FD CL F1 SYMBOL: AEGFX	4,908.0070	44.9100	220,418.59	8%	43.84	215,176.06	5,242.53
BARON SMALL CAP FD ° SYMBOL: BSCFX	4,316.8070	25.3500	109,431.06	4%	27.52	118,788.52	(9,357.46)

Charles
SCHWAB

The Roger Kresge Foundation Inc
ID #23-7081254
Part II, Lines 10 & 13
December 31, 2016

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)		Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DEUTSCHE SELECT ALT °	10,620.9160	10.3500	109,926.48	4%	10.54	111,986.13	(2,059.65)
ALLOC S							
DIAMOND HILL SMALL CAP °	3,357.0280	34.3900	115,448.19	4%	31.05	104,220.72	11,227.47
FUND CL A							
JHANCOCK GLBL ABSOLUTE °	10,869.5650	10.1000	109,782.61	4%	10.12	110,000.00	(217.39)
RETURN STRATA							
SYMBOL: JHAAX							
LORD ABBETT INTL DIV °	33,893.3960	6.6900	226,746.82	9%	6.65	225,405.75	1,341.07
INCM A							
SYMBOL: LIDAX							
SCHWAB 1000 INDEX FUND °	16,616.7120	53.6200	890,988.10	33%	50.40	837,459.02	53,529.08
SYMBOL: SNXFX							
Total Equity Funds			1,891,999.72		1,831,687.05		
Total Mutual Funds			2,560,601.96		2,513,987.26		